

# **Shakedown response of recycled rubber-granular waste mixtures under cyclic loading**

**Rakesh Sai Malisetty, PhD**

Postdoctoral Research Fellow, Transport Research Centre, University of Technology Sydney, Ultimo, NSW 2007, Australia.

Email: [rakeshsai.malisetty@uts.edu.au](mailto:rakeshsai.malisetty@uts.edu.au), Ph: +61 (0) 497 399 251

**Buddhima Indraratna†, PhD, FTSE, FIEAust., FASCE, FGS, CPEng**

Distinguished Professor, Director, Transport Research Centre, ARC Industrial Transformation Training Centre for Railroad Technologies (ITTC-Rail), University of Technology Sydney, Ultimo, NSW 2007, Australia.

Email: [Buddhima.indraratna@uts.edu.au](mailto:Buddhima.indraratna@uts.edu.au), Ph: +61 (0) 400 213 046

**Yujie Qi, PhD**

Lecturer, School of Civil and Environmental Engineering, University of Technology Sydney, Ultimo, NSW 2007, Australia.

Email: [yujie.qi@uts.edu.au](mailto:yujie.qi@uts.edu.au)

**Cholachat Rujikiatkamjorn, PhD, CPEng, FIEAust, MASCE**

Professor, School of Civil and Environmental Engineering, University of Technology Sydney, Ultimo, NSW 2007, Australia.

Email : [cholachat.rujikiatkamjorn@uts.edu.au](mailto:cholachat.rujikiatkamjorn@uts.edu.au), Ph: +61 (02) 9514 4495

†Author for correspondence:

**Buddhima Indraratna**

School of Civil and Environmental Engineering

Faculty of Engineering and Information Technology, University of Technology Sydney

Ultimo, NSW

AUSTRALIA

Ph: +61 (0) 400 213 046

Email: [Buddhima.indraratna@uts.edu.au](mailto:Buddhima.indraratna@uts.edu.au)

**Submission for Technical Note**

**No of words: 2280,**

**No of tables: 0**

**No of figures: 5**

# Shakedown response of recycled rubber-granular waste mixtures under cyclic loading

## Abstract:

Determining the long-term deformation behaviour of granular materials under repeated (cyclic) loads is imperative for ensuring acceptable longevity of railway tracks. One of most relevant characteristics of granular materials under repeated loading and unloading is their ability to achieve a relatively stable state (shakedown) after being subjected to initial compression. This study reports the shakedown response of rubber-granular waste mixtures based on a series of cyclic triaxial tests conducted at different loading conditions. The combined influence of the rubber content, confining stress, and cyclic loading amplitude on the permanent deformation of the granular matrix is analysed in relation to plastic shakedown and also identifying the occurrence of plastic creep. The results show that the permanent axial deformations reach a state of plastic shakedown at a lower rubber content, while a higher rubber content leads to enhanced plastic creep, which exacerbates at higher loading amplitudes. A unified method of estimating the shakedown limit is proposed herein to estimate the appropriate cyclic loading amplitude corresponding to the rubber content and confining stress. The proposed method is validated with two independent sets of drained cyclic triaxial test data on coal wash-rubber crumb mixtures in contrast to standard rail ballast.

**Keywords:** Shakedown, rubber crumbs, permanent deformations, granular materials

## 1. Introduction:

The growing number of scrap rubber tyres has become a concern to accumulating areas of landfill, leaving unsightly stockpiles occupying otherwise usable land, also causing significant environmental pollution over time. As a sustainable solution, numerous studies have proposed the adoption of recycled rubber in various forms in earthquake-resistant foundations and

25 liquefaction-resistant backfills (Li et al., 2016, Mashiri et al., 2017, Tsang, 2008, Senetakis et  
26 al., 2012). Recently, Indraratna et al. (2018) proposed an alternative for capping layer in track  
27 infrastructure by using rubber crumbs (RC) blended with granular by-products from coal and  
28 steel industries, namely, steel furnace slag (SFS) and coal wash (CW). Under cyclic loading  
29 conditions appropriate for typical heavy haul train passages, Qi et al. (2019) found that the  
30 presence of rubber crumbs in a granular matrix would increase the permanent axial and  
31 volumetric strains (compression), while enhancing the damping properties of the mixture in  
32 relation to the hysteretic loading-unloading response. However, they also found that when the  
33 rubber content in the mixture exceeded 10%, the mixture showed marginal benefits, and at  
34 significantly higher rubber content approaching 20%, the blended matrix suffered from  
35 significantly reduced resilient modulus and unacceptable compression that will not be  
36 appropriate as a track substructure material.

37 Granular materials in railway infrastructure are subjected to thousands of loading cycles during  
38 their lifetime. During initial loading cycles, attributed to particle re-arrangement, the permanent  
39 deformation of the granular matrix increases rapidly, causing cyclic densification (Sun et al.,  
40 2015). Subsequently, after being subjected to a certain number of loading cycles, the material  
41 reaches a shakedown state that is usually characterised by the permanent strains reaching a  
42 plateau with insignificant accumulated strain thereafter. However, at significantly higher stress  
43 levels, the permanent deformations may not become stable but continue to increase, thereby  
44 exceeding the maximum allowable deformations within the designed lifespan of a capping  
45 layer. This post-densification response has been studied by other researchers (Sharp and  
46 Booker, 1984, Werkmeister et al., 2001, Alnedawi et al., 2019, Xiao et al., 2018) to analyse the  
47 settlement of unbound pavement materials under cyclic loading. Based on these past studies,  
48 three distinct deformation zones can be identified: (i) plastic shakedown, (ii) plastic creep and  
49 (iii) incremental collapse, as shown in Figure 1(a). The critical cyclic stress limit associated

50 with the onset of unstable creep deformations and incremental collapse can be termed as  
51 ‘shakedown limit’ and ‘creep limit’, respectively. Further, the incremental axial strain response  
52 with the accumulated axial strain (Figure 1b) was also quantified, and different criteria have  
53 been proposed based on the incremental strain in the range of 3000-5000 loading cycles to  
54 define the apparent shakedown zones (Werkmeister et al., 2001, Gu et al., 2017).

55 Various studies have used this concept of cyclic shakedown to analyse the permanent  
56 deformations of bounded granular materials such as railway ballast (Xiao et al., 2017, Sun et  
57 al., 2015). They reported that the effective confining stress on the material as well as the cyclic  
58 loading amplitude and frequency had affected the shakedown response. However, the  
59 estimation of the shakedown limit for granular materials with varying confining stress and  
60 cyclic loading amplitude has not yet been studied in detail. In addition, it is most beneficial to  
61 quantify how the rubber content can influence the shakedown behaviour of rubber-granular  
62 waste mixtures, especially when the addition of rubber to a mixture of harder and highly  
63 angular aggregates is expected to cause a reduction in its overall shear strength. In this regard,  
64 this paper provides an insightful study into the role of rubber inclusion on the permanent  
65 deformation in distinctly different shakedown regions. More importantly, a unified method of  
66 estimating the shakedown limit is proposed, considering the peak static shear strength under  
67 various cyclic loading conditions and material compositions.

## 68 **2. Shakedown response of rubber-granular waste mixtures:**

69 In this study, to analyse the shakedown response of rubber-granular waste mixtures (SFS-CW  
70 -RC), permanent axial strain data from the cyclic drained triaxial tests conducted by Qi et al.  
71 (2019) was adopted, where the rubber contents ( $R_b$ ) range from 0% to 40% by weight? plus  
72 three levels of confining stress ( $\sigma'_3=10, 40, 70$  kPa). A granular mix with SFS:CW=7:3 is  
73 considered, which is the optimal ratio to ensure a sufficient shear strength, acceptable

74 permeability and insignificant swelling effects of steel slag to be used as subballast? (Indraratna  
75 et al., 2018). The cyclic loading amplitude for these tests is applied with a constant cyclic stress  
76 ratio (CSR, given in Eq.1) of 0.8.

$$77 \quad CSR = \frac{q_{cyc}}{2\sigma'_3} \quad (1)$$

78 The variation of incremental permanent axial strains with accumulated axial strains for varying  
79 rubber content at different confining stresses is shown in Figure 2. Isometric lines are drawn  
80 on the plots to denote 1<sup>st</sup>, 1000<sup>th</sup>, 10000<sup>th</sup> and 50000<sup>th</sup> loading cycles. As observed in Figure 2a,  
81 at low confining stresses ( $\sigma'_3 = 10 \text{ kPa}$ ), mixtures with  $R_b=0\%-40\%$  experience cyclic  
82 densification within 100 loading cycles and they reach a stable state of plastic shakedown  
83 quickly after about 500 cycles. Although a higher rubber content caused an increase in the  
84 accumulated strain after  $N=50000$ , the shakedown response was not altered by the rubber  
85 content. However, at higher confining stresses of 40 kPa and 70 kPa (Figure 2b and 2c), the  
86 densification period increases to  $N=500$  for mixtures with lower levels of  $R_b$  (i.e. 0 and 10%)  
87 and  $N=1000$  for higher  $R_b$  (20%, 30% and 40%). After the cyclic densification stage, while  
88 mixtures with lower  $R_b$  experienced plastic shakedown, those mixtures with  $R_b=20\%-40\%$   
89 experienced irrecoverable strains in the plastic creep region, as shown in Figures 2b and 2c.  
90 For  $R_b > 10\%$ , the incremental strain rate decreases gradually within the range of 1000-50000  
91 loading cycles, with no stabilisation observed in relation to the accumulated axial strains. Also,  
92 the accumulated axial strain at  $N=50000$  increases by approximately 1.5 times when the rubber  
93 content ( $R_b$ ) increases from 10% to 20% for both  $\sigma'_3=40$  and 70 kPa, indicating a distinct  
94 boundary between the plastic shakedown and plastic creep stage. This change in shakedown  
95 response at higher rubber content can be attributed to the reduced interaction between the rigid  
96 SFS and CW aggregates; thus the behaviour of the mixture is dominated by the compressible  
97 rubber crumbs.

### 99 3. Shakedown limit:

100 To better understand the influence of applied cyclic loading amplitude on the shakedown  
 101 response, a normalised cyclic stress ratio ( $\Psi$ ) is computed by taking the ratio of cyclic loading  
 102 amplitude to the peak shear strength (static), as given in Eq. 2.

$$103 \quad \Psi = \frac{q_{cyc}}{q_{peak}} \quad (2)$$

104 For CSR=0.8, Figure 3 shows the variation of peak static shear strength ( $q_{peak}$ ) of the recycled  
 105 rubber-granular waste mixture and the corresponding  $\Psi$  values for test specimens with different  
 106 rubber contents. It can be seen that the peak shear strength of the material decreases with the  
 107 increase in  $R_b$  for all the three confining stresses, and the value of  $\Psi$  increases with higher  
 108 rubber contents. The influence of  $\Psi$  on the permanent axial deformations ( $\epsilon_a$ ) after the cyclic  
 109 densification stage at three loading intervals (N=1000, 10000 and 50000 cycles) for all cyclic  
 110 loading cases is shown in Figure 4. At relatively low values of  $\Psi$  ( $< 0.23$ ), the permanent axial  
 111 deformations for all three stages become closer together, irrespective of the rubber content and  
 112 confining stress. As the accumulated deformation does not change for N = 1000, 10000 and  
 113 50000, the loading cases in this region fall within the plastic shakedown range as shown in Fig  
 114 3. In contrast, when  $\Psi > 0.23$ , a clear scatter of the accumulated strains at all three stages is  
 115 observed with plastic strains unable to attain a stable shakedown state even after 50000 loading  
 116 cycles, so this part of the plot in Figure 4 is termed as the plastic creep region. The bounding  
 117 value of  $\Psi$  at which the change in response occurs can be considered as the ‘shakedown limit’  
 118 ( $\Psi_{sh}=0.23$ ) for these rubber-mixed granular mixtures. It is to be noted that, even though CSR  
 119 is kept constant (0.8) for all confining stresses and rubber contents, the corresponding  $\Psi$  values  
 120 at relatively low confining stress (10 kPa) lie within the shakedown limit, and the material  
 121 reaches a plastic shakedown state even with 40% rubber crumbs as observed in Figure 2(a). In

122 contrast, at higher confining stresses (70 kPa), the magnitude of  $\Psi$  slightly exceeds the  
123 shakedown limit at  $R_b=10\%$ , and plastic creep is observed as shown in Figure 2(c). Besides,  
124 the separation between the three loading intervals ( $N=1000,10000,50000$ ) increases with an  
125 increase in  $\Psi$ , signifying the combined influence of cyclic loading amplitude, confining stress  
126 and rubber content on the instability of these recycled rubber-granular waste mixtures.

127 The dependence of accumulated axial strain with  $\Psi$  can be mathematically expressed using a  
128 linear relationship as given in Eq. 3 and plotted in Figure 4.

$$129 \quad \epsilon_a = \alpha(\Psi - \Psi_{sh}) + \epsilon_{sh} \quad (3)$$

130 where,  $\alpha$  is the ratio of permanent axial strain increments to the increment of  $\Psi$ ,  $\Psi_{sh}$  is the  
131 shakedown limit and  $\epsilon_{sh}$  is the accumulated axial strain at the corresponding shakedown limit.

132 For all the rubber-granular waste mixtures,  $\Psi_{sh}$  is found to be 0.23, with  $\epsilon_{sh}= 1.3\%$ . It can be  
133 seen from Figure 4 that  $\alpha = 6.2$  is unique for all  $N$  in the shakedown region, while  $\alpha$  increases  
134 from 17.75 at  $N=1000$  cycles to 22.4 at  $N=50000$  cycles. It is also observed that the regression  
135 line in the shakedown region intercepts the x-axis at  $\Psi = 0.02$ , and this can be considered as  
136 the cyclic stress level below which the material experiences very small deformations. Further,  
137 this behaviour is validated for the waste mixture having  $R_b = 10\%$  tested with a lower CSR of  
138 0.4, and the permanent axial strain data are shown in Figure 4. As observed, the CSR of 0.4  
139 corresponds to lower  $\Psi$  values in the plastic shakedown region ( $\Psi < 0.23$ ), and the permanent  
140 axial strains reach the plastic shakedown state at around  $N=1000$  cycles, and the observed trend  
141 of data matches the proposed relationship well.

#### 142 **4. Validation of the proposed method:**

143 This approach is also applied to two independent sets of permanent axial strain data from  
144 drained cyclic triaxial tests on coal wash (CW) and rubber crumb (RC) mixtures (Tawk et al.,  
145 2021) and typical Australian railway ballast (Lackenby et al., 2007), tested under cyclic triaxial



146 conditions at different confining stresses and cyclic stress amplitudes (Figures 5a and b). As  
147 explained by Tawk et al., (2021) for CW+RC mixtures (Figure 5a),  $N=5000$  is considered as  
148 the completion of the initial compaction phase. It is observed that the shakedown limit  
149 ( $\Psi_{sh}=0.24$ ) of the mixture can be uniquely identified irrespective of the confining stress and  
150 CSR, and the permanent axial strains follow a linear relationship ( $r^2\sim 0.9$ ). Also, the mixtures  
151 with low rubber contents ( $R_b = 0\%, 5\%$ ) subjected to relatively low values of CSR (0.8) and  
152 confining stress (25 kPa) are found to lie in the shakedown region, while mixtures with higher  
153 rubber content ( $R_b=10\%, 15\%$ ) fall within the region of plastic creep for higher CSR (2.0) and  
154 higher confining stress (50 kPa). Further, the permanent axial strain response of ballast without  
155 any rubber (Figure 5b), also follows a linear relationship with  $\Psi$  ( $r^2\sim 0.88$ ); the distinction  
156 between plastic shakedown and creep regions is observed at a much higher value of  $\Psi_{sh}=0.8$ .  
157 The elevated shakedown limit indicates that the material can resist higher cyclic load  
158 amplitudes before showing a plastic creep behaviour compared to the rubber-granular waste  
159 mixtures. Further, the shakedown curve is found to pass through the origin, which can be  
160 attributed to the angular ballast aggregates ( $D_{50} = 35\text{ mm}$ ) when compared to less angular  
161 rubber-granular waste mixtures ( $D_{50} = 1.8\text{ mm}$ ), forcing the angular corners to break even for  
162 low cyclic loading amplitudes, thus resulting in permanent deformation. These observations  
163 indicate without doubt that the parameter  $\Psi$  that incorporates the effects of both confining  
164 stress and rubber content, can be used as a useful parameter to define the shakedown limit of  
165 granular materials.

#### 166 **4.1 Limitations of this study:**

167 The observations made in this study are limited to a constant loading frequency (5 Hz) and the  
168 effects of varying loading frequency on the shakedown limit considering the influence of  
169 rebound of rubber crumbs during different unloading-reloading periods has not been  
170 investigated and reported in this paper. The authors intend to combine the regions of

171 shakedown and plastic creep of the current study with such rubber rebounding model at a later  
172 stage, but not within the scope of this paper.

## 173 **5. Conclusions:**

174 A novel method to estimate the plastic shakedown limit of rubber blended granular mixtures is  
175 proposed. Based on this study, the following conclusions can be drawn:

- 176 1. An increase in the rubber content reduced the capability of SFS+CW+RC mixtures,  
177 reaching a state of plastic shakedown within 50000 loading cycles at CSR = 0.8 and  
178 confining pressures of 40 and 70 kPa. The permanent deformations for mixtures after  
179 the initial compression phase showed a plastic shakedown behaviour at lower rubber  
180 contents ( $R_b \leq 10\%$ ), and the mixtures with higher rubber contents ( $\geq 20\%$ ) showed  
181 plastic creep response, while a constant increase in accumulated axial strains was  
182 observed even after  $N=50,000$ .
- 183 2. A linear relationship was observed between the normalised cyclic stress ratio and  
184 accumulated axial strains, with the shakedown limit for SFS+CW+RC mixtures found  
185 to be at  $\Psi_{sh}=0.23$ . A similar relationship was observed for CW+RC mixtures (i.e. no  
186 steel furnace slag) with  $\Psi_{sh}=0.24$ , whereas, for coarse granular materials such as pure  
187 ballast (no rubber), the shakedown limit increases to 0.8. This indicates that the  
188 presence of rubber crumbs would influence the granular mixtures to exhibit a plastic  
189 creep response at a relatively lower cyclic stress amplitude, even though the loading  
190 conditions are kept the same.
- 191 3. In practice, this proposed approach would help determine the allowable cyclic loading  
192 amplitudes that can be applied on granular layers in rail tracks to minimise deformations  
193 exhibiting plastic creep.

## 194 **Acknowledgements:**

195 The authors would like to acknowledge the financial assistance provided by the Australian  
196 Research Council Discovery Project (ARC-DP) and ARC Industrial Transformation Training  
197 Centre for Advanced Technologies in Rail Track Infrastructure (ITTC-Rail).

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**List of notations:**

CSR	Cyclic stress ratio
$q_{cyc}$	Cyclic deviatoric stress amplitude
$q_{peak}$	Peak shear strength
$R_b$	Rubber content
$\sigma'_3$	Effective confining stress
$\Psi$	Normalised cyclic stress ratio
$\Psi_{sh}$	Shakedown limit
$\epsilon_a$	Permanent axial strain
$\epsilon_{sh}$	Permanent axial strain at the shakedown limit

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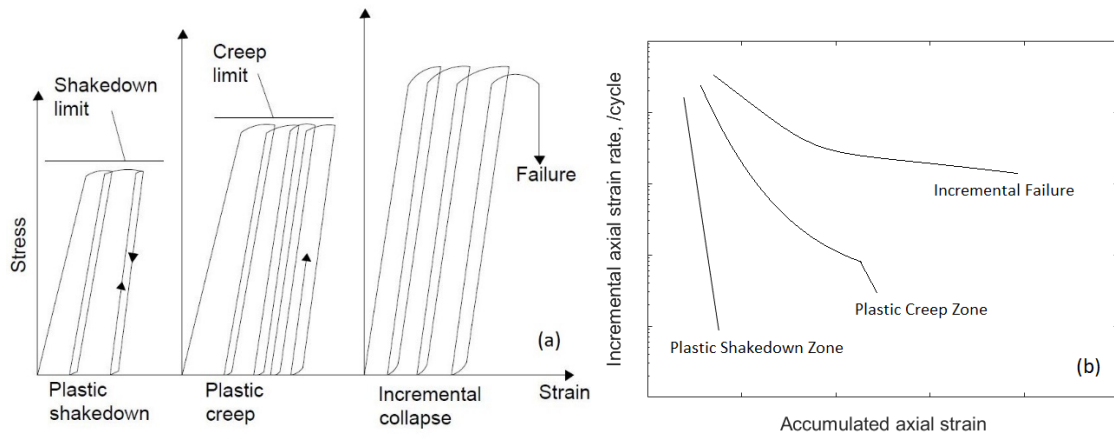


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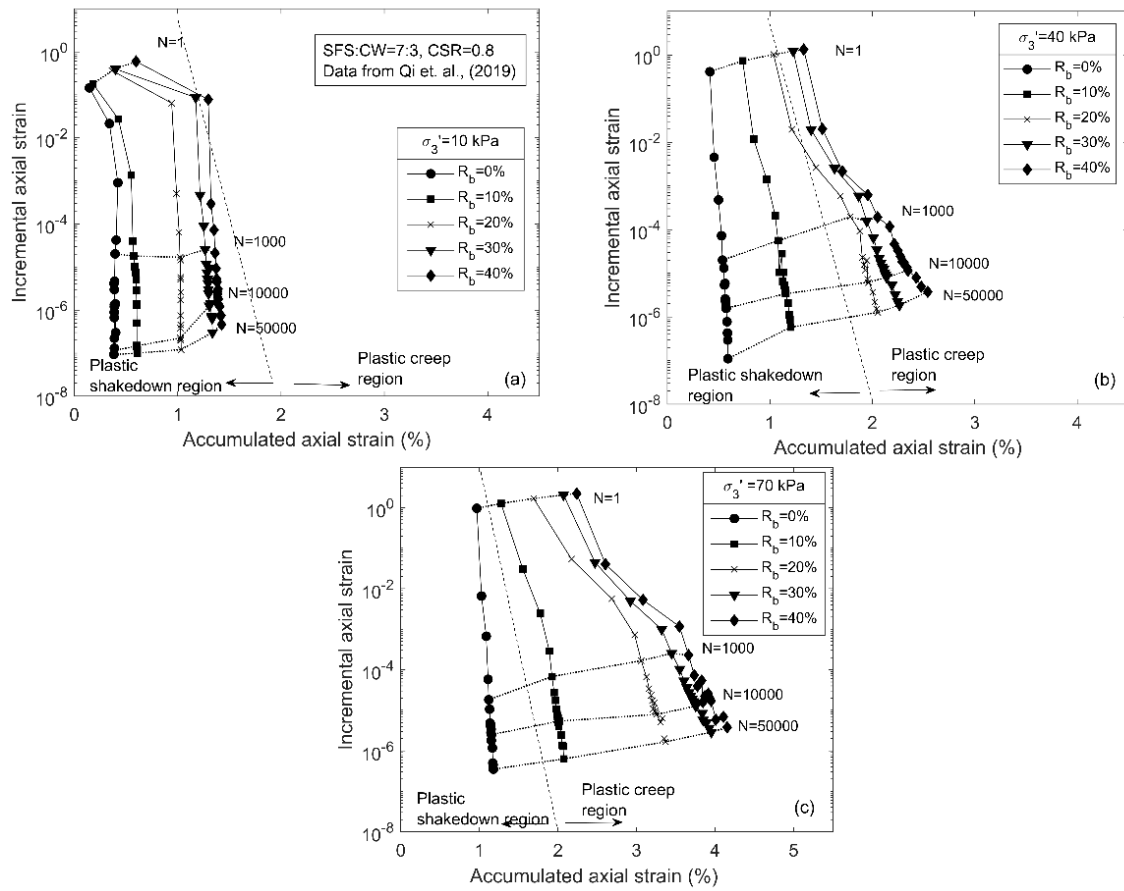


Figure 2 Incremental strain response of rubber-waste granular mixture with different rubber contents at (a)  $\sigma'_3=10$  kPa, (b)  $\sigma'_3=40$  kPa and (c)  $\sigma'_3=70$  kPa

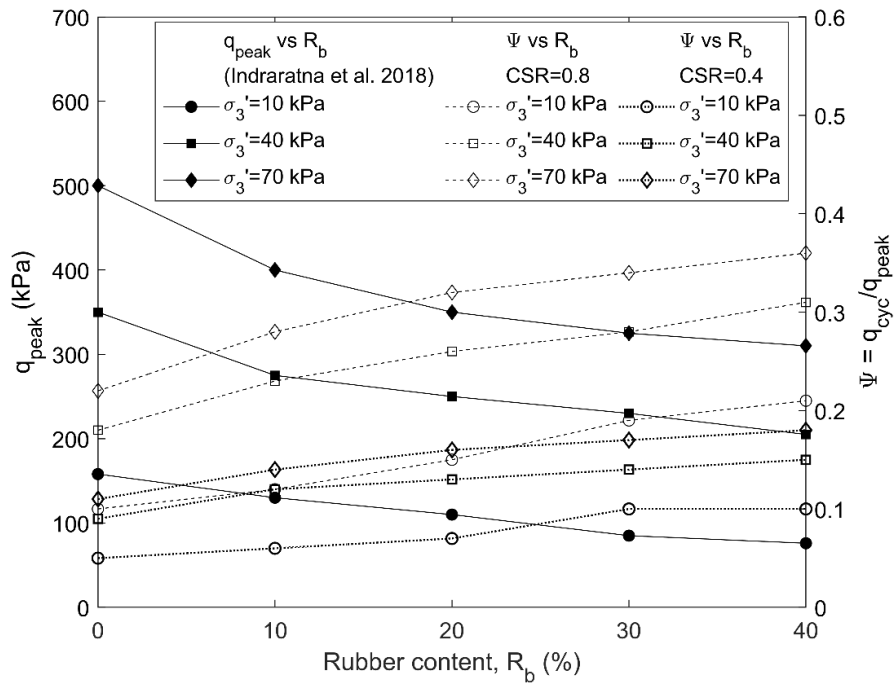


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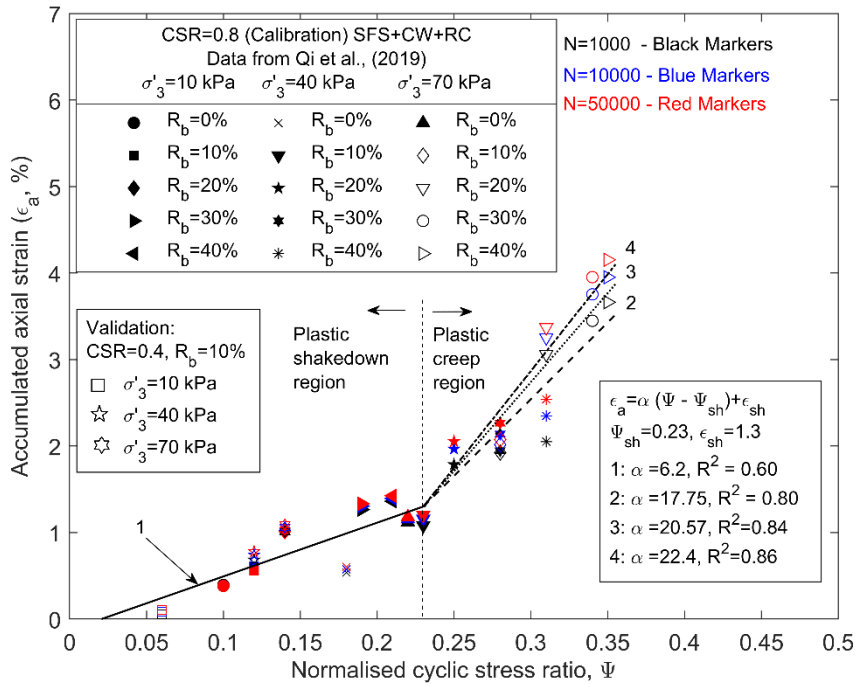


Figure 4 Effect of  $\Psi$  on the permanent deformation of SFS+CW+RC mixtures (data sourced from Qi et al., 2019)

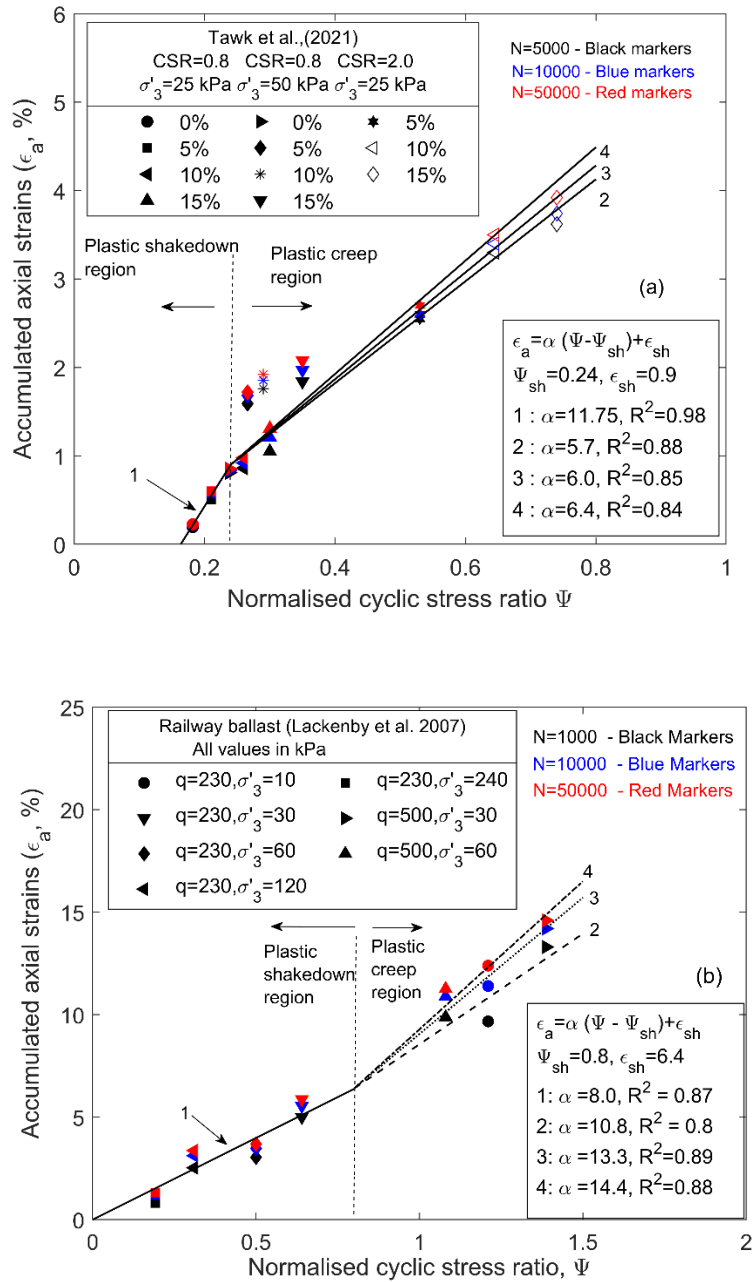


Figure 5(a) Influence of  $\Psi$  on the shakedown response of CW-RC mixtures (data sourced from Tawk et al., 2021) (b) railway ballast (data sourced from Lackenby et al., 2007)

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