

**NON-LINEAR CONSOLIDATION ANALYSIS OF MULTILAYERED  
SOIL WITH COUPLED VERTICAL-RADIAL DRAINAGE USING THE  
SPECTRAL METHOD**

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## 1 **Abstract**

2 The non-linear variation of soil compressibility and permeability with void ratio (i.e.,  $e\text{-log } \sigma'$   
3 and  $e\text{-log } k$ ) has been included in the consolidation theory to accurately predict the behaviour  
4 of soft soil stabilized by vertical drains. However, most current non-linear consolidation  
5 models incorporating the coupled radial-vertical flow are based on some simplified  
6 assumptions, while including some features such as the complex implementation of  
7 multilayered computations, time-dependent loading and stress distribution with depth. This  
8 study hence introduces a novel approach where the spectral method is used to analyse the  
9 non-linear consolidation behaviour of multilayered soil associated with coupled vertical-  
10 radial drainage. In addition, time- and depth-dependent stress and soil properties at each soil  
11 layer are incorporated into the proposed model. Subsequently, the solution is verified against  
12 experimental and field data with comparison to previous analytical solutions. The results  
13 show greater accuracy of the proposed method in predicting in-situ soil behaviour. A  
14 parametric study based on the proposed solution indicates that the ratio between the  
15 compression and permeability indices ( $\omega = C_v/C_k$ ) has a great impact on the consolidation  
16 rate, i.e., the greater the  $\omega$ , the smaller the consolidation rate. Increasing the load increment  
17 ratio and the absolute difference between unity and  $\omega$  (i.e.,  $|\omega-1|$ ) can exacerbate prediction  
18 error if the conventional simplified methods are used.

19

20 *Keywords:* Spectral method, non-linear consolidation, vertical-radial drainage, multilayered  
21 soil, vertical drains.

## 22 **1. Introduction**

23 The use of vertical drains (i.e., prefabricated vertical drains PVDs) combined with preloading  
24 to accelerate the consolidation process of soft soils is one of the most common soil  
25 improvement methods around the world [1–4]. In this method, the drainage path is  
26 substantially shortened through the radial drainage induced by the drains so that the  
27 dissipation of excess pore water pressure (EPWP) becomes much faster. The radial  
28 consolidation theories were developed extensively in the past decades, resulting in various  
29 models capturing different aspects of loading, drain and soil behaviours over time and space.  
30 The following sections provides a critical review into the novelty of various theories while  
31 highlighting their limitations.

32 Figure 1 features most significant theoretical studies on radial consolidation. The most  
33 original close-form solution for ideal vertical drains was originally proposed by Barron [5].  
34 Richart [6] compared the two assumptions of free strain and equal strain proposed by Barron  
35 [5] and found that the results obtained by the above two assumptions are almost the same.  
36 Berry and Wilkinson [7] and Yoshikuni and Nakanodo [8] incorporated the smear and well  
37 resistance effects for the first time. Afterward, Hansbo [9] proposed a solution that can  
38 combine both the effects of smear zone and well resistance based on the assumption of equal  
39 strain. Since then, numerous attempts were made to improve the consolidation models  
40 especially addressing the smear and well resistance effects [10–13]. The salient features of  
41 those models can be highlighted as follows:

- 42 (i) Characterization of smear zone [14–22];
- 43 (ii) Time- and depth-dependent discharge capacity [23–29];
- 44 (iii) Time-dependent preloading [29–40];
- 45 (iv) Non-Darcian flow [41–45];
- 46 (v) Vacuum preloading [1, 37, 45–55]; and

47 (vi) Multilayered condition[19, 29, 36, 37, 39, 53, 56–61].

48 Note that the above features can be combined to provide improved predictions. However,  
49 most of them were based on simplified assumptions of constant soil compressibility and  
50 permeability during consolidation.

51 It is well understood that when the stress range (difference between initial and final  
52 effective stress) becomes large, both soil compressibility and permeability vary with the void  
53 ratio during the consolidation process [62–64], especially in soft clays. Some radial  
54 consolidation models considering these non-linear variations were proposed. For example,  
55 Lekha et al. [65] and Indraratna et al. [66] obtained an analytical solution for the non-linear  
56 radial consolidation by simplifying the differential equation. Walker et al. [44] proposed an  
57 analytical solution that can combine the non-Darcian flow with both non-linear  
58 compressibility and permeability. Using the similar approach, Lu et al. [40] and Kim et al.  
59 [67] derived the solutions under time-dependent loading. Tian et al. [68] obtained an  
60 analytical solution based on elliptical cylindrical equivalent model. It is noteworthy that these  
61 non-linear models can only consider radial drainage while ignoring the vertical flow when the  
62 length of vertical drains is relatively large compared to drain spacing. In shallow soft soil  
63 under railways where short vertical drains are used (e.g., PVDs with 8 m length and 2.5 m  
64 spacing were used in Sandgate railway, NSW reported by Indraratna et al. [69]), the coupled  
65 vertical-horizontal drainage analysis is pertinent as the vertical drainage can contribute  
66 significantly to the overall consolidation. While the method proposed by Carrillo [70] (i.e.,  
67 Approach 1 in Fig. 2) can be adopted, this approach is only applicable when soil  
68 compressibility and permeability are constant. Although recent efforts overcame this  
69 limitation [47, 54, 71–74], some approximations or simplifications were required (e.g., single  
70 soil layer,  $C_c = C_k$  as shown in Approach 2 in Fig. 2).

71 As the sedimentary history and stress conditions of soil can vary significantly in the field,

72 most soft soils are rarely homogeneous and usually consist of several layers[75]. However,  
73 previous non-linear consolidation models show limited capacity in capturing the influence of  
74 adjacent soil layers because they strictly rely on specific loading and stress distribution  
75 patterns. This study, therefore, aims to overcome the above limitations in previous studies [29,  
76 36, 37, 39] by considering the non-linear compressibility and permeability based on the  
77 spectral method framework, so that a more realistic and rigorous solution for the PVD-  
78 assisted soil consolidation can be achieved. In this paper, the spectral method is adopted to  
79 solve the governing equations, and subsequently, the model is verified against the  
80 experimental and field data in comparison with previous simplified solutions. Finally, the  
81 applicability and threshold limits of the past and the current solutions are discussed and  
82 evaluated.

## 83 **2. Limitations of existing models**

84 This section firstly details the limitations of existing mathematical solutions, followed by the  
85 objectives and innovations of the current study. The logarithmic models ( $e$ -log  $\sigma'$  and  $e$ -log  $k$ )  
86 are commonly used to represent the variations of soil compressibility and permeability with  
87 void ratio, which can be represented by [62]:

$$e = \begin{cases} e_0 - C_r \log\left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right) & \text{for } \bar{\sigma}' \leq \bar{\sigma}'_p \\ e_0 - C_r \log\left(\frac{\bar{\sigma}'_p}{\bar{\sigma}'_0}\right) - C_c \log\left(\frac{\bar{\sigma}'}{\bar{\sigma}'_p}\right) & \text{for } \bar{\sigma}'_p < \bar{\sigma}' \end{cases} \quad (1)$$

$$e = e_0 + C_{kh} \log\left(\frac{k_h}{k_{h0}}\right) \quad (2)$$

$$e = e_0 + C_{kv} \log \left( \frac{k_v}{k_{v0}} \right) \quad (3)$$

88 where  $e$  is the void ratio while the subscript 0 denotes the initial state;  $C_c$ ,  $C_r$ ,  $C_{kh}$ ,  $C_{kv}$  are the  
 89 compression index, the recompression index, the radial permeability index and the vertical  
 90 permeability index, respectively;  $\bar{\sigma}'_0$ ,  $\bar{\sigma}'_p$  and  $\bar{\sigma}'$  are the initial effective stress, the yield  
 91 stress (effective preconsolidation pressure) and the average effective stress, respectively;  $k_h$   
 92 and  $k_v$  are the radial and vertical permeability coefficients of the undisturbed soil,  
 93 respectively.

94 From Eqs. (1)-(3), the following relationships between effective stress and permeability  
 95 and compressibility are obtained:

$$\frac{k_h}{k_{h0}} = \begin{cases} \left( \frac{\bar{\sigma}'}{\bar{\sigma}'_0} \right)^{-\frac{C_r}{C_{kh}}} & \text{for } \bar{\sigma}' \leq \bar{\sigma}'_p \\ \left( \frac{\bar{\sigma}'_p}{\bar{\sigma}'_0} \right)^{-\frac{C_c - C_r}{C_{kh}}} \left( \frac{\bar{\sigma}'}{\bar{\sigma}'_0} \right)^{-\frac{C_c}{C_{kh}}} & \text{for } \bar{\sigma}'_p < \bar{\sigma}' \end{cases} \quad (4)$$

$$\frac{k_v}{k_{v0}} = \begin{cases} \left( \frac{\bar{\sigma}'}{\bar{\sigma}'_0} \right)^{-\frac{C_r}{C_{kv}}} & \text{for } \bar{\sigma}' \leq \bar{\sigma}'_p \\ \left( \frac{\bar{\sigma}'_p}{\bar{\sigma}'_0} \right)^{-\frac{C_c - C_r}{C_{kv}}} \left( \frac{\bar{\sigma}'}{\bar{\sigma}'_0} \right)^{-\frac{C_c}{C_{kv}}} & \text{for } \bar{\sigma}'_p < \bar{\sigma}' \end{cases} \quad (5)$$

$$m_v = -\frac{1}{(1+e_0)} \frac{\partial e}{\partial \bar{\sigma}'} = \begin{cases} \frac{C_r}{\bar{\sigma}'_0 (1+e_0) \ln 10} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} & \text{for } \bar{\sigma}' \leq \bar{\sigma}'_p \\ \frac{C_c}{\bar{\sigma}'_0 (1+e_0) \ln 10} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} & \text{for } \bar{\sigma}'_p < \bar{\sigma}' \end{cases} \quad (6)$$

96 The following parameters are now introduced and defined as:

$$A_h = 1; B_h = -\frac{C_r}{C_{kh}}; A_v = 1; B_v = -\frac{C_r}{C_{kv}}; m_{v0} = \frac{C_r}{\bar{\sigma}'_0(1+e_0)\ln 10} \quad \text{for } \bar{\sigma}' \leq \bar{\sigma}'_p$$

$$A_h = \left(\frac{\bar{\sigma}'_p}{\bar{\sigma}'_0}\right)^{\frac{C_c-C_r}{C_{kh}}}; B_h = -\frac{C_c}{C_{kh}}; A_v = \left(\frac{\bar{\sigma}'_p}{\bar{\sigma}'_0}\right)^{\frac{C_c-C_r}{C_{kv}}}; B_v = -\frac{C_c}{C_{kv}}; m_{v0} = \frac{C_c}{\bar{\sigma}'_0(1+e_0)\ln 10} \quad \text{for } \bar{\sigma}'_p < \bar{\sigma}'$$

98

99 Then the radial and vertical consolidation coefficients can be expressed as:

$$C_h = \frac{k_h}{\gamma_w m_v} = A_h \frac{k_{h0}}{\gamma_w m_{v0}} \left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right)^{B_h+1} \quad (7)$$

$$C_v = \frac{k_v}{\gamma_w m_v} = A_v \frac{k_{v0}}{\gamma_w m_{v0}} \left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right)^{B_v+1} \quad (8)$$

100 The above expressions (i.e., Eqs. (4)-(8)) show how the compression and permeability of  
 101 soil would change due to the reduced void ratio during consolidation. Due to the complexity  
 102 in solving the consolidation governing equations, some studies [71–73] assumed that  $B_h = B_v$   
 103 = -1 based on the field situation, where the compression  $C_c$  is very close to the permeability  
 104 indices ( $C_{kv}$  or  $C_{kh}$ ), while the others (summarised in Table 1) have obtained simplified  
 105 analytical solutions based on the following assumptions:

106 (1) Simplified Method A: use an average value to represent the ratio of the effective stress  
 107 to the initial effective stress (i.e.,  $\bar{\sigma}'/\bar{\sigma}'_0$  in Eqs. (7) and (8)) during the consolidation  
 108 process, i.e.,  $\bar{\sigma}'/\bar{\sigma}'_0 = (\bar{\sigma}'_0 + q(t) - \bar{u})/\bar{\sigma}'_0 = 0.5 \left[ 1 + \left( 1 + q_{max}/\bar{\sigma}'_0 \right) \right]$ , where  $q(t)$ ,  $q_{max}$  and  
 109  $\bar{u}$  are the time-dependent loading, the final level of loading and EPWP, respectively [40,  
 110 47, 74];

111 (2) Simplified Method B: use the average values to represent the varying consolidation  
 112 coefficients, which are the non-linear coefficient terms in the governing equation, i.e.,

113 
$$\left[ \frac{(\bar{\sigma}'_0 + q(t) - \bar{u})}{\bar{\sigma}'_0} \right]^{B_h \text{ (or } B_v) + 1} = 0.5 \left[ 1 + \left( 1 + \frac{q_{max}}{\bar{\sigma}'_0} \right)^{B_h \text{ (or } B_v) + 1} \right] [54, 66, 67, 76-78].$$

114 Table 1 lists the capabilities and assumptions of some significant non-linear  
 115 consolidation models. It can be seen from Table 1 that the main limitations of previous non-  
 116 linear consolidation models are as follows:

117 (a) Although Simplified Methods A and B based on Assumptions (1) and (2) adopt the  
 118 void-ratio-stress relationship (Eq. (1)) for settlement and EPWP calculations, these  
 119 two assumptions make the consolidation coefficients (i.e., the coefficient terms of  
 120 the consolidation governing equation) constant. This means the non-linear behaviour  
 121 is not included in the dissipation equation of EPWP properly [40, 47, 54, 66, 67, 74,  
 122 76-78].

123 (b) Simplified Methods A and B directly adopt these assumptions to linearize the non-  
 124 linear coefficient terms of the governing equation. The validity and associated  
 125 threshold have not been established. In other words, the acceptance range of error  
 126 caused by the simplified assumptions has not been evaluated. It is necessary to  
 127 evaluate the errors induced by simplified assumptions that help understand the  
 128 validity of Simplified Methods A and B, and thus determine the appropriate range of  
 129 soil parameters [40, 47, 54, 66, 67, 74, 76-78].

130 (c) Although some of the non-linear consolidation models can consider coupled radial-  
 131 vertical drainage, they can only consider a single layer of soil while changes in soil  
 132 parameters and stress distribution along the depth are neglected [47, 54, 74].

133 In view of the above, the objectives of this study are to provide a more general non-  
 134 linear consolidation model which can consider the following factors:

- 135 (i) Coupled vertical-radial drainage;
- 136 (ii) Non-linear permeability and compressibility during consolidation process;



- 137 (iii) Multilayered condition;  
138 (iv) Time-dependent loading;  
139 (v) Over-consolidated and normally consolidated state.

140 The key advantages of the current approaches are shown in Fig. 2 in comparison with  
141 conventional methods.

### 142 **3. Theoretical Formulation**

#### 143 **3.1 Basic assumptions**

144 The following basic assumptions in this study were adopted while developing the mathematic  
145 model.

- 146 (a) The soil particles and water are incompressible. The non-linear relationships between  
147 void ratio with permeability and effective stress during consolidation are shown in Eqs. (2)  
148 and (3).
- 149 (b) The compressibility and the vertical permeability coefficients in the smear and  
150 undisturbed zones are assumed to be the same. The horizontal permeability coefficient in  
151 the smear zone is constant distribution and the ratio of horizontal permeability  
152 coefficients outside and in the smear zone is constant during consolidation. The size of  
153 the smear zone is constant throughout the depth.
- 154 (c) The initial effective stress, the pre-consolidation pressure, the vertical stress, and  
155 associated parameters for a given  $l^{\text{th}}$  layer of soil with relatively small thickness are  
156 assumed to be constant, but they change with depth as shown in Fig. 3.
- 157 (d) The soil is assumed to be fully saturated, and the velocity of pore water flow is governed  
158 by Darcy's law. Although the EPWP varies in the radial direction, the average EPWP  
159 along the radial direction is used at a given depth to combined with flow in the vertical  
160 direction as shown in Eq. (9), following the approach proposed by Tang and Onitsuka

161 [30].

162 (e) Strains only occur in the vertical direction, which are equal at a given depth along the  
163 radial direction (equal strain condition).

### 164 3.2 Governing differential equations

165 The unit cell for a multilayered soil with a vertical drain is shown in Fig. 3. The governing  
166 differential equation for soil consolidation, while considering vertical and radial drainage, can  
167 be given by (see Appendix A.1 for derivation):

$$\frac{2k_{h0}A_h}{\gamma_w r_e^2 \mu} \left( \frac{\bar{\sigma}'}{\bar{\sigma}'_0} \right)^{B_h} \bar{u} - \frac{k_{v0}A_v}{\gamma_w H^2} \left( \frac{\bar{\sigma}'}{\bar{\sigma}'_0} \right)^{B_v} \frac{\partial^2 \bar{u}}{\partial Z^2} = m_{v0} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} \frac{\partial \bar{\sigma}'}{\partial t} \quad (9)$$

168 where  $\bar{u}$  is the average EPWP at a particular depth;  $t$  is the time;  $H$  is the total depth of soil;  
169  $Z$  is the normalized depth, i.e.,  $Z=z/H$  in which  $z$  is the depth;  $\gamma_w$  is the unit weight of  
170 water;  $m_{v0}$  is the initial volume compressibility, and it can be calculated by  
171  $m_{v0}=C_c/\left[\bar{\sigma}'_0(1+e_0)\ln 10\right]$  when  $\bar{\sigma}'_p < \bar{\sigma}'$  or  $m_{v0}=C_r/\left[\bar{\sigma}'_0(1+e_0)\ln 10\right]$  when  $\bar{\sigma}' \leq \bar{\sigma}'_p$ ;  $\mu$  is  
172 the dimensionless parameter, which is computed based on the permeability variation of soil  
173 within the smear zone, the radial geometry of the drain. Detailed calculation of  $\mu$  can be  
174 referred to the previous studies, e.g., Walker and Indraratna [37], Lu et al.[74] and Nguyen  
175 [28].

176 Given a time-dependent loading  $q(t)$ , the effective stress can be determined by:

$$\bar{\sigma}' = \bar{\sigma}'_0 + q(t) - \bar{u} \quad (10)$$

177 By defining  $C_{h0} = k_{h0}/\gamma_w m_{v0}$ ,  $C_{v0} = k_{v0}/\gamma_w m_{v0}$ ,  $dT_{h0} = 2C_{h0}/r_e^2 \mu$ ,  $dT_{v0} = C_{v0}/H^2$ , the  
178 governing equation can be rewritten as:

$$dT_{h0}A_h \left( 1 + \frac{q(t) - \bar{u}}{\bar{\sigma}'_0} \right)^{B_h+1} \bar{u} = dT_{v0}A_v \left( 1 + \frac{q(t) - \bar{u}}{\bar{\sigma}'_0} \right)^{B_v+1} \frac{\partial^2 \bar{u}}{\partial Z^2} + \left( \frac{\partial q(t)}{\partial t} - \frac{\partial \bar{u}}{\partial t} \right) \quad (11)$$

179 It can be seen from Eq. (11) that when vertical drainage is not considered and  $q(t)$   
180 becomes the instantaneous loading, the above equation turns into the non-linear radial  
181 consolidation model of Walker et al. [44] without considering non-Darcian flow. If the above  
182 non-linear term is further replaced by the average value (i.e.,  
183  $\left[ \left( \bar{\sigma}'_0 + q(t) - \bar{u} \right) / \bar{\sigma}'_0 \right]^{B_h+1} = 0.5 \left[ 1 + \left( 1 + q_{max} / \bar{\sigma}'_0 \right)^{B_h+1} \right]$ ), it becomes the non-linear radial  
184 consolidation model by Indraratna et al. [66]. Furthermore, when  $B_h = 1$  (the slopes of  $e$ -log  
185  $\sigma'$  and  $e$ -log  $k$  are the same, the above governing equation becomes the same as that by  
186 Hansbo [9].

### 187 3.3 Advanced features of spectral method

188 Spectral method is one of the very advanced mathematical techniques for facilitating  
189 numerical solution of even complex partial differential equations (PDEs). It evolved after the  
190 common numerical category of finite element method (FEM) and finite difference method  
191 (FDM) whose the accuracy depends on the size of the subdomain.[79]. The spectral method  
192 is based on global basis functions (high-order polynomial or trigonometric functions).  
193 Compared with the numerical methods such as FDM and FEM, the spectral method has the  
194 following advantages when the geometry of the problem is fairly smooth and regular (e.g.,  
195 consolidation) [80, 81]: (1) high calculation accuracy; (2) memory-minimizing and  
196 computational efficiency; and (3) high stability. Therefore, the method can capture the  
197 transition of variables over time and space such as stress, EPWP and soil properties. It was  
198 adopted in the current study to solve the complex governing equation incorporating the  
199 variation of multiple soil properties during consolidation. When the pore pressure profile

200 changes sharply, oscillations may occur near steep fronts, which is called the Gibbs  
 201 phenomenon. The Gibbs phenomenon can be reduced or eliminated by increasing the series  
 202 of  $N$  term. Therefore, more series terms are required when modelling sharp changes in the  
 203 pore pressure profile.

### 204 **3.4 Solutions based on the spectral method**

205 For the spectral method, the EPWP  $\bar{u}(Z,t)$  is expressed as a truncated series of  $N$  terms,  
 206 which can be expressed in matrix form as follows [29, 36, 37, 39]:

$$\bar{u}(Z,t) \approx \sum_{j=1}^N \Phi_j(Z) A_j(t) = \Phi A \quad (12)$$

207 where  $\Phi_j$  are known basis functions and  $A_j$  are expansion coefficients which can vary with  
 208 time, and

$$\Phi = [\Phi_1 \quad \Phi_2 \quad \dots \quad \Phi_N] \quad (13)$$

$$A^T = [A_1 \quad A_2 \quad \dots \quad A_N] \quad (14)$$

209 The choice of the basis functions needs to satisfy the boundary conditions of governing  
 210 equation [80]. The pervious top-pervious bottom (PTPB) and the pervious top-impervious  
 211 bottom (PTIB) boundary conditions are given respectively by:

$$\bar{u}(0,t) = 0 \quad \text{and} \quad \bar{u}(H,t) = 0 \quad (15)$$

$$\bar{u}(0,t) = 0 \quad \text{and} \quad \partial \bar{u}(H,t) / \partial Z = 0 \quad (16)$$

212 With respect to these boundary conditions (Eqs. (15) and (16)), the appropriate choice of  
 213 basis function can be given by:

$$\Phi_j(Z) = \sin(M_j Z) \quad (17)$$

214 where  $M_j$

$$M_j = \begin{cases} j\pi & \text{for } PTPB \\ \pi(2j-1)/2 & \text{for } PTIB \end{cases} \quad (18)$$

215 Note that in the current study, the material properties such as permeability and  
 216 compressibility vary with void ratio and the effective stress, resulting in the complexity to  
 217 obtain an exact solution through the spectral method. Therefore, the current study proposes a  
 218 numerical approach where the consolidation process is divided into a discrete number of time  
 219 steps (Fig. 4). During each time step, the material parameters are assumed to be constant, but  
 220 they are then re-computed and updated in the next time step based on Eqs. (6)-(8). By  
 221 updating the material properties at each time step and combining the weighted residual  
 222 method (WRM),  $A(t)$  can be obtained using Eq. (19), thereby the EPWP at a given depth  
 223 and time can be obtained in a matrix form (see Appendix A.2 for derivation):

$$A(t) = e^{-\int_0^t \Gamma^{-1} \Psi dt} \left( \int_0^t e^{\int_{-\infty}^{\tau} \Gamma^{-1} \Psi dt} \Gamma^{-1} I d\tau \right) \quad (19)$$

$$\bar{u}(Z, t) = \Phi e^{-\int_0^t \Gamma^{-1} \Psi dt} \int_0^t e^{\int_{-\infty}^{\tau} \Gamma^{-1} \Psi dt} \Gamma^{-1} I d\tau \quad (20)$$

224 where the elements of matrices  $\Gamma$ ,  $I$  and  $\Psi$  incorporate the loading patterns and material  
 225 parameters of every soil layer. The detailed expressions of these elements can be found in the  
 226 Appendix A.2, Eqs. (A. 14)-**Error! Reference source not found.** Figure 4 is the flow chart  
 227 showing the detailed implementation of the proposed model. Note that the interval of time  
 228 step affects the accuracy of the updated soil parameters for the next time step.

229 Since the EPWP at a given depth is expressed as a function of depth and time as shown

230 in Eq. (20), the average pore water pressure  $\bar{u}_{avg}(Z_l, Z_{l+1}, t)$  and settlement  $S(Z_l, Z_{l+1}, t)$  in  
 231 the  $l^{\text{th}}$  layer (between depths  $Z_l$  and  $Z_{l+1}$ ) can be calculated, respectively by:

$$\bar{u}_{avg}(Z_l, Z_{l+1}, t) = \frac{\int_{Z_l}^{Z_{l+1}} \Phi dZ}{Z_{l+1} - Z_l} A(t) \quad (21)$$

$$S(Z_l, Z_{l+1}, t) = \begin{cases} \frac{C_r^l H}{1 + e_0^l} \int_{Z_l}^{Z_{l+1}} \log\left(\frac{\bar{\sigma}^{l'}}{\bar{\sigma}_0^{l'}}\right) dZ & \text{for } \bar{\sigma}^{l'} \leq \bar{\sigma}_p^{l'} \\ \frac{C_r^l H}{1 + e_0^l} \int_{Z_l}^{Z_{l+1}} \log\left(\frac{\bar{\sigma}_p^{l'}}{\bar{\sigma}_0^{l'}}\right) dZ + \frac{C_c^l H}{1 + e_0^l} \int_{Z_l}^{Z_{l+1}} \log\left(\frac{\bar{\sigma}^{l'}}{\bar{\sigma}_p^{l'}}\right) dZ & \text{for } \bar{\sigma}_p^{l'} < \bar{\sigma}^{l'} \end{cases} \quad (22)$$

232 The overall average degrees of consolidation for the multilayered soil defined by the excess  
 233 pore pressure and settlement can, respectively, be obtained by:

$$U_p = \frac{\sum_{l=1}^m [q(t) K_s^l - \bar{u}_{avg}(Z_l, Z_{l+1}, t)]}{\sum_{l=1}^m [q_{max} K_s^l]} \quad (23)$$

$$U_s = \frac{\sum_{l=1}^m S(Z_l, Z_{l+1}, t)}{\sum_{l=1}^m S(Z_l, Z_{l+1}, \infty)} \quad (24)$$

234 where  $Z_l$  and  $Z_{l+1}$  denote the normalised depth at the bottom and top of the  $l^{\text{th}}$  layer,  
 235 respectively;  $K_s^l$  is the stress influence factor in the  $l^{\text{th}}$  layer;  $S(Z_l, Z_{l+1}, \infty)$  is the final  
 236 settlement in the  $l^{\text{th}}$  layer. The superscript  $l$  represents the value of the corresponding  
 237 parameter or variable at the  $l^{\text{th}}$  layer.

### 238 3.5 Continuity conditions at the soil interface

239 In the solution of the spectral method, the average EPWP  $\bar{u}(Z, t)$  is expressed as a truncated  
 240 series of  $N$  terms, and the sine functions were selected as the basis functions. Therefore, the

241 value of the average EPWP and its derivative in the soil at any position are continuous.

242 First, the continuous condition of EPWP at the interface between two adjacent layers ( $l^{\text{th}}$   
243 and  $l+1^{\text{th}}$  layer) can be satisfied:

$$\bar{u}(l)\Big|_{z_i} = \bar{u}(l+1)\Big|_{z_i} \quad (25)$$

244 In addition, since the soil property of a certain soil layer are assumed to be constant in  
245 this study, an interface (i.e., dummy) layer with a thickness of zero is set between two  
246 adjacent layers, as shown in in Fig. 3. The soil parameters are assumed to be linearly  
247 distributed in the interface layer, so the continuous condition of flow rate can also be satisfied  
248 between two adjacent layers ( $l^{\text{th}}$  and  $l+1^{\text{th}}$  layer), i.e.,

$$k_v^l \frac{\partial \bar{u}(l)}{\partial Z} \Big|_{z=z_i} = k_v^{l+1} \frac{\partial \bar{u}(l+1)}{\partial Z} \Big|_{z=z_i} \quad (26)$$

249 It is noteworthy that the distribution made by the dummy layer to  $\Gamma_{ij}$  and  $I_i$  is zero, and the  
250 distribution made by the interface layer between two adjacent layers ( $l^{\text{th}}$  and  $l+1^{\text{th}}$  layer) to  
251  $\Psi_{ij}$  can be found in Eq. **Error! Reference source not found..**

#### 252 **4. Model verification**

253 To verify this proposed model, the mathematical formulation presented above is applied to  
254 the following laboratory and field studies:

- 255 1. Radial consolidation of single soil layer by single-instantaneous loading [44, 66];
- 256 2. Vertical and radial consolidation of multilayered soil by multi-ramp loading [82, 83];

257 The calculation of the dimensionless drain parameter  $\mu$  is based on the assumption of a smear  
258 zone with constant reduced permeability [9].

## 259 4.1 Laboratory tests

260 Two laboratory studies [44, 66] were used to verify the proposed model. The physical size of  
261 the consolidation apparatus was 450 mm in diameter by 950 mm high, and the reconstituted  
262 alluvial clay from Moruya (New South Wales) was used. For these tests (normal  
263 consolidation range), the initial pre-consolidation pressures  $\bar{\sigma}'_0$  of the soil were 20 kPa and 50  
264 kPa with the loading increments in these two studies were 30 kPa and 50 kPa, respectively  
265 (i.e.,  $\Delta p = 30, 50$  kPa). The detailed testing procedure can be found in Walker et al. [44]. The  
266 soil parameters and drain properties are shown in Table 2. Note that as the drain was  
267 relatively short, the well resistance effect was neglected in the calculation of  $\mu$ .

268 The degree of consolidation based on the settlement was obtained using Eq. (24). The  
269 accuracy of the calculation is determined by the selection of the truncated series  $N$ , as shown  
270 in Eq. (12). An investigation on the convergence was carried out especially addressing the  
271 effects of the numbers of the truncated series  $N$  through these two laboratory tests, the results  
272 are shown in Fig. 5. It shows that  $N = 50$  are sufficient for a single soil layer with an error  $<$   
273 0.5% for calculating EPWP. In addition, Fig. 5(b) shows the “exponential” convergence of  
274 spectral method with  $N$ . The relationship between  $N$  and error  $\delta$  can be expressed as  $\log(N) =$   
275  $a + b \log(\delta)$ , where  $a$  and  $b$  are the coefficients. In practical applications, the selection of  $N$   
276 depends on the complexity of the problem (e.g., the number of soil layers and the differences  
277 in parameters between soil layers) and the required accuracy of computation. When the  
278 number of soil layers is large or the soil parameters differ greatly, the value of  $N$  should be  
279 larger to improve the calculation accuracy and eliminate the influence of the Gibbs  
280 phenomenon [80, 81]. The appropriate truncation series  $N$  can be selected according to the  
281 calculation accuracy requirements. For example, if the number of digit accuracy ( $p$ ) is  
282 required, the truncated series  $N$  can be selected based on the relationship of  $N = 10^{a+b\log(10^{-p})}$   
283 in this case. In these two tests, only radial drainage was allowed, so  $dT_{v0}$  was set as 0. The



284 results were compared with the laboratory data and the analytical solutions presented by  
285 Indraratna et al. [66], Walker et al. [44] and Lu et al. [40], as shown in Fig. 6(a). Note that the  
286 model of Walker et al. [44] is a closed-form analytical solution, while the models of Lu et al.  
287 [40] and Indraratna et al. [66] are simplified analytical solutions based on Simplified Methods  
288 A and B, respectively. Fig. 6 shows that the results calculated by the proposed method are  
289 very close to the experimental results and analytical solution of Walker et al. [44]. Indeed,  
290 Fig. 6(b) and (c) show that the largest deviations between the proposed solution and  
291 measured data and analytical solutions [44] in Test 1 and Test 2 are less than 4.6% and 0.6%,  
292 respectively. The difference between the calculation results of the proposed method and the  
293 analytical solution of Walker et al [44] is caused by the insufficient value of truncation series  
294  $N$ . When the value of  $N$  increases, the result predicted by the proposed method becomes more  
295 accurate and closer to the analytical solution. However, the results from the models of Lu et  
296 al. [40] and Indraratna et al. [66] deviate from accuracy, especially in the early stage of  
297 consolidation. This is because the average consolidation coefficients have been in these two  
298 models, which overestimate the actual consolidation coefficient during the early stage, as  
299 shown in Fig. 6(d) and (e).

#### 300 **4.2 Hangzhou–Ningbo (HN) Expressway, China**

301 The test embankment using PVDs at Hangzhou–Ningbo (HN) Expressway was reported by  
302 Chai et al. [82] and Shen et al. [83]. The HN Expressway was located at the southern coast of  
303 Hangzhou Bay, China. The thickness of the soft layers was about 23 m. The top crust was  
304 considered to be in lightly over-consolidated state with an over-consolidation ratio (OCR) of  
305 about 5, and the deeper layers were in the normally consolidated state. The soil profile and  
306 soil parameters used in this study provided by Chai et al. [82] are shown in Table 3. The stage  
307 loading process is shown in Fig. 7(a). The final fill height for the surcharge preloading was  
308 5.88 m and the unit weight of the fill material was  $20 \text{ kN/m}^3$ . As suggested by Tavenas et al.

309 [62], the permeability indices in this study were calculated by  $C_{kh} = C_{hv} = 0.5e_0$ . Parameters  
310 related to the vertical drain are as follows: (a) the geometrical parameters  $d_e = 1.580$  m,  $d_s =$   
311  $0.355$  m,  $d_w = 0.053$  m,  $n = r_e/r_w = 29.8$ ,  $s = r_s/r_w = 6.7$ , and  $l = 19.0$  m; (b) the permeability  
312 ratio  $(k_h/k_s) = 13.8$ ; and (c) the discharge capacity  $(q_w)$   $100$  m<sup>3</sup>/year.

313 The surface settlement and EPWPs were calculated using Eqs. (19)-(22) with 100 series  
314 terms ( $N$ ) and the results are shown in Fig. 7(b) and Fig. 8 in comparison with the measured  
315 data and the predictions using previous models [36, 40, 67]. Note that the model of Walker et  
316 al. [36] is the conventional linear consolidation model for multilayered soil with coupled  
317 vertical-radial drainage, the initial permeability coefficients ( $k_{h0}$  and  $k_{v0}$ ) and compressibility  
318 coefficient ( $m_v$ ) were adopted in the linear consolidation model, as shown in Table 3. The  
319 settlements predicted by the model of Walker et al. [36] overestimate the the field data due to  
320 the inability to consider the non-linear behaviour of the soil. The models of Lu et al. [40] and  
321 Kim et al. [67] are analytical solutions of radial consolidation (i.e., only radial drainage)  
322 based on the Simplified Methods A and B respectively, which can be considered as the  
323 piecewise solutions in which the soil parameters and stress conditions are the corresponding  
324 values at the mid-point of each layer. Since the ratios between the compression and  
325 permeability indices of the main compression soil layer (e.g., 4.8-19 m) are close to 1 in this  
326 case (see Table 3), the settlements predicted by the models of Lu et al. [40] and Kim et al. [67]  
327 are very similar. However, since the non-linear vertical permeability are not included in these  
328 two models, their predicted settlements underestimate the field data. The proposed method  
329 which incorporates both non-linear vertical and radial permeability provides better prediction.  
330 For example, the difference between the predicted and measured settlement at 400 days  
331 significantly decreases to about 10 mm (0.58%) using the proposed model, where the errors  
332 in the analyses of Walker et al. [36], Lu et al. [40] and Kim et al. [67] are 71mm (4.11%), 82  
333 mm (4.73%) and 87 mm (5.02%), respectively.

334 Fig. 8 compares the predicted EPWPs by the current proposed solution with the  
335 measured data and the results obtained by the methods of Walker et al. [36], Lu et al. [40]  
336 and Kim et al. [67] at three different depths (i.e.,  $z = -2.0$  m,  $z = -10.0$  m and  $z = -14.05$  m).  
337 The EPWPs predicted by the model of Walker et al. [36] overestimate the dissipation rate of  
338 EPWPs at all depths, as this model cannot consider the non-linear behaviours of the soil.  
339 Generally, the results by the proposed method are closer to the field data compared to other  
340 models, especially in shallow soil, i.e., at depth of 2 m. For example, at 200 days, the error of  
341 30 kPa in previous models is reduced to be less than 3 kPa by the proposed model. At greater  
342 depths (i.e.,  $z = -10.0$  m and  $z = -14.05$  m), the ratios between the compression and  
343 permeability indices ( $C_c/C_{kh}$  and  $C_c/C_{kv}$ ) are close to 1, and the soil consolidation is  
344 predominantly governed by the radial drainage. Therefore, the EPWPs predicted by models  
345 of Lu et al. [40] and Kim et al. [67], approach closer to the field data and the current model,  
346 as shown in Fig. 8(b) and (c). Note that all the predicted EPWPs dissipate completely after  
347 800 days while the measured EPWPs gradually change after 600 days. For example, the  
348 measured EPWP at 2 m depth remains almost unchanged at about 10 kPa until the end of  
349 observation. This residual EPWP could be attributed to the effect of rising groundwater level  
350 after 600 days.

351 Fig. 9 shows the distribution of EPWP along the depth at 100, 200 and 400 days. In  
352 general, the isochrones of EPWP are in good agreement with the measured data. In fact, the  
353 predicted curves present very well the smooth transition in EPWP over different soil layers,  
354 provided appropriate value of  $N$ . This shows that the proposed model based on the spectral  
355 method can be well applied to the nonlinear consolidation calculation of multilayered  
356 foundations.

357 The above verifications prove that the current consolidation model based on spectral  
358 method can improve the prediction significantly especially at shallow layers where the

359 vertical drainage can contribute considerably to the overall soil consolidation. The proposed  
 360 solution is suitable for analysing vertical and radial consolidation to capture more realistic  
 361 conditions such as multilayered soils and time-dependent loading associated with non-linear  
 362 behaviours of compressibility and permeability.

### 363 **5. Assessment of past and current non-linear consolidation solutions**

364 As discussed earlier, the simplified analytical solutions for non-linear consolidation can be  
 365 obtained based on certain assumptions for simplicity. While for previous models based on  
 366 Assumption (1) (i.e., assuming that  $(\bar{\sigma}'/\bar{\sigma}'_0)^{B_h(or B_v)+1} = \{0.5[1 + (1 + q_{max}/\bar{\sigma}'_0)]\}^{B_h(or B_v)+1}$ ) and  
 367 Assumption (2) (i.e., assuming that  $(\bar{\sigma}'/\bar{\sigma}'_0)^{B_h(or B_v)+1} = 0.5[1 + (1 + q_{max}/\bar{\sigma}'_0)^{B_h(or B_v)+1}]$ ), the  
 368 limitation of these two assumptions has not been investigated. Indeed, the values of the non-  
 369 linear coefficient terms  $(\bar{\sigma}'/\bar{\sigma}'_0)^{B_h(or B_v)+1}$  are mainly determined by the ratios  $B_h$  (or  $B_v$ ) (i.e., -  
 370  $C_c/C_{kh}$  or  $-C_c/C_{kv}$ ) and  $\bar{\sigma}'/\bar{\sigma}'_0$ . It can be seen from Fig. 10(a) that when the compression index  
 371 ( $C_c$ ) is not equal to the permeability indexes ( $C_{kv}$  or  $C_{kh}$ ), the non-linear coefficient term  
 372 changes significantly during the consolidation process (i.e.,  $\bar{\sigma}'/\bar{\sigma}'_0$  changes from 1 to  
 373  $(\bar{\sigma}'_0 + q_{max})/\bar{\sigma}'_0$ ). Moreover, Fig. 10(b) indicates that the non-linear coefficient term changes  
 374 more apparently with the increase in the effective stress ratio when  $C_c/C_{kh(or kv)}$  is less than 1.  
 375 For example, the coefficient term increases sharply towards 5 when  $C_c/C_{kh(or kv)} = 0.5$  and  
 376  $(\bar{\sigma}'_0 + q_{max})/\bar{\sigma}'_0 = 25$ .

377 Therefore, in this section, the consolidation responses based on the Simplified Methods  
 378 A and B have been obtained using the average value of  $(\bar{\sigma}'/\bar{\sigma}'_0)^{B_h(or B_v)+1}$  appeared in Eq. (A.  
 379 11), and compared with those using the proposed solution. Since the main influencing factors  
 380 of the non-linear coefficient terms are  $C_c/C_{kh(or kv)}$  and  $q_{max}/\bar{\sigma}'_0$ , the effects of these two ratios

381 are investigated through the parametric study. The well resistance is neglected, and the  
382 imposed drainage condition is the PTIB (impervious bottom) with an instantaneous loading.  
383 The single layer normally consolidated soil ( $\bar{\sigma}'_0 = \bar{\sigma}'_p$ ) is considered isotropic ( $C_{v0} = C_{h0}$ ,  $C_k =$   
384  $C_{kh} = C_{kv}$ ). The soil properties based on the Moruya clay (New South Wales) were assumed  
385 as follows: (i) the soil properties:  $C_{v0} = C_{h0} = 1.2 \times 10^{-3}$  m<sup>2</sup>/day,  $\bar{\sigma}'_0 = 20$  kPa,  $C_c = 0.3$ ,  $C_k =$   
386  $C_{kh} = C_{kv} = 0.45$ ,  $e_0 = 1$ ; (ii) the permeability ratio ( $k_h/k_s$ ) = 1.5; and (iii) the geometrical  
387 parameters of drains:  $r_e = 0.5$  m,  $r_s = 0.222$  m,  $r_w = 0.074$  m,  $n = r_e/r_w = 6.79$ ,  $s = r_s/r_w = 3.02$ ,  
388  $H = 5$  m,  $\mu = 1.718$ . Note that series terms in relation to  $N = 50$  were used in the analysis.

### 389 **5.1 Effect of the ratio between the compression and permeability indices ( $C_c/C_k$ )**

390 To study the impact of the ratio of  $C_c/C_k$  ( $\omega$ ), in the range of 0.5-2 was adopted in the analysis  
391 according to Berry and Wilkinson [7], the load increment ratio  $q_{max}/\bar{\sigma}'_0$  was set as 5. Fig. 11  
392 (where  $T_h = C_{h0}t/d_e^2$ ) shows the comparison between the proposed and simplified solutions  
393 for different  $\omega$ . Apparently,  $\omega$  has a great impact on the consolidation rate. It shows that  
394 given the same soil parameters and load conditions, the greater the value of  $\omega$ , the smaller the  
395 consolidation rate. This is because the consolidation coefficient decreases as  $\omega$  increases, as  
396 shown in Eqs. (7) and (8). It can also be seen that when  $\omega$  is greater than 1 (black and red  
397 lines), the results of the two simplified solutions are quite different from the results by the  
398 proposed method. This is because the consolidation coefficients of Simplified Methods are  
399 greater than the varying consolidation coefficient adopted in the proposed solution in the  
400 early stage, and smaller in the later stage, as shown in Fig. 11(c) (take  $\omega = 1.5$  as an example).  
401 This results in the consolidation rate being lower in the early stage and larger in the later  
402 stage for both Simplified Methods. When  $\omega$  is less than 1 (green lines), an opposite trend is  
403 observed. When  $\omega$  is equal to 1 (blue line), i.e., the non-linear terms (i.e.,  $(\bar{\sigma}'/\bar{\sigma}'_0)^{1-\omega}$ ) of all  
404 approaches are constant, all results obtained by all methods are the same, i.e., the solid,

405 dotted and dashed blue lines coincide. In general, Simplified Method A has a larger deviation  
406 in early stage, while Simplified Method B has a larger deviation in later stage for both  $U_s$  and  
407  $U_p$  with different values of  $\omega$ . This is caused by the magnitude of the difference between the  
408 average consolidation coefficients adopted by Simplified Methods and the variable  
409 consolidation coefficient used in the proposed method, which can be seen from Fig. 11(c) and  
410 (d).

## 411 **5.2 Effect of load increment ratio $q_{max}/\bar{\sigma}'_0$**

412 The load increment ratio  $q_{max}/\bar{\sigma}'_0$  ( $R$ ) is related to the applied preloading and the in-situ  
413 initial stress. The greater the load ( $q_{max}$ ) or the smaller of in-situ initial stress ( $\bar{\sigma}'_0$ ), the  
414 greater the load increment ratio  $R$ . To study the impact of load increment ratio ( $R$ ) in the  
415 range of 1-10, four different values of  $R$  were selected under the two cases of  $\omega = 1.5$  and  $\omega =$   
416  $0.5$ : (i)  $R = 1$ ; (ii)  $R = 4$ ; (iii)  $R = 7$ ; and (iv)  $R = 10$  (Fig. 12 and Fig. 13).

417 For  $\omega = 1.5$ , the increase in load increment ratio reduces the consolidation rate based on  
418 EPWP (i.e.,  $U_p$ ), as shown in Fig. 12. In contrast, the consolidation rate increases as  $R$   
419 increases when  $\omega = 0.5$  (see Fig. 13). This is because the consolidation coefficient decreases  
420 as  $R$  increases when  $\omega > 1$ , and increases with the increase of  $R$  when  $\omega < 1$ , as shown in Fig.  
421 10. It can also be seen that the results from the two simplified solutions are quite different  
422 from those by the proposed solution. When  $R$  is small (i.e.,  $R = 1$ ), the differences in the  
423 computational results between the simplified and the proposed solutions are relatively small  
424 (the largest difference given by both simplified solutions is less than 3.5%), as shown in Fig.  
425 12 and Fig. 13. As the load increment ratio  $R$  increases, the deviation of the simplified  
426 solutions gradually becomes significant. When  $\omega = 1.5$ , Simplified Method A has a relatively  
427 small deviation in the later stage of consolidation ( $T_h > 0.5$ ), and the Simplified Method B  
428 has a relatively small deviation in the early stage of consolidation ( $T_h < 0.1$ ). When  $\omega = 0.5$ ,

429 the results of Simplified Methods A and B are relatively close, but overall, Simplified  
430 Method B has a smaller deviation. For  $\omega = 1.5$  and  $\omega = 0.5$ , the biggest difference between  
431 the simplified solutions and proposed solution reaches 12.5% and 11.0%, respectively, when  
432  $R = 10$ .

### 433 **5.3 Applicability of the simplified solutions**

434 The above analysis indicates that the simplified solutions can cause noticeable deviations in  
435 the predicted results depending on the magnitude of  $\omega$  and  $R$ . The simplified solutions must  
436 be applied in an appropriate range to maintain their prediction's accuracy. For this purpose,  
437 the typical values of  $C_c/C_k$  for soil in the range of 0.5-2 were used and the range of load  
438 increment ratio  $q_{max}/\bar{\sigma}'_0$  was selected within 0.1-10.

439 Fig. 14 shows the maximum deviations in the degrees of consolidation between the  
440 proposed solution and the Simplified Methods A and B for different values of  $\omega$  and  $R$ .  
441 Obviously, the deviation of both Simplified Methods A and B increases with the increase of  
442  $R$  and  $|\omega-1|$ . In this study, the deviations originated by Simplified Methods A and B both  
443 reach the maximum values, i.e., 20.1% and 28.9%, respectively, when  $\omega = 2$  and  $R = 10$ . If 5%  
444 error is taken as the acceptable threshold considering the deviation in predicted results, when  
445 considering the degree of consolidation based on settlement (i.e.,  $U_s$ ), Simplified Methods A  
446 and B can only satisfy this requirement if the following conditions are met:

- 447 (i)  $0.50 < \omega < 1.50$  when  $R < 2$ ; or  $0.75 < \omega < 1.10$  when  $2 < R < 10$  (Simplified Method  
448 A).
- 449 (ii)  $0.50 < \omega < 1.60$  when  $R < 3$ ; or  $0.70 < \omega < 1.30$  when  $3 < R < 10$  (Simplified Method  
450 B).

451 When considering the degree of consolidation based on EPWP (i.e.,  $U_p$ ), Simplified Methods  
452 A and B can only satisfy the requirement if the following conditions are met:

- 453 (i)  $0.50 < \omega < 1.60$  when  $R < 2$ ; or  $0.75 < \omega < 1.25$  when  $2 < R < 10$  (Simplified Method

454 A).

455 (ii)  $0.50 < \omega < 1.35$  when  $R < 4$ ; or  $0.65 < \omega < 1.25$  when  $4 < R < 10$  (Simplified Method

456 B).

457 When the degree of consolidation based on settlement and EPWP both needs to be considered,

458 Simplified Methods A and B can only satisfy the requirement if the combined conditions are

459 met:

460 (i)  $0.50 < \omega < 1.50$  when  $R < 2$ ; or  $0.75 < \omega < 1.10$  when  $2 < R < 10$  (Simplified Method

461 A).

462 (ii)  $0.50 < \omega < 1.35$  when  $R < 3$ ; or  $0.70 < \omega < 1.25$  when  $3 < R < 10$  (Simplified Method

463 B).

464 Combining the above conditions for a general case, it can be concluded that both Simplified

465 Methods A and B can provide acceptable predictions below 5% error when either the load

466 increment ratio is relatively low ( $R < 2$ ) or the compression index is close to the permeability

467 index ( $0.75 < \omega < 1.10$ ). It is noteworthy that the assumption for the smear zone would affect

468 the value of the dimensionless parameter  $\mu$ . However, since the difference between the

469 simplified solutions and the proposed solution is essentially the determination of nonlinear

470 term  $\left(1 + \frac{q(t) - \bar{u}}{\bar{\sigma}'_0}\right)^{B_v(\text{or } B_v)+1}$ , the value of  $\mu$  has a slight influence on the deviation from

471 accuracy when adopting simplified solutions by additional computational verification. In this

472 regard, the assumption for the smear zone would not change the related conclusions to any

473 significant extent.

## 474 **6. Model Limitations**

475 Although the proposed model can predict the non-linear consolidation of stratified soil

476 induced by vertical drains, it still has some limitations due to some assumptions made for



477 facilitating the mathematical formulations and solutions. Some of these limitations are listed  
478 below:

479 (a) The spectral-Galerkin method solution can lead to oscillations when the problem is  
480 represented by a discontinuous function; these oscillations are known as Gibbs  
481 phenomenon [79]. Therefore, more series terms are required when modelling sharp  
482 changes in the pore pressure profile.

483 (b) The constitutive relationship associated with preloading removal has not been considered  
484 in this study.

## 485 **7. Conclusions**

486 In this paper, a novel approach was proposed where the spectral method was used to analyse  
487 the non-linear consolidation of multilayered soil with coupled vertical-radial drainage. The  
488 logarithmic compressibility and permeability model (*e-log*  $\sigma'$  and *e-log*  $k$ ) was adopted to  
489 describe the non-linear relationships. Conclusions can be drawn as follows:

490 (1) The proposed method can capture well the non-linear characteristics in consolidation  
491 behaviour of different soil layers with time and depth. The application of this method  
492 to existing laboratory and field data in comparison with other analytical solutions  
493 verified the feasibility and accuracy of the proposed model. For the case study, the  
494 difference between the predicted and measured settlement at 400 days significantly  
495 decreased from 5.02% (i.e., 87 mm) by the previous models to 0.58% (10 mm) by  
496 the proposed model.

497 (2) The value of  $\omega$  ( $C_v/C_k$ ) had a great impact on the consolidation rate, i.e., the greater  
498 the value of  $\omega$ , the smaller the consolidation rate. Increasing the load increment ratio  
499 ( $R = q_{max}/\bar{\sigma}'_0$ ) and the deviation of the ratio  $\omega$  from unity (i.e.,  $|\omega-1|$ ) can lead to a  
500 larger deviation of both Simplified Methods A and B.

501 (3) Simplified Methods A and B provided accurate prediction within 5% error if the  
502 following conditions were met: (a)  $0.50 < \omega < 1.50$  when  $R < 2$ ; or  $0.75 < \omega < 1.10$   
503 when  $2 < R < 10$  for Simplified Method A; and (b)  $0.50 < \omega < 1.35$  when  $R < 3$ ; or  
504  $0.70 < \omega < 1.25$  when  $3 < R < 10$  for Simplified Method B.

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509 Technology Sydney.

## 510 **Data availability**

511 The data used to support the findings of this study are available from the corresponding  
512 author upon request.

513

514 **Appendix A: Derivation of governing equation and solutions by using the**  
 515 **spectral method**

516 **A.1: Derivation of governing equation**

517 The rate of strain can be expressed as:

$$\frac{\partial \varepsilon_v}{\partial t} = -\frac{1}{(1+e_0)} \frac{\partial e}{\partial t} = m_{v0} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} \frac{\partial \bar{\sigma}'}{\partial t} = \begin{cases} \frac{C_r}{\bar{\sigma}'_0 (1+e_0) \ln 10} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} \frac{\partial \bar{\sigma}'}{\partial t} & \text{for } \bar{\sigma}' \leq \bar{\sigma}'_p \\ \frac{C_c}{\bar{\sigma}'_0 (1+e_0) \ln 10} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} \frac{\partial \bar{\sigma}'}{\partial t} & \text{for } \bar{\sigma}' > \bar{\sigma}'_p \end{cases} \quad (\text{A. 1})$$

518 It is assumed that the flow rate in the unit cell is equal to the rate of change in the volume of  
 519 the soil mass, then the continuity equation can be expressed by:

$$\pi (r_e^2 - r^2) \frac{\partial \varepsilon_v}{\partial t} = \pi (r_e^2 - r^2) \frac{1}{\gamma_w H^2} \frac{\partial}{\partial Z} \left( -k_v \frac{\partial \bar{u}}{\partial Z} \right) + 2\pi r \frac{k}{\gamma_w} \frac{\partial u}{\partial r} \quad (\text{A. 2})$$

520 where  $k$  is the radial permeability coefficient,  $k = k_s$  and  $k_h$  inside and outside the smear zone,  
 521 respectively.

522 The average EPWP in the soil cylinder at depth  $Z$  is calculated from the following algebraic  
 523 expression:

$$\pi (r_e^2 - r_w^2) \bar{u} = \int_{r_w}^{r_e} 2\pi r u dr \quad (\text{A. 3})$$

524 By substituting Eq (A. 1) into (A. 2), the following equation expressed by the average EPWP  
 525 can be obtained:

$$\bar{u} = \frac{\gamma_w r_e^2 \mu}{2k_h} \left[ \frac{1}{\gamma_w H^2} \frac{\partial}{\partial Z} \left( k_v \frac{\partial \bar{u}}{\partial Z} \right) + \frac{\partial \varepsilon_v}{\partial t} \right] \quad (\text{A. 4})$$

526 where  $\mu$  is the dimensionless parameter, which is computed based on the variation of soil  
 527 permeability within the smear zone and the radial geometry of the drain.

528 Based on Eqs. (2)-(5), (A. 1) and assumptions (a)-(e), the governing equation can be  
 529 expressed as:

$$\frac{2k_{h0}A_h \left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right)^{B_h}}{\gamma_w r_e^2 \mu \left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right)} \bar{u} - \frac{k_{v0}A_v \left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right)^{B_v}}{\gamma_w H^2 \left(\frac{\bar{\sigma}'}{\bar{\sigma}'_0}\right)} \frac{\partial^2 \bar{u}}{\partial Z^2} = m_{v0} \frac{\bar{\sigma}'_0}{\bar{\sigma}'} \frac{\partial \bar{\sigma}'}{\partial t} \quad (\text{A. 5})$$

## 530 **A.2: Solutions by using the spectral method**

531 By substituting Eq. (12) into Eq. (9) and using the spectral-Galerkin method, the governing  
 532 differential equations can be rewritten as:

$$\mathbf{\Gamma} \frac{\partial \mathbf{A}}{\partial t} + \mathbf{\Psi} \mathbf{A} = \mathbf{I} \quad (\text{A. 6})$$

533 By using the method of variation of parameters, the solution to the non-homogeneous Eq. (A.  
 534 4) can be found by:

$$\mathbf{A}(t) = e^{-\int_0^t \mathbf{\Gamma}^{-1} \mathbf{\Psi} d\tau} \left( \int_0^t e^{\int_{-\infty}^{\tau} \mathbf{\Gamma}^{-1} \mathbf{\Psi} dt} \mathbf{\Gamma}^{-1} \mathbf{I} d\tau \right) \quad (\text{A. 7})$$

535 To present the explicit matrix element expressions for  $\mathbf{\Gamma}$ ,  $\mathbf{\Psi}$  and  $\mathbf{I}$  in a concise manner,  
 536 some shorthand notations are adopted as shown below:

$$SN[\beta] = [\sin(\beta Z_{l+1}) - \sin(\beta Z_l)] / \beta \quad (\text{A. 8})$$

$$CS[\beta] = [\cos(\beta Z_{l+1}) - \cos(\beta Z_l)] / \beta \quad (\text{A. 9})$$

$$M_i = \begin{cases} i\pi & \text{for } PTPB \\ \pi(2i-1)/2 & \text{for } PTIB \end{cases} \quad (\text{A. 10})$$

$$M_j = \begin{cases} j\pi & \text{for } PTPB \\ \pi(2j-1)/2 & \text{for } PTIB \end{cases} \quad (\text{A. 11})$$

$$M^{\pm} = M_j \pm M_i \quad (\text{A. 12})$$

$$\Lambda_{ij}^{\pm} = \begin{cases} SN[M^-] \pm SN[M^+] & i \neq j \\ [Z_{l+1} - Z_l] \pm SN[M^+] & i = j \end{cases} \quad (\text{A. 13})$$

537 The contribution made by the  $l^{\text{th}}$  layer of soil to  $\Gamma_{ij}$ ,  $\Psi_{ij}$  and  $I_i$  are given by:

$$\Gamma_{ij}(l) = m_{v0}^l \frac{\bar{\sigma}_0^{l'}}{\bar{\sigma}^{l'}} \Lambda_{ij}^- \quad (\text{A. 14})$$

$$\Psi_{ij}(l) = \frac{2k_{h0}^l A_h^l}{\gamma_w r_e^2 \mu} \left( 1 + \frac{q^l(t) - \bar{u}^l}{\bar{\sigma}_0^{l'}} \right)^{B_h^l} \Lambda_{ij}^- + \frac{k_{v0}^l A_v^l}{\gamma_w H^2} \left( 1 + \frac{q^l(t) - \bar{u}^l}{\bar{\sigma}_0^{l'}} \right)^{B_v^l} M_i M_j \Lambda_{ij}^+ \quad (\text{A. 15})$$

$$I_i(l) = -2m_{v0}^l \frac{\bar{\sigma}_0^{l'}}{\bar{\sigma}^{l'}} \frac{\partial q^l(t)}{\partial t} CS[M_i] \quad (\text{A. 16})$$

538 Since the thickness of the interface layer is zero, the distribution made by the interface layer

539 made by the interface layer between two adjacent layers ( $l^{\text{th}}$  and  $l+1^{\text{th}}$  layer) to  $\Psi_{ij}$  is given by:

$$\Psi_{ij}(l) = -\frac{1}{\gamma_w H^2} M_j \begin{bmatrix} k_{v0}^{l+1} A_v^{l+1} \left( 1 + \frac{q^{l+1}(t) - \bar{u}^{l+1}}{\bar{\sigma}_0^{l+1}} \right)^{B_v^{l+1}} \\ -k_{v0}^l A_v^l \left( 1 + \frac{q^l(t) - \bar{u}^l}{\bar{\sigma}_0^{l'}} \right)^{B_v^l} \end{bmatrix} \cos(M_j Z_l) \sin(M_i Z_l) \quad (\text{A. 17})$$

540 If the number of layers is  $m$ , the final values for  $\Gamma_{ij}$ ,  $\Psi_{ij}$  and  $I_i$  are given by adding the

541 contribution of each layer of soil:

$$\Gamma_{ij} = \sum_{l=1}^m \Gamma_{ij}(l) \quad (\text{A. 18})$$

$$\Psi_{ij} = \sum_{l=1}^m \Psi_{ij}(l) \quad (\text{A. 19})$$

$$I_i = \sum_{l=1}^m I_i(l) \quad (\text{A. 20})$$

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## Tables

Table 1 Summary of previous non-linear consolidation models

Models	Factor included			Assumptions	
	Drainage	Loading	Layer	(1)	(2)
Lekha et al.[76]	Vertical	Instantaneous	Single	No	Yes
Kim et al. [77]	Vertical	Time-dependent	Single	No	Yes
Kim et al. [78]	Vertical	Time-dependent	Multiple	No	Yes
Indraratna et al.[66]	Radial	Instantaneous	Multiple	No	Yes
Walker et al. [44]	Radial	Step-instantaneous	Multiple	No	No
Lu et al. [40]	Radial	Time-dependent	Multiple	Yes	No
Kim et al. [67]	Radial	Time-dependent	Multiple	No	Yes
Lu et al. [74]	Radial-vertical	Time-dependent	Single	Yes	No
Wang et al. [47]					
Liu et al.[54]	Radial-vertical	Time-dependent	Single	No	Yes
<b>Proposed model</b>	<b>Radial-vertical</b>	<b>Time-dependent</b>	<b>Multiple</b>	<b>No</b>	<b>No</b>



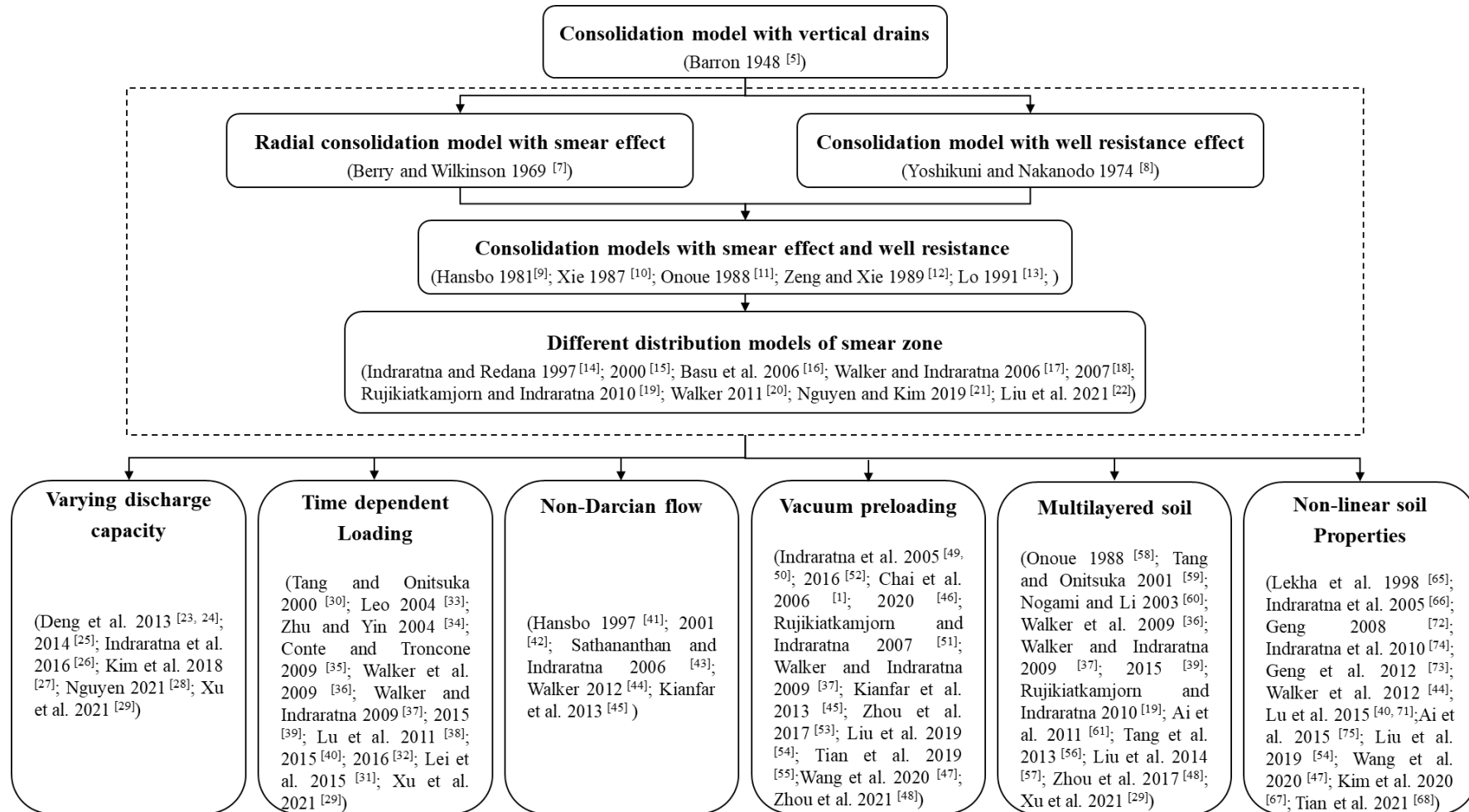
Table 2 Soil parameters and drain properties of the model test (after Walker et al. [44])

Parameters	Test 1	Test 2
$C_c$	0.29	0.29
$C_{kh}$	0.45	0.45
Diameter of influence zone, $D_e$ /(m)	0.45	0.45
Diameter of equivalent drain, $D_w$ /(m)	0.066	0.066
Diameter of smear zone, $D_s$ /(m)	0.2	0.2
Initial horizontal permeability $k_{h0}/(10^{-10} \text{ m/s})$	4.4	4.0
$k_h/k_s$	1.5	1.5
Initial void ratio, $e_0$	1.000	0.950
Initial height, $H$ /m	0.925	0.870
Pre-consolidation pressure, $\bar{\sigma}'_0$ ( $\bar{\sigma}'_p$ )/kPa	20	50
Load, $p$ /kPa	30	50

Table 3 Soil parameters for subsoil in the test embankment at Hangzhou–Ningbo Expressway, China (modified after Chai et al. [82])

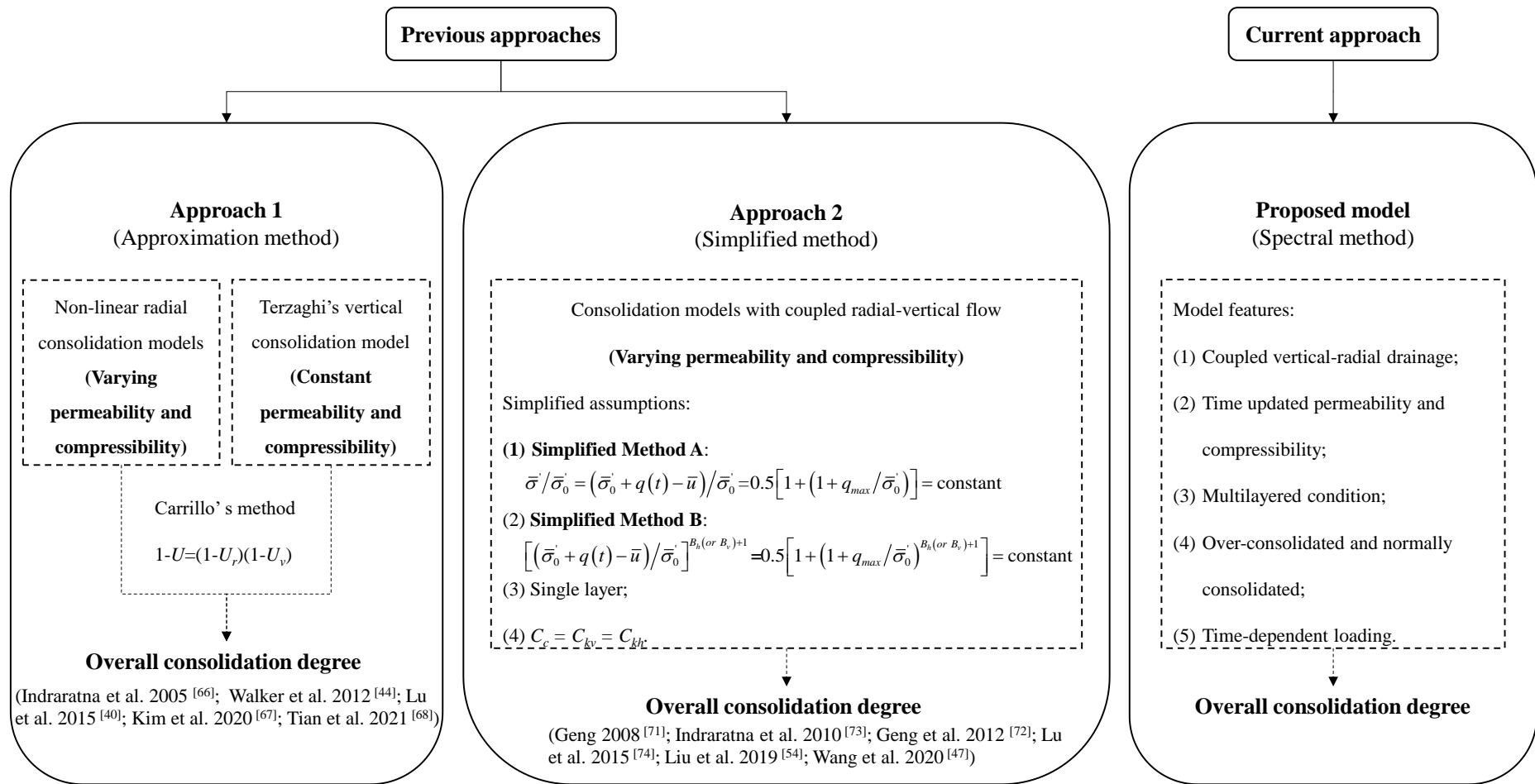
Depth (m)	$\gamma$ (kN/m <sup>3</sup> )	$m_v$ (10 <sup>-3</sup> kPa <sup>-1</sup> )	$e_0$	$C_c$	$C_r$	$k_{v0}$ (10 <sup>-3</sup> m/d)	$k_{h0}$ (10 <sup>-3</sup> m/d)	$\bar{\sigma}_0$ at middle of layer (kPa)	OCR
0.0-1.0	19.3	0.68	0.81	0.184	0.018	3.03	3.03	4.65	5.0
1.0-3.0	18.5	0.68	1.07	0.370	0.037	1.00	2.00	17.80	1.1
3.0-4.8	18.5	1.28	1.07	0.370	0.037	0.22	0.56	33.95	1.1
4.8-6.8	17.3	0.93	1.36	0.690	0.069	0.30	0.80	48.90	1
6.8-8.3	17.3	1.32	1.36	0.690	0.069	0.30	0.80	61.68	1
8.3-10.3	17.3	1.15	1.36	0.650	0.065	0.30	0.80	74.45	1
10.3-12.3	17.3	0.96	1.36	0.650	0.065	0.28	0.52	89.05	1
12.3-14.3	17.3	0.86	1.36	0.650	0.065	0.28	0.52	103.65	1
14.3-16.3	17.9	0.77	1.10	0.458	0.046	0.16	0.35	118.85	1
16.3-18.3	17.9	0.55	1.10	0.458	0.046	0.16	0.35	134.65	1
18.3-19.0	19.3	0.51	0.81	0.230	0.023	0.04	0.06	145.81	1

# 1 Figures



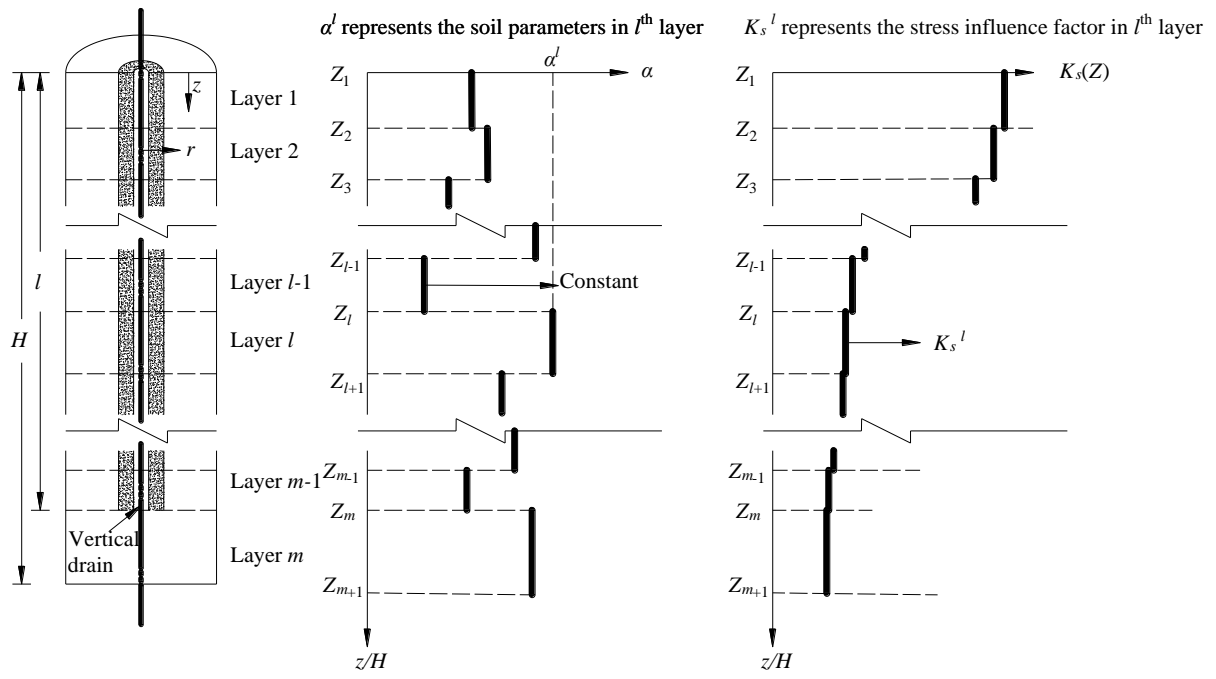
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Fig. 1 Development of consolidation models with vertical drain.



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Fig. 2 Key differences in the past and current approaches for the non-linear consolidation analysis with vertical drains.

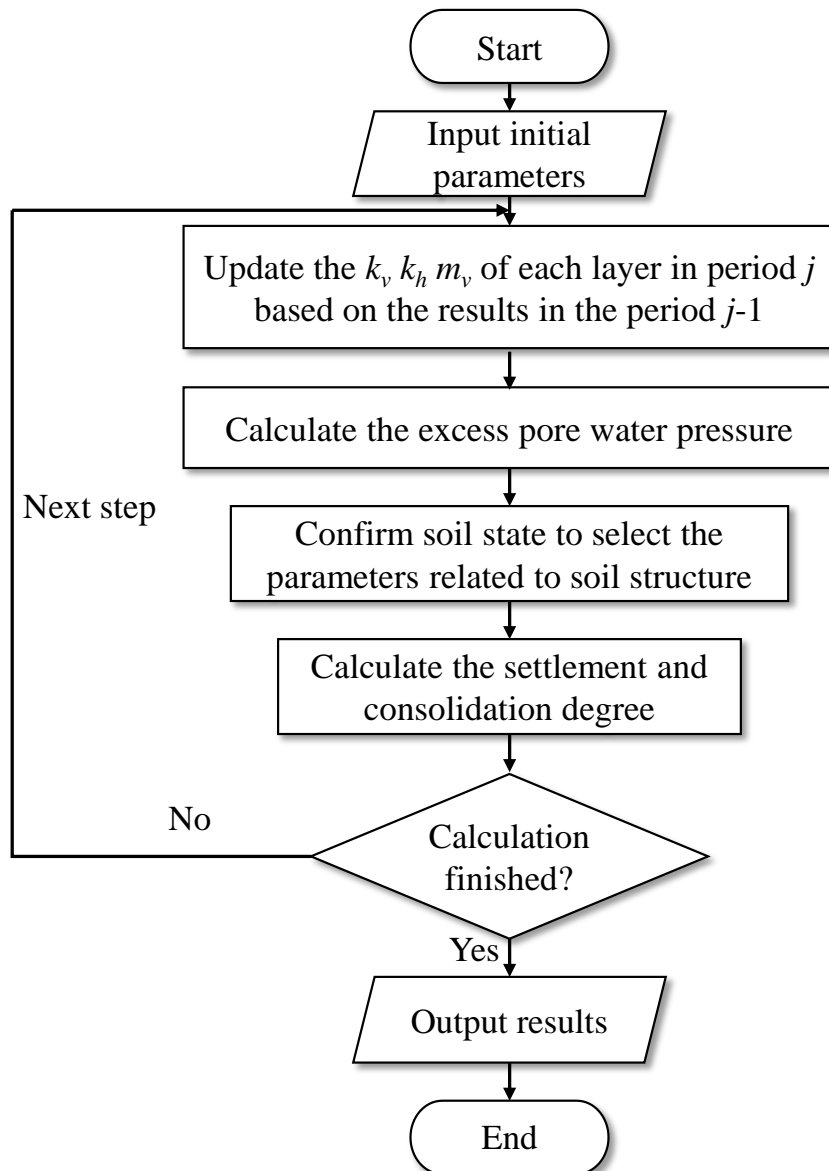


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Fig. 3 Soil properties and stress distribution of multilayered soil.

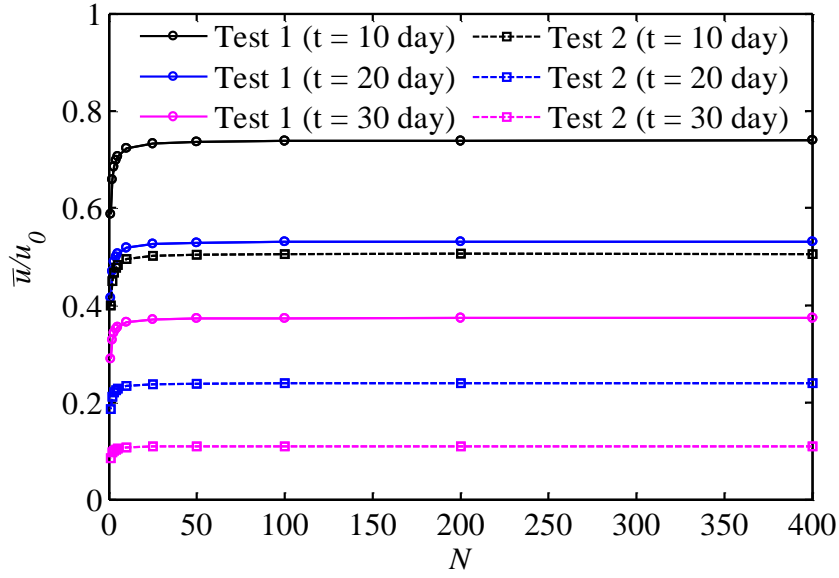
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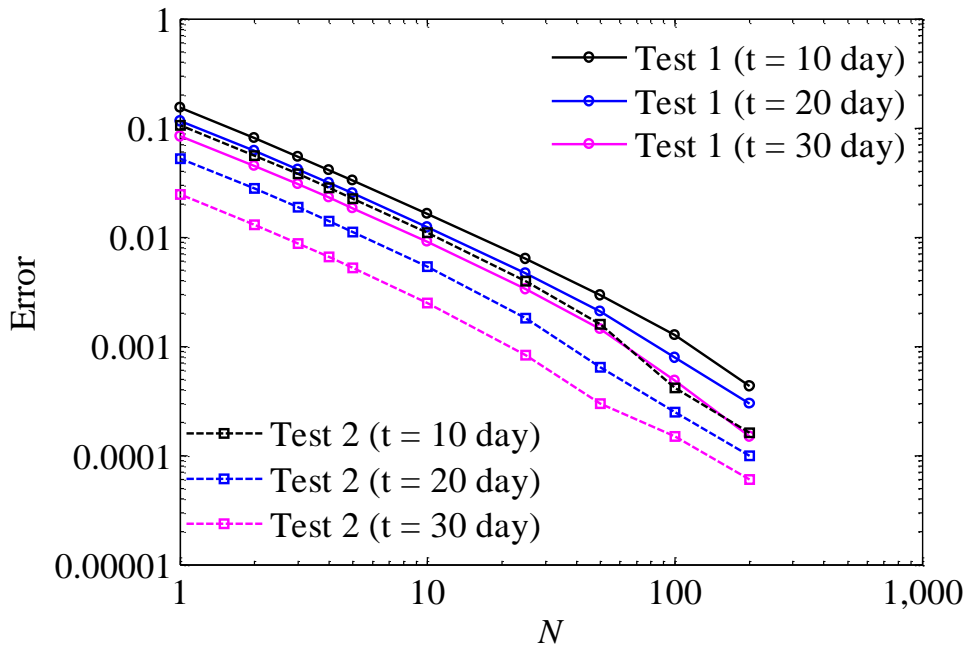
Fig. 4 Flow chart of the computational procedure for the proposed method.



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(a) Results with the variation of  $N$



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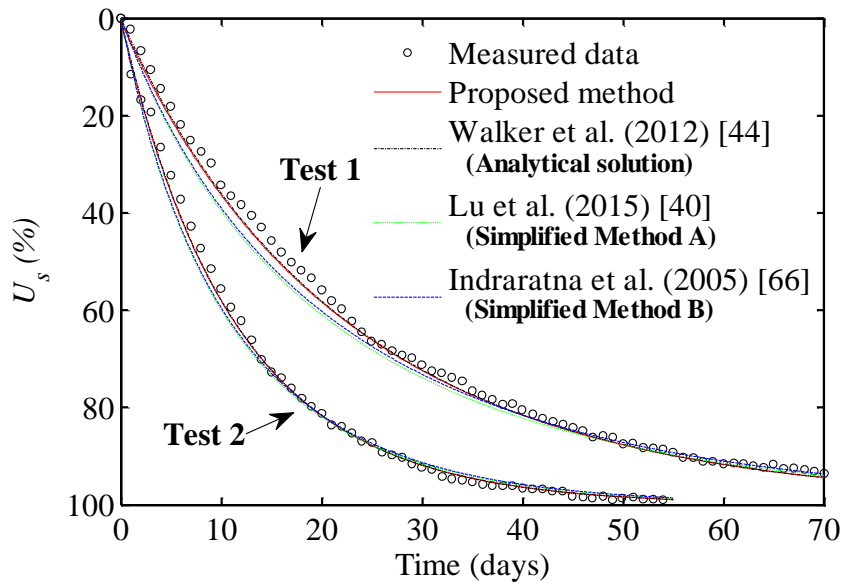
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(b) Error with the variation of  $N$

Fig. 5 Investigation on the solution convergence over the truncated series  $N$ .

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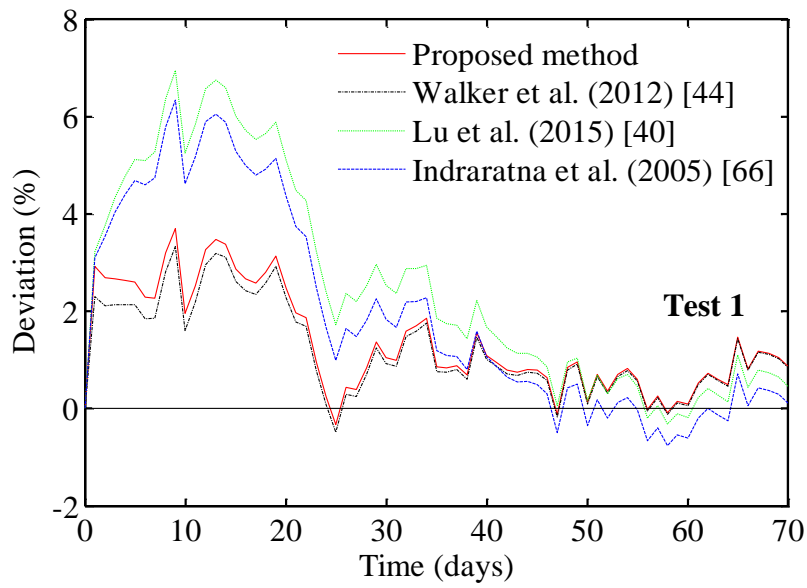
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(a)

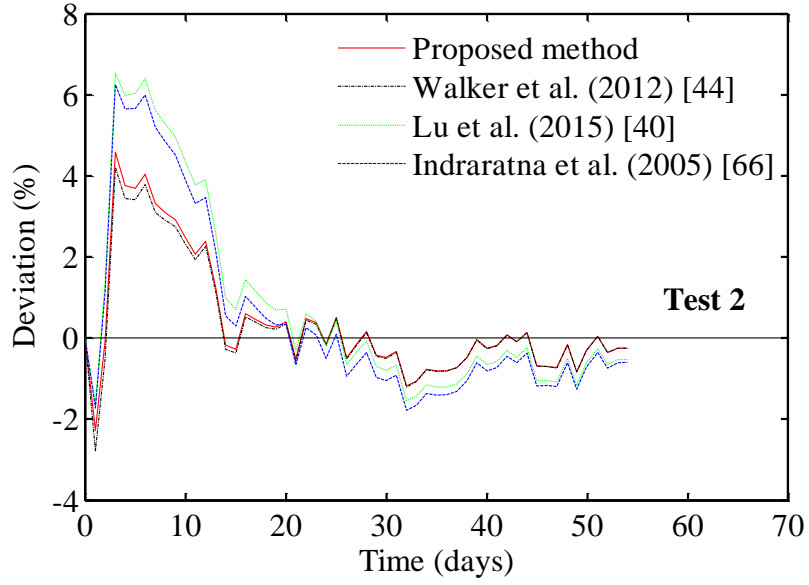


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(b)

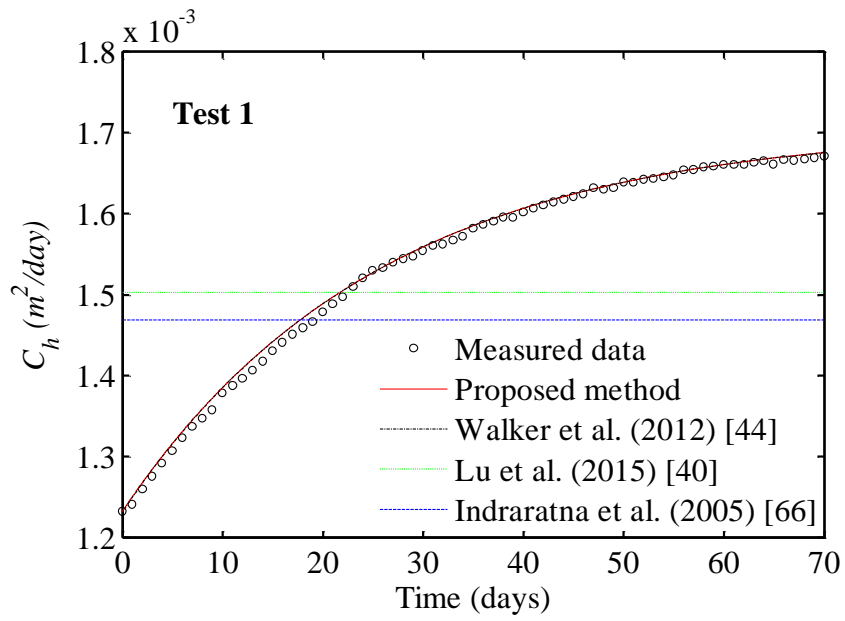




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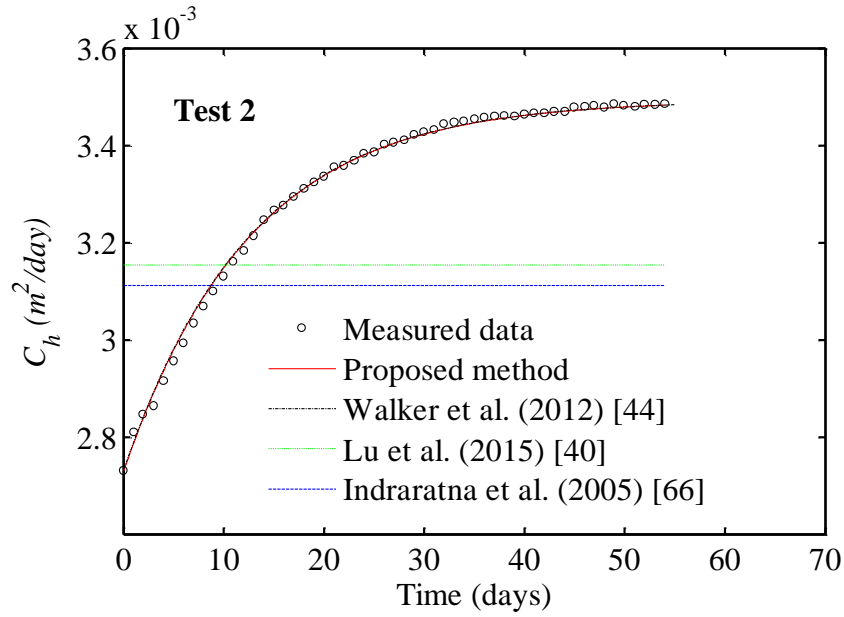
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(d)



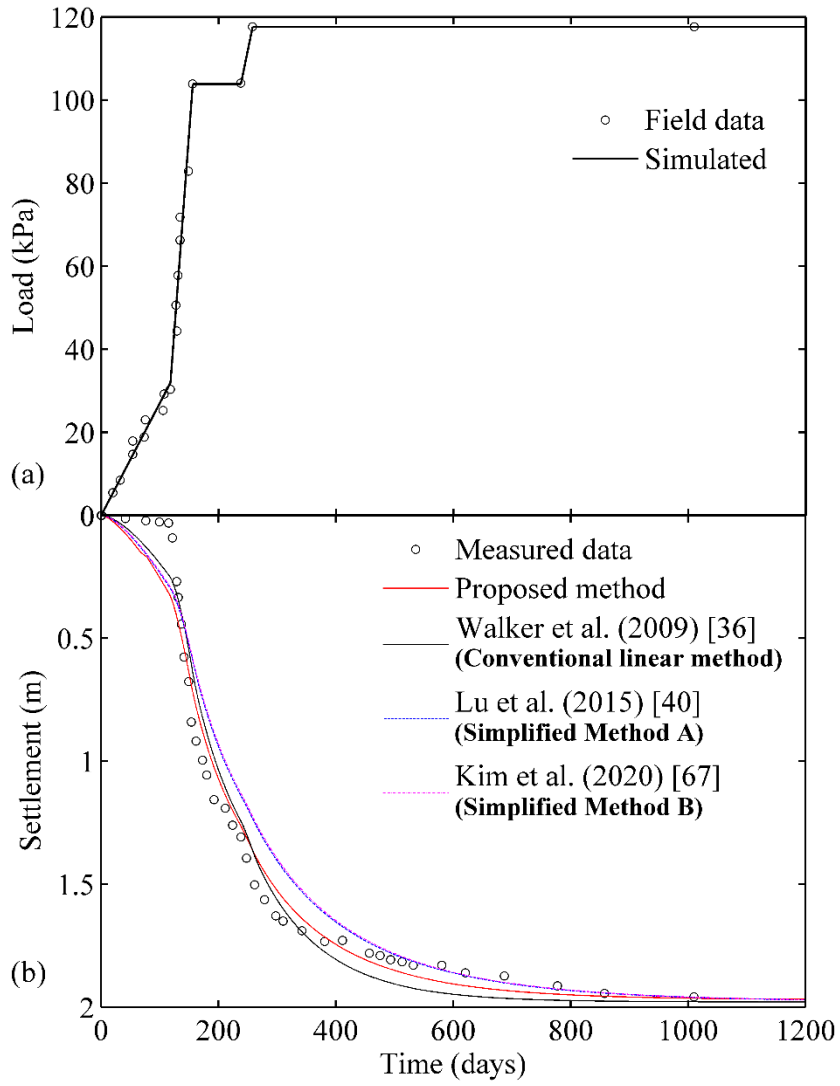
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(e)

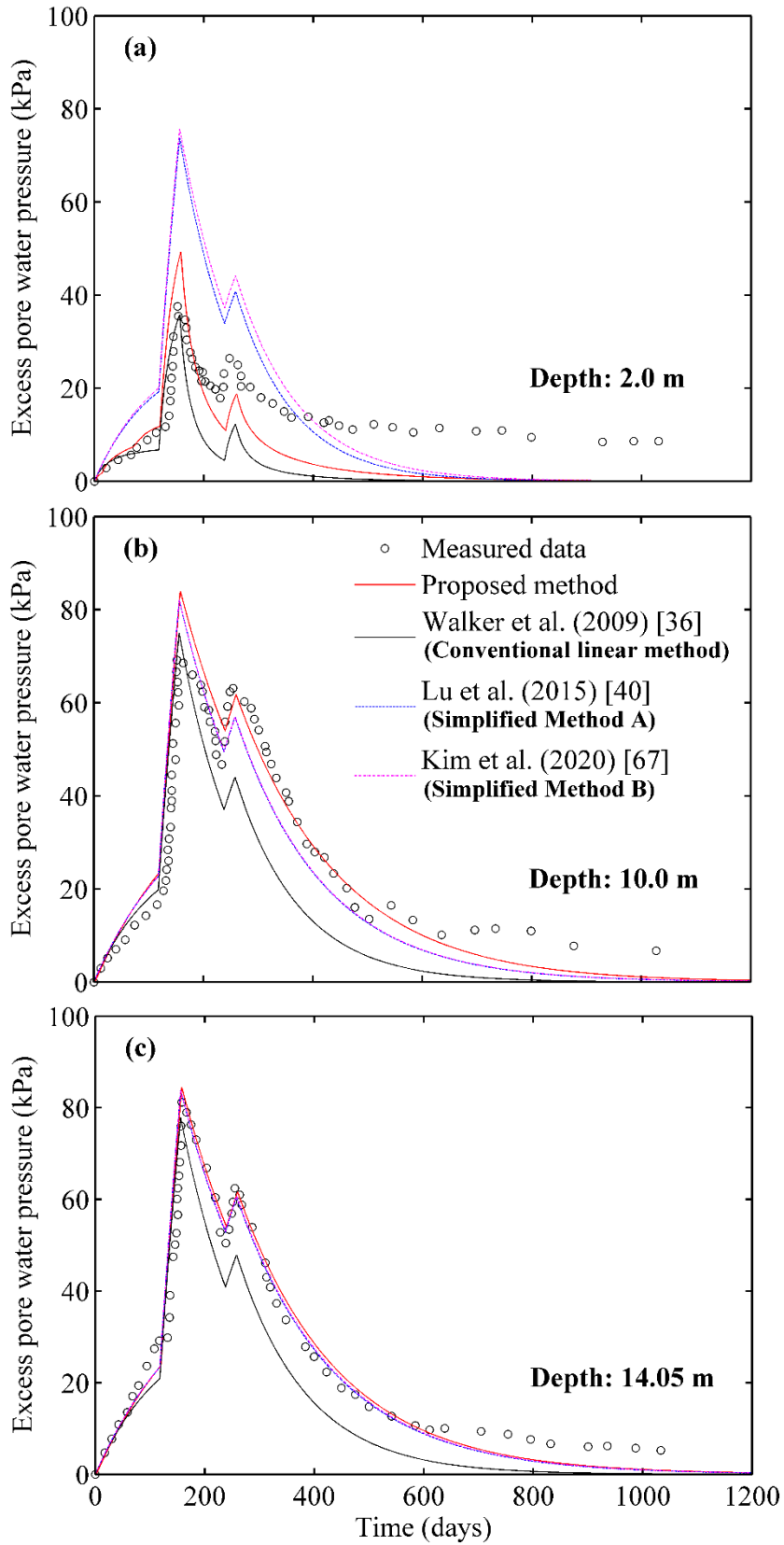
Fig. 6 Comparison between the proposed model and those by Indraratna et al. [66], Walker et al. [44] and Lu et al. [40]: (a) consolidation degree; (b) deviation in Test 1; (c) deviation in Test 2; (d) horizontal consolidation coefficient variation in Test 1; (e) horizontal consolidation coefficient variation in Test 2.

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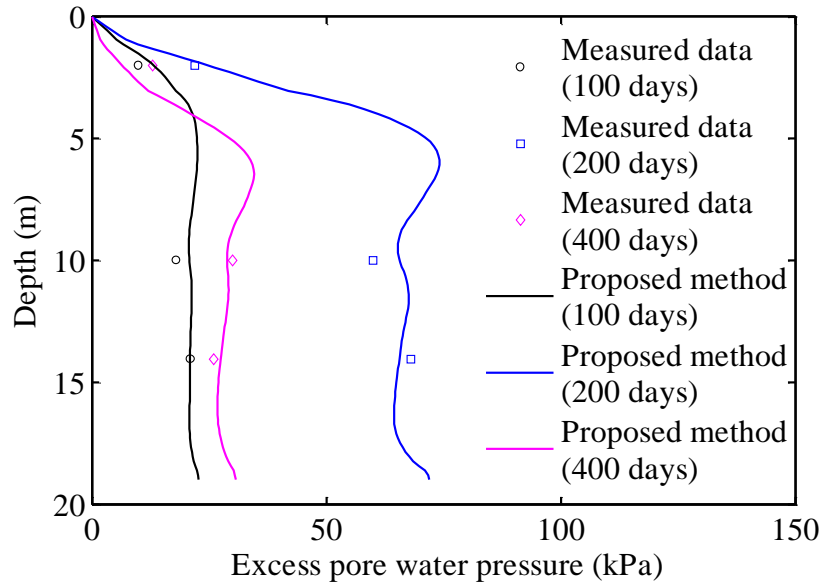
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26 Fig. 7 Comparison of settlement curves: (a) loading process; (b) surface settlement  
 27 comparison.



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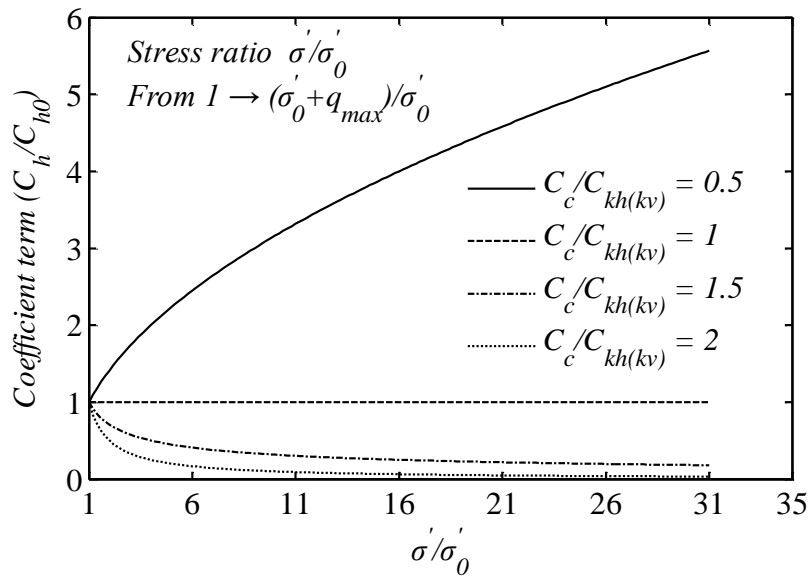
Fig. 8 Comparison of predicted EPWPs of test embankment with the field data: (a) at depth  $z = -2.0$  m; (b) at depth  $z = -10.0$  m; (c) at depth  $z = -14.05$  m.



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Fig. 9 The isochrones of excess pore water pressure at  $t = 100, 200$  and  $400$  days obtained by the proposed method.

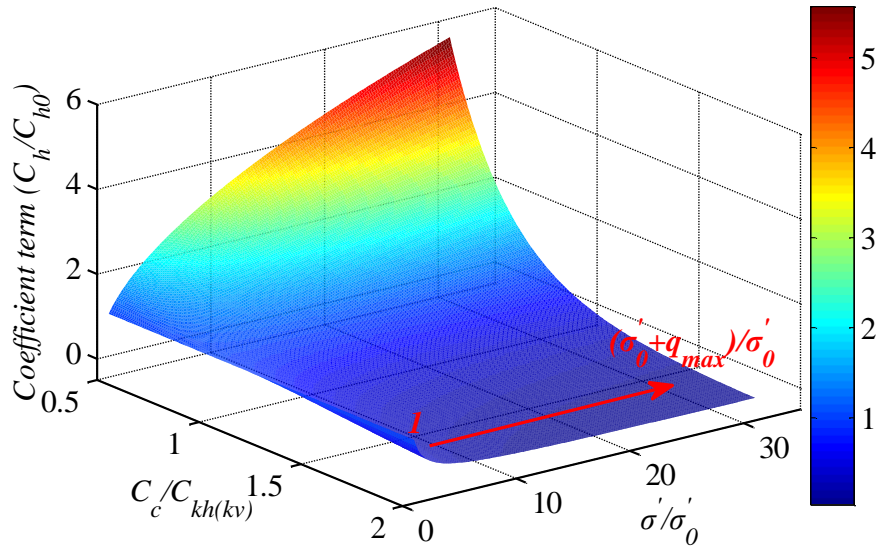
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(a)

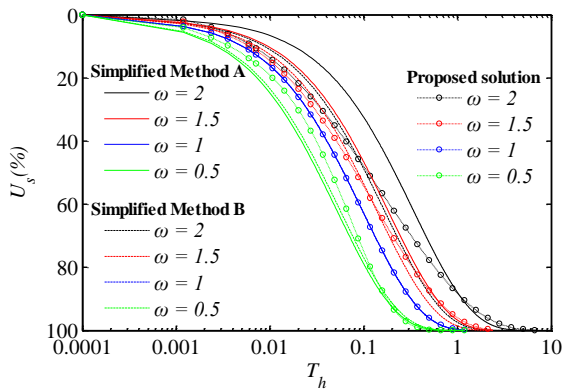


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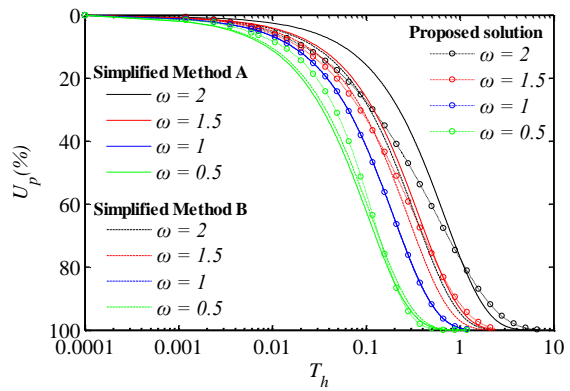
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(b)

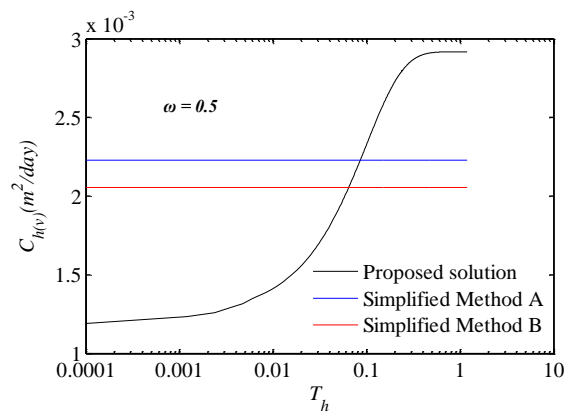
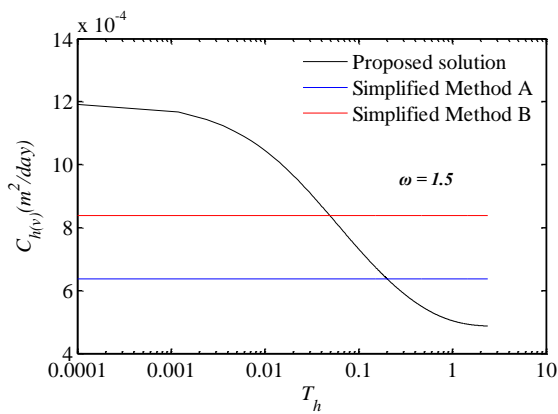
Fig. 10 Variation of non-linear coefficient term: (a) coefficient term vary with stress ratio in consolidation process; (b) variation of non-linear terms with the stress ratio and  $C_c/C_{kh(or kv)}$ .



(a)



(b)



(c)

(d)

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Fig. 11 Comparison between the proposed solution and simplified solutions for different  $\omega$ : (a) average degree of consolidation ( $U_s$ ) based on settlement; (b) average degree of consolidation ( $U_p$ ) based on EPWP; (c) comparison of consolidation coefficient variation ( $\omega = 1.5$ ); (d) comparison of consolidation coefficient variation ( $\omega = 0.5$ ).

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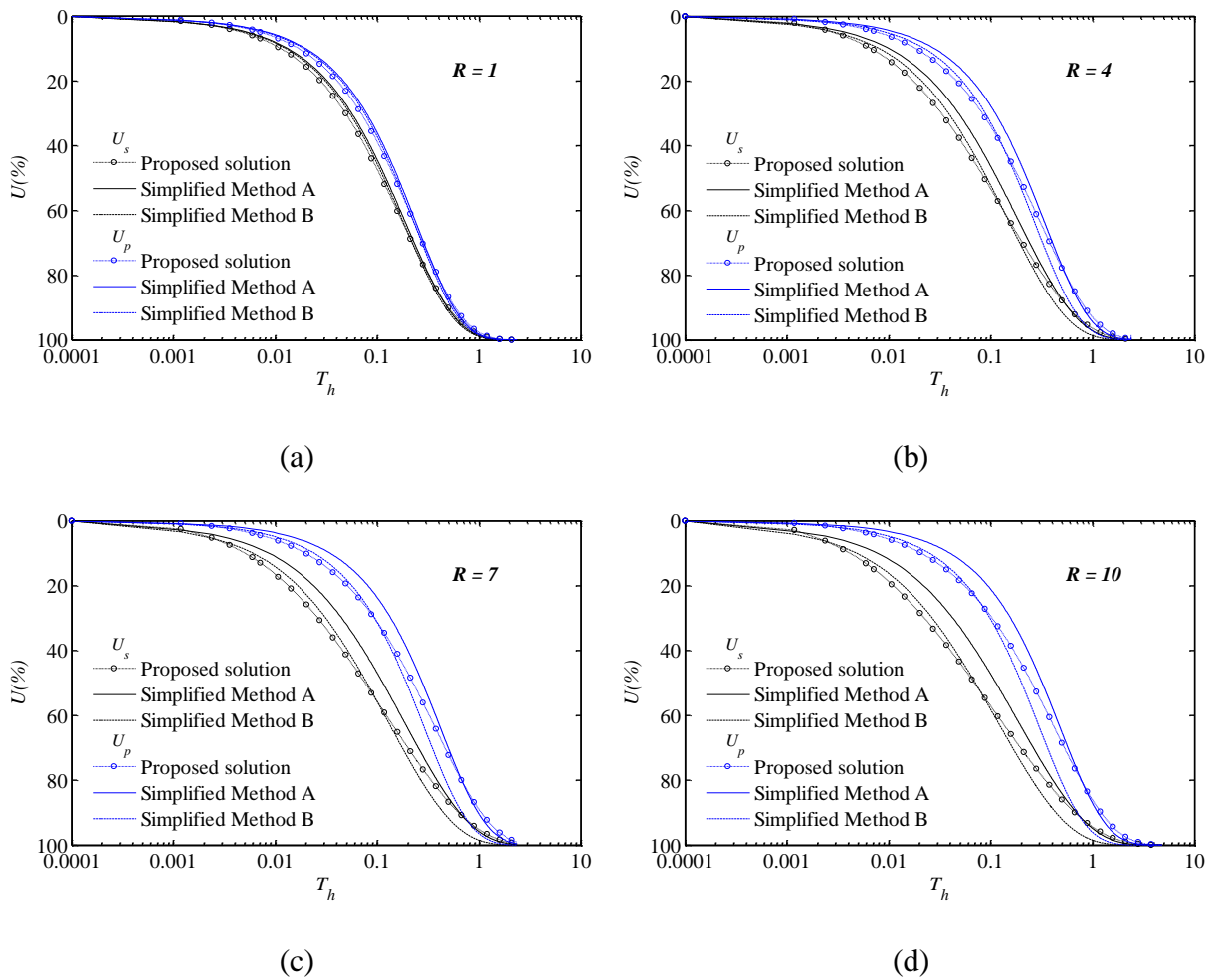


Fig. 12 Comparison between the proposed solution and simplified solutions under different load increment ratio  $R$  for  $\omega = 1.5$ : (a)  $R = 1$ ; (b)  $R = 4$ ; (c)  $R = 7$ ; (d)  $R = 10$ .

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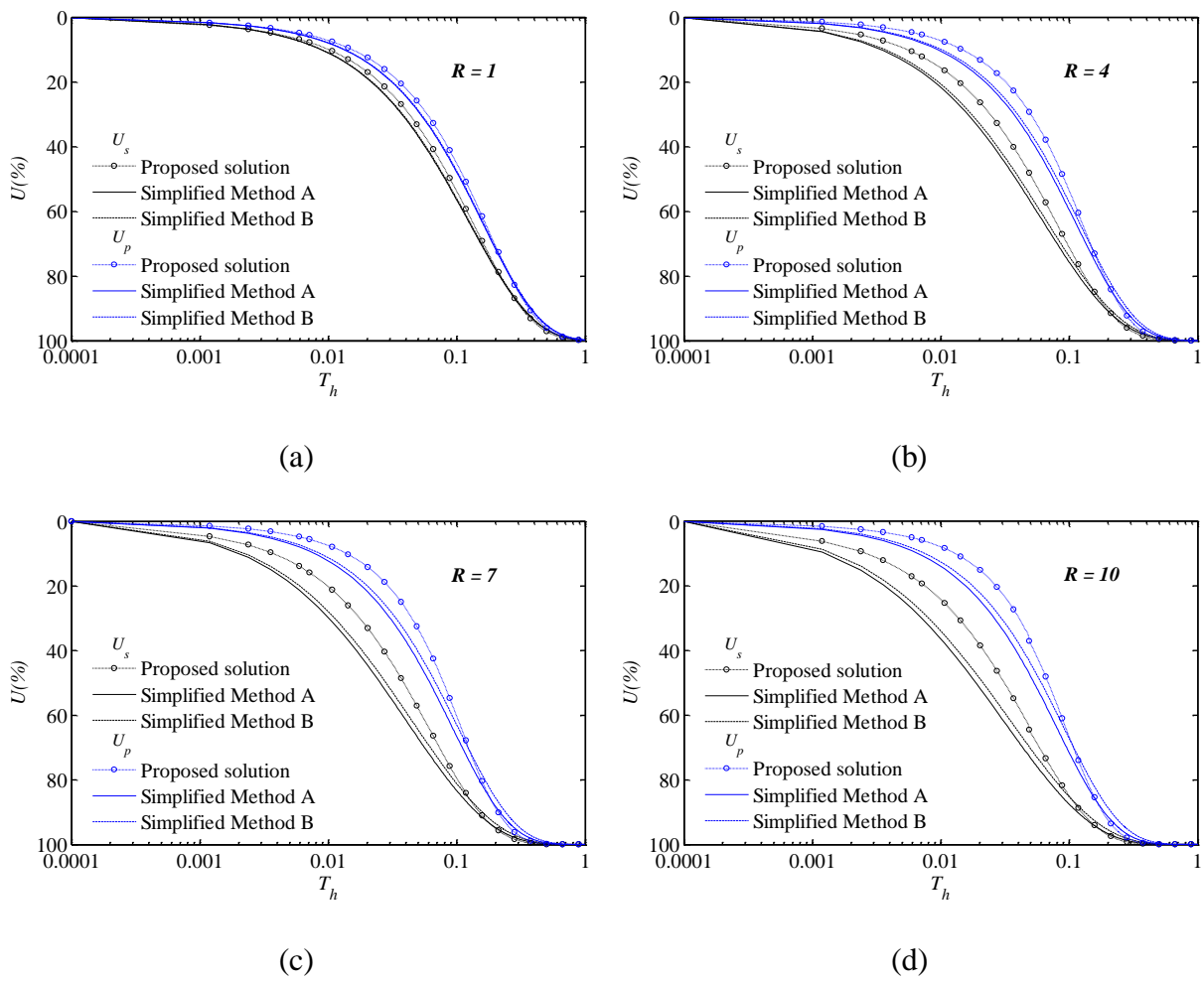


Fig. 13 Comparison between the proposed solution and simplified solutions under different load increment ratio  $R$  for  $\omega = 0.5$ : (a)  $R = 1$ ; (b)  $R = 4$ ; (c)  $R = 7$ ; (d)  $R = 10$ .

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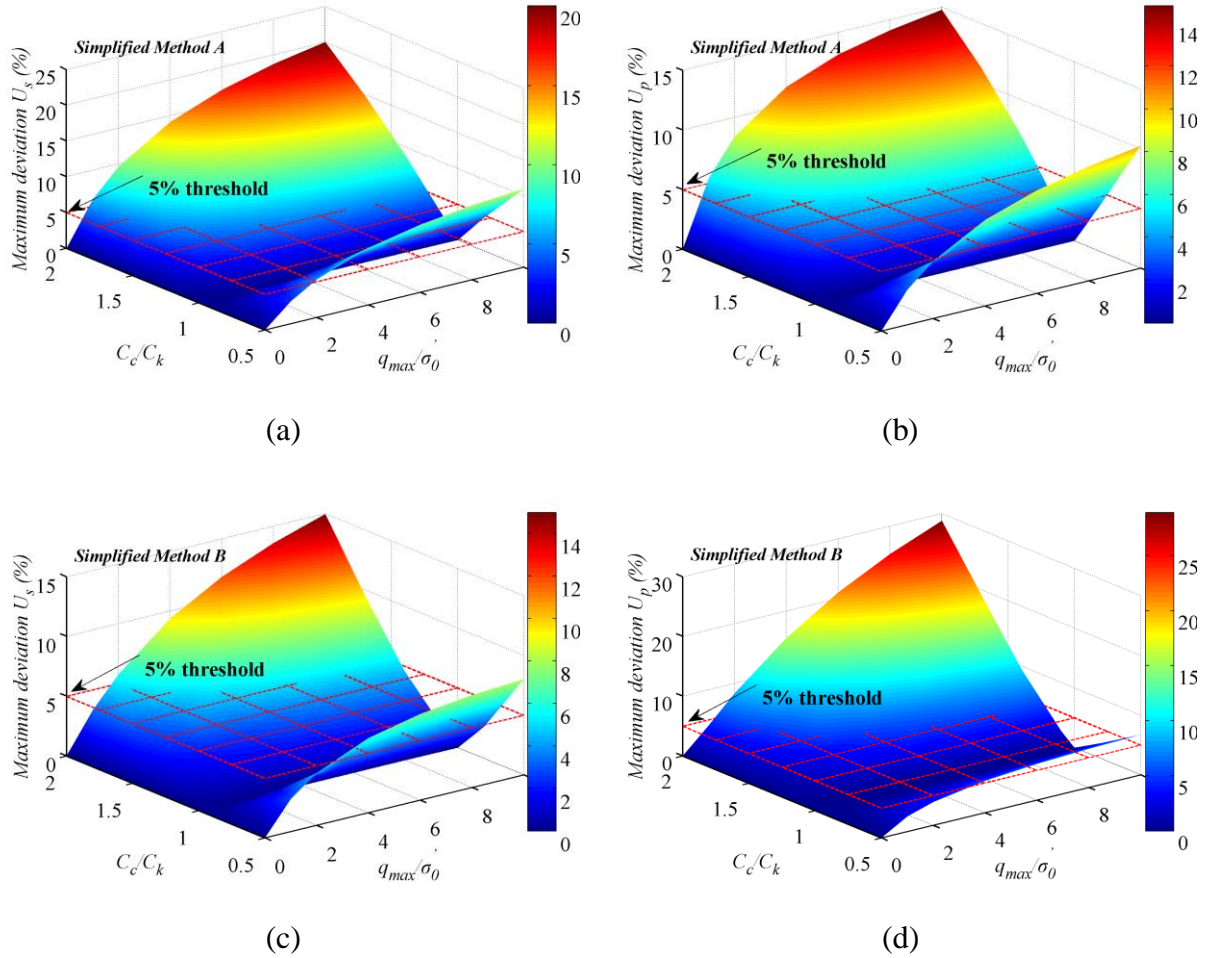


Fig. 14 Maximum deviation in the predicted consolidation degree between the proposed solution and Simplified Methods: (a)  $U_s$  for Simplified Method A; (b)  $U_p$  for Simplified Method A; (c)  $U_s$  for Simplified Method B; (d)  $U_p$  for Simplified Method B.