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Electrically Small Antenna with a Significantly Enhanced Gain-Bandwidth Product

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Abstract—Extremely electrically small antennas (XESAs) exhibit low gain performance, which seriously limits their applications in space-constrained wireless platforms. We report an active transmitting XESA whose gain-bandwidth product (GBWP) exceeds the passive Bode-Fano upper-bound. It is realized by incorporating a highly efficient, electrically small near-field resonant parasitic (NFRP) antenna into the feedback loop of an operational amplifier (OpAmp). Rather than a cascaded configuration, the innovative structural embedding of the NFRP antenna directly with the OpAmp circuit significantly increases its effective gain without consuming any additional real estate. The operating mechanisms of the integrated system are explained with an equivalent circuit model. An optimized prototype was fabricated, assembled and tested. The electrical size of its radiating element is extremely small with $ka = 0.15$ at 414 MHz, i.e., $a \approx \lambda/42$. The measured results of this active XESA, in good agreement with their simulated values, demonstrate that its effective gain can be dynamically tuned within a 6.01 dB range. The measured maximum effective gain and, hence, the effective isotropic radiated power (EIRP) witnesses a 9.152 dB (8.23 times) improvement in comparison to its passive counterpart and its measured GBWP surpasses the corresponding passive Bode-Fano upper bound by approximately 15.2 times.

Index Terms— Active antennas, amplifiers, electrically small antennas (ESAs), feedback, gain, near-field resonant parasitic (NFRP) elements, operational amplifiers (OpAmp).

I. INTRODUCTION

Electrically small antennas (ESAs) have always been a hot research topic because of their sizes are small in comparison to their operational wavelengths, which makes them useful for a wide variety of wireless applications associated with space-limited platforms [1]-[5]. However, the basic physics associated with their electrically small sizes inherently limit their performance characteristics, e.g., their impedance bandwidths and realized gain values. Those bounds

are described by Bode-Fano theory [6], [7] and the Chu-Wheeler-Harrington limits [8]-[11].

In order to significantly extend the operating bandwidth of an ESA while maintaining its radiation performance, active non-Foster circuits have been considered both in external/cascaded impedance matching [12]-[18] and internal/embedded reactance matching [19]-[28] configurations. The non-Foster elements can be tailored to actively match the resistance and reactance values of the antenna to the source in the former and actively match the negative reactance-to-frequency slope arising in the latter over large bandwidths.

On the other hand, many approaches have been taken to improve the maximum realized gain values of ESAs. These include designing Yagi-configurations [29]; introducing superstrates [30], [31] and near-field resonant parasitic (NFRP) elements [32], [33]; and developing Huygens dipole antennas [34]-[37]. While effective, the improvements of their gain values are still limited when the volumes of these passive ESAs are constrained. This is particularly true when their electric sizes are quite small, e.g., $ka < 0.2$ (a being the radius of the smallest sphere enclosing the radiator and k is the free-space wavenumber at the resonance frequency f_0). The maximum realized gain of such an ESA is generally quite low, e.g., -15 dBi in [38]. Consequently, it is very challenging to engineer systems with space-constraints to meet the demands of scenarios where large signal attenuation is involved and high-gain transmission becomes necessary. For instance, Internet of Things (IoT) [39] and smart home access [40] wireless devices must operate in the presence of thick reinforced concrete walls, atmospheric conditions and high multipath noise levels.

Active circuits have been employed widely to increase the effective gain of a wireless system. A common method is to directly cascade an active amplifier circuit with a passive antenna to significantly increase its effective gain [41]-[45]. However, the circuits and transmission lines in a cascade configuration significantly increase a system's overall size. As a consequence, the cascade approach is not generally suitable to achieve an overall electrically small design.

An electrically small active radiator with enhanced effective gain is developed in this paper that avoids the cascade configuration. It is facilitated by co-designing and seamlessly combining a NFRP ESA with an operational amplifier (OpAmp) circuit. The NFRP ESA is embedded into the feedback loop of the OpAmp circuit in a systematic manner that does not increase the radius of the minimum enclosing sphere surrounding the original passive ESA. The NFRP element serves two functions. On the one hand, it

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functions as the main radiator of the ESA system [32], [33]. On the other hand, it serves as a lossy resonator in the negative feedback loop and, hence, facilitates the tunability of the effective gain of the entire ESA system. When compared to its passive counterpart, the active ESA attains a 9.152 dB enhancement of the maximum effective gain while maintaining its ka value.

The remaining sections are organized as follows. The basic design principles of the active ESA are described first in Section II. The configuration and design parameters of the active ESA are introduced. Then, the layout of the active OpAmp circuit, the geometry of the passive NFRP antenna and the integration approach are detailed in a step-by-step manner. Next, an equivalent circuit model of the active NFRP ESA is developed and its efficacy is demonstrated. The fabrication, assembly and testing of an optimized active ESA prototype whose size is extremely electrically small with $ka = 0.15$ are described next in Section III. The measured results of this extremely electrically small antenna (XESA) prototype, in good agreement with their simulated values, demonstrate that it achieved a maximum effective gain of 7.232 dBi and a gain-bandwidth product ($GBWP$) of 0.0513, which surpasses the corresponding passive Bode-Fano upper bound by 15.2 times. Finally, conclusions are drawn in Section IV.

We note that the dielectric and metallic elements were modeled with their known parameters in all of the reported numerical simulations. For instance, all traces were copper with its known material parameters: $\epsilon_r = 1.0$, $\mu_r = 0.999991$ and bulk conductivity $\sigma = 5.8 \times 10^7$ S/m. The copper-clad substrates are all Rogers Duroid™ 5880 whose relative permittivity is 2.2, loss tangent is 0.0009, and copper thickness is 0.017 mm. The co-design of the antenna and OpAmp circuit were performed using the frequency domain ANSYS/ANSOFT high frequency structure simulator (HFSS), version 17.0, and Agilent's Advanced Design System (ADS), 2009. The optimization of the overall system was guided by previous NFRP ESA designs and the developed circuit model of the OpAmp system and relied on numerical parameter studies to determine the fine details.

II. DESIGN OF THE EFFECTIVE GAIN ENHANCED XESA

The basic cascaded and embedded OpAmp-ESA combinations are represented by the diagrams shown in Fig. 1. The OpAmp circuit in Fig 1(a) illustrates the standard ideal non-inverting negative-feedback design. The combination depicted by Figs. 1(a) and 1(b) represents the conventional approach to design the front-end of a system, i.e., the amplifier and the ESA are designed individually and then cascaded. To address the associated increased size issue, we introduced the innovative design developed herein that is depicted in Fig. 1(c). The ESA is embedded in the feedback loop of the OpAmp circuit. We have developed the unconventional manner shown below in Fig. 2 to combine the two individual components in a co-shared volume.

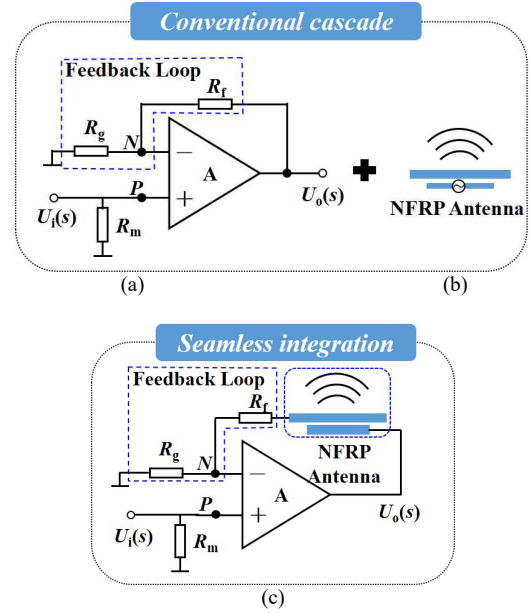


Fig. 1 The evolution of the integrated active NFRP ESA (a) OpAmp circuit. (b) NFRP antenna in series with the OpAmp. (c) NFRP antenna embedded in the negative feedback loop of the OpAmp circuit.

A. Active ESA configuration

The various components of the combined OpAmp-ESA configuration are shown in Fig. 2. The system consists of an active OpAmp circuit and a modified version of the 2D magnetic EZ ESA introduced in [46] in which the NFRP element is a capacitively loaded loop (CLL) and the driven element is a coax-fed semi-loop antenna. Their compact integration is illustrated in Fig. 2(a). The CLL and semi-loop elements are printed on the same side of a rectangular sheet of the Rogers Duroid™ 5880 material, Sub_1, that is $h_1 = 0.787$ mm thick. The NFRP element is excited by the driven element and is the primary radiator. One end of the driven element and both ends of the NFRP element are connected to the ground plane, which is a copper disk whose thickness $h_2 = 1.0$ mm and radius is 180 mm. As shown in Figs. 2(a) and 2(c), the OpAmp circuit is printed on Sub_2, another sheet of the same Rogers 5880 substrate. Note that the driven element is split and a small gap is present between the two strips shown in pink. The latter are then connected to the positive input and output ports of the OpAmp. The NFRP element thus becomes a part of the feedback loop that is connected to the negative input port of the OpAmp through the green stubs, stub_1 and stub_2, which lie on opposite sides of Sub_1. The optimized design parameters of the system as shown in Fig 2 are listed in Table I.

TABLE I OPTIMIZED DESIGN PARAMETERS OF THE ACTIVE ESA (ALL DIMENSIONS ARE IN MILLIMETERS)

$L_1 = 13.8$	$L_2 = 1.91$	$L_3 = 11.69$	$L_4 = 4$	$L_5 = 3$
$L_6 = 6.39$	$L_7 = 3.46$	$L_8 = 10$	$L_9 = 1.89$	$L_{10} = 2.78$
$L_{11} = 10$	$W_1 = 22.4$	$W_2 = 2.4$	$W_3 = 8.4$	$W_4 = 7.3$
$W_5 = 0.2$	$W_6 = 20$	$h_1 = 0.787$	$h_2 = 1$	$g_1 = 0.4$

The active OpAmp circuit is detailed in Fig. 2(c). The OPA855 chip from Texas Instruments (TI) was selected because it has several advantageous features including a large GBWP, a wide bandwidth and low noise characteristics [47].

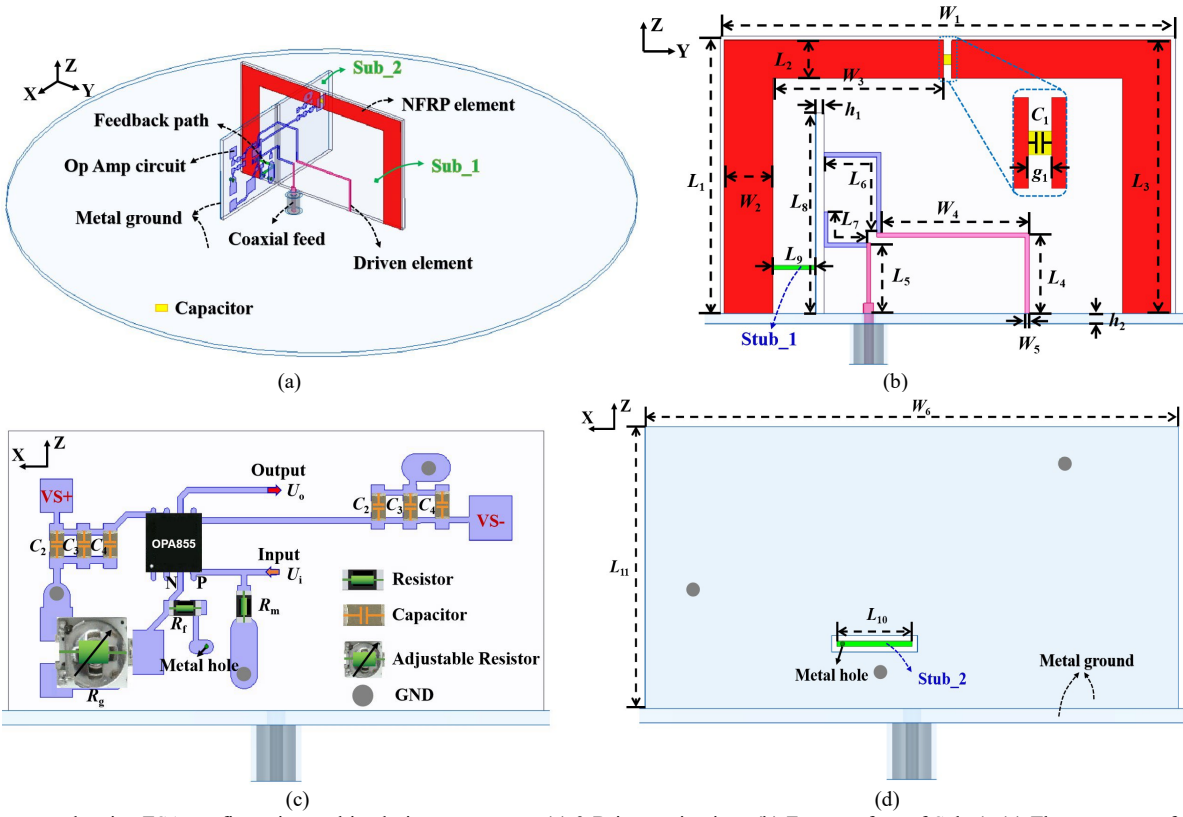


Fig. 2 The proposed active ESA configuration and its design parameters. (a) 3-D isometric view. (b) Front surface of Sub_1. (c) The geometry of the OpAmp circuit on the front surface of Sub_2. (d) Back surface of Sub_2.

The resistor $R_m = 50 \Omega$, which is connected in parallel between the coaxial feed and the positive input port of the OpAmp, is used to match the relatively large input impedance of the OpAmp. The capacitors C_2 , C_3 and C_4 in the bias circuits are employed to prevent RF signals from propagating into the DC power source, which supplies the voltages VS^+ and VS^- to the OpAmp. Note that the non-inverting operation utilized here is a typical series-voltage negative feedback circuit. The feedback signal U_o is taken from the output port and flows through the NFRP element. It is then divided by the resistors R_f and R_g . The feedback voltage on R_g facilitates changing the gain factor of the OpAmp. The element values of the active ESA are detailed in Table II.

TABLE II ELEMENT VALUES OF THE ACTIVE ESA

$C_1 = 5.6 \text{ pF}$	$C_2 = 0.01 \text{ }\mu\text{F}$	$C_3 = 0.22 \text{ }\mu\text{F}$	$C_4 = 2.2 \text{ }\mu\text{F}$
$R_m = 50 \Omega$	$R_f = 499 \Omega$	$R_g = \sim 2 \text{ k}\Omega$	NULL

The closed-loop amplification factor A_f of the OpAmp circuit is calculated as [48]

$$A_f = 1 + \frac{R_f}{R_g} \quad (1)$$

Since the resistance R_g is adjustable, the magnitude of A_f and, hence, the effective gain value of the active ESA can be adjusted appropriately. This enables the overall system to be energy smart because its energy consumption can be controlled to use only the energy that is needed to meet the

different requirements in a complicated wireless environment associated with multiple communication distances and complex signal channels. It is important to emphasize that the so-called “effective gain” (see [49], [50]) will be utilized herein to evaluate the gain performance of the active ESA while the “realized gain” will be used for its passive counterpart.

B. Design steps and performance analysis

The procedure used to design the active ESA was divided into three steps. First, the frequency-dependent performance of the OpAmp circuit is evaluated with a practical prototype. Its design and its fabricated prototype are shown in Fig. 3. This step allows one to determine the tunable range of the transducer power gain in preparation for its application in the active ESA design. According to its datasheet [47], the common-mode input impedance of the OPA855 chip is as high as $R_{c-in} = 2.3 \text{ M}\Omega$. Therefore, the grounded resistor $R_m = 50 \Omega$, marked as ① in Fig. 3, is employed to match the 50Ω coaxial feed with this high input impedance. Note that the output impedance of our OpAmp design is estimated with the curves in the chip’s datasheet to be 145Ω at the frequency of our interest $\sim 414 \text{ MHz}$. To determine the tunable range of the amplification gain with this output impedance, the circuit labeled as ② is then utilized to realize the impedance matching between the estimated 145Ω output impedance and the 50Ω measurement port. The circuit ② is composed of a parallel grounded capacitor, $C_m = 3.6 \text{ pF}$, and a series inductor, $L_m = 27 \text{ nH}$. The circuit labeled as ③ consists of a series resistor $R_f = 499 \Omega$ and a grounded adjustable resistor R_g . It

operates as the negative feedback circuit, and the R_g value can be tuned to regulate the closed-loop gain.

The OpAmp circuit was measured for different values of R_g with an Agilent Technologies N5225A network analyzer. As shown in Fig. 4, the results clearly indicate that by changing the resistor R_g values from $5\ \Omega$ to $2000\ \Omega$, the $|S_{21}|$ values of the OpAmp circuit vary from 11.04 to 3.62 dB at 414 MHz, while the $|S_{11}|$ values remain < -10 dB over the entire frequency band, DC to 500 MHz, in all cases. These results confirmed that the OpAmp circuit can realize a tunable closed-loop gain.

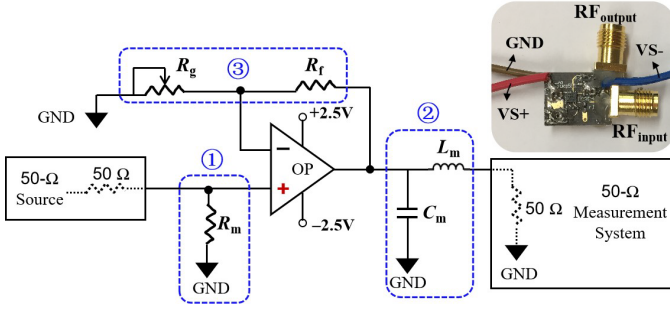


Fig. 3. The diagram of the series-voltage negative feedback amplifier circuit and a photo of the fabricated active OpAmp circuit.

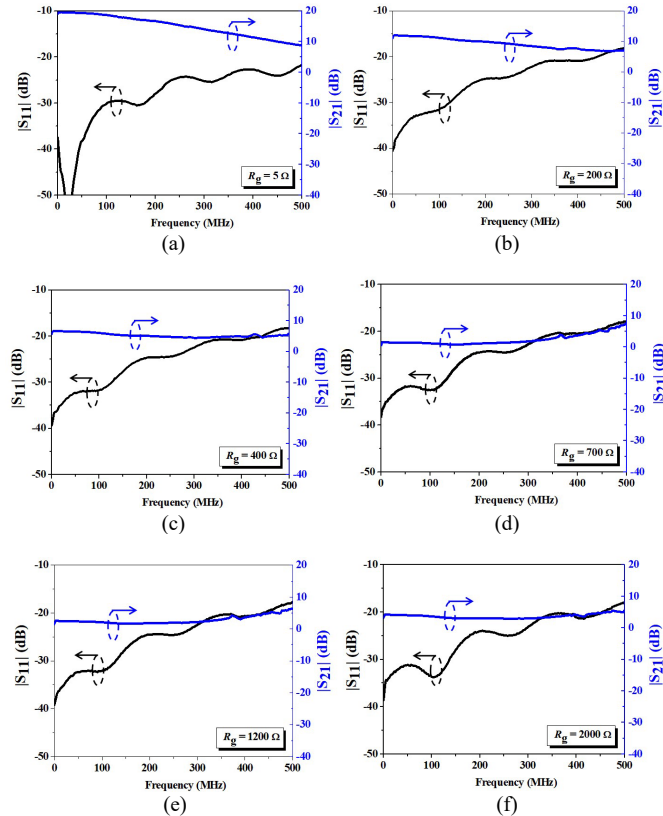


Fig. 4 Measured S-parameter results of the OpAmp circuit for different values of the resistor R_g . (a) $R_g = 5\ \Omega$. (b) $R_g = 200\ \Omega$. (c) $R_g = 400\ \Omega$. (d) $R_g = 700\ \Omega$. (e) $R_g = 1200\ \Omega$. (f) $R_g = 2000\ \Omega$.

Second, the passive NFRP ESA illustrated in Fig. 5 was selected as the foundational and reference design [46]. It consists of a coaxial probe-fed rectangular semi-loop driven element and a capacitively loaded rectangular semi-loop.

A lumped capacitor is integrated in the center of the top horizontal trace of the NFRP CLL element. It facilitates the desired significant decrease in the electrical size of this radiating element. The capacitor is realized as a 0402 package [51], whose length, width, and height are 1.0 mm, 0.5 mm, and 0.5 mm, respectively.

Moreover, the NFRP ESA design was optimized to directly match it to the output impedance of the OpAmp circuit. Based on the operating characteristics of circuit ② and refined with its measured results, the ESA was co-designed to have a $145\ \Omega$ input impedance so that it would be matched to the OpAmp circuit when the driven element is connected to it.

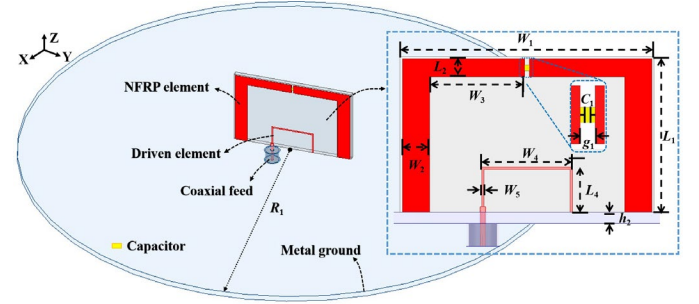


Fig. 5. Passive NFRP ESA configuration. Inset with its design parameters. They are (in millimeters): $L_1 = 13.6$, $L_2 = 1.6$, $L_4 = 4.0$, $W_1 = 22.4$, $W_2 = 2.7$, $W_3 = 8.1$, $W_4 = 8.0$, $W_5 = 0.2$, $g_1 = 0.4$, and $h_2 = 1.0$. The capacitance $C_1 = 5.6$ pF.

Furthermore, this passive NFRP ESA was designed to be extremely electrically small with $ka = 0.15$. The performance characteristics of this XESA were simulated, and those results are presented in Fig. 6. The $|S_{11}|$ and realized gain values are given in Fig. 6(a) and the input impedance (resistance and reactance) values are given in Fig. 6(b) as functions of the source frequency. It is readily determined that the resonance frequency was $f_0 = 413.4$ MHz and that it has a low peak realized gain value, -1.92 dBi, as anticipated from [52]. The input impedance at f_0 is close to $145\ \Omega$, which indicates that the passive XESA is well matched to the OpAmp. Recall that the basic design is a form of the 2D magnetic EZ antenna [46]. As demonstrated in [53], the majority of the currents on the ground plane are confined near to the magnetic EZ radiators. As a consequence, decreasing the radius of the ground plane would have little impact on the impedance matching, but it would cause the peak realized gain to decrease some as the front-to-back ratio (FTBR) value does [53]. The size of the ground plane of the XESA was selected simply for convenience in handling it during the measurement campaign.

Recall that the Chu-Wheeler quality factor corresponding to a ka value is $Q_{\text{Chu}} = (ka)^{-1} + (ka)^{-3}$ [8], [9], which for the passive XESA is $Q_{\text{Chu}} = 303$. The fundamental bounds on the quality factor, Q , of an electric and magnetic antenna are expressed as the lower bounds: $Q_{\text{lb, elec.}} = (3/2) \times \eta_{\text{rad}} \times [(ka)^{-3} \times (ka)^{-1}]$ and $Q_{\text{lb, mag.}} = 2 Q_{\text{lb, elec.}}$ [8], [54]–[57], where η_{rad} is the antenna's radiation efficiency. The maximum fractional bandwidth (FBW) associated with the -3 -dB and -10 -dB impedance matching points is related to the Q value as $FBW_{3\text{dB}} = 2 / Q = 3 FBW_{10\text{dB}}$ [58], [59]. Moreover, the maximum directivity of an electric or a magnetic XESA is $D_{\text{max}} = 1.5$.

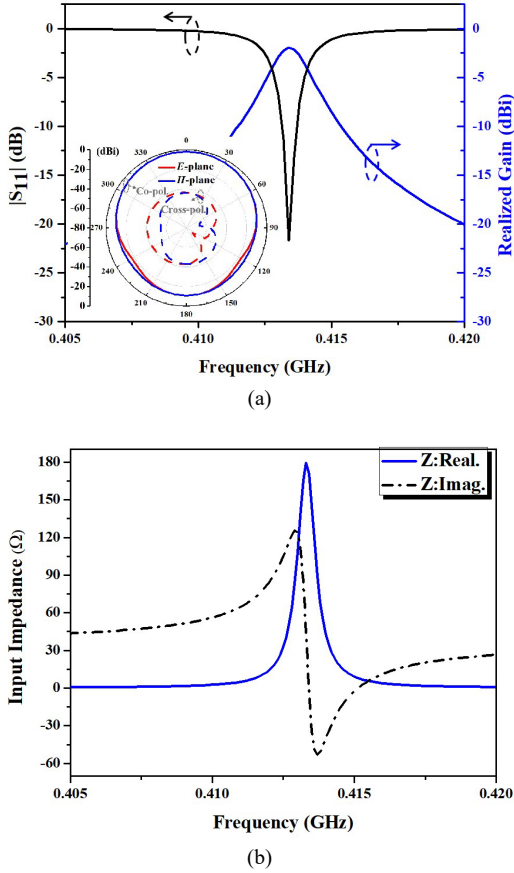


Fig. 6. Simulated performance characteristics of the passive NFRP ESA as functions of the source frequency. (a) $|S_{11}|$ and realized gain values with the radiation patterns in the E- and H-planes at the resonance frequency, 413.4 MHz, presented in the insert. (b) Input impedance values.

Recall that the magnetic EZ antennas act as magnetic dipole antennas [46], [60]. The tight upper bound on the ratio of the directivity, D , and the quality factor for a passive, lossless, linearly polarized, electrically small magnetic antenna that makes full use of the radian-sphere is [61], [62]:

$$(D/Q_{\text{mag}})_{\text{max, passive}} \leq (1/2)(ka)^3 \quad (2)$$

The currents on the ground plane associated with the magnetic EZ antennas responsible for impedance matching are basically within the radian-sphere associated with its NFRP element, the main radiator [63]. Also recall that gain of an antenna is the product of its efficiency times its directivity [64]. Consequently, the passive XESA in Fig. 5 has the maximum upper bound on its $GBWP$:

$$(GBWP)_{\text{max, passive}} = D_{\text{max}} \times FBW_{3\text{dB}} \leq (ka)^3 = 3.375 \times 10^{-3} \quad (3)$$

With the results shown in Fig. 6(a), one has $FBW_{3\text{dB}} = 3$ $FBW_{10\text{dB}} = 3 \times 1.33 \times 10^{-3} = 0.004$. Its peak gain $G_{\text{max}} = -1.92$ $\text{dBi} = 0.643$. The simulations further indicate that its radiation efficiency $\eta_{\text{rad}} = 16.25\%$ and its overall efficiency is 16.14% at 413.4 MHz.

Third, the NFRP ESA is integrated with the OpAmp circuit as was demonstrated in Fig. 2. Note that this perpendicular placement of the OpAmp circuit unavoidably makes the

operating frequency of the active XESA move to a slightly higher frequency with only a small influence on its impedance matching level, which is easily compensated by optimizing the design parameters of the joint XESA-OpAmp system itself, e.g., the length and the width of the CLL. The parallel placement version, i.e., the OpAmp PCB being parallel to the ESA and separated by a gap, was also considered, but found not to perform as well. There is less coupling between the currents on the OpAmp PCB and those on the CLL and ground plane in the perpendicular version. Furthermore, the impedance matching deteriorates when the parallel version is made more compact (smaller gap) which in turn leads to much lower realized gain values.

The operating mechanisms of the active XESA, i.e., the combined structure, were analyzed by building the corresponding equivalent circuit model based on its physical structure depicted in Fig. 7. Specifically, the rectangular semi-loop driven element was modeled by as a series circuit consisting of four lossy inductors: L_1, L_2, L_2 , and L_1 , together with four resistors, R_1, R_2, R_2 , and R_1 . Similarly, the capacitively-loaded semi-rectangular loop NFRP element is equivalent to a symmetrical series circuit, i.e., inductors L_3, L_4 , and L_5 with resistors R_3, R_4 , and R_5 , and loaded capacitor C_1 . The radiation resistor of the ESA is represented by the grounded resistor, R_{rad} . Moreover, the capacitive coupling between the driven and NFRP elements is represented by four capacitors C_2, C_3, C_3 , and C_2 . The OpAmp circuit, which consists of the OPA855 chip, matching resistor R_m , feedback resistor R_f , voltage dividing resistor R_g and circuit loss resistor R_L , is connected to the driven element as indicated in Fig. 2. The element values of the optimized equivalent circuit are detailed in Table III.

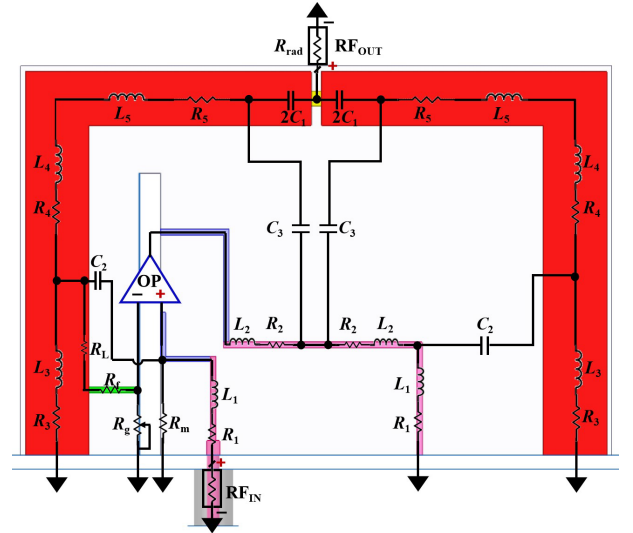


Fig. 7 Equivalent circuit model based on the electromagnetic structure of the active ESA.

TABLE III ELEMENT VALUES OF THE EQUIVALENT CIRCUIT

$C_1 = 5.6\text{pF}$	$C_2 = 114\text{pF}$	$C_3 = 0.6\text{pF}$	$L_1 = 2.3\text{nH}$	$L_2 = 1.4\text{nH}$
$L_3 = 0.3\text{nH}$	$L_4 = 0.6\text{nH}$	$L_5 = 14.8\text{nH}$	$R_1 = 0.001\Omega$	$R_2 = 0.001\Omega$
$R_3 = 0.01\Omega$	$R_4 = 0.001\Omega$	$R_5 = 0.001\Omega$	$R_f = 0.25\Omega$	$R_m = 50\Omega$
$R_g = \sim 2\text{k}\Omega$	$R_f = 499\Omega$	$R_{\text{rad}} = 13.5\Omega$	NULL	

This circuit model has several series RLC sets in its feedback loop. Therefore, the closed-loop gain of the XESA-OpAmp system follows immediately from (1) as:

$$A_f = 1 + \frac{Z_{eff} + R_f}{R_g} \quad (4)$$

where Z_{eff} is the effective impedance between the resistor R_f and the output port of the OpAmp. Clearly, the presence of Z_{eff} changes the performance of the OpAmp circuit. Moreover, the effective gain of the system becomes proportional to the power radiated, i.e., the power associated with the resistor R_{rad} . Therefore, we take the radiation resistor as another port in the equivalent circuit-based co-simulation to obtain the $|S_{21}|$ values to obtain the enhancement of the effective gain of the active ESA. We found this equivalent circuit model attained the desired co-simulation results that guided our active XESA design to be accurate and much more flexible and cost effective in time and effort than any of the commercial software tools available to us.

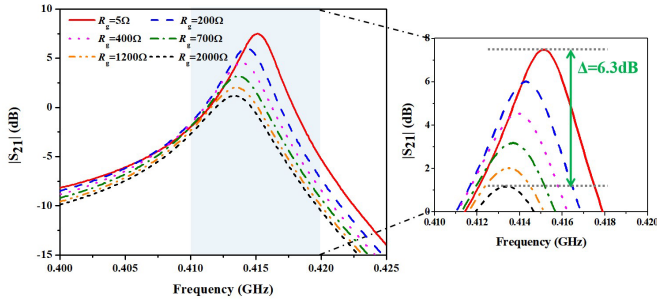


Fig. 8 Simulated $|S_{21}|$ values of the equivalent circuit as functions of the source frequency for six different values of the resistor R_g . The insert zooms in on the $|S_{21}| > 0$ dB region.

The equivalent circuit-based co-simulated $|S_{21}|$ values for different R_g values are plotted in Fig. 8. The $|S_{21}| \geq 0$ dB region of these values is shown in the insert. One finds that the $|S_{21}|$ values of the equivalent circuit can be dynamically tuned within a $\Delta = 6.3$ dB range by regulating the resistance of the adjustable resistor R_g from 5Ω to 2000Ω . In particular, peak $|S_{21}|$ values of the equivalent circuit model are 7.49, 6.01, 4.53, 3.17, 2.02 and 1.18 dB when R_g is 5, 200, 400, 700, 1200 and 2000Ω , respectively. The $|S_{21}| \geq 0$ dB values represent potential amplified levels of radiated power facilitated by integrating the XESA into the OpAmp's feedback loop. The equivalent circuit-based co-simulation results confirmed that this combination had a similar tunable closed-loop amplification gain performance as those presented in Fig. 4. Furthermore, when compared with a cascaded design in which the same OpAmp is configured as a simple transmitting amplifier and fed in series to the same ESA which is directly matched to the output impedance of the OpAmp circuit, 145Ω , our integration approach not only obtains a comparable gain variation range, but it possess several additional merits. These include excellent stability, monotonic gain variation, and trivial frequency variations. Furthermore, simulations of the XESA system with a ground plane whose size is more comparable to the radiator's size show that it also exhibits

similar performance characteristics. Consequently, it would be suitable for space-limited systems and would eliminate the transmission line connection losses incurred in any corresponding series version.

III. MEASUREMENT OF THE ACTIVE ESA PROTOTYPE

Guided by the equivalent circuit of the combined structure in Fig. 7, the active XESA shown in Fig. 2 was optimized, fabricated, assembled and measured. The components of the fabricated prototype are shown in Figs. 9(a) and 9(b). The S-parameter measurements were carried out using an Agilent Technologies N5225A network analyzer. The far-field characteristics of the prototype, including its effective gain values and corresponding radiation patterns, were measured in an anechoic chamber. The antenna under test (AUT) in the measurement chamber is shown in Fig. 9(c) along with one of the ATEN TPR3003T-3C DC power supplies which provided the requisite ± 2.5 V to turn on the OpAmp circuit.

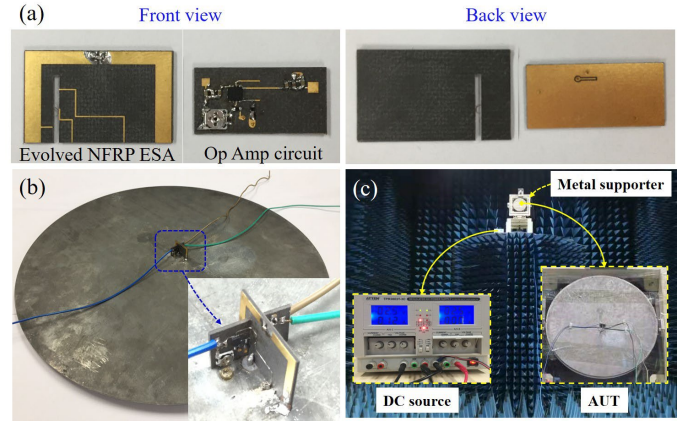


Fig. 9 Fabricated active XESA. (a) Front and back views of each layer before assembly. (b) 3-D isometric view. (c) Antenna under test (AUT) in the chamber.

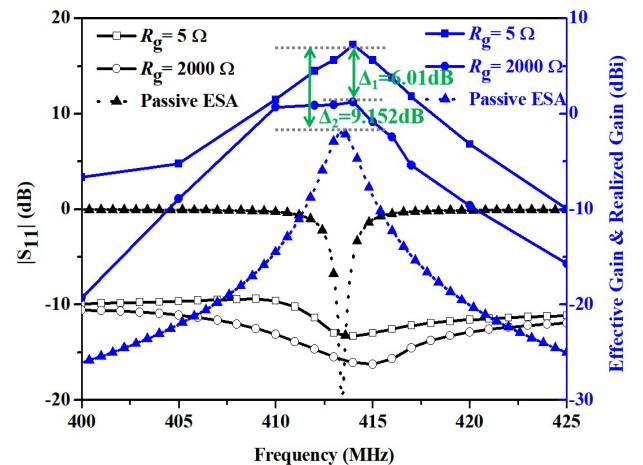


Fig. 10 The simulated $|S_{11}|$ and realized gain values of the passive ESA (dashed lines) and the measured $|S_{11}|$ and effective gain values of the active ESA (solid lines) for several different resistor R_g values.

The S-parameters and the effective gain in the vertical $\theta = 0^\circ$ direction of the developed active XESA, along with its passive counterpart for comparison purposes, are given in Fig.

10. The measured results of the active XESA at the two bounding values of the adjustable resistor R_g , i.e., $R_g = 5 \Omega$ and 2000Ω , are presented. Compared with the simulated results of its passive counterpart, the $|S_{11}|$ curves of these two active XESAs (black solid lines) are below -10 dB over the entire set of frequencies shown. This advantageous impedance matching outcome results from the 50Ω resistor being presented at the positive input port of the OpAmp. Note that both of these curves reach their minimum values near the working frequency of the passive ESA, i.e., near 413.4 MHz.

The measured effective gain curves of the active XESA also exhibit a variation similar to the corresponding circuit model results shown in Fig. 8. We also note that the 3-dB bandwidths of the measured effective gain curves are greatly enlarged. The frequency-dependent amplification associated with the OpAmp circuits compensates for the smaller realized gain values in the neighborhood of the resonance frequency of the passive ESA, thus expanding the bandwidth [65].

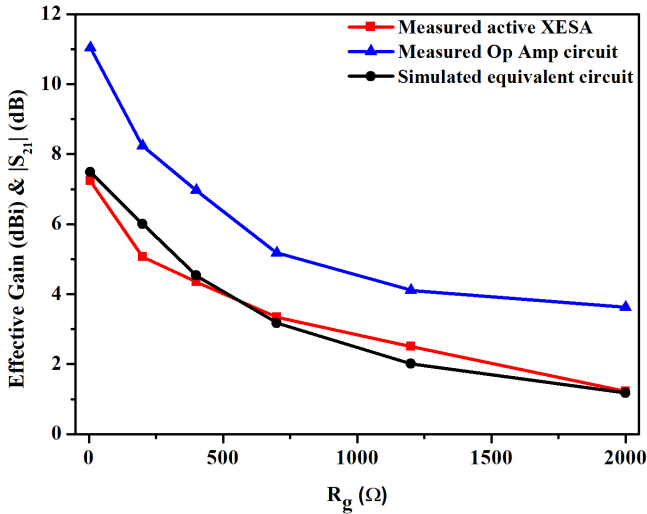


Fig. 11 The measured effective gain values of the active XESA (red solid line), the measured $|S_{21}|$ of the active OpAmp circuit (blue solid line) and the simulated $|S_{21}|$ of the equivalent circuit (black solid line) for the six different resistor R_g values at 414 MHz.

To clearly understand how the R_g value regulates the effective gain, the peak values for different R_g values were extracted from the measured results. These measured results are shown in Fig. 11 (the red line). Specifically, the measured peak effective gain values of the active XESA are 7.232, 5.07, 4.352, 3.339, 2.498 and 1.222 dBi, when $R_g = 5, 200, 400, 700, 1200$ and 2000Ω , respectively, at the center frequency, 414 MHz. Its 3-dB gain bandwidth is from 412 to 416 MHz, i.e., $FBW_{3dB} = 0.966\%$ with an average gain 5.35 dBi, when R_g is regulated to 5Ω . Note, in comparison, that a typical passive quarter-wave monopole has $ka = 1.57$ (10.47 times larger than the XESA) and has a theoretical maximum realized gain of 5.19 dBi [65].

These results clearly demonstrate the adjustability of the effective gain of the active ESA. The prototype achieved a tunable effective gain range from 1.222 - 7.232 dBi when the resistance R_g varied from 5Ω to 2000Ω . Hence, the maximum effective isotropic radiated power (EIRP), defined as the product of P_{in} and G_{ant} (where P_{in} and G_{ant} are the input power delivered to the antenna and the gain of the antenna,

respectively), of the proposed active transmitting XESA witnessed an 8.23 times improvement compared with its passive counterpart [66]. Therefore, the $\Delta_1 = 6.01$ dB variation of the effective gain and the improved EIRP for an XESA with $ka = 0.15$ can meet the requirements of a variety of high-gain, narrow-band transmitting applications in space-constrained and smart platforms.

The $GBWP$ of the XESA prototype follows from (3), i.e., it is the product: $GBWP_{measured} = G_{max} \times FBW_{3dB}$. The peak effective gain of the active XESA, 7.232 dBi = 5.287, is $\Delta_2 = 9.152$ dB larger than the realized gain of its passive counterpart. This peak value occurs when $R_g = 5 \Omega$. The corresponding $FBW_{3dB} = 0.00966$. This means $GBWP_{max, measured} = 5.287 \times 0.0097 = 0.0513$. Consequently,

$$GBWP_{active} / GBWP_{passive, max} = 15.2 \quad (5)$$

i.e., the measured active XESA had a 15.2 times enhancement of the $GBWP_{max}$ value in comparison to its passive upper bound.

The measured co-polarization and cross-polarization effective gain patterns of the active XESA in its E -plane ($yo z$) and its H -plane ($xo z$) at the center frequency of its operating band, 414 MHz, for different R_g values are presented in Fig. 12. These patterns are quite similar to those of the passive counterpart displayed in the insert of Fig. 6(a). The peak effective gain value in all of the cases is along the vertical, $\theta = 0^\circ$ direction. The measured cross-polarization levels are 15 dB lower than the co-polarization levels, demonstrating that, like its passive counterpart, the polarization purity of the active XESA is high. In general, the mainlobe of the effective gain patterns in all cases is uniform and stable. Thus, they further demonstrate that the OpAmp circuit has little influence on their shape even though it has a large impact on their peak values. The differences between the backlobes of the passive ESA patterns (in Fig. 6) and those of the measured active XESA (in Fig. 12) are attributed to both simulation and measurement issues. For instance, neither the slender circuit bias lines nor the support structure holding the antenna during its measurements were considered in the simulations. Notably, the large metal support directly behind the antenna that supported it in the test turntable, as shown in Fig. 9, impacted the back-directed fields. Moreover, typical measurement errors added to the discrepancies.

Since we do not have the equipment to directly test the signal-to-noise ratio (SNR) of the transmitting XESA system in our group, the measured noise spectrum on transmission is not available. Nevertheless, we have been able to measure the noise spectrum of the active amplifier circuit as it is turned on and turned off using a Keysight MXA N9030A spectrum analyzer. The measured noise power level that our active XESA prototype witnesses over its ESA's 3-dB gain bandwidth was at most only 2.43 dB. Again, as Fig. 10 demonstrates, the active XESA's measured gain improvement when its prototype was used as a transmitting antenna is 9.152 dB. Because this value is much larger than that of the added noise level, the presence of the latter was not a significant detriment to our active XESA's excellent performance characteristics.

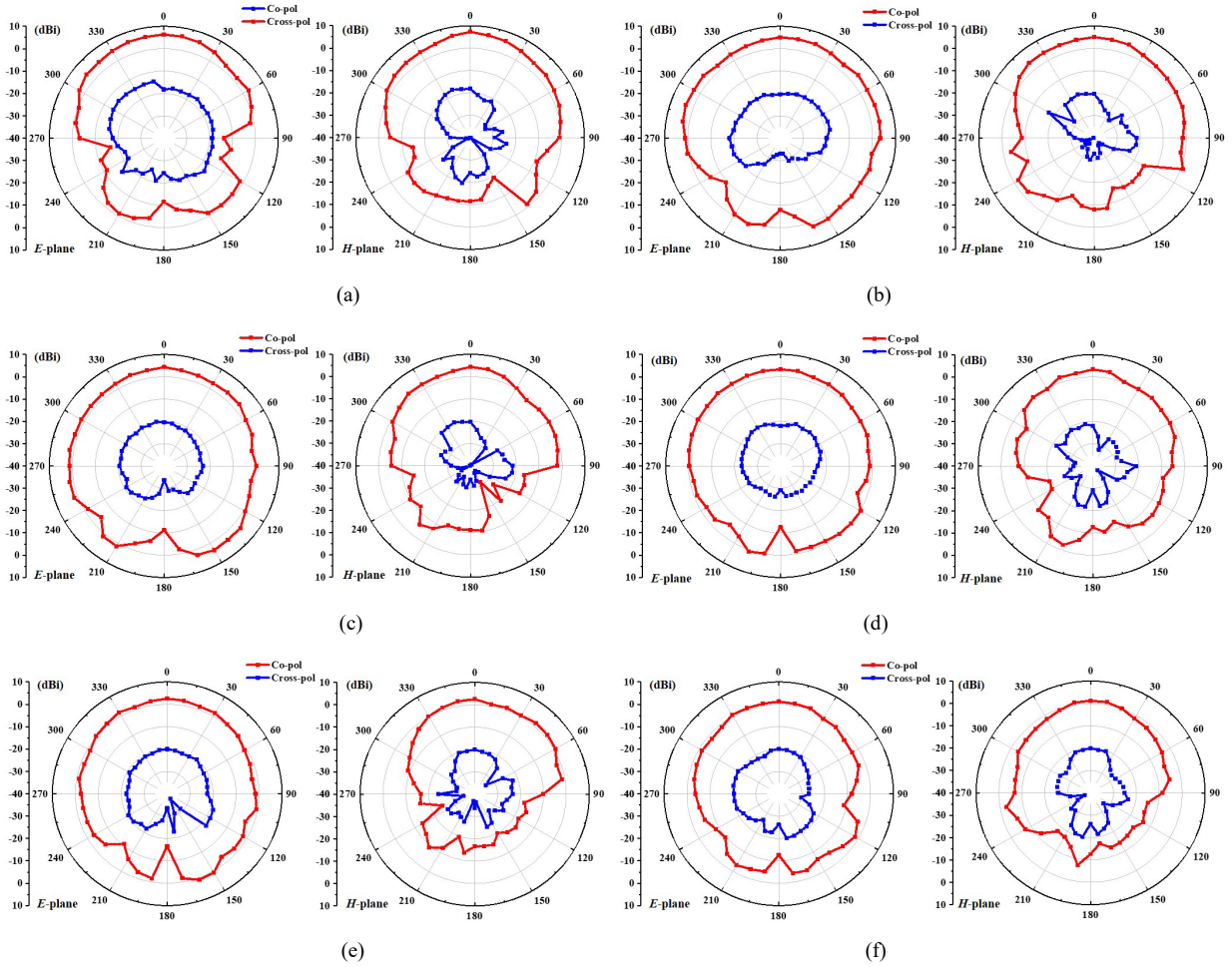


Fig. 12 The measured effective gain patterns of the prototype active ESA operating at 414 MHz for six different values of the variable resistor R_g . (a) $R_g = 5 \Omega$. (b) $R_g = 200 \Omega$. (c) $R_g = 400 \Omega$. (d) $R_g = 700 \Omega$. (e) $R_g = 1200 \Omega$. (f) $R_g = 2000 \Omega$.

IV. CONCLUSIONS

An effective-gain-enhanced extremely electrically small NFRP antenna with its $ka = 0.15$ was designed and experimentally demonstrated. The NFRP element of this active XESA was embedded in the negative feedback loop of an OpAmp circuit. Our innovative seamless integration methodology, rather than the standard cascaded configuration, maintained the electrically small size of the system. The measured results, in good agreement with the simulated values of the equivalent circuit, verified its efficacy. The effective gain of the active XESA was significantly larger than the realized gain of its passive counterpart, i.e., 9.152 dBi (8.23 times) larger. Moreover, the effective gain of the systems was demonstrated to be adjustable simply by varying the value of the resistor in the feedback loop. A range of values, from 1.222 to 7.232 dBi, was attained by varying the R_g from 5 to 2000 Ω . The maximum *EIRP* of the active XESA was 8.23 times larger than that of its passive counterpart.

Comparisons between the results of the developed active NFRP XESA and its passive counterpart confirm that it produces an experimentally validated maximum *GBWP* that is 15.2 times larger than its passive upper bound. The

polarization purity of the active XESA was high. The effective gain patterns were uniform and stable over its entire bandwidth. Consequently, the advantages of the developed active XESA makes it a potentially viable solution for future space-constrained wireless applications requiring a high effective gain and narrow-band antenna system.

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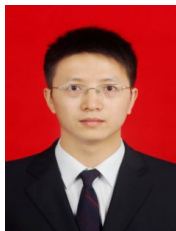
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