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Tackling the cytokine storm using advanced drug delivery in allergic airway disease

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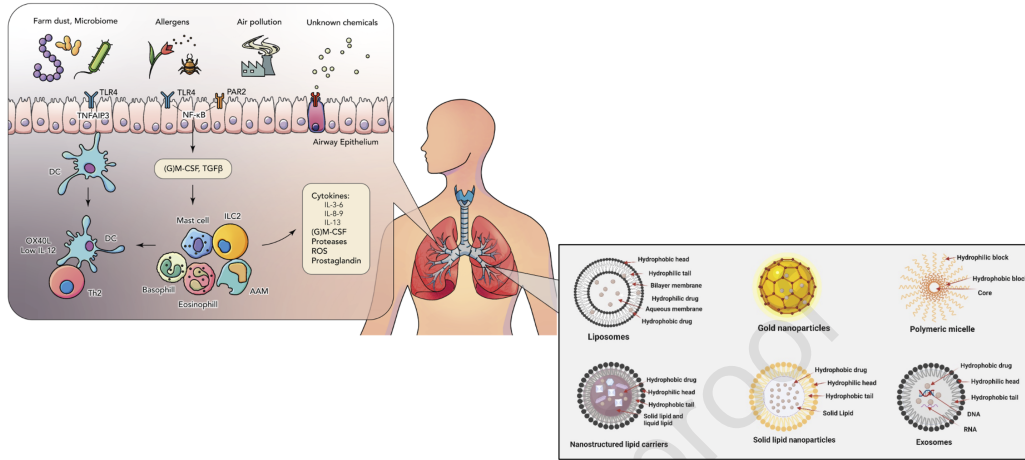
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Graphical abstract



1 Tackling the cytokine storm using advanced drug delivery in allergic airway disease

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43 **Highlights**

- 44 • Cytokine storm is one of the key epidemiological and pathophysiological features of
- 45 asthma
- 46 • The ongoing developments in gene therapy, drug delivery systems and clinical trials
- 47 may unravel the complexity of asthma

48

49 **Declarations**50 **Ethics Approval** – Not applicable51 **Consent to participate** – Not applicable52 **Consent to for publication** – Not applicable

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62 **Abstract**

63 Asthma is one of the leading causes of mortality worldwide presenting a huge socio-economic
64 burden with rising morbidity and mortality rates. It is a chronic inflammatory airway disease
65 that is eminent with multiple epidemiological and pathophysiological features such as over
66 production of pro-inflammatory cytokines that triggers an uncontrolled aberrant inflammatory
67 response known as ‘cytokine storm’. This phenomenon interferes with the signalling and
68 production of cytokines over time leading to the progression of disease and the development
69 of complications that lead to fatal consequences in many individuals. Targeting this
70 overproduction and signalling of cytokines may prove a promising approach to develop novel
71 cytokine specific therapies in the treatment of asthma. This review discusses on the various
72 pharmacological strategies, recent advancements in drug delivery systems and significant
73 findings from clinical trials that may have a potential to outweigh the limitations of the current
74 therapies in the treatment of asthma.

75 **Key words:** asthma, cytokines, drug delivery systems, clinical trials

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87 **1. Introduction**

88 The prevalence and burden of chronic respiratory diseases (CRDs), especially asthma and
89 chronic obstructive pulmonary disease (COPD), are constantly accelerating worldwide.
90 Notably, the report on the global burden of disease highlights that about 545 million individuals
91 develop a chronic respiratory condition on an average. This accounts for approximately 3.9
92 million deaths annually [1]. Moreover, over the past years, CRDs have accounted towards a
93 huge proportion of disability-adjusted life years globally, with an 18% increase [1]. In
94 Australia, both COPD and asthma, along with lung cancer feature in the top ten leading causes
95 of diseases [2]. In 2020-21, the prevalence of asthma and COPD were reported to be 10.7%
96 and 1.5% respectively among individuals of all ages [3]. Understandably, the prevalence of
97 COPD has now increased to 5.2% in people aged >75 years. In Australia, asthma-related deaths
98 remain exceptionally high (417 deaths in 2020) when compared worldwide. Both asthma and
99 COPD are categorised as chronic respiratory diseases that require lifelong patient-centric
100 management of symptoms resulting in an enormous health as well as economic burden on
101 patient as well as on the healthcare system [2].

102 Asthma is a rather common but heterogeneous chronic condition, and unfortunately, it is often
103 inadequately controlled in most patients [4]. For example, only 1 in 3 Australians has a scripted
104 asthma action plan or uses asthma-related medications recurrently [5]. This further complicates
105 the disease presentation and management, and often leads to accelerated progression of disease.
106 Hence, there is a critical need for novel strategies for managing asthma. Furthermore, asthma
107 affects individuals of all ages, although the presentation of disease may vary depending upon
108 the exposure to allergens, racial background, gender, diet, and other indicators such as socio-
109 economic status [6]. Asthma may present differently in individuals and is categorised based on
110 clinical symptoms and immunological characteristics of the disease. The symptoms of asthma

111 include shortness of breath, chest tightness, coughing and wheezing. Allergic asthma
112 exacerbates in response to exposure to non-specific environmental stimuli, including infectious
113 (microbes) and non-infectious (mould, dust mite, and pollen) triggers, in addition to host
114 genetic makeup [7]. These intrinsic and extrinsic triggers lead to aberrant inflammatory
115 response that may result in the exacerbation of asthma symptoms, resulting in severe and
116 deleterious consequences such as hospitalisation and increased risk of morbidity. Mild-to-
117 moderate asthma is primarily characterised by a T-helper cell type-2-mediated inflammation
118 developing due to heightened responses to common allergens. The major cell types involved
119 in type-2 asthma are mast cells, Th2 cells, basophils, eosinophils, group 2 innate lymphoid cells
120 (ILC2s), and IgE-producing B cells [8]. Moreover, prolonged exposure to these common
121 allergens and resulting persistent inflammation further leads to myofibroblast infiltration,
122 leading to remodeling of the airway epithelium. This results in increased mucus secretion,
123 hypertrophy of airway smooth muscle, and significant increase in collagen deposition [8]. This
124 causes the airways to become increasingly more responsive to aeroallergen provocation, and
125 this leads to the characteristic ‘wheezing’ and obstructed airflow. An extensive amount of
126 scientific research has progressed the understanding of the underlying inflammatory
127 mechanisms and the development of new therapies in asthma management.

128 Asthma can be categorised into different phenotypes, depending on the observable
129 characteristics, which primarily relies on the clinical presentation of the disease; or endotypes,
130 depending on the distinct underlying disease mechanisms that are taken into consideration [9].
131 These are rather complex and represent the heterogenous and varying outcomes of host-
132 environment interactions [7]. Based on the cells activated during inflammation, asthma can be
133 categorised into neutrophilic, eosinophilic, or paucigranulocytic (in which no increased
134 numbers of neutrophils or eosinophils are observed). A combination of clinical symptoms and
135 cellular and molecular, are utilised to categorise the asthma sub-types, as well as to determine

136 the treatment strategies for individuals with asthma. In this review, we summarise the key
137 inflammatory pathways activated in asthma, and we explore the potential approaches, including
138 recent drug delivery techniques, to mitigate the inflammation-induced lung damage in asthma.

139

140 **2. Pathophysiology of asthma**

141 The pathogenesis of asthma involves the interaction between inflammation and remodeling of
142 the airways of the lungs, resulting in airway hyperresponsiveness (AHR) [10]. AHR is the
143 major feature of asthma, leading to an exaggerated bronchoconstrictor response to various
144 environmental stimuli such as allergens (infectious and non-infectious), farm dust,
145 microbiomes, air pollutants, and other chemicals. The hyperresponsiveness of the bronchial
146 airways alters the integrity of the inner wall of the lung epithelium, which is maintained by the
147 contraction and relaxation of smooth muscles and elastic fibers, in response to the
148 inflammatory mediators, thus resulting in excessive narrowing of the airways [11]. Asthma
149 diminishes the lung function in an accelerated manner, which in severe and chronic cases,
150 causes an irreversible airflow obstruction via airway remodeling. AHR involves multiple and
151 complex mechanisms, including increased histamine production from mast cells,
152 inflammation, loss of airway contractility, and increased thickness of the inner wall, causing
153 difficulty in breathing [8, 12]. The interplay between innate and adaptive cells and mediators
154 in type 2 inflammation underpins the pathophysiology of asthma as discussed below (Figure
155 1). Moreover, airway inflammation is a characteristic feature of asthma and involves an early
156 phase of initiation involving sensitisation of immunoglobulin E (IgE), released by plasma cells,
157 to environmental allergens and pollutants. The serum IgE is elevated and binds to mast cells
158 and basophils [8, 13]. Mast cells then de-granulate to release cytokines such as histamine,
159 prostaglandins, and leukotrienes, which in turn causes the contraction of airway smooth muscle
160 resulting in airway constriction [7, 8].

161 Th2 lymphocytes play an important role in the exacerbation of airway inflammation [14]. The
162 antigen-presenting dendritic cells in the airways process the allergens into small peptides and
163 presents them to naïve T cells via major histocompatibility complex (MHC) class II molecules
164 [14]. This leads to differentiation of the T cells to Th2 phenotype, which produce a series of
165 pro-inflammatory cytokines such as interleukin (IL)-3, IL-4, IL-5, IL-6, IL-8, IL-9, IL-13, as
166 well as granulocyte macrophage-colony stimulating factor (GM-CSF), proteases, reactive
167 oxygen species (ROS) and prostaglandins. This phenomenon is also known as ‘cytokine storm’
168 [8, 15-18]. These cytokines subsequently stimulate localisation of dendritic cells (DCs), type 2
169 innate lymphoid cells (ILC2s), basophils, eosinophils, mast cells, and alternatively activated
170 macrophages (AAMs) that promote adaptive Th2 immunity via induction of ox40L (is also
171 known as tumour necrosis factor super family member 4 and is ligand for ox40 that is expressed
172 by many antigen-presenting cells such as T cells, natural killer (NK) cells and lymphocytes
173) [19] and suppression of IL-12, particularly in DCs [20-22]. This leads to bronchoconstriction
174 and inflammation. Notably, a prior exposure to allergens can dampen the epithelial cell
175 response leading to an upregulation of tumour necrosis factor acute induced protein-3
176 (TNFAIP-3), which further suppresses the activity of nuclear factor- κ B (NF- κ B) in epithelial
177 cells (Figure 1). This aberrant inflammatory response eventually leads to airway remodeling -
178 a complex clinical feature of asthma that involves long term disruption and modification of
179 airway architecture. This significantly contributes to AHR and lung function decline [23, 24].
180 Airway remodeling is characterised by epithelial damage, ciliary dysfunction, extracellular
181 matrix deposition, and increased epithelial-to-mesenchymal transition (EMT), causing
182 permanent airflow obstruction [8, 23-26]. This further leads to inefficient adhesion of bronchial
183 epithelial cells to the airway wall thus, leading to infiltration of airway smooth muscle cells
184 and thickening of the airway walls due to migration of bronchial epithelial cells [27]. At this
185 stage, further release of a wider range of cytokines such as TNF- α , IL1- β , IL-6, IL-13 IL-17

186 and ROS via interactions between eosinophils and mast cells further amplifies inflammatory
187 response and oxidative stress. This leads to an irreversible airflow obstruction and lung
188 function decline [8, 26, 28]. Although the pathophysiology of asthma, airway inflammation
189 and remodeling, including subsequent cytokine release, have been well documented in the
190 literature, this dogma is predominantly translated from only murine models of asthma.
191 Nevertheless, further research is essential to determine the effects of inhibiting the cytokine
192 response, being that is a key player in both airway inflammation and remodeling in asthma.
193 Targeting the release of this wider repertoire of cytokines may have the potential to
194 dramatically improve symptoms and disease burden in asthmatic patients.

195

196 **3. Current therapies to treat asthma and their limitations**

197 Although there is no proper cure for asthma, the overall aim is to effectively manage and
198 control the symptoms that are associated with the disease, such as inflammation, exacerbations,
199 airway narrowing, mucus production that are associated with the disease, and to improve the
200 quality of life of the patients [29]. The current treatment strategies employed in the treatment
201 of asthma are mainly focussed on reducing symptoms for the two key clinical features of
202 asthma explicitly, inflammation and bronchoconstriction via anti-inflammatory agents
203 (commonly, inhaled corticosteroids) and bronchodilators. Targeting inflammation is a key
204 success factor to the long-term management of asthma, and identifying various inflammatory
205 targets implied with the disease is fundamental. Treatment strategies against these targets to
206 surrogate the inflammation result in better outcomes when compared to targeting
207 bronchodilation [30]. The conventional anti-inflammatory therapy that is widely recommended
208 by the global clinical guidelines includes the use of the inhaled corticosteroids (ICS) [31]. ICS
209 therapy is a key treatment for asthmatics that reduces an inflammation either by inducing
210 apoptosis or by reducing infiltration of the inflammatory cells such as eosinophils, mast cells

211 and T cells thereby preventing the exacerbations and improving the lung function to further
212 reduce morbidity and mortality associated to asthma [32, 33].

213 Although ICS are effective in the treatment of asthma, unwanted adverse effects associated
214 with long-term treatment include weight gain, development of cataract and glaucoma,
215 osteoporosis, hyperglycaemia, and drug-associated diabetes, and skin diseases associated with
216 the long-term treatment limit the use of ICS [32]. In addition to the adverse effects, the
217 compliance with ICS is very poor as this therapy does not cure asthma, and the symptoms
218 usually persist upon treatment discontinuation. Interestingly, about 5-10% asthmatics respond
219 poorly to this conventional therapy due to altered and unstable expression of glucocorticoid
220 receptor and nuclear translocation failure of the corticoids-receptor complex that ultimately
221 leads to steroid resistance, highlighting the necessity to develop alternative anti-inflammatory
222 therapies that can treat the disease effectively. Moreover, oxidative stress in severe asthma
223 further mediates various mechanisms including impairment of the histone deacetylase-2
224 (HDAC2) and activation of p38 mitogen activated protein kinase (MAPK), and this which
225 results in the amplification of the inflammatory response and the reduction of the anti-
226 inflammatory response to the steroids [34].

227 Targeting bronchoconstriction is another significant strategy in asthma treatment, and
228 bronchodilators are highly necessary to relieve bronchoconstriction, enabling to relax the
229 smooth muscle especially in acute episodes of asthma. Bronchodilators including the fast-
230 acting β 2-agonists, such as albuterol, short-acting anticholinergics, such as ipratropium
231 bromide, and long acting β 2-agonists (LABAs) such as salmeterol and formoterol, are currently
232 used either alone or in combination with the ICS for the effective management of asthma [31,
233 35]. Although advancements in research have led to the development of ultra-long acting β 2-
234 agonists such as indacaterol, carmoterol, milveterol, poor adherence and associated adverse

235 effects such as sympathomimetic effects i.e., anxiety, tremors, tachycardia, and cholinergic
236 blocking effects i.e., xerostomia, limit the usage of this class of drugs [32, 36].

237 The use of biologics represents another approach for the treatment of asthma associated with
238 allergic conditions. Blockade of IgE with omalizumab and targeting IL-5 cytokine with
239 antibodies such as mepolizumab, reslizumab and benralizumab, and IL-4 cytokine with
240 duplizumab acts on various pathways to prevent IgE binding to receptors on mast cells.
241 Moreover, blockade of IL-5 cytokine from binding to its receptor and activating apoptosis of
242 eosinophils are reported to be reasonably effective particularly in cases of severe asthma [31,
243 37, 38]. Several experiments have been trailed by the administration of the Th1 cytokines like
244 interferon (IF)- α , β , γ , and IL-12 to suppress the Th2 asthmatic responses. However, due to
245 adverse effects and the lack of efficacy, this strategy has not progressed further [39]. The
246 existing biologics mainly targets the Th2 asthmatic response, although there are other Th2
247 lower asthmatic endotypes that are not fully characterised. Biologics targeting these endotypes
248 that are specific to the airway neutrophilia were proven to be unsuccessful. Therefore, the
249 current research aim to target the wider repertoire of the cytokines such as TNF- α , IL1- β , IL-
250 6, IL-17 which may affect airway neutrophilia of the Th2 lower asthmatic endotypes [40].
251 Furthermore, the crossover and overlap of different pathways in asthma and the high cost of
252 the treatment limit the effective usage of these biologics effectively in asthma treatment [37].

253 Alternative therapeutic approaches include the use of leukotriene modifiers such as
254 montelukast and the 5-lipoxygenase inhibitor zileuton that act as anti-inflammatory and
255 bronchodilators have also been recommended for use in combination with LABAs or ICS to
256 treat asthma [33]. Similarly, phosphodiesterase inhibitors such as theophylline and roflumilast
257 have also shown beneficial effects in inhibiting inflammation in murine models and clinical
258 studies [31, 32]. Furthermore, inhibiting the kinases particularly, phosphoinositide-3-kinase
259 (PI3K) - that regulates the expression of chemotactic and inflammatory genes (PI3K γ), and

260 reduces the corticosteroid response (PI3K δ) – may also aid as an alternative to the ICS therapy
261 [31, 33]. However, these inhibitors possess a narrow therapeutic index and hold potential
262 adverse effects that are associated with multiple inhibitory homeostatic pathways. Finally, the
263 use of nutraceuticals as supplements has also shown some efficacy in the treatment of asthma.
264 However, poor drug distribution and lack of efficacy have further led researchers to find
265 alternate strategies to these therapies [41, 42]. Despite the development of various therapeutic
266 strategies, a better understanding of the asthma pathogenesis is highly essential to develop
267 alternative therapies that can selectively target mechanistic pathways using novel drug delivery
268 methods with minimal adverse effects and enhanced efficacy.

269

270 **4. Pharmacological strategies and recent advancements in nano-drug delivery for** 271 **targeting asthma**

272 Nano-drug delivery systems are the latest trends in drug delivery science that are rapidly
273 growing in the present era. Particles in the nanoscale range are used for diagnosis as well as to
274 deliver therapeutic agents to the targeted sites in a controlled manner. The major benefit of
275 nanotechnology is that it allows to treat chronic diseases could be treated though site-specific,
276 and target-oriented delivery systems. Nanotechnology is used to deliver several therapeutic
277 moieties including biological agents, chemotherapeutic agents, and immunotherapeutic agents
278 to treat various diseases [43].

279 Nanoparticles (NPs) as therapeutic agents provide more benefits than conventional drugs in the
280 management of respiratory diseases. These benefits of NPs include uniform drug distribution,
281 dissolution rate, macromolecule delivery, enhanced solubility, sustained release, appropriate
282 cell internalisation, and targeted delivery of drug molecules to the required site. With the help
283 of targeted delivery, local drug concentration could be improved in the lungs, and this may
284 enhance the therapeutic efficacy of drugs in lungs for the treatment of asthma [44, 45].

285 The pulmonary route is advantageous for locally acting drugs and bioactives that are used to
286 treat lung diseases because it reduces adverse effects associated with systemic administration.
287 It is also a possible route for the administration of drugs to achieve systemic effects, since it
288 offers a large surface area for drug absorption, a weak epithelial barrier, and efficient blood
289 circulation, resulting in the direct and rapid entrance of medications into the bloodstream [46].
290 Pulmonary administration is crucial for the efficient delivery of drugs to the lungs as NPs travel
291 a considerable distance from the nasal canal to the lung alveoli. Throughout this process of
292 drug delivery, NPs should overcome several obstacles, including pulmonary barriers [47].
293 Various type of nano delivery systems developed to treat asthma are shown in Figure 2A and
294 the mechanistic illustration of pulmonary drug targeting using nano-formulations into the lung
295 are presented in Figure 2B and 2C.

296 A list of a key studies implicating role and development of various NPs in treatment of asthma
297 is summarised in Table 1.

298

299

300 ***4.1 Inorganic metallic NPs***

301 Inorganic metallic NPs have been reported as very good therapeutic, diagnostic, sensor, and
302 imaging agents for the treatment of asthma. There are various types of inorganic metallic NPs
303 including gold, platinum, zinc, cerium, and silver NPs [48, 49]. Recently, these materials have
304 been extensively utilised in biomedical applications, primarily for cell and biomolecule
305 labelling. Metallic NPs may be employed as antioxidants due to their capacity to lower ROS
306 levels and reduce their potential. Since oxidative stress plays a key role in progression of
307 asthma and metallic NPs such as gold and silver NPs conjugated with antioxidants have been
308 shown to enhance drug absorption at the target site and thus, reduce the progression of asthma
309 [44, 49].

310 Interestingly, a study conducted by Serra *et al.*, reported that gold NPs administered
311 intranasally in a mice model of asthma attenuated glucocorticoid (GC) resistance in asthma via
312 administration through intranasal route in a mice model. For the induction of asthma,
313 ovalbumin was administered through intranasal route once a week for nine weeks. Moreover,
314 another study has reported that gold NPs can attenuate the levels of biomarkers such as nuclear
315 factor erythroid 2-related factor 2 (NRF2), histone deacetylase 2 (HDAC2), thiobarbituric acid
316 reactive substances (TBARS), and PI3K δ , which play a critical role in mediating oxidative
317 stress. These findings indicate that the gold NPs possess a potential to reduce the level of
318 oxidative stress and inflammation by attenuating these asthmatic biomarkers [50]. The
319 mechanistic pathways of gold NPs against lung inflammation especially in asthma are
320 presented in Figure 3.

321 Interestingly, studies have shown that gold NPs exhibited good cellular permeability and
322 reached the target site rapidly due to their small particle size, 2-3nm [51, 52]. Indeed, Barreto
323 *et al.*, demonstrated the efficacy of gold NPs administered via intranasal route in asthmatic
324 mice and showed that gold NPs reduced airway hyper-reactivity, lung remodeling, and
325 inflammation upon induction with ovalbumin [53]. Park *et al.*, reported that the intranasal
326 delivery of silver NPs to treat asthma in ovalbumin-induced asthmatic mice led to increase in
327 the levels of various cytokines such as IL-5, IL-6, IL-13, and nuclear factor kappa light chain
328 enhancer of activated B cells (NF κ B) as well as ROS. By enhancing the levels of these potential
329 biomarkers, ovalbumin increased the degree of inflammation and oxidative stress. Various
330 biochemical parameters have been analysed to evaluate the antioxidant and anti-inflammatory
331 effects of silver NPs against ovalbumin-induced oxidative stress. The results showed that silver
332 NPs reduced the levels of IL-5, IL-6, IL-13, NF κ B and ROS. [54]. Jang *et al.*, developed silver
333 NPs and analysed their inhibitory effects on mucus hypersecretion in allergic airway
334 inflammation in female BALBc mice [55]. The findings showed that intranasal administration

335 of silver NPs significantly reduced the elevated levels of VEGF, PI3K, hypoxia-inducible
336 factor (HIF)-1, and phosphorylated-*Akt* levels, as well as mucosal glycoprotein expression
337 (Muc5ac) in lung tissues [55].

338

339 **4.2 Polymeric NPs**

340 In recent years, polymeric NPs have gained substantial attention owing to their compact size
341 [56, 57]. Properties of polymeric NPs are categorised based on their capability for controlled
342 release, ability to shield biologically active drugs and other chemicals from the environment,
343 and their capacity to enhance bioavailability and therapeutic index of conjugated drug
344 [58]. Various studies have reported that polymeric NPs alone, as well as those conjugated with
345 drugs can enhance drug delivery to the pulmonary route and increase drug potency.

346 A study conducted in 2022 by Ullah *et al.*, reported an enhanced pulmonary delivery of
347 montelukast when loaded into polymeric NPs. The optimised polymeric NPs prepared by the
348 ionic gelation were reported to have average particle size range, zeta potential, and
349 polydispersity index (PDI) of 220 nm to 383 nm, - 11 mV to 22 mV, and 0.50, respectively. To
350 evaluate physical and chemical properties as well as purity of the developed polymeric NPs,
351 differential scanning calorimetry (DSC) and Fourier transform infrared spectroscopy (FTIR)
352 studies were performed. Polymeric NPs significantly increased potency and pulmonary
353 delivery of montelukast conjugated with them as that of raw montelukast [59]. Another study
354 conducted in 2021 by Jin *et al.*, showed that NPs loaded with berberine exhibited a beneficial
355 effect in a house dust mite (HDM) model of asthma in mice. NPs were prepared with polylactic-
356 co-glycolic acid (PLGA) and polyethylene glycol (PEG) that were coated with platelet
357 membranes (PM). These NPs were then administered intranasally, and the resulting therapeutic
358 outcome was evaluated. The formed NPs without PM were found to be of 280 nm in size, and
359 after coating with PM, the size of NPs increased up to 400 nm. The surface charge of the NPs

360 was found to be 0 mV and -23 mV in case of NPs with and without PM coating, respectively.
361 The berberine loading percentage significantly increased from 8.1% to 81.02% after
362 encapsulation and coating resulted in a sustained release of berberine. The cellular uptake of
363 the NPs was evaluated on the A549 human adenocarcinoma cell line and was observed that,
364 when the cell line was in normal conditions, there was no difference between the uptake of the
365 uncoated and PM coated NPs. However, when the cell line was treated with house dust mite
366 (HDM), the uptake of PM-coated NPs was higher than uncoated NPs. Further analysis showed
367 that PM-coated NPs enhanced the expression of cytokine IL-12 and decreased the expression
368 of IL-13 and IL-4, demonstrating the role of PM coating in treating inflammation [60].
369 Matsuo *et al.*, showed the beneficial effect of polymeric NPs, prepared using poly (D, L-lactic
370 acid (PLA) homopolymers, polyethylene glycol (PEG), diethanolamine, acetone, and a blend
371 of PLA-PEG, and conjugated with betamethasone (BP), in a murine model of asthma. The
372 evaluation of particle size and drug loading was performed using dynamic light scattering
373 (DLS) method and high-performance liquid chromatography (HPLC). Importantly, it was
374 revealed that BP conjugated with NPs was detectable in the lungs upto a week from the day of
375 administration compared to BP alone that was undetectable after 24 hours post-administration.
376 Importantly, the findings from this study demonstrated that BP conjugated NPs significantly
377 reduced the inflammation and the release of IL-13 and IL-14 upon intravenous administration
378 when compared with BP alone [61].

379

380 **4.3 Chitosan-based NPs**

381 Chitosan-based NPs are highly biodegradable, biocompatible, and stable in nature, that are
382 soluble in aqueous acidic solutions and can regulate the release of active substances [62].
383 Chitosan is a mucopolysaccharide found abundantly in the cell walls of several fungal species
384 [63]. Wang *et al.*, reported the successful development and evaluation of the chitosan-based

385 NPs of baicalein and their efficacy in altering the pathology of asthma in ovalbumin-induced
386 asthma mouse model. This study used glyceryl monooleate, P407, anhydrous ethanol, gelucire
387 44/14, and trimethyl chitosan for the development of the NPs. The particle size of the developed
388 NPs was reported to be 285 ± 25 nm with negative zeta potential of -10.5 mV, whereas drug
389 loading and encapsulation efficiency were 74.2% and 96.1%, respectively, with enhanced drug
390 release in drug-loaded NPs compared to encapsulated NPs. While evaluating the efficacy of
391 these NPs against asthma, it was observed that both loaded and encapsulated NPs significantly
392 increased IL-12 levels and decreased IL-5 levels when compared to the non-treatment group
393 [64]. Dhayanandamoorthy *et al.*, have reported anti-asthmatic effects of hyaluronic acid-
394 decorated and ferulic acid-loaded chitosan NPs (HAFACNPs) against ovalbumin-induced
395 asthma in mice. Intranasal administration of HAFACNPs aerosols significantly attenuated the
396 level of various cytokines such as IL-5, IL-13, TNF- α , and IFN- γ in addition to the attenuation
397 of airway resistance, eosinophil infiltration and serum IgE levels [65].

398

399 **4.4 Dendrimers**

400 Dendrimers are radially symmetric, homogeneous, monodispersed systems that are structurally
401 similar in appearance as that of tree branches. They consist of a core structure that is made up
402 of a central atom or group of atoms [66]. Branches of dendrimers also known as "dendrons,"
403 are developed from this core structure by several chemical processes [66].

404 Nasr *et al.*, successfully developed, and characterised beclomethasone dipropionate (BDP)-
405 loaded polyamidoamine (PAMAM) dendrimers. To characterise the optimised BDP-loaded
406 dendrimers, drug solubility in various buffers having different pH and *in vitro* drug release
407 were evaluated. Three different nebulisers: Aeroneb Pro[®] (actively vibrating mesh), Pari LC[®]
408 Sprint (air-jet), and Omron Micro Air[®] (passively vibrating-mesh) were used to evaluate
409 aerosol formulation properties. Drug release study revealed that only 35% drug was released

410 from the dendrimer loaded with the drug after 8 hours successfully representing a sustained
411 release pattern of the drug from the formulation.

412 Importantly, dendrimers are classified based on their growth process and generation number,
413 such as G0.5, G1, G2, G3, G4, and G5 [67]. When a generation 3 (G3) was used as a model
414 dendrimer it was observed that the amount of BDP complexed with the dendrimers was
415 increased upon increasing the pH from 5 to 7.4, and the maximum solubility was found at pH
416 9.8. In contrast, there was no difference in the solubility of the uncoated BDP upon change in
417 pH. Moreover, it was also observed that the generation of dendrimer has no impact on the
418 aerosol's output, however, higher aerosol output was observed in Pari LC[®] Sprint nebuliser
419 compared to the Aeroneb Pro device, but this was not statistically significant ($p > 0.05$) [68].

420

421 **4.5 Microspheres**

422 Microspheres are very small particles that are spherical in shape and have an average particle
423 size lies in the range of 1 to 100 microns. These can be prepared by several techniques such as
424 coacervation, coprecipitation, solvent dispersion, emulsification, and spray freeze-drying
425 technique [69, 70].

426 A study conducted by Nagaraja *et al.*, reported the successful development of microspheres of
427 salbutamol using spray drying. The microspheres (developed with PLGA and PEG) loaded
428 with salbutamol were administered through the intravenous route and its anti-asthma potential
429 was evaluated on A547 cell line. The percentage yield and encapsulation efficiency of
430 microspheres were found to be $86\% \pm 0.4\%$ and $72\% \pm 0.8\%$, respectively. The particle size of
431 the optimised microspheres was found to be $8.24 \mu\text{m}$. The developed salbutamol microspheres
432 showed sustained release action as compared to salbutamol alone. Interestingly, MTT assay
433 demonstrated that salbutamol entrapped in the microsphere was less toxic compared to
434 salbutamol alone, thus implying the relative safety of the developed NPs [70].

435

436 **4.6 Liposomes**

437 Liposomes are commonly used drug delivery systems that employ microscopic spherical-
438 shaped vesicles composed of cholesterol and non-toxic natural phospholipids [71]. Liposomes
439 possess several biomedical applications owing to their nano size and hydrophobicity [71, 72].

440 A study conducted by Ng *et al.*, demonstrated significant anti-inflammatory activity of
441 liposomes in a lipopolysaccharide (LPS)-induced *in vitro* asthma model that employed the BCI-
442 NS1.1 minimally immortalized human airway epithelial cell line. These liposomes were
443 prepared by lipid hydration technique and were loaded with curcumin. The optimised
444 liposomes showed an average vesicle size and zeta potential of 271.3 ± 3.06 nm and -61.0 mV,
445 respectively. During biochemical studies, various inflammation parameters were analysed.
446 Curcumin-loaded liposomes significantly attenuated IL-6, IL-8, IL-1 β , and TNF α levels as
447 compared to naïve curcumin. Curcumin-loaded liposomes were found to be five times more
448 potent than naïve curcumin [73].

449 Xiao *et al.*, reported bergenin-loaded cationic liposomes (designed by thin film dispersion
450 technique) for the treatment of asthma. Optimised liposomes showed a vesicle size and zeta
451 potential of 158.33 ± 5.88 nm, and $+ 24.51 \pm 0.51$ mV respectively. The bergenin-loaded
452 liposomes showed 3.33-fold more potential when compared with naïve bergenin. This study
453 reported that bergenin loaded liposomes minimised inflammatory activities and enhanced the
454 balance between T helper 1 cytokines and T helper 2 cytokines [74, 75].

455

456 **4.7 Polymeric micelles (PMs)**

457 PMs are one of the most viable nanocarriers for delivery of a drug through the pulmonary route.
458 The small size of PMs increases the solubility of lipophilic drugs and aids in preventing
459 pulmonary macrophage clearance [76]. Importantly, PMs can improve the drug release

460 properties and facilitate lung targeting. The alteration of polymers with ligands targeting
461 receptors on the surface of alveoli could promote absorption of medicines, diffusion via the
462 epithelium, and cellular uptake, thereby enhancing their bioavailability. Eventually, the
463 administered drug doses may be decreased by effectively targeting the drug to lungs, which
464 significantly increases patient compliance [77].

465 Peng and co-workers have formulated anti-high affinity immunoglobulin epsilon receptor
466 subunit gamma (FcRI) fragment antigen binding (Fab)-loaded celastrol micelles against
467 allergic inflammation. *In vitro* analysis revealed that the drug-loaded micellar formulation
468 exhibited greater cellular uptake and cytotoxicity towards the human basophil cell line KU812.
469 During the *in vivo* study, it was observed that the celastrol micelles got accumulated in the
470 lungs and caused a significant decrease in IgE, histamine, Th2 cytokines such as IL-4, IL-5,
471 TNF- α , as well as eosinophil infiltration and mucus formation [78]. Yoo *et al.*, have developed
472 hydroxybenzyl alcohol incorporated polyoxalate (HPOX) micelles, prepared by using
473 conventional single emulsion technique, against airway inflammatory diseases in ovalbumin-
474 induced asthmatic rats. Importantly, intratracheal administration of HPOX micelles reduced
475 not only the action of inflammatory cells but also, demonstrated antioxidant and anti-
476 inflammatory action as they reduced levels of ROS, IL-1 β , COX-2, and inducible nitric oxide
477 synthetase (iNOS) in lungs [79].

478

479 **4.8 Exosomes**

480 Exosomes are small extracellular vesicles that are released by virtually every cell type and
481 possess an excellent capacity to deliver drugs at a target site rapidly. In addition, exosomes also
482 have several benefits over other inorganic and organic carriers, including high transmission
483 efficiency, and minimal immunogenicity [80-83].

484 Various studies have shown that intranasal administration of M2 macrophage-derived
485 exosomes (M2 Φ -Exos) in ovalbumin-induced asthmatic mice inhibited the expression of
486 various cytokines such as IL6, IL1 β , TNF- α and monocyte chemoattractant protein-1 (MCP-
487 1)[84]. Another study conducted by Shang *et al.*, reported the anti-inflammatory effects of
488 adipose-derived stem cell (ADSC)-exosomes administered intravenously for the treatment of
489 asthma in mice via intravenous route. The results of biochemical studies revealed that adipose-
490 derived stem cell (ADSC)-exosomes significantly reduced iNOS, TNF-, and IFN- expression,
491 simultaneously increasing the expression of anti-inflammatory cytokine IL-10 [85].

492

493 **4.9 Solid lipid NPs (SLNs)**

494 SLNs are novel drug delivery systems that are generally spherical in shape, with an average
495 diameter of 10 to 1000 nm. SLNs are categorised as colloidal NPs that contain a lipid drug
496 carrier (prepared by solid lipid, surfactants, and water.) They provide good drug loading
497 capacity and remarkable physical stability [86, 87]. SLNs have several biomedical applications
498 as they enhance the drug solubility and bioavailability. They offer controlled drug release,
499 improve drug targeting, and offer flexibility of administration through numerous routes,
500 including parenteral, oral, pulmonary, and topical [87].

501 Lv *et al.*, reported the therapeutic benefits of rhynchophylline-loaded within SLNs. The
502 purpose of developing this system was to enhance the therapeutic efficacy of rhynchophylline
503 for the treatment of allergic asthma. SLNs were prepared by solvent injection method and
504 showed an average particle size, zeta potential and drug entrapment efficiency of 62.06 ± 1.62
505 nm, -6.53 ± 0.04 mV, and $82.6 \pm 1.8\%$, respectively. On days 0, 14, 28, and 42, mice were
506 subcutaneously injected with 20 μ g of ovalbumin mixed with 1 mg aluminium hydroxide. From
507 days 21 to 42, mice were administered with aerosol of 1% ovalbumin (w/v) for the induction
508 of allergic asthma. Rhynchophylline-loaded within SLNs at the dose of 20 mg/kg significantly

509 attenuated the levels of airway remodeling markers such as mucus gland hyperplasia and
510 collagen deposition, oxidative stress, and airway inflammation. By inhibiting the p38 signalling
511 pathway, it also increased the expression of suppressor of cytokine signalling 1. These findings
512 suggested that rhynchophylline loaded SLNs showed improved response compared with the
513 free drug [88]. Li et al., developed curcumin loaded SLNs in the form dry powder inhaler (DPI)
514 for the treatment of asthma in mice. Curcumin loaded SLNs were prepared by microemulsion
515 method and solidification was done by spray-drying techniques. Ovalbumin was
516 administered to produce asthma in mice. Curcumin SLNs DPI showed a sustained-release
517 action during *in vitro* release studies and there was no evidence for acute toxicity of this
518 formulation found in mice. Curcumin's SLNs DPI significantly ameliorated the airway
519 inflammatory response of the airway and the severity of pulmonary congestion in mice [89].

520

521 **4.10 Nanostructured lipid carriers (NLCs)**

522 The second generation of SLNs are reported as NLCs. NLCs are preferred over other lipid
523 formulations due to their increased physical stability, drug loading capacity, enhanced oral
524 bioavailability, and modulated drug release profile. Interestingly, Gadhe *et al.*, have reported
525 the anti-asthmatic potential of montelukast-loaded NLCs, where NLCs were prepared by the
526 melt emulsification homogenization technique and exhibited an average particle size and
527 encapsulation efficiency of 181.4 ± 6.5 nm and $96.13 \pm 0.98\%$, respectively. Montelukast-
528 loaded NLCs enhanced the oral bioavailability by 1.43-fold as compared to naïve montelukast
529 [90].

530

531 **5. Gene therapy for asthma**

532 As the existing therapeutic approaches for asthma management are outdated, and a
533 considerable patient population is developing steroid-resistant asthma, researchers are now

534 focusing on the promising aspect of gene therapy for asthma [91]. *In vitro* studies have
535 demonstrated that the usage of the decoy oligodeoxynucleotide (ODN) inhibiting NF κ B can
536 attenuate airway inflammation by inhibiting the expression of LPS-induced cytokines such as
537 IL-6 and IL-8 in IB3-1 human bronchial epithelial cells [92, 93]. In an ovalbumin-induced rat
538 asthma model, the ODN specific for signal transducer and activator of transcription (STAT)
539 family members 1 and 3 were found to decrease the characteristic features of allergic
540 inflammation as revealed by a decrease in the bronchoalveolar lavage fluid (BALF) count of
541 eosinophils and T lymphocytes. Similarly, the protein expression of CD40 in the lung tissue
542 was significantly attenuated by STAT ODN [94].

543 Using the nanotechnology approach, it is now possible to formulate gene-loaded
544 nanotherapeutics such as NF κ B ODN to target chronic respiratory diseases, including asthma
545 [95]. Ungaro *et al.*, developed a sustained release decoy ODN to NF κ B using poly(lactic-co-
546 glycolic) acid (PLGA), and an "adjuvant" hydrophilic polymer polyethylenimine (PEI) as a
547 carrier. These respirable particles delivering ODN to NF κ B were tested for their efficacy to
548 inhibit the expression of cytokines IL-8 and mucus-secreting gene *MUC2* in LPS-induced
549 airway epithelial cells. It was observed that ODN to NF κ B results in an extended inhibition of
550 IL-8 and *MUC2* expression *in vitro*, compared to the naked decoy ODN, in human bronchial
551 epithelial cells and human epithelial pulmonary cells, respectively [96].

552 Nanoparticle-based thymulin gene therapy can reverse the primary features of allergic asthma
553 in a mice model induced by ovalbumin[97]. A single dose intratracheal administration of
554 plasmids encoding biologically active thymulin analog showed enhanced penetration of the
555 airway mucus barrier[97]. After three weeks of thymulin treatment, it was observed that the
556 chronic airway inflammation measured in terms of BALF eosinophil and lymphocytes counts,
557 immune cells recruiting chemokines such as CCL11, CXCL1, CCL17, and pro-and anti-
558 inflammatory mediators such as IL-4, IL-13, IL-10 were significantly decreased compared to

559 only ovalbumin (without thymulin treatment) group. Similarly, the airway remodeling factors
560 such as vascular endothelial growth factor (VEGF) and transforming growth factor-beta (TGF-
561 β) were significantly decreased. Likewise, the dysregulated levels of lymphocyte mediators
562 such as IL-13 and IL-10 and macrophage polarization marker Foxp3 and Arginase were
563 normalised by a single dose of thymulin-loaded nanoparticles. Furthermore, in a methacholine
564 challenge assessment, more pronounced airway resistance and dynamic compliance were
565 observed in asthmatic mice lungs while improved in the thymulin nanoparticles group [97].

566 Another study conducted by Gavitt *et al.* investigated the GATA binding protein 3 (GATA3)
567 peptide DNzyme nucleic acid nanocapsules (pep Dz-NANs) for *in vivo* efficacy in asthma.
568 In this study, HDM antigen was administered intranasally for five days/week for five weeks to
569 induce allergic asthma in mice and intranasal pep Dz-NANs at a dose of 25nM, 125nM,
570 250nM, and 1250nM. On the site of airway inflammation, the high level of matrix
571 metalloproteinase-9 (MMP9), a proteolytic enzyme can cleave the pep Dz NAN to individual
572 DNzyme-surfactant conjugates for intracellular gene regulation of *GATA3*. Interestingly,
573 mice treated with pep Dz-NANs reduced the severity of HDM-induced allergic lung
574 inflammation as shown by a decrease in BALF eosinophil count. This study thus suggests that
575 peptide-based GATA3 Dz-NANs could be a promising approach to decrease the severity of
576 asthma symptoms [98].

577 Kumar *et al.*, showed that in an ovalbumin-induced mice model of allergic asthma, the
578 chitosan-based interferon- γ (IFN- γ) plasmid DNA nanoparticles can reduce the inflammation
579 and airway reactivity [99]. Mechanistically, it was demonstrated that intranasal administration
580 of 10 μ g chitosan IFN- γ nanoparticles before intraperitoneal injection of ovalbumin decreased
581 the antigen-presenting capacity of dendritic cells isolated from lung and lymph nodes, as
582 evident from the reduction in CD80 and CD86 expression. Likewise, chitosan IFN- γ
583 nanoparticles remarkably reduced the population of CD11c⁺b⁺ dendritic cells in lymph nodes,

584 indicating that endogenous IFN- γ expression may immunomodulate dendritic cell migration
585 and activation. Furthermore, there was a reduction of IFN- γ production and apoptosis of
586 ovalbumin-specific CD8⁺T cells (isolated from mice) cultured *in vitro* in the presence of
587 ovalbumin [100].

588 Researchers have established that c-kit, a proto-oncogene in dendritic cells, plays a crucial role
589 in the development of allergic asthma by regulating T helper cell differentiation [101]. Wu *et*
590 *al.*, found that intranasal administration of siRNA nanoparticles targeting c-kit can suppress
591 the features of allergic asthma in an ovalbumin-induced mouse model. In this study, the
592 intranasal administration of 35 μ g/day of siRNA for 3 consecutive days (Day 21-23 after
593 ovalbumin sensitisation) significantly downregulated the expression of the *c-kit* gene and
594 suppressed mucus secretion and eosinophil infiltration in the BALF. Additionally, *c-kit* siRNA
595 inhibited the generation of stem cell factor (a ligand of c-kit), IL-4, and IL-5, while there were
596 no changes in interferon- γ (IFN- γ) levels, suggesting the therapeutic potential of siRNA-based
597 nanoparticles to manage allergic asthma [102]. Taken together, these studies have clearly
598 highlighted the immense beneficial biological activity of gene therapy for the effective
599 management of asthma, which could be a promising alternative to current treatment options
600 such as β_2 agonists and ICS.

601

602 **6. Clinical trials and studies using advanced drug delivery systems to target asthma**

603 Despite the growing body of evidence showcasing the enormous potential of advanced drug
604 delivery systems in pulmonary diseases, most of the clinical studies so far have focused on the
605 treatment of malignancies [43], and a limited number of clinical studies are currently in
606 progress or have completed to assess the efficacy of these drug delivery systems against
607 asthma. The clinical studies identified are summarised in Table 2.

608 Interestingly, a clinical study showed that liposomes possess a potential to be used as an
609 advanced delivery system to entrap and deliver *D. pteronyssinus* allergens that are used as
610 antigen vaccination approaches against asthma [103]. In this case, liposome encapsulation of
611 the antigen was used to exploit the depot effect and the increased antigen delivery to lymphatic
612 vessels and lymph nodes that are characteristic of liposome-based formulations administered
613 through subcutaneous injections [103]. This vaccination approach proved successful in
614 protecting mild asthma patients from the worsening of symptoms that usually occurs upon dust
615 mite exposure [103].

616 Notably, a phase II clinical trial demonstrated safety, efficacy, and tolerability of QbG10 (i.e.,
617 bacteriophage Qbeta-derived virus-like particle loaded with the bacterial oligonucleotide CpG-
618 motif G10), administered subcutaneously (NCT00890734). QbG10 is identified to stimulate
619 the immune system towards a protective Th1-mediated response *via* stimulation of toll-like
620 receptor (TLR-9). This study showed that treatment with QbG10 achieved continued control
621 of asthma upon steroid reduction in patients under treatment with moderate or high doses of
622 inhalational steroids [45].

623 Allergic bronchopulmonary aspergillosis (ABPA) is an infrequent complication of ailments
624 such as chronic asthma and cystic fibrosis [46]. Notably, Godet *et al.*, reported that inhalational
625 treatment with nebulised liposomal amphotericin B (LAmB) was effective and induced durable
626 improvements in a patient with uncontrolled ABPA [47]. Despite this finding, a Phase II
627 clinical trial (NCT02273661) performed by the same research group failed to show a reduced
628 risk of severe ABPA clinical exacerbations upon maintenance therapy with nebulised
629 liposomal amphotericin-B (Ambisome®) [104]. Nevertheless, some positive secondary
630 outcomes such as significant reduction of immunoglobulin-E and *Aspergillus* precipitins were
631 achieved in the treatment group, providing a platform for further investigation in the field.
632 [104].

633 Arafa *et al.*, had successfully developed niosome-based nanovesicles entrapping salbutamol
634 sulphate (SS) for the treatment of asthma with potential for packaging into a metered dose
635 inhaler (MDI) meeting the US Pharmacopoeia aerosol guidelines [105]. The relative
636 bioavailability of this formulation was compared with that of a classical SS MDI formula in a
637 Phase I clinical trial (NCT03059017). Administration of the niosome-based formulation
638 resulted in sustained, controlled pulmonary release of SS to maintain therapeutic drug levels
639 [49].

640

641 **7. Conclusion**

642 Over the last few years our understanding of the pathophysiology of asthma, especially the role
643 of cytokines, has undergone a paradigm shift. Primarily, asthma is recognised as a Th2-
644 mediated cell disorder, and this dogma has been predominantly translated from mouse models
645 of asthma that led to development of several type-2 targeted therapeutic agents specifically to
646 reduce frequency of exacerbations in patients who are on conventional therapy. However, not
647 all patients demonstrate improvement in symptoms, and this is due to the heterogeneity of
648 cytokine pathways and mechanisms that drive the disease. This has led to the development of
649 cytokine targeting drug delivery systems as well as clinical trials as we discussed in this review.
650 However, despite the growing body of research that illustrates enormous potential of advanced
651 drug delivery systems in pulmonary diseases, most of the clinical research so far has focused
652 on the treatment of malignancies, and very few clinical studies are currently in progress or
653 completed to assess the efficacy of these drug delivery systems against asthma that have a
654 pleotropic effect on specific cytokines. The bottlenecks associated with the clinical translation
655 of advanced drug delivery systems are manufacturing of such systems in bulk quantity,
656 biological barriers, safety, government regulated protocols, and overall cost-effectiveness as
657 compared to currently available treatments. The maintenance of the integrity of nanoparticles

658 in terms of their size, homogeneity and release behaviour with batch-to-batch consistency and
659 reproducibility during scale-up has always been a challenging task. Despite, industries have
660 taken several initiatives in this area with approaches such as six sigma (i.e., process that uses
661 statistics and data analysis to analyse and reduce errors in medication non-adherence [106])
662 and quality by design, for understanding of key manufacturing attributes affecting
663 physicochemical properties of formulations however, a complete control on the variables still
664 requires further elucidation.

665 To overcome the biological barriers such as inter-individual heterogeneity of tissue barriers,
666 immune system, cellular uptake, and intracellular trafficking in repose to disease, a good
667 correlation between disease pathology and inter-individual heterogeneity is crucial. The
668 understanding of physicochemical behaviour of advanced drug delivery systems *in vivo* and
669 strategies for overcoming biological barriers for achieving better targeting of drugs to diseased
670 tissue and restriction in their build-up in non-specific organs should be tailored. Unfortunately,
671 limited attention has been paid towards correlations between behaviour of advanced drug
672 delivery systems and patients' biology. In our opinion, this could also be one of the prime
673 reasons for the failure of such formulations during clinical trials. These biological barriers
674 could be an important deterrent for pharmaceutical industries for investing on advanced drug
675 delivery systems. Hence, it is important to comprehensively evaluate the preclinical data in
676 terms of therapeutic efficacy, safety, biodistribution, and pharmacokinetics in appropriate
677 animal models and correlate them with human physiology. Furthermore, for achieving
678 reproducible results, the studies should be done on multiple animal models rather than
679 depending on the trial done on a single animal model. This is very important as the animals
680 decipher only correlation with human physiology. Using multiple animal models to understand
681 the safety and efficacy of the formulation will reduce the chances of failure of formulation's
682 performance during correlation of preclinical and clinical data.

683 Advanced drug delivery systems are always associated with clinical toxicities. The sponsors
684 must ensure the safety of developed delivery systems prior to their clinical use. A careful
685 monitoring of excipients used and their safety, as well as the stability of the formulations during
686 their production should be performed. Such practices would assist the sponsors in reducing the
687 risk of product failure due to regulatory objections related to their toxicity or instability. The
688 knowledge of biological activity and toxicity associated with active pharmaceutical
689 ingredients, excipients, and their advanced drug delivery, as well as their interaction with
690 biological components, helps in predicting the toxicity of formulations. Furthermore, the
691 influence of drug release rate on target and off-target concentrations of bioavailable drug could
692 also help in predicting their safety and toxicity. In addition, conducting specialized toxicity
693 studies in suitable animal models can provide additional in the assessment of both short-term
694 and long-term toxicity.

695 Nevertheless, ongoing developments in gene therapy, drug delivery systems and clinical trials
696 will further unravel the complexity of asthma leading to improved therapies that can target
697 specific or multiple cytokine pathways underlying pathophysiological asthma traits.

698

699 **Declaration of interest**

700 None

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702

703

704

705 **Table 1 Role of different NPs in targeting and drug delivery for asthma**

No.	Formulation	Route of administrations	Animals or/and cell lines	Inducing agent	Outcomes	References
Inorganic metallic NPs						
1.	PEGylated and Citrated gold NPs	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • It provided anti-inflammatory effects by attenuating IL-1β • Inhibited airway hyperreactivity and inflammatory infiltrates 	[107]
2.	Titanium dioxide NPs	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Titanium dioxide NPs activated level of transient receptor potential vanilloids (TRPVs) and TRPV P 2 $\times 7$ • Secreted neuromediators that cause airway inflammation and exacerbate asthma 	[108]
3.	Silica dioxide NPs	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Enhanced mRNA expression • Attenuated level of thioredoxin-interacting protein, NLRP3 inflammasome, and IL-1β proteins 	[109]
4.	Zinc oxide NPs	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Reduced airway inflammation • Enhanced Th2 cytokine 	[110]
5.	Zinc oxide NPs	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Reduced IL-4, IL-5, and IL-13 	[111]
NPs						
6.	Carbon black NPs	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Attenuated airway hyperreactivity, 	[112]

7.	Conjugated α alumina NPs with vasoactive intestinal peptide (VIP)	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Reduced remodeling • Produced antiinflammation • Inhibited IL4-, IL-6, IL-13, IL-1β and TNF-α • Alleviated level of IL-10 • α-alumina NPs prevented enzymatic denaturation of VIP in the respiratory tract • Attenuated numbers of eosinophils, Th2 cytokines, serum IgE level and hyperresponsiveness • Attenuated pro-inflammatory cytokines such as IL-13, eosinophiles 	[113]
8.	DNA NPs mediated thymulin gene therapy	Intranasal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Mitigated lung remodeling, airway inflammation and leading • Improved pulmonary mechanics • Attenuated collagen deposition 	[114]
9.	Bilirubin NPs	Intravenous	Mice	Ovalbumin	<ul style="list-style-type: none"> • Ameliorated level of Th2-related infection in lungs during allergic inflammation 	[115]
Liposomes						
10.	Salbutamol sulphate	Intraperitoneal	Rats and guinea pig	Ovalbumin	<ul style="list-style-type: none"> • Enhanced encapsulation efficiency by 70% in rats • Reduced the risk of asthma in guinea pigs 	[116]
Solid lipid NPs						
11.	Curcumin	Intraperitoneal	Rats	Ovalbumin	<ul style="list-style-type: none"> • Enhanced bioavailability by 26 folds as compared to naïve curcumin • Attenuated airway hyperresponsiveness 	[117]

					<ul style="list-style-type: none"> • Reduced expression of T-helper-2-type cytokines like IL-4, IL-5 and IL-13 • Offers antioxidant effects and helped in decreasing levels of ROS • Attenuated the pro-inflammatory mediator such as IL-6, IL-1β and TNF-α 	
12.	Curcumin	Intraperitoneal	Mice	LPS	<ul style="list-style-type: none"> • Augmented the level of cytokine IL-10 by ELISA • Inhibited the expression of TLR4, TLR2, and TNF-α in lymph node tissues 	[118]
13.	CCR3 antagonists (R321 peptide)	Intranasal	Mice	Eotaxin	<ul style="list-style-type: none"> • Inhibited the level of eosinophiles • Blocked airway hyperresponsiveness 	[119]
Exosomes						
14.	Exosomal miRNA	Intranasal	Human	--	<ul style="list-style-type: none"> • Attenuated the level of various cytokinin such as IL-13 and TNF-α 	[120]
15.	B-cell-derived exosomes	Intraperitoneal	Mice	Ovalbumin	<ul style="list-style-type: none"> • Enhanced the levels of various cytokinin such as IL-4, IL-5, and IL-13 • Attenuated levels of IFN-γ, and TNF-α 	[121]

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710

711 **Table 2 Clinical trials using advanced drug delivery systems to target asthma**

Clinical Trials	Drug and Drug Delivery Method	Outcomes	Clinical Trial Identifier	References
Pre-Phase I	Liposome-entrapped <i>D. Pteronyssinus</i> vaccination	Formulation protects mild asthma patients from worsening of symptoms following dust mite exposure	N/A	[103]
Phase I	Salbutamol Sulphate entrapped in niosome-based nanoparticles	Niosome-based formulation resulted in sustained, controlled pulmonary release of salbutamol sulphate compared to classical formulation	NCT03059017	[49]
Phase II	Virus-like nanoparticles loaded with bacterial oligonucleotide CpG-motif G10	Formulation was effective in controlling asthma upon steroid reduction in patients on moderate or high-dose inhalational steroids	NCT00890734	[45]
Phase II	Nebulised Liposomal Amphotericin B (Ambisome®)	Formulation failed to show a reduced risk of severe ABPA clinical exacerbations when used as maintenance treatment. Positive secondary outcomes (reduction of immunoglobulin-E and Aspergillus precipitins) achieved.	NCT02273661	[104]

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715 Author contributions

716 VKP formatted and compiled the manuscript. VKP, SV, RK, SDS, KRP, GDR, BM, DKC,
717 VSRA, PMH, BGO, RML and KD contributed to manuscript writing and proof reading; VKP,
718 DKC, SV, RK and SKS prepared the figures. All authors approved the content of the
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720

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1078 Figure Caption**1079 Figure 1 Schematic representation of asthma pathophysiology****1080 Figure 1 Caption**

1081 Upon exposure to various allergens several inflammatory cells, cytokines and mediators are
1082 recruited or activated, producing acute effects on the airway epithelium –such as
1083 bronchoconstriction, mucus secretion, plasma leakage, together with airway remodeling
1084 leading to fibrosis of sub-epithelium, angiogenesis and narrowing airway smooth muscles.
1085 Abbreviations: TLR – Toll like receptor; DC – dendritic cell; IL-interleukin; GMCSF –
1086 Granulocyte macrophage colony-stimulating factor; TNFAIP3 – Tumour Necrosis Factor
1087 Alpha Induced Protein 3; NFκB - Nuclear factor kappa B; ROS- reactive oxygen species; Th2
1088 – T helper cell type 2; TGF-β - Transforming growth factor beta; PAR2 - Protease activated
1089 receptor 2; AAM – alternative activated macrophages; ILC2 - type 2 innate lymphoid cells.

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1091 Figure 2 Nanoformulations and their delivery to lung**1092 Figure 2 Caption**

1093 (A) Various nanoformulations explored to treat asthma. Delivery of drug in section (B) the
1094 central lung and (C) peripheral lung nanoparticles (NPs) circumvent the pulmonary barriers
1095 (such as mucociliary clearance and macrophage clearance) and get absorbed as well as
1096 internalised into the cells. After being absorbed, they enter the blood.

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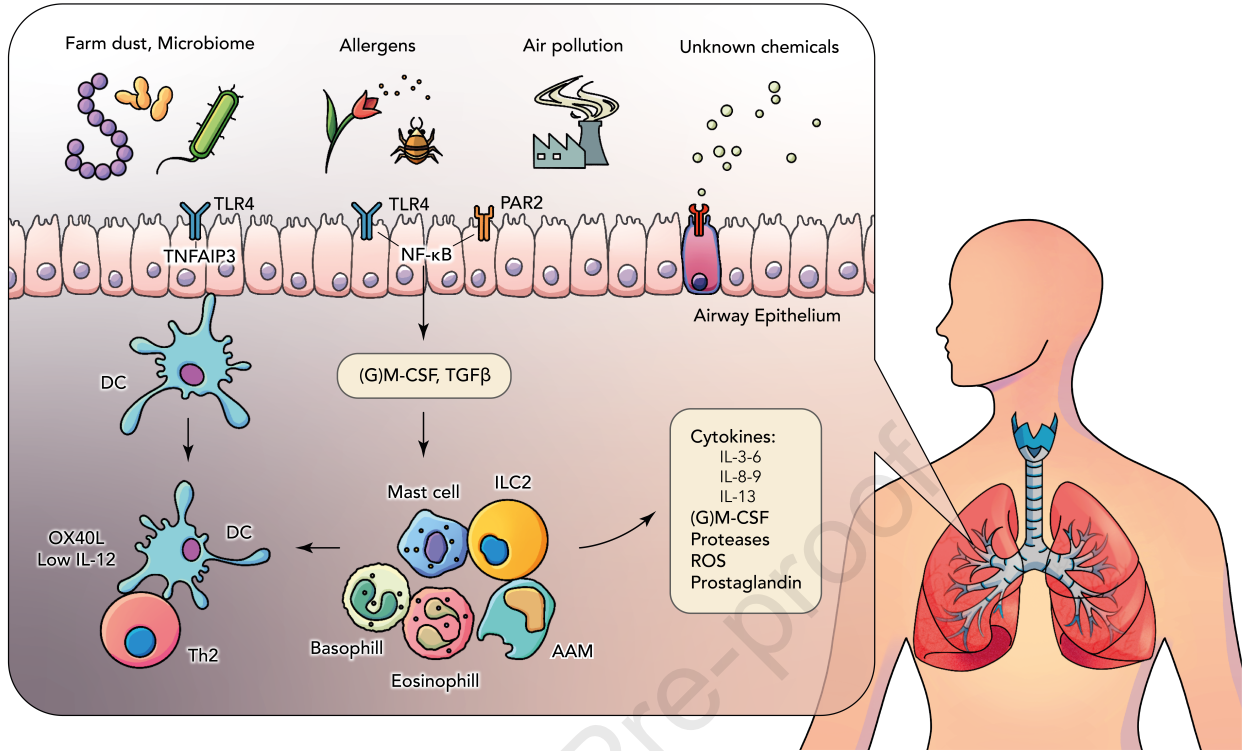
1098 Figure 3 Effects of gold nanoparticles (NPs) against asthma**1099 Figure 3 Caption**

1100 Gold NPs decreased the expression of NRF2, HDAC2, and PI3K. The results indicated that the
1101 gold NPs lowered the levels of oxidative stress and inflammation in asthmatic biomarkers.

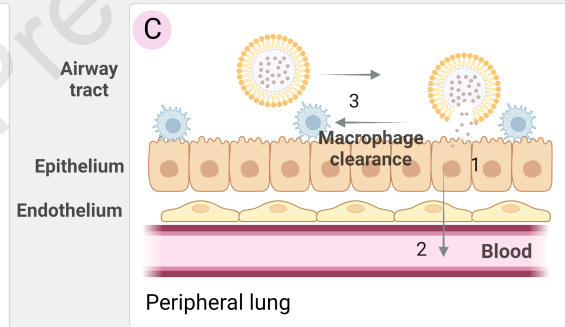
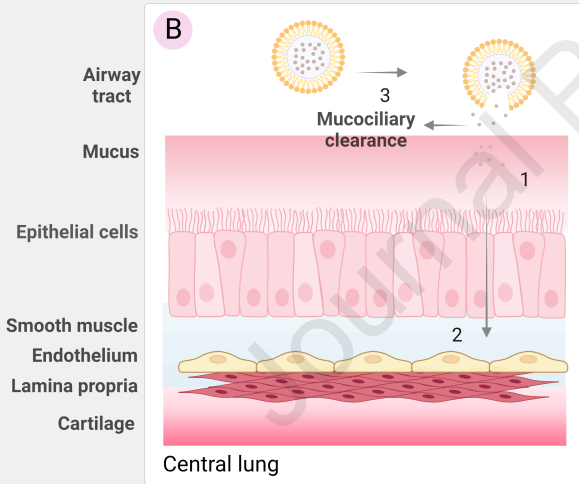
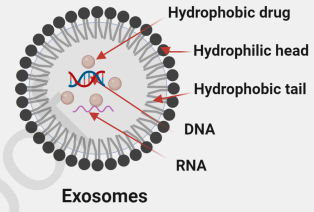
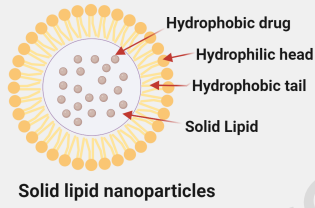
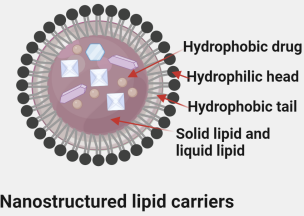
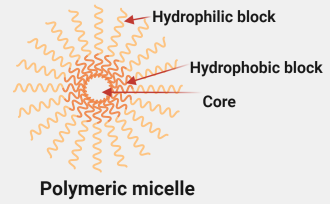
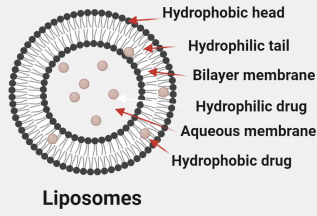
1102 Abbreviations: NRF2 - nuclear factor erythroid 2-related factor 2; HDAC2 - Histone
1103 deacetylase 2; PI3K - Phosphoinositide 3-kinases.

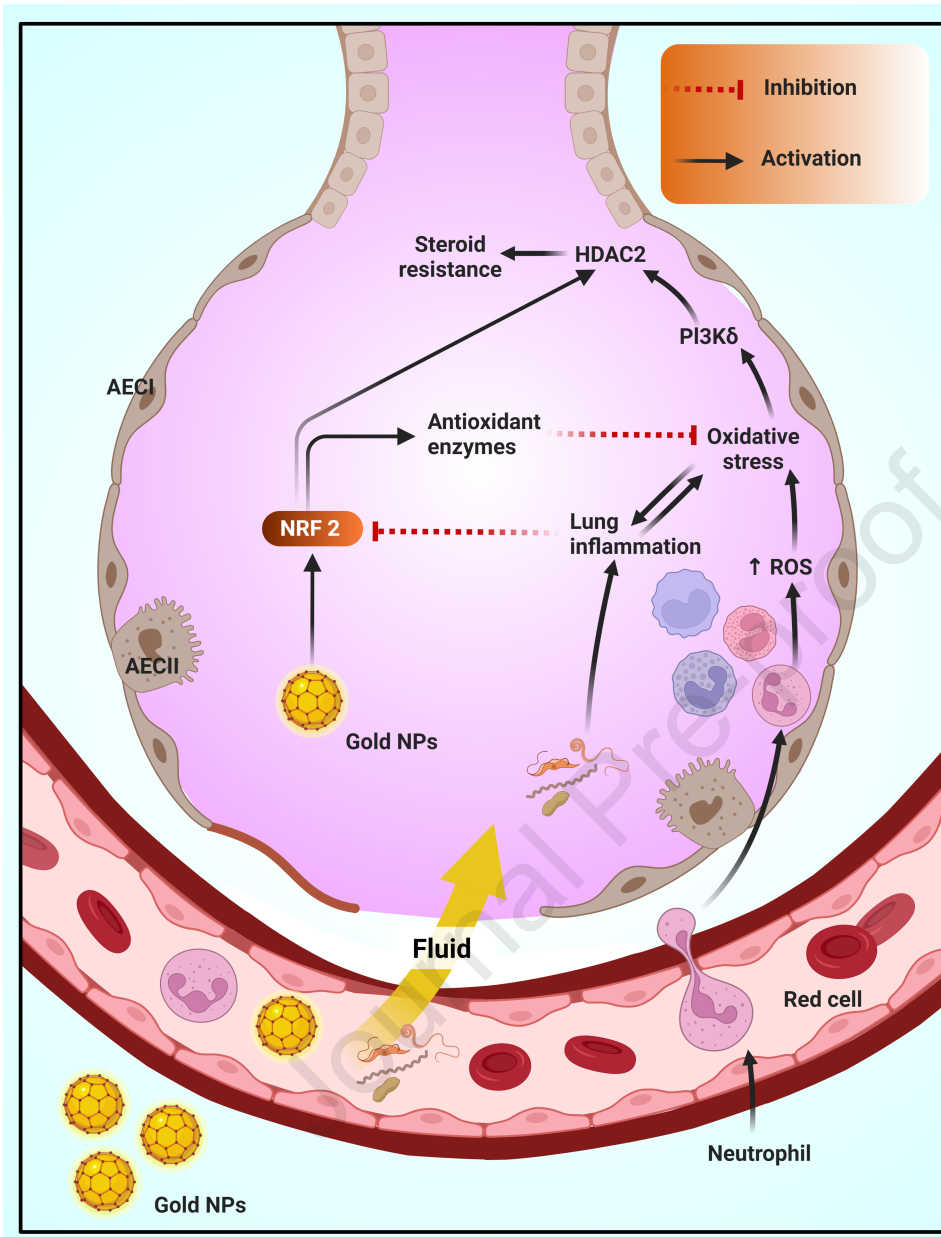
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Declaration of interests – None

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