

A comparative analysis of hybrid computational models constructed with swarm intelligence algorithms for estimating soil compression index

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Abstract: The determination of the compression index (C_c) of clay through oedometer tests is time-consuming and expensive. To replace the practice of conducting laboratory oedometer tests, this study presents a comparative analysis of hybrid machine learning models for estimating the soil C_c based on actual laboratory test data. Ten swarm intelligence algorithms, namely particle swarm optimization, ant colony optimization, artificial bee colony, grey wolf optimizer, moth flame optimizer, whale optimization algorithm, salp swarm algorithm, Harris hawks optimization, slime mould algorithm, and marine predator algorithm, were used to optimize the learning parameters of an artificial neural network (ANN) and adaptive neuro-fuzzy inference system (ANFIS). Subsequently, 20 hybrid ANN and ANFIS models were constructed to obtain the best prediction model. A sum of 700 oedometer test data was acquired from an Indian Railways project to construct and validate the hybrid models. Besides, 30 new oedometer experiments were performed for external validation of the developed hybrid models. Experimental outcomes show that the proposed ANFIS and PSO hybrid model (ANFIS-PSO) attained the most accurate prediction of soil C_c , which is much superior to the developed hybrid ANN and ANFIS models. Based on the experimental results, the proposed ANFIS-PSO model demonstrates high potential as a robust alternative to the actual oedometer test to assist geotechnical engineers in the introductory stage of civil engineering projects.

Keywords: *Soil consolidation parameter; Soil texture; Indian Railways; Meta-heuristic optimization; Hybrid machine learning; Swarm intelligence.*

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50 1. Introduction

51 The accessibility and development of a nation's infrastructural facilities have a substantial impact on its
52 growth and advancement. These facilities are important drivers of economic growth and aid in the reducing
53 poverty in the country [1]. As a result of the rapid rise of industrialization and urbanization, there is a constant
54 demand for engineering and construction research and innovation [2]. The development of infrastructure facilities,
55 particularly in the field of transportation and related engineering, has seen a spectacular change in recent years.
56 Generally, these facilities are built on the natural ground surface and require substantial investments. Also, it is
57 pertinent to mention that the safety of an engineering structure cannot be ensured without considering the stability
58 of the foundation, which is an element of a structure that transfers loads from the structure into the ground. Hence,
59 a detailed analysis of the safety and serviceability of structures, such as safe bearing capacity, swelling potential,
60 and settlement of soils, is required. For these reasons, estimating the settlement beneath any foundations is a
61 critical aspect of the foundation design in geotechnical engineering discipline [3–5].

62 In geotechnical engineering, stresses on soils due to structural loads lead to an increment in stress in the soil
63 layers, causing settlement. The major responsibility of geotechnical engineers is to compute the amount of
64 expected settlement for the safety and reliability of the foundation [6]. Compressibility characteristics of soil
65 deposits under the applied load play a key role in settlement analysis. In general, the compressibility of soil is
66 defined as the volume loss under pressure caused by pore water drainage [7]. The compression index (C_c),
67 coefficient of consolidation, and coefficient of compressibility are essential parameters of soil compressibility
68 characteristics; among which, C_c is frequently utilized for direct settlement estimation beneath the foundation.
69 The determination of compressibility parameters, such as C_c , is usually obtained from the consolidation test
70 performed on an undisturbed soil sample collected from sites. Subsequently, the amount of settlement of soil/sub-
71 soils is calculated based on the values of C_c [7].

72 An oedometer test is performed in the laboratory to determine the soil C_c , but requires a cumbersome and
73 time-consuming operation [8,9]. Generally, because oedometer tests take at least 7 days to complete, the
74 estimation of C_c would be possible only after a week. Besides, specific laboratory equipment, experienced
75 engineers, and highly-skilled lab technicians are required to attain reliable results [7]. In addition, high-quality
76 and undisturbed clay specimens are required for the oedometer tests. Therefore, to circumvent these difficulties,
77 numerous attempts have been made to estimate C_c by utilizing basic physical properties of soils, such as liquid
78 limit (LL), initial void ratio (e_0), void ratio (e), natural moisture/water content (NMC/NWC), specific gravity (G_s),
79 plasticity index (I_p), etc. [10–14]. Numerous empirical models have been developed based on conventional
80 statistical analysis (i.e., simple and multiple linear regressions); however, a close examination of the existing
81 models reveals that their applicability is limited, highlighting significant modelling drawbacks [7]. On the other
82 hand, soils are vastly heterogenic in nature and possess nonlinear stress-strain relationships under different loading
83 conditions [9]. Traditional empirical formulations fall short of describing the predictive relationship between C_c
84 and physical properties of soil. Hence, smart and intelligent approaches are required to estimate the values of soil
85 C_c based on soil parameters that are normally determined when the samples are brought into the laboratory.

86 Recent studies have resorted to advanced soft computing techniques as potential alternatives to predict C_c of
87 soils. Soft computing techniques, offering competence in nonlinear modelling, capture the structure of a model
88 by learning from existing data and simulating complicated processes [9,15–19]. In geotechnical engineering, the
89 use of various soft computing techniques is increasing, such as adaptive neuro-fuzzy inference system (ANFIS),

90 artificial neural network (ANN), backpropagation multi-layer perceptron neural network (BP-MLP), radial basis
91 function neural network (RBF-NN), Bayesian regularization neural network (BRNN), Levenberg-Marquardt
92 ANN (LM-ANN), gene expression programming (GEP), multi-expression programming (MEP), multi-variate
93 adaptive regression splines (MARS), genetic programming (GP), multi-gene genetic programming (MGGP),
94 extreme learning machine (ELM), Gaussian process regression (GPR), random forest (RF), regression tree (RT),
95 support vector machine/regression (SVM/SVR), and so on [1,7,9,20–35][36].

96 In geotechnical engineering practice, determining the C_c of soils is an essential criterion for analysing the
97 settlement of soil and sub-soil layers. Over the last decade, multiple machine learning (ML) models, including
98 ANFIS [35], ANN/BP-MLP/RBF-NN/BRNN/LM-ANN [1,7,9,21,29,31,34,35,37–39], GP/GEP/MEP/MGGP
99 [7,20,33,39–41], GPR [9], RF [9,41], and SVM/SVR [9,34,35], have been employed to build prediction models
100 for soil C_c based on existing experimental datasets. Several researchers [1,7,9,21,29,31,34,35,37–39,42] have
101 attained varying levels of accuracy using ANN-based models, ranging from 0.6464 to 0.9610 in the training phase
102 and 0.5625 to 0.9580 in the testing phase, based on R^2 (determination coefficient) value. Bardhan et al. [41],
103 Benbouras et al. [39], Mohammadzadeh et al. [7,33,40], and Bourouis et al. [20] used GP-based models (i.e.,
104 GP/MEP/MGGP/GEP) to predict soil C_c and obtained varied accuracy levels in the range of 0.0574 to 0.9966
105 (based on R^2 value). In addition, regression-based ML models (such as GPR, SVM/SVR, etc.) and tree-based
106 models (e.g. RF and RT) have also been utilized for predicting soil C_c [34,35,42,43]. The details of earlier studies
107 on soil C_c prediction are given in Table 1, which includes the types of employed models, number of the dataset
108 used, and accuracies attained in training and testing phases.

109 It is worth noting that the majority of these predictive models were developed using conventional ML (CML)
110 models, including ANFIS, ANN, and regression-based ML models, as shown in Table 1. In many cases, higher
111 predicted accuracies between 0.6780 and 0.9890 based on R^2 value were attained [7,9,21,31,32,35,37]. However,
112 because the models were constructed and corroborated with small datasets (the cumulative number of samples
113 was less than 250), these studies cannot be considered particularly credible (see Table 1 for related studies). Also,
114 the predicted accuracy of CML models decreased with larger datasets (the number of datasets in the range of 700
115 to 947), and prediction performance ranged between 0.7600 and 0.8850 based on R^2 value. Herein, the model's
116 performance obtained in the testing phase is examined and reported. The lack of a diverse set of input parameters,
117 complicated data types, and inappropriate training of ML algorithms could all contribute to the decrease in
118 accuracy. Overfitting is also a major concern for the success rate of CML methods, which could be one reason for
119 reduced accuracy. Due to their inability to reach the exact global optimum, certain CML models, such as ANN,
120 yield poor outcomes and are more likely to get stuck in local minima, resulting in erroneous results [41,44,45].

121 To address these challenges, researchers have employed many hybrid models that combine optimization
122 algorithms (OAs) and CML techniques to identify the actual global optimum rather than local minima [44,45].
123 Integration of OAs and CML techniques balances the exploration and exploitation (E&E) processes and generates
124 optimum learning parameters, which are then used to improve the performance of CML models. Multiple OAs,
125 namely particle swarm optimization (PSO) [41,45], biogeography-based optimization (BBO) [46], grey wolf
126 optimizer (GWO) [47], artificial bee colony (ABC) [48], imperialist competitive algorithm (ICA) [45], genetic
127 algorithm (GA) [48], and so on [26], have been successfully employed to optimize the learning parameters of
128 CML algorithms. A study performed by Ojha et al. [49] showed an increasing trend of integrating feed-forward
129 neural networks and meta-heuristic optimizer in complex process modelling. Moreover, recent literature exposes

130 the that hybrid ANN and ANFIS models are highly suitable for predicting the desired output. Successful
131 application of several ANN and ANFIS-based hybrid models, namely ANN-PSO, ANFIS-PSO, ANN-BBO,
132 ANFIS-BBO, ANN-GA, ANFIS-GA, ANN-ICA, and ANN-GWO, has been demonstrated in predicting fly-rock
133 distance resulting from blasting [45], axial load-carrying capacity of concrete-filled steel tubes [46], compressive
134 strength of normal and high-performance concretes [47], heating and cooling loads on buildings [48], mapping
135 the spatial distribution of soil texture fractions [50], spatial modelling of soil electrical conductivity [51], soil
136 electrical conductivity [52], soil moisture [53], and so on.

137 Recently, Bui et al. [9] proposed a new approach by integrating the MLP neural nets and PSO (PSO-MLP
138 neural nets) to estimate C_c of soils, utilizing 154 tests data collected from a high-rise building project in Vietnam.
139 The authors obtained an accuracy of 95.70% ($R^2 = 0.9570$) in the training phase, which dropped to 88.40% ($R^2 =$
140 0.8840) in the testing phase with the same input parameters. Samui et al. [42] integrated artificial bee colony
141 (ABC) and the Levenberg-Marquardt (LM) algorithm of ANN and developed two hybrid models, namely ABC-
142 LM-ANN and ABC-ANN, to estimate the C_c of soils for a housing construction project. Bourouis et al. [20]
143 applied NN-PSO for predicting the secondary C_c of fine-grained soils. Based on the results, the authors concluded
144 that the employed NN-PSO could attain a good agreement between the observed and predicted values. Recently,
145 Bardhan et al. [41] used ANN and ELM-based hybrid models, namely hybrid models of ANN and PSO (ANN-
146 PSO), ANN and equilibrium optimizer (ANN-EO), ANN and Harris hawks optimization (ANN-HHO), ANN and
147 slime mould algorithm (ANN-SMA), ANN and marine predators algorithm (ANN-MPA), ELM and PSO (ELM-
148 PSO), ELM and EO (ELM-EO), ELM and HHO (ELM-HHO), ELM and SMA (ELM-SMA), and ELM and MPA
149 (ELM-MPA), to predict the C_c of soils. The details of the above-mentioned studies on soil C_c prediction are also
150 presented in Table 1.

151 A detailed review of the literature reveals that none of the previous studies employed hybrid models to predict
152 C_c of soils, except Bui et al. [9], Samui et al. [42], Bourouis et al. [20], and Bardhan et al. [41]. In addition, in-
153 depth assessment of hybrid ANN and ANFIS models constructed with a specific group of OAs has not been
154 investigated for predicting the C_c of soils. Also, no comprehensive evaluation of hybrid models has been
155 performed to address the stochastic nature of OAs in hybrid modelling. Thus, the current study is an attempt to
156 fill this gap in the literature for predicting C_c of soils utilizing hybrid ANN and ANFIS models optimized with
157 multiple swarm intelligence (SI) algorithms. Among the developed SI algorithms, ten OAs, namely PSO, ant
158 colony optimization (ACO), ABC, GWO, moth-flame optimization (MFO), ant-lion optimizer (ALO), whale
159 optimisation algorithm (WOA), salp swarm algorithm (SSA), SMA, and MPA, were selected and employed to
160 optimize the learning parameters of ANN and ANFIS. Accordingly, 20 hybrid models, including ANN-PSO,
161 ANN-ACO, ANN-ABC, ANN-GWO, ANN-MFO, ANN-ALO, ANN-WOA, ANN-SSA, ANN-SMA, ANN-
162 MPA, ANFIS-PSO, ANFIS-ACO, ANFIS-ABC, ANFIS-GWO, ANFIS-MFO, ANFIS-ALO, ANFIS-WOA,
163 ANFIS-SSA, ANFIS-SMA, and ANFIS-MPA, were constructed to perform a comparative assessment of hybrid
164 ANN and ANFIS models for predicting the C_c of soils.

165 To develop and validate the proposed hybrid models, a sum of 700 oedometer test data, including 12
166 influencing factors, was acquired from an Indian Railways (IR) dedicated freight corridor (DFC) project. In
167 addition, 30 new experiments were executed at the geotechnical engineering laboratory of the National Institute
168 of Technology Patna (NIT Patna) for the external validation of the developed models in predicting soil C_c . Per the

169 authors' knowledge, this study is the pioneer work to use both hybrid ANN and ANFIS models to estimate soil
 170 C_c , which is one of the most critical real-time challenges in the field of geotechnical engineering.

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Table 1. A summary of earlier studies.

Earlier studies reference	Dataset used	Model employed	R ² (TR/TS)	Soil parameters used as input variables (full from given in the table footnote)
Conventional models				
Alam et al. [38]	391	ANN	0.7600/0.7200	In-11, In-13, In-19, In-20
Bardhan et al. [41]	695	GP	0.9388/0.9305	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	RF	0.9152/0.9124	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	GBM	0.8805/0.8679	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
Benbouras et al. [39]	373	ANN	0.6464/0.5625	In-7, In-11, In-13, In-14 – In-16
	373	GP	0.6058/0.0574	In-11, In-13, In-14 – In-16
Bourouis et al. [20]	203	MGGP	0.9966**	In-19, In-20, In-25
Bui et al. [9]	154	BP-MLP	0.9350/0.8620	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
	154	RBF-NN	0.8420/0.6780	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
	154	RF	0.9900/0.8040	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
	154	GPR	0.9040/0.7970	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
	154	SVR	0.8810/0.7770	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
Pham et al. [35]	189	ANFIS	0.9980/0.9570	In-1 – In-13
	189	ANN	0.9080/0.9390	In-1 – In-13
	189	SVM	0.9800/0.9890	In-1 – In-13
Pham et al. [21]	189	ANN	0.9010*	In-1 – In-9
Kashefipour and Daryaei [31]	137	ANN	0.6700/0.7000	In-7, In-11, In-13, In-17, In-18
Kolay et al. [1]	700	ANN	-0.7600	In-2, In-4 – In-7, In-11, In-13, In-19, In-26 – In-28, In-33
Kurnaz et al. [32]	246	ANN	0.8926/0.8973	In-11, In-13, In-19, In-20
Kurnaz and Kaya [34]	351	BRNN	0.9153/0.8887	In-11, In-13, In-19, In-20
	351	ELM	0.8642/0.8898	In-11, In-13, In-19, In-20
	351	SVM	0.9147/0.8761	In-11, In-13, In-19, In-20
Majdi et al. [37]	150	ANN	0.9610/0.9580	In-2, In-6, In-11, In-13, In-19 – In-22
Mohammadzadeh et al. [7]	108	ANN	0.8705/0.8593	In-11, In-12, In-20
	108	MEP	0.8742/0.8118	In-11, In-12, In-20
Mohammadzadeh et al. [33]	108	MGGP	0.8560/0.8400	In-11, In-12, In-20
Mohammadzadeh et al. [40]	108	GEP	0.8231/0.8603	In-11, In-12, In-20
Park and Lee [29]	947	ANN	0.8960/0.8850	In-6, In-7, In-11, In-13, In-19, In-30 – In-32
Samui et al. [42]	441	LM-ANN	0.8730/0.8110	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
	441	RT	0.9550/0.8100	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
Hybrid models				
Bardhan et al. [41]	695	ANN-PSO	0.7454/0.6907	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ANN-EO	0.9504/0.9426	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ANN-HHO	0.6651/0.6361	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ANN-SMA	0.8995/0.9010	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ANN-MPA	0.9530/0.9352	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ELM-PSO	0.9543/0.9412	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ELM-EO	0.9720/0.9468	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ELM-HHO	0.9736/0.8749	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ELM-SMA	0.8232/0.9461	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
	695	ELM-MPA	0.9702/0.9475	In-1, In-2, In-4 – In-6, In-11 – In-13, In-26 – In-29
Bourouis et al. [20]	203	NN-PSO	0.9980**	In-19, In-20, In-25
Bui et al. [9]	154	PSO-MLP	0.9570/0.8840	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
Samui et al. [42]	441	ABC-LM-ANN	0.8600/0.8400	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24
	441	ABC-ANN	0.8210/0.7920	In-1 – In-3, In-5, In-7, In-9, In-11 – In-14, In-23, In-24

Note: In-1 = Depth of sample; In-2 = clay content; In-3 = moisture content; In-4 = bulk density; In-5 = dry density; In-6 = specific gravity; In-7 = void ratio; In-8 = porosity; In-9 = liquidity index; In-10 = degree of saturation; In-11 = liquid limit; In-12 = plastic limit; In-13 = plasticity index; In-14 = wet density; In-15 = water content; In-16 = fine content; In-17 = primary soil water content; In-18 = relative density; In-19 = natural water/moisture content; In-20 = initial void ratio; In-21 = natural unit weight; In-22 = shear wave velocity; In-23 = sand percentage; In-24 = loam percentage; In-25 = vertical stress; In-26 = gravel content; In-27 = sand content; In-28 = silt content; In-29 = free swell index; In-30 = weight percentage of grain size larger than 0.075 mm; In-31 = weight percentage of grain size between sieve 0.075 mm and 0.005 mm; In-32 = weight percentage of grain size less than 0.005 mm; and In-33 = Pre-consolidation pressure.
 * Reported average value; ** overall/partly/performance reported; TR = training phase; TS = testing phase

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 174 The rest of this paper is structured as follows. Section 2 describes the literature review and the research
 175 significance. The study area is described in section 3, which is followed by a discussion on the background of
 176 meta-heuristic OAs and brief overview of the employed OAs in section 4. The theoretical background of ANN,
 177 ANFIS, and optimization procedure are presented in section 5. Section 6 presents the descriptive statistics of the
 178 collected dataset, and section 7 discusses the data processing, analysis, and performance parameters. Section 8
 179 reports the experimental results, comparative analysis, external validation, and monotonicity analysis, which is
 180 followed by a summary and conclusion in the final section.

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 182

183 2. Literature review and research significance

184 Over the decades, various attempts have been undertaken to establish empirical relationships between C_c and
185 various soil parameters [10–14]. These empirical equations are widely used by practitioners to save the time of
186 actual oedometer tests. Nonetheless, as stated above, these empirical models possess notable modelling drawbacks
187 since soils exhibit extremely complicated behaviour due to their non-linear stress-strain relationships and
188 elastoplastic performance under diverse loading conditions. Thus, such empirical equations cannot be considered
189 very suitable for determining soil C_c utilizing index properties of soils [7,9].

190 Several conventional/standalone ML techniques for estimating soil C_c have been developed in the last decade.
191 For instance, Alam et al. [38] used ANN to model the C_c soil using 391 datasets with LL, I_p , NWC, and e_0 . Bardhan
192 et al. [41] used GP, RF, and GBM to relate C_c of fine-grained soils utilizing 695 experimental results and attained
193 accuracy in the range of 86.79% – 93.88%, based on R^2 value. The authors [41] used depth of soil sample, particle
194 sizes, bulk and dry density, G_s , LL, PL, I_p , and free swell index as the input parameters. Benbouras et al. [39]
195 applied ANN and GP for 373 datasets and Bourouis et al. [20] used MGGP for 203 datasets. Bui et al. [9] used a
196 total of 154 datasets featuring 12 influencing factors and developed BP-MLP, RBF-NN, RF, GPR, and SVR
197 models for soil C_c . The authors achieved accuracy levels ranging from 67.80% – 99%. Using 189 consolidated
198 test data, Pham et al. [21,35] employed ANN, ANFIS, and SVM to estimate soil C_c and reported greater accuracy
199 levels in both the training and testing phases. Kashefipour and Daryaei [31] investigated fine-grained soils and
200 found that the ANN predicted C_c better than the Rendon-Herrero formula. Kolay et al. [1], Kurnaz et al. [32],
201 Majdi et al. [37], and Park and Lee [29] employed ANNs to relate C_c of various soils depending on their physical
202 properties. Kurnaz and Kaya [34] compared the ELM, SVM, and BRNN approaches and found that the BRNN
203 method predicts C_c better than the ELM and SVM methods. Mohammadzadeh et al. [7,33,40] used ANN and GP-
204 based approaches (such as MEP, MGGP, and GEP) for relating soil C_c based on LL, PL, and e_0 . Samui et al. [42]
205 used a total of 441 datasets and developed LM-ANN and RF models. The authors achieved 87.30% – 95.50% and
206 81.1% – 81% accuracy in the training and testing phases, respectively, based on the findings.

207 On the other hand, Bardhan et al. [41] used a combination of Principal component analysis (PCA)-based
208 hybrid ANN and ELM models to develop a high-performance soil C_c prediction model. To train and validate the
209 hybrid ANNs and ELMs, Bardhan et al. [41] used a total of 695 consolidation test results featuring different soil
210 properties. The authors concluded that the ELM-MEO is a promising alternative for predicting soil C_c based on
211 the findings. Bourouis et al. [20] and Bui et al. [9] used PSO to develop hybrid NNs. Samui et al. [42] selected a
212 large-scale real-life urban project (Hai Phong city, Vietnam) as a case study and produced two hybrid models,
213 namely ABC-LM-ANN and ABC-ANN. Based on the findings, the authors [42] showed that the developed ABC-
214 LM-ANN attained 86% and 84% ($R^2 = 0.86$ and 0.84) accuracy in the training and testing phases, respectively.
215 The aforementioned discussion has been summarized in Table 1.

216 In recent years, hybrid computational modelling has exploded in popularity among researchers from various
217 engineering fields. However, the use of hybrid models constructed with a specific group of OAs has not been
218 examined for estimating the C_c of soils. In addition, the accuracy of hybrid models has not been thoroughly
219 assessed to estimate the desired outcomes, including soil C_c . Taking this into consideration, this work was driven
220 by a desire to fill gaps in the literature. A comparative analysis of hybrid ANN and ANFIS models constructed
221 with swarm intelligence algorithms was performed followed by experimental validation. Moreover, two distinct
222 combinations of soil parameters (based on grain size distribution analysis) were investigated to ensure the

223 effectiveness of silt and clay particles on soil C_c . At the end, the most efficient hybrid model was identified for
224 predicting the C_c of soils.

225

226 3. Study area

227 To meet the volume of freight demand in India, the Ministry of IR has planned to build over a 10,122-km
228 DFC route under the Golden Quadrilateral Corridor (GQC) [54]. There are currently 6 DFCs under the GQC, and
229 the proposed rail networks will join the four largest cities of Chennai, Delhi, Kolkata, and Mumbai, along with
230 two diagonals North-South DFC (Delhi-Chennai) and East-West DFC (Kolkata-Mumbai). Presently, two DFCs,
231 the western DFC (WDFC) and eastern DFC (EDFC), are under construction. In this work, the Iqbalgarh-Vadodara
232 section, a 340-km long (final length may be changed at the time of completing the project) portion of WDFC, was
233 selected as the study area. Fig. 1 shows the geographical location of the study area along with the route layout of
234 the Iqbalgarh-Vadodara section. The entire Iqbalgarh-Vadodara section will pass through the state of Gujarat,
235 India (see Fig. 1).

236

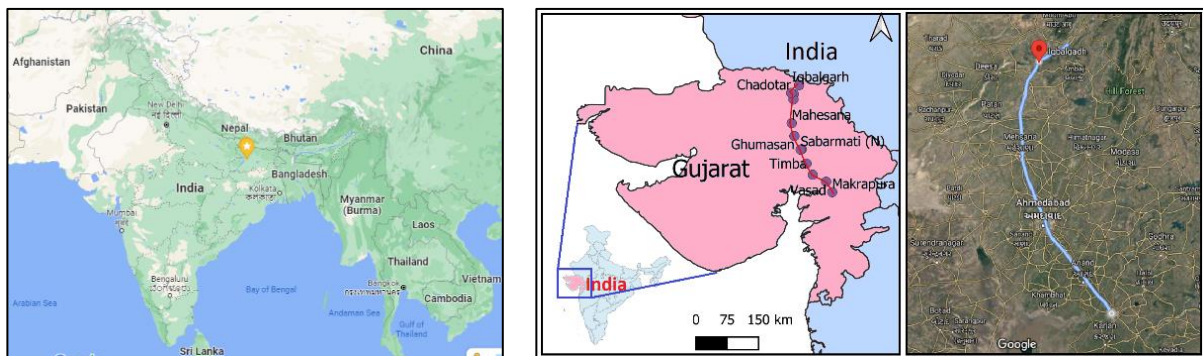


Fig. 1. Geographical layout of the study area.

237

238 WDFC is a 1506-km long (final length may be changed at the time of completing the project) railway freight
239 corridor that will begin in Dadri in the state of Uttar Pradesh and will terminate in Jawaharlal Nehru Port near
240 Navi Mumbai, India. As mentioned, the Iqbalgarh-Vadodara section, which is currently under construction, was
241 selected for this study. A sum of 700 oedometer test results was acquired from the mentioned section for predicting
242 soil C_c . Besides consolidation test data, sub-soil data, grain size analysis results, plasticity characteristics, and
243 other basic soil parameters were also collected.

244

245 4. Overview of optimization algorithms

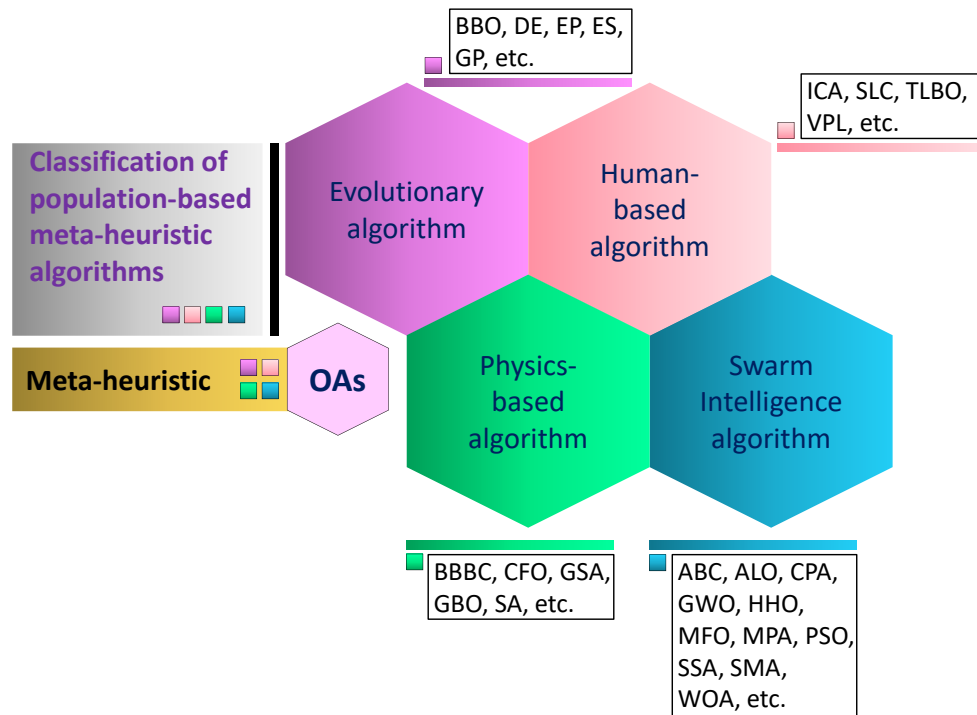
246 The rising complexity and difficulty of real-world issues has necessitated the use of optimization techniques,
247 particularly meta-heuristic OAs, in recent decades. These methods are primarily stochastic and are utilized to
248 estimate optimal solutions to a variety of linear and non-linear optimization problems. By reducing or maximizing
249 the objective function of a problem, the optimization method identifies the optimal decision variables. Because of
250 their simplicity and ease of implementation, meta-heuristic OAs have been devised and used as competing
251 alternative solvers for the problem at-hand [55,56].

252

253 In general, there are two sorts of meta-heuristic OAs: population-based (p-based) and single solution-based.
254 As the name implies, the p-based OA evolves a set of solutions in each iteration of the optimization process,
255 whereas the single solution-based OA processes only one solution during the course of optimization. The majority
of p-based meta-heuristic algorithms are centred on natural occurrences. These algorithms begin the optimization

256 process by creating a population of individuals, each of which represents a potential solution to the optimization
 257 problem. The population will iteratively evolve by replacing the present population with a freshly created
 258 population using stochastic operators. The optimization process is carried out until the stopping criteria are met.
 259

Fig. 2.
 Classification
 of meta-
 heuristic
 OAs.



260 The p-based meta-heuristic OAs can be divided into four categories based on their inspiration: (a)
 261 evolutionary algorithms (EAs), (b) human-based algorithms, (c) physics-based algorithms, and (d) swarm
 262 intelligence (SI) algorithms. Among these groups, the EAs mimic biological evolutionary behaviours, such as
 263 recombination, mutation, and selection. The GA [57] is the most common EA, as it models Darwin's theory of
 264 evolution. The BBO [58], differential evolution (DE) [59], evolutionary programming (EP) [60], evolution
 265 strategy (ES) [61], and GP [62] are examples of EAs. The second category of OA is human-based approaches,
 266 which are inspired by human collaboration and community behaviour. The ICA [63], which is inspired by human
 267 socio-political growth, is one of the most widely utilized algorithms in this group and includes soccer league
 268 competition (SLC) [64], teaching-learning-based optimization (TLBO) [65], and volleyball premier league (VPL)
 269 [66]. The physical laws motivate physics-based algorithms, such as Big-Bang Big-Crunch (BBBC) [67], central
 270 force optimization (CFO) [68], gravitational search algorithm (GSA) [69], gradient based optimizer (GBO) [70],
 271 and simulated annealing (SA) [71]. The SI algorithms are the final category of p-based meta-heuristic algorithms
 272 that mimic the social behaviours of organisms living in swarms, flocks, and herds. Examples of SI algorithms
 273 include ABC [72], ALO [73], GWO [74], HHO [75], MFO [76], MPA [77], PSO [78], SSA [79], SMA [80],
 274 WOA [81], and so on . Fig. 2 displays the different groups of meta-heuristic OAs as discussed above.
 275

276 In the present study, ten different SI algorithms, namely PSO, ACO, ABC, GWO, MFO, WOA, SSA, HHO,
 277 SMA, and MPA, have been used to construct hybrid ANN and ANFIS models. In the following sub-sections, a
 278 short discussion on these OAs is presented.

279
 280

281 **4.1. Particle swarm optimization (PSO)**

282 Kennedy and Eberhart [78] presented PSO as a member of the swarm-based community in 1995, which was
283 motivated by the flocking and schooling habits of fish and birds. The main objective of PSO is to discover global-
284 optimal solutions in a multidimensional environment. PSO starts by implementing the random speeds and
285 locations of objects, which each adjusts its position to pick the appropriate status in a multidimensional
286 environment depending on its speed, personal best position, and global best position. The best position obtained
287 by individual particles is proven to be the ideal global status, while the preferred option attained by the particle is
288 found to be the ideal personal position. The position of the particle becomes modified based on its best personal
289 position and the orientation of the best global location. Meanwhile, the speeds of the objects are adjusted
290 depending on the discrepancy between their best personal position and the best global place. Through a
291 combination of E&E, the particles converge together around the optima. The acceleration coefficients, c_1
292 (cognitive coefficient) and c_2 (social coefficient) with fixed values of 1 and 2, respectively, are dependent on the
293 issue and demonstrate the confidence level of an element compared to its personal and global status. The detailed
294 working principle of PSO can be obtained from previous studies [9,48].

295

296 **4.2. Ant colony optimization (ACO)**

297 ACO is a meta-heuristic method for solving NP-hard problems that, as the name implies, mimics the genuine
298 and natural behaviour of ants in nature [82]. When ants discover food, they leave chemical trails called
299 pheromones on the path back to their colony, which aids other inhabitants of the colony to locate food resources.
300 Other members are more inclined to pursue the trail rather than searching for food at random, then also spread
301 pheromones upon locating the food supply. The pheromones dissipate over time, and the trail vanishes, whereby
302 the longer the route, the more difficult it is to maintain the route to the food. As a result, the strength of the
303 pheromone deposits on the shortest route is progressively increased to the degree that is regulated with
304 evaporation. This rise and reduction in pheromone quantity on a certain route entice the ants to follow that path.
305 This natural behaviour is exploited in the ACO algorithm by taking into account a set of parameters that represent
306 the continually adjusting pheromone values dependent on the merit of the obtained solutions. ACO's basic
307 approach is to discover a method that proceeds by building an incremental solution according to the algorithm's
308 specified route, whereby the pheromone's probabilistic solution choice determines the route.

309

310 **4.3. Artificial bee colony (ABC)**

311 Karaboga and Basturk [72] created the ABC optimization algorithm with the social structure of bees in mind.
312 In ABC, every bee is seen as a basic component that are combined to create a bee colony. The resulting colony
313 displays a coherent and sophisticated behaviour and provides an interconnected system to find and investigate
314 flowers' nectar. A colony is comprised of three types of bees: scouts, employed workers, and observer bees, each
315 with a specific function. Scout bees are in charge of discovering new sources and will search the surrounding area
316 at random. When they find to a new food source, they remember it. When scout bees return to the hive, they
317 perform a waggle dance with the other bees to communicate the food supply information, then some scout bees
318 are recruited to seek the source. Employed bees are responsible for reusing old sources of food. Onlooker bees
319 wait for other bees to communicate information with them via the waggle dance and then choose a source based
320 on the bees' exploratory response efficiency.

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4.4. Grey wolf optimizer (GWO)

GWO [74] is a nature-inspired optimization algorithm that mimics the strict plural hierarchy of grey wolves whose main behaviour is hunting. GWO includes a couple of males and females, whereby the best solution is recognised as the α (alpha) group that makes main decisions like hunting. The β (beta) wolves are the second level, which participate in making a decision and following the alpha wolves. The beta can be females, help in flock adjustment, and are the best candidates for substitution of a dead or old alpha wolf. The third level of wolves is δ (delta) wolves, which are responsible for sentinels and scouts and employ in the hunt. The final group of individuals, ω (omega), is recognized as the weakest level and is responsible for watching younger wolves. Muro et al. [83] presented grey wolf hunting method of three levels: (a) identifying, pursuing, and closing in on the target; (b) surrounding the target; and (c) rushing the target. These two various social behaviours are regarded in the GWO algorithm. In the modelling step of the mentioned algorithm, α (alpha) is the fittest solution, then β (beta), δ (delta), and ω (omega) are suitable solutions in the next steps. The original study of Mirjalili et al. [74] can be referred for detailed descriptions of GWO.

4.5. Moth flame optimization (MFO)

MFO is a nature-inspired meta-heuristic algorithm used to solve optimization problems [76], which is inspired by the travelling behaviour of moths at night with their backs to the moon. Throughout their flight, moths are frequently caught by artificial lights like a flame, lamp, or bulb. To solve this challenge, moths use a spiral approach to approach the flame, known as the optimization technique of moths. They can traverse in 1D, 3D, and hyper-plane dimensional space by varying locations. As a population-based approach, MFO uses 3-tuple to estimate the global optimum of the issue at-hand. The first step is to initialize the population, followed by the primary function, which transfers the moths across the search area, and finally the halting the function yields true if the ending condition is fulfilled and yields false otherwise. Because the moth must find fame in the search area, the moth's location concerning fame is critical.

4.6. Whale optimization algorithm (WOA)

WOA is a newly developed optimization algorithm that mimics the natural hunting behaviour of humpback whales [81]. Based on Watkins and Schevill [84], the most remarkable aspect of humpback whales is their unique hunting strategy, known as the bubble-net feeding method. The little fish near the surface are known as humpback whales. As shown in Figure 4, the hunting process is finished when several unique bubbles are formed along a nine-shaped or a circular route. The behaviour mentioned above was investigated in 2011 and prior to that, based on surface observations. Nonetheless, Goldbogen et al. [85] performed a distinct study using tag sensors. In the novel inquiry approach, they gathered 300 tag-derived bubble-net feeding episodes of nine distinct humpback whales and developed two new bubble motion plans, namely upward spirals and double loops. Specifically, humpback whales generate bubbles in a spiral form all around prey then swim toward the top in the preceding movement plan. The novel movement plan consists of three distinct steps: coral loop, lobtail, and capture loop, about which further information and behaviour descriptions can be found in the literature [81,85]. It is vital to emphasise that bubble-net feeding is a distinct activity seen exclusively in humpback whales.

361 **4.7. Salp swarm algorithm (SSA)**

362 Mirjalili et al. [79] proposed SSA by mimicking translucent water invertebrates called salps, or salp chain,
363 that forage in a swarming fashion. The algorithm is built on a leader-follower connection, where the leader adjusts
364 its position depending on the position of the best food. The mathematical model of SSA is divided into three
365 sections: salps are allocated in random places in the first phase; the salp with the lowest fitness value and closest
366 to the food supply is designated as the leader in the next step, while the others are designated as followers; then
367 the position is updated in the following step. The leader shifts its position in relation to the best global solution
368 and explores better options. The follower salps update their location in rank towards the leader, and the iterations
369 continue until the termination condition, or the maximum number of iterations is met.

370

371 **4.8. Harris hawks optimization (HHO)**

372 HHO is a unique SI-based optimization approach presented by Heidari et al. [75] that relates the hunting
373 behaviour of Harris hawks to mathematical computer systems. A community of Harris hawks assaults the prey
374 (typically rabbits) in numerous directions and adopts various dynamic and sophisticated strategies adapting to the
375 prey's fleeing pattern, resulting in bewildered and tired prey. The algorithm is divided into three steps. The
376 exploring phase is the initial step of waiting, searching, and discovering, in which the birds represent potential
377 solutions to the chosen challenge. The second step is the change from exploration to exploitation, which is
378 determined by the type and energy of the prey. During the exploitation process, the third step, the identified prey
379 is assaulted by surrounding and laid besieged from numerous directions. Depending on the prey's energy
380 determined in the second stage, the besiege could be mild or severe.

381

382 **4.9. Slime mould algorithm (SMA)**

383 SMA is a recently-developed nature-inspired meta-heuristic OA [80] that considers the mathematical
384 simulating modelling of slime mould propagation waves while making the best path for connecting foods. Owing
385 to their unique characteristic and pattern, slime mould, a eukaryotic organism found in nature, simultaneously
386 uses several food sources in order to create a venous network for their connection. Slime mould can grow up to
387 lengths greater than 900 cm² if sufficient food exists in the environment. When a vein obtains a source of food,
388 the bio-oscillator creates a spreading wave, which enhances the cytoplasmic flow into the vein, causing the vein
389 to become thicker by increasing the speed of cytoplasm flow. Regarding these positive and negative responses,
390 the slime could form the optimal path for food connection in a relatively greater way. Thus, slime mould has also
391 been modelled mathematically and used in path networks and graph theory, in which the procedure of creating
392 positive and negative responses through the wave propagation is simulated. Slime mould also could adjust their
393 patterns of dynamic search based on the provenience of foodstuff quality. This procedure is implemented in
394 various engineering optimization problems. The two major levels in the slime mould algorithm are: (a) obtaining
395 food following that the behaviour of slime to acquire food according to its odour in the air; and (b) warp foodstuff
396 in which the slime behaviour in performing contraction of its venous configuration. Detailed information and the
397 working principle of SMA can be found in the original work of Li et al. [80].

398

399

400 **4.10. Marine predators algorithm (MPA)**

401 In MPA, the optimization process can be divided into three major stages based on the velocity ratio as well
402 as imitating patterns of prey and predator [77]. These stages depend on the velocity of movements of prey to flee
403 from predators: high-velocity ratio, unit velocity ratio, as well as a low-velocity ratio. Every phase in MPA is
404 outlined and allocated a certain iteration interval and are characterized by the laws that govern predator and prey
405 movement. Throughout phase 1, the prey moves quicker than the predator, resulting in a greater velocity ratio,
406 which generally happens during the first iteration when experimentation is more crucial. Even though the velocity
407 ratio is more than 10, the optimum option for the predator in this scenario is to remain motionless. In phase 2,
408 both the predator and prey travel simultaneously to find their food, thus this phase is often referred to as the unit
409 velocity ratio. The move from E&E happens during this stage, which is considered the intermediate phase of
410 optimization. Therefore, E&E occur during this period, whereby half of the population is assigned for exploration
411 and the remaining population is designated for exploitation. Significantly, the prey is in charge of exploitation,
412 while the predator is in charge of exploration. Per the unit velocity ratio ($v \approx 1$), if the prey exhibits Lévy motion,
413 the Brownian movement would be optimal for the predator to attack the prey. The low-velocity ratio is visible in
414 phase 3 because the predator travels faster than the prey to attack it. This low-velocity ratio ($v = 0.1$) demonstrates
415 the predator's excellent exploitation capabilities, wherein Lévy is the optimal tactic. The prey moves in Brownian
416 motion during the first stage of the movement, then in Lévy motion during phase two. Each phase receives one-
417 third of the iterations, resulting in better-optimized outcomes than switching or repeating the approach.

418

419 **5. Theoretical background of ANN and ANFIS**

420 This section describes the theoretical background of ANN and ANFIS used in this research work. This is
421 followed by the methodological development of the proposed hybrid ANN and ANFIS models for predicting C_c
422 of soils.

423

424 **5.1. Artificial neural network (ANN)**

425 As a computational approach that is inspired by the structure of the human brain, ANN is comprised of small
426 and simple processing units known as artificial neurons or nodes. By harnessing this structure, ANN has become
427 an effective mathematical tool for different purposes, such as pattern recognition and function approximation.
428 ANN is made up of three layers in which neurons are located (see Fig. 3). The first layer and last layer of ANNs
429 are called the input and output layers in which the number of neurons is equal to the number of the input and
430 output variables of the problem, respectively. Between these two layers, there are one or more layers known as
431 hidden layers, which are the computational engine of the network. Two essential parameters in the ANN are
432 weights and biases. Interconnected relationships between neurons of a layer are indicated by weights, and the
433 degree of freedom for the networks is determined by biases. With the exception of the input nodes, each node uses
434 a nonlinear activation function term as a transfer function in order to determine the output of that node, providing
435 a set of inputs.

436 Then, these outputs are employed as input for the next node, and so on, until a suitable solution is found to
437 the original problem. The most predominant types of activation functions are the sigmoid, linear, and hyperbolic
438 tangent functions. In order to compute the error of comparing the actual outcome, i.e., the target of the problem,
439 and the predicted outcome, i.e., the network's outcome, a backpropagation algorithm is employed. This error is

440 then propagated back one layer at a time across the ANN structure, and the weights are changed based on their
 441 contribution to the error. Successful applications of ANNs can be found in the literature [19,48,86–92].
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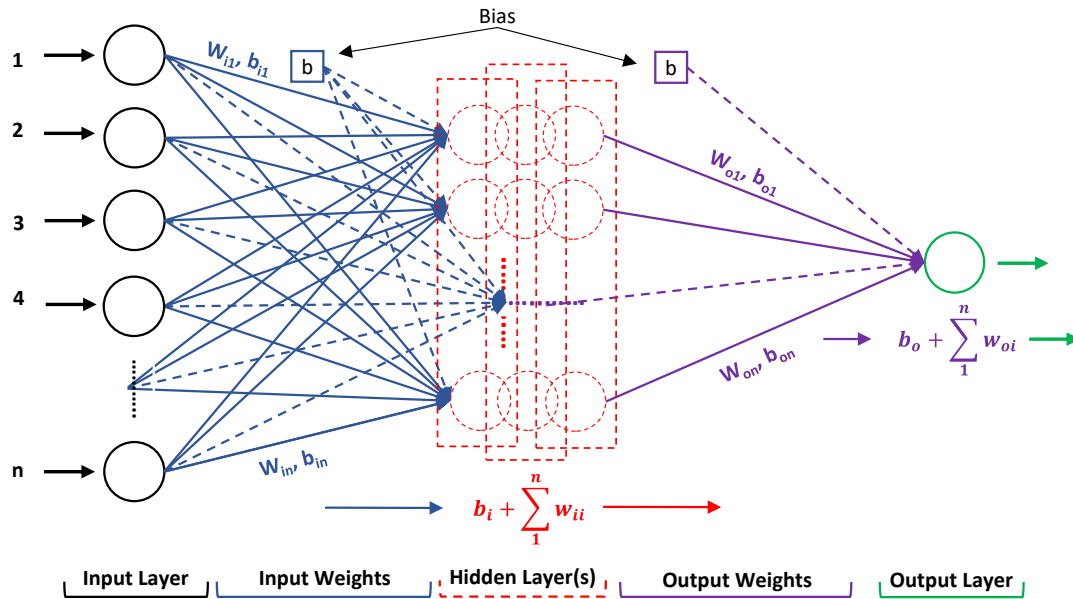


Fig. 3. Basic structure of ANN.

443
 444 **5.2. Adaptive neuro-fuzzy inference system (ANFIS)**

445 ANFIS is a combination of ANN and a fuzzy inference system (FIS) introduced by Jang and Lee [93] for
 446 the purpose of surmounting the impediments of ANN and FIS. The ANFIS is based on fuzzy logic and rules,
 447 which are produced during the training process. As demonstrated in Fig. 4, FIS is comprised of five layers,
 448 whereby nodes in layers 1 and 5 are typical of the inputs and output, respectively. In the hidden layers, membership
 449 functions (MFs) and rules are represented as fixed and flexible nodes. The relation between the input and output
 450 is specified by ‘if-then fuzzy’ rules. The model then contains two fuzzy rules based on the form of ‘Takagi and
 451 Sugeno’ that can be represented as follows:

452

$$\text{Rule 1: if } x_1 \text{ is } A_1 \text{ and } x_2 \text{ is } B_1, \text{ then } f_1 = p_1x_1 + q_1x_2 + r_1 \quad (1)$$

453

$$\text{Rule 2: if } x_1 \text{ is } A_2 \text{ and } x_2 \text{ is } B_2, \text{ then } f_2 = p_2x_1 + q_2x_2 + r_2 \quad (2)$$

454 where x_1 and x_2 are the two input variables; f is the output variable; A_1 , B_1 , A_2 and B_2 stand for the linguistic
 455 labels; and p_1 , q_1 , r_1 and p_2 , q_2 , r_2 are the consequent and antecedent parameters. A brief discussion of each layer
 456 is presented below, while further details of ANFIS can be obtained from the literature [47,86].
 457

458 *Fuzzifying layer:* Layer 1, in which neurons are considered as adaptive nodes that comprise premise
 459 parameters.

460 *Implication layer:* Layer 2, in which the neurons are labelled as Π_1 and Π_2 (see Fig. 4). In this layer, the
 461 output nodes (i.e., W_1 and W_2), which indicate the firing strength of a rule, are formed based on incoming signals.

462 *Normalizing layer:* Layer 3, in which every neuron (labelled as N_1 and N_2) is a fixed neuron. The output is
 463 achieved according to the ratio of the i th rule’s firing strength over the summation of firing strength of all rules.

464 *Defuzzifying layer:* Layer 4, in which the neurons are categorized as adaptive neurons that contain
 465 consequence parameters.

466 *Combining layer:* Layer 5, contains a single neuron adding up all the inputs.
 467

468 5.3. Methodological development of hybrid ANN and ANFIS models

469 Recent studies in the engineering field have focused on augmenting the performance of CML algorithms
 470 through OAs, such as PSO, GA, GWO, ABC, etc. [45,94]. The amalgamation of CML and OAs aids in the search
 471 for the exact global minimum by optimizing the learning parameters of CML algorithms, which in turn produces
 472 more consistent results, especially in the testing phase [41,48]. The learning parameters of ANN include input
 473 weights, hidden biases, output biases, and output weights [86], while those of ANFIS are consequent and
 474 antecedent (C&A) parameters [48]. In this study, ten swarm intelligence OAs were used to optimize the learning
 475 parameters of ANN and ANFIS, and twenty hybrid models, namely ANN-PSO, ANN-ACO, ANN-ABC, ANN-
 476 GWO, ANN-MFO, ANN-WOA, ANN-SSA, ANN-HHO, ANN-SMA, ANN-MPA, ANFIS-PSO, ANFIS-ACO,
 477 ANFIS-ABC, ANFIS-GWO, ANFIS-MFO, ANFIS-WOA, ANFIS-SSA, ANFIS-HHO, ANFIS-SMA, and
 478 ANFIS-MPA, were constructed to predict soil C_c . The optimization procedure includes the following steps: (a)
 479 initialization of ANN/ANFIS and selection of their hyper-parameters, i.e., activation function and the number of
 480 hidden neurons (N_H) for ANN, and the number of FIS parameters for ANFIS (N_{FIS}); (b) generation of learning
 481 parameters; (c) incorporation of OAs; (d) set terminating criteria; (e) training of algorithm using training dataset
 482 and generation of learning parameters through OAs; (f) final training of ANN/ANFIS; (g) check fitness value;
 483 and (h) selection of optimum values of optimized learning parameters based on the fitness value. After the
 484 development of optimized learning parameters, the constructed hybrid models were employed to predict the
 485 testing dataset. Note that, apart from the hyper-parameters of ANN and ANFIS, the deterministic parameters of
 486 OAs, such as number of swarm/particle size (N_s), iteration count (k), inertia weight (w), upper and lower bounds
 487 (ub and lb), E&E parameters, and other parameters also play a vital role in constructing the hybrid models [45].
 488 Therefore, they must be carefully calibrated during the optimization process [41,94]. The entire aforementioned
 489 hybridisation process is presented in Fig. 5, showing the steps for constructing hybrid ANN and ANFIS models
 490 in predicting soil C_c .

491

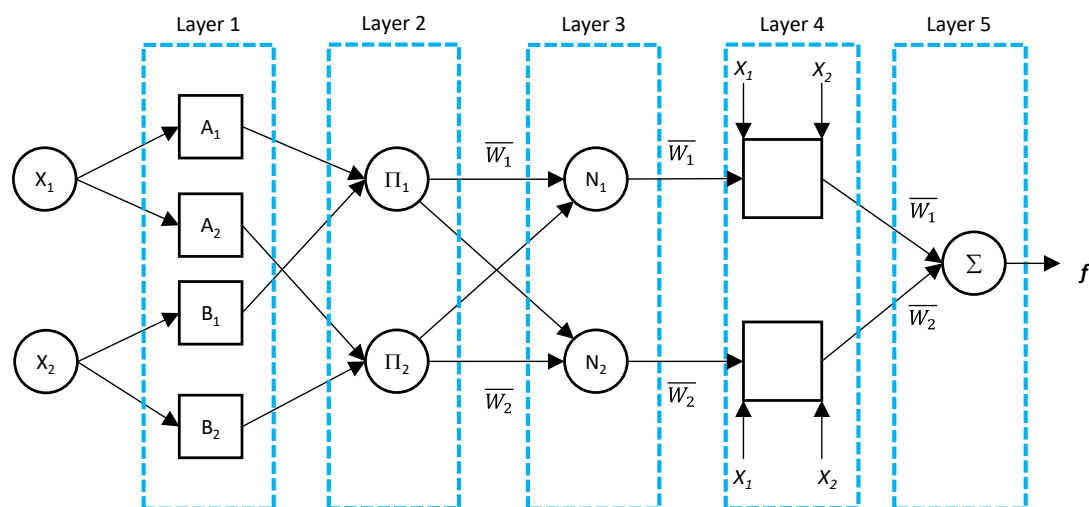


Fig. 4. Basic structure of ANFIS.

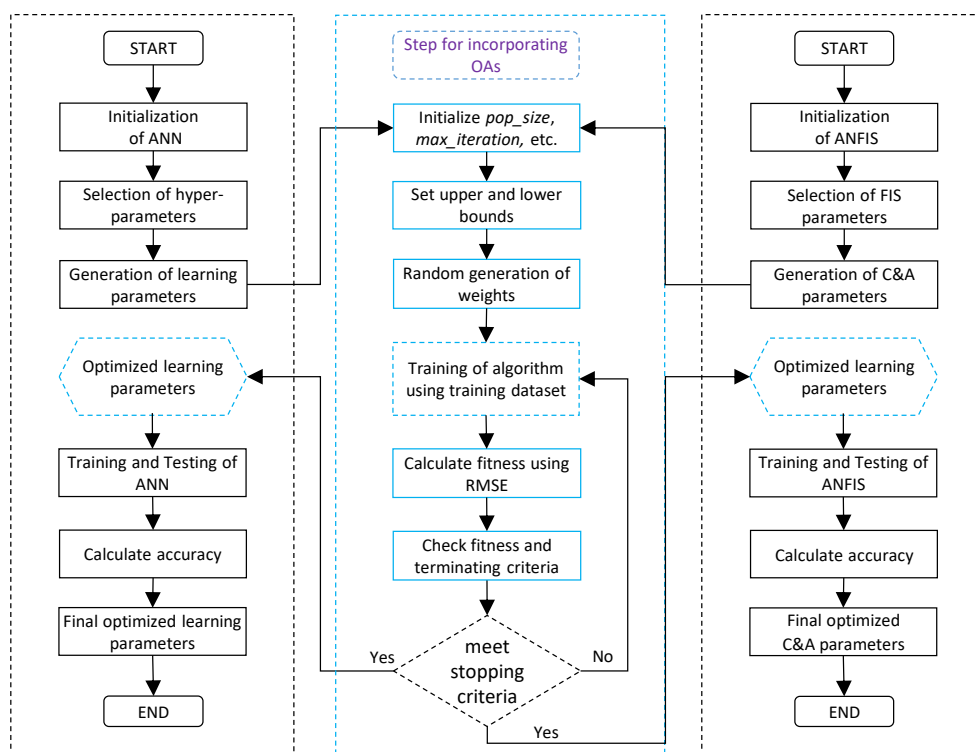
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493 **6. Descriptive statistics of the collected dataset**

494 As stated above, a sum of 700 oedometer test data was acquired from a DFC project and used for estimating
 495 soil C_c . At the construction site, the investigation process was carried out at a depth of 0.5-32.75 m, and necessary
 496 soil samples were collected and tested by the executing agency. In total, there were 215 boreholes, from which
 497 samples of 6 different types of soils were collected and tested. Therefore, the collected dataset consists of
 498 oedometer test data of 6 different soils, namely CI (inorganic clay with intermediate plasticity), CL (inorganic
 499 clay with low plasticity), ML (low plasticity silt), ML-CL (clayey silt with low plasticity), SC (clayey sand), and
 500 SM-SC (silty sand with clayey sand) as per the Indian Standard Soil Classification System (ISSCS). Apart from
 501 the oedometer test results, i.e., the values of soil C_c , the depth of soil samples (D), details of grain size analysis,
 502 basic soil properties, and plasticity characteristics of soils, were also collected. The information extracted from
 503 the details of grain size analysis includes gravel content (G), content of coarse sand (CS), medium sand (MS), fine
 504 sand (FS), total sand (S), silt (M), clay (C), and total silt and clay (M&C). The basic soil parameters include bulk
 505 density (BD), dry density (DD), and specific gravity (G_s) of soils, whereas the plasticity characteristics of soils
 506 are PL and LL of soils. These parameters were used as the input information to predict the C_c of soils. Descriptive
 507 statistics of all the variables used in this study are given in Table 2. The frequency histograms as well as data
 508 distribution of the input soil parameters and soil C_c are shown in Fig. 6, from which the nature of experimental
 509 dataset i.e., type of distribution, skewness, etc., can be observed. In addition, to better highlight the diversity of
 510 soil C_c , the minimum, average, and maximum values of soil C_c are presented in Table 3 separately for all six soil
 511 types.

512

Fig. 5. Flow chart showing the process of hybrid ANN and ANFIS modelling.



513

514 From the descriptive statistics presented in Table 2, it can be observed that the present database contains test
 515 data of soil samples collected from depths ranging 0.50 m – 32.75 m. The G and S contents vary 0% – 18% and
 516 3% – 68%, respectively, while the M&C content in the soil range between 28% and 97%. The content of CS, MS,
 517 and FS lies in the range of 0% – 11%, 0% – 25%, and 1% – 62%, respectively. Moreover, the LL and PL of soils

range 22% – 49% and 12% – 26%, respectively. The soil C_c is scattered in the range of 0.0700 to 0.1676, which shows that most of the samples have large M&C content. The details of C_c of each soil are presented in Table 3, revealing that, except ML soil, all other soils have a wide range of C_c values and, hence, can be considered significant for modelling the soil C_c . Also, the distribution of different soil parameters shown in Fig. 6 indicates that the parameter D, G, CS, and MS are not normally distributed and they are skewed to the left. The S, M, M&C, BD, DD, and Gs are some of the soil parameters that are normally distributed. The output parameter, C_c , is found to be skewed to the right and hence, not normally distributed. The skewness of each soil parameters can be obtained from Table 2.

Table 2. Descriptive statistics of the collected dataset.

Parameters and description		Statistical particulars						
		Min.	Avg.	Max.	Std. error	Std. dev.	Kurtosis	Skewness
D	Depth of soil samples (m)	0.50	7.48	32.75	0.21	5.55	1.48	1.18
G	Gravel content (%)	0.00	3.42	18.00	0.14	3.67	1.58	1.32
CS	Coarse sand content (%)	0.00	2.15	11.00	0.07	1.89	2.35	1.21
MS	Medium sand content (%)	0.00	3.90	25.00	0.13	3.41	7.18	2.21
FS	Fine sand content (%)	1.00	26.40	62.00	0.47	12.45	-0.42	0.45
S	Total sand content (%)	3.00	32.45	68.00	0.46	12.24	-0.49	0.13
M	Silt content (%)	19.00	48.01	75.00	0.36	9.65	0.15	0.26
C	Clay content (%)	5.00	16.11	37.00	0.19	4.99	0.05	0.45
M&C	Silt and clay content (%)	28.00	64.13	97.00	0.46	12.28	-0.39	0.03
BD	Bulk density (gm/cm ³)	1.61	1.80	1.99	0.00	0.07	-0.30	0.15
DD	Dry density (gm/cm ³)	1.46	1.59	1.71	0.00	0.05	0.12	-0.06
Gs	Sp. Gravity (-)	2.64	2.67	2.70	0.00	0.01	0.07	-0.08
LL	Liquid limit (%)	22.00	32.86	49.00	0.17	4.39	0.57	0.69
PL	Plastic limit (%)	12.00	18.84	26.00	0.07	1.76	1.97	-0.55
C_c	Compression index (-)	0.0700	0.1208	0.1676	0.0007	0.0198	0.1321	-0.4492

Table 3. Range of C_c for different soil types.

Particulars	Different soil types as per ISSCS					
	CI	CL	ML	ML-CL	SC	SM-SC
Min.	0.0700	0.0700	0.1008	0.0940	0.0857	0.0904
Avg.	0.1238	0.1201	0.1008	0.1025	0.1171	0.1186
Max.	0.1622	0.1676	0.1008	0.1097	0.1656	0.1490

7. Data processing and analysis

This section presents the data processing and analysis procedure for predicting soil C_c . It is worth noting that soils are made up of small particles, which were produced by the weathering of large rocks of various mineralogy into smaller rock fragments and finally soil particles. Thus, soils are categorized as heterogeneous materials and show nonlinear elastoplastic behaviour due to the presence of different size particles, such as gravel, sand, silt, and clay. The plasticity of soils is greatly affected by the mineralogy, size, and shape of their particles. Soils with a large content of G and S possess no plasticity because of their large particles, while soils containing high proportions of clay manifest a large plasticity. In addition, the proportions of different soil particles affect the consolidation behaviour of soils. Therefore, to precisely explain the mapping relationship between soil parameters and C_c , this study investigated two different combinations of input parameters, i.e., Case-1 and Case-2, to assess the influence of different soil particles, such as S, M, and C particles, in modelling the C_c of soils. Specifically, Case-1 included 9 input parameters, while Case-2 considered 12 input parameters. The details of two different input combinations are presented in Table 4. Note that the contents of CS, MS, FS, M, and C were not considered separately in Case-1; instead, S and M&C contents were included for predicting soil C_c .

Table 4. Details of input parameters of two different combinations.

Combination	Input parameters considered	Input data size
Case-1	D, G, S, M&C, BD, DD, Gs, LL, and PL	9 × 700
Case-2	D, G, CS, MS, FS, M, C, BD, DD, Gs, LL, and PL	12 × 700

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To construct and validate the hybrid ANN and ANFIS models, the main dataset was randomly separated into training and testing subsets. In the field of ML, the most critical step for any type of problem is considered to be the processing of data. Generally, the normalization of data is a pre-processing task that is performed in the primary stage to nullify the dimensional effect of the input variables. Thus, prior to the model development, the entire dataset was standardized between 0 and 1, utilizing $\{(x - x_{min}) / (x_{max} - x_{min})\}$, in which, x_{min} and x_{max} represent the minimum and maximum values of the parameter under consideration (i.e., x), respectively.

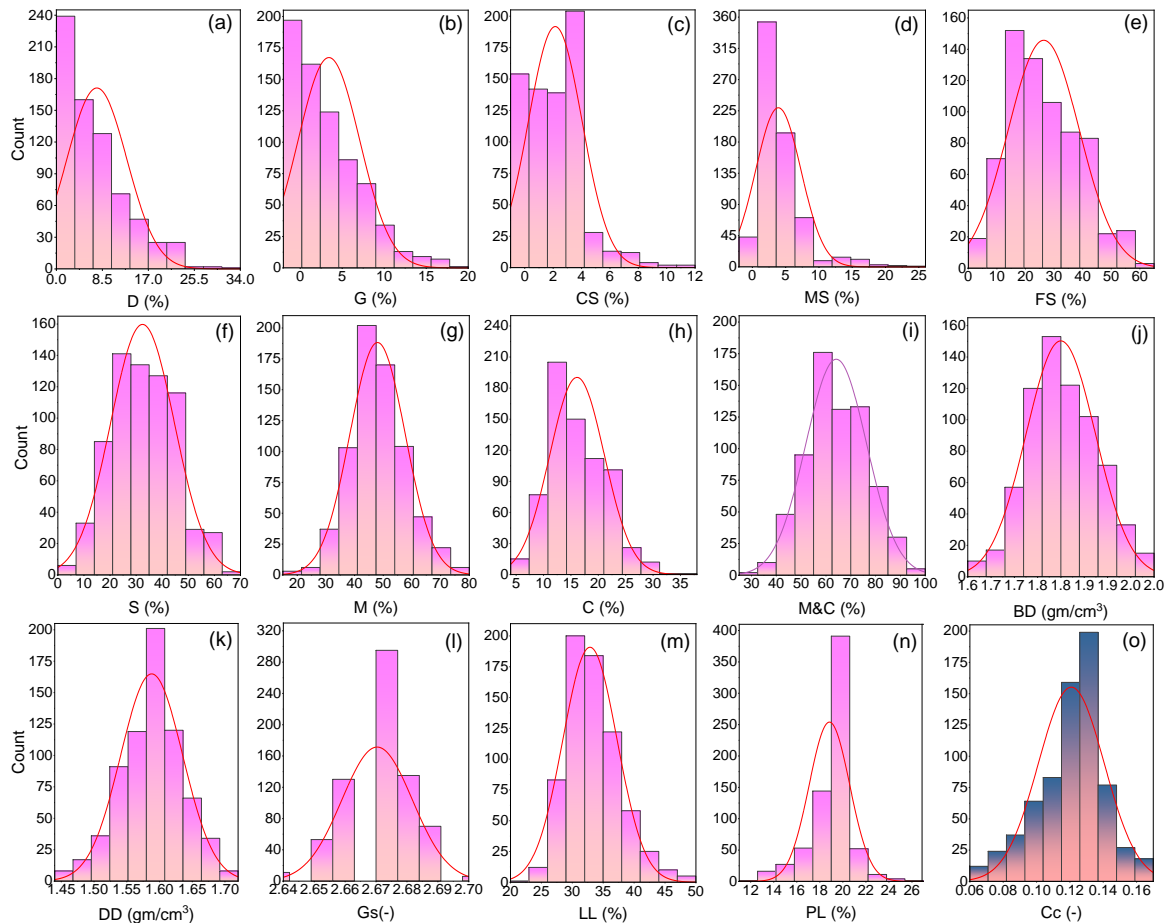


Fig. 6. Frequency histograms of input (a - n) and output (o) variables.

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In this work, 80% of the total dataset was randomly selected for the training subset, while the remaining 20% was used as the testing subset. It is pertinent to mention here that, although there are no set standards or criteria for the number of samples to be used in a prediction model, it is of the researchers' choice; however, a model built with a large dataset can be deemed more reliable than one built with a small dataset. In addition, a model that has been validated with a large dataset is more trustworthy. Thus, in this work, 560 and 140 samples were used for the training and testing subsets to develop and validate the hybrid ANN and ANFIS models, respectively.

In the subsequent step, eight widely-used performance parameters, including Nash-Sutcliffe efficiency (NS), R^2 , performance index (PI), Willmott's index of agreement (WI), mean absolute error (MAE), root mean square error to observation's standard deviation ratio (RSR), root mean square error (RMSE), and weighted mean absolute percentage error (WMAPE), were used to assess the performance of the proposed hybrid models. The expressions of the above-mentioned indices are as follows:

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$$NS = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - y_{mean})^2} \quad (3)$$

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$$R^2 = \frac{\sum_{i=1}^n (y_i - y_{mean})^2 - \sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n (y_i - y_{mean})^2} \quad (4)$$

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$$PI = adj. R^2 + 0.01VAF - RMSE \quad (5)$$

570

$$WI = 1 - \left[\frac{\sum_{i=1}^n (y_i - \hat{y}_i)^2}{\sum_{i=1}^n \{ |\hat{y}_i - y_{mean}| + |y_i - y_{mean}| \}^2} \right] \quad (6)$$

571

$$MAE = \frac{1}{n} \sum_{i=1}^n |(\hat{y}_i - y_i)| \quad (7)$$

572

$$RSR = \frac{RMSE}{\sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - y_{mean})^2}} \quad (8)$$

573

$$RMSE = \sqrt{\frac{1}{n} \sum_{i=1}^n (y_i - \hat{y}_i)^2} \quad (9)$$

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$$WMAPE = \frac{\sum_{i=1}^n \left| \frac{y_i - \hat{y}_i}{y_i} \right| \times y_i}{\sum_{i=1}^n y_i} \quad (10)$$

575

576 where y_i and \hat{y}_i are the actual and estimated i^{th} values; n is the number of data samples under consideration

577 (irrespective of training and testing samples); and y_{mean} indicates the mean of the experimental values. Fig. 7

578 illustrates the entire steps of data analysis and computational modelling.

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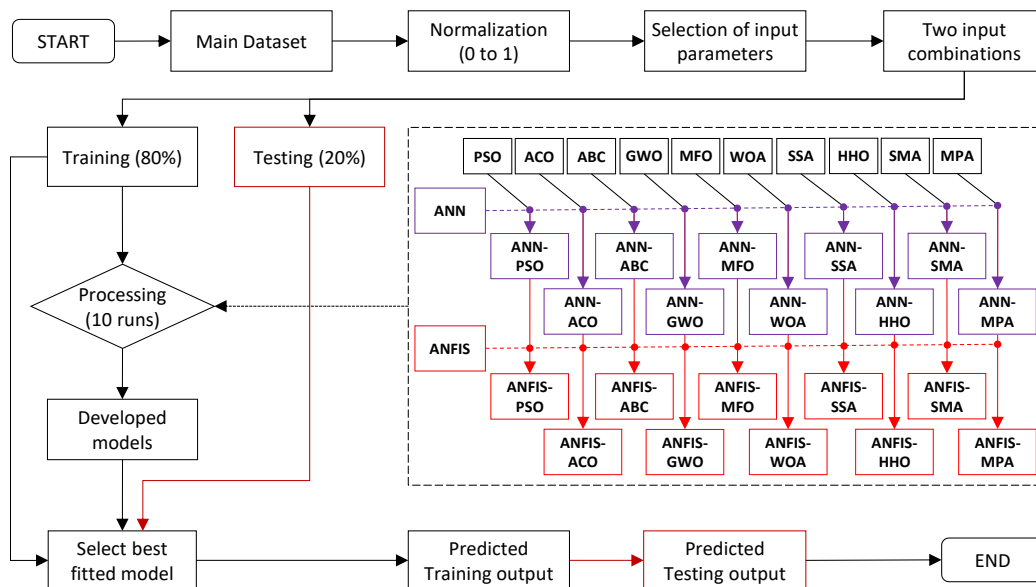


Fig. 7. Steps of data processing, analysis and computational modelling.

580 **8. Results and discussions**

581 The results of the developed hybrid models in predicting soil C_c are presented and discussed in this section.
582 To construct and validate the hybrid ANN and ANFIS models, the main dataset was divided into training and
583 testing subsets, as previously described. For predicting the C_c of soils, two alternative combinations of input
584 parameters (i.e., Case-1 and Case-2) were explored in this study. Note that the training subset was used to construct
585 the hybrid ANN and ANFIS models, whilst the testing subset was utilized to corroborate their predictive abilities
586 for internal validation of the constructed models. In addition, the generalization capabilities of the constructed
587 hybrid models were evaluated using a completely new dataset, referred to as external validation. It is important to
588 note that a model with a better predictive accuracy achieved during the validation phase should be accepted with
589 greater conviction; therefore, both internal and external validation were performed. Firstly, the testing dataset was
590 used for internal validation, while separate oedometer tests were performed for external validation. Thirty new
591 experiments were conducted in the geotechnical laboratory at NIT Patna, India, to generate separate datasets,
592 which were then used for external validation of the developed models.

593 In the following sub-sections, a detailed comparative assessment of the outcomes of the developed hybrid
594 models for the training dataset is presented, followed by validation of the prediction models. Before reporting the
595 outcomes of proposed models, the parametric configurations of the OAs for constructing optimum hybrid models
596 are presented and discussed.

597

598 **8.1. Parametric configurations of the OAs**

599 As mentioned earlier, to construct optimum hybrid models, it is compulsory to pre-specify the hyper-
600 parameters of ANN and ANFIS. In this work, N_H in the hidden layer, ranging from 5 to 20, was investigated for
601 the hybrid ANN models. Moreover, the Levenberg-Marquardt backpropagation and tan-sigmoid activation
602 functions were examined to obtain the optimum structure of hybrid ANNs. The values of N_s and k were set as 50
603 and 200, respectively, and kept constant across all analyses. The performance of hybrid ANNs in the validation
604 phase was employed to evaluate the appropriateness of N_H , the type of activation function, and values of other
605 parameters of the OAs. Following a trial-and-error approach, the most appropriate values of N_H were obtained as
606 10 and kept constant for other hybrid ANN models. The most effective choice of hyper-parameters and other
607 deterministic parameters of the optimised ANN models are given in Table 5 and Table 6 for Case-1 and Case-2
608 input combinations, respectively. Based on the optimum N_H value, i.e., $N_H = 10$, the total number of optimized
609 weights and biases (O_{w+b}) was calculated as 111 (i.e., $9 \times 10 + 10 + 10 + 1$) for Case-1 and 141 (i.e., $12 \times 10 + 10 + 10 + 1$)
610 for Case-2 of C_c prediction.

611 Analogous to hybrid ANN models, the value of N_{FIS} was set to 10 for constructing the hybrid ANFIS models.
612 Subsequently, PSO, ACO, ABC, GWO, MFO, WOA, SSA, HHO, SMA, and MPA were incorporated to optimize
613 the C&A parameters of ANFIS. After a preliminary experimental process, the Gaussian membership function was
614 used in the input layer, while a linear membership function was used in the output layer. Thus, a sum of 190 C&A
615 membership functions of ANFIS were optimized for the nine-dimensional input space, i.e., for Case-1 of C_c
616 prediction (refer Table 5 for other parameters). Note that, these optimised parameters were chosen after trial-and-
617 error tests regarding both input space dimensionality and the performance of the testing dataset.

618 It may be noted that the parametric configurations of the hybrid models discussed above are only for the first
619 input combination, i.e., Case-1. Also note that, although the procedure for developing the ANN and ANFIS-based

620 hybrid models is the same, the values of optimized learning parameters of the developed models are different in
 621 each case. Nonetheless, the optimum configuration of hyper-parameters of ANN and ANFIS and deterministic
 622 parameters of OAs obtained during the modelling of the first input combination were used to construct the
 623 predictive models for the second input combination, i.e., for Case-2 with 12 input parameters (as listed in Table
 624 4). This was done to make a fair comparison and assess the effect of different-sized particles (i.e., CS, MS, FS,
 625 M, and C) on predicting the C_c of soils. Details of the developed hybrid models along with their parametric
 626 configurations are given in Table 6 for the Case-2 input combination of soil C_c prediction.

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Table 5. Configuration of OAs of optimum hybrid ANN and ANFIS models (for Case-1).

Parameters	PSO	ACO	ABC	GWO	MFO	WOA	SSA	HHO	SMA	MPA
N_H	10	10	10	10	10	10	10	10	10	10
N_{FIS}	10	10	10	10	10	10	10	10	10	10
N_S	50	50	50	50	50	50	50	50	50	50
k	200	200	200	200	200	200	200	200	200	200
w	0.40	-	-	-	-	-	-	-	-	-
ub, lb	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1
C_1	1	-	-	-	-	-	-	-	-	-
C_2	2	-	-	-	-	-	-	-	-	-
z	-	-	-	-	-	-	-	-	0.2	-
No. of O_{w+b}	111	111	111	111	111	111	111	111	111	111
No. of C&A	190	190	190	190	190	190	190	190	190	190

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Table 6. Configuration of OAs of optimum hybrid ANN and ANFIS models (for Case-2).

Parameters	PSO	ACO	ABC	GWO	MFO	WOA	SSA	HHO	SMA	MPA
N_H	10	10	10	10	10	10	10	10	10	10
N_{FIS}	10	10	10	10	10	10	10	10	10	10
N_S	50	50	50	50	50	50	50	50	50	50
k	200	200	200	200	200	200	200	200	200	200
w	0.40	-	-	-	-	-	-	-	-	-
ub, lb	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1	+1, -1
C_1	1	-	-	-	-	-	-	-	-	-
C_2	2	-	-	-	-	-	-	-	-	-
z	-	-	-	-	-	-	-	-	0.2	-
No. of O_{w+b}	141	141	141	141	141	141	141	141	141	141
No. of C&A	250	250	250	250	250	250	250	250	250	250

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It is mandatory to mention here that the stochastic nature of OAs often prevents them from observing the
 633 characteristics predicted by the hybrid model directly. In general, a stochastic model employs random variables
 634 to generate the intended output, which results in a wide variety of outcomes under different parametric
 635 configurations. Therefore, it requires multiple runs to guarantee that the best solution will be obtained. Taking
 636 this point into consideration, each hybrid model was tested 10 times and the model with the best performance was
 637 chosen based on the results acquired during the testing phase. Table 7 lists the error details of the constructed
 638 models for 10 run times. Herein, the best, worst, mean and the standard deviation of RMSE values for the training
 639 subset were obtained after performing 10 runs of each hybrid model. As can be seen, the ANN-GWO and ANFIS-
 640 PSO achieved a very high accuracy for inferring values of soil C_c with low average values of 0.0565 and 0.0410
 641 in the Case-1 input combination, whereas the low average values of RMSE for the same models were 0.0494 and
 642 0.0349, respectively, in the Case-2 input combination. In both cases, the ANN-GWO and ANFIS-PSO models
 643 attained a higher prediction accuracy, which can be evident by lowest RMSE values of 0.0527 and 0.0353 in Case-
 644 1 and 0.0465 and 0.0325 in Case-2 of soil C_c prediction, respectively.

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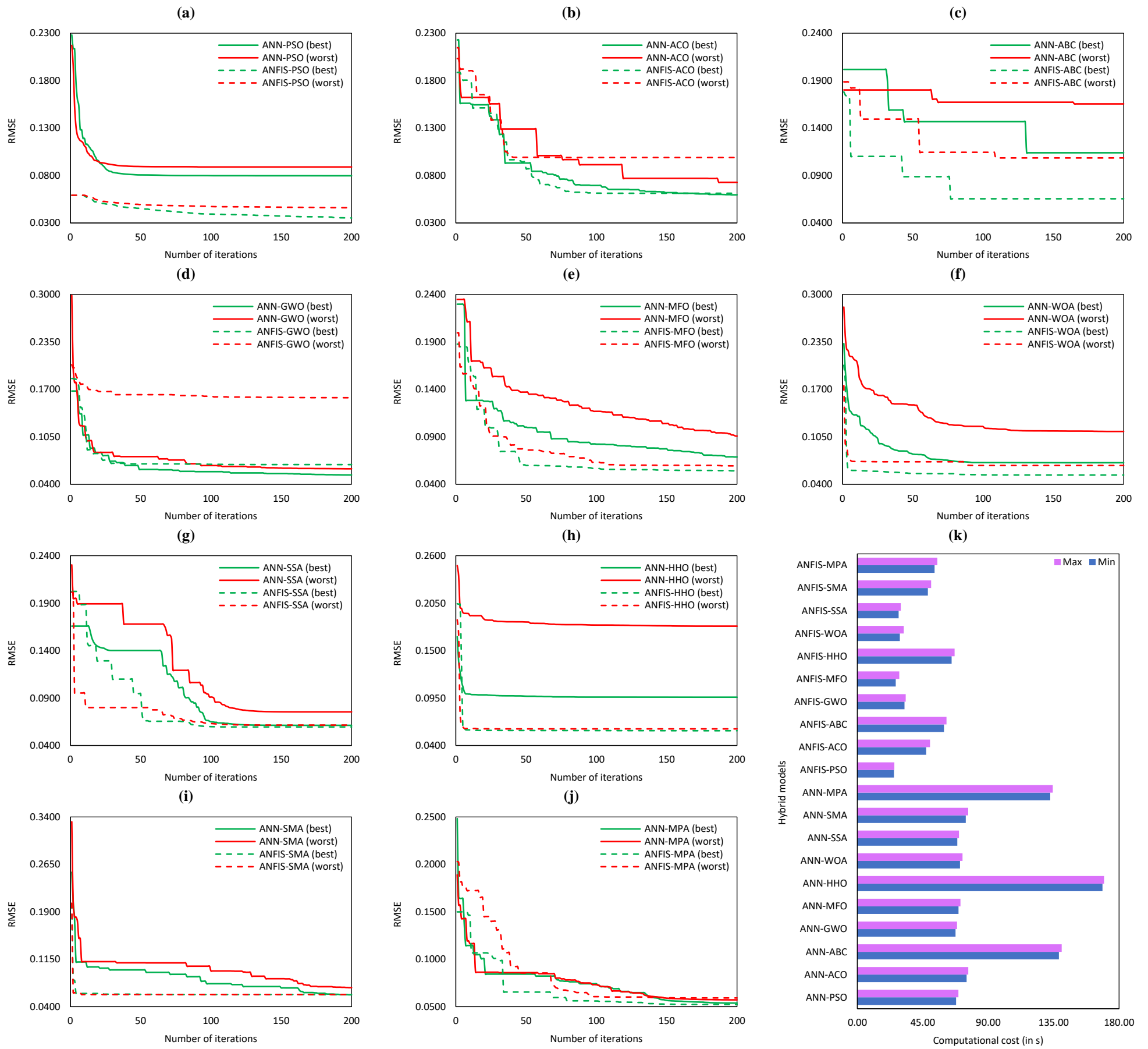


Fig. 8. (a-j) Convergence behaviour and (k) computational cost of the hybrid ANN models (Case-1).

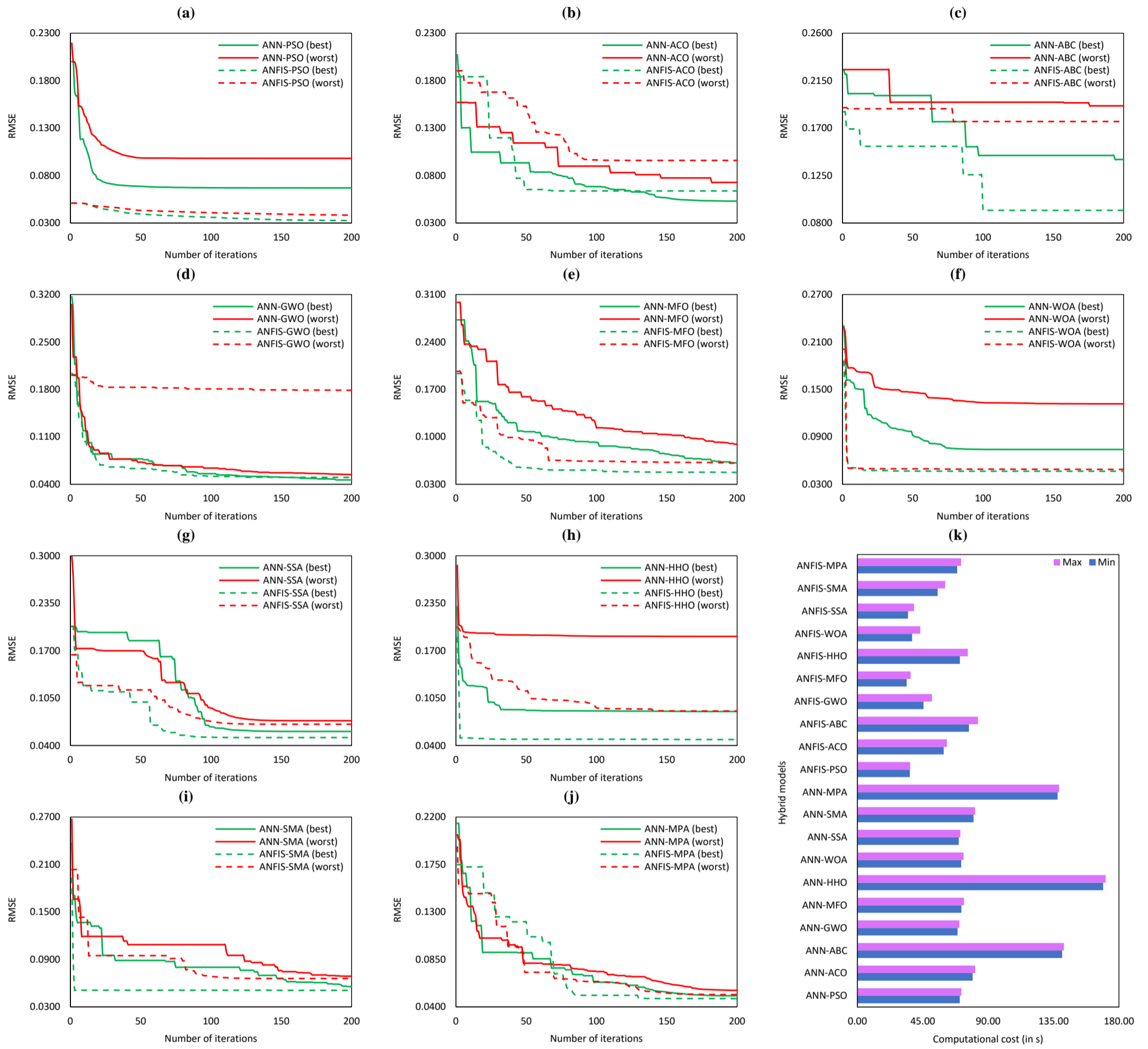


Fig. 9. (a-j) Convergence behaviour and (k) computational cost of the hybrid ANN models (Case-2).

655 It is also worth noting that the convergence behaviour of any OAs is critical in assessing its performance as
656 it reveals the ability of OAs to escape from local minima and reach the faster solution. The convergence curves
657 of the developed hybrid models for Case-1 and Case-2 are presented in Fig. 8(a-j) and Fig. 9(a-j), respectively.
658 Herein, the best and worst convergence behaviours of all the developed models are presented. The details of
659 computational costs are provided in Fig. 8(k) and Fig. 9(k) for the Case-1 and Case-2 input combinations,
660 respectively. In both phases, the ANFIS-PSO achieved the desired convergence faster than other developed hybrid
661 models. The minimum and maximum computational times were recorded as 25.180488 and 26.046925 s in Case-
662 1 and 36.141250 and 36.345923 s in Case-2, respectively. It can also be observed that the hybrid ANFIS models
663 achieved faster convergence than the hybrid ANN models in all cases (best hybrid ANFIS models are shown in
664 green dotted line), indicating higher generalization ability. However, the computational times of the ANN-HHO,
665 ANN-MPA, and ANN-ABC models were found to be on the higher side. On the other hand, the developed ANFIS-
666 PSO and ANFIS-MFO models were found to have lower computing costs. Note that, all hybrid models were
667 developed in MATLAB 2015a version with i5-8400 CPU @ 2.80 GHz, 8 GB RAM, and the computational costs
668 were recorded in a non-parallel computing environment.

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Table 7. Error details (RMSE value) of the hybrid ANN and ANFIS models after 10 runs.

Models	Particulars	PSO	ACO	ABC	GWO	MFO	WOA	SSA	HHO	SMA	MPA
ANN (Case-1)	Best	0.0797	0.0597	0.1140	0.0527	0.0687	0.0695	0.0612	0.0960	0.0589	0.0539
	Worst	0.0890	0.0729	0.1655	0.0611	0.0908	0.1123	0.0754	0.1783	0.0701	0.0587
	Mean	0.0818	0.0627	0.1484	0.0565	0.0749	0.0839	0.0658	0.1379	0.0634	0.0568
	Std. dev.	0.0031	0.0041	0.0184	0.0026	0.0069	0.0141	0.0044	0.0309	0.0033	0.0016
ANN (Case-2)	Best	0.0670	0.0530	0.1402	0.0465	0.0616	0.0740	0.0593	0.0867	0.0555	0.0505
	Worst	0.0981	0.0728	0.1911	0.0543	0.0883	0.1317	0.0741	0.1895	0.0688	0.0556
	Mean	0.0792	0.0570	0.1614	0.0494	0.0719	0.0910	0.0634	0.1333	0.0601	0.0522
	Std. dev.	0.0104	0.0065	0.0157	0.0025	0.0093	0.0171	0.0047	0.0340	0.0044	0.0015
ANFIS (Case-1)	Best	0.0353	0.0613	0.0655	0.0669	0.0541	0.0526	0.0595	0.0571	0.0591	0.0523
	Worst	0.0461	0.0990	0.1085	0.1585	0.0592	0.0657	0.0615	0.0592	0.0592	0.0592
	Mean	0.0410	0.0805	0.0892	0.0785	0.0573	0.0571	0.0603	0.0586	0.0592	0.0582
	Std. dev.	0.0037	0.0115	0.0136	0.0282	0.0018	0.0034	0.0007	0.0007	0.0000	0.0021
ANFIS (Case-2)	Best	0.0325	0.0638	0.0920	0.0502	0.0476	0.0465	0.0510	0.0483	0.0508	0.0478
	Worst	0.0382	0.0960	0.1762	0.1787	0.0602	0.0488	0.0692	0.0873	0.0658	0.0516
	Mean	0.0349	0.0826	0.1288	0.0756	0.0508	0.0476	0.0573	0.0541	0.0524	0.0503
	Std. dev.	0.0018	0.0088	0.0252	0.0373	0.0038	0.0009	0.0059	0.0117	0.0047	0.0011

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8.2. Performance of the developed models

673 The predictive outcomes of the developed hybrid ANN and ANFIS models for estimating soil C_c are
674 presented in Table 8, Table 9, Table 10, and Table 11 for both Case-1 and Case-2 input combinations. Herein, the
675 models' performance in predicting the training outputs is reported. It should be noted that each model's
676 performance with the training subset was used to express the goodness of fit of the constructed models. Based on
677 the experimental results, ANN-GWO and ANFIS-PSO attained the highest R^2 and lowest RMSE values in both
678 the Case-1 and Case-2 input combinations of soil C_c prediction. Among the developed hybrid ANN models, ANN-
679 GWO attained the most desired accuracy with $R^2 = 0.9326$ and $RMSE = 0.0527$ in Case-1 and $R^2 = 0.9475$ and
680 $RMSE = 0.0465$ in Case-2 of C_c prediction. On the other hand, ANFIS-PSO achieved the best prediction
681 performance with $R^2 = 0.9698$ and $RMSE = 0.0353$ in Case-1 and $R^2 = 0.9745$ and $RMSE = 0.0325$ in Case-2 of
682 C_c prediction. These findings demonstrate that the proposed hybrid models, ANN-GWO and ANFIS-PSO, have
683 a good predictive performance in both cases of C_c prediction.

684 In addition, MAE and WMAPE values of the developed ANFIS-PSO model in the training phase were
685 determined to be 0.0217 and 0.0396 in Case-1 and 0.0225 and 0.0412 in Case-2, respectively. For the ANN-GWO
686 model, these performance indices were 0.0369 and 0.0676 in Case-1 and 0.0309 and 0.0563 in Case-2,

687 respectively. The values of R^2 are higher than 0.90 in both the Case-1 and Case-2 input combinations, which
 688 indicates that the proposed ANN-GWO and ANFIS-PSO obtained a good fit to the collected dataset. Overall, the
 689 developed ANN-GWO and ANFIS-PSO attained the most accurate prediction accuracy in both the Case-1 and
 690 Case-2 input combinations of C_c prediction, as evidenced by the score analysis; in both cases, the total score was
 691 determined to be 80.

692 Among the developed ANNs, ANN-MPA (total score = 72, $R^2 = 0.9296$ and $RMSE = 0.0539$) and ANN-
 693 SMA (total score = 63, $R^2 = 0.9159$ and $RMSE = 0.0589$) showed to be the second and third best models in Case-
 694 1, respectively, while ANN-MPA (total score = 72, $R^2 = 0.9382$ and $RMSE = 0.0505$) and ANN-ACO (total score
 695 = 64, $R^2 = 0.9319$ and $RMSE = 0.0530$) are the second and third best models in Case-2 of C_c prediction,
 696 respectively. Among the developed ANFIS models, ANFIS-MPA (total score = 71, $R^2 = 0.9342$ and $RMSE =$
 697 0.0523) and ANFIS-WOA (total score = 65, $R^2 = 0.9330$ and $RMSE = 0.0526$) are the second and third best
 698 models in Case-1, respectively, while ANFIS-WOA (total score = 72, $R^2 = 0.9478$ and $RMSE = 0.0465$) and
 699 ANFIS-MFO (total score = 62, $R^2 = 0.6452$ and $RMSE = 0.0476$) are the second and third best models in Case-2
 700 of C_c prediction. Observably, ANN-ABC achieved the lowest predictive performance with total score of 8 in both
 701 cases, whereas ANFIS-ABC was found to be least accurate model among the developed ANFIS models with total
 702 scores of 12 and 8 in Case-1 and Case-2 of soil C_c prediction, respectively. Fig. 10 and Fig. 11 show the
 703 experimental and predicted outcomes of the top three hybrid ANN (ANN-GWO, ANN-MPA and ANN-
 704 SMA/ANN-ACO) and ANFIS models (ANFIS-PSO, ANFIS-MPA/ANFIS-WOA, and ANFIS-WOA/ANFIS-
 705 MFO) based on total score value for Case-1 and Case-2 input combinations, respectively. It is worth noting that
 706 majority of the samples used in the training phase have a deviation less than $\pm 10\%$ (points between the two red
 707 dotted lines in Fig. 10 and Fig. 11), implying that the developed models can reliably predict soil C_c .

708 Table 8. Performance of hybrid ANN models (training phase for Case-1).
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Models/Particulars	NS	R^2	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANN-PSO	Value 0.8468	0.8525	1.6166	0.9604	0.0557	0.3913	0.0797	0.1038	24
	Score 3	3	3	3	3	3	3	3	
ANN-ACO	Value 0.9143	0.9143	1.7672	0.9767	0.0441	0.2928	0.0597	0.0808	57
	Score 7	7	7	7	8	7	7	7	
ANN-ABC	Value 0.6871	0.6863	1.2520	0.9025	0.0843	0.5594	0.1140	0.1564	8
	Score 1	1	1	1	1	1	1	1	
ANN-GWO	Value 0.9330	0.9326	1.8113	0.9824	0.0369	0.2588	0.0527	0.0676	80
	Score 10	10	10	10	10	10	10	10	
ANN-MFO	Value 0.8863	0.8860	1.7009	0.9697	0.0523	0.3372	0.0687	0.0980	38
	Score 5	5	5	5	4	5	5	4	
ANN-WOA	Value 0.8838	0.8830	1.6946	0.9679	0.0506	0.3409	0.0695	0.0926	34
	Score 4	4	4	4	5	4	4	5	
ANN-SSA	Value 0.9098	0.9091	1.7556	0.9759	0.0456	0.3004	0.0612	0.0836	48
	Score 6	6	6	6	6	6	6	6	
ANN-HHO	Value 0.7783	0.7781	1.4560	0.9322	0.0720	0.4709	0.0960	0.1334	16
	Score 2	2	2	2	2	2	2	2	
ANN-SMA	Value 0.9164	0.9159	1.7715	0.9776	0.0443	0.2891	0.0589	0.0806	63
	Score 8	8	8	8	7	8	8	8	
ANN-MPA	Value 0.9301	0.9296	1.8042	0.9816	0.0390	0.2643	0.0539	0.0712	72
	Score 9	9	9	9	9	9	9	9	

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Table 9. Performance of hybrid ANFIS models (training phase for Case-1).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANFIS-PSO	Value	0.9700	0.9698	1.9039	0.9924	0.0217	0.1732	0.0353	0.0396	80
	Score	10	10	10	10	10	10	10	10	
ANFIS-ACO	Value	0.9095	0.9257	1.7722	0.9726	0.0426	0.3009	0.0613	0.0768	34
	Score	3	6	5	3	5	3	3	6	
ANFIS-ABC	Value	0.8967	0.8975	1.7279	0.9718	0.0485	0.3214	0.0655	0.0894	12
	Score	2	1	1	2	1	2	2	1	
ANFIS-GWO	Value	0.8923	0.9074	1.7305	0.9670	0.0465	0.3282	0.0669	0.0833	12
	Score	1	2	2	1	2	1	1	2	
ANFIS-MFO	Value	0.9295	0.9315	1.8056	0.9823	0.0395	0.2656	0.0541	0.0725	56
	Score	7	7	7	7	7	7	7	7	
ANFIS-WOA	Value	0.9333	0.9330	1.8121	0.9827	0.0374	0.2582	0.0526	0.0685	65
	Score	8	8	8	9	8	8	8	8	
ANFIS-SSA	Value	0.9148	0.9145	1.7678	0.9769	0.0433	0.2919	0.0595	0.0790	30
	Score	4	3	3	4	4	4	4	4	
ANFIS-HHO	Value	0.9215	0.9213	1.7840	0.9796	0.0421	0.2801	0.0571	0.0770	46
	Score	6	5	6	6	6	6	6	5	
ANFIS-SMA	Value	0.9158	0.9152	1.7699	0.9775	0.0436	0.2902	0.0591	0.0798	34
	Score	5	4	4	5	3	5	5	3	
ANFIS-MPA	Value	0.9342	0.9342	1.8147	0.9824	0.0348	0.2566	0.0523	0.0631	71
	Score	9	9	9	8	9	9	9	9	

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Table 10. Performance of hybrid ANN models (training phase for Case-2).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANN-PSO	Value	0.8920	0.8952	1.7176	0.9725	0.0486	0.3287	0.0670	0.0907	32
	Score	4	4	4	4	4	4	4	4	
ANN-ACO	Value	0.9323	0.9319	1.8092	0.9823	0.0381	0.2602	0.0530	0.0698	64
	Score	8	8	8	8	8	8	8	8	
ANN-ABC	Value	0.5265	0.6499	1.0410	0.8860	0.1107	0.6881	0.1402	0.2073	8
	Score	1	1	1	1	1	1	1	1	
ANN-GWO	Value	0.9479	0.9475	1.8474	0.9865	0.0309	0.2283	0.0465	0.0563	80
	Score	10	10	10	10	10	10	10	10	
ANN-MFO	Value	0.9086	0.9081	1.7524	0.9757	0.0459	0.3024	0.0616	0.0844	40
	Score	5	5	5	5	5	5	5	5	
ANN-WOA	Value	0.8681	0.8683	1.6594	0.9627	0.0524	0.3632	0.0740	0.0954	24
	Score	3	3	3	3	3	3	3	3	
ANN-SSA	Value	0.9152	0.9151	1.7685	0.9779	0.0417	0.2912	0.0593	0.0769	48
	Score	6	6	6	6	6	6	6	6	
ANN-HHO	Value	0.8192	0.8222	1.5537	0.9480	0.0625	0.4252	0.0867	0.1166	16
	Score	2	2	2	2	2	2	2	2	
ANN-SMA	Value	0.9259	0.9256	1.7939	0.9801	0.0393	0.2723	0.0555	0.0703	56
	Score	7	7	7	7	7	7	7	7	
ANN-MPA	Value	0.9386	0.9382	1.8245	0.9840	0.0343	0.2478	0.0505	0.0623	72
	Score	9	9	9	9	9	9	9	9	

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Table 11. Performance of hybrid ANFIS models (training phase for Case-2).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANFIS-PSO	Value	0.9746	0.9745	1.9159	0.9936	0.0225	0.1595	0.0325	0.0412	80
	Score	10	10	10	10	10	10	10	10	
ANFIS-ACO	Value	0.9020	0.9294	1.7653	0.9692	0.0424	0.3130	0.0638	0.0751	16
	Score	2	2	2	2	2	2	2	2	
ANFIS-ABC	Value	0.7961	0.8309	1.5485	0.9487	0.0671	0.4515	0.0920	0.1231	8
	Score	1	1	1	1	1	1	1	1	
ANFIS-GWO	Value	0.9394	0.9391	1.8266	0.9840	0.0335	0.2462	0.0502	0.0605	40
	Score	5	5	5	5	5	5	5	5	
ANFIS-MFO	Value	0.9455	0.9452	1.8416	0.9857	0.0325	0.2334	0.0476	0.0588	62
	Score	8	8	8	8	7	8	8	7	
ANFIS-WOA	Value	0.9479	0.9478	1.8477	0.9867	0.0312	0.2282	0.0465	0.0568	72
	Score	9	9	9	9	9	9	9	9	
ANFIS-SSA	Value	0.9375	0.9372	1.8219	0.9834	0.0343	0.2501	0.0510	0.0621	24
	Score	3	3	3	3	3	3	3	3	
ANFIS-HHO	Value	0.9439	0.9436	1.8376	0.9852	0.0331	0.2369	0.0483	0.0599	48
	Score	6	6	6	6	6	6	6	6	
ANFIS-SMA	Value	0.9377	0.9374	1.8226	0.9837	0.0335	0.2495	0.0508	0.0608	32
	Score	4	4	4	4	4	4	4	4	
ANFIS-MPA	Value	0.9449	0.9446	1.8400	0.9856	0.0325	0.2347	0.0478	0.0587	58
	Score	7	7	7	7	8	7	7	8	

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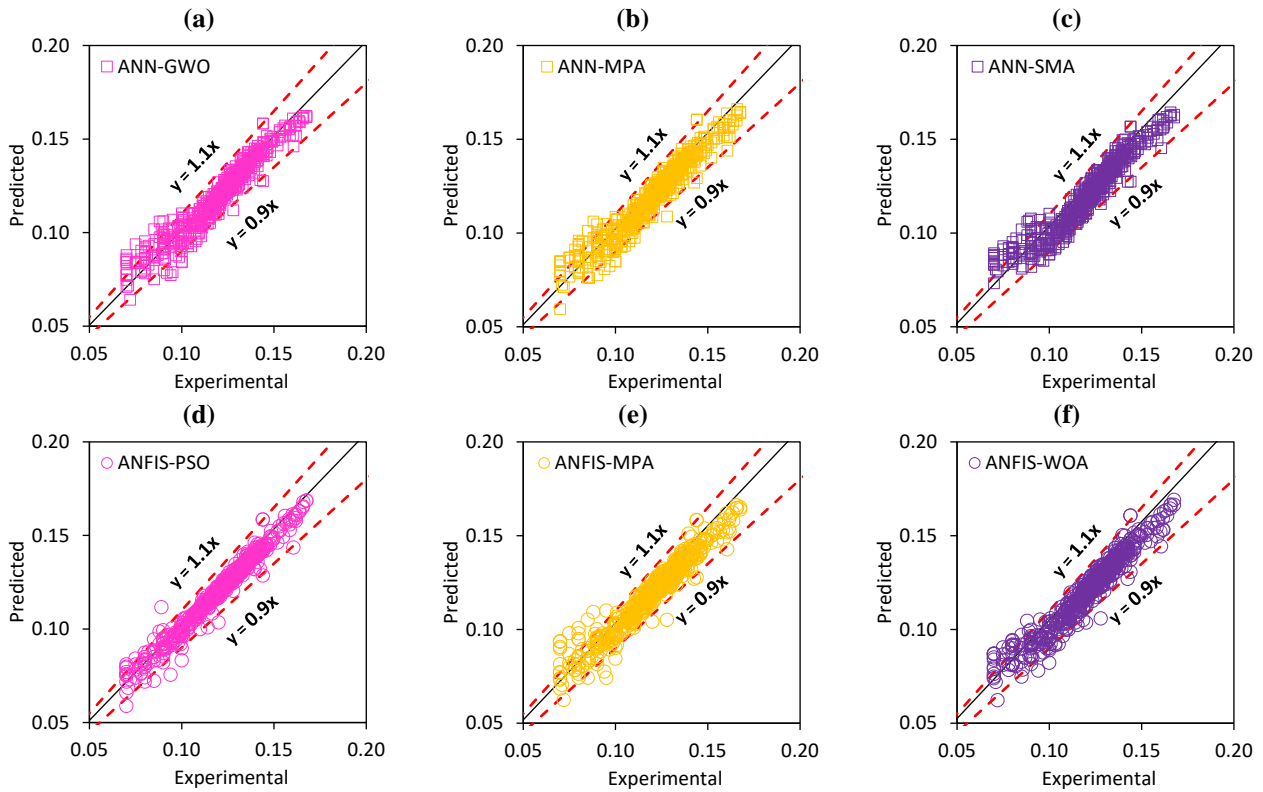


Fig. 10. Illustration of results for top three: (a-c) hybrid ANNs and (d-f) hybrid ANFIS models (Case-1 of training phase).

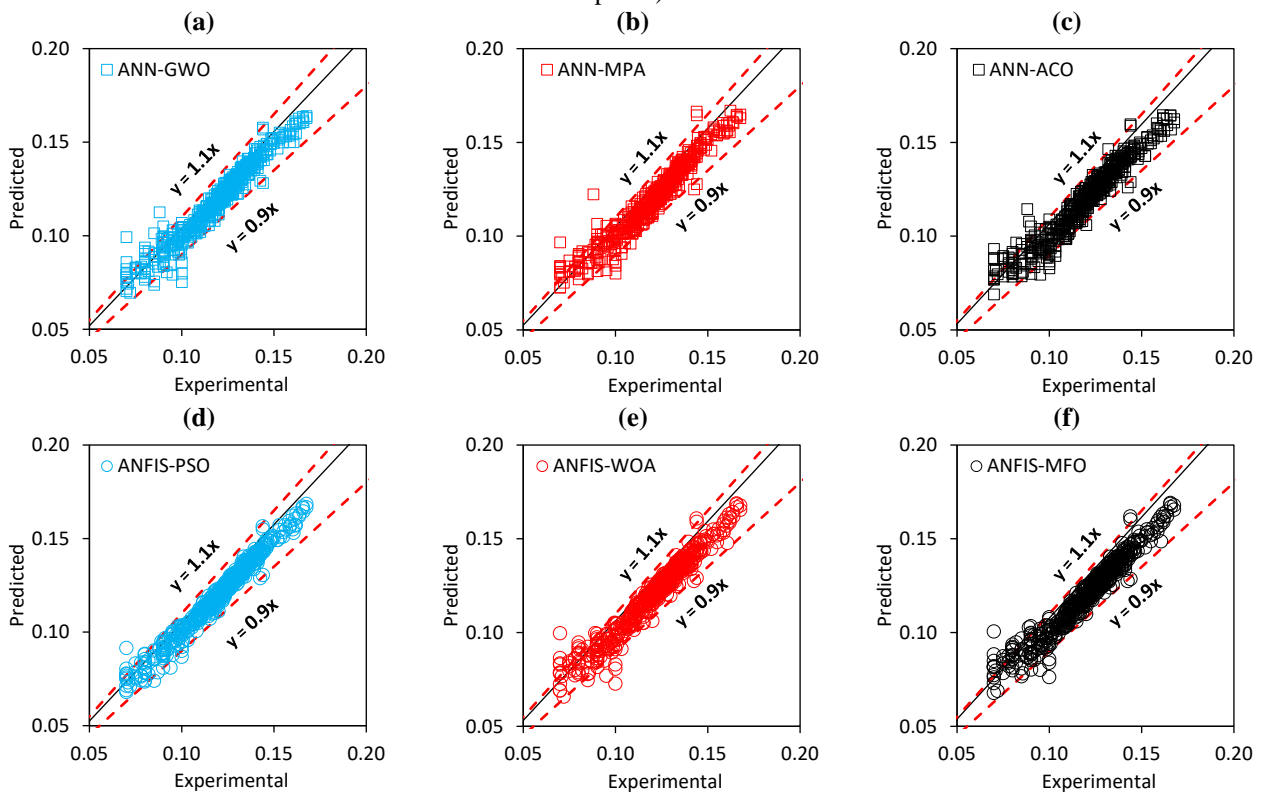


Fig. 11. Illustration of results for top three: (a-c) hybrid ANNs and (d-f) hybrid ANFIS models (Case-2 of training phase).

722 **8.3. Validation of models**

723 It is worth noting that a model with a better predictive accuracy in the validation phase is likely to be more
724 accurate and robust. Furthermore, a higher predictive accuracy gained during the training phase does not always
725 imply a better predictive model; therefore, proper model validation is always required. Thus, checking the
726 correctness of any predictive model for a completely new dataset is practical, because a predictive model validated
727 with additional datasets gathered from other experiments (executed in diverse conditions/environments) can be
728 regarded practically significant. Considering these points as a reference, both internal and external validation were
729 performed on the constructed hybrid models. As stated above, internal validation was carried out using the unused
730 dataset, i.e., the testing dataset, whereas external validation was conducted via separate oedometer test results. A
731 total of 30 additional experiments were carried out in the geotechnical laboratory at NIT Patna to generate separate
732 datasets, which were then utilized to validate the developed models. In the following sub-sections, the
733 generalization ability and robustness of the constructed hybrid models is presented and discussed.

734

735 **8.3.1. Internal validation**

736 As previously stated, internal validation was conducted on the unused dataset, i.e., the testing dataset (a
737 subset of the main dataset), and the prediction results of the proposed models are presented in Table 12, Table 13,
738 Table 14, and Table 15. Herein, the models' performances in predicting the testing output are reported and
739 analysed. It is pertinent to mention that the performance of models with the testing dataset was used to validate
740 their predictive ability. The performance indices showing high accuracy can be observed for both input
741 combinations based on R^2 and RMSE criteria. Among the developed hybrid ANNs, ANN-GWO attained the best
742 outcomes with $R^2 = 0.9563$, RMSE = 0.0447, and MAE = 0.0324 in Case-1 and $R^2 = 0.9689$, RMSE = 0.0368,
743 and MAE = 0.0276 in Case-2 of C_c prediction. On the other hand, among the developed hybrid ANFIS models,
744 ANFIS-PSO was determined to be the best performing model with $R^2 = 0.9667$, RMSE = 0.0382, MAE = 0.0265
745 in Case-1 and $R^2 = 0.9789$, RMSE = 0.0303, and MAE = 0.0212 in Case-2 of C_c prediction. Based on the results
746 of score analysis, ANN-GWO and ANFIS-PSO secured the highest total scores of 80 in both cases, indicating
747 superior predictive performance in the internal validation phase.

748 According to the findings of the score analysis, ANN-MPA is the second-best model in both cases among
749 the hybrid ANNs developed in this study. The performance of the developed ANN-MPA model was recorded
750 with a total score = 72, $R^2 = 0.9519$ and RMSE = 0.0468 in Case-1 and total score = 72, $R^2 = 0.9644$ and RMSE
751 = 0.0401 in the Case-2 input combination. On the other hand, ANFIS-MPA was found to be the second-best model
752 in both cases with a total score = 70, $R^2 = 0.9575$ and RMSE = 0.0431 in Case-1 and total score = 72, $R^2 = 0.9734$
753 and RMSE = 0.0354 in the Case-2 input combination. In both cases, MPA's performance in constructing hybrid
754 ANN and ANFIS models was found to be quite excellent. However, ANN-ABC (total score of 8) and ANFIS-
755 ABC (total scores of 9 in Case-1 and 9 in Case-2) achieved poor prediction performance in both cases of C_c
756 prediction.

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Table 12. Performance of hybrid ANN models (internal validation phase for Case-1).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANN-PSO	Value	0.8182	0.8489	1.5727	0.9574	0.0609	0.4263	0.0852	0.1115	19
	Score	2	3	2	2	3	2	2	3	
ANN-ACO	Value	0.9350	0.9374	1.8173	0.9837	0.0387	0.2550	0.0510	0.0704	54
	Score	7	7	7	7	6	7	7	6	
ANN-ABC	Value	0.7111	0.7560	1.3574	0.9268	0.0822	0.5375	0.1075	0.1484	8
	Score	1	1	1	1	1	1	1	1	
ANN-GWO	Value	0.9500	0.9563	1.8594	0.9880	0.0324	0.2237	0.0447	0.0592	80
	Score	10	10	10	10	10	10	10	10	
ANN-MFO	Value	0.8955	0.9105	1.7360	0.9752	0.0484	0.3233	0.0646	0.0889	32
	Score	4	4	4	4	4	4	4	4	
ANN-WOA	Value	0.9311	0.9331	1.8085	0.9823	0.0386	0.2626	0.0525	0.0696	44
	Score	5	5	5	5	7	5	5	7	
ANN-SSA	Value	0.9355	0.9403	1.8214	0.9842	0.0371	0.2540	0.0508	0.0683	64
	Score	8	8	8	8	8	8	8	8	
ANN-HHO	Value	0.8384	0.8439	1.5911	0.9578	0.0641	0.4020	0.0804	0.1180	21
	Score	3	2	3	3	2	3	3	2	
ANN-SMA	Value	0.9331	0.9365	1.8150	0.9832	0.0405	0.2586	0.0517	0.0729	46
	Score	6	6	6	6	5	6	6	5	
ANN-MPA	Value	0.9453	0.9519	1.8488	0.9868	0.0345	0.2339	0.0468	0.0639	72
	Score	9	9	9	9	9	9	9	9	

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Table 13. Performance of hybrid ANFIS models (internal validation phase for Case-1).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANFIS-PSO	Value	0.9635	0.9667	1.8906	0.9911	0.0265	0.1911	0.0382	0.0477	80
	Score	10	10	10	10	10	10	10	10	
ANFIS-ACO	Value	0.9444	0.9497	1.8438	0.9865	0.0313	0.2359	0.0472	0.0572	65
	Score	8	7	8	8	9	8	8	9	
ANFIS-ABC	Value	0.9238	0.9284	1.7928	0.9811	0.0428	0.2760	0.0552	0.0781	9
	Score	1	1	1	2	1	1	1	1	
ANFIS-GWO	Value	0.9276	0.9372	1.8095	0.9794	0.0403	0.2691	0.0538	0.0718	15
	Score	2	2	2	1	2	2	2	2	
ANFIS-MFO	Value	0.9409	0.9488	1.8376	0.9859	0.0359	0.2431	0.0486	0.0658	48
	Score	6	6	6	6	6	6	6	6	
ANFIS-WOA	Value	0.9428	0.9502	1.8429	0.9863	0.0346	0.2393	0.0478	0.0633	57
	Score	7	8	7	7	7	7	7	7	
ANFIS-SSA	Value	0.9370	0.9408	1.8259	0.9842	0.0386	0.2509	0.0502	0.0705	35
	Score	5	4	4	4	4	5	5	4	
ANFIS-HHO	Value	0.9369	0.9426	1.8272	0.9845	0.0369	0.2512	0.0502	0.0676	37
	Score	4	5	5	5	5	4	4	5	
ANFIS-SMA	Value	0.9341	0.9405	1.8214	0.9839	0.0389	0.2567	0.0513	0.0713	24
	Score	3	3	3	3	3	3	3	3	
ANFIS-MPA	Value	0.9536	0.9575	1.8675	0.9885	0.0325	0.2153	0.0431	0.0592	70
	Score	9	9	9	9	8	9	9	8	

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Table 14. Performance of hybrid ANN models (internal validation phase for Case-2).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANN-PSO	Value	0.9047	0.9112	1.7490	0.9764	0.0466	0.3087	0.0617	0.0856	24
	Score	3	3	3	3	3	3	3	3	
ANN-ACO	Value	0.9536	0.9568	1.8647	0.9887	0.0312	0.2154	0.0431	0.0560	64
	Score	8	8	8	8	8	8	8	8	
ANN-ABC	Value	0.5887	0.6729	1.1132	0.8995	0.1006	0.6413	0.1282	0.1800	8
	Score	1	1	1	1	1	1	1	1	
ANN-GWO	Value	0.9661	0.9689	1.8966	0.9917	0.0276	0.1842	0.0368	0.0496	80
	Score	10	10	10	10	10	10	10	10	
ANN-MFO	Value	0.9213	0.9311	1.7964	0.9807	0.0425	0.2806	0.0561	0.0774	40
	Score	5	5	5	5	5	5	5	5	
ANN-WOA	Value	0.9164	0.9169	1.7701	0.9780	0.0430	0.2891	0.0578	0.0779	32
	Score	4	4	4	4	4	4	4	4	
ANN-SSA	Value	0.9445	0.9493	1.8432	0.9865	0.0360	0.2356	0.0471	0.0651	48
	Score	6	6	6	6	6	6	6	6	
ANN-HHO	Value	0.8167	0.8276	1.5576	0.9474	0.0642	0.4281	0.0856	0.1152	16
	Score	2	2	2	2	2	2	2	2	
ANN-SMA	Value	0.9507	0.9530	1.8574	0.9876	0.0330	0.2221	0.0444	0.0593	56
	Score	7	7	7	7	7	7	7	7	
ANN-MPA	Value	0.9597	0.9644	1.8817	0.9903	0.0305	0.2007	0.0401	0.0553	72
	Score	9	9	9	9	9	9	9	9	

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Table 15. Performance of hybrid ANFIS models (internal validation phase for Case-2).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANFIS-PSO	Value	0.9771	0.9789	1.9246	0.9944	0.0212	0.1514	0.0303	0.0387	80
	Score	10	10	10	10	10	10	10	10	
ANFIS-ACO	Value	0.9461	0.9662	1.8663	0.9841	0.0347	0.2323	0.0464	0.0616	16
	Score	2	2	2	2	2	2	2	2	
ANFIS-ABC	Value	0.8384	0.8943	1.6617	0.9640	0.0639	0.4020	0.0804	0.1142	8
	Score	1	1	1	1	1	1	1	1	
ANFIS-GWO	Value	0.9657	0.9678	1.8952	0.9915	0.0284	0.1852	0.0370	0.0520	30
	Score	4	3	3	4	4	4	4	4	
ANFIS-MFO	Value	0.9667	0.9687	1.8976	0.9917	0.0280	0.1826	0.0365	0.0512	50
	Score	6	6	7	6	6	6	6	7	
ANFIS-WOA	Value	0.9643	0.9703	1.8967	0.9914	0.0288	0.1890	0.0378	0.0527	31
	Score	3	8	5	3	3	3	3	3	
ANFIS SSA	Value	0.9676	0.9694	1.9003	0.9919	0.0280	0.1799	0.0360	0.0512	60
	Score	8	7	8	8	7	8	8	6	
ANFIS-HHO	Value	0.9672	0.9684	1.8974	0.9918	0.0275	0.1812	0.0362	0.0503	54
	Score	7	4	6	7	8	7	7	8	
ANFIS-SMA	Value	0.9657	0.9684	1.8962	0.9915	0.0283	0.1851	0.0370	0.0519	39
	Score	5	5	4	5	5	5	5	5	
ANFIS-MPA	Value	0.9687	0.9734	1.9074	0.9924	0.0273	0.1770	0.0354	0.0499	72
	Score	9	9	9	9	9	9	9	9	

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To better demonstrate the prediction outcomes of the developed models, the illustrations of experimental and predicted values are presented in Fig. 12 and Fig. 13 for Case-1 and Case-2 input combinations, respectively. Herein, the scatterplots of only the top three hybrid ANN (ANN-GWO, ANN-MPA and ANN-SSA/ANN-ACO) and ANFIS (ANFIS-PSO, ANFIS-MPA and ANFIS-ACO) models (based on total score value) are presented. As can be seen, the majority of the predicted datasets have less than $\pm 10\%$ deviation, implying the superiority and robustness of the developed models. This means that the points between the dotted lines have a ratio of actual to predicted values between 0.9 and 1.1. In addition, consistent behaviour can be observed in Fig. 12 and Fig. 13, with predictions closely fitting to experimental values over the entire range of soil C_e.

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776 8.3.2. External validation

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It may be noted that a model with a higher predicted accuracy during the validation phase should be regarded as robust and adopted with greater confidence. Yet, this statement can be deemed partially correct due to the fact that a model constructed and validated with the same source dataset (generally, training and testing datasets are generated from a single source dataset) can perform well in both training and testing phases; however, the significant performance level of such models must be ensured for a completely new dataset. This means that evaluating the generalisation potential of a predictive model using only training and testing datasets is unreliable unless the model's performance is validated using a completely new dataset. This not only ensures robustness a predictive model, but also demonstrates its generalisation capabilities in predicting the intended output(s) for future use.

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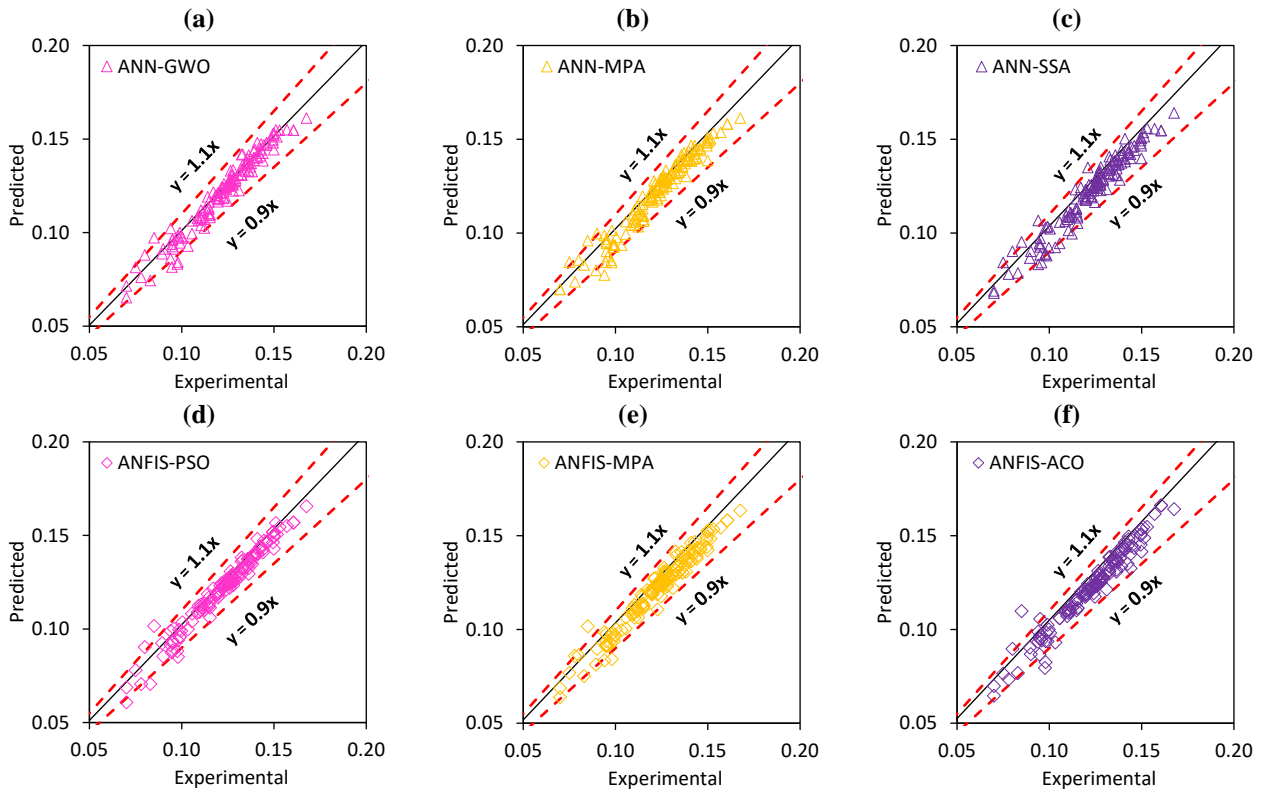


Fig. 12. Illustration of results for top three: (a-c) hybrid ANNs and (d-f) hybrid ANFIS models (Case-1 of internal validation).

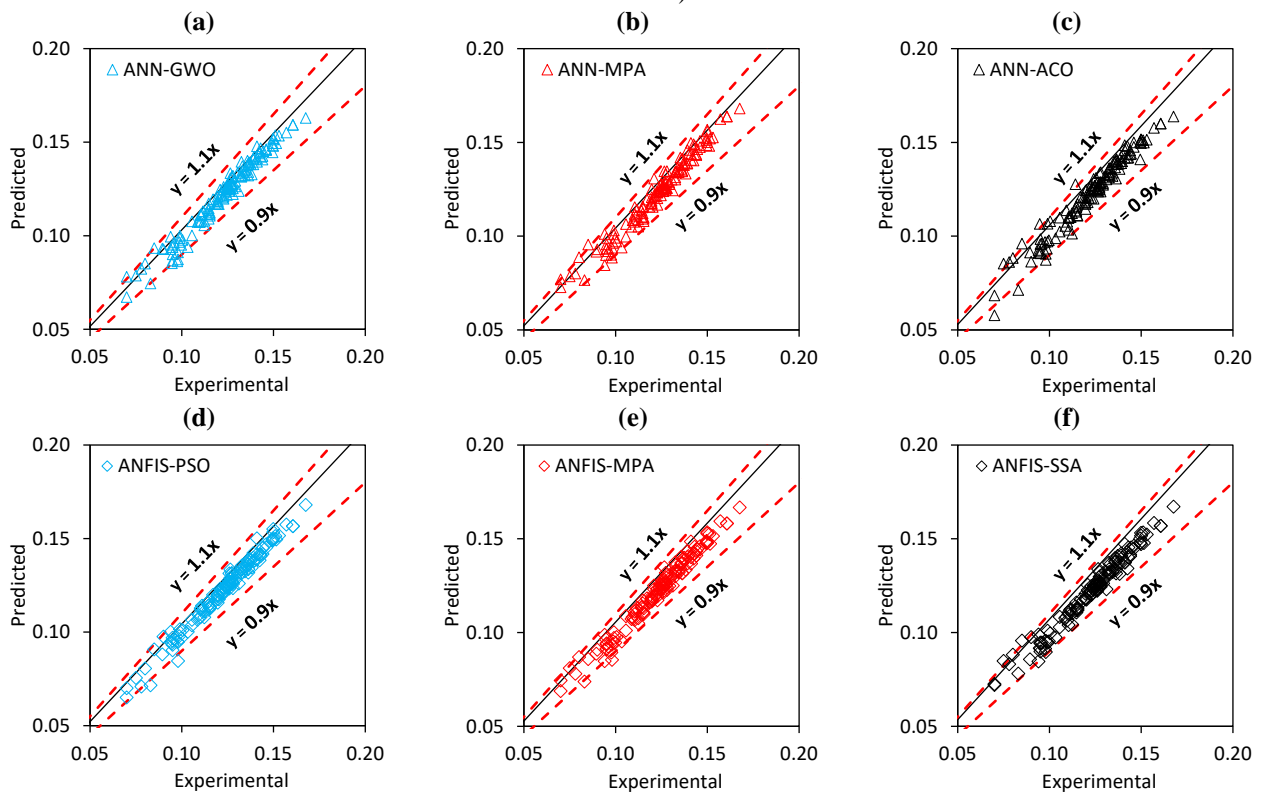


Fig. 13. Illustration of results top three: (a-c) hybrid ANNs and (d-f) hybrid ANFIS models (Case-2 of internal validation).

790 Using the preceding point as a reference, this study evaluated the performance of the constructed hybrid
 791 models on a completely new dataset, which is referred to as external validation. Thirty new oedometer tests were
 792 performed at the geotechnical laboratory of NIT Patna for this purpose, and the results were used for external
 793 validation of the established models in estimating soil C_c . The descriptive statistics of the new experimental
 794 datasets are given in Table 16. As can be observed from Table 16, the soils have a G content between 0% and 8%,
 795 while the S content in the soils ranges 15% to 40%. The contents of CS, MS, and FS are scattered in the ranges of
 796 0% - 8%, 2% - 14%, and 5% - 31%, respectively, which indicates that most of the soils have fewer proportions of
 797 G, CS, and FS contents. The content of M&C lies between 57% and 84%, in which the content of M lies in the
 798 range of 23% to 72%. In addition, LL ranges between 29% and 49%, while PL indicates that the plasticity of soils
 799 varies from 12% to 22%. It is also seen that the newly performed laboratory tests have a wide range of C_c values
 800 (between 0.0150 and 0.1152) with a high standard deviation of 0.0191, indicating that the current database covers
 801 a wide range of soil samples and can be considered very reliable for external validation of the developed hybrid
 802 models. Fig. 14 shows photographs of experimental setups for a) grain size analysis, b) plasticity analysis, and c)
 803 samples, sample set up, and oedometer testing. It is important to mention that, all the laboratory experiments were
 804 performed in accordance with the applicable Indian Standards code of practice.

805
806 Table 16. Descriptive statistics of 30 new experimental datasets.

Parameters and description		Statistical properties						
		Min.	Avg.	Max.	Std. error	Std. dev.	Kurtosis	Skewness
D	Depth of soil samples (m)	3.00	5.90	9.00	0.39	2.16	-0.95	0.05
G	Gravel content (%)	0.00	2.43	8.00	0.41	2.24	-0.19	0.70
CS	Coarse sand content (%)	0.00	2.60	8.00	0.41	2.22	-0.44	0.73
MS	Medium sand content (%)	2.00	6.37	14.00	0.54	2.98	0.49	0.78
FS	Fine sand content (%)	5.00	17.80	31.00	1.22	6.66	-0.76	0.28
S	Total sand content (%)	15.00	26.77	40.00	1.30	7.12	-1.16	0.09
M	Silt content (%)	23.00	56.07	72.00	1.86	10.21	2.30	-0.89
C	Clay content (%)	9.00	14.73	39.00	1.05	5.77	10.38	2.74
M&C	Silt and clay content (%)	57.00	70.80	84.00	1.42	7.79	-1.10	0.04
BD	Bulk density (gm/cm ³)	1.80	1.89	1.96	0.01	0.05	-0.78	-0.33
DD	Dry density (gm/cm ³)	1.60	1.66	1.84	0.01	0.04	11.26	2.70
Gs	Sp. Gravity (-)	2.66	2.68	2.69	0.00	0.01	-0.24	-0.16
LL	Liquid limit (%)	29.00	33.80	49.00	0.89	4.85	2.83	1.75
PL	Plastic limit (%)	12.00	16.17	22.00	0.43	2.34	0.28	0.62
C_c	Compression index (-)	0.0150	0.0809	0.1152	0.0035	0.0191	3.9633	-1.1399

807
808 The prediction performances of all the hybrid models are presented in Table 17, Table 18, Table 19, and
 809 Table 20. As can be observed, the ANN-GWO and ANFIS-PSO models attained the best prediction in all
 810 performance matrices. Based on the R^2 , RMSE and MAE criteria, ANN-GWO exhibited the best prediction
 811 performance among the constructed hybrid ANN models with $R^2 = 0.8640$, RMSE = 0.0923, and MAE = 0.0666
 812 in Case-1 and $R^2 = 0.8709$, RMSE = 0.0760, and MAE = 0.0594 in the Case-2 input combination. Based on the
 813 score analysis, ANN-SMA and ANN-WOA were found to be the second-best models with $R^2 = 0.8175$, RMSE =
 814 0.1065, and MAE = 0.0848 in Case-1 and $R^2 = 0.7976$, RMSE = 0.0892, and MAE = 0.0729 in Case-2 of soil C_c
 815 prediction. The values of total score were recorded as 66 and 65 in Case-1 and Case-2, respectively.

816 Analogous to hybrid ANNs, experimental outcomes exhibit that ANFIS-PSO outperformed other models in
 817 both cases with $R^2 = 0.9133$, RMSE = 0.0583, and MAE = 0.0417 in Case-1 and $R^2 = 0.9286$, RMSE = 0.0519,
 818 and MAE = 0.0433 in Case-2 of C_c prediction. The results of score analysis indicate that ANFIS-SSA (total score
 819 = 69) and ANFIS-SMA (total score = 67) are the second-best models in Case-1 and Case-2 of soil C_c prediction,
 820 respectively. However, ANFIS-ABC (total score = 14, $R^2 = 0.6697$ and RMSE = 0.1247) and ANFIS-ACO (total
 821 score = 10, $R^2 = 0.8737$ and RMSE = 0.1452) are the worst performing hybrid ANFIS models.

822 To further demonstrate the prediction outputs of the hybrid models, illustrations of actual and estimated
 823 values for Case-1 and Case-2 input combinations are presented in Fig. 15 in the form of scatter (on the left side
 824 of the figure) and line plots. Herein, the performance of only the top-performing models, i.e., ANN-GWO and
 825 ANFIS-PSO, is presented. The closer the line graphs show the difference between the experimental and estimated
 826 values, the more accurate the estimation. As can be observed, the ANFIS-PSO achieved the highest level of
 827 accuracy in both cases of input combinations.
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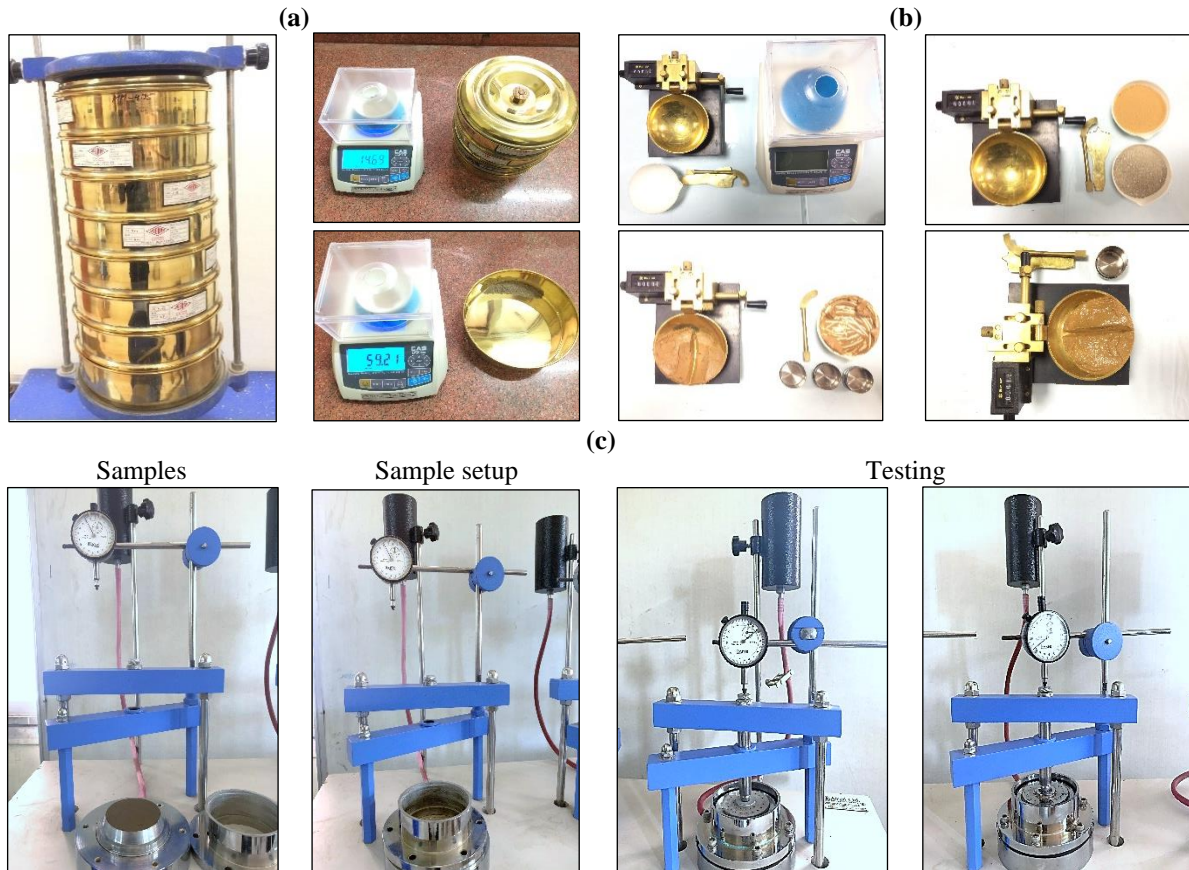


Fig. 14. Experiment set up at NIT Patna Laboratory: a) sieve analysis; b) PL test, and c) consolidation test.

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Table 17. Performance of hybrid ANN models (external validation phase for Case-1).

Models/Particulars	NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score	
ANN-PSO	Value Score	0.5423 5	0.5512 4	0.7702 4	0.8273 5	0.0818 8	0.6765 5	0.1299 5	0.4639 8	44
ANN-ACO	Value Score	0.6522 8	0.6930 8	1.1054 8	0.8669 8	0.0814 9	0.5898 8	0.1133 8	0.4719 7	64
ANN-ABC	Value Score	-1.2043 1	0.3088 1	0.0125 1	0.5007 1	0.2400 1	1.4847 1	0.2851 1	1.3870 1	8
ANN-GWO	Value Score	0.7692 10	0.8640 10	1.4885 10	0.9126 10	0.0666 10	0.4804 10	0.0923 10	0.3763 10	80
ANN-MFO	Value Score	0.2357 2	0.3350 2	0.1278 2	0.7428 2	0.1378 2	0.8743 2	0.1679 2	0.9308 2	16
ANN-WOA	Value Score	0.5711 6	0.5957 5	0.8822 5	0.8643 7	0.1022 5	0.6549 6	0.1258 6	0.6942 4	44
ANN-SSA	Value Score	0.4933 4	0.6296 6	0.9546 6	0.8347 6	0.1039 4	0.7118 4	0.1367 4	0.5931 5	39
ANN-HHO	Value Score	0.4738 3	0.5178 3	0.6766 3	0.8211 4	0.1156 3	0.7254 3	0.1393 3	0.7375 3	25
ANN-SMA	Value Score	0.6925 9	0.8175 9	1.3474 9	0.8758 9	0.0848 6	0.5546 9	0.1065 9	0.4876 6	66
ANN-MPA	Value Score	0.5859 7	0.6786 7	1.0220 7	0.8195 3	0.0826 7	0.6435 7	0.1236 7	0.4136 9	54

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Table 18. Performance of hybrid ANFIS models (external validation phase for Case-1).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANFIS-PSO	Value	0.9079	0.9133	1.7291	0.9757	0.0417	0.3035	0.0583	0.3393	80
	Score	10	10	10	10	10	10	10	10	
ANFIS-ACO	Value	0.2202	0.8691	1.4539	0.7911	0.1492	0.8831	0.1696	0.8898	16
	Score	1	8	2	1	1	1	1	1	
ANFIS-ABC	Value	0.5784	0.6697	1.0392	0.8853	0.0917	0.6493	0.1247	0.6836	14
	Score	2	1	1	2	2	2	2	2	
ANFIS-GWO	Value	0.7731	0.8246	1.4770	0.9343	0.0752	0.4764	0.0915	0.5061	35
	Score	5	2	3	5	5	5	5	5	
ANFIS-MFO	Value	0.7532	0.8512	1.5357	0.9294	0.0790	0.4968	0.0954	0.5118	27
	Score	3	4	5	3	3	3	3	3	
ANFIS-WOA	Value	0.7642	0.8444	1.5219	0.9322	0.0780	0.4856	0.0933	0.5103	31
	Score	4	3	4	4	4	4	4	4	
ANFIS-SSA	Value	0.8631	0.8650	1.5980	0.9626	0.0505	0.3700	0.0711	0.3875	69
	Score	9	7	8	9	9	9	9	9	
ANFIS-HHO	Value	0.8223	0.8741	1.6030	0.9477	0.0699	0.4215	0.0810	0.4662	54
	Score	6	9	9	6	6	6	6	6	
ANFIS-SMA	Value	0.8330	0.8618	1.5788	0.9513	0.0661	0.4087	0.0785	0.4560	59
	Score	8	5	6	8	8	8	8	8	
ANFIS-MPA	Value	0.8322	0.8620	1.5794	0.9511	0.0664	0.4096	0.0787	0.4578	55
	Score	7	6	7	7	7	7	7	7	

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Table 19. Performance of hybrid ANN models (external validation phase for Case-2).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANN-PSO	Value	0.5536	0.7225	1.0987	0.8129	0.1003	0.6681	0.1283	0.5397	27
	Score	3	5	3	2	2	3	3	6	
ANN-ACO	Value	0.6932	0.7900	1.3031	0.8784	0.0745	0.5539	0.1064	0.3944	54
	Score	6	7	7	5	8	6	6	9	
ANN-ABC	Value	-0.0361	0.4242	0.1700	0.7505	0.1615	1.0179	0.1955	0.9632	8
	Score	1	1	1	1	1	1	1	1	
ANN-GWO	Value	0.8436	0.8709	1.5865	0.9499	0.0594	0.3955	0.0760	0.3833	80
	Score	10	10	10	10	10	10	10	10	
ANN-MFO	Value	0.6432	0.6963	1.1233	0.9028	0.0928	0.5973	0.1147	0.6155	35
	Score	5	3	4	6	4	5	5	3	
ANN-WOA	Value	0.7844	0.7976	1.4041	0.9435	0.0729	0.4644	0.0892	0.5539	65
	Score	9	8	8	9	9	9	9	4	
ANN-SSA	Value	0.5871	0.7080	1.1453	0.8639	0.0964	0.6426	0.1234	0.6156	30
	Score	4	4	5	4	3	4	4	2	
ANN-HHO	Value	0.5428	0.5507	0.7693	0.8317	0.0890	0.6761	0.1299	0.5524	23
	Score	2	2	2	3	5	2	2	5	
ANN-SMA	Value	0.7292	0.8434	1.4734	0.9082	0.0793	0.5203	0.0999	0.4414	61
	Score	7	9	9	8	6	7	7	8	
ANN-MPA	Value	0.7347	0.7589	1.2911	0.9068	0.0750	0.5151	0.0989	0.4850	57
	Score	8	6	6	7	7	8	8	7	

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Table 20. Performance of hybrid ANFIS models (external validation phase for Case-2).

Models/Particulars		NS	R ²	PI	WI	MAE	RSR	RMSE	WMAPE	Total score
ANFIS-PSO	Value	0.9270	0.9286	1.7728	0.9804	0.0433	0.2703	0.0519	0.3323	80
	Score	10	10	10	10	10	10	10	10	
ANFIS-ACO	Value	0.4288	0.8737	1.5294	0.8488	0.1262	0.7558	0.1452	0.7711	10
	Score	1	2	2	1	1	1	1	1	
ANFIS-ABC	Value	0.5841	0.6848	1.0800	0.8872	0.0866	0.6449	0.1239	0.6387	14
	Score	2	1	1	2	2	2	2	2	
ANFIS-GWO	Value	0.8149	0.8993	1.6652	0.9477	0.0690	0.4303	0.0826	0.4289	24
	Score	3	3	3	3	3	3	3	3	
ANFIS-MFO	Value	0.8875	0.9143	1.7218	0.9683	0.0543	0.3355	0.0644	0.3504	41
	Score	5	5	5	5	5	5	5	6	
ANFIS-WOA	Value	0.8659	0.9048	1.6942	0.9627	0.0603	0.3662	0.0703	0.4015	32
	Score	4	4	4	4	4	4	4	4	
ANFIS-SSA	Value	0.9130	0.9195	1.7455	0.9775	0.0465	0.2949	0.0566	0.3651	62
	Score	7	9	9	9	9	7	7	5	
ANFIS-HHO	Value	0.8978	0.9193	1.7383	0.9715	0.0524	0.3196	0.0614	0.3446	51
	Score	6	8	6	6	6	6	6	7	
ANFIS-SMA	Value	0.9138	0.9187	1.7437	0.9765	0.0472	0.2937	0.0564	0.3353	67
	Score	9	7	8	8	8	9	9	9	
ANFIS-MPA	Value	0.9134	0.9187	1.7436	0.9764	0.0473	0.2943	0.0565	0.3355	59
	Score	8	6	7	7	7	8	8	8	

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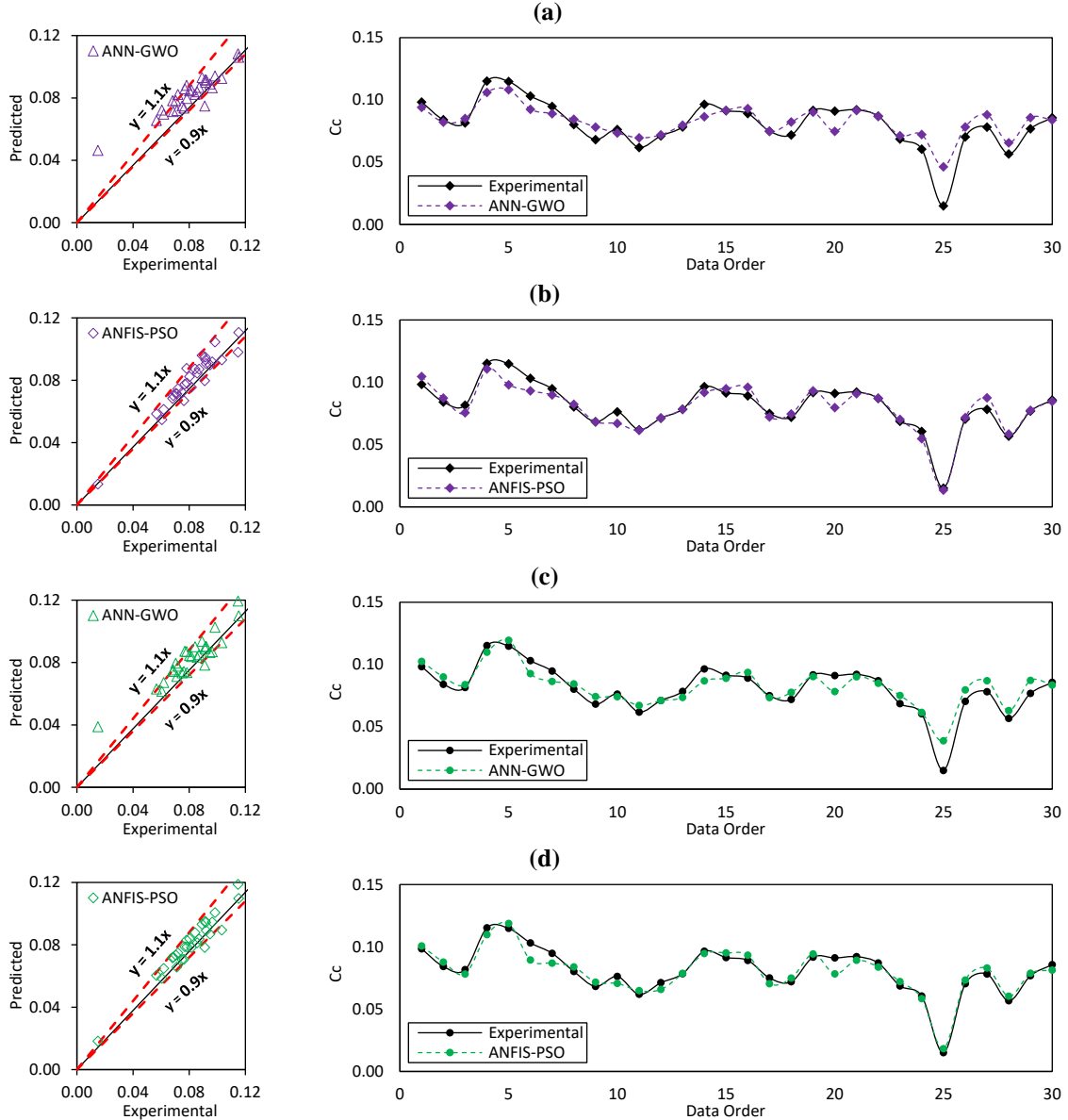


Fig. 15. Illustration of experimental vs. predicted results for external validation phase: (a-b) best two models for Case-1 and (c-b) best two models for Case-2.

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841 **8.4. Uncertainty analysis**

842

This sub-section describes the quantitative assessment of the developed hybrid models in estimating the C_c of soils. Previously, Bardhan et al. [41] used the width of confidence bound (WCB) approach to estimate the uncertainty of prediction models. In this study, the quantitative evaluation of the developed models was evaluated via uncertainty analysis (UA). This assessment was carried out for all data in both the internal and external validation phases, which includes 140 and 30 experimental data, respectively. Thus, these data can be considered reliable to compare the trustworthiness of the developed models. To perform UA, the MAE and the standard deviation of absolute error (SDAE) of the predictions were computed initially. The standard error (SE), lower and upper bounds (LB and UB), and the margin of error (MOE) were evaluated at a 95% confidence interval to determine WCB. Note that the WCB value reflects an error range in which approximately 95% of the entire data is located.

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852 The results of the UA are given in Table 21 (internal validation Case-1), Table 22 (internal validation Case-
853 2), Table 23 (external validation Case-1), and Table 24 (external validation Case-1) with the details of several
854 indices, including MAE, SDAE, SE, MOE, LB, UB, and WCB values. The index values obtained for UA can be
855 used to assess the performance of the hybrid ANN and ANFIS models. Specifically, the stronger the model
856 certainty, the lower the WCB value in these indices, indicating that a model with less error can predict the intended
857 output with greater confidence. According to the UA results, ANN-GWO and ANFIS-PSO achieved the lowest
858 WCB in both cases of C_c prediction among the developed hybrid ANN and ANFIS models, respectively. In the
859 internal validation phase, the WCB values for these two models were 0.0102 and 0.0092 in Case-1 and 0.0082
860 and 0.0072 in Case-2 of C_c prediction, respectively. On the other hand, in the external validation phase, the WCB
861 values for ANN-GWO and ANFIS-PSO were 0.0478 and 0.0304 in Case-1 and 0.0354 and 0.0212 in Case-2 of
862 C_c prediction, respectively. These results clearly demonstrate that the developed ANN-GWO and ANFIS-PSO are
863 very reliable in predicting soil C_c , which can also be observed in the details of other performance parameters
864 presented above.

865 All of the developed models were ranked in groups based on the WCB value. Subsequently, all of the hybrid
866 ANN and ANFIS models were ranked together to see which one performed best in Case-1 and Case-2 of soil C_c
867 prediction. As can be observed, the ANFIS-PSO is the most precise predictive model in both phases. Furthermore,
868 compared to other techniques employed in the study, the lower values of MAE and SDAE display the more reliable
869 ANN-PSO model. Moreover, in the internal validation phase, the ANFIS-ABC for Case-1 and ANN-ABC for
870 Case-2 display the maximum uncertainty, while in the external validation phase, the ANN-ABC for Case-1 and
871 ANFIS-ABC for Case-2 demonstrate the maximum uncertainty. However, the models were ranked according to
872 the MAE value when the WCB value was the same.

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Table 21. Results of UA (internal validation phase for Case-1).

Models	MAE	SDAE	SE	MOE	LB	UB	WCB	Sub-rank	Overall rank
ANN-PSO	0.0609	0.0597	0.0050	0.0100	0.0509	0.0709	0.0200	9	18
ANN-ACO	0.0387	0.0332	0.0028	0.0055	0.0332	0.0442	0.0110	4	9
ANN-ABC	0.0822	0.0693	0.0059	0.0116	0.0706	0.0938	0.0232	10	19
ANN-GWO	0.0324	0.0308	0.0026	0.0051	0.0273	0.0375	0.0102	1	3
ANN-MFO	0.0484	0.0429	0.0036	0.0072	0.0412	0.0556	0.0144	7	16
ANN-WOA	0.0386	0.0356	0.0030	0.0059	0.0327	0.0445	0.0118	6	14
ANN-SSA	0.0371	0.0347	0.0029	0.0058	0.0313	0.0429	0.0116	5	12
ANN-HHO	0.0641	0.0484	0.0041	0.0081	0.0560	0.0722	0.0162	8	17
ANN-SMA	0.0405	0.0322	0.0027	0.0054	0.0351	0.0459	0.0108	3	6
ANN-MPA	0.0345	0.0316	0.0027	0.0053	0.0292	0.0398	0.0106	2	4
ANFIS-PSO	0.0265	0.0275	0.0023	0.0046	0.0219	0.0311	0.0092	1	1
ANFIS-ACO	0.0313	0.0353	0.0030	0.0059	0.0254	0.0372	0.0118	8	13
ANFIS-ABC	0.4663	0.2273	0.0192	0.0380	0.4283	0.5043	0.0760	10	20
ANFIS-GWO	0.0403	0.0356	0.0030	0.0059	0.0344	0.0462	0.0118	9	15
ANFIS-MFO	0.0359	0.0327	0.0028	0.0055	0.0304	0.0414	0.0110	5	8
ANFIS-WOA	0.0346	0.0330	0.0028	0.0055	0.0291	0.0401	0.0110	4	7
ANFIS-SSA	0.0386	0.0321	0.0027	0.0054	0.0332	0.0440	0.0108	3	5
ANFIS-HHO	0.0369	0.0341	0.0029	0.0057	0.0312	0.0426	0.0114	7	11
ANFIS-SMA	0.0389	0.0335	0.0028	0.0056	0.0333	0.0445	0.0112	6	10
ANFIS-MPA	0.0325	0.0283	0.0024	0.0047	0.0278	0.0372	0.0094	2	2

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Table 22. Results of UA (internal validation phase for Case-2).

Models	MAE	SDAE	SE	MOE	LB	UB	WCB	Sub-rank	Overall rank
ANN-PSO	0.0466	0.0405	0.0034	0.0068	0.0398	0.0534	0.0136	8	17
ANN-ACO	0.0312	0.0296	0.0025	0.0049	0.0263	0.0361	0.0098	3	11
ANN-ABC	0.1006	0.0795	0.0067	0.0133	0.0873	0.1139	0.0266	10	20
ANN-GWO	0.0276	0.0244	0.0021	0.0041	0.0235	0.0317	0.0082	1	8
ANN-MFO	0.0425	0.0366	0.0031	0.0061	0.0364	0.0486	0.0122	6	15
ANN-WOA	0.0430	0.0386	0.0033	0.0065	0.0365	0.0495	0.0130	7	16
ANN-SSA	0.0360	0.0304	0.0026	0.0051	0.0309	0.0411	0.0102	5	13
ANN-HHO	0.0642	0.0566	0.0048	0.0095	0.0547	0.0737	0.0190	9	19
ANN-SMA	0.0330	0.0297	0.0025	0.0050	0.0280	0.0380	0.0100	4	12
ANN-MPA	0.0305	0.0260	0.0022	0.0043	0.0262	0.0348	0.0086	2	10
ANFIS-PSO	0.0212	0.0216	0.0018	0.0036	0.0176	0.0248	0.0072	1	1
ANFIS-ACO	0.0347	0.0309	0.0026	0.0052	0.0295	0.0399	0.0104	9	14
ANFIS-ABC	0.0639	0.0488	0.0041	0.0082	0.0557	0.0721	0.0164	10	18
ANFIS-GWO	0.0284	0.0238	0.0020	0.0040	0.0244	0.0324	0.0080	7	7
ANFIS-MFO	0.0280	0.0234	0.0020	0.0039	0.0241	0.0319	0.0078	4	4
ANFIS-WOA	0.0288	0.0245	0.0021	0.0041	0.0247	0.0329	0.0082	8	9
ANFIS-SSA	0.0280	0.0226	0.0019	0.0038	0.0242	0.0318	0.0076	3	3
ANFIS-HHO	0.0275	0.0237	0.0020	0.0040	0.0235	0.0315	0.0080	5	5
ANFIS-SMA	0.0283	0.0238	0.0020	0.0040	0.0243	0.0323	0.0080	6	6
ANFIS-MPA	0.0273	0.0225	0.0019	0.0038	0.0235	0.0311	0.0076	2	2

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Table 23. Results of UA (external validation phase for Case-1).

Models	MAE	SDAE	SE	MOE	LB	UB	WCB	Sub-rank	Overall rank
ANN-PSO	0.0818	0.1010	0.0184	0.0377	0.0441	0.1195	0.0754	9	17
ANN-ACO	0.0814	0.0788	0.0144	0.0294	0.0520	0.1108	0.0588	5	13
ANN-ABC	0.2400	0.1539	0.0281	0.0575	0.1825	0.2975	0.1150	10	20
ANN-GWO	0.0666	0.0639	0.0117	0.0239	0.0427	0.0905	0.0478	1	9
ANN-MFO	0.1378	0.0959	0.0175	0.0358	0.1020	0.1736	0.0716	8	16
ANN-WOA	0.1022	0.0733	0.0134	0.0274	0.0748	0.1296	0.0548	3	11
ANN-SSA	0.1039	0.0889	0.0162	0.0332	0.0707	0.1371	0.0664	6	14
ANN-HHO	0.1156	0.0777	0.0142	0.0290	0.0866	0.1446	0.0580	4	12
ANN-SMA	0.0848	0.0644	0.0118	0.0240	0.0608	0.1088	0.0480	2	10
ANN-MPA	0.0826	0.0920	0.0168	0.0344	0.0482	0.1170	0.0688	7	15
ANFIS-PSO	0.0417	0.0408	0.0074	0.0152	0.0265	0.0569	0.0304	1	1
ANFIS-ACO	0.1492	0.0806	0.0147	0.0301	0.1191	0.1793	0.0602	9	18
ANFIS-ABC	0.0917	0.0845	0.0154	0.0316	0.0601	0.1233	0.0632	10	19
ANFIS-GWO	0.0752	0.0522	0.0095	0.0195	0.0557	0.0947	0.0390	7	7
ANFIS-MFO	0.0790	0.0536	0.0098	0.0200	0.0590	0.0990	0.0400	8	8
ANFIS-WOA	0.0780	0.0510	0.0093	0.0190	0.0590	0.0970	0.0380	6	6
ANFIS-SSA	0.0505	0.0501	0.0091	0.0187	0.0318	0.0692	0.0374	5	5
ANFIS-HHO	0.0699	0.0409	0.0075	0.0153	0.0546	0.0852	0.0306	2	2
ANFIS-SMA	0.0661	0.0423	0.0077	0.0158	0.0503	0.0819	0.0316	3	3
ANFIS-MPA	0.0664	0.0422	0.0077	0.0158	0.0506	0.0822	0.0316	4	4

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Table 24. Results of UA (external validation phase for Case-2).

Models	MAE	SDAE	SE	MOE	LB	UB	WCB	Sub-rank	Overall rank
ANN-PSO	0.1003	0.0801	0.0146	0.0299	0.0704	0.1302	0.0598	8	17
ANN-ACO	0.0745	0.0759	0.0139	0.0283	0.0462	0.1028	0.0566	6	15
ANN-ABC	0.1615	0.1102	0.0201	0.0411	0.1204	0.2026	0.0822	10	19
ANN-GWO	0.0594	0.0473	0.0086	0.0177	0.0417	0.0771	0.0354	1	9
ANN-MFO	0.0928	0.0674	0.0123	0.0252	0.0676	0.1180	0.0504	5	13
ANN-WOA	0.0729	0.0513	0.0094	0.0192	0.0537	0.0921	0.0384	2	10
ANN-SSA	0.0964	0.0771	0.0141	0.0288	0.0676	0.1252	0.0576	7	16
ANN-HHO	0.0890	0.0946	0.0173	0.0353	0.0537	0.1243	0.0706	9	18
ANN-SMA	0.0793	0.0608	0.0111	0.0227	0.0566	0.1020	0.0454	3	11
ANN-MPA	0.0750	0.0645	0.0118	0.0241	0.0509	0.0991	0.0482	4	12
ANFIS-PSO	0.0433	0.0285	0.0052	0.0106	0.0327	0.0539	0.0212	1	1
ANFIS-ACO	0.1262	0.0716	0.0131	0.0267	0.0995	0.1529	0.0534	9	14
ANFIS-ABC	0.0866	0.0885	0.0162	0.0330	0.0536	0.1196	0.0660	10	20
ANFIS-GWO	0.0690	0.0455	0.0083	0.0170	0.0520	0.0860	0.0340	8	8
ANFIS-MFO	0.0543	0.0346	0.0063	0.0129	0.0414	0.0672	0.0258	6	6
ANFIS-WOA	0.0603	0.0362	0.0066	0.0135	0.0468	0.0738	0.0270	7	7
ANFIS-SSA	0.0465	0.0323	0.0059	0.0121	0.0344	0.0586	0.0242	5	5
ANFIS-HHO	0.0524	0.0319	0.0058	0.0119	0.0405	0.0643	0.0238	4	4
ANFIS-SMA	0.0472	0.0309	0.0056	0.0115	0.0357	0.0587	0.0230	2	2
ANFIS-MPA	0.0473	0.0309	0.0056	0.0115	0.0358	0.0588	0.0230	3	3

883

884 **8.5. Monotonicity analysis**

885 This study also investigated the feasibility of different soil particles through monotonicity analysis. Herein,
 886 the best performing model, i.e., ANFIS-PSO, for predicting the C_c of soils is analysed. The purpose of this analysis
 887 is to see how well the proposed hybrid model handles the overfitting problem using the concept of feasibility
 888 modelling of input parameters. For this purpose, a simulated dataset was produced for seven input parameters,
 889 namely S, M&C, C, M, FS, MS, and CS, with one input parameter changing linearly while the others stayed fixed.
 890 However, the principle of grain size analysis was followed, which states that the total proportions of S, M&C, and
 891 G contents or C, M, FS, MS, CS, and G contents cannot exceed 100%. Therefore, to assure the trend between the
 892 input parameter and soil C_c , a linear increase in one parameter was countered by a linear decrease in another, and
 893 vice-versa. To generate the simulated dataset, S and M&C, C and M, FS and MS, and CS and FS were altered as
 894 per the details given in Table 25, and the dataset was generated accordingly. In each scenario, the variable range
 895 of input parameters is also indicated in Table 25. As stated above, the summation of G, S and M&C; M, FS, MS,
 896 CS, and G were kept exactly 100% in all circumstances to imitate the practical case. It is also worth noting that
 897 the values of the other input parameters, as indicated in Table 25, were preserved at their mean values.

898
899

Table 25. Dataset generation for monotonicity analysis.

Variable input parameter			Input parameters with contact mean value		Fig. reference
Param.	Range	Increased by	Set		
S	20.00 – 34.00	+1.00	A	D = 7.48, G = 3.42, BD = 1.80, DD = 1.59, Gs = 2.67, LL = 32.86, PL = 18.84.	Fig. 16(a)
M&C	69.10 – 55.10	-1.00			Fig. 16(b)
S	30.00 – 44.00	+1.00	B	D = 7.48, G = 3.42, BD = 1.80, DD = 1.59, Gs = 2.67, LL = 32.86, PL = 18.84.	Fig. 16(c)
M&C	59.10 – 45.10	-1.00			Fig. 16(d)
C	15.00 – 29.00	+1.00	C	D = 7.48, G = 3.42, CS = 2.15, MS = 3.90, FS = 26.40, BD = 1.80, DD = 1.59, Gs = 2.67, LL = 32.86, PL = 18.84.	Fig. 16(e)
M	49.13 – 35.13	-1.00			Fig. 16(f)
C	34.13 – 20.13	-1.00	D	D = 7.48, G = 3.42, CS = 2.15, MS = 3.90, FS = 26.40, BD = 1.80, DD = 1.59, Gs = 2.67, LL = 32.86, PL = 18.84.	Fig. 16(g)
M	30.00 – 44.00	+1.00			Fig. 16(h)
FS	10.00 – 24.00	+1.00	E	D = 7.48, G = 3.42, CS = 2.15, M = 48.01, C = 16.11, BD = 1.80, DD = 1.59, Gs = 2.67, LL = 32.86, PL = 18.84.	Fig. 16(i)
MS	6.31 – 20.31	-1.00			Fig. 16(j)
CS	3.00 – 17.00	+1.00	F	D = 7.48, G = 3.42, MS = 3.90, M = 48.01, C = 16.11, BD = 1.80, DD = 1.59, Gs = 2.67, LL = 32.86, PL = 18.84.	Fig. 16(k)
FS	25.56 – 11.56	-1.00			Fig. 16(l)

900

901 Fig. 16 displays all of the trends with smooth curves and reveals that soil C_c decreased as the content of S
 902 increases, while the completely opposite occurred for M&C content in both cases (Fig. 16(a - d)). In addition,
 903 Fig. 16(e, g) shows the expected increase in C_c with increasing C content, whereas, Fig. 16(f, h) illustrates the
 904 opposite trend, i.e., higher C_c with decreasing M content. The estimated C_c increased as the FS content grew, but
 905 declined as the content of MS increased (see Fig. 16(i,j)). Similarly, the content of CS and FS exhibited (see Fig.
 906 16(k, l)) opposite trends as expected. It is observed that the estimated C_c increases with the increase of C or M&C
 907 contents, whereas the predicted value decreases as the sand content increases. This occurrence implies that soil C_c
 908 is influenced more by M and C contents. The impact of different-sized sand particles can also be observed. It is
 909 worth noting that the ANFIS-PSO model was used to ensure expected trends using simulated datasets, but real-
 910 life analysis may provide different results.

911 It is also significant to mention that, in the majority of cases, the sub-soils of railways, highways, buildings,
 912 bridge foundations, etc. require preliminary treatment to improve their bearing capacity. In general, practitioners
 913 use soil stabilisation, soil mixing, and soil compaction to increase the quality of the subgrade material. Thus, the
 914 notion of the monotonicity analysis described here will allow engineers to change the soil qualities by modifying
 915 the mix of different-sized particles based on construction site requirements. Furthermore, the impact of different
 916 particle sizes on soil C_c at various soil particle proportions can be assessed.

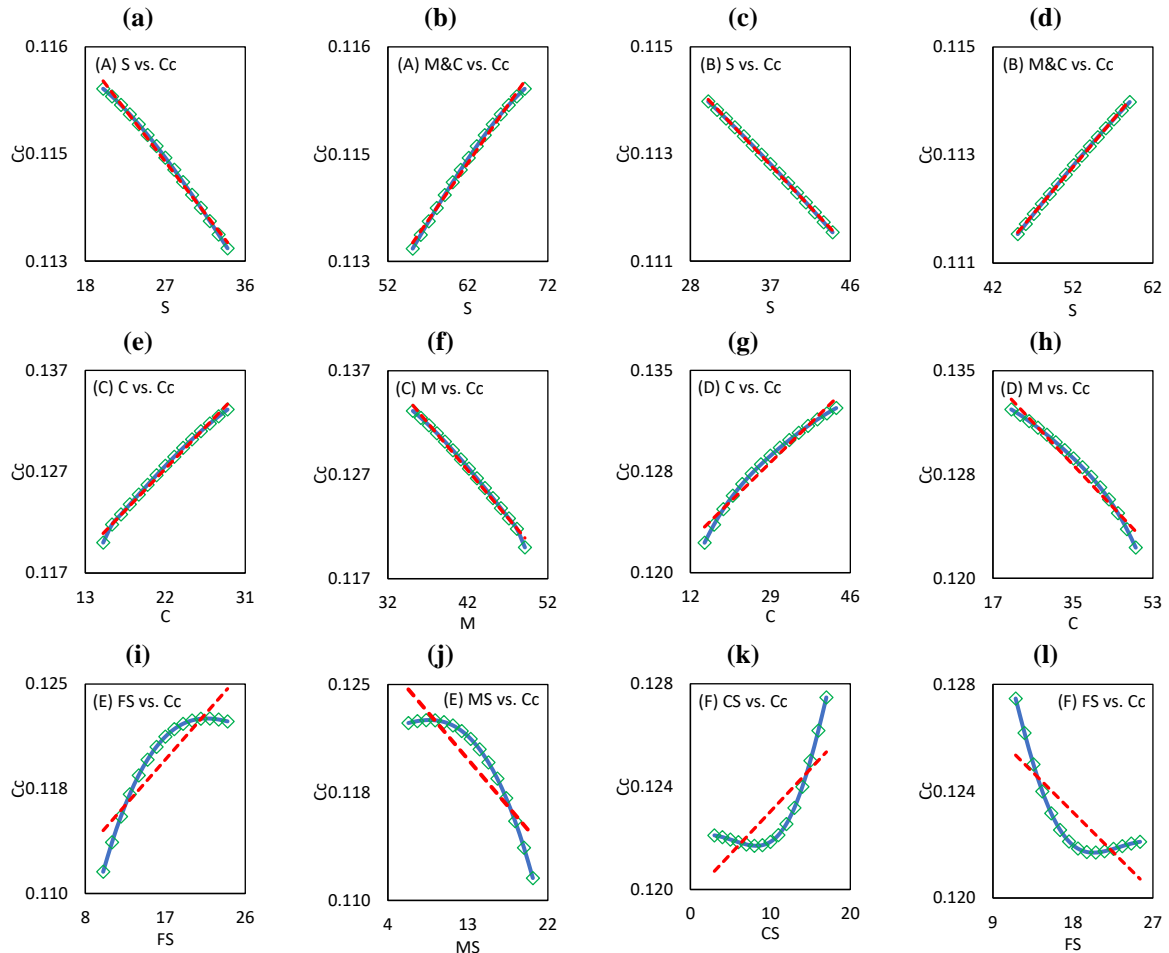


Fig. 16. Illustration of monotonicity analysis using ANFIS-PSO model.

918

919 **8.6. Discussion**

920 The above sub-sections describe the outcomes and generalization capabilities of the hybrid ANN and ANFIS
 921 models in predicting soil C_c . After the models were developed, multiple performance indices were determined
 922 and analysed. In this study, two different combinations of input parameters i.e., Case-1 and Case-2, were
 923 considered to investigate the mapping relationship between soil parameters and C_c . All the hybrid ANN and
 924 ANFIS models were trained for both input combinations and validated thoroughly. The obtained results suggest
 925 that all developed models can capture the relationship between soil parameters and C_c in both training and
 926 validation phases; however, the prediction performance of the proposed ANN-GWO and ANFIS-PSO models was
 927 found to be significantly better than that of other developed models. In addition, separate laboratory experiments
 928 were conducted to determine the capability of the developed hybrid models for future application. It is also seen
 929 that the proposed ANN-GWO and ANFIS-PSO are the most accurate models in predicting soil C_c .

930 The overall status of the best performing model with the highest prediction accuracy in both Case-1 and
 931 Case-2 input combinations is presented in Table 26. For this purpose, the RMSE and WCB criteria were examined.
 932 As can be seen, ANFIS-PSO performed better than ANN-GWO in every phase. Compared to the Case-1 input
 933 combination, the proposed ANN-GWO and ANFIS-PSO models constructed with the second input combination
 934 (i.e., Case-2) achieved a greater prediction accuracy in both the internal and external validation phases. These
 935 findings demonstrate that both the models attained better performance when the contents of CS, FS, MS, M, and

936 C were considered separately in predicting the C_c of soils. In the internal validation phase, ANFIS-PSO achieved
 937 a very high accuracy for inferring values of soil C_c , which is expressed by the relatively low values of RMSE
 938 (0.0382 in Case-1 and 0.0303 in Case-2) and WCB (0.0092 in Case-1 and 0.0072 in Case-2). Comparatively, in
 939 the external validation phase, RMSE values were 0.0583 in Case-1 and 0.0519 in Case-2, and WCM values were
 940 0.0304 in Case-1 and 0.0212 in Case-2. The developed ANN-GWO also attained a higher prediction accuracy in
 941 Case-2 of soil C_c prediction (refer Table 26 for more details). However, the overall performance of the constructed
 942 ANFIS-PSO supports its superior generalization and robustness at all levels.

943
 944 Table 26. Summarized performance of the best performing model.

RMSE and WCB values in different phases	Case-1 best	Case-2 best	Final best
RMSE (internal validation)	ANN-GWO = 0.0447 ANFIS-PSO = 0.0382	ANN-GWO = 0.0368 ANFIS-PSO = 0.0303	ANFIS-PSO
RMSE (external validation)	ANN-GWO = 0.0923 ANFIS-PSO = 0.0583	ANN-GWO = 0.0760 ANFIS-PSO = 0.0519	ANFIS-PSO
WCB value (internal validation)	ANN-GWO = 0.0102 ANFIS-PSO = 0.0092	ANN-GWO = 0.0082 ANFIS-PSO = 0.0072	ANFIS-PSO
WCB value (external validation)	ANN-GWO = 0.0478 ANFIS-PSO = 0.0304	ANN-GWO = 0.0354 ANFIS-PSO = 0.0212	ANFIS-PSO

945
 946 Furthermore, the validity of the proposed ANFIS-PSO model and sensitivity of the input variables on the
 947 predicted results, especially the impact of CS, MS, FS, M, and C contents, were analysed. The monotonicity
 948 analysis demonstrates the overall behaviour of the proposed ANFIS-PSO model with regards to its expected
 949 behaviour in terms of soil C_c and other soil parameters, indicating that overfitting did not occur in either of the
 950 two input combinations for predicting soil C_c .

951
 952 **9. Conclusion**

953 In the present work, a comparative assessment of hybrid ANN and ANFIS models constructed with ten SI
 954 algorithms for estimating soil C_c has been presented. To this end, a sum of 700 oedometer test results was
 955 employed to create and validate the models, and 30 new laboratory experiments were conducted for external
 956 validation of the proposed hybrid models. Based on the experimental outcomes, the ANN-GWO and ANFIS-PSO
 957 were found to be the best hybrid models in the groups of hybrid ANN and ANFIS models. However, experimental
 958 results exhibit that the proposed ANFIS-PSO obtained the most accurate prediction across all stages (i.e., training,
 959 internal validation, and external validation phases) among the developed hybrid models. Based on the R^2 and
 960 RMSE criteria, the proposed ANFIS-PSO outperformed the other hybrid models with $R^2 = 0.9789$ and $RMSE =$
 961 0.0303 in the internal validation phase and $R^2 = 0.9286$ and $RMSE = 0.0519$ in the external validation phase. The
 962 validation of the ANFIS-PSO model with a completely new dataset demonstrates its robustness and
 963 generalizability in estimating soil C_c . The performance obtained in the external validation phase indicates that the
 964 proposed ANFIS-PSO can be used to estimate soil C_c in the future. This is one of the major contributions of this
 965 study.

966 On the contrary, based on grain size distribution analyses, two different combinations of basic soil parameters
 967 (i.e., Case-1 and Case-2) were explored in this study to ensure the effectiveness of silt and clay particles on soil
 968 C_c . Experimental outcomes of the two best models, i.e., ANFIS-PSO and ANN-GWO, exhibit that the Case-2
 969 input combination offers better prediction performance than the Case-1 input combination for C_c prediction.
 970 Therefore, the use of grain size distribution with independent contents of CS, MS, FS, M, and C appears to be
 971 highly effective for modelling the C_c of soils. The impact of different-sized particles on soil C_c was also

972 investigated via a monotonicity analysis considering different combinations of input parameters. This will allow
973 researchers and practitioners to customize the soil C_c by adjusting the mix proportions of S, CS, MS, FS, M&C,
974 M, and C to meet their specific needs.

975 The present work proposes a high-performance hybrid model to replace the oedometer tests and provides a
976 comprehensive literature review of previous studies, including the results of the utilized ML models. Details of
977 the input soil parameters used in earlier studies to estimate the C_c of soils have also been included, which will
978 benefit researchers in their future studies. The main advantages of the proposed ANFIS-PSO model include: a)
979 faster convergence, b) lower computational time, c) use of real-life datasets collected from a large project of IR,
980 d) higher prediction accuracy in the validation phases, and e) high degree of reliability. With fewer FIS parameters
981 and a reduced computational cost, the ANFIS-PSO model achieved significant prediction accuracy. highlighting
982 the effectiveness of the said model. This is another major advantage of the proposed hybrid approach. However,
983 the confined search space of the OAs (i.e., fixed values of different deterministic parameters) and required multiple
984 runs can be seen as limitations of this study. Therefore, more research should be conducted to expand the use of
985 hybrid ANN and ANFIS models for forecasting the desired output in different engineering disciplines.

986 Nonetheless, the future direction of this work may include: a) a detailed assessment of the accuracy of other
987 hybrid models, such as hybrid ELMs, hybrid SVMs, etc. via actual data from various areas of geotechnical
988 engineering; b) evaluation of the ANFIS-PSO model's superiority over other hybrid ANFIS models built using
989 evolutionary and physics-based OAs; c) implementation of advanced and enhanced of meta-heuristic algorithms
990 for constructing hybrid ANFIS models; d) soil sample preparation based on the simulated dataset and validation
991 of results through laboratory experiments; e) large-scale investigation and validation of the developed hybrid
992 models; and e) study of the impact of soil particles on C_c , especially silt and clay contents for other types of soils.
993 Nevertheless, considering the effect of different-sized soil particles, the current research proposes a novel
994 promising strategy for estimating soil C_c that eliminates the undesired oedometer tests for the first time.

995

996 Reference

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