

# Gamification of Participatory Modeling: towards creating a more engaging process for stakeholders

### by Elena Bakhanova

Thesis submitted in fulfilment of the requirements for the degree of

### **Doctor of Philosophy**

under the supervision of Professor Alexey Voinov, Doctor Jaime Garcia, and Doctor William Raffe

University of Technology Sydney Faculty of Engineering and Information Technology

July 2022

**Certificate of Original Authorship** 

I, Elena Bakhanova, declare that this thesis is submitted in fulfilment of the

requirements for the award of Doctor of Philosophy, in the School of Computer

Science, Faculty of Engineering & IT at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In

addition, I certify that all information sources and literature used are indicated in the

thesis.

This document has not been submitted for qualifications at any other academic

institution.

This research is supported by the Australian Government Research Training Program.

Production Note:
Signature removed
prior to publication.

Elena Bakhanova

Date: July 13th, 2022

2

### **Abstract**

Complex issues such as sustainability-related ones imply the interests of diverse groups of stakeholders. The solutions to these problems and actual changes require comprehensive understanding and consensus among the stakeholders as well as the change in mindsets and behavior patterns. Participatory Modeling (PM), serious games, and gamification are among the approaches that have been broadly used in this context. However, there are only a few cases where one can observe synergy between them. Therefore, this research aims to explore how the PM process can benefit from existing advances in serious games and gamification.

Our in-depth literature review has shown the positive effects that different types of game-like applications bring to social learning and the contribution of gamification to engagement, motivation, and enjoyment of some activities. This created a foundation for suggesting possible extensions for the use of game design at each stage of the PM process, aiming at better learning, communication among stakeholders, and overall engagement. Next, we analyzed existing gamification frameworks, built on their relevant aspects, and proposed a more nuanced gamification framework for PM. To provide empirical evidence for this research, we designed and evaluated three interventions: (1) gamification strategy for conceptual model development as one of the PM stages, (2) gamified learning tool for the preliminary preparation stage of PM aiming at increasing awareness about group communication challenges, (3) gamified features for artificial intelligence-based online platform used as an alternative to traditional PM workshops.

The results of this research positively contribute to our initial claim about the usefulness of game design applications at various PM stages. In addition, this research provides guidance for PM practitioners on how to gamify the PM process and notes caution about the potential challenges and risks of such interventions.

To my parents

## Acknowledgments

I am grateful to my supervisors, Professor Alexey Voinov, Doctor Jaime Garcia, and Doctor William Raffe, for their continuous guidance and support during the last three years. You have shared with me many happy and sad moments of my research journey, finding the right words at the right time and showing a great example of professionalism for me. I value it a lot and learn from you.

I would also like to thank the academics and professional staff of the School of Information, Systems, and Modeling, FEIT UTS, for multiple opportunities to work together and explore the scientific world from different angles. My special appreciation goes to the PhD community of the PERSWADE Centre. I have been very lucky to be surrounded by such an amazing group of people.

Thanks to my dearest friend and colleague, Madiha Anjum. I was blessed to meet you on my very first day in Australia, without you, this PhD would have been a totally different story. Thank you for your optimism and care.

Thanks to my dear Andres for always being there for me, whatever happens.

I would like to thank my family: my parents, Olga and Aleksander, my brother Mikhail and his wife Julia, and my aunt Natalia. Although we were separated by thousands of kilometers, I felt your constant support at each moment of time. Thank you for believing in me.

## **List of Publications**

#### **RELATED TO THE THESIS:**

#### Journals:

**Bakhanova**, E., Garcia Marin, J., Raffe, W. & Voinov, A. (2020). Targeting social learning and engagement: What serious games and gamification can offer to participatory modeling. Environmental Modeling & Software, 134. <u>doi:</u> 10.1016/j.envsoft.2020.104846

#### **Conferences:**

Papers:

**Bakhanova**, E., Anjum, M., Garcia Marin, J., Raffe, W. & Voinov, A. (2022). Gamification of Discussoo: an online AI-based forum for serious discussions. 16th Multi-Conference on Computer Science and Information Systems, Lisbon, Portugal

Abstracts:

**Bakhanova**, E., Garcia, J., Raffe, W., & Voinov, A. (2021). Side effects of gamification in the contexto f participatory modeling. 31<sup>st</sup> European Conference on Operational Research, Athens, Greece

**Bakhanova**, E., Voinov, A., Raffe, W. & Garcia, J. (2020). Building trust during participatory modelling process: what gamification can offer? 10<sup>th</sup> International Congress on Environmental Modeling and Software, Belgium, Brussels

**Bakhanova**, E., Voinov, A., Raffe, W. & Garcia, J. (2019). Gamification of participatory modelling in the context of sustainable development: existing and new solutions. 23<sup>rd</sup> International Congress on Modeling and Simulation, Canberra, Australia

#### In review:

**Bakhanova**, E., Garcia Marin, J., Raffe, W. & Voinov, A. Gamification framework for participatory modeling (unpublished manuscript, submitted for review)

**Bakhanova**, **E.**, Chakraborty, S., Garcia Marin, J., Raffe, W. & Voinov, A. A gamified learning tool to increase awareness about group communication challenges (unpublished manuscript, submitted for review)

#### **OTHER RESEARCH:**

Kenny, D., **Bakhanova**, **E.**, Hämäläinen, R. & Voinov, A. (2022). Participatory Modelling and Systems Intelligence: A systems-based and transdisciplinary partnership. Socio-Economic Planning Sciences, <u>doi:10.1016/j.seps.2022.101310</u>

Elsawah, S., **Bakhanova**, **E.**, Hämäläinen, R. & Voinov, A. Towards a competency framework for participatory modeling: identifying core competencies (unpublished manuscript, submitted for review)

## **Table of Contents**

Certificate of Original Authorship2
Abstract3
Acknowledgments 5
List of Publications6
List of Figures12
List of Tables13
Chapter 1: Introduction14
1.1. Overview14
1.2. Background15
1.2.1. Game design, gamification, and participatory modeling for sustainable development as one of the complex issues
1.2.2. Serious games, gamification, and participatory modeling: possible      combinations
1.2.3. Existing gamification frameworks and their possible applicability to PM19
1.2.4. Evaluation of serious games and gamification20
1.3. Research Goal, Objectives, and Research Questions
1.4. Research Methodology and Design24
1.5. Outline of the Thesis
1.6. A Note on COVID-19 impact on this research
Chapter 2: Targeting social learning and engagement: what serious games and gamification can offer to participatory modeling 28
2.1. Introduction30
2.2. Method32
2.3. Game-like applications and gamification: definitions and use in participatory settings
2.3.1. Better is the enemy of the good: does PM need gamification?35
2.3.2. Game-like applications: definitions and features 37
2.3.3. Gamification: definitions and applications41
2.3.4. Game-like applications, gamification, and participatory modeling: existing cases of joint use
2.4. Effects and benefits of game-like applications and gamification for social learning and engagement

2.4.1. Why social learning and engagement?	46
2.4.2. Effects of serious games and interactive simulations on social learning	48
2.4.3. Effects of gamification on engagement	52
2.5. Discussion	55
2.5.1. Opportunities for PM to benefit from serious games and interactive simulations at each stage	55
2.5.2. Possible obstacles and limitations of PM gamification	60
2.6. Conclusion	62
Chapter 3: Gamification Framework for Participatory Modeling	. 65
3.1. Introduction	67
3.2. Background	69
3.2.1. Stakeholder engagement	69
3.2.2. Participatory Modeling (PM) as part of problem structuring	
3.2.3. Gamification	71
3.3. Gamification framework for PM: a proposal	72
3.4. Discussion	77
3.5. Conclusion	79
Chapter 4: Gamified modeling workshops with stakeholders: insights from process analysis	. 82
4.1. Introduction	84
4.2. Research focus	86
4.3. Gamified PM process: description of interventions	88
4.3.1. Proposed gamification strategy for the stage of conceptual model development	88
4.3.2. Case study: Teaching and Learning in COVID-19 time	90
4.4. Methods	92
4.4.1. Experiment design	92
4.4.2. Data analysis	94
4.5. Results	95
4.5.1. Overview	95
4.5.2. Engagement effects	97
4.5.3. Group Dynamics effects	00
100 1 2	99

4.5.5. Reflections on game design: what worked and what did not	102
4.6. Discussion and Conclusion	104
Chapter 5: A gamified learning tool to increase awareness about gro	_
communication challenges: a preliminary study	
5.1. Introduction	
5.2. Related work: how to train group facilitation and communication skills	
5.3. Materials and Methods	
5.3.1. Description of the gamified learning tool	115
5.3.2. Method: pre-test and post-test questionnaires	117
5.4. Results: measuring learning and engagement	119
5.5. Discussion and Conclusion	123
Chapter 6: Gamification of Discussoo: an online AI-based forum for serious discussions	
6.1. Introduction	
6.2. Online discussions: engagement challenges and existing solutions	
6.3. Introduction to Discussoo platform	131
6.4. Gamification of Discussoo platform	133
6.5. Methods	135
6.6. Results: engagement effects	136
6.7. Conclusion	139
Chapter 7: Risks of PM gamification: a preliminary study	141
7.1. Introduction	141
7.2. Method: literature review	142
7.3. Findings: risks related to motivation, diversion from the main activity, gan design, and ethics	
7.4. Discussion and Conclusion	145
Chapter 8: Conclusion	149
8.1. Overview of Findings	149
8.1.1. Analysis of the existing approaches in game design and gamification at their suitability for the PM process	
8.1.2. Gamification benefits for each stage of PM	150
8.1.3. Gamification framework for PM	152
8.1.4. Evaluation of the proposed gamification interventions on the PM proc	ess154

8.2. Research Contributions	157
8.3. Limitations	158
8.4. Recommendations for Future Research	159
Appendix	161
Bibliography	168

# **List of Figures**

involvement in decision-making40
Figure 2.2. The proposed set of categories of social learning for assessment of the effects/outcomes of different game-like applications
Figure 3.1. The proposed approach for gamification of PM
Figure 4.1. Conceptual model development as an activity to be gamified
Figure 4.2. Gamification strategy step-by-step89
Figure 4.3. Workshop setting for gamified conceptual model development 92
Figure 4.4. Experiment overview
Figure 4.5. Facilitator's role: structure of the codes and themes in transcribed data 95
Figure 4.6. Participants' actions: structure of the codes and themes in the transcribed data
Figure 5.1. The interface of the gamified learning tool 'Discussion Guru'
Figure 5.2. Example of a question from the pre-test questionnaire118
Figure 5.3. Example of a question from the post-test questionnaire
Figure 5.4. Results of the pre-test questionnaire (% of correct responses) 120
Figure 5.5. Learning effects: comparison of the results for pre-and post-test questionnaires (% of participants)
Figure 6.1. Discussoo platform135
Figure 6.2. Results of the brief post-test survey: mean values for engagement-related statements
Figure 7.1. Literature search and selection143
Figure 7.2. Categories of gamification risks and side effects145
Figure 7.3. Gamification risks relevant to the PM process147

## **List of Tables**

Table 1.1. Overview of the experiments25
Table 2.1. Effects of different types of game-like applications on social learning, as defined by the categories shown in Figure 2.25
Table 2.2. Components which are in focus of gamification as an approach 54
Table 4.1. Reflections of the participants on different aspects of engagement98
Table 4.2. Frequency of codes: Experimental vs. Control groups99
Table 4.3. Experimental and control groups: analysis of dominance in group discussion
Table 5.1. Mean and standard deviation (SD) for the engagement-related statements
in the post-test questionnaire122