Design of LCoS devices using high contrast gratings

By Sangeeth Soman Thandasseril

Thesis submitted in fulfilment of the requirements for the degree of Doctor of Philosophy under the supervision of A/Prof Alexander Solntsev (Principal Supervisor) Prof Christopher Geoffrey Poulton A/Prof Mikhail Lapine (Co-Supervisors)

> University of Technology Sydney Faculty of Science

> > December 9, 2022

CERTIFICATE OF AUTHORSHIP

I, Sangeeth Soman Thandasseril declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy, in the department of Mathematical and Physical Sciences at the University of Technology Sydney. This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis. This document has not been submitted for qualifications at any other academic institution. This research is supported by the Australian Government Research Training Program.

Signature:

Production Note: Signature removed prior to publication.

Date: December 9, 2022

This work is dedicated to my Dad(late), Mother and to my grandmother (late Kausalya)

To my partner, my friends and loved ones.

ACKNOWLEDGEMENTS

I want to thank my PhD supervisors Prof. Alexander Solntsev, Prof. Chris Poulton and Prof. Mikhail Lapine. For giving me valuable suggestions and feedback and supporting me throughout this degree.

I want to thank my industry partner Finisar for supporting me with the materials and parameters helpful in modelling the device.

Though there were insurmountable challenges during the COVID-19 period, my family supported me with unwavering love. Reaching this point of life has had its trials, of which my loved ones might say a few, but I could not have done it without your all support.

The work presented in this thesis would not have been possible without the contributions of many brilliant people, to whom I am very grateful. These people are Simon White from UTS, Steve Frisken (CEO of Cylite), Jeremy Bolger from Finisar and Nitesh Gulati from Finisar.

Thank you, my partner, for providing me with mental support for the last periods of my PhD.

To all my friends at UTS, I would like to thank you for the friendships I made. I will never forget it from the bottom of my heart.

To Geethamma (mother), I miss you every day. I wouldn't be who I am today without your support. I also wanted to thank all the staff and technical officers at UTS who has given unconditional support to my work.

ABSTRACT

Liquid crystal on silicon (LCoS) technology, existing for more than four decades ago, is facilitating a range of applications in photonics. For example, phase-only LCoS devices (LCoSDs) are now routinely used as switching elements in wavelength-selective switches. A range of different approaches have been considered to improve the performance of conventional LCoSDs. For instance, the diffractive optical losses associated with the pixelated backplane need to be alleviated to enhance the optical performance of LCoSDs. To make the device optically flat, I am using the high-contrast grating (HCG) structures implemented by Finisar Australia Pty Limited in C-Band and optimising the performance in other wavelength regions of operation.

In this thesis, I have numerically investigated HCGs to enhance the performance of LCoSDs. The study was performed using Finite-difference time-domain (FDTD) and rigorous coupled-wave analysis (RCWA) methods. For each significant spectral range (1064 nm, visible range, C-band), HCG parameters have been optimised separately. According to this research, silicon would be a suitable material for near-infrared gratings, while silicon nitride would be a promising material for visible gratings. Furthermore, I have investigated a crosslinked silicon HCG structure to improve the reflectivity of conventional LCoS in the C-Band wavelength range and around 1064 nm, introducing polarisation-independent reflectivity. Finally, I studied Finisar's polarisation techniques. In the future, the results of this research will likely contribute to the design of high-performance wavelength selective switches and WaveShapers.

CONTENTS

1	Ch	apter 1:Introduction	1
	1.1	Introduction to LCoSDs	1
		1.1.1 LC electro-optic effects for phase only LCoSDs	3
		1.1.2 Conventional reflective LCoSDs	5
	1.2	Motivation of the thesis	6
	1.3	Thesis structure	8
2		apter 2:Introduction to diffraction gratings and numerical thods	11
	2.1	Introduction to diffraction gratings	11
	2.2	High index contrast gratings for broad reflectivity	16
	2.3	Numerical simulations to calculate the reflectivity of LCoSDs	19
		2.3.1 Rigorous coupled-wave analysis method	19
		2.3.1.1 Reflection efficiency of an LCoS backplane without pixels and with grating using RCWA	31
		2.3.2 Finite-difference time-domain(FDTD) method	34
		2.3.2.1 Reflection efficiency of a uni-periodic grating using the 2D-FDTD method	36
		2.3.3 Comparison of the FDTD and RCWA	39
3		apter 3: Design of high reflectivity LCoSDs without pixels in ur-infrared	40
	3.1	Introduction to subwavelength HCGs	40
	3.2	HCG structures for high reflectivity LCoSDs in near infra-red	41
	3.3	Results and discussion	41
		3.3.1 Design of silicon high contrast grating in near infra-red	41
	3.4	Conclusion	48
4		apter 4:Design of high reflectivity LCoSDs with pixels and ting in near infrared and investigation of standing waves in els	49

	4.1	High reflectivity LCoS with pixels and grating49
	4.2	Methods, results and discussion
		4.2.1 Design of high reflectivity LCoS with pixels and grating in near-infrared and fabrication tolerances
		4.2.2 Two-dimensional grid optimisation and fabrication tolerance of grating parameters
	4.3	Numerical investigation of standing waves in pixelated backplane 50
		4.3.1 Simulation method
	4.4	Results and discussion
		4.4.1 Near optical field analysis using FDTD
	4.5	Conclusion
5	Cha	pter 5: Design of LCoSDs in visible wavelengths 62
	5.1	Introduction to silicon nitride HCG
	5.2	Design of silicon nitride HCG LCoS in the visible wavelengths62
	5.3	Optimisation methods
		5.3.1 Genetic algorithm to set initial grating parameters for optimisation in the visible wavelength
	5.4	Results and discussion
		5.4.1 Four parameter optimisation of R _s using genetic algorithm at 800 nm
		5.4.2 Fabrication tolerance analysis of silicon nitride grating without pixels at 800 nm
		5.4.3 Four parameter optimisation of R _s using genetic algorithm at 532 nm
		5.4.4 Fabrication tolerance analysis of silicon nitride grating LCoS without pixels at around 532 nm70
		5.4.5 Design of silicon nitride grating on top of conventional LCoS pixels
		5.4.6 Optimisation of Si ₃ N ₄ subwavelength grating LCoS using two- dimensional grid optimisation at 800 nm
	5.5	Conclusion
6		pter 6: Polarisation independent HCGs for high reflectivity oSDs 72

	6.1	Polarisation-independent HCGs for high-reflectivity LCoS in near- infrared77
		6.1.1 Introduction
		6.1.2 Polarisation-independent high contrast grating
	6.2	Results and discussion
		6.2.1 Numerical simulation
		6.2.2 Conventional LCoS backplane81
		6.2.3 Two-dimensional grid optimisation and fabrication tolerance.82
	6.3	Conclusion
7		apter 7: Metal-dielectric grating for polarisation independent oSDs 88
	7.1	Introducing anisotropic structure via uni-periodic metal-dielectric grating
		7.1.1 Working principle of the metal-dielectric subwavelength grating
	7.2	Results and discussion97
		7.2.1 Polarisation independent LCoS optimisation at 1550 nm97
		7.2.1.1 The Optimisation of uni-periodic metal-dielectric structure using surface plots and pseudo-colour plots .97
		7.2.2 Polarisation independent LCoS optimisation at 1064 nm 101
	7.3	Conclusion
8	Cha	apter 8 110
	8.1	Conclusions and outlook
Aj	ppen	dix A:Grating Diffraction Calculator(GD-Calc) 113
	A.1	Implementation of GD-Calc
		A.1.1 GD-Calc software interface overview 114
		A.1.2 Constructing grating in GD-Calc 115
Aj	open	dix B:Genetic algorithm optimisation 122
	B.1	Genetic algorithm optimisation and implementation 122
Bi	blio	graphy 125

ILLUSTRATIONS

Figure 1.1. LCoS based Wavelength selective switch (WSS) design from Finisar3
Figure 1.2(a,b). (a)A schematic of LC molecular birefringence grating (b) Schematic of zero-twisted configuration in electrically controlled birefringence(ECB) with small tilt angle
Figure 1.3. Illustrated schematically conventional reflective LCoS without grating6
 Figure 2.1. Example of a uni-periodic one-dimensional grating
and n_2 with a periodicity of d. (b) Showing the reflectivity spectra of different Bragg gratings with a central Bragg wavelength of 1310 nm
Figure 2.3(a,b). Schematic of the subwavelength high contrast grating reflector. (b) Front view of the subwavelength HCG with waveguide array modes
Figure 2.4. Ultra-broadband reflectivity for light polarised perpendicular to the grating lines at a centre wavelength of 1550 nm using RCWA
Figure 2.5. Biperiodic grating structure
Figure 2.7. The distribution of electromagnetic field's tangential spatial frequencies.28
Figure 2.8. Illustrated an example of grating stratum with stripes and block
Figure 2.9. Illustrated an LCoS backplane without the pixels and uni-periodic silicon rectangular subwavelength gratings with stripes and fundamental grating periods in the
RCWA platform

Figure 2.10 (a, b, c, d, e). Reflectivity of LCoS without pixels and with grating and convergence analysis
Figure 2.11. Schematic representation of Yee cell
Figure 2.12. Schematic diagram of the LCoS backplane with one-dimensional uni- periodic silicon high contrast subwavelength grating
Figure 2.13(a.b). (a) Convergence analysis of LCoS backplane with one-dimensional uni-periodic silicon HCG using FDTD method (b) Comparison of the reflectivity using FDTD and RCWA
Figure 3.1. Schematic view of one-dimensional uni-periodic Si-high contrast grating structure without pixels and with Al layer
Figure 3.2 (a, b). Broad high reflectivity in near-infrared wavelengths43
Figure 3.3 (a, b, c, d). Optimisation and fabrication tolerance of LCoS without pixels and with grating
Figure 3.4 (a, b, c, d). Optimisation and fabrication tolerance of LCoS without pixels and with grating at around 1064 nm
Figure 4.1. Sectional side view of the liquid crystal on silicon with pixels and grating
Figure 4.2 (a, b, c). Schematic diagrams of the conventional LCoS pixels51
Figure 4.3 (a, b). Schematic diagram of the HCG integrated on top of LCoS pixels
Figure 4.4. Reflectivity comparison between LCoS with grating and pixels and conventional LCoS
Figure 4.5 (a, b, c, d). Optimisation and fabrication tolerance of LCoS with grating and pixel at around 1064 nm
Figure 4.6 (a, b). (a) Broad reflectivity of conventional LCoS simulated to observe local minima and maxima (b) Schematic diagram of Pixelated LCoS

Figure 4.7. Schematic diagram of the 3D-FDTD simulation
Figure 4.8 (a, b, c, d). Near field optical analysis of conventional LCoS pixels
Figure 5.1 (a, b). Schematic diagram of Si_3N_4 grating LCoS without pixels63
Figure 5.2 (a, b). Genetic algorithm optimisation and broad reflectivity corresponding to optimal parameters
Figure 5.3 (a, b). Optimisation and fabrication tolerance analysis of Si ₃ N ₄ grating LCoS without pixels at around 800 nm
Figure 5.4 (a, b).Genetic algorithm optimisation and broad reflectivity corresponding to optimal parameters70
Figure 5.5 (a, b). Optimisation and fabrication tolerance analysis of Si_3N_4 grating LCoS without pixels at around 532 nm71
Figure 5.6 (a, b). Schematic diagram of LCoS with Si ₃ N ₄ grating and pixels73
Figure 5.7 (a, b). Convergence plot and broad reflectivity comparison at around 800 nm
Figure 5.8 (a, b). Two-dimensional grid optimisation in log scale75
Figure 6.1 (a, b). Schematic diagram of the crosslinked grating on top of LCoS pixels and unit cell
Figure 6.2 (a, b). Cross-linked 2D grating with periodic boundary conditions along x and y, reflectivity simulated in C-Band
Figure 6.3 (a, b). Schematic diagram of the conventional LCoS backplane with equal periodicity
Figure 6.4 (a, b). Comparison of reflectivity in C-band and at around 1064 nm82
Figure 6.5 (a, b, c, d). Two-dimensional grid optimisation and fabrication tolerance at around 1550 nm
Figure 6.6 (a, b, c, d). Two-dimensional grid optimisation and fabrication tolerance at

Figure 7.1. Conventional LCoS with pixelated backplane embedded on the silicon
CMOS panel
Figure 7.2. Schematic diagram of standard LCoS made polarisation insensitive by double passing the optical signal into the quarter-wave plate
Figure 7.3. Illustration of Fabry-Perot resonance in a twisted nematic liquid crystal cell
Figure 7.4. X-Z view of the uni-periodic subwavelength structure with a periodicity of 0.75 microns
Figure 7.5. Exploded perspective view of polarisation independent LCoS having uni- periodic metal-dielectric grating embedded on pixels
Figure 7.6. Side exploded perspective view of polarisation independent LCoS showcasing the polarisation modification process
Figure 7.7 (a, b, c). Grid optimisation to observe the grating parameters dependence on phase difference
Figure 7.8 (a, b, c). Pseudo colour optimisation of phase difference and reflectivity difference
Figure 7.9 (a, b, c). Pseudo colour optimisation of phase difference and reflectivity difference, i.e., period=392 nm and width=65 nm100
Figure 7.10 (a, b). Broad reflection efficiency from the optimised parameters. (b) phase difference simulated from 1450 nm to 1650 nm with the optimised parameters101
Figure 7.11 (a, b, c). Pseudo colour optimisation of phase difference and reflectivity difference
Figure 7.12 (a, b, c). Pseudo colour optimisation of phase and reflectivity difference associated with linear polarisation components of the input light103
Figure 7.13 (a, b, c). Pseudo colour optimisation of grating parameters104

Figure 7.14 (a, b, c). Pseudo colour optimisation of phase and reflectivity difference
associated with linear polarisation components of the input light105
Figure 7.15(a, b, c). Pseudo colour optimisation of phase and reflectivity difference
associated with linear polarisation components of the input light106
Figure 7.16 (a, b, c). Pseudo colour optimisation of phase and reflectivity difference
associated with linear polarisation components of the input light with optimal
parameters107
Figure 7.17 (a, b). Broad reflectivity and phase difference correspond to the optimal
parameters108
Figure B.1. Basic algorithm of genetic optimisation123
Figure B.2. Algorithm of the genetic optimisation implemented in section 5.3.1124

TABLES

Table 3.1. Optimised parameters and fabrication tolerances at around 1550 nm45
Table 3.2. Optimised parameters and fabrication tolerances at around 1064 nm48
Table 4.1. Optimised parameters and fabrication tolerances of LCoS with grating andpixels at around 1064 nm
Table 4.2. The table illustrates the maximum electric field $ \mathbf{E_y} $ of the standing waves
Table 5.1. Summary of optimisation at around 800 nm.69
Table 5.2. Summary of optimisation at around 532 nm72
Table 5.3. Summary of optimised parameters of the LCoS with pixels and Si ₃ N ₄ grating
Table 6.1. Summary of optimisation at around 1550 nm
Table 6.2. Summary of optimisation at around 1064 nm

ABBREVIATIONS

- LCoSDs: Liquid crystal on silicon devices
- CMOS: Complementary metal-oxide-semiconductor
- LC: Liquid crystal
- SLM: Spatial Light Modulator
- **WSS:** Wavelength Selective Switches
- AWG: Arrayed Waveguide Gratings
- **ROADM:** Reconfigurable Optical Add-Drop Networks
- HCG: High Contrast Grating
- FDTD: Finite Difference Time Domain
- RCWA: Rigorous Coupled Wave Analysis
- VCSEL: Vertical-Cavity Surface-Emitting Lasers
- **FEM:** Finite Element Method
- **GD-Calc:** Grating Diffraction Calculator
- RI: Refractive Index
- **TE:** Transverse Electric
- **TM:** Transverse Magnetic
- 2D: Two-Dimensional
- **PML:** Perfectly Matched Layer
- **DBR:** Distributed Bragg Reflector
- RHCP: Right-Handed Circularly Polarised