



Multiple-Mode Resonator Analysis and Its Applications to Microwave Waveguide Components

by Jingyu Lin

Thesis submitted in fulfilment of the requirements for
the degree of Doctor of Philosophy

under the supervision of
Principal Supervisor: Dr Yang Yang
Co-Supervisor: Dr Forest Zhu

University of Technology Sydney
Faculty of Engineering and Information Technology

December 2022

CERTIFICATE OF ORIGINAL AUTHORSHIP

I, Jingyu Lin, declare that this thesis is submitted in fulfilment of the requirements award of the Doctoral Degree, in the School of Electrical and Data Engineering, Faculty of Engineering and Information Technology at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

I certify that the work in this thesis has not previously been submitted for a degree nor has it been submitted as part of the requirements for a degree at any other academic institution except as fully acknowledged within the text.

This research is supported by the Australian Government Research Training Program.

Production Note:

Signature: Signature removed prior to publication.

Date: December 12, 2022

Acknowledgement

Time flies so quickly. I was a visiting student when I first came to UTS, and now I'm about to graduate with a PhD. Everything is as good as ever, I learned a lot, met a lot of great people, gained a lot of knowledge, and I really appreciate the time I spent here.

First of all, I would like to give my heartfelt thanks to my principal supervisor Dr. Yang Yang, whose advice and encouragement gave me a better understanding of my research topic. It is a great honor and joy to study under his guidance and supervision. Furthermore, I am honored to benefit from his personality and hard work, which I will treasure my whole life.

I would also like to express my gratitude to my co-supervisor Dr. Forest Zhu. His views on on-chip circuits have inspired me a lot in my millimeter-wave and terahertz research. I am also very grateful to Dr. He Zhu and Dr. Ting Zhang for their insights into my waveguide research. I also deeply appreciate all the other mentors and teachers for their direct and indirect assistance.

I would like to thank Prof. Sai-Wai Wong from Shenzhen University, who led me into the world of academic research. His attitude towards academic research left a deep impression on me, which will prove to be beneficial to my academic career.

Lastly, my thanks are extended to my beloved parents for their unfailing love and unwavering support. I also owe my sincere gratitude to my friends and my fellow classmates who helped me solve problems. My heart swells with gratitude to everyone who has helped me.

Published and Under Review Papers Related to This Thesis

[a1] **J.-Y. Lin**, Y. Yang, S.-W. Wong, R.-S. Chen, Y. Li, L. Zhang, Y. He, and L. Zhu, "Cavity Filtering Magic-T and Its Integrations Into Balanced-to-Unbalanced Power Divider and Duplexing Power Divider," *IEEE Transactions on Microwave Theory and Techniques*, vol. 67, no. 12, pp. 4995-5004, Dec. 2019. **[Chapter 2]**

[a2] S.-W. Wong, **J.-Y. Lin**, Y. Yang, Z.-C. Guo, L. Zhu and Q.-X. Chu, "Waveguide Components Based on Multiple-Mode Resonators: Advances in Microwave Multiple-Mode Waveguide Components, Including Multiplexers, Three-State Diplexers, Crossovers, and Balanced/Unbalanced Elements," *IEEE Microwave Magazine*, vol. 22, no. 2, pp. 33-45, Feb. 2021. **[Chapter 1 and 2]**

[a3] **J.-Y. Lin**, Y. Yang, S.-W. Wong and Y. Li, "High-Order Modes Analysis and Its Applications to Dual-Band Dual-Polarized Filtering Cavity Slot Arrays," *IEEE Transactions on Microwave Theory and Techniques*, vol. 69, no. 6, pp. 3084-3092, June 2021. **[Chapter 3]**

[a4] **J.-Y. Lin**, S.-W. Wong and Y. Yang, "Filtering In-Band Full-Duplex Slot Antenna Based on TM₁₂₀ and TM₂₁₀ Dual-Mode Resonators," *2021 IEEE MTT-S International Microwave Filter Workshop (IMFW)*, 2021. **[Chapter 4]**

[a5] **J.-Y. Lin**, Y. Yang, S.-W. Wong, and R.-S. Chen, "In-Band Full-Duplex Filtering Antenna Arrays Using High-Order Mode Cavity Resonators," *IEEE Transactions on Microwave Theory and Techniques*. **[Chapter 4]**

[a6] **J.-Y. Lin**, Y. Yang and S.-W. Wong, "Four-Way Filtering Crossover Based on Quadruple-Mode Cavity Resonator," *2021 IEEE MTT-S International Microwave Symposium (IMS)*, 2021. **[Chapter 5]**

[a7] **J.-Y. Lin**, Y. Yang, S.-W. Wong, X. Li, L. Wang, and E. Dutkiewicz, "Two-Way

Waveguide Diplexer and Its Application to Diplexing In-Band Full-Duplex Antenna,”
IEEE Transactions on Microwave Theory and Techniques. [Chapter 5]

[a8] **J.-Y. Lin**, Y. Yang, T. Zhang, S.-W. Wong and J. Du, “Inline Waveguide Bandpass Filter Using Bandstop Resonator Pairs,” submitted to *2023IEEE MTT-S International Microwave Symposium (IMS)*. [Chapter 6]

Abstract

Composite signals can be represented as a combination of multiple sinusoidal signals with varying frequencies, phases, and amplitudes. In general, these critical features can be manipulated by microwave components for different applications. As the indispensable part of most microwave components, microwave resonators play a significant role in functional circuit designs. Single resonance (single mode) circuit in one order or higher orders have dominated industry's usage of microwave circuits and systems. For high-power applications such as base stations, satellite communication, and aerospace communication, conventional SMR waveguide components have the advantage of achieving high performance and meeting required precision performance specifications. However, their bulky circuit volume and high fabrication cost hinder their implementation in many space-constrained scenarios. There is a need to tackle these challenges by means of a novel approach. MMRs, with more than one resonant mode in a single resonator, have been investigated by several research groups over the last decade. Compared to SMR, besides the merits of circuit miniaturization, low-loss, and low-cost, MMR has an inherent advantage of diverse topologies with better out-of-band signal attenuation, due to the generation of additional transmission zeroes (TZs). In addition, MMR provides more design freedom and flexibility in function integration, which might not be possible using single mode resonators. The main contents are as follows:

1. Cavity filtering magic-T and its integrations into balanced-to-unbalanced (B2U) power divider (PD) and diplexing power divider.
2. Single-band and dual-band filtering antenna arrays (FAAs) based on high-order mode resonators.
3. In-band full-duplex (IBFD) filtering antenna arrays based on high-order mode resonators for narrowband and wideband applications.
4. Two-way waveguide diplexer and its application to diplexing IBFD antenna using quadruple-mode resonators.
5. Inline waveguide bandpass filter using bandstop resonator pairs.

Keywords: Waveguide filter, multiple-mode resonator, transmission zero, slot antenna, in-band full-duplex, filtering antenna array, magic-T, balun, high-order mode, multi-mode resonator, millimeter-wave, terahertz.

CONTENTS

Abstract	VI
Chapter 1 Introduction	1
1.1 Research Background	1
1.2 Literature Review	3
1.2.1 Waveguide MMR-Based Filters	3
1.2.1.1 Narrow-Band Filters	3
1.2.1.2 Wide-Band Filters	6
1.2.1.3 Multiple-Band Filters	7
1.2.2 Waveguide MMR-Based Multiplexers	9
1.2.2.1 Diplexers	9
1.2.2.2 Triplexers	10
1.2.2.3 Multiplexers	11
1.2.2.4 Three-State Diplexers	12
1.2.3 Crossovers and Balun Circuits	14
1.2.3.1 Crossovers	14
1.2.3.2 Balun and Balanced Circuits	15
1.3 Conclusion	16
References	18
Chapter 2 Cavity Filtering Magic-T and Its Integrations Into Balanced-to-Unbalanced Power Divider and Diplexing Power Divider	25
2.1 Introduction	25
2.2 Waveguide Filtering Magic-T Design	27
2.3 The Theory and Design of Balanced-to-Unbalanced Power Divider	28
2.4 The Theory and Design of Diplexing Power Divider	35
2.5 Conclusion	39
2.6 References	40
Chapter 3 Single-Band and Dual-Band Filtering Antenna Arrays Based on High-Order Mode Resonators	45
3.1 Introduction	45
3.2 Concept of Resonant Modes	46
3.3 3 rd -Order Filtering Antenna Array Using TM ₄₅₀ Mode	50
3.4 3 rd -Order Dual-Band Filtering Antenna Array Using TM ₄₃₀ and TM ₃₄₀ Modes	56
3.5 Conclusion	60
3.6 References	61
Chapter 4 In-Band Full-Duplex Filtering Antenna Arrays Based on High-Order Mode Resonators	64
4.1 Introduction	64
4.2 Orthogonal Modes and Their Applications	66
4.3 Narrow-Band IBFD Filtering Antenna Array Using TM ₁₆₀ And TM ₆₁₀ Modes	68
4.4 Wide-Band IBFD Filtering Antenna Array Using TM ₁₄₀ And TM ₄₁₀ Modes	75
4.5 Conclusion	81
4.6 References	81

Chapter 5 Two-Way Waveguide Diplexer and Its Application to Diplexing In-Band Full-Duplex Antenna.	85
5.1 Introduction.....	85
5.2 Concept of Resonant Modes	87
5.3 2 nd -Order Two-Way Waveguide Diplexer	89
5.4. 2 nd -Order Diplexing IBFD Antenna	95
5.5. Conclusion	100
5.6 References.....	101
Chapter 6 Inline Waveguide Bandpass Filter Using Bandstop Resonator Pairs . . .	103
6.1 Introduction.....	103
6.2 Circuit Analysis of Proposed Bandpass Filter.....	104
6.3 Bandstop Resonator Analysis.....	107
6.4. Proposed Inline Waveguide Bandpass Filter Design.....	110
6.5. Conclusion	116
6.6 References.....	116
Chapter 7 Conclusion and Future Work	120
7.1 Conclusion	120
7.2 Future work.....	121
Publications.	124