

## Crack Detection and Classification using Digital Image Processing

### by Thi Hong Nhung Nguyen

Thesis submitted in fulfilment of the requirements for the degree of

#### **Doctor of Philosophy**

under the supervision of

- Prof. Stuart Perry, Principal supervisor
- A/Prof. Donald Bone, Co-supervisor
- A/Prof. Thuy Thi Nguyen, External supervisor
- A/Prof. Le Thanh Ha, External supervisor

University of Technology Sydney Faculty of Engineering and IT

Dec 2022

### **Certificate of Original Authorship**

I, Thi Hong Nhung Nguyen declare that this thesis, is submitted in fulfilment of the requirements for the award of Doctor of Philosophy, in the School of Electrical and Data Engineering/Faculty of Engineering and Information Technology at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

I certify that the work in this thesis has not previously been submitted for a degree nor has it been submitted as part of the requirements for a degree at any other academic institution except as fully acknowledged within the text. This thesis is the result of a Collaborative Doctoral Research Degree program with The VNU University of Engineering and Technology (VNU-UET).

This research is supported by the Australian Government Research Training Program.

Signed:

Production Note: Signature removed prior to publication.

Date:

22/12/2022

### Crack Detection and Classification using Digital Image Processing

by

Thi Hong Nhung Nguyen

A thesis submitted in partial fulfilment of the requirements for the degree of Doctor of Philosophy

## Abstract

Crack detection and segmentation, and the processes of crack classification and crack index calculation which they support, are essential tools in road survey and maintenance applications. The outputs of crack detection and segmentation are used to define the severity levels of the cracking of road surfaces. Based on the crack severity levels, necessary repair and maintainence can be decided.

There are many image processing methods that may be applied to this domain, including traditional methods using low-level features such as edges, and modern methods using machine learning algorithms such as deep learning which are able to abstract high-level features. However, many challenges associated with the use of digital image processing to detect and segment cracks in images are still not solved. Some of these challenges and limitations include: (1) crack images are often noisy, have low-resolution, and contain many artifacts; (2) the associated road crack datasets are imbalanced, with only a small proportion of the data repesenting crack information; (3) three dimensional (3D) data such as crack point clouds are informative to analyze and monitor the development of crack but current acquisition methods for this data produce low-density point clouds and this problem needs to be addressed to make these data sets more useful; (4) the automated calculation of crack indices is frustrated by the lack of robust, standardised methods for automatically identifying cracks and measuring crack parameters such as crack length and crack width.

To solve the above limitations, this thesis focuses on three main contributions:

The first contribution is the proposal of a new architecture for crack detection and segmentation. This method improves the ability to segment the crack from noisy and imbalanced road crack datasets. A combination of crack detection at the region (or sample) level and crack segmentation at the pixel level is shown to increase the accuracy of crack segmentation.

In the second contribution, a novel method of crack point cloud upsampling is proposed. By combining the point clouds and their corresponding 2D images in a model based on a GAN (Generative Adversarial Network) framework, the proposed method aims to generate high-resolution point clouds from low-resolution point clouds and matched 2D images. The high-resolution point clouds can be used to improve the classification of crack point clouds and support crack monitoring.

The final contribution is a method to calculate crack parameters such as crack length and crack width from segmented cracks. This contribution proposes an approach for evaluating the crack length results based on the traditional metric Precision Recall Curve (PRC). The new approach is suitable for a range of narrow features such as crack lines.

This thesis shows the impressive power of using digital image processing and machine learning for crack analysis in both 2D or 3D crack data.

## A cknowledgements

Throughout the writing of this dissertation I have received a great deal of support and assistance.

I would like to acknowledge the Joint Technology and Innovation Research Centre - a partnership between University of Technology Sydney and Vietnam National University, and the Faculty of Engineering and Information Technology – UTS who granted me this Ph.D scholarship.

I am deeply grateful to my supervisors, Associate Professor Stuart Perry, Associate Professor Donald Bone, Associate Professor Thi Thuy Nguyen, and Associate Professor Thanh Ha Le, whose expertise was invaluable in formulating the research questions and methodology. Your insightful feedback pushed me to sharpen my thinking and brought my work to a higher level.

I would like to thank Associate Professor Min Xu and Dr. Hoang Dinh in SEDE - UTS for their valuable guidance throughout my studies. I would like to thank Dr. Nhu Thuc Nguyen who helped me with data collection.

I would like to thank Mr. Ninh Hong Quang Nguyen for his support to my family in Australia. I would like to thank Ms. Leonie Smyth for helping me with English skills.

Finally, my deep and sincere gratitude to my family for their continuous and unparalleled love, help and support. I would like to thank my husband, Mr. Quang Duy Nguyen, and my sons for their patience and encouragement. You are always there for me.

# Contents

De	eclara	ation o	of Authorship	iii
A	cknov	vledge	ments	vii
$\mathbf{Li}$	st of	Figure	es	xiii
$\mathbf{Li}$	st of	Tables	3	xvii
1	$\mathbf{Intr}$	oducti	on	1
	1.1	Contex	xt and Motivation	. 1
	1.2	Contri	butions	. 6
	1.3	Public	ations $\ldots$	. 6
	1.4	Dissert	tation Outline	. 7
<b>2</b>	Lite	rature	Review	9
	2.1	Backg	round in Digital Image Processing	. 9
	2.2	Backg	round in Machine Learning	. 10
	2.3	Cracki	ng Phenomenon Understanding	. 12
	2.4	Crack	Processing using Traditional Digital Image Processing	. 15
		2.4.1	Edge detection	. 16
		2.4.2	Gabor filters	. 18
		2.4.3	Adaptive thresholding	. 18
		2.4.4	Crack detection based on image features	. 19
		2.4.5	Geography features	. 20
	2.5	Crack	Processing based on Machine Learning with Convolutional Neural	
		Netwo	rks	. 21
		2.5.1	Crack detection	. 21
		2.5.2	Crack segmentation	. 25
		2.5.3	Two-stage architectures for crack detection and segmentation	. 28
	2.6	Relate	d Work for Crack Width and Crack Length Calculation	. 30
		2.6.1	Crack length calculation	. 30
		2.6.2	Crack width calculation	. 30
	2.7	Crack	classification	. 31
		2.7.1	Crack classification based on types of crack	. 31

		2.7.2	Crack classification based on the severity levels and distress types $% \left( {{{\bf{n}}_{{\rm{s}}}}_{{\rm{s}}}} \right)$ .	35
	2.8	Crack	Point Clouds Processing Related Work	36
		2.8.1	Traditional methods	36
		2.8.2	Convolutional Neural Networks for point cloud upsampling	37
		2.8.3	Combining 2D images and 3D data	37
		2.8.4	Crack 3D data detection and segmentation	38
3	Cra	ck De	tection and Segmentation using a Two-stage Convolutional	
	Neı	ıral Ne	etwork Architecture	41
	3.1	Introd	uction	41
	3.2	Two-s	tage Convolutional Neural Networks for Crack Detection and Seg-	
		menta	$\operatorname{tion}$	44
		3.2.1	CNN architecture for crack detection	46
	~ ~	3.2.2	CNN architecture for crack segmentation	47
	3.3	Crack	Segmentation Results and Evaluation	49
		3.3.1	Crack datasets preparation	49
		3.3.2	Methods for evaluation the crack detection and segmentation	52
		3.3.3	Crack detection and segmentation results from the two-stage model	54
	3.4	Chapt	er Conclusions	59
<b>4</b>	Me	rging 2	2D and 3D Data for Crack Detection	65
	4.1	Introd	uction	65
	4.2	Combi	ining 2D Images and Point Clouds	69
	4.3	Propo	sed Generative Adversarial Network for Crack Point Cloud Upsampling	72
		4.3.1	Generative model	72
		4.3.2	Discriminative model	74
		4.3.3	Loss functions	75
	4.4	Experi	iments and Results	77
		4.4.1	Data preparation	77
		4.4.2	Evaluation metrics	78
	4.5	Crack	Point Clouds Classification	83
	4.6	Chapt	er Conclusions	84
<b>5</b>	Ana	alysis a	and Classification of Crack Characteristics	87
	5.1	Introd	uction	87
	5.2	Crack	Length Calculation	89
		5.2.1	The disadvantage of applying traditional evaluation metrics to a	
			detected crack line	90
		5.2.2	A new measure based on PRC for crack line detection	91
	5.3	Crack	Width Calculation	92
	5.4	Experi	iments and Results from the Calculation of Crack Characteristics $\ . \ .$	95
		5.4.1	Data set preparation	95
		5.4.2	Results	96
	5.5	Chapt	er Conclusions	97

6	Conclusions and Future Work					
	6.1	Conclusions	105			
	6.2	Future Work	107			

#### Appendices

Bibliography

109

108

# List of Figures

1.1 1.2	Different types of observable distress in asphalt surfaces [1]. All crack types belong to the "structural capacity and structural integrity" distress class The data collection system for road maintenance. A special car collects road images from attached cameras [2]. The second step is evaluating road damage indexes based on road images. Finally, a method to repair the road	2
	is decided based on the surface damage	3
2.1	Crack Analysis on road images [3]. Sub-figure (a) shows a plot of the in- tensity of a crack image in three dimensions $(x, y, intensity)$ . Sub-figure (b) shows two different illuminations when light approaches the edge of the crack and the bottom of the crack. Sub-figure (c) indicates the direction of	
	the crack.	13
2.2	Improved Canny method result [4]. The left image is original image, the right image is the result from the Canny method. The technique is successful in removing black-white dot noise in the image, but does not preserve the	
	continuity of cracks.	16
2.3	Edge detection experimentation results (pavement image 1): (a) original image, (b) Robert edges, (c) Sobel edges, (d) Prewitt edges, (e) LOG edges, (f) Canny edges, (g) à trous algorithm-based edges at scaling 21, (h) à trous algorithm-based edges at scaling 22, and (i) à trous algorithm-based edges	
	at scaling 23 [5]	17
2.4	Gabor filter applied to a road image. The left image is the original image, the right image is result from the Gabor fiter [6]. The detected cracks seem	
0.5	bigger than the real cracks.	18
2.5	right shows the NDHM result. The NDHM method is sensitive to noise as	10
2.6	Shown by the many black dots detected	19
	detect the paint as a crack.	20
2.7	Geometric crack as a Gaussian function [9]. A crack intensity can be mod- elled as a Gaussian function with the darkest value (lowest intensity) in the	
	middle of the crack.	21

2.8	Fatigue crack examples [10]. The high level severity is indicated when the cracks are highly connected. This type of crack is aligned with the direction	
2.9	of movement of the traffic	31
2.10	is as small as $0.3m \times 0.3m$ or as big as $3m \times 3m$ Edge cracks [10] are cracks near the unpaved shoulder. Edge cracks connect	32
2.11	the edge stripe and the edge of pavement	33
2.12	the middle	33
2 13	surface layer and is reflected by the road surface. Reflection cracks look like transverse cracks	34
2.10	cracks have width from as small a value as 3 mm to as large a value as 20 mm.	34
3.1	The proposed framework for road crack detection and segmentation. The inputs are original images with a big size of $750 \times 1900$ . The output of the first stage is small samples containing cracks. The second stage segments	
3.2	the crack samples and produces binary images containing only cracks The proposed two-stage model for detection and segmentation. The first stage is used for detection, and the second stage is used for segmentation. The output of the first stage is the input of the second stage. The main	43
0.0	layers of the architecture are convolutional layers, down and up pooling layer, fully connected layer, and activation function.	45
3.3	Examples of samples and ground truth for the 2StagesCrack dataset. The first row are negative samples containing many kinds of noise and artifacts. The second row are positive samples containing diverse cracks, from weak	
3.4	to strong cracks. The third row is the ground truth of the second row samples. Examples of images and ground truth for the CrackIT dataset. The two first rows are negative and positive samples, respectively. The two last rows are ground truth indicating the center of each crack with a width of 1 pixel	51
35	(the third row) and the entire width of the crack (the last row)	51
0.0	for the 2StagesCrack dataset.	55
3.6	Experiment on an image with a long, single crack and a large shadow	59
3.7 3.8	Experiment on an image with a short, single crack and a large shadow Experiment on an image with a connected crack on a wet surface with dotty	60
0.0	noise	61
3.9	Experiment on connected, wet cracks captured under weak light conditions.	62
3.10	Experiment on a crack under a shadow.	63
3.11	Experiment on thin cracks in the CrackIT dataset.	64

4.1	Proposed architecture for upsampling a point cloud by combining a low- resolution point cloud with a 2D image. The input is the combined data from high-resolution images and their matched point clouds. The point cloud upsampling model is based on a GAN framework that contains both generative and discriminative sub-models. The expected output is a high-	
4.2	resolution point cloud	71
4.3	the point cloud and image	71
4.4	Examples of images and their corresponding point clouds. The first column shows the original images. The second and the third columns show ground- truth point clouds viewed from the top and an oblique angle respectively. The last two columns show input low-resolution point clouds viewed from the top and an oblique angle respectively.	78
4.5	Examples of generated point clouds with different uniformities created us- ing two existing methods and the proposed method compared with the ground truth. The first column shows results from the Point Cloud Super Resolution (PCSR) method, the second column shows results from the PU- GAN method. The third column shows the proposed method's results using point-pixel combination, and the last column shows the ground truth point clouds	80
4.6	Examples of generated point clouds from five different methods and three different sets of input data. The two first columns contain input images and input low-resolution point clouds. The third column contains ground truth point clouds. The subsequent three columns are results from three previous methods, PU-Net, PCSR and PU-GAN. The last two columns are results from the proposed method with the two different approaches for combining image and point cloud information in the CAN architecture	81
4.7	Image and point cloud information in the GAN architecture Comparing results from the proposed method and other methods in terms of filling a gap in the point clouds. The first column shows ground truth point clouds, the second shows results obtained by PU-GAN, and the last column shows results obtained from the proposed method. The ground truth in figure (a) shows a visible gap on the edge of the crack, while the ground truth in figure (b) shows a sparse crack edge	81

5.1	Workflow for the analysis and classification of crack characteristics. The segmented results from the segmentation model are used to analyze and calculate crack indexes. Following this, cracks are classified based on crack features such as width and length	88
5.2	Example of crack characteristics calculation. The input image is processed with the detection and segmentation model. The thinned skeleton of the crack is extracted from the segmented results and used for crack length calculation. Crack width is calculated using the distance from the two	00
	edges of the crack to the thinned skeleton	88
5.3	Three ways to estimate the crack length: (a) the skeleton of a crack with spurious branches, (b) the main skeleton or the middle line of the crack, (c)	
	the two edges of the crack	90
5.4	An example of the crack width calculation. The original image contains	
	cracks in many different directions. The boundaries of the cracks are deter-	
	mined by edge detection using the Canny method. Then the middle crack	
	and two separated edges are extracted. Finally, crack width and length are	
	calculated and shown in a distribution	94
5.5	The Bresenham line is used to define a pair of edges of a crack. $A$ and $B$ are two edge pixels close together. The Bresenham line determines correctly	
	that $B$ and $C$ are corresponding edge pixels and $A$ is not a corresponding	
	edge pixel for either $B$ or $C$	95
5.6	Experiment on cracks in a noisy image from the CrackIT data set	98
5.7	Experiment on thinned skeleton cracks from the CrackIT data set	99
5.8	Experiment on a image with many cracks and artifacts like paint	100
5.9	Experiment on a thinned skeleton and short crack	101
5.10	Experiment on small cracks in a low contrast image containing many bubbles.	102
5.11	Experiment on a range of cracks from thin to large in an image contains an	
	ink stain.	103

# List of Tables

2.1	Comparing two-stage architectures used for detection and segmentation	29
2.2	Types of cracks and severity levels	35
3.1	Comparison of datasets.	52
3.2	Precision and Recall of different models in the Detection stage	54
3.3	Precision and Recall of different models in the Segmentation stage	55
3.4	F1-score for different combinations of detection and segmentation for the	
	2StagesCrack dataset.	56
3.5	The MCC score for the examined methods at the Segmentation stage for	
	the three datasets.	56
3.6	Total parameters and testing time for the 2StagesCrack dataset. $\ldots$ .	57
4.1	Comparisons of Chamfer distance and Hausdorff distance measurements	80
4.2	Results in low-resolution and high-resolution crack point cloud classification.	84
5.1	TP, FP, FN and TN in traditional PRC	91
5.2	New definitions of TP, FP, FN and TN	92