

# Downstream Semi-Circular Obstacles' Influence on Floods Arising from the Failure of Dams with Different Levels of Reservoir Silting

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## ABSTRACT

Dam-break wave-propagation in a debris flood event is strongly influenced by accumulated reservoir-bound sediment and downstream obstacles. For instance, the Brumadinho dam disaster in January 2019 released  $12 \times 10^6$  m<sup>3</sup> of mud and iron tailings and inflicted 270 casualties. The present work was motivated by the apparent lack of experimental or numerical studies on silted-up reservoir dam-breaks with downriver semi-circular obstacles. Accordingly, 24 dam-break scenarios with different reservoir sediment depths and with or without obstacles were observed experimentally and verified numerically. Multiphase flood waves were filmed, and sediment depths, water levels and values of front wave celerity were measured to improve our scientific understanding of shock wave propagation over an abruptly changing topography. The strength of OpenFOAM software in estimating such complex phenomenon was assessed using two approaches: Volume of Fluid (VOF) and Eulerian. An acceptable agreement was attained between numerical and experimental records (errors ranged from 1 to 13.6%), with the Eulerian outperforming the VOF method in estimating both sediment depth and water level profiles. This difference was most notable when more than half of the reservoir depth was initially filled by sediment ( $\geq 0.15$  m) and particularly in bumpy bed scenarios.

**Keywords:** Silted-Up Dam-break, Semi-Circular Obstacle, Image Processing, Abruptly Changing Topography, Multiphase Shock Wave, OpenFOAM

## 33 INTRODUCTION

34 Sediment deposition in dam-bound reservoirs has become a considerable and widely occurring  
35 problem, posing a serious challenge to the design of and completion of dams (Vischer and Hager 1998).  
36 The problem is particularly critical for smaller reservoirs lacking a bottom outlet system, as these  
37 frequently become completely silted-up (Vischer and Hager 1998). Floods, which involve the mixing  
38 of a massive saturated sediment layer with free surface water, occur predominantly during dam-break  
39 events that are coupled with silted-up reservoirs (Shi et al. 2019). Considering the complex phenomena  
40 generated by such events, the behaviour of three phases must be considered: air, clear water (no  
41 sediment), subtended by a saturated sediment level (Duarte et al. 2011).

42 A break in a silted-up dam results in the movement of dense sediment deposited in the reservoir and  
43 may lead to irreparable destruction and casualties. Infrastructure and agricultural areas located along  
44 the dam, downstream of the dam, or in the lower reaches of adjacent river basins may be buried under  
45 a large quantity of mud and debris flow. For instance, in January 2019, Brazil's Brumadinho dam-break  
46 released roughly  $12 \times 10^6 \text{ m}^3$  of iron ore tailings and mudflow, which destroyed houses, farms, inns,  
47 mine's offices, and roads downstream from the dam. In the Brumadinho township, many agricultural  
48 areas were affected or totally destroyed, and at least 270 people died (Wikipedia 2019).

49 Interactions between reservoir water and the large volume of sediment stored in dam reservoirs  
50 strongly affect sediment layer motion and flood propagation (Yang 1996). In addition, structures and  
51 installations located in flood-prone areas downstream from a dam may act as obstacles to a flood's  
52 propagation following a dam-break, with potentially harmful consequences in terms of the collapse of  
53 remaining structures. The presence of such obstacles in the flood plain adjoining the river may also  
54 influence flood characteristics, such as wave velocity and depths downstream from obstacles.  
55 Consequently, the accurate prediction of silted-up dam-break flow behaviour over natural terrain and  
56 bumpy downstream reaches is vital to prevent and mitigate catastrophic flood disasters.

57 Although the failure of dams retaining water-filled reservoirs (no sediment) is a major consideration  
58 in hydraulic engineering and has been widely scrutinised numerically and experimentally, only a few  
59 studies have investigated the severe problems of sedimentation in dam reservoirs (Duarte et al. 2011).

60 Most relevant experimental (Xue et al. 2011) and numerical studies (Wu and Wang 2007; Valiani et al.  
61 2002) on reservoirs with sedimentation were only recently conducted in the 21<sup>st</sup> century. The  
62 characteristics of dam-breaks and their resulting flood waves were extensively investigated and  
63 documented for clear water, with experiments addressing a wide range of upstream and downstream  
64 initial simple conditions but only simple one- or two-dimensional scenarios (Wu and Wang 2007;  
65 Valiani et al. 2002). While past studies mostly focused on constant bed scenarios (Crespo et al. 2008),  
66 the role of sediment movement in failure of a dam with a mobile bed has lately gained more attention  
67 (Postacchini et al. 2014; Evangelista et al. 2013). To the best of the authors' knowledge, there are limited  
68 numerical or experimental studies concerning dam-break multiphase shock flood waves from a  
69 reservoir with a high degree of silting.

70 Recently, the incorporation of downstream obstacles into increasingly complex mobile-bed dam-  
71 break scenarios has been studied (Issakhov and Imanberdiyeva 2019; Kattel et al. 2018; Kamra et al.  
72 2019; Mokhtar et al. 2019). Specifically, these works used considered obstacles of various geometric  
73 shapes, such as a vertical wall (Mokhtar et al. 2019), triangular-shaped barriers (Ozmen-Cagatay et al.  
74 2014), lateral sidewalls (Kocaman et al. 2012), vertical cylinder (Kamra et al. 2019), single cube (Aureli  
75 et al. 2015), and group of cubes (Goseberg et al. 2016; Güney et al. 2014). Moreover, there have been  
76 extensive numerical studies on the influence of obstacles on dam-break phenomena (Gallegos et al.  
77 2009; Hänsch et al. 2014; Jeong et al. 2012; Saghi and Lakzian 2019; Singh et al. 2011). A dam-break  
78 is usually investigated numerically with triangular downstream obstacles (Kattel et al. 2018; Cheng et  
79 al. 2017; Saghi and Lakzian 2019; Singh et al. 2011) or a group of cubes to represent an urban area  
80 (Jeong et al. 2012; Wang et al. 2017). However, similar scenarios with a vertical wall (Hänsch et al.  
81 2014), single cube (Aureli et al. 2015), trapezoidal obstacles (Issakhov et al. 2018; Kattel et al. 2018),  
82 and groups of obstacles that represent vegetation have also been studied numerically (He et al. 2017).  
83 Since typical forms of obstacles in nature are semi-circular (e.g., humps and hill-like barriers), rounded  
84 downstream obstacles with different cross-sections were investigated in the present study to better  
85 reflect natural terrain. To the authors' knowledge, such obstacles have rarely been presented in the  
86 literature compared to triangular and trapezoidal obstacles.

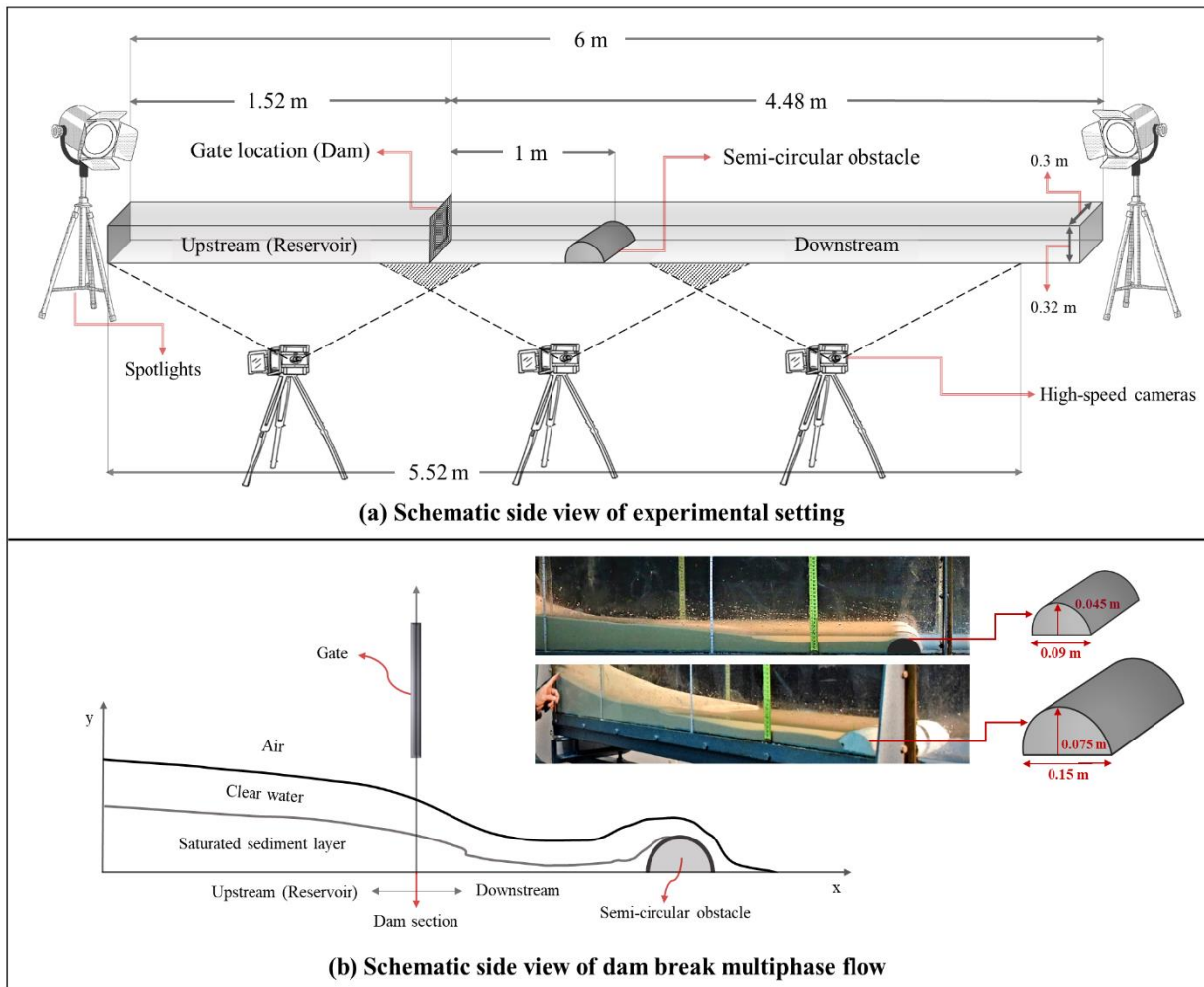
87 Shock floods arising from dam-break phenomena on a mobile bed and sediment motion in open  
88 channel flows are critical issues and have been investigated both numerically and experimentally (Shi  
89 et al. 2019; Fu and Jin 2016; Zhang and Wu 2011; Mambretti et al. 2008). Nevertheless, there is a great  
90 distinction between the usual sediment height in a typical reservoir or river bed and the high sediment  
91 level in a silted-up reservoir, which has rarely been addressed (Duarte et al. 2011).

92 A rigorous literature review revealed the limited amount of studies on the behaviour of floodwaters  
93 when they meet a semi-circular obstacle in situations where the failed dam had a silted-up reservoir.  
94 Therefore, our study is novel in that it involved both experimental analysis and numerical verification  
95 of multi-layer shock wave characteristics (e.g., water level, sediment depth, and wave celerity) in a  
96 situation where semi-circular obstacles were present. Various upstream sediment depths, which  
97 occupied 10-80% of the reservoir's total height, combined with the downstream presence or absence of  
98 semi-circular obstacles of various cross-sections at a specified distance from a dam section, created 24  
99 different scenarios. The experimental results were carefully filmed using high-speed professional  
100 cameras. Experimental data, including water levels and sediment depths along the experimental flume,  
101 have been provided and can be used for validation in other studies. The numerical portion of the current  
102 research verified the 24 dam-break experimental scenarios via OpenFOAM software using two distinct  
103 methods (OpenFOAM 2015): VOF (Volume of Fluid) and Eulerian. Laboratory records were  
104 rigorously compared with the predictions of both numerical methods.

## 105 **EXPERIMENTAL MODELLING**

106 All experiments were performed in the Hydraulic Lab of Shiraz University (Iran). The dimensions  
107 of the studied rectangular channel were 0.3 m width, 6 m length, and 0.32 m depth, including a  
108 horizontal smooth bed. The bottom was steel, and both lateral walls were glass. The length of the flume  
109 was partitioned via a moving gate installed to create a reservoir with a length of 1.52 m (Fig. 1a), and a  
110 downstream channel with a length of 4.5 m (Vosoughi 2018). Dam-break waves were produced in the  
111 downstream part of the flume by the instantaneous release of reservoir water. The effects of semi-  
112 circular downstream obstacles on multiphase flood waves in an initially dry-bed downstream were  
113 examined. The schematic illustration of the three-phase shock wave propagating along the flume and

114 over the hump is detailed in Fig. 1b. Representing natural topography, the hump can lead to a sudden  
 115 change in flood wave propagation in certain parts of the downstream channel.

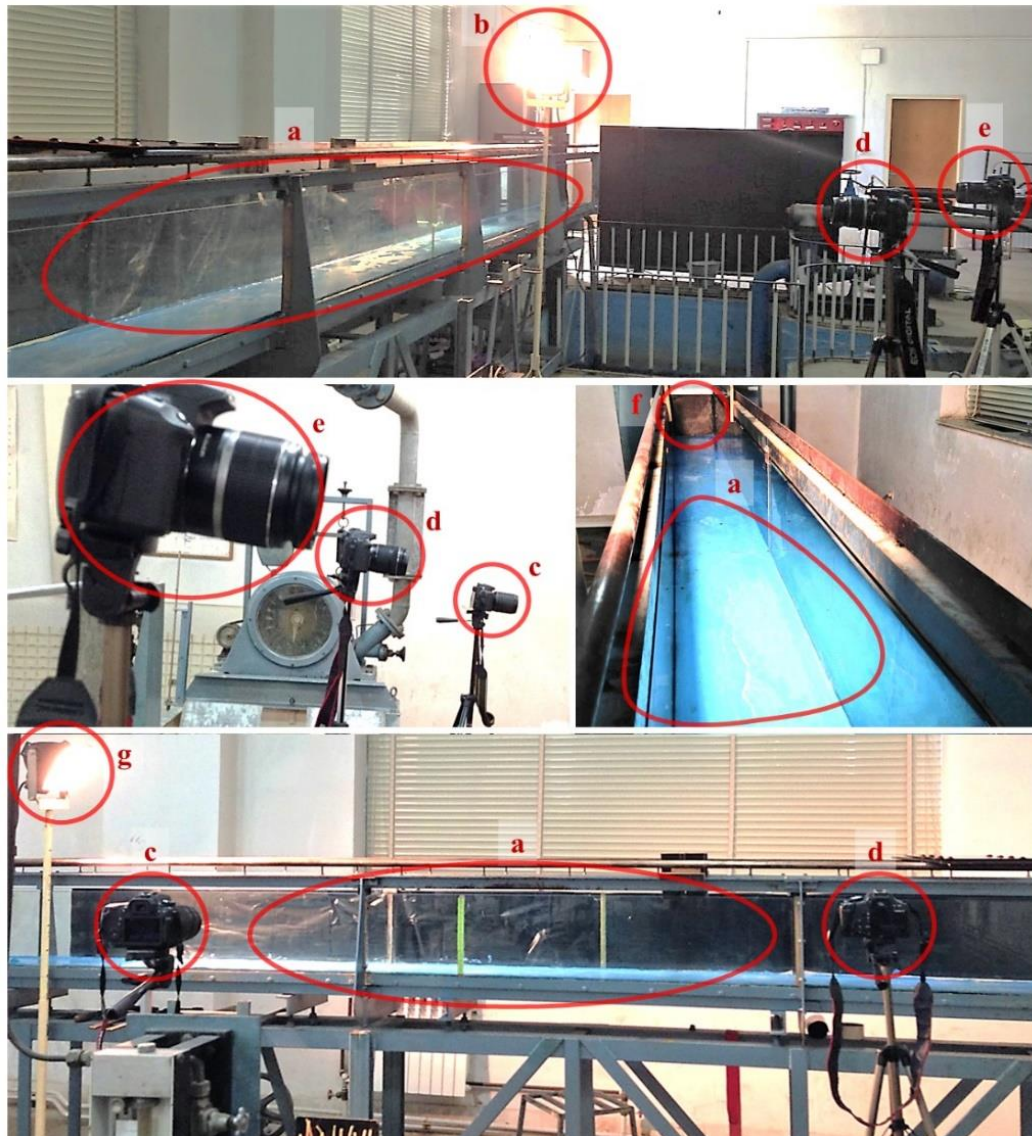


**Fig. 1.** Schematic side view of (a) experimental setting (b) dam break multiphase flow. a) Plan view of flume components, instruments' locations and the obstacle position. All not to scale (Vosoughi 2018). b) Side view of silted-up dam break wave propagation over a downstream semi-circular obstacle and the schematic geometry of obstacles

116 *Experimental set-up*

117 The experimental environment, facilities, and instruments used in this study are shown in Figures  
 118 2a-g. Throughout the 6-month study, three high-speed cameras were mounted in fixed positions at equal  
 119 intervals over the 5.52 m stretch of the flume (Figs. 2c-e) to record videos and collect high-quality water  
 120 level, sediment depth. Two powerful spotlights were located at each channel extremity to provide  
 121 illumination. The flume was equipped with a sudden opening gate (i.e., the dam) and a position to affix  
 122 the semi-circular obstacle downstream from the dam (Fig. 1). The gate consisted of two plates made of  
 123 Plexiglass separated by a wider rubber layer (to prevent leakage at the edges) and totalled 0.01 m in

124 thickness. Two powerful wooden clamps were attached to the gate to make it more stable. Grease was  
125 applied to the edges of the gate to allow for easier motion. The beginning of the reservoir was blocked  
126 by two walls fabricated with Styrofoam sheets and medium-density fibreboard (MDF).



**Fig. 2.** Experimental environment and facilities; (a) highly exposed zone inside the flume, (b & g) right and left spotlights, respectively, (c, d & e) first, middle and third cameras, respectively, (f) onset of the reservoir (channel's first point)

127 In order to simulate a silted-up reservoir, a quartz sand mixture with uniform grain sizes of mainly  
128 0.2-0.4 mm in diameter (see Supplementary Material; Table S1 and Fig. S1) served as the experimental  
129 dam-retained sediment. Prior to each test, the sediment was rinsed and desiccated, the reservoir was  
130 filled to the required sediment depth, and the horizontal surface of the sediment layer was smoothed by  
131 hand with a putty knife. Water was then injected at a very low rate into the reservoir up to a height

132 ( $h_0$ ) of 0.3 m. After each test, the flume was cleaned and dried in order to keep adhesive forces between  
133 the flume and other materials relatively constant.

134 To physically simulate dam-break flow, a complex mechanism was constructed and installed in the  
135 flume with a gate (dam) that could be suddenly lifted. The sluice gate release time should be smaller  
136 than  $\sqrt{2(h_0/g)}$ , where  $g$  represents the acceleration of gravity (Lauber and Hager 1998). Considering  
137  $h_0 = 0.3$  m, the greatest release time was calculated as 0.247 s. However, the actual sluice gate release  
138 time ranged from 0.08 to 0.16 s, which was confirmed using high-speed videos.

### 139 *Experimental scenarios*

140 A reservoir with clear water (no sediment) and seven distinct depths of sediment ( $S_0 = 0.03, 0.075,$   
141  $0.15, 0.175, 0.2, 0.22,$  and  $0.24$  m) were tested as the initial conditions in the upstream to scrutinize  
142 different silted-up flood depths and velocities. A completely dry bottom with or without an obstacle  
143 was set as the downstream hydraulic conditions. Scenarios in the absence of downstream obstacles  
144 (smooth bed) were considered to obtain an appropriate comparison basis for other initial conditions.

145 Two humps (semi-circular obstacles) with various cross-sections (0.045 and 0.075 m) were firmly  
146 installed at the bottom of the downstream channel (1 m after the dam section) and further stuck to the  
147 channel's side walls using rubber sheets. Both obstacles were made of Styrofoam sheets with a  
148 schematic geometry, as illustrated in Fig. 1b; the obstacles displayed lengths of 0.3 m and widths of  
149 0.09 and 0.15 m. A relatively heavy weight was bolted to the obstacle's downstream side to provide  
150 sufficient stability during flood wave impacts. The obstacles'  $O_r/h_0$  ratios were 0.15 and 0.25, where  
151  $h_0$  and  $O_r$  represents the initial reservoir water level and downstream obstacle's radius, respectively.  
152 Table 1 lists the 24 scenarios examined in this research, outlining the upstream sediment depths and  
153 downstream hydraulic conditions. To verify repeatability, achieve high quality data, and ensure reliable  
154 results, scenarios were repeated twice. Changes between experimental replicates remained under 3%.

### 155 *Data collection and image processing*

156 A digital image processing technique was applied to measure the required physical parameters from  
157 silted-up dam-break shock waves over a bumpy downstream bed. The high-quality data were acquired



158 by simultaneous imaging with three high-speed digital cameras (Canon EOS 70D) that covered the  
 159 entire channel length and operated at fifty frames per second. All raw recorded videos had a resolution  
 160 of 1920×1080 pixels (Full HD/1080p). To create sufficient contrast for filming and avoid uncontrolled  
 161 lights and reflections, the surrounding laboratory was partly isolated using black curtains installed  
 162 behind the cameras. Windows were covered with thick dark plastic sheets, and a black curtain was  
 163 installed on opposite side of the flume’s wall to mask objects behind it. Three video files were obtained  
 164 from the cameras after each experiment and transferred to the computer. Required parameters, including  
 165 water levels and sediment depths, were extracted by analysing the first 300 frames (6 s @ 50 fps).

166 **Table 1.** List of the experimental scenarios investigated in the present study

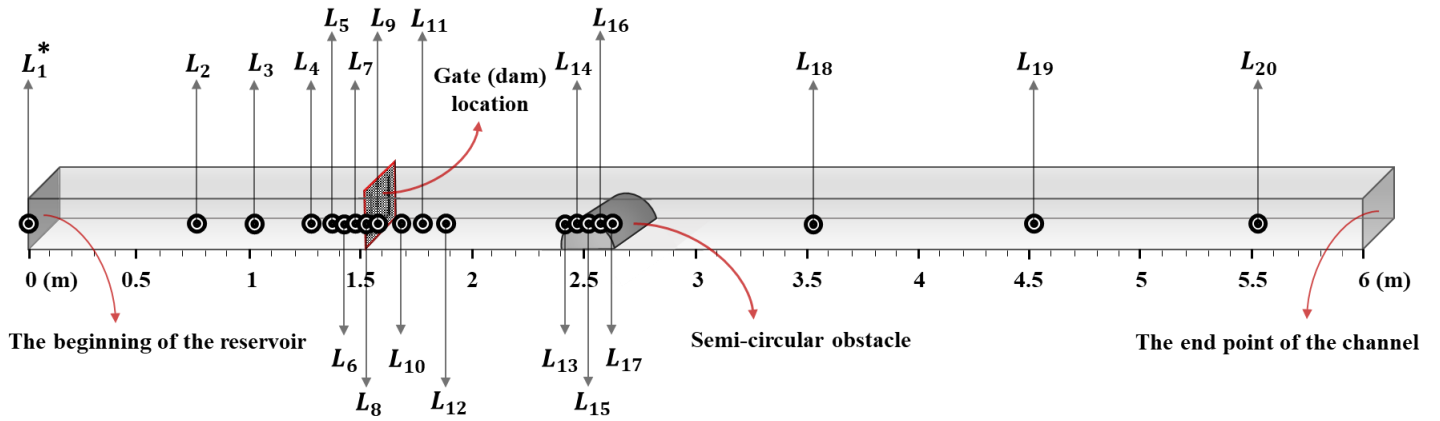
# Scenarios	$S_0$ (m)	$\frac{S_0}{h_0}$ (%)	$O_r$ (m)	$\frac{O_r}{h_0}$ (%)	# Scenarios	$S_0$ (m)	$\frac{S_0}{h_0}$ (%)	$O_r$ (m)	$\frac{O_r}{h_0}$ (%)
<b>1</b>	Clear water	0	No obstacle	0	<b>13</b>	0.0175	58.3	No obstacle	0
<b>2</b>	Clear water	0	0.045	15	<b>14</b>	0.0175	58.3	0.045	15
<b>3</b>	Clear water	0	0.075	25	<b>15</b>	0.0175	58.3	0.075	25
<b>4</b>	0.03	10	No obstacle	0	<b>16</b>	0.2	66.7	No obstacle	0
<b>5</b>	0.03	10	0.045	15	<b>17</b>	0.2	66.7	0.045	15
<b>6</b>	0.03	10	0.075	25	<b>18</b>	0.2	66.7	0.075	25
<b>7</b>	0.075	25	No obstacle	0	<b>19</b>	0.22	73.3	No obstacle	0
<b>8</b>	0.075	25	0.045	15	<b>20</b>	0.22	73.3	0.045	15
<b>9</b>	0.075	25	0.075	25	<b>21</b>	0.22	73.3	0.075	25
<b>10</b>	0.15	50	No obstacle	0	<b>22</b>	0.24	80	No obstacle	0
<b>11</b>	0.15	50	0.045	15	<b>23</b>	0.24	80	0.045	15
<b>12</b>	0.15	50	0.075	25	<b>24</b>	0.24	80	0.075	25

**Note:**  $S_0$  = Initial upstream sediment depth (m);  $O_r$  = Obstacle radius (m).

167 More than 10 measuring rulers with an accuracy of  $\pm 1$  mm were mounted vertically on the sides of  
 168 the flume, roughly 0.50 m apart along its length, and used to measure water levels and sediment depths  
 169 at any arbitrary point along the flume. Several rulers monitored by two adjacent cameras were employed  
 170 as references to align the extracted photographs. Two striped rulers, each with an accuracy of  $\pm 1$  mm,  
 171 were pasted horizontally on the upper and lower portions of the lateral wall. The study evaluated 20  
 172 positions along the flume (Fig. 3): 0.00, 0.76, 1.02, 1.27, 1.37, 1.42, 1.47, 1.52, 1.57, 1.67, 1.77, 1.87,  
 173 2.42, 2.47, 2.52, 2.57, 2.62, 3.52, 4.52, and 5.52 m. Fifteen post-dam-break snaps were assessed: 0.04,  
 174 0.08, 0.12, 0.2, 0.3, 0.4, 0.6, 0.8, 1, 1.5, 2, 3, 4, 5, and 6 s. A total of 1080 video images (24 different  
 175 scenarios, 15 snap times and 3 cameras) were rigorously analysed. Image editing was required to obtain



176 adequate contrast and minimize interfering lights and reflections. Consequently, the sharpness,  
 177 brightness, contrast, and colour of the photos were adjusted to better identify the interfaces between air,  
 178 water, and sediment layers. This procedure was repeated for all images.



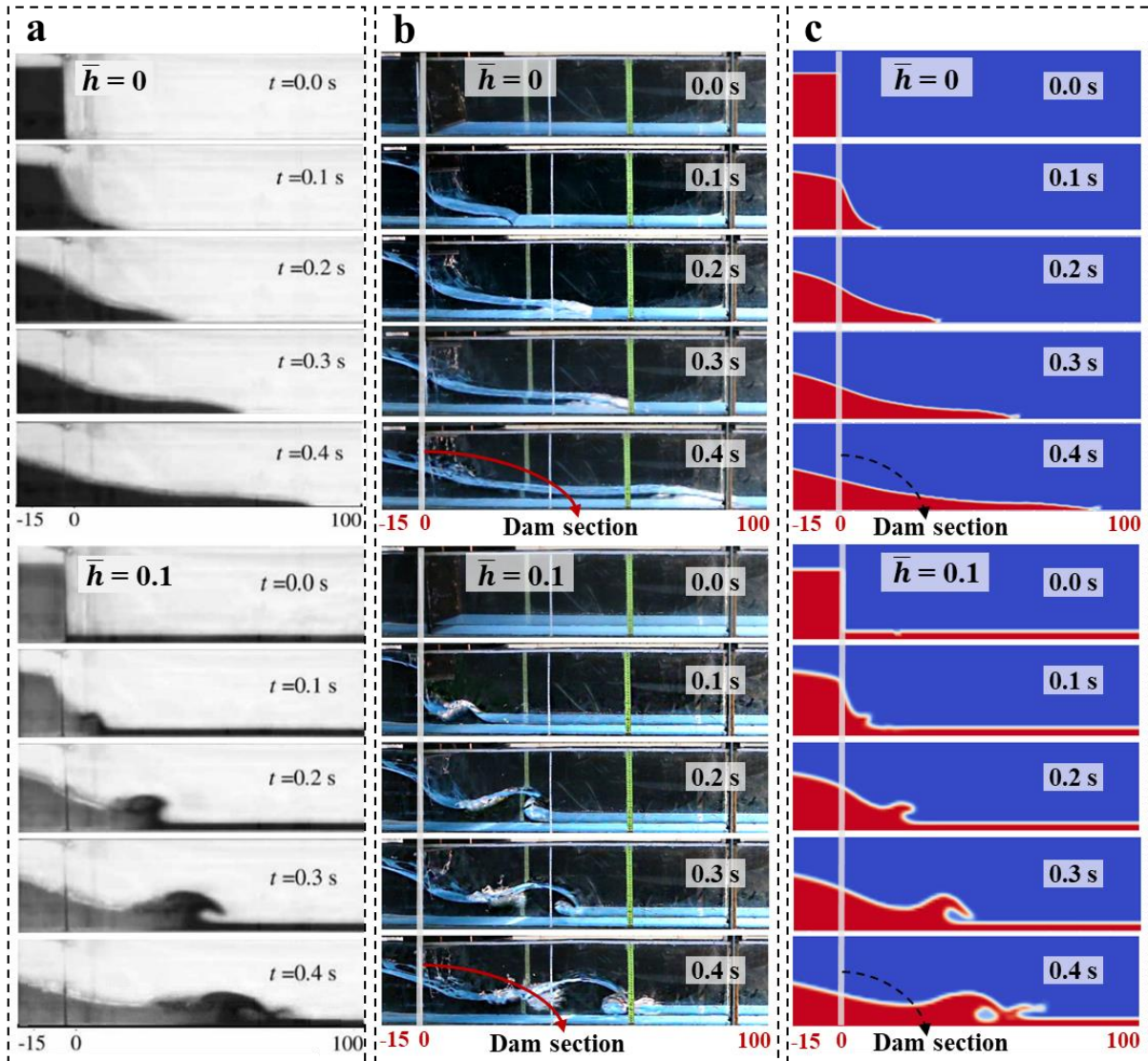
\*  $L_i$  : Surveyed locations (sections) throughout the channel

**Fig. 3.** A graphic picture of the experimental channel; L1 to L20 are depth gauging locations

179 A non-disturbing procedure was consecutively employed for each video image via Grapher<sup>®</sup>. After  
 180 initiating the coordinates of at least two confirmed positions in each photograph on a diagonal line,  
 181 clicking on any random spot would lead to a presentation of the coordinates of that spot on the  $x$ - and  
 182  $y$ -axes. Hence, the development of water and sediment depths with time ( $h$  versus  $t$ ) could be acquired  
 183 precisely from photographs for any arbitrary chosen spot, without any flow interruptions resulted from  
 184 experimental devices. The sediment depth and water level profiles along the flume could thus be  
 185 obtained at any time after the dam-break. Although this procedure was laborious, it led to high-quality  
 186 and precise outcomes. Since two physical parameters were extracted from 20 locations along the flume,  
 187 all images comprised 14400 data points (2 parameters  $\times$  20 locations  $\times$  15 times  $\times$  24 scenarios); these  
 188 are available online in the public repository accompanying this study (Vosoughi et al. 2021a; b; c). The  
 189 practical purpose of performing this process was to accurately investigate the areas buried by the  
 190 sediment layer at specific times and snapshots (time-steps) after the dam-break.

191 To validate the experimental modelling records, a specific dam-break scenario was modelled both  
 192 numerically and experimentally for various initial downstream bed conditions, including dry- and wet-  
 193 beds (Vosoughi 2018). Outcomes were evaluated by comparison with results of previously published

194 reports (Fig. 4) (Ozmen-Cagatay and Kocaman 2010). Fig. S2 in the Supplementary Material compares  
 195 the experimental records quantitatively with experimental measurements from the literature (LaRocque  
 196 et al. 2013). A set of experimental video images at 12 snap times after the failure of the dam (from 0 to  
 197 40 s) when the initial sediment depth in the reservoir was 0.22 m and a 0.075-m-tall obstacle was  
 198 mounted on the downstream channel bottom is presented in the Supplementary Material (Fig. S5).



**Fig. 4.** Image-based comparison of dam-break shock waves side views  $h(x)$  at various time snaps: (a) available laboratory records (Ozmen-Cagatay and Kocaman 2010), (b) current laboratory records (Vosoughi 2018), and (c) OpenFOAM results (Vosoughi 2018). Unit of length is cm, and  $\bar{h}$  is the ratio of downstream initial water depth to initial upstream height of 0.3 m

## 199 NUMERICAL MODELLING

200 In the numerical modelling portion of the study, an open-source and license-free CFD  
 201 (computational fluid dynamics) package called OpenFOAM was employed (OpenFOAM 2015). This

202 software is the best-known and most frequently used free CFD package, which operates on the Linux  
203 kernel operating system. Its source code is easily expandable as it employs the object-oriented  
204 programming language, C++ (Openfoamwiki 2020). As previously mentioned, numerical modelling  
205 concerning the effect of semi-circular obstacles on the multi-layer waves arising from a silted-up dam-  
206 break has not been performed to-date. For the presented study, the purpose of performing this numerical  
207 process was to verify the relative responsiveness of simple numerical models, which are also publicly  
208 available, to predict this complex phenomenon and experimental data.

### 209 *Euler-Euler approach*

210 The Euler-Euler approach is a dominant numerical method in OpenFOAM to model multi-layer  
211 flows, in which each phase is mathematically treated as a continuum. Thus, these models are called  
212 “multi-fluid models”, which can appropriately demonstrate separated flows where each phase may be  
213 categorized as a continuum. The Euler-Euler method may also be utilized to model discrete flows, where  
214 the full movement of phases is of interest rather than exploring a single phase. This approach is  
215 particularly helpful when detecting the boundary between phases is preferred (Nilsson 2010). In order  
216 to specify a discrete phase as a continuum, the particles’ volume fraction must be high; therefore, this  
217 method is appropriate for modelling condensed flow. Additionally, since particles are spread and, yet,  
218 defined as a continuous layer, conservation equations may be employed to model such layers  
219 (OpenFOAM 2015).

220 Once a silted-up flood propagates, the saturated sediment deposit might act similarly to a viscous fluid  
221 until it stops completely and the water layer flows smoothly over its top (Duarte et al. 2011). In this  
222 study, the saturated sediment phase was assumed to be a highly viscous fluid. The three Euler-Euler  
223 multiphase models in OpenFOAM (OpenFOAM 2015) included the volume of fluid (VOF), Eulerian,  
224 and mixture models. The wave following the failure of a silted-up dam and how it propagates over  
225 downstream semi-circular obstacles was simulated using VOF and Eulerian models. It is pertinent to  
226 mention that these models were applied in this study as it was assumed that all phases were continuous.

### 227 *Volume of fluid (VOF) model*

228 The volume of fluid (VOF) model is a subset of the Euler-Euler approach, where each phase is  
 229 treated as a continuum. Although interpenetrating of the layers is not allowed, the purpose of this  
 230 method is to model non-miscible (layered) multi-fluids particularly when the interface position among  
 231 the fluids is significant. This method considers a particular group of equations of continuity and  
 232 momentum, which are resolved and shared for each flow layer, whilst the fluid volume fraction is  
 233 tracked in each of the cells within the computational domain. The VOF model can address a wide  
 234 spectrum of issues, such as free surface flows and dam-break waves. In this study, VOF simulations  
 235 were ran using the *interFoam*, and *multiphaseInterFoam* solvers.

236 In the VOF model, the properties used in the governing equations are defined for all phases in each  
 237 of the control volumes. For instance, in a dual-phase model, if the second phase's volume fraction is  
 238 being tracked, the density,  $\rho$ , for each of the cells is presented by (Torres et al. 2021):

$$239 \quad \rho = \alpha_2 \rho_2 + (1 - \alpha_2) \rho_1 \quad (1)$$

240 where  $\alpha_2$  is the water fraction; and  $\rho_1$  and  $\rho_2$  are the densities of air and clear water, respectively.

241 Generally, for a  $k$ -phase model, the volume-fraction-averaged viscosity,  $\mu_m$ , and the volume-  
 242 fraction-averaged density,  $\rho_m$ , take on the following forms (Barbosa et al. 2019; Wang et al. 2020b):

$$243 \quad \mu_m = \sum_{i=1}^k \mu_i \alpha_i \quad (2)$$

$$244 \quad \rho_m = \sum_{i=1}^k \rho_i \alpha_i \quad (3)$$

245 Then, the apparent viscosity,  $\mu_m$ , and density,  $\rho_m$ , of multiphase silted-up flood waves are calculated  
 246 as  $\mu_m = \mu_1 \alpha_1 + \mu_2 \alpha_2 + \mu_3 \alpha_3$  and  $\rho_m = \rho_1 \alpha_1 + \rho_2 \alpha_2 + \rho_3 \alpha_3$ , in which,  $\mu_1$ ,  $\mu_2$  and  $\mu_3$  are the  
 247 viscosities and  $\rho_1$ ,  $\rho_2$  and  $\rho_3$  are the densities of air, clear water, and saturated sediment layer,  
 248 respectively. Each phase fraction,  $\alpha_k$ , is also defined in relation to the other phase fractions, whereby  
 249 the sum of all volume fractions should be equal to 1 (Wang et al. 2020b):

$$250 \quad \sum_{i=1}^k \alpha_i = 1 \quad (4)$$

251 In this study, the air fraction,  $\alpha_1$  is defined in relation to the water fraction,  $\alpha_2$ , and saturated  
 252 sediment layer fraction,  $\alpha_3$ , while  $\alpha_1 + \alpha_2 + \alpha_3 = 1$ .

253 The three-phase flood wave equations solved in the VOF method for isothermal and incompressible  
 254 flow are presented below as a group equation of continuity and momentum (Barbosa et al. 2019; Miliani  
 255 et al. 2021; Panda et al. 2017; Torres et al. 2021; Wang et al. 2020a; b):

$$256 \quad \frac{\partial \rho_m}{\partial t} + \nabla \cdot (\rho_m \mathbf{U}) = 0 \quad (5)$$

$$257 \quad \frac{\partial \rho_m \mathbf{U}}{\partial t} + \nabla \cdot (\rho_m \mathbf{U} \mathbf{U}) = -\nabla \mathbf{P} + \nabla \cdot \boldsymbol{\tau} + \rho_m \mathbf{g} + \mathbf{S} = 0 \quad (6)$$

258 where  $\rho_m$  represents the volume-fraction-averaged density;  $\mathbf{U}$  is the velocity;  $\mathbf{g}$  is the “gravitational  
 259 acceleration”;  $\boldsymbol{\tau}$  denotes the “viscous stress tensor”;  $\mathbf{P}$  is pressure; and  $\mathbf{S}$  is the force due to the “surface  
 260 tension”. The “viscous stress tensor”,  $\boldsymbol{\tau}$ , is also described as (Barbosa et al. 2019; Wang et al. 2020b):

$$261 \quad \boldsymbol{\tau} = \mu_m [(\nabla \mathbf{U}) + (\nabla \mathbf{U})^T] \quad (7)$$

262 where  $\nabla \mathbf{U}$  denotes the gradient of the velocity. In Cartesian coordinates,  $\nabla \mathbf{U}$  is the Jacobian matrix, and  
 263  $(\nabla \mathbf{U})^T$  is the transpose of the gradient  $\nabla \mathbf{U}$  (Wang et al. 2020a). In OpenFOAM, there are two surface  
 264 tension models: the “continuum surface force” (CSF) and “continuum surface stress” (CSS). In the CSF,  
 265 the nonconservative form of the surface tension force,  $\mathbf{S}$ , may be described as (Wang et al. 2020b):

$$266 \quad \mathbf{S} = \sigma K \nabla \alpha \quad (8)$$

267 where  $\sigma$  is the “surface tension constant”;  $\alpha$  represents the volume fraction of the fluid; and  $K$  is the  
 268 “curvature of the surface”, given by (Wang et al. 2020b):

$$269 \quad K = -\nabla \cdot \left( \frac{\nabla \alpha_k}{|\nabla \alpha_k|} \right) \quad (9)$$

270 where  $\nabla \alpha = \hat{n}$  is the vector normal to the interface (see Eq. 17); and the surface tension constant,  $\sigma$ , is  
 271 set to be a constant (0.07 N/m) for all phases (Table 2). Also, considering  $\alpha_k$  as a function of time in  
 272 order to approximate the interphases’ position, the transport equation for  $\alpha_k$  must be solved:

$$273 \quad \frac{\partial \alpha_k}{\partial t} + \nabla \cdot (\alpha_k \mathbf{U}) = 0 \quad (10)$$

274 OpenFOAM applies the interface capturing technique (Weller 2008) by introducing an additional  
 275 compressive term in Eq. (10). The extended transport equation for the volume fraction  $\alpha_k$  used in  
 276 *multiphaseInterFoam* solver may be described as:

$$277 \frac{\partial \alpha_k}{\partial t} + \nabla \cdot (\mathbf{U} \alpha_k) + \nabla \cdot [\mathbf{U}_r \alpha_k (1 - \alpha_k)] = 0 \quad (11)$$

278 where the relative velocity,  $\mathbf{U}_r$ , is employed in the interface to compress the “volume fraction” area and  
 279 keep the interface sharp (Wang et al. 2020b); and  $\alpha_k(1 - \alpha_k)$  is a nonzero term that guarantees that the  
 280 compression term is effective in the interface area.  $\mathbf{U}_r$ , is also presented by (Barbosa et al. 2019):

$$281 \mathbf{U}_r = \min(C_\alpha |\mathbf{U}|, \max(|\mathbf{U}|)) \frac{\nabla \alpha}{|\nabla \alpha|} \quad (12)$$

282 where the compressibility coefficient,  $C_\alpha$ , is applied to control interfacial compression. The *min*  
 283 operator is locally operated on each cell’s face, and the *max* operator is globally operated in the entire  
 284 domain. The  $\nabla \alpha / |\nabla \alpha|$  adds the interface unit vector normal to the direction in which  $\mathbf{U}_r$  is applied.  
 285 Since  $C_\alpha$  can be any amount  $\geq 0$ , if  $C_\alpha \leq 1$ , then Eq. (12) becomes (Barbosa et al. 2019):

$$286 \mathbf{U}_r = C_\alpha |\mathbf{U}| \frac{\nabla \alpha}{|\nabla \alpha|} \quad (13)$$

287 where  $C_\alpha$  in the *multiphaseInterFoam* solver simplifies to a binary coefficient that shifts to 1 for  
 288 interface sharpening “on” and 0 for “off”.

### 289 *Eulerian model*

290 The most complicated multi-layer model in OpenFOAM is the Eulerian model, where each phase is  
 291 considered as an interpenetrating layer, and a set of  $k$  continuity and momentum equations is resolved  
 292 separately for each phase. The model’s computational time is, therefore, much longer than that of VOF,  
 293 but a specific pressure is allocated by all phases. This model is particularly applicable to flows where  
 294 fluid boundaries may mix together (interpenetrate) through the process, e.g., multiphase fluids with  
 295 miscible boundaries. The Eulerian multi-layer method in OpenFOAM enables the modelling of several  
 296 distinct, yet, interpenetrating layers. The layers may be solids, gases, and liquids in each combination.  
 297 In this study, such simulations were done using the highly efficient solver *multiphaseEulerFoam*. The

298 multiphase flow equations for  $k$  continuous phases solved in the Eulerian model are shown below as a  
 299 group of continuity and momentum equations (Fluent 2013; OpenFOAM 2015; Wang et al. 2020b):

$$300 \quad \frac{\partial \alpha_k \rho_k}{\partial t} + \nabla \cdot (\alpha_k \rho_k \mathbf{U}_k) = 0 \quad (14)$$

$$301 \quad \frac{\partial \alpha_k \rho_k \mathbf{U}_k}{\partial t} + \nabla \cdot (\alpha_k \rho_k \mathbf{U}_k \mathbf{U}_k) = -\alpha_k \nabla \mathbf{p} + \nabla \cdot \boldsymbol{\tau}_k + \alpha_k \rho_k \mathbf{g}_k + \mathbf{S}_k = 0 \quad (15)$$

302 where  $\mathbf{U}_k$  is the mean velocity field; subscript  $k$  refers to the  $k^{\text{th}}$  continuous phase;  $\mathbf{p}$  is the mean  
 303 pressure; and  $\boldsymbol{\tau}_k$  is the  $k^{\text{th}}$  phase stress tensor, given by (Barbosa et al. 2019):

$$304 \quad \boldsymbol{\tau}_k = \alpha_k \mu_k [(\nabla \mathbf{U}_k) + (\nabla \mathbf{U}_k)^T] \quad (16)$$

305 The *multiphaseEulerFoam* solver applies additional limits called “Multidimensional Universal  
 306 Limiter with Explicit Solution” (MULES) on the result of the phase transport equations to guarantee  
 307 the phase conservation against the boundedness in the results of hyperbolic problems. (Nilsson 2010).  
 308 Theoretical details of MULES (Section S2) and comprehensive aspects of the OpenFOAM setup  
 309 (Section S13) are provided in the Supplementary Materials.

### 310 *Initial and boundary conditions, and computational domains*

311 Owing to the experimental flume dimensions, the computational area was 6-m long, 0.32-m deep,  
 312 and 0.3-m wide. Two semi-circular obstacles with 0.045 and 0.075-m radii were specified and  
 313 positioned 1 m downstream of the dam section. The silted-up flood wave was modelled as a three-phase  
 314 incompressible system (air-water-sediment layer) using VOF and Eulerian methods, including the  
 315 influence of kinematic viscosity, density, and surface tension. The simulation input data are given in  
 316 Table 2. A sloping bed may lead to an increase or decrease in flood wave propagation (Dias and Dutykh  
 317 2007), which can be affected by parameters, such as bed roughness (Bocchiola et al. 2006). To keep the  
 318 numerical models as simple as possible, flume and obstacle sides in connection with the flood wave  
 319 were assumed to be smooth, and a horizontal bed was used. However, the influence of such factors on  
 320 silted-up dam-break flood waves require further exploration.

321 Under the initial conditions, the experimental reservoir (1.52-m long, 0.3-m wide, and 0.3-m deep)  
 322 was filled to the required level with a saturated sediment deposit. The remainder of the reservoir was



323 filled by sediment-free water, and everything above was deemed air. To define boundary conditions, as  
 324 there was no lateral inflow, the beginning of the flume, bottom, and side boundaries were chosen as the  
 325 “*wall functions*”. The static contact angle at the walls was set to  $90^\circ$  for all combinations of mixtures in  
 326 order to avoid the use of the surface tension force between the wall and fluid. The normal vector,  $\hat{n}$ , to  
 327 the interface of the wall can be described as (OpenFOAM 2015):

$$328 \quad \hat{n} = n_w \cos(\theta_{eq}) - n_t \sin(\theta_{eq}) \quad (17)$$

329 where  $n_w$  is the unit vector pointing towards the wall;  $\theta_{eq}$  is the static contact angle set to  $90^\circ$ ; and  $n_t$   
 330 is the unit vector tangential to the wall pointing toward the fluid. The interface of the fluid is then, in  
 331 fact, normal to the wall. If  $\theta_{eq}$  is less than  $90^\circ$ , this would indicate that the fluid wets the wall. The  
 332 downstream endpoint of the experimental flume was set as a “*pressure outlet*”, and the flume’s upper  
 333 edge was selected as a “*pressure inlet*” considering atmospheric pressure. There was no set contact  
 334 angle since the fluids should never come in contact with this region. Hence, when the simulation was  
 335 initiated, the gravitational force led to sudden movement of reservoir’s content.

336 **Table 2.** The simulation input data

	Units	Name in OpenFOAM	Air	Water	Saturated packed sediment layer
<b>Kinematic viscosity</b>	$m^2 s^{-1}$	nu	$1.48 \times 10^{-5}$	$1.0 \times 10^{-6}$	$6.27 \times 10^{-2}$
<b>Density</b>	$kg m^{-3}$	rho	<b>1.0</b>	$1.0 \times 10^3$	$2.08 \times 10^3$

**Note:** Surface tension or sigma in OpenFOAM was set at  $0.07 (N m^{-1})$ .

### 337 *Computational meshes and time steps*

338 In this study, 8 mesh sizes (30, 25, 20, 15, 10, 5, 3.3, and 2.5 mm) were adopted to analyse the  
 339 results. Considering the error values and runtimes, rectangular cube cells with a length, width, and depth  
 340 of 0.005 m were designated. Hence, the resulting 3D solution domain was discretized into a total of 4.6  
 341 million cube cells. A finer variable mesh size (up to 2 mm) was applied as it approached the obstacle’s  
 342 crest at an interval of 0.1 m before and after the obstacle to better simulate that region. After rigorously  
 343 analysing several distinct time steps (0.01, 0.005, 0.001, and 0.0005 s), a constant time step of 0.001 s

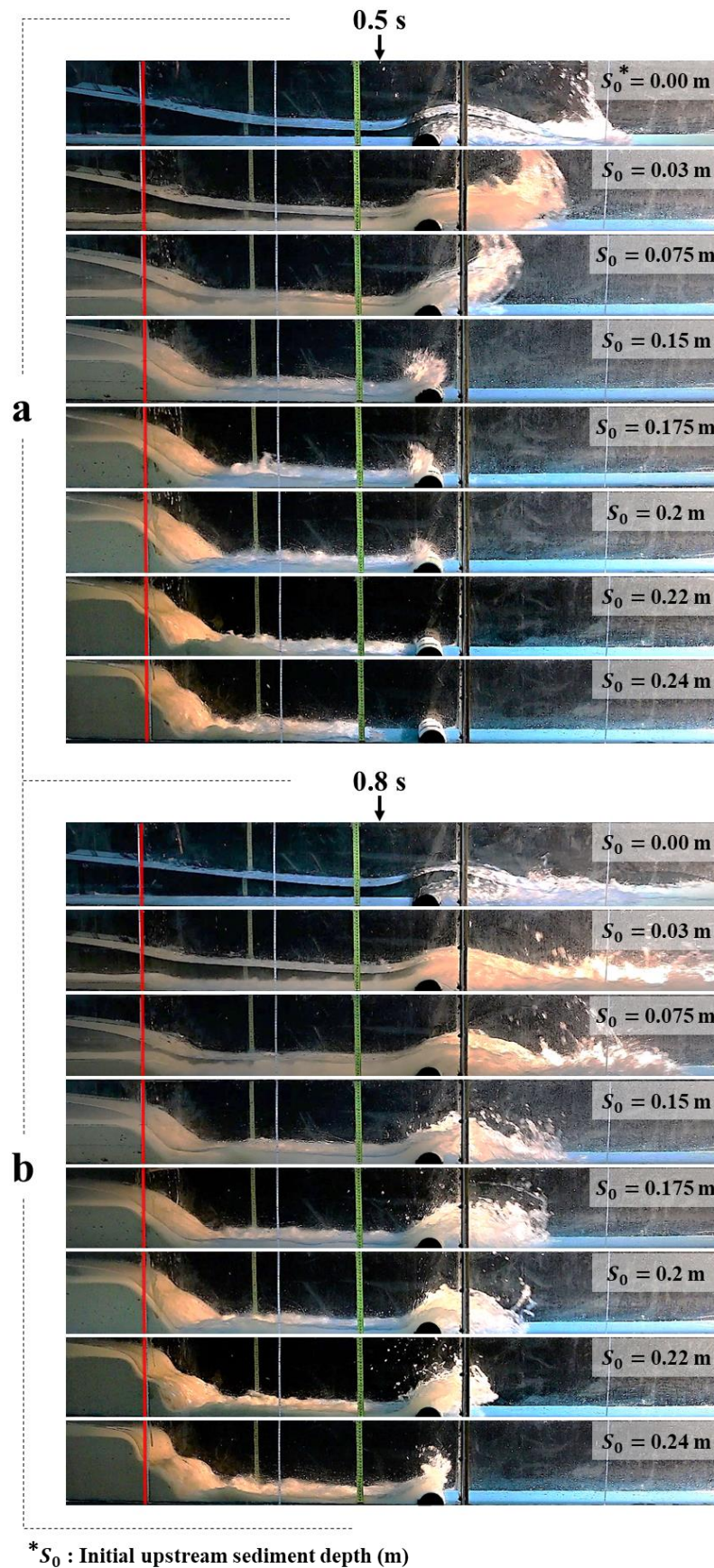
344 was adopted due to the error values, runtime, and the courant number. Comprehensive details of time  
345 step and mesh size analyses are provided in the Supplementary Materials (Section S14).

346 In order to validate the experimental records and OpenFOAM predictions, a specific dam-break  
347 scenario was modelled both numerically and experimentally with various downstream hydraulic  
348 conditions: initially dry or wet downstream (Vosoughi 2018). Results were evaluated through  
349 comparison with an experimental study (Ozmen-Cagatay and Kocaman 2010). Accordingly, Fig. 4,  
350 depicts a group of photograph-based comparisons at several snap-times following the failure of the dam,  
351 to visually assess the outcomes of the current research compared to other research results. Figs. S3 and  
352 S4 (see Supplementary Materials) compare the numerical predictions of OpenFOAM both visually and  
353 quantitatively with available experimental measurements (LaRocque et al. 2013). A group of VOF  
354 replication results at a reservoir initial sediment height of 0.015 m and with an obstacle radius of 0.045  
355 m located downstream is described in the Supplementary Materials (Fig. S7).

## 356 **RESULTS**

### 357 *Experimental results*

358 Fig. 5 displays a set of experimental images that can serve as a visual comparison of sediment depth  
359 and free surface water level profiles for different initial reservoir sediment depths. All images were  
360 extracted from two specific time snaps, 0.5 and 0.8 s after dam failure, in the presence of a downstream  
361 semi-circular obstacle with a radius of 0.045 m. As the reservoir sediment layer height increased, the  
362 flood wave propagated more slowly. For instance, for the water-filled reservoir (sediment-free) at 0.5 s  
363 after the dam-break, the flood wave had already hit and passed over the obstacle by about 0.8 m;  
364 however, with initial reservoir sediment layer heights of 0.22 and 0.24 m, the wave had not even reached  
365 the obstacle. The wave's tip was thrown up and forward after it hit the obstacle, and the sediment layer  
366 stretched and dampened as it advanced downstream. A further set of experimental video images was  
367 classified for all different upstream sediment depths and a semi-circular obstacle of radius 0.075 m,  
368 which is presented in the Supplementary Materials (Fig. S6).

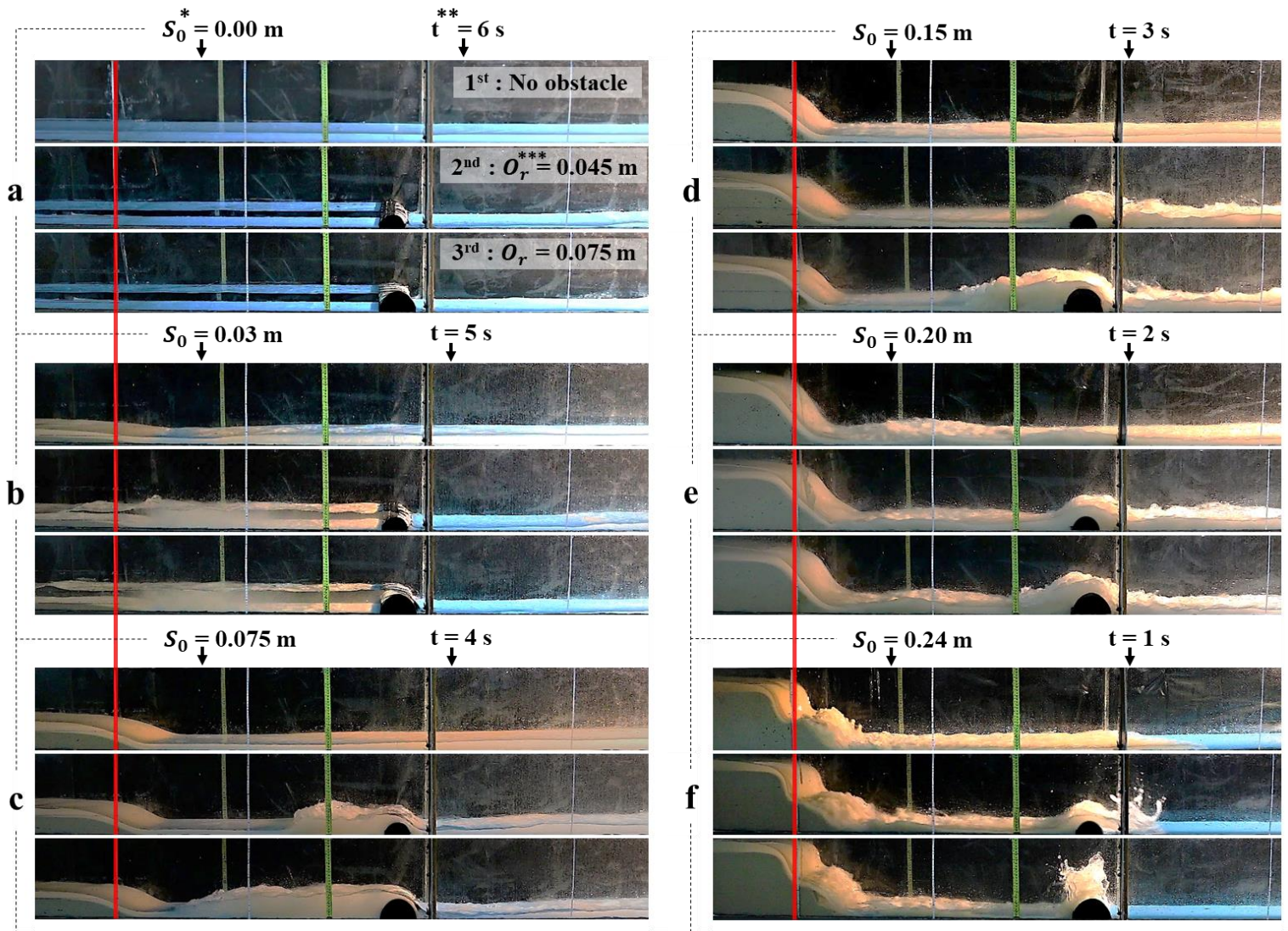


\*  $S_0$  : Initial upstream sediment depth (m)

**Fig. 5.** A visual comparison of experimental images at 0.5 s (a) and 0.8 s (b) after dam break, for 8 different initial upstream sediment depths ( $S_0$ ); 0, 0.03, 0.075, 0.15, 0.175, 0.2, 0.22 and 0.24 m, when a semi-circular obstacle with radius 0.045 m is located downstream. A vertical line represents the gate section



370 distinct upstream sediment depths (0, 0.03, 0.075, 0.15, 0.2 and 0.24 m). Three different downstream  
 371 conditions were evaluated: no obstacle (top image), obstacle with a radius of 0.045 m (middle image)  
 372 and obstacle with a radius 0.075 m (bottom image). Figs. 6a-c show that the water level dropped  
 373 significantly after passing the obstacle, compared to the water level in the absence of an obstacle.  
 374 Although the larger obstacle led to a shallower flood downstream, the flood wave before the obstacle  
 375 was proportionately deeper (Figs. 6a-d). As the downstream obstacle increased in height, the dam-break  
 376 wave propagated more slowly, and the front wave celerity subsequently decreased (Fig. 6f).



\* $S_0$  : Initial upstream sediment depth (m); \*\* $t$  : The time after dam break (s); \*\*\* $O_r$  : The obstacle's radius (m)

**Fig. 6.** Six sets of ternary images, each showing 3 different downstream conditions; absence of obstacle, presence of semi-circular obstacle with radius of 0.045 m and 0.075 m. For different times of 6, 5, 4, 3, 2, and 1 s after dam-break and upstream sediment depths of 0, 0.03, 0.075, 0.15, 0.2 and 0.24 m respectively (a-f). A vertical line represents dam section

377 Using video images, front wave celerity values were carefully measured at four intervals, each 1 m  
 378 in length, along the flume portion downstream from the dam. The first downstream interval ranged  
 379 1.52-2.52 m from the beginning point of the reservoir, and the other intervals were 2.52-3.52, 3.52-  
 380 4.52, and 4.52-5.52 m. The values of front wave celerity in the mentioned intervals are classified in  
 381 Tables S2-S4, one for each of downstream condition, in Section S7 of the Supplementary Materials,  
 382 with additional technical details. The average computed dam-break front wave celerity along the  
 383 downstream channel for the 24 different scenarios are presented in Table 3.

384 **Table 3.** Average computed front wave celerity through the channel (m/s)

Average front wave celerity (m/s)			
Initial depth of sediment in the reservoir (m)	Downstream initial condition		
	No obstacle	Obstacle with 0.045 m radius	Obstacle with 0.075 m radius
0	2.32	2.13	2.10
VAR	0.01	0.01	0.02
0.03	2.26	2.08	2.01
VAR	0.01	0.02	0.03
0.075	2.17	1.94	1.90
VAR	0.01	0.02	0.04
0.15	2.04	1.70	1.65
VAR	0.01	0.06	0.08
0.175	1.92	1.60	1.53
VAR	0.01	0.08	0.10
0.20	1.75	1.52	1.42
VAR	0.03	0.08	0.11
0.22	1.65	1.42	1.35
VAR	0.02	0.06	0.09
0.24	1.28	1.20	1.03
VAR	0.04	0.06	0.10

**Note:** VAR = Variance value ( $m^2/s^2$ ).

385 Based on Table 3, the initial depth of reservoir sediment strongly influenced wave celerity: the  
 386 greater the initial reservoir sediment depth, the slower the shock wave progression. Lower water depths  
 387 on top of the sediment coat led to a decrease in celerity of the front wave. The presence of a downstream  
 388 obstacle also led to a reduction in multiphase wave celerity once the shock wave hit the obstacle, where  
 389 the taller obstacle reduced the front wave celerity more than the shorter obstacle. In all scenarios, the  
 390 mean front wave celerity values caused by the dam-break along the flume varied from 1 to 2.3 m/s,  
 391 depending on the upstream and downstream initial hydraulic conditions. The variances of all average

392 celerity values are presented in Table 3 (VAR line). The computed variances were minor and fluctuated  
393 between 0.01 and 0.1 m<sup>2</sup>/s<sup>2</sup>. The maximum variance occurred in the scenarios where the flood depth  
394 suddenly dropped due to the presence of a downstream obstacle, resulting in a noticeable decrease in  
395 wave celerity.

#### 396 *Comparison of experimental measurements and numerical results*

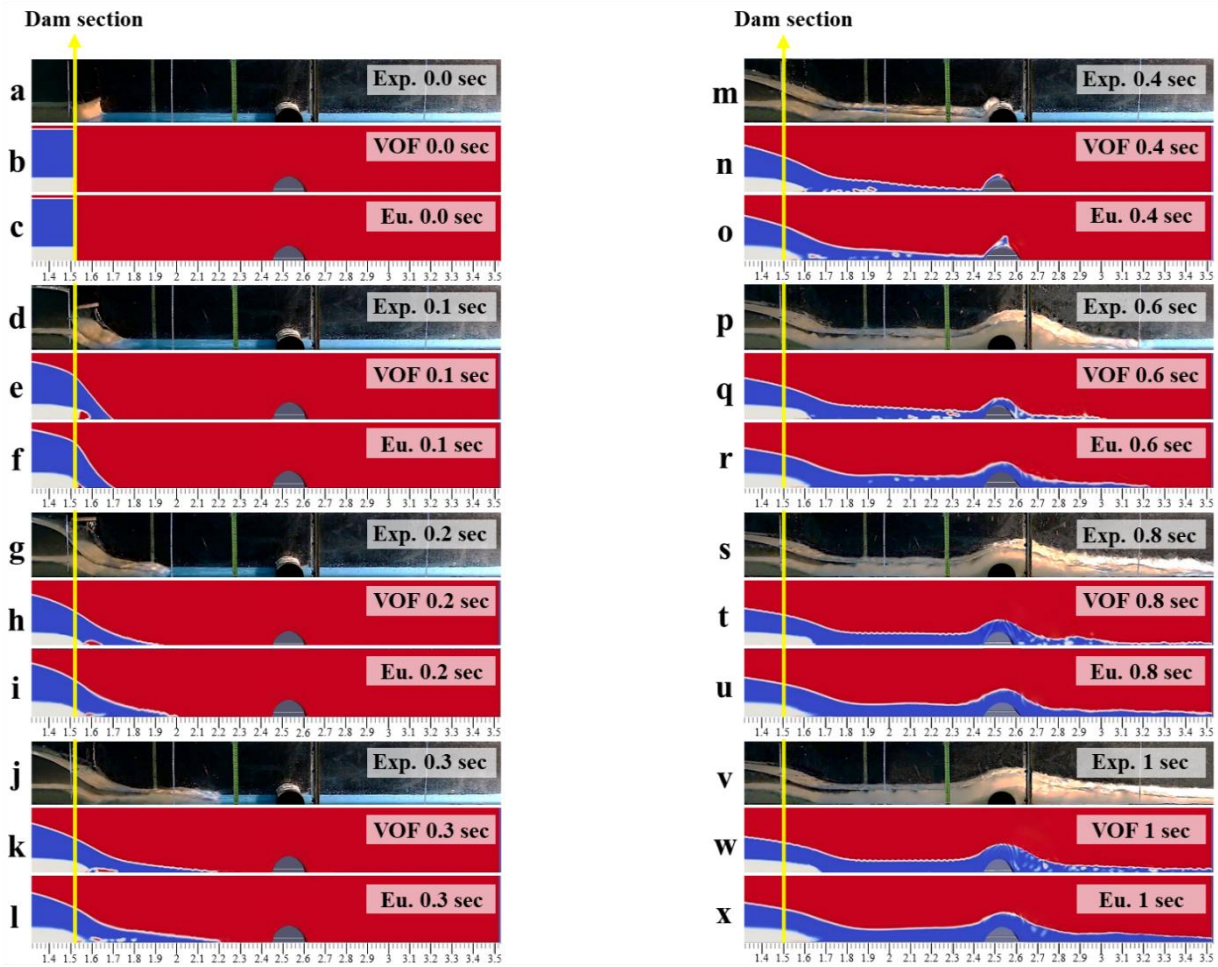
397 As described in the Experimental Modelling section, a total of 20 positions along the channel and  
398 15 time-snaps following dam-break were selected to obtain the required data. A short distance between  
399 two adjacent locations along the dam was set to capture the sudden depth changes and high turbulence  
400 in that region. Once the dam-break occurred, the intervals between time snaps were brief and then  
401 increased with time.

#### 402 *Flow pattern comparison*

403 Image comparisons provided in this section and the Supplementary Materials (Section S8) visually  
404 illustrate the experimental and numerical conditions. The images were depicted below each other for 8  
405 different times (0, 0.1, 0.2, 0.3, 0.4, 0.6, 0.8, and 1 s) after the dam-break. A channel section about 2.2  
406 m in length was covered by the images (1.32 to 3.52 m from the beginning point of the reservoir). Fig.  
407 7 depicts an image comparison when the reservoir initial sediment height was 0.075 m and a semi-  
408 circular obstacle with a radius of 0.075 m was mounted downstream. Experimental images and VOF  
409 and Eulerian predictions are depicted below each other at the appropriate and comparable positions.

410 As can be seen from Figs. 7g-i, at 0.2 s, the flood wave reached 0.5 m downstream from the dam  
411 and the water level dropped about 7 cm at the dam section. At 0.8 and 1 s, the sediment coat had  
412 progressed about 13 cm downstream, and the sediment depth at the dam section decreased about 3 cm  
413 (Figs. 7s and v). For the VOF vs Eulerian predictions at 0.8 and 1 s, it is evident that the amount of  
414 sediment movement downstream and its depth at the dam section were similar. Although experimental  
415 records and both numerical results were in good agreement, the Eulerian method seemed to be more  
416 accurate in simulating this situation. Fig. S10 compares a set of experimental images to VOF results for  
417 a case with a water-filled reservoir (sediment-free) and a semi-circular obstacle of radius 0.045 m

418 located downstream from the dam. Fig. S11 shows a similar comparison when the reservoir initial  
 419 sediment height was 0.03 m.



**Fig. 7.** Image-based comparison of experimental records (a, d, g, j, m, p, s & v) versus VOF (b, e, h, k, n, q, t & w) and Eulerian results (c, f, i, l, o, r, u & x) indicating sediment depth and water level profiles, at various time snaps: 0 (a, b & c), 0.1 (d, e & f), 0.2 (g, h & i), 0.3 (j, k & l), 0.4 (m, n & o), 0.6 (p, q & r), 0.8 (s, t & u) and 1 (v, w & x) seconds. The reservoir initial sediment depth was 0.075 m and a semi-circular obstacle with radius of 0.075 m is mounted downstream from the dam. The vertical line represents the dam section

420 *Sediment depth and free surface water level profiles*

421 A selection from the large number of sediment depth and water level profiles based on data extracted  
 422 from video images is presented in this section to evaluate the influence of downstream semi-circular  
 423 obstacles on the multiphase flood wave propagation. All graphs represent both measured data (points)  
 424 and numerical predictions (lines). The  $h_t/h_0(-)$  ratio represents the nondimensional water level, where  
 425  $h_t$  is the height of the water at a particular time along the channel and  $h_0$  is the initial reservoir water



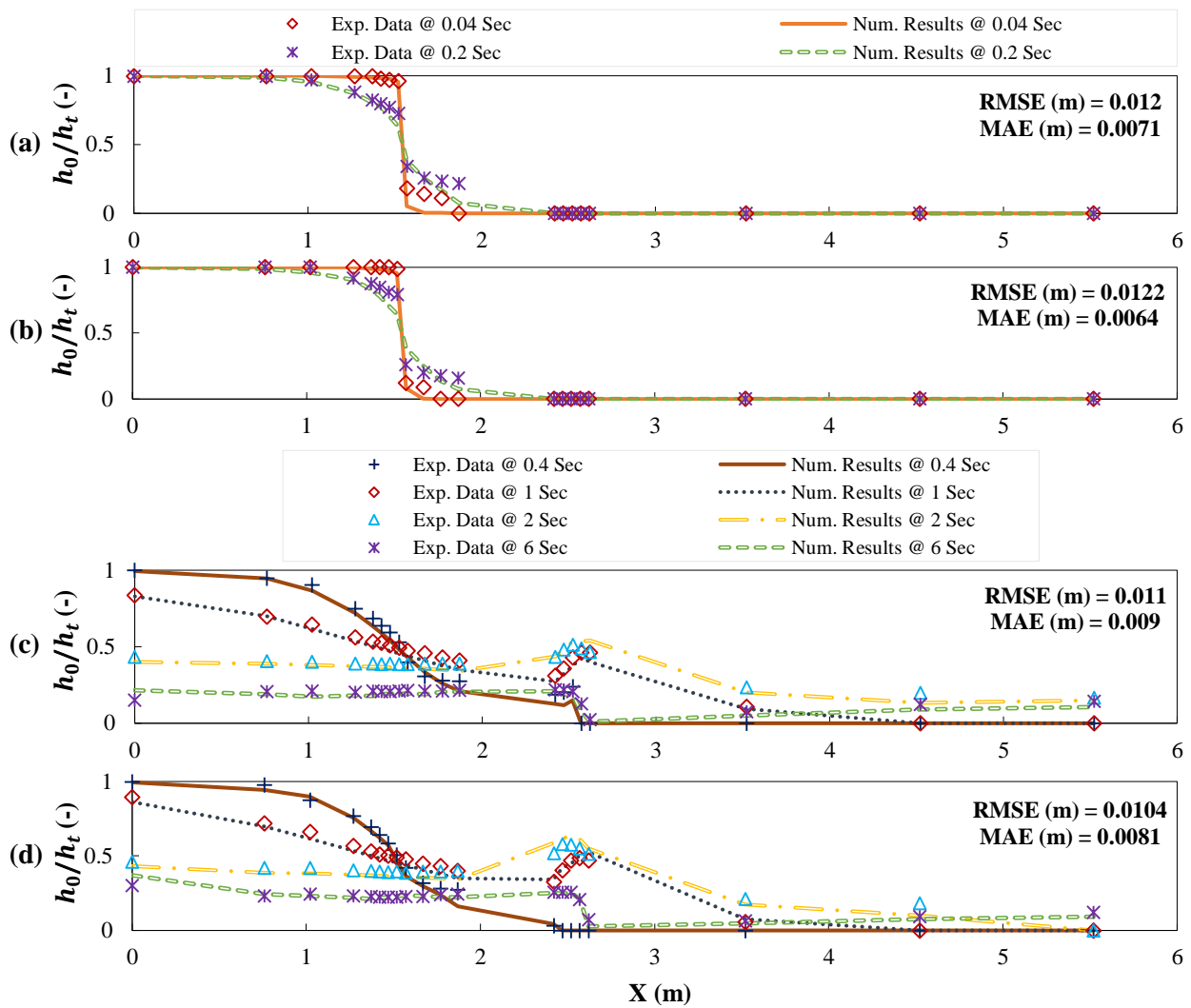
426 height (0.3 m in all cases). The ratio  $S_t/S_0(-)$  is the nondimensional sediment depth, where  $S_t$  is the  
427 sediment depth at a particular time along the flume and  $S_0$  is the initial upstream sediment depth.

428 Fig. 8 depicts the experimental vs VOF results in estimating the free surface water profile along the  
429 channel when the reservoir was filled with sediment-free water. Six distinct times after the dam-break  
430 are illustrated in Fig. 8: early times (0.04 and 0.2 s) and later times (0.4, 1, 2 and 6 s). Two different  
431 semi-circular obstacles with a radius of 0.045 m (a and c) or 0.075 m (b and d) were located 1 m  
432 downstream from the dam. The wave generated by the dam-break created a huge bulge after hitting the  
433 obstacle and passing over it. The taller the obstacle, the larger the bulge created in the flood wave and,  
434 consequently, the shallower the flood after the obstacle (Figs. 8c and d). Moreover, it seems that smaller  
435 changes occurred immediately after the dam-break (Figs. 8a and b). Considering the lack of significant  
436 statistical error values, there was a strong concurrence between the experimental and VOF results. The  
437 highest Mean Absolute Error (MAE) and Root Mean Square Error (RMSE) values were 0.009 and  
438 0.012 m, respectively, which were negligible relative to  $h_0 = 0.3$  m.

439 Figures 9 shows the VOF (a and c) and Eulerian (b and d) predictions vs experimental measurements  
440 at three time-snaps following the dam-break: 0.4, 2 and 6 s. Figure 9 also compares VOF (a) and  
441 Eulerian (b) results in determining the free surface water level profile when the initial sediment height  
442 in the reservoir was 0.15 m and a semi-circular obstacle with a radius of 0.045 m was mounted  
443 downstream. Both numerical methods and measured data were in agreement. The highest MAE and  
444 RMSE occurred for VOF results with values of 0.0174 and 0.026 m, respectively, and were very low  
445 compared to  $h_0 = 0.3$  m. Considering the values of statistical error indices, the Eulerian showed better  
446 concurrence with measured data than the VOF method. As can be seen, at 2 s, the water level increased  
447 sharply at the downstream obstacle location, then suddenly dropped after it, which was predicted well  
448 by both VOF and Eulerian methods.

449 An evaluation of the numerical outcomes in matching the measured sediment depth profile (Figs. 9c  
450 and d) for a reservoir initial sediment depth of 0.2 m and a semi-circular obstacle with radius 0.075 m  
451 mounted downstream showed the Eulerian method (max. RMSE = 0.0307 m and max. MAE = 0.0179  
452 m) outperformed VOF (max. RMSE = 0.0366 m and max. MAE = 0.0228 m). Assuming the reservoir

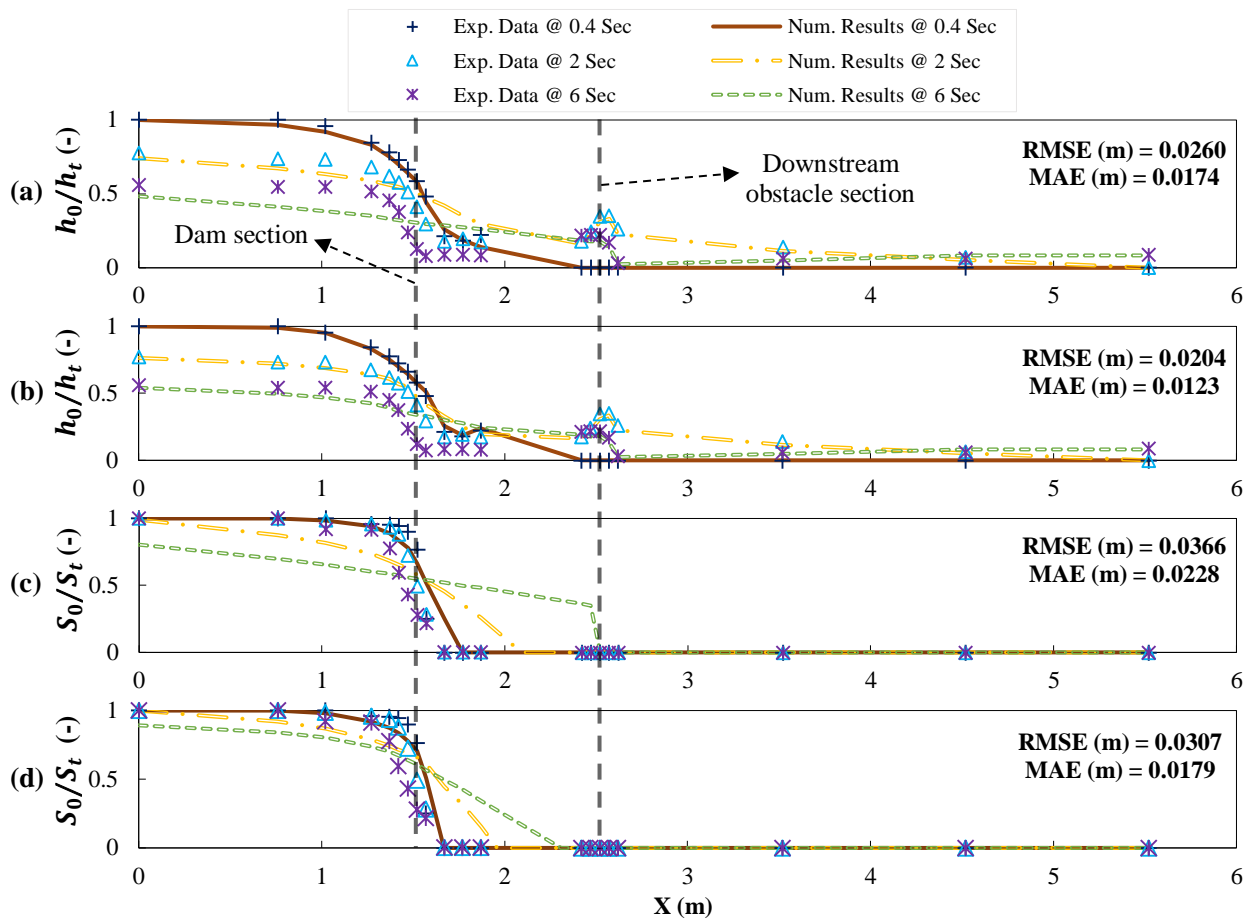
453 sediment coat to be a viscid fluid in numerical modelling, the outcomes were plausible. VOF predictions  
 454 indicated that, 6 s after the dam failure, the sediment layer had reached the obstacle and accumulated  
 455 behind it (Fig. 9c). In comparison, the Eulerian predictions suggested that the sediment layer had  
 456 reached a position just slightly before the obstacle (Fig. 9d.)



**Fig. 8.** Laboratory records vs VOF estimations in determining the water level profiles at distinct times; (a & b) 0.04 and 0.2 s, (c & d) 0.4, 1, 2 and 6 s. The reservoir was filled by sediment-free water and a semi-circular obstacle with a radius of 0.045 m (a & c) or 0.075 m (b & d) was mounted downstream from the dam. Dashed lines represent dam and downstream obstacle sections, respectively.  $h_t/h_0(-)$  is nondimensional water level along the flume, where  $h_0 = 0.3$  m

457 In general, comparisons with measured data demonstrate better Eulerian performances than VOF,  
 458 particularly in simulating a silted-up dam-break wave for a highly-silted reservoir and in modelling  
 459 multiphase flood wave propagation over a bumpy bed. Despite its better prediction accuracy, the

460 Eulerian approach suffers from longer computational times and more complex simulation conditions,  
 461 as shown in Table S6 (run times) in the Supplementary Materials (Section S11).



**Fig. 9.** Experimental measurements vs. both VOF (a & c) and Eulerian predictions (b & d) in determining profiles of water level (a & b) and sediment depth (c & d) at various time snaps of 0.4, 2 and 6 s. Reservoir initial sediment depth is 0.15 m (a & b) or 0.2 m (c & d). A semi-circular obstacle with radius of 0.045 m (a & b) or 0.075 m (c & d) is mounted downstream from the dam. Dashed lines represent dam and downstream obstacle sections, respectively.  $h_t/h_0(-)$  and  $S_t/S_0(-)$  are nondimensional water level and sediment depth along the flume and  $h_0 = 0.3$  m

462 Table 4 compares the RMSE and MAE values of the prediction of free surface water level profiles  
 463 under all various initial upstream and downstream conditions and at four time-snaps after the dam-break  
 464 (0.4, 1, 2, and 6 s). The highest RMSE and MAE were found using the VOF method when the reservoir  
 465 initial sediment depth was 0.22 m. The table illustrates that the greater the reservoir initial sediment  
 466 height, the higher the reported error values. Assuming the sediment layer to be a viscous fluid played a  
 467 major role in increasing the error values due to an increase in the upstream sediment depth. However,  
 468 both numerical methods had good performances in predicting more complicated downstream-bed  
 469 hydraulic conditions. According to Table 4, the reported error values of the Eulerian method were

470 smaller in most scenarios, and there was a higher visual concurrence between the experimental  
 471 measurements and Eulerian results than those of VOF. Additional error values of VOF and Eulerian  
 472 outcomes in estimating sediment depths are shown in Table S5 in the Supplementary Materials. Based  
 473 on Tables 4 and S5, it can be concluded that the Eulerian results were more accurate and better matched  
 474 the recorded data than VOF in estimating sediment depth and water level profiles, especially when more  
 475 than half of the reservoir depth was initially filled by sediment ( $\geq 0.15$  m).

476 **Table 4.** The statistical error values in estimating free surface water level profiles via VOF and Eulerian  
 477 numerical methods computed using four different times after the dam break; 0.4, 1, 2 and 6 s

Downstream hydraulic conditions	Numerical methods	Statistical error indices	Upstream sediment depth (m)							
			0.00	0.03	0.075	0.15	0.175	0.2	0.22	0.24
No obstacle	VOF	RMSE (m)	0.0035	0.0131	0.0094	0.0315	0.0320	0.0381	<b>0.0411</b>	0.0403
		MAE (m)	0.0028	0.0099	0.0069	0.0228	0.0231	0.0276	<b>0.0305</b>	0.0293
	Eulerian	RMSE (m)	–	0.0085	0.0087	0.0234	0.0284	0.0357	0.0345	0.0387
		MAE (m)	–	0.0073	0.0064	0.0166	0.0195	0.0234	0.0239	0.0282
Obstacle with radius of 0.045 m	VOF	RMSE (m)	0.011	0.0099	0.0108	0.0260	0.0291	0.0339	0.0373	0.0372
		MAE (m)	0.009	0.0073	0.0078	0.0174	0.0200	0.0223	0.0261	0.0271
	Eulerian	RMSE (m)	–	0.0084	0.0098	0.0204	0.0279	0.0295	0.0346	0.0360
		MAE (m)	–	0.0063	0.0068	0.0123	0.0164	0.0179	0.0216	0.0247
Obstacle with radius of 0.075 m	VOF	RMSE (m)	0.0104	0.0076	0.0111	0.0228	0.0253	0.0287	0.0360	0.0387
		MAE (m)	0.0081	0.0060	0.0081	0.0149	0.0168	0.0193	0.0253	0.0279
	Eulerian	RMSE (m)	–	0.0073	0.0099	0.0183	0.0244	0.0251	0.0345	0.0360
		MAE (m)	–	0.0051	0.0067	0.0122	0.0164	0.0170	0.0211	0.0244

Note: RMSE = Root Mean Square Error; MAE = Mean Absolute Error.

#### 478 *Sediment depth and water level variations over time*

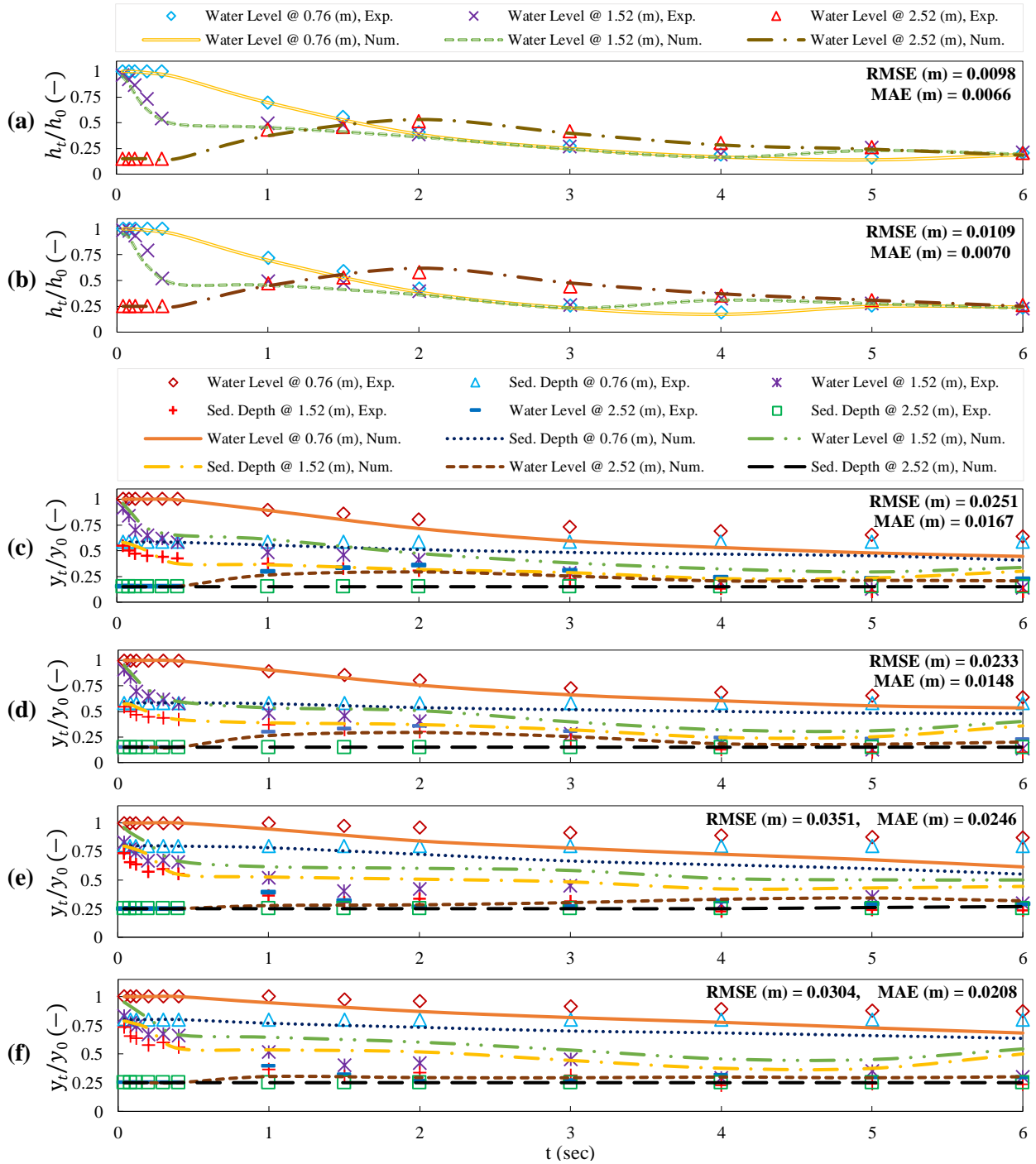
479 Sediment depth and water level variations after a dam-break event were investigated at three control  
 480 points along the flume (0.76, 1.52 and 2.52 m from the reservoir's beginning point). A schematic 3D  
 481 view of the flume with these control points is depicted in the Supplementary Materials (Fig. S12).  
 482 Figures 10a and b present the comparisons of measured data and VOF results in estimating water surface  
 483 changes by elapsing time after a dam-break event when a semi-circular obstacle with a radius of 0.045  
 484 m (a) or 0.075 m (b) was positioned downstream of the dam.

485 The VOF predictions and measured data fit well, and the highest MAE and RMSE values were 0.007  
 486 and 0.0109 m, respectively. The values varied from 2.2% to 3.6% for  $h_0 = 0.3$  m. As shown in Fig. 10a  
 487 and b, the error indices increased as the downstream semi-circular obstacle became taller. At the first

488 control point in the middle of the reservoir (0.76 m), the water level dropped slowly until 4 s and then  
489 increased due to a negative wave generated by the downstream obstacle. The water surface at the second  
490 control point (dam location) dropped rapidly once the dam-break occurred then decreased slowly until  
491 the negative wave developed. Fig. 10a and b show that the higher the obstacle, the deeper and faster the  
492 negative wave was generated. At the third control point (obstacle section), the water level increased  
493 until 2 s and then decreased slowly and steadily. The maximum water level at the third control point  
494 was 0.15 m when a 0.045-m tall obstacle was mounted in the downstream bed and was 0.175 m for a  
495 0.075-m tall obstacle.

496 Figures 10c-f depict the sediment depth and water level variations over elapsed time after dam failure  
497 at the three control points along the flume using measured VOF (c and e) and Eulerian estimation (d  
498 and f) data. As illustrated in Figures 10c and d, the initial height of the sediment layer in the upstream  
499 reservoir was 0.175 m, and a downstream obstacle had a radius of 0.045 m. Reservoir sediment with an  
500 initial height of 0.24 m and obstacle with a radius of 0.075 m are presented in Figs. 10e and f,  
501 respectively. According to Figures 10c-f, VOF and Eulerian estimates were in adequate agreement with  
502 recorded data. The highest MAE and RMSE were 0.0246 and 0.0351 m, respectively, for the VOF and  
503 were improved upon under the Eulerian approach (0.0304 and 0.0208 m). As the initial upstream  
504 sediment became deeper, the statistical error indices increased. The nondimensional parameter of  
505  $\frac{y_t}{y_0}$  (—), which is presented on the vertical axes in Figs. 10c-f, represents both  $h_t/h_0$  (—) and  $S_t/S_0$  (—).

506 At the first control point (0.76 m) in Figs. 10c and d, the water level decreased slowly after the dam-  
507 break, and the sediment coat transformed insignificantly. However, at the dam section (second control  
508 point), the sediment depth and water level changed rapidly immediately after the failure of the dam and  
509 then decreased slowly. The water level at the third control point (downstream) increased until 2 s then  
510 decreased slowly. For the 80% silted-up reservoir (Figs. 10e and f), all analyses were similar to those  
511 in Figures 10c and d. However, at 3 s, the water level increased then decreased again under the influence  
512 of the negative wave due to the downstream obstacle.

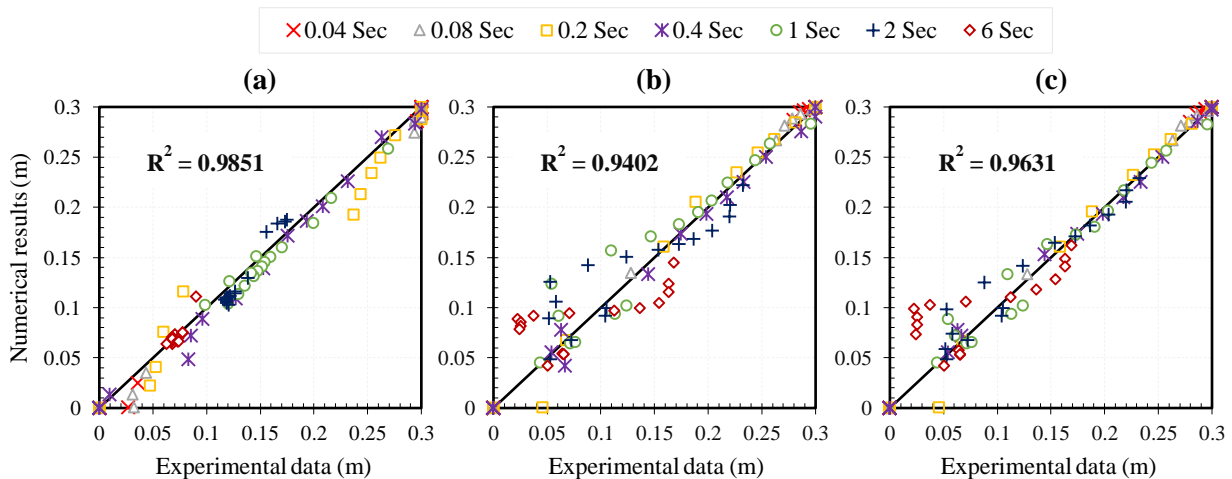


**Fig. 10.** A comparison of experimental measurements with VOF (a, b, c & e) and Eulerian results (d & f) in estimating sediment depth and water level variations over elapsed time at 3 control points along the flume; 0.76 m (the reservoir mid-point), 1.52 m (gate section) and 2.52 m (obstacle section). Initial height of sediment in reservoir is 0.00 m (a & b), 0.175 m (c & d) or 0.24 m (e & f), and a semi-circular obstacle with radius of 0.045 m (a, c & d) or 0.075 m (b, e & f) is mounted downstream from the dam. The nondimensional parameter of  $y_t/y_0$  (-) at the vertical axes of figures c, d, e and f, represents both  $h_t/h_0$  (-) and  $S_t/S_0$  (-).  $h_0 = 0.3$  m

### 513 Correlation analysis of measured data and numerical predictions

514 Figure 11 depicts the correlation between laboratory data and numerical outcomes by VOF (a and  
 515 b) and Eulerian methods (c) using the Coefficient of Determination ( $R^2$ ) as a correlation index. The

516 horizontal axes represent experimental data, while the vertical axes depict numerical results in  
 517 determining water level values at 20 positions in the flume at 7 time-snaps. Fig. 11a presents the case  
 518 where the reservoir was filled by sediment-free water, and a 0.075 m radius obstacle was mounted  
 519 downstream from the dam. Here, the VOF results were highly correlated with measured data ( $R^2 =$   
 520 0.9851). The correlation between laboratory records with VOF (Fig. 11b) and Eulerian (Fig. 11c)  
 521 results, when the initial height of reservoir sediment was 0.15 m and the obstacle had a radius of 0.045  
 522 m, indicated that both VOF and Eulerian predictions were highly correlated with measured data.  $R^2$   
 523 values were 0.9402 and 0.9631, respectively, indicating that the Eulerian approach provided a closer  
 524 match than the VOF method. The opportunity of mixing the boundaries between layers in the Eulerian  
 525 method might have explained its better outcome.



**Fig. 11.** Correlation analyses of experimental measurements and VOF (a & b) or Eulerian results (c) for different upstream and downstream conditions; (a) the upstream channel is filled up by sediment-free water with a 0.045-m-high downstream obstacle, (b & c) the upstream initial sediment height is 0.15 m with a 0.075-m-high downstream

#### 526 *Run time analysis*

527 A comparison of the required run times between the VOF and Eulerian approaches for all 24  
 528 scenarios are shown in Table S6 in the Supplementary Materials (Section S11). For VOF simulations,  
 529 run times ranged from 5.96 to 31.42 h using a PC notebook with an Intel Core i5-4200U 2.3 GHz, 6  
 530 GB RAM, 64-bit processing system for a modelling duration of 10 s. For Eulerian simulations, run  
 531 times ranged from 13.02 to 52.02 h, which are far longer than those of VOF (Table S6). Hence, the  
 532 VOF method may be more attractive for wide-scale computational domains considering its simulation  
 533 simplicity and less computational effort or time compared to the Eulerian approach.



## 534 CONCLUSIONS

535 Upon a dam's failure, the influence of the massive movement of sediment deposited behind the dam  
536 caused by a sudden dam-break flood wave is of great importance. Evaluating the effect of a downstream  
537 semi-circular obstacle on such a complex phenomenon is vital as it leads to a better technical and  
538 practical understanding of the effect of sudden variations in topography in flood-prone areas. As far as  
539 we are aware, this topic has never been examined, either experimentally or numerically, in prior  
540 research. In this study, the influences of the presence or absence of downstream humps (semi-circular  
541 obstacles) on multiphase shock flood waves, caused by the failure of dams with different levels of  
542 reservoir silting, were investigated experimentally and verified numerically for a total of 24 dam-break  
543 scenarios. The multiphase flood shock wave was recorded by high-speed digital cameras positioned  
544 alongside the flume. Sediment layer depths, free surface water levels, and front wave celerity values at  
545 various locations and times were extracted by means of image processing. For this purpose, 20 distinct  
546 positions along the channel and 15 time-snaps following the dam-break were examined. This multiphase  
547 complex flood wave over a downstream obstacle was simulated by OpenFOAM using two numerical  
548 methods: VOF and Eulerian. Numerical results were rigorously compared with measured data.

549 Numerical predictions were in close agreement with measured data, with statistical error indices  
550 varying between 0.003 and 0.041 m. The lack of leakage from the edges of the gate, its rapid time of  
551 release (0.08-0.16 s), and good-quality recording may contribute to this agreement. The Eulerian  
552 approach offered better (3% to 25%) performances than the VOF, particularly for scenarios with deep  
553 initial sediment coats ( $S_d \geq 0.15$  m). The possibility of simulating the mixing of boundaries between  
554 phases under the Eulerian approach may explain its better results. However, the Eulerian method  
555 required computational times that were 2-fold greater than the VOF method (Table S6).

556 Upstream sediment depth was a highly influential factor with respect to celerity of the flood wave.  
557 The deeper the initial sediment coat, the more gently the shock wave progressed, most likely because  
558 of the difference between the faster water velocity and slower sediment layer propagation velocity.  
559 However, as the initial sediment coat became deeper, the sediment coat moved forward more rapidly

560 after the dam-break, and its depth increased proportionally in the downstream area. This may intensify  
561 the burial risk of infrastructure located downstream of the dam.

562 Bumpy downstream reaches in a natural terrain or the artificial creation of such conditions at a  
563 specified distance in the downstream bed may extensively affect the physical characteristics of the dam-  
564 break flood wave. Such conditions can lead to a significant reduction in shock wave celerity, sediment  
565 layer propagation and flood depth downstream of the obstacle. Considering all scenarios, the mean front  
566 wave celerity varied between 1.0 and 2.3 m/s (Table 3). As the front wave celerity decreased, the  
567 destructive power of the flood decreased accordingly. The presence of a downstream obstacle led to  
568 reductions in front wave celerity, and taller downstream obstacles reduced celerity to a greater extent  
569 than shorter obstacles. Thus, different upstream and downstream conditions can change the front wave  
570 celerity by up to 230%. However, the area between the dam and obstacle location may be the most  
571 hazardous and insecure zone after the dam-break, as this is where the deepest sediment layer as well as  
572 the highest water level are located. Therefore, it is highly inappropriate to position and maintain any  
573 expensive equipment or infrastructure or to construct any office or residential buildings in this area.

574 In conclusion, the Eulerian method, despite its more accurate predictions, has drawbacks, such as  
575 longer computation time and more complicated modelling conditions. Accordingly, the VOF method,  
576 given its comparative simulation simplicity and lesser computational needs and time, may be more  
577 attractive for wide-scale computational domains. It is noteworthy that collections of original data are  
578 accessible online in the public repository accompanying this article (Vosoughi et al. 2021a; b; c).

579 A key component in upcoming research to explore the effects of upstream sediment on flood  
580 propagation would be to include distinct kinds of sediments using different grain sizes or consider  
581 suspended and bed load in a saturated sediment layer. It is suggested that future research assess the  
582 application of expert systems on estimating such phenomena. Comparing the potential effects of  
583 different obstacle shapes on multi-layer shock flood wave propagation would also be a valuable part of  
584 future studies. Moreover, simulating the upstream sediment coat as mixtures of particles and water  
585 could prove to be important.

586 **SUPPLEMENTARY MATERIAL**

587 See [Supplementary Material](#) for the complete details of the study.

588 **DECLARATION OF INTERESTS**

589 The authors declare that they have no known competing financial interests or personal  
590 relationships that could have appeared to influence the work reported in this paper.

591 **DATA AVAILABILITY STATEMENT**

592 All data, models, and codes generated or used during the study are available online in a public  
593 repository or appear in the submitted article (Vosoughi et al. 2021a; b; c). DOIs:

- 594 1- <https://doi.org/10.6084/m9.figshare.13686142>  
595 2- <https://doi.org/10.6084/m9.figshare.13686205>  
596 3- <https://doi.org/10.6084/m9.figshare.13677454>

597 **AUTHORS' CONTRIBUTIONS**

Authors	Contribution
Foad Vosoughi	Conceptualization, Software, Validation, Investigation, Resources, Data Curation, Writing - Original Draft, Visualization, Writing - Review & Editing.
Mohammad Reza Nikoo	Conceptualization, Methodology, Supervision, Writing - Review & Editing
Gholamreza Rakhshandehroo	Conceptualization, Methodology, Supervision, Writing - Review & Editing
Jan Franklin Adamowski	Supervision, Writing - Review & Editing
Amir Hossein Gandomi	Supervision, Writing - Review & Editing

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