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The definitive publisher version is available online at [http://dx.doi.org/10.1016/j.jddst.2023.104729]

Anoverview of biomedical applications for gold nanoparticles against lung cancer

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PII: S1773-2247(23)00581-6

DOI: https://doi.org/10.1016/j.jddst.2023.104729

Reference: JDDST 104729

- To appear in: Journal of Drug Delivery Science and Technology
- Received Date: 13 May 2023
- Revised Date: 27 June 2023

Accepted Date: 1 July 2023

Please cite this article as: V. Kumari, S. Vishwas, R. Kumar, V. Kakoty, R. Khursheed, M.R. Babu, V. Harish, N. Mittal, P.K. Singh, N.S. Alharthi, M.A. Hakami, F.F. Aba Alkhayl, G. Gupta, G.D. Rubis, K.R. Paudel, M. Singh, M. Zandi, B.G. Oliver, K. Dua, S.K. Singh, Anoverview of biomedical applications for gold nanoparticles against lung cancer, *Journal of Drug Delivery Science and Technology* (2023), doi: https://doi.org/10.1016/j.jddst.2023.104729.

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Unraveling the role of gold nanoparticles in lung cancer

An overview of biomedical applications for gold nanoparticles against lung cancer 1

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42 Abstract

Lung cancer (LC) is the commonest class of cancer worldwide and is the reason for more 43 deaths than any other type. Treatment of LC using conventional therapy has some limitations 44 such as poor bioavailability and non-site release of drug causing various side effects. However, 45 the use of nanotechnology makes it possible to offer efficacious treatment of LC by offering 46 nanometer sized formulation that helps in better penetration of drug inside tumor cells and site 47 specific drug release. Among various nanoparticles, gold nanoparticles (GNPs) has found 48 unique and important application in the biomedical application of nanoparticles in various 49 diseases, especially cancer. Owing to their high biocompatibility and stability against oxidation 50 in vivo, tunable nano size, ease of functionalization with chemotherapeutic agents, capacity to 51 enhance bioavailability and site specificity of entrapped drug, capacity to interact with visible 52 light, make GNPs as special carrier for biomedical application in LC. GNPs can absorb infrared 53 radiation and have optical qualities as well. In the present review, various aspects of LC and 54 55 theranostic role of GNPs are comprehensively covered. These include prevalence, classification, economic burden, pathophysiology as well as commonly available therapies of 56 LC. This was followed by advantages, synthesis, biomedical application of GNPs in treating 57 LC as well as their fate in the body. Overall, the uniqueness of article relies on the fact that it 58 covers all aspects of LC and all possibilities by which GNPs can offer their best role in 59 management of LC. 60

Keywords: Lung cancer; Gold nanoparticles; Theranostic agents; Immunosensors; Surface
plasmon resonance; Hyperthermal Therapy

63 1. Introduction

Lung cancer (LC) is a serious disease of high complexity wherein the cells lining the respiratory 64 tract i.e., the bronchi, bronchioles, and alveoli start getting engulfed by carcinomas, commonly 65 termed bronchogenic carcinoma [1]. Non-small cell lung carcinoma (NSCLC) and small cell 66 lung carcinoma (SCLC) are the two major classifications of LC [2]. The NSCLC constitutes 67 around 85% of LC and SCLC constitutes around 15% of them [2]. These are located centrally 68 or near the hilum and have neurosecretory granules in the majority of their tumor cells. Further, 69 70 the NSCLC is categorized into adenocarcinoma (38.5%), squamous cell carcinoma (SqCCs) (20%), and large cell carcinoma (2.9%) [3]. Squamous cell carcinoma is commonly found in 71 males who are continuous smokers. In this, epithelial dysplasia along with squamous 72 metaplasia develops. Adenocarcinoma is also called scar carcinoma as it is associated with 73 74 areas of chronic scarring and most commonly seen in females. Certain genetic changes have been observed that may lead to the progression of LC. These can happen due to the activity of 75 76 growth-promoting oncogenes, upon mutation in the Kirsten rat sarcoma viral oncogene homolog (K-RAS) oncogene, and adenocarcinoma mutation occurs at the tyrosine kinase 77 region of the epidermal growth factor receptor (EGFR) oncogene [1]. BRAF, MYC (master 78 regulator of cell cycle entry and proliferative metabolism), and PIK3CA (phosphatidylinositol-79 4,5-bisphosphate 3-kinase catalytic subunit alpha) families have also been reported to undergo 80 mutations [1]. In the second case, tumor suppressor genes found on chromosome 3p get 81 inactivated along with genes p53, Rb (retinoblastoma protein), and p16 leading to LC [1]. 82 Hormones and Autocrine growth factors are seen to cause the initiation of mutations by 83 activating the signaling pathways in LC and blocking apoptosis. Examples are the derivatives 84 of nicotine [1]. In addition, inheritance is also one of the reasons for altered mutations seen in 85 cases of LC. For instance, in the case of Li-Fraumeni syndrome, where a person is inherited to 86 mutated p53gene that may lead to LC [1]. If a general scenario contemplating cancer is 87 considered, it is no wonder to find that not only genetic reasons progress the disease but also 88 89 the basic lifestyle of an individual, smoking, diet cycle, and reproductive behavior inflate the risk of causing it [4]. As the causes change rapidly there also comes a change in the region 90 91 where the cancer cases gradually shift to. Pattern changes are vividly seen to shift from economically developed countries to some developing countries in South America, Asia, and 92 Africa [4]. Especially, when smoking as an example is taken, which is one prime cause of LC. 93 The pervasiveness of smoking among adult west men, considering the United States, is about 94 95 20%, more in comparison than in Indonesia, Greece, China, and Jordan [4]. LC remains to be

at the first position to take a life if a person gets into the clutch of this cancer disease in the 96 United States and worldwide [5]. Precisely, 87% of all cancers related to the lung, such as 97 bronchial, tracheal attributed to smoking [5]. It has also been reported that LC in more than 98 90% of afflicted people is fatal enough to take life [5]. While survival chances after being 99 diagnosed with LC are directly proportionate with the stage at which the cancer is currently 100 101 dwelling. The chances of survival could be ranging from 70% to less than 5%, for stage I to stage IV respectively [5]. Therefore, the most convenient way to reduce fatality due to LC is 102 the cessation of smoking or altering smoking habits. Thus, glancing at the multitudinous 103 104 approaches and treatments, LC remains a major, far and wide currently present global health issue. Certain exclusive approaches discount conventional treatments like surgery, 105 radiotherapy, and chemotherapy [6]. As a novel perspective of utilizing the hidden capacities 106 of nanoparticles has been put forward before the world. These nanoparticles, citing the example 107 of gold nanoparticles (GNPs) are now being considered as one of the most victorious designs 108 of action to be implanted to procure curative, restorative, indicative and detective approach 109 access for death-dealing diseases like LC [7]. There are many attempts that various researchers 110 have made to explore the utilization of GNPs in various diseases including LC. 111

GNPs have size in the range of 1 to 100 nm. They are biocompatible, easily get functionalized 112 with ligands, capable of enhancing bioavailability of entrapped drugs as well as they are able 113 to deliver those drug at targeted site [8,9]. These properties enable the GNPs as excellent 114 nanoparticles for diagnosis, imaging, therapeutics as well as site specific delivery of entrapped 115 drugs [10]. GNPs are available in various shapes such as rod shaped, spherical, star, flower 116 like, wire, triangular, tetrahedral and octahedral shape [11]. These properties help the GNPs to 117 be used as excellent theranostic agents. GNPs can interact with visible light and can produce 118 heat upon interaction. Due to this they are also used as labelling agents and photo thermal 119 agents and destruct the adjacent tumor cells by producing sufficient heat [12–14]. Furthermore, 120 the GNPs are stable against oxidation [15]. and in vivo degradation [16]. These properties make 121 122 them a potential diagnostic and therapeutic tool [17].

Owing to this multifaceted role of GNPs, wonderful reviews have been published in past three years by Barabadi et al. (2020) [18], Niloy et al. (2021) [19], Sehgal et al. (2022) [20]. For instance, Barabadi et al. reported a concise report on various studies reported by researchers till 2020 on anticancer activity of biosynthesized GNPs against LC cells and normal cells. All the studies reported in this review were based on MTT assay carried on A549 cell lines. The authors highlighted the biological source used to biosynthesize GNPs, their size and shape,

dose and IC50 value [18]. Continuing to this, Niloy et al. in 2021, reported a systematic review 129 on theranostic use of GNPs in the treatment of LC wherein, they reviewed 61 studies wherein 130 the GNPs functionalized with photosensitive agents such as miRNA, chemotherapeutic drugs, 131 biomolecules, antibodies and peptides have shown good diagnostic and therapeutic efficacy 132 against LC [19]. Sehgal et al., very briefly explained the role of GNPs and silver nanoparticles 133 in the treatment of LC [20]. In the present review the main novelty relies on comprehensive 134 coverage of entire aspects of lung cancer. These include prevalence of LC, classification of 135 LC, economic burden of LC, factors affecting of LC, pathophysiology of LC, commonly 136 137 available therapies for LC, limitations of existing therapies, advantages and application of GNPs in treating LC covering their use as therapeutic, diagnostic, sensing as well as theranostic 138 agents. The fate of GNPs is also discussed in the current manuscript. 139

140 **2.** Prevalence of LC

There exists a dread with an average of 5-year viability rate among 15% of individuals 141 diagnosed with LC in the United states of America (USA) [21]. The Cancer Statistics Centre 142 of the American Cancer Society reported the new numbers of LC and death in 2022. The 143 estimated new lung and bronchus cancer cases in males were 117,910 and in females, these 144 were 118,830, whereas the estimated deaths were enumerated to be 68,820 in males and 61,360 145 in females (US 2022) [22] (Figure 1). According to World Health Organization (WHO), LC is 146 the most usual cause leading to death due to cancer. Numerically, it snatches 1.76 million lives 147 around the world per year [23]. International Agency for Research on Cancer appraises that 148 there would be about 10 million deaths per year from LC by the year 2030 [1]. 149



150



152 **3. Classification of LC**

153 *3.1. Adenocarcinoma*

154 3.1.1. Classification of Adenocarcinomas depending on invasiveness

According to the degree of invasiveness, adenocarcinomas are classified by the 2015 WHO as 155 adenocarcinoma in situ (AIS), minimally invasive adenocarcinoma (MIA), invasive 156 157 adenocarcinoma. Rate of disease-free survival when fully resected, of AIS and MIA is 100%. An adenocarcinoma with a scaly pattern and a diameter of less than 3 cm is referred to as an 158 AIS [29]. The term "lepidic predominant adenocarcinoma, suspicious AIS" is used to describe 159 a tumour if its diameter is greater than 3 cm. These tumours are uncommon and lack good 160 classification. Adenocarcinomas that are regarded as minimally invasive have an invasion size 161 of less than 5 mm and a diameter under 3 cm. Despite the fact that the invasion's size and the 162 tumor's size fulfil the requirements for lymphovascular invasion, pleural invasion, or MIA [30]. 163

164 *3.1.2. Invasive adenocarcinoma variants*

The majority of mucinous bronchioloalveolar carcinoma (BAC) contained invasive 165 components; hence the term "mucinous BAC" is no more in use. Mucinous BAC was thus 166 substituted with the term invasive mucinious carcinoma (IMA). In addition to IMA, invasive 167 adenocarcinoma foetal, enteric and colloid subtypes include adenocarcinomas. 168 Adenocarcinoma with a predominate constituent that has a resemblance with the cancer 169 developing in the colorectal part and frequently exhibits caudal-related homeobox transcription 170 factor 2 (CDX2) antibody is known as enteric adenocarcinoma [30]. 171

172 *3.2. Squamous cell carcinom* (SqCCs)

SqCC is divided into three categories in the 2015 WHO classification: basaloid, nonkeratinizing, and keratinizing SqCC. Basaloid SqCC had previously been classified as a large
cell carcinoma. Basaloid SqCC immunohistochemistry, however, reveals "SqCC markers"
(such as p40, CK5/6, and p63) and is classified as SqCC as a result [30].

177 *3.3. Neuroendocrine tumors*

A brand-new categorization for "neuroendocrine tumours" was created by the WHO in 2015. 178 Neuroendocrine cancers that are invasive consist of the following three subtypes: SCLC, large 179 cell neuroendocrine carcinoma of the lung (LCNEC), and typical and atypical carcinoid 180 181 tumours. Pulmonary neuroendocrine cell hyperplasia of diffuse idiopathic origin relatively uncommon and non-invasive; as a result, its clinical significance is minimal. On the other hand, 182 it is crucial in pathological and clinical practise to distinguish between a carcinoid tumour and 183 a high-grade neuroepithelial tumor (HGNET), which includes SCLC and LCNEC. When 184 compared to carcinoid tumours, which often have a non-malignant prognostication and oftenly 185 affect patients who have never smoked cigarettes. HGNET is one of the utmost belligerent 186 kinds and is characterised by the patient's past records of extensive and deliberate smoking 187 [30]. LC classification is depicted in figure 2. 188



Figure 2: Classification of the LC

191 **4. Economic burden of LC**

190

There is a huge expenditure that dwells posing an economic burden in the case of LC with the 192 hospitalization costs which may include surgery, primary treatment, radiotherapy, etc. The 193 treatment costs comprise primary treatment, palliative care treatments, anti-cancer drugs, and 194 195 supportive treatments needed. The direct costs include hospitalization costs and outpatient department diagnostics costs as well as the treatment for the separate diagnosis patient batch. 196 The indirect costs include unemployment benefits, social transfer payments, social security, 197 and social assistance. The method of treatment that has been followed also fluctuates the 198 economic pile up due to LC. Thus, there not only just aids economic burden but also coalesces 199 productivity loss and magnitudes of loss in national and global health overall. That is how the 200 201 burden has changed over time. Therefore, such an onerous burden needs attention to increase intervention options to reduce the burden, evaluate health resource entanglement, spearhead 202 novel technologies as alternatives, and execute nanoparticle strategies for the treatment 203 approach. The average cost of cancer treatment is 36,812 Indian rupee (INR), which represents 204 the whole financial burden of a sufferer in India. 40% of this overall cost is made up of 205

expenses incurred prior to visiting the hospital. There is very little published research on out-206 of-pocket expenses, in particular for the costs not associated with treating LC but related to its 207 treatment that is incurred by individuals who have the disease. This refers to the costs 208 associated with purchasing diagnostic and imaging equipment as well as transportation, 209 accommodation, and boarding services for patients who attend primary and primordial level 210 hospitals, since some expert healthcare professionals are only met in these sentinels. Over the 211 period of 1992 to 2003, a US study by Cipriano and colleagues found that the average monthly 212 cost for a patient with LC who was 72 years old in year 2000 was \$645 in healthcare prior to 213 214 diagnosis. A study conducted in 2007 at one of India's top universities, AIIMS, reported prices of 14597 INR. Using data from 5% of Medicare claims, a backward cohort study was 215 performed by Lokhandwala and his team in the category of direct medical costs. That showed 216 the average cost of a patient's entire diagnostic workup for LC was \$7567. The diagnosis direct 217 cost of the 113 patients involved in a study by Zarogoulidou and his team members in Greece 218 219 was €117,939 [31].

220 5. Factors affecting of LC

There exist multiple risk factors that affect LC and its progression but the major factor that 221 exists is tobacco smoking. It has been estimated that more than 1 million people die due to LC 222 each year, out of which 90% of LC risks are only due to smoking [32]. It is enumerated to be 223 85% in men and 75% in women only due to smoking [33]. Smoking rapidly progresses the 224 chronic inflammation to multiple forces promoting quick genetic alterations which mediate the 225 macrophage recruitment, delayed neutrophil clearance, and increase in the ROS. All these 226 processes conjointly accelerate the complexity and progression of lung carcinogenesis. 227 228 Smokers dwell to suffer 15- 30 folds increased LC risk in comparison to the non-smoking mass [34]. A few other factors that implicate LC are: 229

1. Exposure to harmful radiations like radon gas. It is an inodorous, flavorless, radioactive gas
produced in natural conditions during the radioactive rot of thorium and uranium. Human
exposure to this gas has the potential to cause LC deaths in around 21,000 of the population
exposed per year and in non-smokers, about 2900 deaths [35].

2. Exposure to metals like nickel, which gets released into the atmosphere during the mining
process. It tends to bind the surfaces of compounds easily and sustains onto them. While
breathing, drinking water, and intaking food nickel reaches inside the human body wherein it
triggers the genotoxic and carcinogenic mutations leading to LC.

3. Pollutants in the environment float around the air and become a risk factor for the nonsmokers leading to the development of bronchogenic carcinoma. People habituating near
industrial areas are bleak of such circumstances.

4. Occupational exposure of people like workers who are working on a daily wage basis in the
environment of arsenic, beryllium, asbestos, etc. They are very prone to be engulfed in LC as
of continuous exposure to such compounds which behave as carcinogen.

244 6. Pathophysiology of LC

LC is complex phenomena which is not well clear. Several factors are responsible for the 245 progression of LC such as inflammation, oxidative stress, mitochondrial dysfunction and 246 abnormal releases of hormones and enzymes. Inflammation and their inflammatory mediators 247 are one of the leading causes of cancer. Abnormal secretion of cytokines like interleukin (IL)-248 1B, IL-2, IL-4, IL-8, IL-18, IL-17, stromal derived factor-1/CXCL12-CXC and tumor necrosis 249 factor (TNF)-α increase risk of malignancy to increase abnormal production of the cells. These 250 inflammatory mediators alter other cellular functions which leads to increase the risk of LC. 251 Like, activated IL-1^β enhances phosphorylation of P65 by linking and activating IK^β kinase 252 (IKK) α/β which further leads to upregulate the levels of NF-kB. Moreover IL-1 β also 253 upregulates PKCa-dependent c-JNK1/2, plasminogen activator (VPA) expression, 254 P13K/AKT, growth factor receptors and play a very important role in progression of LC. It 255 stimulates IJ- 15-hydroxyprostaglandin dehydrogenase (HPGD) and upregulates Mitogen-256 257 activated protein kinase (MAPK), phosphoinositide-3-kinase-protein kinase B/Akt 258 (P13K/AKT), JAK- Signal transducer and activator of transcription 6 (STAT6) and Protein kinase C (PKC) pathways. Furthermore, oxidative stress is another major risk factor of LC. It 259 260 promotes pulmonary inflammation and enhance mechanisms of carcinogenesis. Various factors such as consuming tobacco, smoking, environmental pollution, unhealthy lifestyle and 261 262 stress are release level of reactive oxygen species (ROS) and reactive nitrogen species (RNS) which further cause deoxyribonucleic acid (DNA) oxidative damage, macrophage stimulation 263 and translation factors. Mitochondrial dysfunction is another major cause of LC. Increase 264 production of ROS, RNS and various cytokines are responsible for mitochondrial oxidative 265 266 damage which further leads to promotion of endoplasmic reticulum dysfunction, reduced protein synthesis as well as cause abnormalities. Studies also reported that increased 267 intracellular ROS cause abnormalities in electron transport chain (ETC) and disable the 268 function of complex 1-4. Pathophysiology of the lung cancer are presented in figure 3. 269



270

271

Figure 3: Pathophysiology of lung cancer

272 **7. Commonly available therapies for LC**

There are some commonly available treatment modalities present which have their probable benefits and potential risks to combat LC like surgery, chemotherapy, radiotherapy, and targeted therapy. The surgery depends upon the location and stage of LC as well as the patient medical conditions. Generally performed for an early NSCLC. It is considered the best option when the cancers are noninvasive. Thoracotomy, Lobectomy, video-assisted thoracoscopic surgery, segmentectomy, Pneumonoctomy, wedge resection are among the procedures done. [36]. In the case of, radiation therapy high intensity powerful X-rays are used to destroy cancer

cells. Helps to pull back growth of tumor cells before operating and after the surgery to kill 280 the left-out cancer cells. It is used to relieve the symptoms of LC like pain and airway blockage. 281 It is usually done via two methods; externally from outside the body and internally by planting 282 radioactive material inside of the cancer cell. The techniques involved are external beam 283 radiation, intensity-modulated radiotherapy, brachytherapy and stereotactic body radiation 284 therapy [37]. In chemotherapy, the cancerous cells are aimed to shrink or stabilize, kill leftover 285 cells, and relieve LC symptoms. This is used when surgery no longer remains an option. It is 286 repeated in cycles that persist for up to weeks, given through an intravenous route. 287 288 Chemotherapy was initially started a as single-medication chemotherapy trial in the 1960s with cyclophosphamide. With further research, it was discovered that the amalgamation 289 chemotherapy was superior to single agents. Hence, combination anthracycline-based 290 chemotherapy was introduced in the 1970s. Progressively, combination platinum-based 291 chemotherapy came into effect in the 1980s of which paclitaxel and carboplatin, are currently 292 the gold standard for the treatment of LC. From then on chemotherapy regimens became 293 advanced and started being commonly used. Common chemotherapy regimens used to treat 294 LC are, Carboplatin, Cisplatin, and Etoposide available as a generic drug, Docetaxel 295 (Taxotere), Gemcitabine (Gemzar) [38]. 296

The targeted therapy mainly focuses on damage reduction to healthy cells and interrupting cancer cell growth and functioning. This therapy is often used for patients having abnormalities in their diagnosed cancer cells. It includes medicine that treats cancer using the body's selfimmunity developed from the immune system [38].Targeted therapy includes:

1. Inhibitors of the EGFR (epidermal growth factor receptor). In between 10% and 15% of LC
cases, EGFR is present. When LC cells have EGFR mutations, researchers have discovered
that EGFR-blocking medications may be beneficial in halting or reducing the growth of the
disease. FDA-approved EGFR inhibitors include drugs like Afatinib, Dacomitinib, Erlotinib,
Gefitinib, and Osimertinib [38].

2. Anaplastic lymphoma kinase (ALK) inhibitors. ALK is a protein that's involved in how cells
grow. If present, this promotes the growth of cancer cells. ALK inhibitors aid in halting this
procedure. ALK gene alterations are discovered in 4% of NSCLC patients. Currently, the
following medicines that can be used to treat this genetic alteration are Alectinib, Brigantine,
Ceritinib, Crizotinib, and Lorlatinib [38].

10

3. Medicines that block c-ros oncogene 1 (ROS1) fusion. Cell growth and differentiation issues
might result from the rare ROS1 fusion or ROS1 rearrangement mutations. 1% to 2% of
patients with LC had ROS1 fusion. Drugs that aim to modify the ROS1 gene include: ceritinib,
crizotinib, entrectinib [38].

315 4. Treatment against angiogenesis which is the process of creating new blood vessels which are stopped by anti-angiogenesis therapy. Anti-angiogenesis medicines aim to "starve" 316 317 tumors since they require the nutrients provided by blood vessels to grow and spread. For LC, anti-angiogenic medications can be an option taken in use, combining chemotherapy 318 319 with the immunotherapy medication atezolizumab and bevacizumab, combined with the chemotherapeutic medication docetaxel, and ramucirumab [38] proves to be beneficial. 320 321 Biologics can meet the need for highly targeted LC therapies but because of being expensive and not being able to show constant benefits, the use of biologics has not been 322 accepted as the first line of treatment as targeted therapy for this deadly disease. 323

There are some biomarkers that are often accessible that assist in classifying patients based 324 325 on illness progression, risk factors and bad outcomes. A list of serum proteins was produced utilizing carcinoidic epithelium and anomalously active in the fibrogenic process, which is 326 327 most strongly connected with the advancement of IPF as in contrast with placebo groups, was developed as a result of the observational profile analysis. They were matrix 328 329 metalloprotease-7 (MMP7), surfactant protein D (SP-D), 2 macroglobulins, and MMP. while substantial amounts of other proteins, such as cancer antigen 125 (CA-125), 330 331 Macrophage migration inhibitory factor (MIF), carcinoembryonic antigen assay (CEA), 332 were significantly linked to an increase in overall mortality, cancer antigen 19-9 (CA19-9) was particularly strongly associated with the development of disease [39]. 333

8. Limitations of existing therapies

Patients afflicted with LC often suffer symptoms of shortness of breath, continuous cough, chest pain, wheezing, hoarseness, fatigue, weakness and weight loss, to boot these if there is a coalescence of the therapies that commonly exist for LC, will pose multiple other discomfort and inconveniences to the patient like, surgery creates room for possible complications along with excruciating pain. Radiation therapy gives rise to cough, sore throat, skin reactions, and tiredness. Chemotherapy makes patients undergo anxiety and depression, infection and bleeding, hair loss, gastrointestinal tract issues and nervous system changes. Targeted therapies

show common side effects of dizziness, constipation and changes in vision. Immunotherapy
may lead to severe complications like pneumonitis, hepatitis and colitis [32,40].

344 9. GNPs

345 Past 3-4 decades GNPs have shown excellent results in drug delivery system. GNPs are classified under inorganic nanoparticles. Various clinical and preclinical studies have been 346 reported where GNPs are used to treat various disease such as acquired immune deficiency 347 syndrome (AIDS), neurological diseases, ulcer and heart diseases etc. They also exhibited 348 excellent anticancer including LC. GNPs have gained lot of success due to their unique nature 349 such as nano size range, good biocompatibility, enhanced bioavailability and easy 350 functionalized with ligands [9,41]. Various surface coating, functionalization, and shape are 351 mentioned in figure 4. 352





354

Fig. 4: Functionalization, surface coating, size and shape of GNPs

355 10. Need of GNPs to treat LC

LC is one of the major types of cancer having extreme fatality, the reason being its late 356 diagnosis or detection in its last stages. Hence, if this delay in diagnosis can be cut down and 357 can get hold within the initial stages of LC, its severe impact of it on the mass population can 358 be prevented. Nanotechnologists have found some novel methods which boost early-stage 359 tumor detection, better prognosis further improves survival rates. The huge potential of gold 360 has been extracted in its nano amounts, the more we dig and zoom into the nano zone of gold 361 the better capabilities have been seen projecting out. Nanotechnologists overhaul GNPs to 362 pioneer as one of the emerging plans of action and strategy to treat the complex disease of LC. 363 364 Gold is a chemically inert particle, has amazed scientists with its thrilling properties [9]. It has huge biocompatibility in the human body. Upon reducing its size from block gold to its nano 365 366 size, there generates multiple folds increase in the surface per unit mass providing a large surface area for working onto by manipulating it according to the needs and demands of 367 nanoscience. Its functions can be adopted for a variety of applications like diagnostics, 368 immunosensors, serum tumor markers, geno-sensors, imaging, Computed Tomography (CT) 369 and X-ray scans, MRIs, therapeutics, immunotherapies, plasmonic therapies, theragnostic 370 [42,43]. Apart from working with GNP's exciting properties care must also be taken for its 371 toxic effects. As it's found that GNPs illustrate some undesirable effects on healthy tissues as 372 well. Therefore, it's the need of the hour to investigate and evaluate the fact that is any toxicity 373 generated by these particles at the congregation at which they seem to exhibit curing 374 tendencies. Similarly, these nanosized gold can prove to be the radio-active producing 375 intracellular formation of ROS leading to cellular invasion, epigenetic modifications, organelle 376 reorganization, and altered expression of proteins causing pulmonary toxicity [42]. Various 377 methods are employed in the structuring and synthesis of these nanosized gold particles like 378 biomolecules with amino group binding carboxylate tail group, alkyne-azide cycloaddition 379 reaction, additions of functional groups like sugars, peptides, proteins and DNA strands. 380

These nanoparticles of gold while preparation is also acknowledged for their interactions with the biological cells and system, so it's well contemplated to take care of the materials taken in use to prevent any hindrance being caused in delivering and enhancing desired effects to the tumor cells. The surface charge and coating are likely to pose aggregation and delay circulation. To overcome this, a degree of controllable hydrophobicity is desirable which can be achieved by employing suitable polymers to coat GNPs. Polyethylene glycol (PEG) is the most extensively used polymer material to coat GNPs.

388 11. Synthesis of GNPs

389 11.1. Biological method

Preparation of GNPs using plant was done which led to the green GNPs synthesis with sizes 390 391 between 15 to 80 nm. This method uses HAuCl4 fruit juice decoction of citrus (Citrus limon, Citrus reticulate, and Citrus sinensis) which was employed as a precursor and reduced. 392 Digestible mushroom was also employed in the light-powered manufacture of GNPs [44]. 393 Using isolated fungi Fusarium solani in study, was cultured in yeast extract peptone dextrose 394 395 broth and regulated at 28°C and 120 rpm on a shaker-type incubator for up to 9 days before being filtered through cheesecloth and repeatedly washed with double-distilled water. 100 ml 396 397 of sterile water was added to the biomass and left alone for two days. After that, the whole amount of biomass was filtered using whatman paper filters. The sample's pH was then kept at 398 399 8.5 by adding 0.1 N NaOH, 1.0 ml of fungal reduction was put to 1 mM 4-aminobenzylamine and phosphoric acid (HAuCl4) (99 ml) solution, which was then incubated for 48 hours in the 400 dark. 401

synthesis with a size within 40 and 45 nm was mediated by the fugal aqueous filtrate. Utilizing 402 403 a range of analytical methods, the produced GNPs were evaluated and found to be extremely stable [45]. P. aeruginosa control strains and the two isolates were used. In 50 ml of nutrient 404 broth medium, the bacteria were cultivated aerobically and then incubated for 24 hours of 405 churning at 150 rpm and 37 °C. After the incubation, the overnight bacterial culture was 406 407 separated at 5000 rpm for 5 minutes to get the afloat. In order to create GNPs, 50 ml of cell-408 free supernatant was combined with hydrogen tetrachloroaurate to achieve a final gold ion concentration of 1 mM. The ensuing resolution was then incubated at 37°C for 24 hours. 409 410 Together with the experimental flask, the control (which contained only the supernatant and no gold ions) was run. The cell-free supernatant containing nanoparticle was recovered after 24 411 hours of incubation, via this method bacterial GNP synthesis were done [46]. When chloroauric 412 acid was poured into the C. parvula aqueous extract, colloidal GNPs were created, which 413 gradually began to turn the originally pale-yellow solution purple. After 48 hours of incubation, 414 there was a total drop in gold nanoparticles. The surface plasmon resonance (SPR) vibration 415 416 around metal particles caused the color intensity to increase during the nanoparticles formation. The glaze of purple showed that the GNPs have aggregated into clusters, which are huge 417 spherical particles and are brought about by the density of gold ions. Because of their rapid and 418

419 easy production as well as strong metal potential for redox, green nanoparticle synthesis420 utilizing seaweed extract offers many benefits [47].

421 *11.2. Chemical method*

422 Abatement by citrate at 100°C is the conventional procedure, as described by Frens and radiochemist Turkevich. By heating a gold hydrochlorate solution in a double-walled reactor 423 424 till it begins to boil that is connected by a bath thermostat, sodium tris-citrate starts reducing solution of gold hydrochlorate (Chempur, 99%). The mantle ensured that the reaction solution 425 426 had a fairly uniform temperature distribution. Teflon-coated magnetic bars were used to stir the liquid vigorously. There were no temperature gradients in the liquid since there was no 427 refluxing. 5 mL of citrate solution that had already been heated was added when the solution 428 (95 mL) began to boil. In order to achieve various particle sizes, the citrate content was 429 430 changed. The liquid was extracted after a specified amount of time (often 15 minutes) and brought to room temperature [48]. Utilizing naturally occurring GNPs, conducting 431 multilayered films are constructed in triangle shapes by using 500 ml of sterile water to boil 432 100g of finely chopped, properly washed lemongrass (Cymbopogon flexuosus) leaf for 5 433 minutes. In an experiment, 45 ml of 10³ M aqueous HAuCl4 solution was incorporated with 5 434 ml of this soup. By recording the ultraviolet-visible (UV-Vis) spectrum of absorption for this 435 combination as a function of time taken to react till reaction max, the bio reduction of AuCl₄ 436 ions was observed. The prill was created by centrifuging the brownish-red solution of colloidal 437 gold at 3000 rpm. The pellet was then redissolved in 5 ml of purified water. This method 438 increases the ratio of gold nanotriangles to spherical nanoparticles from around 1:1 in the 439 solution put together to almost 3:1 after one centrifugation cycle, and ultimately 10:1 after three 440 441 centrifugation and resuspension cycles [49]. At various pH levels, 0.1 mM gold (III), which produced from the salt of potassium tetrachloroaurate, was reacted with a sample of 10 mg of 442 443 alfalfa biomass (Malone variety). The supernatants, which had been prepared with a pH value of 2.0, were then centrifuged at 3000 rpm to pellet the biomass, and they were then studied 444 445 under a high resolution JEOL-4000 Fx-microscope with a cs of 1.0 mm and a JEOL 2010 microscope equipped with EDS analysis. The pictures were taken in high resolution mode with 446 a defocus factor of 1f = 402 pixels (the Scherzer condition) [50]. For the chemical solution 447 deposition of GNPs, a pure oxide sol and a solution of gold ions were prepared separately in 448 449 order to synthesise gold-containing titanium dioxide (TiO₂) and zirconium dioxide (ZrO₂). Z₃H₂O was the precursor to Au31. The HAuCl₄ Z₃H₂O solutions were added to the oxide sol 450 while being stirred, adding an appropriate ligand to facilitate the assembly of metal ion clusters. 451

THF (tetrahydrofuran) was employed as a solvent to create Au31-doped TiO₂ sols, and the 452 following molar ratios of the reactants were used: $Ti(OC_4H_9)_4$: THF : H_2O : acacH 5 1:5:4:0.8. 453 The customary process was used. First, acacH dissolved in 1-butanol or THF, used to chelate 454 the Ti precursor and the resultant solution was agitated for one hour. After adding the necessary 455 amount of water dissolved in 2-propanol to hydrolyze the Ti precursor, the sol was agitated for 456 an hour before the solution containing the metal was added. The resultant sol was agitated for 457 an additional hour. THF was once more used as the solvent for making Au3+-doped sols, and 458 the following molar ratios of the reactants were used: Zr(OC3H7)4:THF:H2O:acacH: 5:1, 5, 4, 459 460 and 1. TiO₂ and ZrO₂ sol preparation was completed in a glove box under a N₂ environment with an H₂O concentration of 1 ppm [51]. The reaction of 231 nM HA (HA solution was 461 produced in toluene) with 97.2 mM solution of tetra butyl ammonium borohydride (TBAB) at 462 25°C resulted in hexanoic acid stabilised GNPs (HA-GNPs). It was used to make TBAB 463 solution in DDAB. A 25 M dilution of AuCl4 made with stock of DDAB and a 2 mL solution 464 of standard produced in toluene were vigorously poured to the aforementioned reaction 465 mixture. To form HA-GNPs, the container was vigorously agitated for an hour at room 466 467 temperature [9].

468 11.3. Physical Method

A container, a gold plate, a lens with a 25 cm focus, a stirrer with a magnetic field, a second 469 harmonic 532 nm Q-switched Nd:YAG laser, and other materials were used to conduct the 470 experiment at room temperature. In this investigation, a high purity, 99.99 percent pure gold 471 plate and a 99.9% inhibitor-free anhydrous form of (tetrahydrofuran) THF were employed. 472 After being secured to a support, the gold plate was submerged in 20 mL of THF. Above 473 474 mentioned laser beam with a pulse energy of 1200 mJ and a pulse duration of 10 ns was then used to ablate it. The duration of the gold plate ablation, which used a 40 Hz repetition rate, 475 476 ranged from 7 to 30 minutes. THF solvent in the beaker was subjected to two conditions during this procedure: stationary liquid medium and stirring. A magnetic stirrer was used for the first 477 478 scenario, and its speed was maintained at 400 rpm throughout the test. The creation of the plasma plume was observed during the ablation procedure using light emission. The UV-visible 479 480 bandwidth, the bond strength, the shape, and dimension of the GNPs were all evaluated. The prepared samples were characterized using various analytical techniques [9]. Tetra chloroauric 481 482 (III) acid, 15 mM, was dissolved in water. Tetraoctyl ammonium bromide, 35 mM in toluene, 483 was poured to the mixture (10 mL). As the toluene phase turned orange-brown upon mixing, AuCl₄ ions moved to the top organic layer. Then, 15 min of cycle 1 centrifuging was repeated 484

three times at 14000 rpm. After removing the supernatant, vortex mixing was used for 485 resuspension of the latex mesosphere in sterile unionized water. A tiny amount of the latex 486 suspension was placed to a clean Si (111) substrate, and it was left to air dry for 20 minutes at 487 room temperature (25°C, 50% relative humidity). The closely packed spheres create an 488 iridescent film on the surface on its own when the water evaporates as a result of capillary 489 490 pressure during the drying process. Although a small layer of water is still present in some of the surface parts, water vapor is trapped and forms a liquid meniscus ring around the base of 491 the spheres. The regions where water residues are found determine where octadecyl 492 493 trichlorosilane (OTS) will adhere onto the facet. The scorched latex covering, acted as a patterned evaporative mask which was kept in a tiny volume of neat OTS in a sealed room. To 494 produce OTS vapors, the specimen was prepared for eight hours at 70°C in an oven. Vapor 495 deposition does not affect the regions of the skin where latex fragments were present upon 496 coming in contact with the substrate. The latex mesosphere coating was then eliminated by 497 repeatedly sonicating and washing the sample with deionized water and ethanol. OTS molecule 498 nanopatterns remain on the surface after the latex mask is removed, exposing arrays of ring-499 shaped nanostructures [9]. 500 mg of cotton was frozen and milled for half an hour at 30 Hz in 500 the zirconia sample chamber at 77 K in the presence of six 10.06 mm zirconia balls. 5.1 103 M 501 502 of metal solution was added to the chamber after 3 mL were ground, and the resulting mixture was then mixed at 5 Hz for 30 seconds. HAuCl4, K2PtCl4, AgNO3 (in H2O), 503 504 Co(C5H7O2)2were all solution of metals in acetonitrile. Following that, the mixture was placed in a polypropylene tube, reduced to 6 mL with the solvent used to create the 505 506 corresponding metal solutions, and left in the shade for a day, a week or two weeks. The 507 material was then rinsed with acetonitrile and dried to prepare cellulose metal tiny materials by ball milling of cellulose [9]. 1 ml of HAuCl₄ solution (5 mM) and 1 ml of Na₃Ct solution (25 508 mM) were dissolved in 18 ml of water and then added to a microwave oven chamber to react 509 for 10 minutes at 210 W to create GNPs. Using a UV-vis spectrophotometer, the UV-vis 510 absorption behaviors of gold nanoparticles were observed. The gold nanoparticles were studied 511 utilizing zeta potential and transmission electron microscopy [9]. Numerous GNPs and their 512 functionalization, reducing agents, catalytic reagent as well as characterization are highlighted 513 in Table 1. 514

515

516

- **Table 1:** Table indicating various functionalizing, reducing agents, and catalytic reagent used
- 518 in synthesis of GNPs as well as the size and shape obtained upon their synthesis

S. No.	Compound and functionalization	Reducing agent/ catalytic reagent	Size (nm)	Shape	Reference
1.	Chloroauric acid (Au ⁺³ , HAuCl ₄)	Ascorbic acid	10-20	Colloidal	
2.	Gracinia mangostana	Compounds in Pericarp of G. Mangostana.	44.20 ± 16.99	Face center cubic(fcc) and spherical	
3.	Hibiscus rosa sinensis	Extract of hibiscus rosa sinensis	16-30	Spherical	[9]
4.	<i>Plumeria alba</i> leaf	NABH ₄	2.8 ± 5.6	Spherical	
5.	Minosa pudica leaf	NABH ₄	12.5	Spherical	
6.	Dalbergia coromandeliana root	Methylene blue with NaBH4 to Leucomethylene blue.	10.5	Spherical	[52]
7.	HAuCl _{4(aq)}	Tetra octyl ammonium bromide (TOAB)	1.5-5.2	Spherical	[53]
8.	Gold salt's seed Gold salt in presence of ascorbic acid and structure-directing Agents.	NABH4	3.5-4 can grow up to 20- 60	Nanorods	[54]
9.	Sclerotium rolfsii		25	Triangles, hexagonal, rods	[55]

10.	Hexanoic acid Solution + Tetra butyl Ammonium borohydride		4	Spherical	
11.	Decanoic acid Solution (DA) + Tetra butyl ammonium borohydride (TBAB)		7	Spherical	[9]
12.	Hippomane spinosa	Bioreduction of Chloroauric acid (HAuCl4)	80-90	Spherical	[9]
13.	Nephentes khastana	- 2	50-80	Triangular and spherical	[2]

519

520 12. Key parameters of GNPs that are more determinant for LC

Mostly, gold is inert chemically, with a relatively higher biocompatibility in human beings. 521 Reduction of particle size of gold results in increased surface area per unit mass and thus, offers 522 523 a larger chemical surface for functional modifications. Gold also offers a variety of morphologies facilitating their applications in different areas [18]. The nano-size of material 524 enables an interaction of electrons with the light at the surface of gold that results in the surface 525 526 plasmon resonance (SPR). Incident light excites conduction electrons in metal as a result of which collective oscillation of these electrons takes place which is called as SPR which is 527 mostly dependent on the size and shape of the structure. SPR enables their applications in 528 medical field by modulation of the effect of electromagnetic waves focused around the material 529 [57]. In view of that, it becomes important to comply with the biological/optical window by 530 tuning the SPR absorption wavelength within the NIR region of the electromagnetic spectrum 531 532 (650-1300 nm) as it facilitates deeper tissue penetration of light since other biological species are unable to absorb light in this range [58]. Charge and coating on the surface are the 533 determinants of interaction between GNPs and biomolecules. Polymer coating provides 534

desirable hydrophobicity that helps repelling plasma proteins and thus, prevents aggregation
and increases blood circulation time. PEG is the most used coating material for gold surface
that limits the protein absorption on surface of GNPs and enhances the permeability and
retention (EPR effect) in the tumour [59].

539 13. Role of GNPs to treat LC

540 13.1. GNPs as diagnostic agents

Diagnosis includes Imaging, which becomes crucial for early diagnosis, prognosis, and 541 targeting tumor cell's location. GNPs prove to be advantageous among other conventional 542 methodologies due to their versatility in reflecting a combination of multiple imaging 543 modalities. The next important attribute is CT and X-ray scans, these techniques are useful in 544 discovering and mounting of LC. The remarkable optical properties of GNPs increase the 545 clarity of images of complexity to multiple folds in comparison to the conventional scans done. 546 Along with this, patients can be tension free of the radiation as it's the lowest. The third 547 diagnostic approach is fluorescence microscopy, done using the plasmon ring on the gold 548 nanoparticle's surface and a fluorophore to overlap between their emission spectra will enhance 549 the imaging capabilities called the fluorescence resonance energy transfer (FRET) 550 phenomenon. The fourth one is MRI, an exemplary useful technique to carve the abnormalities 551 in LC and diagnose them. Using, GNPs attached to gadolinium chelates, improve the diagnosis, 552 execution, distinction and interactions with cancerous cells. GNPs have become a budding 553 source of information to deal with life-threatening diseases, as mentioned by nano researchers. 554 Their applicative function in the diagnosis of LC is of wide use due to their visual and physical 555 556 qualities that are positive. The SPR property of GNPs is one of the striking features of its optical character. The SPR is a process that allows the gold's electrons to resonate in counter to the 557 558 radiations striking it, further leading to two simultaneous actions, absorption of radiation as well as a scattering of radiation in the form of heat, light or radiations. This group of electrons 559 560 undergoing oscillations because of energy absorption is called a plasmon. The utility of GNPs also increases to a high bar serving its purpose in the diagnosis of cancer. When they can be 561 conjugated with numerous other bioactive moieties, specifically with thiol groups, and amine 562 groups. They are eligible to lay out opportunities for important biomedical applications 563 covering the area of therapeutics, targeting specific genes, bioimaging, sensing, MRI, and 564 scanning [60]. 565

20

In photothermal therapy (PTT), SPR phenomenon is taken into the consideration. PTT gets 566 induced due to the excitation of photons at a particular temperature giving a physiological 567 response. High temperature melts the tumor along with the gold. As GNPs easily convert light 568 to heat due to the SPR phenomenon. It induces rapid tumor cell death (necrosis) without 569 damaging surrounding tissues [61]. Certain studies have been performed which indicate the 570 571 PTT used in the diagnosis of cancer. H Liu et al. (2008) have studies role of GNCPSs against Lewis LC (LLC) in mice and subjected to a modest dosage of NIR light (808 nm, 4 W^{cm-2}), 572 our study found that LLC resulted in irreparable tissue damage. With an average inhibition rate 573 574 of approximately 55% (P 0.005), the tumor sizes of the treated group with GNCPSs were considerably lower than those of the control groups. This work demonstrates the potential of 575 GNCPSs for plasmonic photothermal tumor treatment [62]. Rupesh Jain et al. (Year) have 576 reported improve the efficiency of photodynamic therapy for the treatment of LC, Liu et al. 577 created R13 aptamer conjugated trimalonic acid modified C70 fullerene. Rupesh Jain et al. 578 have also explained the action of photosensitizers. The photosensitizer is initially infused into 579 the bloodstream or administered topically, where it soon accumulates at the tumor site, either 580 gradually or aggressively. After that, the tumor is exposed to light radiation, which activates 581 the photosensitizing molecule. The tumor cell dies as a result of the ROS that 582 583 photosensitizer creates. That is how Photodynamic therapy (PDT) is an useful therapy for treating cancer [63]. PDT, is another diagnostic approach used for cancer treatment. The light 584 source, photosensitizers and oxygen from the tissues are the requirements needed to 585 comprehend the process of PDT. In this process, a photosensitizing agent like porphyrin is 586 587 injected through intravenous administration and upon action, by light of specific wavelength, it causes ROS to be produced leading to the death of cancerous cell. 588

Fluorescence microscopy is the diagnosis technique that uses the plasmon ring on the gold 589 590 nanoparticle's surface and a fluorophore to overlap between their emission spectra which will enhance the imaging capabilities called the fluorescence resonance energy transfer (FRET) 591 592 phenomenon [64]. Man Wang et al. (2014) have an approach to make high-enhancement clean gold nanostar substrates that could have a stronger enhancing impact. The acquired substrates 593 594 will allow us to effectively compare and discriminate between two LC cell lines [human type II alveolar epithelial cell line (AT II) and human lung adenocarcinoma epithelial cell line 595 (A549)] and one normal lung cell line. Using SERS spectra. Hence, will help in early cancer 596 detection and clinical cancer therapy [65]. When the SPR of GNPs and the fluorophore's 597

absorption and emission spectra overlap, it has been demonstrated that GNPs significantlyincrease the excitation of fluorescence probes

600 *13.2. GNPs as sensors*

601 GNPs as immunosensors help in sensing of overexpressed antigens in tumor cells. GNPs have multiple layers which tend to catch antibodies to generate optical signals that can be read. Next 602 are the serum tumor markers. The third approach involves the GNPs as genosensors, which 603 604 make the detection of nucleic acid easy, which is a challenging task during indications of LC, 605 in those cases specially designed spherical-shaped GNPs called genosensors are taken into account that maximize the surface area to give high throughput, promising detections of 606 607 numerous microRNAs. We also have novel sensing methods, such as in biopsies, exhaled Volatile organic compounds (VOCs) detection can be made easily. Any alterations hint 608 609 progression of carcinogenesis of LC. Therefore, exhaled VOCs from the breath will get 610 absorbed into a layer of GNPs prepared which will allow biomolecular detection. Another group of fascinating biomarkers are overexpressed genes linked to tumors. Numerous potential 611 microRNAs that are critical to the growth of LC have recently been discovered using high-612 throughput genomics approaches [66]. For instance, high levels of micro RNA-21 are thought 613 to be a sign of LC [67]. These molecules are known as microRNAs, which are tiny in between 614 19-25 nucleotide noncoding RNAs (ncRNA) that control protein or messenger RNA 615 expression by interacting with matching target messenger RNAs. This interaction either 616 inhibits or degrades mRNA [68]. Most biological processes, such as Regulation of the cell 617 cycle, cell death, vascular growth, cell differentiation, immune system management, and 618 transformation, are regulated by micro-ribonucleic acids (miRNAs), which are 619 620 phylogenetically conserved [69–71]. Shao su et al. (2016), stated that for label-free detection of microRNA-21 (miRNA-21), which is a biomarker for lung malignancies, a highly sensitive 621 622 electrochemical biosensor is created. Using DNA probes to create hierarchical, flower-like gold nanostructures, they were able to detect miRNA-21 with very low sensitivity applying 623 624 hybridization. Hence, it is concluded that the biosensor may be used to assess the amount of miRNA-21 expression in human LC cell (A549) lysates and performed well in 100% serum, 625 626 indicating the possibility of using it for a variety of bioanalysis and clinical diagnostic procedures. [67]. Additionally, long-noncoding RNAs, additional types of microRNAs linked 627 628 to LC, and circulating tumor DNA have all been better detected using gold nanostructures [72]. The so-called "Geno-sensors" or nucleotide-based sensing (NABSs) are biological tools that 629 may mark the nucleic acids (DNA or RNA) reaction based hybridization [73]. Sandwich and 630

competitive forms are preferable over direct ones to increase detection limits [73]. Geno 631 sensors' recognition elements target nucleic acid patterns and probes, whose hybridization a 632 direct format can view. The ss-DNA, probe-target DNA combination on the geno sensor's 633 surface occasionally failed to lead to the aspired modifications to the transduction values. The 634 ssDNA probe is immobilized on a transducer surface in the direct format, which depends on 635 label-free detection, instead of the sandwich and other forms which combine a genetic target 636 and a stranded DNA probe in an incubator. In an experiment, based on GNPs and microarrays, 637 a quick and incredibly sensitive multiple protein detection assay was carried out. The benefit 638 639 was that 12 samples could have biomarkers measured simultaneously. Compared to current assays, this requires fewer samples and less time. Unlike the traditional biomarker detection 640 approach, numerous detection antibody molecules are attached to gold nanoparticle carriers, 641 increasing the rate at which antigenic material molecules are combined and captured on the 642 microarray analysis. Considering next, gold-precipitation staining amplifies the signals, 643 considerably increasing the discernment level. W. Gao et al. (2016) in his experiment, 644 performed an assay recruiting 106 LC patients along with 42 healthy people to analyse the 645 presence of biomarkers for early diagnosis of LC. He emphasized upon the assay of many 646 serum tumour markers, including carcinoembryonic antigen (CEA), cytokeratin 19 fragment 647 648 antigen (CYFRA21-1), neuron specific enolase (NSE), and a novel biomarker Dickkopf-1 (DKK1). Where Capture antibodies bound to microarrays and detection antibodies carried on 649 customised GNPs bridged four target proteins. As a result, by using HAuCl₄ and H₂O₂ to 650 deposit gold, optical signals produced and were visible with a microscope or the unaided eye. 651 When compared to sensitivity of single markers, combined detection of the four tumour 652 markers significantly increased sensitivity to 87.74% for diagnosis of LC. Therefore, Based on 653 GNPs and microarrays, a quick and extremely sensitive co-detection approach for numerous 654 biomarker was created [74]. H. Daraee et al. in their research work, created and 655 examined GNPs to track the sequence of hnRNPB1a as a biomarker for LC. The alterations in 656 the samples' absorption spectra in the 250–750 nm region was used to establish the minimal 657 level of detection (LOD) in solution, including DNA target and probe aggregation. After the 658 target was detected, the results demonstrated that the percentage of dispersion increased with 659 increasing hnRNP target concentration, and the technique's LOD was equivalent to 300 660 fmol/ml of the synthetic hnRNP target, demonstrating its great sensitivity. Hence, the outcomes 661 were positive and could result in the advancement of technological knowledge that might serve 662 as the foundation for the creation of LC diagnostic kits in future diagnosis. [75]. Due to the 663 numerous benefits, they provide, using nanotechnology-based technologies, in particular the 664

usage of GNPs, may be a good tool for the identification of this condition. When compared to 665 existing molecular detection techniques, these benefits include cost savings, speed, simplicity 666 and accuracy, all of which may be shown and used in the future. The discoveries might result 667 in the growth of technological understanding that will provide the groundwork for the 668 production of LC diagnostic tools. In one of the early investigations, Mykhaylyk et al. 669 670 investigated the absorption, distribution, metabolism and excretion characteristics of doxorubicin magnetic conjugate (DOX-M) nanoparticles utilizing a mouse model. Researchers 671 in this work injected DOX-M formulations into the sinus eye vein of grown-up male rodents 672 673 and administered a field of magnetic attraction over the left lung to see how effectively an irregular magnetic field affected the visual clarity of the magnetic DOX-M. They demonstrated 674 how an irregular magnetic field dramatically altered the metabolism and absorption of the 675 DOX-M combination. When compared to a control lacking a magnetic field, the application of 676 a magnetic field led to a significant enrichment of DOX-M in the lungs and a depletion of the 677 678 magnetic carrier in the liver. They demonstrated how using an alluring field might greatly enhance DOX-M's penetration in the lung [76]. Considering next study by Barash et al. wherein 679 the published data served as a springboard for developing a bedside tool that might detect LC 680 in its earliest stages and increase cure rates. H820, H1975, and A549 were the cell lines 681 682 collected for the experiment. They detected 15 VOCs that exclusively exist in NSCLC and do not appear in the control medium, and 40 common VOCs (volatile organic compounds) that 683 are present in >85% of NSCLCs and the control medium. Using this information, they created 684 a variety of cross-reactivity sensors that are very sensitive, easy to use, and affordable, and 685 exposed them to both NSCLC and the control media. Without applying any preconcentration 686 techniques, PCA analysis of the array of sensors' responses revealed a 100% separation 687 between the NSCLC clusters and the control medium. Hence forth, success in this project 688 would eventually serve as a springboard for efforts for the quick identification of LC in 689 690 fresh frozen tissues in surgical suites, where a binary diagnosis is essential for directing surgeons during operation [77]. Colloidal GNP is the most typical form of nanoparticle used in 691 LFIS (lateral flow immunosensing). The LFIS method is based on immunological reactions in 692 which an allergen is recognized by an antigen-specific antibody that has been labelled with 693 694 different markers, including GNPs carbon dots, and quantum dots. On the basis of detection type, the LFIS can be divided into qualitative, semi-quantitative, or quantitative approaches. 695 LFIS has developed into a crucial diagnostics technique due to its detection at low limits, high 696 sensitivity, high specificity, durability, economic benefits and other qualities. Colloidal gold 697 698 solutions made from GNPs have been utilized in studies to create immunochromatographic

699 strips and lateral flow devices that can detect various compounds and proteins. Numerous 700 analytes were identified by coupling colloidal gold with detection probes, including 701 immunoglobulin G (IgG) against Treponema pallidum, microbial transglutaminase (MTGase) 702 in samples of frozen foods, cortisol in homo sapiens, Staphylococcus aureus in food samples, 703 microalbuminuria diagnosis, and nitrofuran metabolites in fish sample [78].

704 13.3. GNPs as therapeutic agents

GNP based therapy involves improved drug delivery, utilizing GNPs to target the cancer site 705 706 due to their trans locative ability to bypass cell barriers, increased drug uptake and DNA repair mechanisms. In contrast to the usual problems faced by the use of anticancer drugs of 707 708 resistance, decreased drug uptake, low specificity and pessimistic biodistribution of the drug. 709 Next is gene silencing therapy, in which cells may be effectively infected with siRNAs using 710 both time and resources that have been harnessed. Followed by Immunotherapies, which are in use for patients suffering mutations as one reason for LC complexation. The approach of 711 immunotherapies involves the promotion and dendritic cell maturation in the lymph node 712 triggering antigen-specific lymphocyte response and local LC treatment. GNPs are efficiently 713 seen to increase dendritic maturation upon injecting coated nanocages. A prior study 714 demonstrated that the surface area of GNPs significantly influences their therapeutic impact 715 [79]. The cellular activities and reactions of GNPs with human LC cells are rather little 716 understood. Additionally, it is crucial to primarily concentrate on the effects of nanoparticles 717 on a particular cancer cell, to get an understanding of the root mechanisms involved. Zhengxia 718 Liu et al., (2014) examined the cytotoxicity and cell invasion propensity in A549 and 95D cells. 719

In vitro permeability study reported that GNPs easily cross and A549 and 95D cells throughsendocytosis pathways.

In vitro, following GNP treatment and demonstrated that LC cell may endocytose tiny GNPs, 722 which enhances cell invasion. It was observed that GNPs of 5nm and 10nm were easily 723 internalized into cytoplasmic vesicles by A549 and 95D cells respectively by means of general 724 725 endocytosis. The study also demonstrated that in two LC cell lines, tiny GNPs with a 2.5nm radius are highly effective in preventing, expansion in volume encouraging apoptosis, the cell 726 cycle stops at the resting phase and G1 phase. In contrast, 10nm and its exponential size 727 nanoparticle like 20 nm and 40 nm GNP treated cells showed no noticeable signs of 728 cytotoxicity. Hence, it was also clear that GNPs and their distinct size-despendent 729 physiochemical characteristics have an amazing connection. [80]. The utilization of GNPs 730

coupled to CpG oligo-deoxynucleotides (CpG-ODNs) is one of the most obvious 731 improvements. These vernacular CpG-ODNs are promptly destroyed by cell cytoplasm 732 nucleases because they cannot cross the cell membrane into the cytoplasm. Due to its 733 remarkable in vitro effectiveness, ODN-GNP is conjugated and employed for administration 734 within the cells. Gold nanospheres within size range of 15–50 nm performs better than different 735 nanoforms when GNPs are crosslinked with CpG-ODNs. In cancer immunotherapy, 736 GNPs have also been utilized in conjunction with CpG-ODNs, tumor necrosis factor TGF, the 737 protein PDL1 inhibitor, unique antibodies, and other tumor cell mortality factors and 738 739 immunostimulants. Strong contacts between dendritic cells and GNPs produced immune 740 system-boosting cytokines [81].

The Hyperthermal Therapy: The first report on the application of particles of gold to 741 742 hyperthermal therapy was presented by Halas et al. (2003). They created 10-nm gold nano shells mounted on a silica surface and worked on their application in the near-infrared 743 744 photothermal killing of cancer cells. Using the HER2 antibody, nano shells were employed to actively target breast cancer cells, and extravasation was used to congregate PEG-coated gold 745 on silicon. Nano shells were passively targeted in a murine in vivo model. In the latter 746 investigation, it was discovered that NIR irradiation increased the target area temperature by 747 40 to 50°C, which specifically eliminated the carcinomas. Compared to controls, mice's 748 likelihood of survival given this treatment was quite good. Therefore, it resulted in no surprise 749 that particle morphologies other than nano shells can produce the therapeutic effect. [82]. In 750 another study, S. Kumar et al. (2020) reported that gold nanoclusters (GNCs) have also been 751 developed as a nanocomposite with fluorescent conjugated polymer-poly (2-methoxy-5-752 (2ethylhexyloxy)1,4-phenylenevinylene) (MEH-PPV), which is suitable for cancer studies due 753 to its stable illumination, light picking, and receptive light response. It was observed that strong 754 red fluorescence signals were detected after MEH-PPV@PEI-GNCs were incubated with 755 human LC cells (A549), showing effective biodistribution in the cytoplasm. The created system 756 was suitable with the target cells at different concentrations. According to a cytotoxicity 757 investigation, upon irradiation, MEH-PPV@PEI-GNCs were able to cause cell death and 758 759 resulted in a significant drop in cell viability. This is how a combined technology was employed for cancer cell imaging and photothermal destruction. This decrease was ascribed to GNCs' 760 ability to produce localised high temperatures, which makes them ideal agents for photothermal 761 elimination of cancer [83]. S. Rajeshkumar et al. in his experiment (2016) utilized HepG-2 and 762 763 LC cell (A549) lines to test the anticancer effects of GNPs. Evaluation and comparison of in

vitro cytotoxic activity against the cell lines was done at various doses with the reference 764 medication cyclophosphamide. The findings demonstrate the efficacy of cytotoxic action 765 against cancer cells. The anticancer activity was significantly influenced by the quantity of 766 GNPs present. 100µg, followed by 50µg, 25µg, and 1µg, provide good results in terms of 767 performance against A549. The active physicochemical interaction of gold atoms with the 768 769 functional groups of intracellular proteins, as well as with the nitrogen bases and phosphate 770 groups in DNA, is what caused GNPs' cytotoxic effects [84]. S. viswanathan et al., used red 771 seaweed Champia parvula in the biosynthesis of GNPs and researched about its anti-oxidant, 772 free radical scavenging activity and anticarcinogenic properties that are effective against LC. Utilising Vitamin C as a reference, the anti-oxidant ability of Cp-GNPs was examined utilising 773 the DPPH (2,2-Diphenyl-1-picrylhydrazyl), H2O2 (hydrogen peroxide), and FRAP (ferrous 774 reducing assay power) radical scavenging assays. The MTT(3-(4,5-dimethylthiazol-2-yl)-2,5-775 diphenyl tetrazolium bromide) test was used to evaluate the anti-cancer efficacy of Cp-GNPs 776 against LC cell lines (A549). At a CpGNPs concentration of 50 mg/mL, 46.7% viable 777 cells were discovered. In A549 cells, the biosynthesized GNPs' IC50 value was discovered to 778 779 be 36.08 mg/mL.Sincce, they included anti-oxidant-rich components, the biogenic Cp-GNPs demonstrated remarkable anti-oxidant along with free radical scavenging capacity. Hence, 780 781 examination on LC (A549) cells, anti-cancer activity of Cp-GNPs was examined and high anticancer potential was found [47]. 782

783 13.4. Theranostic role of GNPs for LC

784 GNPs have been extensively used for theranostic applications in cancer owing to the ease of 785 synthesis, biocompatibility, and multifunctional characteristics [85]. Many researchers have utilized theranostic applications of GNPs in lung cancer. Knights et al. studied the effects of 786 787 size of gold nanorods (GNR) on photoacoustic response, efficacy of pulse-wave plasmonic photothermal therapy, and photoacoustic imaging in a tissue-mimicking phantom for the 788 progress of GNRs towards their clinical use. Under pulse-wave illumination, all GNRs 789 exhibited toxicity at a laser fluence below the maximum permissible exposure to skin, and a 790 maximum of 80 % cell-death showed by the smallest GNRs that favoured the feasibility of 791 pulse-wave plasmonic photothermal therapy. GNRs combined with pulse-wave laser showed 792 793 the potential theranostic application in the lung [86]. Nanospectra developed polyethylene glycol (PEG)-stabilized silica-gold nanoshells for the photothermal therapy to the solid tumors 794 795 using near-infrared (NIR) light source [87]. In addition, AuroLase® was used for the photothermal ablation of primary or metastatic lung tumors (NCT01679470) [60]. Another 796

- study reported by Ramalingam et al. exhibited the increased anti-cancer efficacy of doxorubicin
- 798 (DOX) using polyvinylpyrrolidone (PVP) functionalized GNPs in lung cancer cells. PVP-
- functionalized GNPs were able to increases the generation of reactive oxygen species (ROS),
- 800 up-regulation of tumor-suppressor genes, and apoptosis induction in lung cancer cells [88].
- 801 Peng et al. GNPs-based array of sensors which, in a high humidity atmosphere, could rapidly
- distinguish the breaths of lung cancer patients and that of healthy subjects. The results of study
- claimed that this technique could be used as a cost-effective and non-invasive diagnostic tool

in lung cancer [89].

Table 2. Role of GNPs against LC

S No.	Method of preparation	Drug	Type of LC cell line	Outcome	Reference s
		THERAPEU	FIC APPLIC	ATIONS	
1.	Green synthesis	Pleuropterus multiforus	A549	 Reduced cytotoxicity level Migrated of hazardous tumor growth protein Promoted DNA damage in cancer cells Activated protein expression specially caspase 3, P53, P38 	[90]
2.	Green synthesis	Padina tetrastromatica	A549	 The average particles dimeter of GNPs was observed 8-10 nm Increased production of oxidative stress and elevate level of ROS in cancer Reduced cell viability 	[91]
3.	Green Synthesis	Lantana montevidensis (LM)	A549	 An <i>in-vivo</i> toxicity investigation was conducted, all medications were administered to C57BL6 black mice through the intraperitoneal route (IP). Suppressed cancer cell growth in comparison to the free LM extract and conventionally synthesized GNPs 	[92]

				•	Increased cellular ROS	
					production	
				•	G2/M in A549 was arrested	
					which resulted in apoptosis	
				•	GNPs exhibited scavenge free	
					radicals' production in cancer	
	Biogenic	Champia parvula			cells	F 4 5 3
4.	production	(Cp)	A549	•	Downregulated 80.2%, DPPH	[47]
					scavenging activities at a dose of	
					50 mg/mL.	
				•	Reduced growth of tumar cells to	
					70% for BC-GNPs and maximum	
				•	Collagen gold at 2.5 ppm,	
					decreased cancer cells population	
					of S-phase	
5.	Sonication	biocompatible	A549	•	60% (p 0.01) of the tumour	[93]
		collagen (BC)	.0		weight was reduced by the	
					collagen nanogold carrier,	
					compared to 20% (p 0.05) by the	
					lip-ofetamine carrier.	
					r	
		Glucose capped		•	Increased ROS, cytotoxicity,	
6.	Chemical route	GNPs (Glu-	A549		cytokinesis to stop, and apoptosis	[94]
		GNPs)			in cancer cells	
				•	Induced apoptosis which is	
	3	Citrate- and			concentration dependent	
		polyethylene		•	Reduced cellular growth and	
7.	Chemical reduction	imine (PEI)-	A549		altered nuclear morphology	[95]
		functionalised		•	Decreased in cellular membrane	
		GNP			size	
		DOX@PVP-GNP				
		PVP.		•	Early and late apoptosis induction	
8.	Chemical synthesis	Polyvinylpyrrolid	A549		and overexpression of tumor	[60]
		one			suppressor genes in LC cells	
		Curcumin-				
		containing		•	Produced sustained release	
9	Stirring and	CD/PEG-	A 549		profile	[96]
2.	centrifugation	conjugated GNPs	110 19		Promoted cancer cells growth	[20]
		(cur-CD-GNPs)			Tomoted cancer cens growin	

		CD:cyclodextrin]
10.	Stirring	Kaempferol (K)	A549	 Increased DNA damage to the A549 cancer cell Produced less toxicity to the normal human cells Nucleus condensed and fragmented seen as per theconfocal imaging of DAPI-stained samples Nuclear breakage signalling apoptosis Exhibited cytotoxicity at a relatively low dosage (12.5 g/mL) in LC celles 	[97]	
1.	Copper-free click chemistry	DNA based GNPs	A549	 Decreased cell viability less than 70% Two fluorescent red and green signals corresponding to the identification of both keratin 8 and vimentin mRNAs were seen during nanoparticle dimers were incubated with A549 cells 	[98]	
2.	Chemical reduction	Polyethylene glycol (PEG)	A549	 Reduced cell proliferation between 4 to 20% Activated <i>in vitro</i> surface- enhanced raman scattering (SERS) 	[99]	
3.	Green synthesis	GNPs-based sensors	A549	• GNPs used to detect LC cells	[100]	
4.	microwave- hydrothermal method	Colloidal carbon	A549	• Reduced cell viability in A549 cells and more than 80% of cells were still viable after 48 h which showed high biocompatibility that is suited for sensing with A549 cells	[101]	

		DIAGNOSTIC	CAPPLICATI	ONS	
1.	Seed-mediated and seedless growth	Doxorubicin	A549	 Sensitized DNA double-strand and breaks Reduced cancer cells 	[102]
3.	Incubation	Photothermal bubbles created around GNP	A549	PhotothermalysisReduced cell viability nearlly 8%	[103]
5.	Physical method	Using GNPs- conjugated aptamer ENO1 antibodY was targeted.	A549	 Solid-phase microextraction with gas chromatography/mass spectrometry was combined that helped in identifying volatile organic compounds acting as biomarkers 	[104]

806

807 14. Fate of GNPs in the body

Kadhim et al. investigated the toxicity of GNPs in-vitro (rat embryonic fibroblast cell lines) 808 and in-vivo (mice model). MTT assay was used to investigate cytotoxic activity of GNPs 809 against the cell line and intraperitoneal injection of GNPs at a concertation 100 mg/Kg was 810 used for in-vivo study. Post-GNPs treatment, no cytotoxicity and morphological alterations 811 were observed against rat embryonic fibroblast cell lines at concentration of 1, 5, and 10 µg/ml. 812 Similarly, no changes were visible in histopathological studies. The research findings 813 suggested the biocompatible nature of GNPs both in-vitro and in-vivo [105]. Another study 814 reported transmission electron microscopy study of the uptake of ca. 16 nm surface modified 815 GNPs by human fibroblast cells (HeLa cells). It was inferred that delivering the nanoparticles 816 817 in form of liposomes or by surface modified nanoparticles can significantly bypass the wellknown endosomal route of cellular uptake [106]. According to Goodman et al., anionic GNPs 818 819 were non-toxic whereas cationic GNPs showed moderate toxicity for erythrocytes, when used at the same concentration. They also studied the effect of GNPs with different surface charges 820 821 on embryo development in zebrafish and reported non-charged GNPs without adverse effects 822 while anionic GNPs were observed to provoke the behavioural abnormalities in the larva. Yang 823 et al. observed fourfold more toxicity of aggregated cationic GNPs in human dermal fibroblasts 824 as compared to non-aggregated particles [107].

Nanoparticles clearance generally takes place through liver and kidney depending upon their
size. When the size of GNPs is greater than renal filtration cutoff, their excretion from the blood

takes place by the reticuloendothelial system (RES) to get accumulated in the liver. Urinary
excretion filters out the small sized-particles in a few hours to days post-administration.
Hepatobiliary excretion removes the GNPs in a few hours to weeks after their administration.
On the other hand, RES traps non-degradable GNPs for more than 6 months. Thus, the liver,
kidney, and spleen are the major organs responsible for elimination of GNPs from the body
[108].

833 15. Conclusion and future perspective

High-caliber GNPs are becoming increasingly important in a range of high-technology 834 applications and biomedical applications. However, there still lies a challenge. Using 835 conventional gold in block form could be extremely strenuous, as, an antibody cannot be very 836 837 appropriately linked to it in that form compared to its nanoform. Acknowledging the revolution that was brought to provide a solution is related to development of functionalized nanoparticles. 838 Functionalization offers a proprietary surface coat to the nanoparticle that further makes the 839 nanoparticles extremely stable and helps in their active targeting. Thus, functionalized GNPs 840 can be conveniently covalently linked to an antibody as well as oligonucleotides. 841 Consequently, providing a therapeutic and diagnostic approach to working. Additionally, 842 colloidal gold has the most consistent and stable shape. Gold's property of forming bonds with 843 amine and thiol groups easily allows GNPs to be tagged with ligands. Ligands are molecules 844 that attach to the outside of a nanoparticle and bind preferentially to receptors on tumor cells. 845 Another property that GNPs prevail in related to their plasmon gold nanoform. Plasmon GNPs 846 are particles made of gold that are having optical properties. They even differ in their color and 847 appearances from block gold that are normally golden in color, reflecting shiny light and giving 848 luminescence. Based on their size GNPs appear to be red, yellow, green, and purple in color, 849 850 which changes on the basis of their size and shape. It gets even more magnificent when we look at their electrons which are also nano-small, even smaller than light. Thus GNPs can fit 851 in between the wavelengths of light. Therefore, whenever a light wave passes out over the 852 GNPs, the electric field causes the free electrons on the surface of the GNPs to move. It causes 853 electrons present on the surface of the particle to oscillate SPR. These nanoparticles thus, 854 acquire the ability to unzip DNA, and site-target tumor cells by being coated with specific 855 856 molecules designed to attach cancerous cells. These gold-loaded cancer cells are targeted using low-power laser light. The unique advantage of this technique is that only the cancer cells 857 experience heavy gold loading while surrounding cells with much lower loading are less 858

affected by the treatment. These special properties of GNPs make them special and a goodcandidate for their biomedical application against LC.

Despite these advantages the GNPs have to address major bottlenecks related to their in vivo 861 application. As GNPs work on cancer cells through EPR effect that help them to enter into 862 tumor cells via their leaky vasculature. They remain within the tumor for longer time due to 863 poor lymphatic flow. In addition, the size and shape of GNPs play important role in passive 864 targeting of cancerous cells. Hence, a better control on size and shape is required during their 865 866 synthesis to achieve desired targeting of GNPs to tumor cells. Usually, GNPs having size less than 200 nm is required for excellent EPR effect, however, size less than 50 nm enhances faster 867 868 extravasation of GNPs from tumor cells through fenestrations. This leads to poor retention/stay of GNPs inside tumor cells leading to ineffective treatment. Hence, size and shape controlled 869 870 synthesis of GNPs is very important in order to get optimum size that could offer better permeation as well as retention of GNPs inside tumor cells. This becomes a critical factor when 871 872 the GNPs have to be synthesized at commercial scale as the major challenge is faced during scale-up process. The stability of GNPs is another challenge that is required to be addressed. 873 The proper selection of type and concentration of stabilizer is important to develop stable 874 GNPs. Furthermore, the functionalization of GNPs to the therapeutics/biomolecules using 875 proper ligands lead to active targeting of GNPs to cancerous cells. The optimization of 876 formulation and process variables affecting active functionalization of GNPs to ligands should 877 be done through quality by design approach. This would help in achieving GNPs having good 878 active targeting properties. 879

880 Further, in vitro and in vivo correlation of functional ability of GNPs is required by correlating 881 their effect on cell lines and within the body as there exists variation due to disease physiology and heterogeneity among humans. The preclinical performance of GNPs related to therapeutic 882 883 efficacy, safety, biodistribution, and pharmacokinetics should be done on suitable animal model of LC and compared with the results of in vitro studies. The in vivo preclinical study 884 885 should be carried out on multiple animal models of LC in order to get reproducible results and better correlation with human physiology. Considering these factors in future studies would 886 887 definitely enable GNPs as very good candidates for their biomedical application in LC.

888 **Conflict of interest**: Declared none

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890

891 Acknowledgements

Kamal Dua is supported by a project grant from the Rebecca L Cooper Medical Research
Foundation and the Maridulu Budyari Gumal Sydney Partnership for Health, Education,
Research and Enterprise(SPHERE) RSEOH CAG Seed grant, fellowship and extension grant;
Faculty of Health MCR/ECR Mentorship Support Grant and UTS Global Strategic
Partnerships Seed Funding Scheme. We are also thankful to Embase, Elsevier for providing
support related to literature review.

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Research highlights

- There are about 1.76 million deaths due to LC per year.
- Existing therapies are less effective due to their poor permeation and retention • in LC cells
- GNPs are biocompatible, easily get functionalized, and stable to in vivo • oxidation
- GNPs can enhance bioavailability and site specific delivery of drugs to LC cells
- Surface plasmon resonance and optical properties enable GNPs as sensors and ٠ imaging agent

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Declaration of interests

 \boxtimes The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

□The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

