1 Examining the role of Acinetobacter baumannii Plasmid Types in

2 Disseminating Antimicrobial Resistance

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12 KEYWORDS

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15 ABSTRACT

16 Acinetobacter baumannii is a Gram-negative pathogen responsible for hospital-acquired 17 infections with high levels of antimicrobial resistance (AMR). The spread of multidrugresistant A. baumannii strains, particularly those resistant to carbapenems, has become a global 18 19 concern. Spread of AMR in *A. baumannii* is primarily mediated by the acquisition of AMR genes through mobile genetic elements, such as plasmids. Thus, a comprehensive 20 21 understanding of the role of different plasmid types in disseminating AMR genes is essential. 22 In this study, we analysed the distribution of plasmid types, sampling sources, geographic 23 locations, and AMR genes carried on A. baumannii plasmids. A collection of 814 complete plasmid entries was collated and analysed. Most plasmids were identified in clinical isolates 24 25 from East Asia, North America, South Asia, West Europe, and Australia.

We previously devised an Acinetobacter Plasmid Typing (APT) scheme where rep/Rep types 26 were defined using 95% nucleotide identity and updated the scheme in this study by adding 13 27 28 novel rep/Rep types (93 types total). The APT scheme now includes 178 Rep variants belonging to three families: R1, R3, and RP. R1-type plasmids were mainly associated with 29 global clone 1 strains, while R3-type plasmids were highly diverse and carried a variety of 30 31 AMR determinants including carbapenem, aminoglycoside and colistin resistance genes. Similarly, RP-type and rep-less plasmids were also identified as important carriers of 32 aminoglycoside and carbapenem resistance genes. This study provides a comprehensive 33 overview of the distribution and characteristics of A. baumannii plasmids, shedding light on 34 35 their role in the dissemination of AMR genes. The updated APT scheme and novel findings 36 enhance our understanding of the molecular epidemiology of A. baumannii and provide valuable insights for surveillance and control strategies. 37

38 IMPORTANCE

39 A. baumannii has emerged as a major cause of nosocomial infections, particularly in intensive care units, posing a substantial challenge to patient safety and healthcare systems. Plasmids, 40 which carry antimicrobial resistance (AMR) genes, play a crucial role in the multidrug 41 42 resistance exhibited by A. baumannii strains, necessitating a comprehensive understanding of plasmid spread, and how to track them. This study provides important insights into A. 43 baumannii plasmid epidemiology, and the extent of their role in spreading clinically significant 44 45 AMR genes and how they are differentially distributed across different clones i.e. sequence types (STs) and geographical regions. These insights are important for identifying high-risk 46 areas or clones implicated in plasmid transmission, in the context of the spread of multidrug-47 resistant A. baumannii strains. It also highlights the involvement of R3-type, RP-type and rep-48 less plasmids in the acquisition and spread of significant AMR genes including those conferring 49 50 resistance to carbapenems, aminoglycosides and colistin.

51 INTRODUCTION

52 Acinetobacter baumannii is a notorious opportunistic Gram-negative pathogen that causes hospital-acquired infections, such as bacteraemia, pneumonia, wound infections and urinary 53 54 tract infections¹ that are difficult — and in many cases impossible — to treat due to high levels of resistance to several antimicrobial classes ^{2,3}. Indeed, the spread of *A. baumannii* strains 55 resistant to all available antimicrobials has become a major global concern ^{3,4}, and carbapenem-56 resistant A. baumannii has been flagged by the World Health Organisation as the number one 57 priority for antimicrobial development ⁵. In A. baumannii, antimicrobial resistance (AMR) is 58 known to occur primarily by the horizontal acquisition of AMR genes via mobile genetic 59 elements (MGEs) such as transposons and plasmids ^{3,6-8}. Notably, A. baumannii plasmids are 60 61 increasingly recognised as a major source for disseminating AMR genes such as those that confer resistance to carbapenems and colistin ⁹⁻¹³. 62

63 A. baumannii has a unique repertoire of plasmids that capture and mobilise a wide range of genetic material involved in pathogenesis and AMR (6, 15-18). Recently, we developed a 64 plasmid typing scheme based on the sequence of replication initiation genes from 621 complete 65 A. baumannii plasmids called Acinetobacter Plasmid Typing (APT) scheme ¹³. This first 66 version of the APT scheme includes 80 Rep types belonging to three families; R1 types 1 to 6, 67 68 R3 types 1 to 69, and RP types 1-5 (https://github.com/MehradHamidian/AcinetobacterPlasmidTyping)¹³. However, the role of 69 each plasmid type in dissemination of AMR genes remains to be established. To date, several 70 studies have examined the role of A. baumannii plasmids in the spread of AMR genes 9,10,14-17 71 but most of these studies have only reported on individual or a limited number of plasmids and 72 thus, a comprehensive overview of how various plasmid types are involved in the 73 dissemination of AMR genes remains elusive. In this study, we address this gap by examining 74

the distribution of chromosomal sequence types, sampling, geographies, and AMR genes carried on plasmids originally included in the APT database alongside an additional 193 complete plasmids that have since been deposited in GenBank (as of August 18, 2022). We also provide an update to the original APT scheme with the addition of novel *rep*/Rep types.

79 RESULTS AND DISCUSSION

Overview of genome and plasmid dataset. As of August 18, 2022, 450 complete A. 80 81 *baumannii* genomes were available in GenBank. Of these 450 complete genomes, 80% (n=355) 82 had at least one plasmid (Table S1) with 236 genomes containing one (n=1) plasmid, and 113 83 carrying two plasmids (Table 1 and Table S1). Ninety-one (n=95) genomes lacked a plasmid and were not studied here. To broaden our plasmid dataset, we extended our search to the 84 RefSeq database and captured an additional 92 genomes that contained at least one (n=1) 85 86 plasmids. Of these 92 genomes/unique strains, n=63 were not linked to a genome project and n=29 genomes were sourced from WGS (Whole Genome Shotgun), which included draft 87 88 genomes with complete plasmid entries. Following curation of the dataset (i.e. exclusion of 89 duplicate entries and assembly QC; see methods for more details), our final dataset was comprised of 814 non-redundant plasmid entries corresponding to at least 440 unique isolates 90 91 (Table S1 and Table S2; n=2/814 plasmids unassigned due to absence of BioSample and strain 92 name). Notably, of the 440 unique genomes/isolates, the *rep*/Rep sequences of n=329 were had already been analysed in the original APT scheme ¹³. Indeed, in this study, we investigated the 93 94 prevalence of antimicrobial resistance (AMR) genes within different plasmid types, 95 considering their associated meta-data. A total of 440 unique genomes/strains were analysed, comprising both the 329 genomes previously reported and an additional 111 genomes captured 96 97 in this study. Over half of the isolates carried one plasmid (n=229 isolates; 52%) and 27%

98 (n=120) carried two plasmids (Table 1). Seven genomes contained 6-11 plasmids, indicating
99 that some *A. baumannii* strains have the capacity to carry a significantly high load of plasmids.

100 Of the 440 unique isolates, ST2 represented the most abundant sequence type (n=199), followed by ST1 (n=25), ST25 (n=14), and ST622 (n=10). In 2019, we reported that both the 101 102 geographies and sampling types of publicly available A. baumannii genomes deposited in 103 NCBI were extremely skewed³. This is similarly reflected in this dataset, with the vast majority sequenced in strains recovered from clinical samples (n=359; 81.5%), and few from non-104 105 clinical sources (FIG 1). This is primarily because of continued significance and attention paid towards clinical strains, and a lessened focus on studying the role of non-clinical reservoirs 106 107 (e.g. environment, animals) as potential sources for plasmids and AMR genes. Most complete plasmid entries were sequenced from isolates collected in East Asia (primarily China, 108 109 n=105/155 isolates), followed by North America (primarily US, n=77/90) and South Asia 110 (primarily India, n=44/46). The strains were isolated from forty-three countries, and those with 111 at least ten plasmids plus isolates within the dataset included South Korea (n=38), Australia 112 (n=15), France (n=15), Canada (n=13), Iraq (n=12), Mexico (n=12), and Italy (n=10; see FIG 1 and Table S1 and Table S2). 113

114 Novel *rep* sequences and update to the *Acinetobacter* Plasmid Typing (APT)

scheme. Of the 814 plasmids studied here, 621 (i.e. 71%) were previously used to generate
the first version of the APT scheme. An additional 193 complete plasmid entries, corresponding
to 92 isolates, had since been released in GenBank between February 2021 and mid-August
2022. Using the criteria we had previously established for assigning *rep* types (i.e., 95% DNA
identity) ¹³, n=13 novel *rep* types were identified (n=10 R3 types, designated R3-T70 to R3T79; n=2 R1 types, R1-T7 and R1-T8; n=1 RP type, RP-T6), resulting in a total of n=78, 7
and 6 R3, R1 and RP types, respectively (Table 2, Table S2). In addition to the novel *rep*/Rep

122 sequences, we also report additional updates to the scheme as follows. R3-T49 has been removed from the updated APT scheme, as the corresponding *rep* sequence (previously r3-123 T49 NZ AYFZ01000080.1 pABUH2a-5.6 c33) has been identified as a R3-T26 variant. 124 125 Specifically, this variant carries an insert of 84 bp that differentiates this sequence from the other R3-T26 variants. This entry has been subsequently renamed to R3-T26* and R3-T49 126 127 retired from the scheme. R1-T3 was also retired due to possible sequencing/assembly errors resulting in shortening the Rep reading frame by approximately 150 amino acids. Lastly, we 128 highlight two corrections to Figure 3 and Table 3 published in the original APT paper ¹³: i) R3-129 130 T3 was annotated twice in FIG 3; the first annotated clade highlighted in orange should be corrected to R3-T8, and ii) in Table 3, the rows and columns should read R1-T1 to R1-T6 (i.e. 131 132 not P1-T1 to P1-T6).

Plasmids encoding the Rep 1 family replication protein (Rep 1 or R1 133 plasmids) do not carry AMR genes. R1-type plasmids (encoding Pfam01446) are often 134 2-3 kb in length and are typically comprised of a replication initiation protein and only two or 135 three additional open reading frames encoding hypothetical proteins. R1-type plasmids 136 constitute a small fraction of the plasmid dataset (n=16 plasmids, 13 isolates) and none of these 137 carried AMR genes, suggesting that these plasmids are not yet involved in the acquisition and 138 spread of AMR. Strains belonging to global clone 2 (GC2; largely represented by ST2) 139 140 constitute over 90% of all A. baumannii genomes in GenBank, but R1 plasmids appear to be 141 mainly associated with strains belonging to global clone 1 (GC1; largely represented by ST1, n=9/13 isolates) with only n=1 GC2 strain found with R1 plasmids (FIG 2). 142

143 Rep_3 (R3) plasmids disseminate important AMR genes. R3 plasmids encoding
144 the Rep_3-type plasmid replication proteins (Pfam01051) represent, by far, the most diverse A.

145 baumannii plasmid group. This is due to several reasons, including the Rep/rep sequence 146 divergence combined with their floating genetic structure arising from the presence of pdif modules (examples in FIG 3). Over half of the plasmids were typed as R3 (n=479/814 147 148 plasmids; 59%), and these were detected in at least 345 unique isolates (note, n=2 R3 type plasmids were unassigned to an isolate). Variants of R3-T1, T2 and T3 constitute the most 149 150 abundant types and were collectively detected in n=224 plasmids. R3-type plasmids appear to be geographically dispersed, but some types appear to be limited to distinct regions (FIG 4). 151 152 For example, some variants of R3-T1 plasmids that carry a carbapenemase appear to be limited 153 to North America (e.g. pAB120 carrying *bla*OXA-72 from the US; GenBank accession number CP031446.1) or Europe (e.g. p1ABST78 from Italy; GenBank accession number 154 155 AEOZ01000236.1 (FIG 4, Table S1 and Table S2), and may represent local plasmid circulation 156 or expansions.

The updated R3 phylogeny generated from n=145 R3 *rep* nucleotide variants (n=78 distinct rep types) revealed two deep-branching clades containing n=61 and 84 *rep* types, with plasmids carrying AMR genes (including carbapenemases) dispersed across both clades. Approximately half of the R3 types were associated with plasmids without AMR (n=36/78 R3 types), while n=18 were linked only to plasmids that carry AMR, and n=24 (including the majority of the top 15 most common R3 types; see FIG 4) were associated with both AMR⁺ and AMR⁻ plasmids.

A quarter of R3-type plasmids (n=122/479; n=42 distinct R3 types collectively) were associated with AMR, and n=73 of these plasmids (from at least n=72 isolates) carried carbapenemases. Various AMR genes were detected on R3 plasmids; however, the *bla*_{OXA-58}, *bla*_{OXA-72}, *bla*_{OXA-24} carbapenem resistance, *tet39* tetracycline resistance, *sul2* sulfonamide resistance and *msr-mph*(E) macrolide resistance genes were amongst the most abundant AMR

169 genes found (Table S2). R3-type plasmids with AMR genes are carried by all major global 170 clones including ST10, ST15, ST25, ST79 and ST85 strains, which collectively accounted for 171 n=26/345 isolates carrying n=46 R3-type plasmids, but were predominantly detected in 172 members of GC2 and GC1, accounting for n=150 and 26 isolates with R3-type plasmids, 173 respectively (n=209/479 R3-type plasmids; see FIG 4 and Table 3).

Colistin is a last resort within our arsenal of antibiotics that largely remained effective against 174 multi-drug resistant (MDR) A. baumannii¹⁸. Here, the mcr colistin resistance gene was present 175 176 in only n=5 isolates, all of which were linked to R3-type plasmids. These included 4 plasmids with the mcr-4.3 gene carried by strains recovered in clinical samples (two strains isolated in 177 178 each of China and the Czech Republic) on an R3-T22 plasmid (Table S2). The remaining plasmid, p8E072658, was recently described in an environmental isolate from recycled fibre 179 pulp in a paper mill in Finland ¹⁴. This plasmid carries the novel *mcr-4*.7 colistin resistance 180 181 gene in a Tn3-family transposon and encodes two novel R3-type Reps (R3-T73 and R3-T74). 182 Acquisition of the *mcr* plasmids is clinically significant given the importance of colistin in 183 treatment of MDR A. baumannii, and while it currently appears to be uncommon, future monitoring of R3 plasmids with mcr may be warranted. 184

The RP-type plasmids (encoding RepPriCT_1) disseminate aminoglycoside

and carbapenem resistance genes. RP-type plasmids encoding RepPriCT_1 (Pfam03090) Rep have been reported in various *A. baumannii* strains and contribute to the emergence of MDR strains $^{12,19-24}$. Approximately one-fifth of the dataset (n=158 plasmids; 19.4%) were identified as RP-type. A significant portion of RP-type plasmids (n=64/158; 40.5%) carry at least one AMR gene highlighting the importance of this plasmid group in the acquisition and spread of AMR determinants. The majority of RP-type plasmids were typed as RP-T1 followed by RP-T2, accounting for n=130 and 22 plasmids (82.3% and 13.9%)

respectively. The genetic structure of the predominant RP-T1 plasmid variant (pACICU2;
GenBank accession number CP031382.1), is illustrated in Figure S1.

195 In fact, RP-T1 and RP-T2 plasmids accounted for all AMR⁺ RP-type plasmids. All n=49 RP-196 T1 AMR⁺ plasmids carried either *bla*_{OXA-23} (carbapenemase; n=31 RP-T1 plasmids) and/or 197 aphA6 (amikacin resistance; n=30 RP-T1); n=12 RP-T1 plasmids carried both. Other AMR 198 genes detected in the RP-T1 plasmids included sull, dfrA7, aacA4, blages-11, strAB, aadA2, 199 *cmlA1*, *aadB*, and the *bla*_{OXA-58} carbapenemase (FIG 5 and Table S2). Moreover, it appears that 200 variants of RP-T1 plasmids have similarly been acquired by all major globally distributed 201 clones including members of GC1 and GC2, ST10, ST15, ST25, ST79 and ST622, recovered 202 across all continents (FIG 5).

203 In contrast to the global distribution of RP-T1 plasmids, all n=22 RP-T2 plasmids were 204 sequenced from isolates collected in East Asia (predominantly China, except for one plasmid 205 with no AMR genes sequenced from an isolate in South Korea). Notably, n=15/22 plasmids contained a *bla*OXA-23 copy suggesting that RP-T2 plasmids with *bla*OXA-23 are circulating in 206 207 China and have not yet been detected elsewhere. Plasmids corresponding to the remaining RP-208 types were generally small plasmids ranging in size from 4.5kb to 6.8kb (except for RP-T3; 52.5 kb) and carry no AMR genes. Interestingly, phylogenetic analysis of RP-type rep 209 210 sequences (RepPriCT 1 family) revealed a clear separation of the smaller plasmids that lack 211 AMR genes (RP-T4, RP-T5 and RP-T6) from the larger RP-T1, T2 and T3 plasmids (Figure 212 X), suggesting distinct evolutionary trajectories that have likely influenced the accumulation of additional genes including those conferring AMR. 213

214 Distribution of AMR genes in plasmids with no identifiable replication gene.

215 We previously reported that a fraction of *A. baumannii* plasmids do not encode an identifiable

replication initiation gene (i.e. 22.9%; n=142/621 plasmids)¹³. Such plasmids might therefore 216 use an alternative mechanism that does not involve a Rep to initiate replication or encode a 217 218 novel Rep that is yet to be discovered. Here, n=161/814 plasmids did not encode an identifiable 219 replication initiation gene. This *rep*-less group constitutes a set of highly diverse plasmids ranging in size from 4 kb to over 200 kb. Almost a third of these (n=52; 32.3%) appear to carry 220 no AMR genes and range in size from 2.4 - 145.7 kb (Table 4). These plasmids are not 221 discussed further as they lack AMR genes. The remaining n=109 plasmids (length range 3.8 kb 222 to >200 kb) carry at least one AMR gene and constitute various plasmid variants. Some variants 223 224 are associated with the carriage of clinically significant AMR genes, and include those related 225 to pRAY*, large MPF_F conjugative plasmids such as pA297-3, and pNDM-BK01 (n=28, 31 226 and 8, respectively; accounting for 41.6% rep-less plasmids). These plasmids are further 227 discussed below.

i) pRAY* – an important small plasmid spreading resistance to aminoglycosides. It has 228 been shown that the small plasmid pRAY* and its variants play a role in the spread of the *aadB* 229 230 gene conferring resistance to tobramycin, gentamicin and kanamycin, which are considered 231 clinically significant antimicrobials²⁵. Although some variants did not carry an AMR gene (an 232 example shown in FIG 6), we observed n=28 plasmids that were either identical or closely 233 related to pRAY*, and most (n=25/28) carried *aadB*. These plasmids were found in strains assigned to at least 14 STs, including ST1, ST81, ST2, ST25 and ST85 (Table S3). The strains 234 235 were also geographically diverse, indicating global dissemination of pRAY* plasmids. Moreover, all strains with pRAY* were recovered in clinical samples suggesting 236 aminoglycoside selective pressures may play a role in driving their stable maintenance within 237 238 clinical settings (Table S3).

239 ii) Spread of diverse AMR genes by conjugative plasmids encoding the MPF_F transfer system. This group constitutes a diverse set of n=31 large plasmids (146.7 – 236.2 kb in size) 240 known to lack an identifiable rep gene. These plasmids were detected in at least 11 distinct STs 241 242 with the highest count corresponding to ST622 (n=10) followed by ST25 (n=7) and were also present in members of the major global clones (e.g. ST1, ST10, ST25; Table S4). The 200.6 kb 243 244 plasmid, pA297-3 (Table S4) is considered the representative as it has the most common backbone type and was one of the earliest described and shown to be conjugative ¹¹. It carries 245 246 sul2 and strAB, conferring resistance to sulphonamide and streptomycin respectively. Most 247 plasmids in this group (except p40288 and pR32 1; Table S4) carry a copy of sul2. Most members also carry strAB (n=28/31), msr-mph(E) (n=21), blaper-7 (n=18), and armA (n=20) 248 249 conferring resistance to streptomycin, macrolides, extended-spectrum β -lactamases (ESBLs), 250 and aminoglycosides, respectively (Table S4). The latter, armA, encodes the 16S rRNA methylation protein that confers resistance to all aminoglycosides ²⁶. Two plasmids, 251 pPM193665 1 and pPM194122 1 (GenBank accession numbers CP050416 and CP050426, 252 respectively) from strains recovered in India, also contain the *bla*NDM metallo-β-lactam 253 254 carbapenem resistance gene.

iii) Conjugative plasmids encoding MPFT transfer system. Though not very common, 255 bla_{NDM} has now been reported in A. baumannii in several countries ^{3,27-30}. In this study, we 256 found n=8 plasmids with no identifiable *rep* gene that encode the MPF_T type conjugative 257 transfer system ³¹ and carried the *bla*NDM metallo-beta-lactam carbapenem resistance gene 258 259 (Table 6). All these plasmids were found to be related to pNDM-BJ01 (GenBank accession number JO001791.1), which was first reported in Acinetobacter lowffii and shown to be 260 conjugative at a high frequency ³². These plasmids were carried by strains recovered in clinical, 261 262 environmental (wastewater) and animal samples in different countries including China, Japan,

US, Colombia, and Brazil showing their wide geographical distribution. They were found in various sequence types, of which only one (p1AR_0088; GenBank accession number CP027532.1; Table S2 and Table S5) was in a ST25 strain, which is an important globally distributed ST ^{3,15,33-35}. Given the potential for accelerated resistance dissemination of resistance to a last-line antimicrobial and hence heightened therapeutic challenges, targeted surveillance of MPF_T type plasmids with *bla*_{NDM} may be warranted.

269 Diverse plasmid types facilitating the spread of carbapenem resistance genes.

Carbapenemases stand out as important AMR determinants as carbapenems are one of the last 270 resort lines of defence in antimicrobial treatment³. Here, we showed that various R3, RP and 271 272 rep-less plasmids were associated with the spread of carbapenem resistance genes including blao_{XA-23}, blao_{XA-24}, blao_{XA-58}, and bla_{NDM}. Carbapenemases were observed in 150 plasmids 273 274 (18.4%), of which *bla*_{OXA}-type genes were the most common carbapenemase type followed by 275 blandm (n=132, 11 and 7 plasmids with blaoxA only, blandm only and blaoxA plus blandm 276 respectively). We detected twelve allelic variants of *bla*_{OXA}-type genes; *bla*_{OXA-23} was the most 277 prevalent (n=54), followed by *bla*_{OXA-58} (n=33, of which n=6 also carried *bla*_{NDM-1}), *bla*_{OXA-72} 278 (n=27), and *bla*_{OXA-24} (n=11). The prevalence of some of these alleles appear to be associated 279 with distinct plasmid types. For example, n=46/54 blaoxA-23 plasmids were typed as RP-T1 or 280 RP-T2, while R3-type plasmids appear to play a key role in the dissemination of bla_{OXA-58} (i.e. 281 n=28/33 plasmids with *bla*_{OXA-58}), *bla*_{OXA-72} (n=21/27), and *bla*_{OXA-24} (n=10/11). Notably, except for *bla*OXA-23, which was abundant in ST2 isolates (n=33/54 *bla*OXA-23 plasmids), there 282 283 was no clear correlation of carbapenemase-carrying plasmids with particular sequence types 284 (ST), indicating widespread distribution of plasmids between various A. baumannii clones.

The *bla*_{NDM} carbapenem resistance gene is clinically significant in all Gram-negative bacteria,
especially Enterobacterales as its rapid spread among different bacterial species worldwide has

become a serious threat to public health ³⁶. A single allelic variant, *bla*_{NDM-1}, was observed in this dataset and detected in n=13 plasmids including n=8 pNDM-BJ01-type variants, n=2 R3type n=2 pA297-3-type, and a novel plasmid pCCBH31258 (GenBank accession number CP101888). The presence of *bla*_{NDM} on conjugative plasmids in *A. baumannii* is significant as it highlights the potential for the rapid transmission of this important carbapenemase via horizontal gene transfer.

Opportunities and limitations. Advances in whole genome sequencing technologies 293 combined with the rapid accumulation of genome data in publicly available databases such as 294 GenBank has provided a valuable opportunity to gain genomic insights towards the circulation 295 296 of AMR genes in critical pathogens such as A. baumannii and, more importantly, MGEs that 297 disseminate AMR. However, this unique opportunity is associated with important caveats given 298 that publicly available genome sequences are largely geographically skewed for several 299 reasons, including the lack of technology, financial support and expertise in developing 300 countries. Currently, the bulk of genome sequence data in GenBank has been sequenced from 301 isolates collected in the US, China and Australia; these countries accounted for approx. ~50% 302 of the dataset in this study. The geographical skew of genome sequence data makes it difficult to gain comprehensive insights into population structure, MGEs and AMR genes circulating in 303 304 other parts of the world e.g. Africa and the Middle East. Moreover, genomes of environmental A. baumannii strains are also very limited (FIG 1), making it difficult to understand the 305 306 distribution of AMR genes and MGEs including plasmids in the environment. Consequently, 307 the scarcity of environmental genome data also limits the study of how plasmids, particularly 308 those with AMR, circulate between the strains from clinical and environmental origins.

309 CONCLUSIONS

310 Traditionally, A. baumannii has been characterized as an organism that primarily acquires AMR 311 genes through large chromosomal islands. However, this definition is changing as more 312 plasmids that carry important AMR genes are being characterised. This study also highlights 313 the pivotal role of various plasmid types, particularly certain families in the dissemination of clinically important AMR genes within this pathogen. We showed that many RP-T1, R3-types 314 315 (e.g. RP-T1 and R3-T2) and rep-less pNDM plasmids can spread various carbapenem resistance genes. These are of particular concern as AMR genes conferring resistance to 316 317 carbapenems are often considered as the last line of defence in treatment. Furthermore, these 318 plasmids typically carry additional AMR genes conferring resistance to multiple 319 antimicrobials, which further compounds treatment management and the threat posed by A. 320 baumannii.

Although the plasmid repertoire of A. baumannii exhibits remarkable diversity, this 321 322 investigation highlights the profound significance of specific plasmid families in harboring and disseminating AMR genes. The findings from this study provide new insights into which 323 324 plasmid types are over-represented among those that disseminate AMR and may be flagged as targets for focused AMR surveillance. Finally, this study showed that, in the ongoing battle 325 326 against antibiotic resistance in A. baumannii, its plasmids play a significant role in exacerbating 327 the crisis. Their ability to transfer AMR genes across different sequence types, coupled with 328 the bacterium's adaptability, poses a formidable challenge to healthcare systems worldwide.

329 MATERIALS AND METHODS

Plasmid sequence data. A local database of complete *A. baumannii* plasmids that were
publicly available as of mid-August 2022 was generated. Our local database included plasmid
sequences of i) 355 complete genomes out of 450 complete *A. baumannii* genomes (i.e. n=95)

333 entries with plasmids) sourced from GenBank no 334 (https://www.ncbi.nlm.nih.gov/genome/browse/#!/prokaryotes/403/); labelled as 'Complete genome project' as data source in Table S1 and Table S2) and ii) an additional 92 335 336 genomes/unique strains (released between February 2021 and mid-August 2022) captured in RefSeq (https://www.ncbi.nlm.nih.gov/refseq/). The latter included n=29 genomes were 337 338 sourced from Whole Genome Shotgun projects (labelled as 'WGS' in Table SX) and n=63 unique strains that were not linked to a genome project (i.e. direct plasmid submission to 339 340 GenBank; labelled as 'GenBank non-redundant db' in Table S2). This resulted in the curation 341 of our final dataset consisted of 814 non-redundant plasmid entries corresponding to at least 440 unique isolates (n=355 isolates from Complete genome projects, n=63 from GenBank non-342 343 redundant database, and n=29 from WGS). Of the 814 plasmid entries, n=621 were those we 344 previously used to develop the Acinetobacter Plasmid Typing scheme ¹³. All supporting data and protocols have been provided within the article or through supplementary data files. The 345 346 online version of this article has four supplementary tables and three supplementary figures.

347 Bioinformatics and sequence analysis. The chromosomal sequences associated each plasmid were found by exporting the BioSample accession numbers using the RefSeq 348 https://www.ncbi.nlm.nih.gov/refseq/ followed by the curation of a list of chromosomal 349 350 GenBank accession numbers and downloading the sequence data through Entrez Programming https://www.ncbi.nlm.nih.gov/books/NBK25501/). 351 Utilities (E-utilities; Chromosomal sequences were used to determine the Multi-locus Sequence Types (MLSTs) using the *mlst* 352 353 v.2.0 software (https://github.com/tseemann/mlst). Standalone BLAST (https://ftp.ncbi.nlm.nih.gov/blast/executables/LATEST/) was used for plasmid sequence 354 comparisons within the *rep*-less plasmid group and assign 'related known plasmid' variants as 355 356 labelled in Table S2. The SnapGene® (V.6.0.5) software was used to examine the structure of

individual plasmids. The plasmids were screen for AMR genes using Abricate v1.0.1 (available
at https://github.com/tseemann/abricate) using the ResFinder v.2.1 database (available under
https://cge.cbs.dtu.dk/services/ResFinder/). Data visualisation was performed using the
ggplot2 package (https://ggplot2.tidyverse.org/) in R (v1.1.456) and Adobe Illustrator
(V23.0.3).

Clustering and phylogenetic analysis of the *rep*/Rep sequence data. *rep*/Rep sequences were 362 extracted from the novel plasmid entries using the SRST2 software ³⁷ followed by manual 363 curation. Clusters comprising *rep* sequences at >95% nucleotide identity were derived using 364 CD-HIT Suite (https://github.com/weizhongli/cdhit)³⁸, as previously described¹³. The rep 365 366 nucleotide sequences were separately aligned for each of the Rep families using MUSCLE v3.8.31³⁹. Phylogenies were generated using the aligned *rep* sequences as input into RAxML 367 v8.2.9 run five times with the generalised time-reversible (GTR) model and a Gamma 368 369 distribution. The final trees with the highest likelihoods were selected, visualised in FigTree (http://tree.bio.ed.ac.uk/), 370 v1.4.4 and annotated with the plotTree code 371 (https://github.com/katholt/plotTree) in R v1.1.456.

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503

TABLES and FIGURES

No. of plasmids	No. of
per genomes	genomes
1	229 (53%)
2	120 (25%)
3	52 (10%)
4	28 (7%)
5	4 (2%)
6	3 (0.2%)
8	2 (0.2%)
9	1 (0.12%)
11	1 (0.2%)

TABLE 1 Number of plasmids found in 440 unique BioSamples and/or strain names

Rep plasmid type/ family	Pfam	No. of plasmids	No. of plasmids with AMR	<i>rep</i> types (95% identity)
R1 (Rep_1)	01446	16	0	7
R3 (Rep_3)	01051	479	122 (25.5%)	78
RP (RepPriCT_1)	03090	158	64 (40.5%)	6
No Rep	NA	161	109 (67.7%)	NA
Total	NA	814	295 (36.2%)	92

TABLE 2 Number of plasmids in each *rep* family

R3- Types	Total	AMR	GC1	GC2	ST10	ST15	ST17	ST25	ST79	ST85	ST103	ST622	ST649	Other STs	Novel STs
R3-T1	116	24	21	56	2	-	-	4	1	-	-	8	-	15	9
R3-T3	75	9	-	51	1	-	-	1	-	1	-	-	-	21	-
R3-T2	33	8	1	15	-	-	-	1	-	-	-	-	1	9	6
R3-T4	33	1	1	23	-	-	-	-	-	-	-	-	-	9	-
R3-T5	14	1	-	1	3	-	-	-	1	-	-	-	1	8	-
R3-T14	14	9	1	1	-	4	-	-	2	-	-	-	-	4	2
R3-T7	13	4	2	1	-	-	-	-	-	-	-	-	-	9	1
R3-T13	12	3	-	-	-	1	-	1	-	-	-	-	-	10	-
R3-T6	11	1	-	-	-	-	-	-	2	2	-	-	-	6	1
R3-T15	10	0	-	2	-	-	-	2	-	-	-	-	1	4	1
R3-T8	9	7	-	4	-	-	-	-	-	-	-	-	-	1	4
R3-T10	8	3	1	1	-	-	1	-	-	-	2	-	-	3	-
R3-T11	8	0	1	2	2	-	-	-	-	-	-	-	-	3	-
R3-T9	8	4	-	8	-	-	-	-	-	-	-	-	-	-	-
R3-T12	7	2	-	-	-	-	-	1	-	-	-	-	-	6	-

TABLE 3 Distribution of plasmids corresponding to the 15 most abundant R3 Rep types in major globally distributed sequence types (STs)

512 Table 4 – Summary of *rep*-less plasmids

Plasmid group/function	No. of plasmids	Plasmid lengths (kb)	Geographical distribution	Sequence Types ^a (Institut Pasteur scheme)	Common AMR genes	comments/notable representative
pRAY*	28	6-10	Netherlands, US, Australia Iraq, Germany, India, Spain, Bolivia	a, 1, 2, 10, 25, 81, 85, 32, 57, 94, 513, 575, 717	aadB	pD36-2 (pRAY*)
MPF _F <u>conjugative</u> plasmids (related to pA297-3 and pAB3)	31	147-236	Netherlands, USA, Canada, India, Australia, France, Germany, Lebanon, Korea, Mexico, Nepal, UK, China, Brazil, Bolivia	1, 3, 10, 25, 108, 149, 437, 447, 494, 622, 865, 1512	sul2, arr-2, cmlA5, blaper-7, sul1, armA, mph-msr(E), tetB	pA297-3, pAB3 or pCl107
MPF _T <u>conjugative</u> plasmids	8	39-48	Japan, Colombia, US, China, Brazil	25, 412, 464, 1543, 639	bla _{NDM,} aphA6	pNDM-BK01
Other AMR plasmids	46	4-341	US, East and South-East Asia, Europe, Africa	1, 2, 3, 10, 23, 25, 32, 49, 57, 78, 81, 85, 94, 103, 108, 126, 149, 374, 412, 437, 447, 464, 494, 513, 585, 622, 639, 717, 761, 865, 1104, 1512, 1543, 1547	blaoxA-24, blaoxA-23, sul2, strAB, aphA6, aphA1, armA, mph- msr(E), aacA4	Multiple plasmid types
Plasmids with no AMR	52	2-146	US, Europe, Africa East and South-East Asia	1, 2, 10, 25, 77, 78, 79, 81, 103, 126, 149, 156, 191, 195, 318, 369, 374, 575, 585, 649, 717, 1547	-	Multiple plasmid types

513 ^a Sequence Types of strains that carry plasmids

514 FIGURE LEGENDS

515

535

also shown using red numbers.

516 FIG 1 Geographical distribution and isolation sources of publicly available A. baumannii 517 strains carrying plasmids. A) Geographical distribution of plasmid-containing strains, colourcoded by the number of genomes accessible in GenBank as of August 2022. B) Isolation 518 519 sources of plasmid-carrying strains, depicted with a scale bar provided above. 520 FIG 2 Phylogenetic relationship and distribution of antimicrobial resistance determinants in 521 522 R1-type plasmids across major globally distributed sequence types and global clones. Plots 523 show the plasmids linked with a particular plasmid type, with each data point corresponding 524 to a unique plasmid, grouped by chromosomal sequence type/clone, and coloured by geographical region as shown in the Figure key. Empty circles (marked no AMR) indicate the 525 526 absence of antimicrobial resistance gene. 527 528 FIG 3 Schematic comparison of Rep 3 family (R3-type; Pfam01051) plasmid structures. Horizontal arrows show the length and orientation of genes with rep genes coloured black, 529 resistance genes red, toxin/anti-toxins yellow and mobilisation genes blue. Green boxes 530 531 indicate insertion sequences with their transposase shown inside the box. Small thick vertical 532 bar marked with "i" indicate iterons. Dotted lines draw the show the boundaries of pdif modules. Other vertical bards marked with "C/D or D/C" indicate the location of pdif sites. 533 Regions with significant DNA identities are shown using shades of grey with % identities 534

536 FIG 4 Phylogenetic relationship and distribution of antimicrobial resistance determinants in 537 538 R3-type plasmids across major globally distributed sequence types and global clones. The 539 overall phylogenetic tree is depicted in panel A), and clades 1 and 2 shown in greater resolution in panels B) and C), respectively. Nodes that are coloured red correspond to plasmid types 540 541 where the presence of antimicrobial resistance genes is detected in at least one plasmid. Plots 542 in panels B and C show the number of plasmids linked with a particular plasmid type; each data point corresponds to a unique plasmid, grouped by chromosomal sequence type/clone, and 543 544 is coloured by geographical region as shown in the Figure key. Empty circles indicate plasmids with no AMR, triangles indicate plasmids with carbapenemases and filled circles represent 545 546 plasmids with AMR (no carbapenemase). Data for three variants corresponding to R3-T3, R3-547 T4 and R3-T1 types are separately shown at due to spacing. 548 549 550 FIG 5 Phylogenetic relationship and distribution of antimicrobial resistance determinants in 551 RP-type plasmids across major globally distributed sequence types and global clones. Plots 552 show the number of plasmids linked with a particular plasmid type; each data point corresponds to a unique plasmid, grouped by chromosomal sequence type/clone, and is 553 coloured by geographical region as shown in the Figure key. Empty circles indicate plasmids 554 555 with no AMR, triangles indicate plasmids with carbapenemases and filled circles represent 556 plasmids with AMR (no carbapenemase). 557

FIG 6 Linearised map of pD36-1 (cryptic) compared with pRAY* (pD36-2). Central horizontal
lines indicate the plasmid backbones. Arrows represent the extent and orientation of genes, and
the gene cassette is boxed. The grey shadings indicate regions with significant identity with the

- % identities indicated in red. Scale bar is shown. Drawn to scale from GenBank accession
 numbers CP012954 (pRAY*), and CP012953 (pD36-1).
- 563

Supplementary FIG 1 Genetic structure of pACICU2 representing plasmids that encode RPT1 replication initiation protein. Arrows indicate the extent and orientation of genes and open
reading frames with red showing resistance genes, black *rep*, and flax transfer genes. Boxes
coloured green indicate ISAba125 copies.
Supplementary FIG 2 Circular map of pA297-3 drawn to scale from GenBank accession
number KU744946. Arrows represent the orientation and extent of genes and open reading
frames. Open reading frames with no predicted function are white and antimicrobial resistance

572 genes are coloured red. Insertion sequences (IS) are shown with filled boxes coloured different

573 shades of green. Arrows coloured flax represent the *tra* genes, which are involved in plasmid

transfer. Gray arrows represent genes/orfs involved in DNA metabolism.

575 SUPPLEMENTARY MATERIAL

576 **Table S1:** Available at <u>https://doi.org/10.6084/m9.figshare.24076776</u>

577

578 **Table S2:** Available at <u>https://doi.org/10.6084/m9.figshare.24076779</u>

579

Plasmid name Length ST Country Strain name Year Isolation Accession (bp) source number pA297-1 A297 (RUH875) Netherlands 6078 1 1984 KU869529 nr pD36-2 D36 6078 81 2008 Australia CP012954 wound pMRSN3527-6 **MRSN 3527** 6068 81 2011 wound USA CM003318 2 pRAY*-v1 C2 6078 2007 Australia JF343536 nr p3ZQ2 2 2016 CM009648 ZQ2 6078 sputum Iraq pABLAC2 LAC-4 6076 10 1997 HO^a USA CP007714 pD46-1 D46 25 UTI^b Australia 6078 2010 CP048132 25 2006 USA pNaval18-6.1 Naval-18 6078 AFDA02 ° nr pR32 3 Nord4-2 11378 25 2018 Germany CP091597 nr p6ACN21 9909 India ACN21 85 2018 blood CP038647 85 blood India p4ACN21 ACN21 7396 2018 CP038649 p3ACN21 ACN21 6944 85 2018 blood India CP038650 p2ACN21 ACN21 5844 85 2018 blood India CP038651 p1ACN21 ACN21 5734 85 2018 blood India CP038652 6078 85 2019 CP065394 pAbBAS-1.2 AbBAS-1 clinical Spain **MRSN 4106** 6078 94 2011 USA pMRSN4106-6 wound CM003315 pMRSN3942-6 **MRSN 3942** wound USA CM003319 6078 94 2011 pMRSN3405-6 **MRSN 3405** 6078 94 2011 wound USA CM003320 J9 49 1999 pJ9-1 6078 clinical Australia CP041588 p1AR 0070 AR 0070 6078 32 clinical USA CP027181 nr p1AR 0052 AR 0052 32 clinical USA 6078 nr CP027187 p2ZQ10 ZQ10 6078 575 2016 CSF^d Iraq CM009031 p3ZQ9 ZQ9 6133 575 2016 blood CM009085 Iraq p1ZQ3 ZQ3 6078 717 2016 blood Iraq CM009028 p2ZQ8 ZQ8 6078 513 2016 blood Iraq CM009034 FDAARGOS 533 USA p1FDAARGOS 533 6078 57 2016 sputum CP033770 pRAY*-v2 E7 8433 novel 2008 blood Australia JX076770 **MC23** 6078 2016 urine Bolivia MK531539 pMC23.3 novel

580	TABLE	S3	Properties	of	strains	carrying	2 pR	AY*	' and its	variants
						1 6	7			

581 ^a <u>h</u>ospital <u>o</u>utbreak – site not recorded.

582 ^b urinary tract infection (UTS)

583 ^c complete GenBank accession number AFDA02000006

584 ^d cerebrospinal fluid (CSF)

Plasmid name	Length	ST	Year	Isolation	Country	Antimicrobial resistance genes	Accession
	(bp)		1004	source	NT 1 1 1	14 / D	number
pA297-3	200633	l	1984	UTI	Netherlands	sul2, strAB	KU744946
pOIFC137-122	122461	3	2003	nr ^a	USA	strAB, sul2	AFDK01000004
pOIFC109-122	122469	3	2003	nr	USA	strAB, sul2	ALAL01000013
pAB3	148955	437	2014	clinical	Canada	sul2	CP012005
pAB04-1	169023	10	2012	blood	Canada	aph(3")-Ib, strAB, sul2, arr-2, cmlA5, bla _{PER-7} , sul1, armA, mph-msr(E), tetB	CP012007
pPM193665_1	150385	10	2019	Pus	India	mph-msr(E), armA, sul1, cmlA5, arr-2, sul2, strAB, ble _{MBL} , bla _{NDM} , tetB	CP050416
pPM194122_1	150385	10	2019	BAL ^b	India	mph-msr(E), armA, sul1, cmlA5, arr-2, sul2, strAB, ble _{MBL} , bla _{NDM} , tetB	CP050426
pOIFC143-128	127633	25	2003	nr	USA	strAB, sul2	AFDL01000008
pD4	132632	25	2006	wound	Australia	sul2, strAB	CP048851
pD46-4	208004	25	2010	UTI	Australia	tetB, sul2, mph-msr(E), strAB	CP048135
p40288	145711	25	2015	UTI	France	-	CP077802
pR32_1	117234	25	2018	nr	Germany	-	CP091598
pCL107	198716	25	2012	UTI	Lebanon	sul2, tetB, strAB, aacC2	CP098522
pNaval18-131	130660	25	2006	nr	USA	sul2, strAB	AFDA02000009
pHWBA8_1	195838	25	2013	sputum	Korea	armA, mph-msr(E), tetB, aac(6')-lan, aac(3)-lle, sul2, arr-2, cmlA5, sul1, blaper-7	CP020596
pAba7804b	170420	25	2006	BAL	Mexico	sul2, tetB, strAB	CP022285
p2AR_0088	146698	25	nr	clinical	USA	sul2, tetB, strAB, aac(3)-lle, aac(6')-lan	CP027531
p3P7774	202283	25	2018	Pus	India	tetB, mph-msr(E), armA, bla _{PER-7} , sul1, cmlA5, arr-2, sul2, strAB, aac(6')-lan	CP040260
pVB82_1	215278	25	2019	blood	India	aac(6')-lan, bla _{OXA-23} , strAB, sul2, tetB, mph-msr(E), armA, sul1, bla _{PER-7} , cmlA5, arr-2	CP050386
p2VB16141	189343	622	2019	blood	India	strAB, sul2, arr-2, cmlA5, bla _{PER-7} , sul1, armA, mph-msr(E)	CP040051
pIOMTU433	189354	622	2013	clinical	Nepal	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA5, arr-2, sul2, strAB	AP014650
p1KSK6	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072271
p1KSK7	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072276

TABLE S4 Distribution of antimicrobial resistance genes in large conjugative plasmid related to pA297-3

p1KSK10	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072281
p1KSK11	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072286
p1KSK18	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072291
p1KSK19	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072296
p1KSK20	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072301
p1KSK2	218105	622	2020	respiratory	India	mph-msr(E), armA, bla _{PER-7} , sul1, cmlA1, arr-3, ant(3")-la, sul2, strAB,	CP072399
pNCTC7364	148956	494	2014	nr	UK	sul2	LT605060
pB11911	216780	149	2014	blood	India	strAB, sul2, arr-2, cmlA5, bla _{PER-7} , sul1, armA, mph-msr(E)	CP021344
p3VB35179	236166	1512	2018	blood	India	strAB, sul2, arr-2, cmlA5, bla _{PER-7} , sul1, armA, mph-msr(E), tetB	CP040054
pA1429c	205113	108	2010	secretion	China	bla _{TEM-1} , aac(3)-lle, aac(6')-lan, strAB, tetB, sul2	CP046899
pPM194229_1	226394	447	2019	BAL	India	mph-msr(E), armA, sul1, bla _{PER-7} , cmlA5, arr-2, strAB, sul2, tetB	CP050433
p1KSK1	218105	865	2020	respiratory	India	mph-msr(E), armA, sul1, bla _{PER-7} , cmlA1, arr-3, ant(3")-la, strAB,	CP072123
pAb45063_b	183767	nk	nr	nr	Brazil	sul2, strAB	MK323043
pMC1.1	184770	nk	2015	catheter	Bolivia	aacC5, aac(6')-lan, strAB, tetB, sul2	MK531536
pMC75.1	150158	nk	2016	ulcer	Bolivia	strA, aph(6), sul2	MK531540

586 587 ^a not recorded ^a Broncho-alveolar lavage (BAL)

Plasmid name	Length (bp)	Isolation source	Country	Year	ST	Antimicrobial resistance genes	Accession number
pOCU_Ac16a_2	41087	tracheal aspirate	Japan	2015	412	bla _{NDM-1} , bleMBL, aphA6	AP023079
p6200-47.274kb	47274	bodily fluid	Colombia	2012	464	bla _{NDM-1} , bleMBL, aphA6	CP010399
pNDM-0285	39359	wastewater	USA	2016	1543	bla _{NDM-1} , bleMBL, aphA6	CP026127
p1AR_0088	41087	clinical	USA	<2013	25	bla _{NDM-1} , bleMBL, aphA6	CP027532
pAbNDM-1	48368	feces	China	<2013	639	bla _{NDM-1} , bleMBL, aphA6	JN377410
pNDM-AB	47098	pig lung	China	<2013	novel	bla _{NDM-1} , aphA6, mph-msr(E)	KC503911
Piec383	47283	blood	Brazil	2014	novel	bla _{NDM-1}	MK053932
pAB17	41087	-	Brazil	<2020	novel	bla _{NDM-1} , aphA6	MT002974
589							

TABLE S5 Properties of plasmids encoding the MPFT transfer system that carry <i>bla</i> ND	588	TABLE S5	Properties	of plasmids	encoding the	MPF _T transfer	system that	t carry <i>bla</i> n	ЭМ
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