

# Therapeutic targeting of mitochondrial dysfunction in chronic obstructive pulmonary disease (COPD)

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Thesis submitted in fulfilment of the requirements for the degree of

# **Doctor of Philosophy**

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# **Certificate of Original Authorship**

I, *Shatarupa Das*, declare that this thesis is submitted in fulfilment of the requirements for the award of *Doctor of Philosophy*, in the *School of Life Sciences* at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

This document has not been submitted for qualifications at any other academic institution.

This research is supported by the Australian Government Research Training Program.

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### Summary

Chronic obstructive pulmonary disease (COPD) is characterised by airway inflammation resulting in irreversible damage to the lung, which leads to airway remodelling, alveolar destruction or emphysema, and impaired lung function. Currently, there are no available treatments that inhibit the progression or reverse the disease. Cigarette smoking (CS) is a primary cause of COPD and is an instigator of airway inflammation which is driven by oxidative stress. This further induces the over-activation of nuclear enzyme poly (ADP-ribose) polymerase-1 (PARP-1). PARP-1 is a major consumer of nicotinamide adenine dinucleotide (NAD<sup>+</sup>), a critical energy intermediate for the maintenance of all metabolic interactions.

Currently, there is limited knowledge as to how NAD<sup>+</sup> metabolism is altered in COPD and whether targeting NAD<sup>+</sup> pathways can have therapeutic benefits in COPD. Therefore, in Chapter 3, we examined the efficacy of NAD<sup>+</sup> targeted therapeutics, Nicotinamide Riboside (NR) and Pterostilbene (PT) in a prophylactic COPD model. Using our experimental CS-induced COPD mouse model, we found reduced NAD<sup>+</sup> levels associated with PARP hyperactivity in the lung. We also observed an increase in the gene expression of oxidative stress markers like NADPH-oxidase 2 (NOX2) and inflammatory markers like TNF $\alpha$  and CXCL1. Based on these findings, we hypothesised that reduced NAD<sup>+</sup> levels may instigate oxidative stress and inflammation in COPD. Thus, in Chapter 4 we investigated the therapeutic effect of NR and PT in halting the progression and reversing the disease features in COPD. Following daily dietary administration of NR and PT both prophylactically and therapeutically, we found significant reductions in inflammatory cell infiltration into the lung and reduced gene expression of inflammatory markers like TNF $\alpha$  and CXCL1. Further, NR and PT reduced airway remodelling, emphysema, and improved lung function. NR and PT also restored NAD<sup>+</sup> levels and PARP activity in COPD. This resulted in the protection of mitochondrial structure and function via reduced oxidative stress. In conclusion, NR and PT reduced inflammation, and COPD features via increased mitochondrial function and reduced oxidative stress. Thus, NR and PT have significant potential as therapeutics for COPD.

NAD<sup>+</sup> is essential for cell survival and is tightly regulated by enzymes such as Nicotinamide mononucleotide adenyl transferases (NMNATs). NMNATs are involved in the final step of NAD<sup>+</sup> synthesis. Based on our findings from Chapter 3, imbalances in NAD<sup>+</sup> levels in COPD might be due to imbalances in NAD<sup>+</sup> synthesis. Furthermore, we determined that the administration of NR and PT in COPD increased gene expression of NMNAT1, NMNAT2 and NMNAT3. Therefore, in Chapter 5, we investigated the role of NMNATs in modulating NAD<sup>+</sup> levels in comparison to the treatment of NR and PT in COPD using transgenic NMNAT1 and NMNAT3 overexpressing mice. Additionally, we also administered NR and PT to the overexpressing NMNAT1 and NMNAT3 mice to elucidate if an additional increase in NAD<sup>+</sup> levels can potentially exhibit enhanced benefits in reducing COPD features. We found significant reductions in airway inflammation via reduced cellular infiltrates in the lung as well as a reduction in the gene expression of TNFα and CXCL1 with both the NMNAT1 and NMNAT3 overexpression as well as upon treatment of these mice with NR and PT. Additionally, we observed a reduction in airway remodelling and emphysema for both the NMNAT1 and NMNAT3 overexpression as well as upon treatment with NR and PT. However, there was no improvement in lung function with the overexpression of NMNAT1 and NMNAT3 mice. Additionally, treatment with NR and PT in overexpressed NMNAT1 and NMNAT3 mice further increased impaired lung function in COPD. Moreover, NMNAT1 overexpressing mice did not show an increase in the NAD<sup>+</sup>/NADH levels in COPD which did not change

with the administration of NR and PT. On the other hand, NMNAT3 overexpressing mice exhibited an increase in the NAD<sup>+</sup> levels in COPD which did not change further upon NR and PT treatment. We further observed a reduction in PARP hyperactivity with both the NMNAT1 and NMNAT3 overexpression as well as upon treatment with NR and PT, and this further showed a reduction in oxidative stress and an increase in mitochondrial membrane potential. In conclusion, we hypothesise that NMNAT3 might potentially be driving NAD<sup>+</sup> modulation to protect from COPD features. Critically, NAD<sup>+</sup> levels might potentially be rate-limiting and thus treatment of NR and PT in overexpressing NMNAT1 and NMNAT3 mice did not show a further increase in NAD<sup>+</sup> levels in COPD.

The development and progression of COPD is largely driven by chronic inflammation, however, there is limited knowledge about the exact molecular and metabolic interactions in the immune cells involved during the progression of the disease. Therefore, the objective of the Chapter 6 study was to determine if chronic CS exposure alters immunometabolism in COPD and whether pharmacological interventions can restore imbalances and exert therapeutic benefit. Using our CS-induced COPD mouse model, we determined that chronic exposure to CS reduced gene expression of pyruvate kinase markers 2 (PKM2) and Hypoxia-inducible factor  $1\alpha$  (HIF1 $\alpha$ ) indicating reduced metabolism in the lung, while we also observed an increase in oxidative stress and inflammatory markers. Based on these findings, we hypothesised that the reduction in PKM2 may be an important driver of immunometabolism in COPD. Therefore, we next examined whether immunomodulator TEPP46, which is importantly a PKM2 activator, was able to therapeutically improve COPD disease features. Following administration of TEPP46 three times per week throughout the duration of the model, we observed a significant reduction in airway inflammation followed by reduced airway remodelling, emphysema, and improved partial lung function in COPD. TEPP46 also protected PKM2

and HIF1 $\alpha$  protein content and promoted metabolic function, resulting in reduced oxidative stress and protection of mitochondrial structure and function. In conclusion, TEPP46 has significant preclinical potential as a therapeutic for the treatment of COPD.

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### List of publications and conferences

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# Abbreviations

°C	Degrees Celsius
β-HAD	β-hydroxyacyl CoA
ΔΨm	Mitochondria membrane potential
μg	Microgram
μΙ	Microlitre
μm	Micrometre
4-HNE	4-hydroxy-2-nonenal
3-NT	3-nitrotyrosine
A	estimation of IC
AATD	$\alpha$ -1-antitrypsin deficiency
AAt	α-1-antitrypsin
Acetyl-CoA	Acetyl coenzyme A
ADP	Adenosine diphosphate
ALS	amyotrophic lateral sclerosis
ARC	Animal Resources Centre
ATP	Adenosine triphosphate
BAL	Bronchoalveolar lavage
BSA	Bovine serum albumin

CO <sub>2</sub>	Carbon dioxide
COPD	Chronic obstructive pulmonary disease
CRS	Respiratory system compliance
CS	Cigarette smoke
Cst	Static compliance
CXCL1	Chemokine ligand 1
DBQ	Decyl ubiquinone
DC	Detergent Compatible
DMSO	Dimethyl sulfoxide
DPBS	Dulbecco's Phosphate-Buffered Saline
DTNB	5,5-dithio-bis-(2-nitrobenzoic acid)
EC	Endothelial cells
ETC	Electron transport chain
FADH2	Flavin adenine dinucleotide
FEV	Forced expiratory volume
FRC	Functional residual capacity
FVC	Forced vital capacity
FITC	Fluorescein Isothiocyanate
G	Tissue damping
GCN5	Gene control non-derepressible 5

GOLD	Global Initiative on Chronic Obstructive Lung Disease	
GLUT1	Glucose transporter 1	
Н	Tissue elastance	
H <sub>2</sub> O <sub>2</sub>	Hydrogen peroxide	
HCL	Hydrochloric acid	
HDAC2	Histone deacetylase 2	
HIF1α	Hypoxia inducing factor-1a	
HK1	Hexokinase-1	
HO1	Heme oxygenase 1	
HPRT	Hypoxanthine-guanine phosphoribosyl transferase	
IC	Inspiratory capacity	
IL-1β	Interleukin-1 <sup>β</sup>	
ICAM1	Intermolecular adhesion molecule	
iNOS	Inducible nitric oxide synthase	
KCN	Potassium cyanide	
Крі	Potassium phosphate	
LDHA	Lactate dehydrogenase A	
LPS	Lipopolysaccharide	
LTB4	Leukotriene B4	
MMP-12	matrix metalloproteinase-12	

MLI	Mean linear intercepts	
mtROS	Mitochondrial reactive oxygen species	
mtRNS	Mitochondrial reactive nitrogen species	
NA	Nicotinic acid	
NaCl	Sodium chloride	
$NAD^+$	Nicotinamide adenine dinucleotide	
NAAD	Nicotinic acid adenine dinucleotide	
NADK1/2	NAD <sup>+</sup> kinase 1/2	
NADH	Nicotinamide adenine dinucleotide	
NADSYN	NAD <sup>+</sup> synthase	
NAFLD	Non-alcoholic fatty liver disease	
NAM	Nicotinamide	
NAMN	Nicotinic acid mononucleotide	
NAMPT	Nicotinamide phosphoribosyl transferase	
NAPRT	Nicotinate phosphoribosyl transferase	
NF-κβ	Nuclear factor-κβ	
NHMRC	National Health and Medical Research Council of	
	Australia	
NMN	Nicotinamide mononucleotide	
NMNT	Nicotinamide mononucleotide transferase	

NMNATs	Nicotinamide mononucleotide adenylyl transferase	
NK	Natural killer cells	
NOX2	NADHP oxidase	
Nrf2	Nuclear factor erythroid 2-related factor-2	
NR	Nicotinamide riboside	
NRKs	Nicotinamide ribose kinases	
NTHi	Non-typeable Haemophilus influenzae	
0-	Superoxide	
Oct-4	Octamer binding transcription factor-4	
Oxphos	Oxidative phosphorylation	
Oxstress	Oxidative stress	
PARPs	Poly (ADP-ribose) polymerases	
PBS	Phosphate buffer saline with tween	
PDK1	3-Phosphoinositide-dependent kinase 1	
PDH	Pyruvate dehydrogenase	
PEP	Phosphoenol pyruvate	
PGC1a	Peroxisome proliferator-activated receptor-gamma co-	
	activator 1a	
РК	Pyruvate Kinase	
PKF	Phosphofructokinase	

PNP	Purine Nucleoside Phosphorylase	
qPCR	Quantitative PCR	
RBC	Red blood cell	
ROS	Reactive oxygen species	
Rn	central airway resistance	
RNS	Reactive nitrogen species	
Rrs	Transpulmonary resistance	
RV	Residual volume	
SARS-CoV-2	Severe Acute Respiratory Syndrome Coronavirus 2	
SEM	Standard error mean	
SIDT	Sirtuing	
SIKTS	Situins	
SLHD	Sydney Local Health District	
SLHD STAT3	Sydney Local Health District Signal transducer and activator of transcription 3	
SLHD STAT3 TBST	Sydney Local Health District Signal transducer and activator of transcription 3 Tris buffer saline with tween	
SLHD STAT3 TBST TCA	Sydney Local Health District Signal transducer and activator of transcription 3 Tris buffer saline with tween Tricarboxylic acid	
SLHD STAT3 TBST TCA TEM	Sydney Local Health District Signal transducer and activator of transcription 3 Tris buffer saline with tween Tricarboxylic acid Transmission emission microscopy	
SIKTS SLHD STAT3 TBST TCA TEM TMPRSS2	Situms Sydney Local Health District Signal transducer and activator of transcription 3 Tris buffer saline with tween Tricarboxylic acid Transmission emission microscopy Transmembrane serine protease 2	
SIKTS SLHD STAT3 TBST TCA TEM TMPRSS2 TNFα	Situms Sydney Local Health District Signal transducer and activator of transcription 3 Tris buffer saline with tween Tricarboxylic acid Transmission emission microscopy Transmembrane serine protease 2 Tumour necrosis factor α	
SIKTS SLHD STAT3 TBST TCA TEM TMPRSS2 TNFα TLC	Situms Sydney Local Health District Signal transducer and activator of transcription 3 Tris buffer saline with tween Tricarboxylic acid Transmission emission microscopy Transmembrane serine protease 2 Tumour necrosis factor α Total lung capacity	

UNSW	University of New South Wales
UPRmt	Mitochondrial unfolded protein responses
VC	Vital capacity
YM1	chitinase-like protein 3

### **Chapter 1.** Literature review

#### **1.1 COPD**

### 1.1.1 Epidemiology

Chronic obstructive pulmonary disease (COPD) is a disease that is characterised by the limitation of airflow in the lungs (1), which leads to shortness of breath (2), wheezing (3), chest tightness (4) and ongoing chronic cough (5). Chronic exposure to cigarette smoke (CS) is one of the predominant causes of COPD (6) however, other causes include long-term exposure to lung irritants—such as air pollution (7), chemical fumes (8), or dust (9, 10). The clinical symptoms of COPD are shortness of breath, wheezing, chest tightness, and ongoing chronic cough. COPD is a heterogeneous progressive disease characterised by common pathologies of chronic airway inflammation (bronchitis) (11), airway remodelling (12) (collagen deposition (13), fibrosis (14), and mucus hypersecretion (15)) and/or emphysema (16) (where alveoli or air sacs are damaged) and impaired lung function and gas exchange leading to severe breathlessness (17).

COPD is the third most common cause of death globally and fifth in Australia (18, 19). Current available treatments include the use of bronchodilators (20), oxygen therapy (21), lung transplantation (22), or lung reduction surgeries that alleviate the disease symptoms (23, 24). However, there are no current available treatments that inhibit the progression or reverse the disease and hence, there is an urgent need to identify effective therapies for the treatment of COPD (25, 26).

COPD is difficult to diagnose in the early stages and can progress for years without any noticeable symptoms (27). COPD stages are classified utilising the criteria stated in the Global Initiative on Chronic Obstructive Lung Disease (GOLD) (28). The GOLD guidelines group the patient according to the symptoms and forced expiratory volume

(FEV) score as mentioned below in table 1.1 (29). The stages are divided into the induction and progression phases (30). The induction phase consists of GOLD stage I-II, where patients are characterised by mild to moderate symptoms, accompanied by shortness of breath, exertion cough and sputum production (31). The progression phase consists of stages III-IV, where patients are characterised by severe disease symptoms, such as extreme shortness of breath, reduced exercise capacity, fatigue, and repeated exacerbations which have a moderate to severe impact on quality of life (32-34).

The severity of COPD stages (based on FEV Score)		
Classification	Severity	FEV1
GOLD 1	Mild	FEV1 ≥ 80%
GOLD 2	Moderate	FEV1 ≤ 50-79%
GOLD 3	Severe	FEV1 ≤ 30-49%
GOLD 4	Very severe	$FEV1 \leq 30\%$

Table 1.1: GOLD classification of COPD

### 1.1.2 COPD pathophysiology

COPD is an umbrella term for a range of chronic lung diseases, such as bronchitis and emphysema in addition to declining lung function (35). Chronic bronchitis and emphysema can occur together or individually and vary in severity among COPD patients (36). Chronic bronchitis is characterised by inflammation in the lining of the airways often accompanied by daily cough and mucus production (37). Emphysema is referred to the damage of the lung parenchyma as a result of chronic exposure to CS and/or other lung irritants (38). Emphysema is characterised by irreversible enlargement of alveoli accompanied by destruction of the alveolar walls leading to the trapping of air in the alveoli and loss of elasticity of the lung tissue that increases pulmonary compliance and reduces lung function (39, 40). These conditions often lead to structural remodelling of the airways that results in the formation of scar tissue (41), collagen deposition (42) and mucus hypersecretion (43) causing a reduction in lung function (44) by reduced lung elasticity (45) and decreasing hysteresis (46). In combination, these events lead to airway obstruction and further loss of lung function typically observed in moderate to severe COPD patients (47, 48). These structural impairments in the lung are resultant of chronic inflammation in COPD (49).

Chronic exposure to CS or other risk factors leads to increased infiltration of total leukocytes in the lungs, comprising macrophages, neutrophils, eosinophils, and lymphocytes in the airways (50, 51). These events lead to the release of various inflammatory mediators such as leukotriene B4 (LTB4)- produced by macrophages (52), interleukin (IL)-1 $\beta$  (53), and tumour necrosis factor (TNF)- $\alpha$  (54, 55). Followed by the release of inflammatory mediators, activation of epithelial cells and endothelial cells occur, which then serves as a source for chemoattractant cytokines facilitating a further increased infiltration of leukocytes in the airways (56-58). These substantial cellular changes are often associated with a shift in the metabolic state of inflammatory cells leading to the production of reactive oxygen species (ROS) (59) or reactive nitrogen species (RNS) (60) which promote further inflammation to develop a feedback-loop inducing chronic inflammation (61).

#### 1.1.3 Risk factors for COPD

Multiple risk factors play an important role in the development and progression of COPD (62). Cigarette smoking is the most significant risk factor for COPD (63). Other than tobacco, smoking marijuana as well as exposure to passive exposure to cigarette smoking possess a high risk of developing COPD (64). Additionally, chronic exposure to environmental pollutants (65), chemical irritants (23) and the smoke inhaled from indoor cooking are also well-known risk factors for COPD in developing countries (66, 67). A rare genetic mutation causing  $\alpha$  -1-antitrypsin deficiency (AATD) is a notable genetic risk factor for COPD (68, 69). About 1-3% of COPD patients are diagnosed with AATD, characterised by a low level of  $\alpha$ -1-antitrypsin (AAt) protein (70). AAt is synthesised in the liver and circulated to the lung, which protects the lungs from damage (71). AATD can cause both lung and liver disease (72). Further, people with asthma possess a high risk of developing COPD which further increases with smoking (73). COPD patients are at constant risk of other respiratory infections such as cold, flu or pneumonia (74). COPD can increase the risk of heart disease and heart attacks (75). People with COPD have a higher risk of developing lung cancer and pulmonary hypertension (76).

#### 1.1.4 COPD exacerbations

Exacerbations of COPD is referred to the worsening of the disease symptoms like increased airway and systemic inflammation and structural changes such as hyperinflation of the lung which leads to substantial morbidity and mortality (77). Acute exacerbations are a characteristic of COPD and determine the poor clinical course of the patient (78). Exacerbations are associated with greater disease progression, an increase in chronic airway inflammation, and impaired lung function (79). Most exacerbations are

caused by bacterial and viral respiratory tract infections such as non-typeable Haemophilus influenzae (NTHi), Streptococcus pneumoniae and Severe Acute Respiratory Syndrome Coronavirus 2 (SARS-CoV-2) etc. (80-82). The susceptibility of smokers with COPD to respiratory infections is greater than that of non-smokers (83). This is largely due to the inherent properties of CS exposure causing several disruptions to the innate lung defence mechanisms (84), such as impairment of mucus clearance (85), reductions in ciliary beat frequency and the number of ciliated cells due to squamous metaplasia (86), and impairment of phagocytosis by alveolar macrophages (87). As such COPD patients have higher expression of intermolecular adhesion molecule 1 (ICAM1) which is the receptor for Influenza, and transmembrane serine protease 2 (TMPRSS2), the main protease involved in SARS-CoV-2 infection (88).

The administration of corticosteroids has long been a mainstay therapy for acute COPD exacerbations (89); however, the use of chronic corticosteroids is associated with many adverse events like an increased risk of pneumonia, possibly due to their immunosuppressive action (90). Corticosteroids suppress inflammation by recruiting histone deacetylase 2 (HDAC2) to drive pro-inflammatory gene promoters like nuclear factor- $\kappa\beta$  (NF- $\kappa\beta$ ) (91, 92). Several studies exploring the usage of specific antibiotics in COPD exacerbations have been conducted, and it is known that antibiotic therapy can reduce sputum purulence, which is referred to as a rapid change in the colour of the sputum (93).

#### 1.1.5 Management of COPD exacerbations

COPD has been a significant cause of morbidity and mortality across the globe for over a decade. It is estimated that 328 million people suffer from COPD worldwide (94, 95). Management of COPD depends on the severity of the disease, however, cessation of smoking is highly recommended for patients at all stages of COPD (96). Several kinds of medications are used to treat the symptoms and complications of COPD such as the use of bronchodilators which can be either short-acting or long-acting (97). Bronchodilators usually come in form of inhalers and help to relax the muscles around the airways (98). This provides relief from chronic cough and shortness of breath (99). Commonly prescribed short-acting bronchodilators include albuterol, ipratropium, and levalbuterol (100, 101) etc. and long-acting bronchodilators include aclidinium, arformoterol, formoterol etc (102-104). For moderate or severe COPD patients, the inhalation of corticosteroids is known to mitigate COPD symptom persistence, reduce airway inflammation, and help to prevent the occurrence of exacerbations (105, 106). Commonly used corticosteroids are fluticasone, and budesonide (107). Based on the severity of the disease, treatments may include a combination of bronchodilators and corticosteroids for example fluticasone and vilanterol, fluticasone, umeclidinium and vilanterol (108). Furthermore, the use of phosphodiesterase-4 inhibitors is known to reduce airway inflammation and relax the airways (109, 110). Therapies such as oxygen therapy (111), a pulmonary rehabilitation program (112), and in-home non-invasive ventilation therapy (113) are recommended for moderate or severe COPD patients. Moreover, lung volume reduction surgery is also an option for treatment whereby lung sections are removed (114) from moderate or severe COPD patients. Other surgery options include bullectomy, where damaged sections of the lungs are removed and lung transplantation (24, 115). All these treatment options alleviate symptoms and exacerbations but currently, there is no cure for COPD, therefore, there is a need for new therapies that can prevent the induction or inhibit the progression of COPD.

#### 1.1.6 Animal models of COPD

Animal models are crucial in studying the pathological process of diseases (116). To study the pathogenesis of COPD and explore potential therapeutic approaches, we need a reliable animal model to mimic the hallmark features of COPD (117). As COPD is a heterogeneous disease (118), it is nearly impossible to replicate all pathological features and the complexity of COPD in a single model (119). Previous studies have been performed on numerous models that can recapitulate the disease features in animals including rodents (120), guinea pigs (121), sheep (122), dogs (123), and non-human primates (124). However, the use of mice offers several advantages over other species that include the feasibility of breeding and maintenance, ease of genetic modification and the heterogeneity within different mouse strains (125, 126).

Several experimental models of COPD are available where the disease can be induced via elastase instillation (127), genetic manipulation (128), CS exposure (129), bleomycin administration (130).lipopolysaccharide (LPS) exposure (131), fluorescein isothiocyanate (FITC) (132) and silica administration (133). Primitive studies were performed assuming COPD as a disease of emphysema in elderly patients deficient in α-1-antitrypsin, leading to a build-up of elastase (134). Therefore, elastase instillation was one of the first COPD models adapted using a single dosage of elastase resulting in immediate loss of the alveolar wall (135). The major drawback of this model was a reduction of the immune cell environment which forms a vital aspect in the pathogenesis of COPD (136). Several studies have investigated the role of genetic factors in COPD utilising transgenic mutant mouse models such as matrix metalloproteinase-12 (MMP-12) knockouts to validate the protective role of MMP-12 against smoke inducedemphysema (137). Given that CS is the leading risk factor for the induction and
progression of COPD (138), exposure to CS is an appropriate method to induce hallmark pathological features of COPD in animal models (117). Several studies have adopted mouse smoke exposure protocols that vary with delivery route, frequency, length and number of cigarettes being used (139). In addition, the process of smoke exposure is a critical factor that varies between mainstream smoke introduced via inhalation or sidestream smoke generated passively via the burning of cigarettes (140). Moreover, the disease features in CS exposure models also vary between whole-body smoke exposure typically accompanied by less severe features (141) and nose-only smoke exposure which causes more prominent disease features (142). Another most widely used model for fibrotic lung damage is generated via bleomycin administration, a compound known to induce lung fibrosis (143). Commonly used bleomycin models only require one intranasal dosage of bleomycin to induce fibrotic changes in the lung accompanied by localised inflammation within 3-4months (144). Similar to bleomycin, FITC and silica models are widely used where mice are treated with FITC or silica particles and these induce localised inflammation and lung damage which is sustained for up to 5 months (132, 145).

Our group has developed a mouse model that recapitulates the main pathological features of COPD in a short timeframe of 8 weeks using CS exposure (146). The custom-designed nose-only inhalation system enables us to deliver CS directly into the airways of the mouse to develop critical features of COPD. These features include infiltration of cells in the lungs, alveolar enlargement and remodelling, damage in the lung parenchyma and reduced lung function (146). Cessation of smoke after 8 weeks in this experimental COPD model did not improve airway inflammation, alveolar enlargement, or impaired lung functions. This is consistent with the progressive and irreversible nature of COPD pathogenesis observed in patients even after cessation of smoking, making this a useful model to better understand the pathogenesis of COPD during CS exposure and following CS cessation.

## **1.2 Inflammation and COPD**

Chronic inflammation in COPD involves the infiltration of inflammatory cells in the lungs such as macrophages, neutrophils, lymphocytes, mast cells and innate lymphoid cells (147). This infiltration of inflammatory cells often contributes to detrimental effects in the residential cells of the lungs such as airway epithelial cells, parenchyma cells and stromal cells which leads to tissue destruction and airway remodelling in COPD (148, 149). The inflammatory response in COPD requires a combined activation of innate immune cells (eg, macrophages and neutrophils) and adaptive immune cells including Tlymphocytes and vascular cells (eg, endothelial cells and vascular smooth muscle cells) (148). The classical inflammatory response progresses through two distinct phases: initiation and resolution (150). The initiation phase is characterised by the recognition of damage by the resident immune cells, and the activation of endothelial cells (ECs) (151), followed by clonal expansion, proliferation, and recruitment of additional immune cells to the site of the injury (152). The resolution phase includes the removal of the activated immune cells, the formation of memory immune cells and the restoration of homeostasis (153). Macrophages release chemokines like CXCL1 to recruit neutrophils (154). Additionally, macrophages and neutrophils add to the continuous inflammatory response by releasing ROS, which induces apoptosis in epithelial cells (155). Further, activated macrophages release MMPs, enzymes that can disintegrate various matrix components, including collagen and elastin as a normal immune response (156).

Sustained inflammation in COPD leads to continuous tissue injury and repair in the lungs, causing irreversible damage to the lung tissues and worsening lung function. However, to date, there is a lack of understanding of how inflammatory mediators affect this process of airway remodelling and damage in lung parenchyma and how that contributes to reduced lung function in COPD. Recent studies have highlighted that immune cells in COPD are highly active with their proliferation, and effector functions, which require extensive anabolic processes such as secretion of lipid and protein mediators like saturated or unsaturated fatty acids and nucleic acid content as well as catabolic processes like digestion of phagocytosed materials. To drive these processes, immune cells require an immediate influx of glucose to meet the high demands for adenosine triphosphate (ATP). Henceforth, these cells undergo a metabolic shift whereby they favour glycolysis over oxidative phosphorylation (oxphos). Glucose metabolism by glycolysis generates  $\sim 2$ ATP molecules as compared to one complete cycle of respiration including glycolysis, the tricarboxylic acid (TCA) cycle or the Krebs cycle, and electron transport chain (ETC) followed by oxphos which generates ~34-36 molecules of ATP (Figure 1.1). This shift of immune cells from oxphos to aerobic glycolysis is referred to as the Warburg effect (Figure 1.1) (157).



### Figure 1.1: The Warburg effect

Immune cells during a state of rest undergo a full respiration cycle that includes glycolysis, TCA cycle, ETC and oxphos producing ~34-36 molecules of ATP. Upon activation, immune cells prefer glycolysis over oxphos for an instant source of energy, producing 2 molecules of ATP.

The switch of metabolic flux in immune cells from oxphos to aerobic glycolysis is a potential mechanism driving sustained inflammation (158). The final rate-limiting step of aerobic glycolysis is modulated by the pyruvate kinase (PK) marker 2 (PKM2) enzyme and plays an important role in modulating cellular immunometabolism (159). PKM2 catalyses the transfer of a phosphate group from phosphoenolpyruvate (PEP) to adenosine diphosphate (ADP) generating pyruvate and ATP (160). PK exists in four isoforms including PKM1, PKM2, PK-L and PK-R. PKM2 oligomer can either exist in a dimer or a tetramer form (161). The tetramer form of PKM2 has higher enzymatic activity and has a high level of affinity for PEP, and can quickly catalyse PEP to form pyruvate (162). On the other hand, the PKM2 dimer has a lower catalytic activity and cannot produce

pyruvate at a normal rate, resulting in the accumulation of upstream glycolysis intermediates (160). As a result of this blockade, the composition of the intracellular environment becomes disordered, including the accumulation of large amounts of acidic glycolysis intermediates leading to imbalances in the acid-base equilibrium of the cell and resulting in oxidative stress (163).

Further, PKM2 has unique nuclear functions and binds to and activates the transcription factors Hypoxia Inducing Factor (HIF)-1 $\alpha$ , signal transducer and activator of transcription-3 (STAT3) and octamer binding transcription factor-4 (Oct-4), thus promoting the activation of the related signalling pathways and inflammation (164, 165). HIF1 $\alpha$  plays an important role in metabolic reprogramming in inflammatory cells by promoting the expression of genes such as glucose transporter 1 (GLUT1), lactate dehydrogenase A (LDHA), phosphofructokinase 1 (PKF1) and hexokinase 1 (HK1) (165). PKM2 and HIF1 $\alpha$  are involved in a positive feedback loop in which HIF1 $\alpha$  activates gene transcription of PKM2, whereas PKM2 interacts with HIF1 $\alpha$  to promote the transactivation of HIF1 $\alpha$  target genes (166). Moreover, the expression of PKM2 plays a protective role against oxidative stress by exerting an antioxidant effect during inflammation, therefore, is a potential target for controlling inflammatory responses in COPD (167).

#### 1.3 Oxidative stress in COPD

Oxidative stress is a well-known instigator of inflammation in COPD, produced due to oxidant-antioxidant imbalance in cells (168). Mitochondria are one of the major sources of reactive oxygen and nitrogen species (mtROS/mtRNS) (169). High levels of ROS cause the oxidation of proteins, lipids and DNA resulting in tissue injury and triggering

inflammatory responses (170). COPD patients have increased oxidative stress markers such as 4-hydroxy-2-nonenal (4HNE), 3-nitrotyrosine (3-NT), inducible nitric oxide synthase (iNOS) (171, 172), decreased mitochondrial biogenesis (172), impaired mitochondrial activity (173), and coupling of mitochondrial respiratory chain complexes (174), leading to cellular apoptosis (175). Recent studies show that mtROS is an important driver of inflammation (176), and is known to modify protein thiols (177) through peroxisome proliferator-activated receptor-gamma coactivator 1 $\alpha$  (PGC1 $\alpha$ ) (178), and is required to produce pro-inflammatory cytokines (179) and chemokines (180) such as TNF $\alpha$  (181), chemokine ligand 1 (CXCL1) (177) and IL-1 $\beta$  (182) (Figure 1.2). Oxidative stress levels remain elevated in COPD patients after smoke cessation, suggesting that damaged mitochondria and impaired metabolism lead to endogenous ROS and are likely involved in the disease progression (183). Studies have shown that mtROS play important pathogenic roles in the development of COPD, through the induction of inflammation and damage of pulmonary cells and tissues and represents an important therapeutic avenue (184).

#### 1.4 Mitochondrial dysfunction in COPD

Mitochondrial dysfunction in COPD is characterised by lower mitochondria membrane potential ( $\Delta\Psi$ m), reduction in ETC complex activities, low ATP levels, and increased ROS production which contributes to the release of apoptotic factors (185, 186). Mitochondria are double membrane-bound organelles that serve as energy producers in a cell (187). Mitochondria are often referred to as the powerhouse of the cell, as these organelles catalyse the oxidation of metabolites to drive ATP production via the process of oxphos (188). Oxphos involves the transfer of high-energy electrons derived from nicotinamide adenine dinucleotide (NADH) and flavin adenine dinucleotide (FADH2) generated by the Krebs cycle through the ETC complexes that donate electrons to molecular oxygen (189, 190). During this process, protons are pumped across the inner mitochondrial membrane resulting in a proton-motive force also known as  $\Delta \Psi m$  that is utilized to produce ATP (191, 192). Mitochondria are commonly between 0.75 to 3 µm in diameter but vary considerably in size and structure (193). Mitochondria are composed of several compartments including the outer membrane, the intermembrane space, the inner membrane, the cristae, and the matrix (194). The production of ATP relies on many proteins, including NADH dehydrogenase, cytochrome c reductase, and cytochrome c oxidase, located in the inner membrane (195). These proteins oxidize pyruvate, glucose, and NADH in the cytosol and perform the energy transfer (196). This type of cellular respiration relies on oxygen and is known as aerobic respiration. In addition to supplying ATP, mitochondria are also involved in cell signalling, cellular differentiation, cell cycle regulation and cell growth (197). Although the process of ATP production is very efficient, it often contributes to electron leaks from the respiratory chain and can form ROS (198). Therefore, mitochondrial dysfunction has been implicated in a wide range of chronic diseases such as Alzheimer's, Parkinson's, cardiac dysfunction, diabetes, and COPD etc (199) and is an important therapeutic target.



## Figure 1.2:CS exposure in COPD

Chronic exposure to CS is a form of exogenous ROS in COPD and can initiate oxidative stress, inducing inflammatory responses. This further leads to the release of endogenous ROS from inflammatory cells such as macrophages and neutrophils as well as mtROS via disruption in the electron transport chain (ETC) during oxidative phosphorylation (oxphos). This further leads to altered cellular metabolism and cell death via apoptosis causing increased COPD exacerbations.

## 1.5 Glycolysis

Glycolysis is a process where glucose is broken down to produce energy (200). The process occurs in the cytoplasm of a cell and does not require oxygen. Glycolysis can produce two molecules of pyruvate, ATP, NADH and water (201) (Figure 1.3). Glycolysis is the primary step of cellular respiration and occurs in two distinct phases energy-requiring phase and the energy-releasing phase (202). The energy-requiring phase is completed over five different steps followed by the energy-releasing phase over the next five steps where glucose is converted into two molecules of pyruvate (203). In the presence of oxygen, pyruvate is circulated to the Krebs cycle or is reduced to lactate in absence of oxygen (204).

Recent studies have highlighted the importance of glycolysis in COPD as glycolysis generates pyruvate and the availability of pyruvate determines the rate of lactate production which contributes to disruption in metabolism. Multiple studies have shown a higher rate of glycolysis in COPD patients which contributes to the imbalance of production and oxidation of pyruvate leading to a reduction in acetyl coenzyme-A (acetyl-CoA) levels. Furthermore, these imbalances contribute to oxidative stress and impaired metabolism in the immune cells and therefore, are an important therapeutic target in COPD.

# **Glycolysis and Glycolytic Enzymes**



#### Figure 1.3: Glycolysis:

Glycolysis is the primary step in glucose metabolism, harnessing the breakdown of glucose for the generation of ATP, NADH and biosynthetic intermediates. The pathway produces pyruvate under aerobic conditions, and lactate under anaerobic conditions. Pyruvate is used as a resource to initiate the Krebs cycle.

#### 1.6 Krebs cycle

Cellular metabolism comprises the utilization of carbohydrates, fats, and proteins, to synthesize energy (205). The Krebs cycle, also known as the TCA cycle, or citric acid cycle is an important part of aerobic respiration (Figure 1.4) (206). The Krebs cycle plays a major role in glucose metabolism whereby glucose is catalysed to form pyruvate, which is then oxidised to enter the cycle as acetyl coenzyme-A (207). This cycle harnesses the available chemical energy of acetyl-CoA into reducing NADH to nicotinamide adenine dinucleotide (NAD<sup>+</sup>) (208). The Krebs cycle is dependent on multiple pathways for the constant supply of its various intermediates comprising fatty acids, amino acids, or porphyrins (209). Impaired metabolism leads to a diversion in these intermediates thus compromising the integrity of the Krebs cycle and failure to generate ATP (210). The Krebs cycle generates 2 molecules of ATP, 8 molecules of NADH, 2 molecules of FADH<sub>2</sub> and 6 molecules of carbon dioxide (CO<sub>2</sub>) which is then passed onto the ETC to generate ATP (211).



## Figure 1.4: Krebs cycle

The Krebs cycle plays an important role to generate energy in aerobic cell metabolism. The intermediates of the Krebs cycle also plays important role in other biochemical pathways. Pyruvate, the end product of glycolysis is converted into acetyl-CoA which then enters the Krebs cycle. The metabolites undergo a series of chemical reactions catalysed by the Krebs cycle enzymes to generate 2 molecules of ATP, 8 molecules of NADH, 2 molecules of FADH2 and 6 molecules of CO<sub>2</sub>.

#### 1.7 Oxidative phosphorylation and electron transport chain

Oxidative phosphorylation or oxphos is a cellular process that harnesses the reduction of oxygen to generate ATP (212). It comprises a series of oxidation-reduction reactions that

involve the transfer of electrons from NADH and FADH2 to oxygen across several proteins, and lipid complexes in the mitochondria known as the ETC. The ETC utilises NADH and FADH2 generated from the Krebs cycle (213). There are four protein complexes involved in electron transfer that function as enzymes in the ETC (Figure 1.5) (214). These ETC complexes carry out oxidation and reduction reactions, using the transfer of electrons from one complex to the next in series (215). The complexes are named: Complex I, II, III, and IV. Of the four complexes, complexes I, III, and IV are responsible for pumping 4 protons into the intermembrane space, which is then carried to the next complex and eventually to complex V by cytochrome C or coenzyme Q10 (216). Complex V is also known as ATP synthase, and is functionally different from the other complexes, as it is not involved in the transfer of electrons but facilitates the generation of ATP (217). The transfer of electrons via all the complexes to complex V for ATP synthesis is referred to as oxphos and can generate 36~38 ATP molecules in one complete cycle (218).



## Figure 1.5: The electron transport chain

ETC consists series of complexes that transfer electrons in the membrane to create a gradient of protons in the mitochondrial inner membrane. Electron donors, NADH and FADH<sub>2</sub> generated from the Krebs cycle release electrons that are accepted by electron acceptors (redox reactions) creating a proton gradient driving ATP production through ATP synthase, a process known as oxphos.

#### **1.8** NAD<sup>+</sup>, a potential therapeutic target for COPD

NAD<sup>+</sup> is both a crucial coenzyme and a co-substrate for all metabolic reactions in living organisms (219). Maintenance of NAD<sup>+</sup> levels is essential for cellular energy, homeostasis, survival, and proliferation (220). NAD<sup>+</sup> and its reduced form, NADH, play an important role in maintaining cell survival and metabolism via regulating numerous biosynthetic pathways including redox protective roles (220, 221). NAD<sup>+</sup> serves as an electron acceptor and transfers energy between different metabolic pathways such as glycolysis and the Krebs cycle (220, 222). Depletion of NAD<sup>+</sup> levels leads to disruption in the mitochondrial metabolism and results in an imbalance in cellular redox homeostasis (223). NADH on the other hand is an electron donor that is oxidised during oxphos leading to ATP production (222). NAD+ can regulate multiple signalling pathways including immune and inflammatory responses (221, 222). NAD<sup>+</sup> availability is determined by the rate of NAD<sup>+</sup> biosynthesis or consumption (Figure 1.6) (222). There are several NAD<sup>+</sup> precursors like tryptophan (TRP), Nicotinamide riboside (NR), Nicotinamide mononucleotide (NMN), Nicotinamide (NAM) and Nicotinic acid (NA) which synthesise NAD<sup>+</sup> via different pathways such as *de novo* biosynthesis pathway, Preiss-handler pathway, and Salvage pathway (Figure 1.6) (221). Mammalian cells can generate NAD<sup>+</sup> from dietary TRP using the *de novo* synthesis pathway via the intermediate ACMS which can spontaneously cyclize to quinolinic acid (QA) (Figure 1.6) (224). Additionally, the Preiss-Handler pathway can convert dietary NA to NMN by nicotinate phosphoribosyl transferase (NAPRT) (Figure 1.6) (225). Further, NAM, NA, NR and NMN can be catalysed to generate NAD<sup>+</sup> via the salvage pathway (Figure 1.6) (226).

Poly (ADP-ribose) polymerases (PARPs) (227), CD38 (228), and Sirtuins (SIRTs) (229) are the major consumers of NAD<sup>+</sup>. PARPs are the most abundant and highly expressed nuclear enzymes and are widely involved in DNA damage responses, apoptosis, and epigenetic modifications (230). Chronic exposure to CS leads to DNA damage in COPD patients, and this is further elevated with an increase in oxidative stress. As a consequence, activation of PARPs is initiated to facilitate DNA repair, however, the constant DNA damage in COPD instigates the overactivation of PARPs leading to NAD<sup>+</sup> depletion and ultimately apoptosis (231). CD38 is a transmembrane glycoprotein (232) and plays an important role in cell adhesion (233), migration (234) and signal transduction (235). Additionally, CD38 is involved in the regulation of pro-inflammatory cytokines in monocytes, natural killer (NK) cells, and activated B and T lymphocytes (236). CD38 has been postulated to be the major NAD<sup>+</sup> consumer in cells and defective CD38 signalling exhibits impaired innate immunity and immune responses (237). Aside from playing a key role in various cellular enzymatic reactions and signalling pathways, NAD<sup>+</sup> also dictates the host's innate and adaptive immune responses (238). NAD<sup>+</sup> regulates TNF $\alpha$  expression in macrophages via regulating the expression of CD38, indicating a link between the metabolic/redox state of the cell and its inflammatory responses (239). This results in the induction of CD38 which can further scavenge the cellular NAD<sup>+</sup> pool (181, 240). Several studies have demonstrated elevated TNFα levels in COPD patients suggesting an increase in the CD38 expression, however, to date the role of CD38 in COPD is less clear. SIRTs depend entirely on NAD<sup>+</sup> and are involved in the chemical reversal of acetyllysine modifications of cellular proteins (222, 229). SIRTs are class III histone deacetylases that play a crucial role in restoring cellular homeostasis during stress responses (241). Recent studies have highlighted the protective mechanisms of SIRTs in aging-related diseases (242), such as cancer (243),

cardiovascular (244) and neurodegenerative diseases (245), osteoporosis (246), and COPD (247). Previous studies have shown the protective role of SIRT1 and SIRT6 against COPD (248), however, currently, there is no evidence of the effect of NAD<sup>+</sup> depletion on SIRTs during the development or progression of COPD. NAD<sup>+</sup> also inhibits T-cell proliferation and cytotoxic activity which are implicated during the pathogenesis of COPD (249). However, to date, the role of NAD<sup>+</sup> in COPD remains unclear and further studies are required to unveil the contributions of NAD<sup>+</sup> in COPD and potential therapeutic targets for the treatment of COPD.



Figure 1.6: NAD biosynthesis and consumption

*NAD* biosynthesis occurs through three different pathways- Salvage pathway, Preiss-Handler's pathway, and de novo synthesis pathway. The salvage pathway converts NMN, NR, NAM and NA to NAD<sup>+</sup> in various cellular compartments including the nucleus and mitochondria. SIRTs, PARPs and CD38 are the major scavengers of NAD<sup>+</sup>. The de novo pathway of  $NAD^+$  synthesis converts tryptophan to  $NAD^+$  via intermediate components, this further links to the Preiss-Handlers pathway, which is a three-step pathway using dietary NA to generate Nicotinic acid mononucleotide (NAMN) and nicotinic acid adenine dinucleotide (NAAD) and is then converted to  $NAD^+$ .

## **1.9 NAD<sup>+</sup> modulators**

The synthesis of NAD<sup>+</sup> is usually met by either the internal regeneration of NAD<sup>+</sup> by using endogenous metabolite such as QA or via dietary intake of NAD<sup>+</sup> precursors, globally termed as Vitamin B3. Vitamin B3 precursors of NAD<sup>+</sup> comprise NA, NAM, NMN, and NR. These modulators have been largely used in the research areas of neurodegenerative diseases, aging and diabetes (250).

#### **1.9.1** Nicotinamide Riboside (NR)

NR, the precursor molecule of NAD<sup>+</sup>, is derived from vitamin B3 and is known to be the most efficient way of restoring NAD<sup>+</sup> through the salvage pathway (Figure 1.8) (251). NR can synthesise NAD<sup>+</sup> through the salvage pathway either via generating NAM or by undergoing phosphorylation via nicotinamide ribose kinases (NRKs) to generate NMN (Figure 1.8). Further, NMN is then transformed into NAD<sup>+</sup> by NMN adenylyltransferase (NMNATs) (Figure 1.8). There are three different isoforms, NMNAT1 is localised in the nucleus, NMNAT2 is expressed in the cytoplasm and NMNAT3 is localised in the mitochondria (Figure 1.8). NR has been extensively used in aging research and has been demonstrated to restore NAD<sup>+</sup> levels in aged patients (252). Restoration of NAD<sup>+</sup> levels has also been shown in different studies to be beneficial in preventing cognitive decline (253), and loss of vision (254) and hearing in aged patients (257), cardiovascular diseases

(258), hepatic steatosis (259, 260), diabetes (253), infertility (261), kidney injury (262), obesity (263), cancer (264), sarcopenia (265), and inflammation (266).

## 1.10 Pterostilbene

PT is a naturally occurring analogue of resveratrol with increased bioavailability (267). The unique structure of PT has been attributed to its antioxidant, anti-inflammatory, and chemopreventative effects (268). PT upregulates SIRT1, NRF2, and NF-κB exhibiting anti-inflammatory benefits in various diseases such as neurological disorders, vascular diseases, diabetes and cancer. Further, PT is also known to upregulate AMPK displaying anti-oxidant properties which further leads to the upregulation of fatty acid oxidation and increases mitochondrial function (269). Additionally, the chemopreventative effects of PT revolve around its ability to target pleiotropic signalling pathways including mitogenesis, cell cycle regulation, and apoptosis (268, 269).

Based on the properties of both NR and PT it is hypothesised to synergistically support metabolic regulation through NR increasing NAD<sup>+</sup> levels which then acts as a substrate for PARPs, SIRTs and CD38. Furthermore, PT activates SIRT1 for enhanced NAD<sup>+</sup> consumption (Figure 1.7). NR and PT together have been widely used in studies targeting the restoration of NAD<sup>+</sup> in aging, mitochondrial function and metabolism, redox reactions, circadian cycle, DNA repair, cell division, signalling and inflammation (270).



**Figure 1.7: NR and PT regulating NAD<sup>+</sup> pool:** NR is a precursor of NAD<sup>+</sup> and can synthesise NAD<sup>+</sup> via the salvage pathway providing NAD<sup>+</sup> for all the seven SIRTs. Whereas PT activates SIRT1 for enhanced consumption of NAD<sup>+</sup> and this further regulates the NAD<sup>+</sup> pool which is essential for maintaining cellular energy, homeostasis, survival, and proliferation.

### 1.11 TEPP46

TEPP46 is a chemically synthesised small molecule activator of PKM2 (271). It binds specifically to PKM2, inducing tetramerisation of PKM2 dimer to tetramer formation that further stimulates pyruvate production and activation of oxphos (271) (Figure 1.8). The imbalances in the production of pyruvate and reduction in oxphos can in turn reduce pro-inflammatory responses and promote anti-inflammatory responses in the cells (272). The

tetramerisation of PKM2 is crucial in determining the fate of glycolysis in the immune cells (273). Studies have shown that TEPP46 alleviates oxidative stress and suppresses apoptosis in cardiomyocytes (274) as well as inhibits glycolysis in T-cells (275). However, the role of PKM2 in the development and pathogenesis of COPD remains unknown and might potentially be an important therapeutic target.



Figure 1.7: Tetramerisation of PKM2 by TEPP46

TEPP46 binds to PKM2 dimers inducing the formation of PKM2 tetramer and promoting the rate-limiting step of glycolysis and pyruvate production. Pyruvate enters the TCA cycle and stimulates the cell to begin oxphos.

#### 1.12 Study rationale

Chronic exposure to CS is the predominant cause of COPD (276). The mechanisms that drive the development and progression of chronic inflammation in COPD are not well understood, and this has hampered the development of effective treatments for the disease (277). Current therapeutic approaches are limited and aim to manage symptoms and reduce exacerbations (277). Thus, there is a need for new therapies that inhibit the induction and progression of COPD (278). Recent studies have highlighted the importance of mitochondrial dysfunction (279) in COPD leading to decreased mitochondrial density and biogenesis (280), followed by impaired mitochondrial activity and apoptosis (281) during the pathogenesis of the disease. This decline in mitochondrial function causes oxidative stress further causing alterations in immune cell metabolism (282, 283). NAD<sup>+</sup> directly influences immune cell function via regulating energy metabolic pathways such as glycolysis, Krebs cycle, oxphos etc (284), subsequently leading to the production of ATP. Additionally, recent findings have also stated that metabolic marker PKM2, involved in catalysing the last step of glycolysis might potentially be involved in the metabolic reprogramming of immune cells further driving the sustained inflammation in COPD (160).

Currently, there is no evidence of the contribution of NAD<sup>+</sup> in impaired immunometabolism driving COPD (238). The objective of this thesis was to understand the role of NAD<sup>+</sup> and impaired immunometabolism involved in the induction, progression, and development of COPD. The study investigates the role of NR, PT, and TEPP46 as a therapeutic intervention in both prophylactic and therapeutic studies in a clinically relevant CS-induced experimental COPD murine model.

## Chapter 2. General materials and methods

## 2.1 General reagents

## 2.1.1 Nuclease-free water

Nuclease-free water- UltraPure<sup>™</sup> DNase/RNase-free distilled water (#10977023, Thermo Fischer Scientific, United States) was commercially obtained for all the experiments.

## 2.1.2 MilliQ water

MilliQ water was obtained from Milli-Q<sup>®</sup> Benchtop Lab Water Purification Systems (Merck, United States). Water purification was performed using the recommended specifications of resistivity > 10 M $\Omega$  at 25°C, total organic carbon < 500 ppb, bacteria <10 CFU/mL, and filtration of particles > 0.22  $\mu$ m.

## 2.1.3 Ethanol (70% v/v)

Ethanol, absolute (#EL043, Chem supply, Australia)	70 mL
Milli-Q water	30 mL

## 2.1.4 Phosphate buffered saline (PBS) (1X)

1X Dulbecco's Phosphate-Buffered Saline (DPBS) powder (# 21600010, Thermo Fisher Scientific, United States)

Milli-Q water 1000 mL

### 2.1.5 Phosphate buffered saline – Tween-20 (PBST) (1X) (0.5%)

1X Dulbecco's Phosphate-Buffered Saline (DPBS) powder (# 21600010, Thermo Fisher
Scientific, United States)
Milli-Q water
Tween-20 (#P7949, Sigma Aldrich, United States)
0.5 mL

#### 2.1.6 Sodium Chloride (NaCl) (0.9%)

Sodium Chloride (#S9888, Sigma Aldrich, United States)	9 g
MilliQ water	1000 mL

#### 2.2 Animal models

## 2.2.1 Ethics statement

This thesis was performed following the Australian Code of Practice recommendations for the care and use of animals for scientific purposes issued by the National Health and Medical Research Council of Australia (NHMRC). All experiments were approved by the Sydney Local Health District (SLHD) Animal welfare committee of the Centenary Institute of Cancer Medicine and Cell Biology and covered by protocol 2019/003A and 2019/037A. All treated mice were weighed daily from the beginning of the exposure periods and monitored daily according to ethical criteria.

## 2.2.2 Experimental mice model

Female BALB/c mice, aged 6-8 weeks were obtained from the Animal Resources Centre (ARC), Western Australia used unless otherwise stated. Mice were maintained at  $20 \pm$ 

2°C on a 12:12 hours day-night cycle and fed a standard sterile diet of mouse chow with water allowed *ad libitum* during an acclimatisation period of 7 days before experimental procedures.

## 2.2.3 Smoke inhalation system

Mice were subjected to either Room Air or CS exposure from 12 research-grade 3R4F cigarettes (University of Kentucky, USA) twice a day, five days a week for up to12 weeks using a custom-designed nose-only inhalation system (Scireq, Montreal, Canada) (Figure 2.1) (146).



Figure 2.1: Smoke inhalation system:

Custom-built nose-only smoke exposure system to induce COPD via CS inhalation (146).

#### 2.2.4 Preparation and exposure of mice to cigarette smoke

Research cigarettes were stored at -80°C and were removed from the freezer a day before the experimental procedure. Cigarettes were placed in an air-lock container along with a petri dish of saturated 35% sodium bromide (# 746401, Sigma Aldrich, Australia) solution to ensure appropriate moisture content.

Mice were gently placed into custom-designed restraint tubes, with a plunger against the rear of the mouse. The tubes enable the mice to rest in a comfortable position for the whole smoke exposure session (Figure 2.2). The tubes were further connected to the ports in the smoke tower for exposure as shown in Figure 2.1.

The smoke machine is operated within a class II biological safety cabinet. The machine is connected to a compressed air inlet to ensure the dilution of the smoke extracts from every puff of the cigarette. The machine is set up by turning on the power for all the attached devices to the smoke machine and ensuring that the compressed air flow is set at 2.4 L/min. The machine is operated with an attached computer using custom-designed software, Human Profil Pump Interface. The script; CIR55\_30sec\_12puffs, was then executed which automatically lights the cigarette attached to the piston. Each puff volume is 55.19 cc/min and takes a total of 333 secs to complete the whole process with an interval of 10 secs between each puff for each cigarette. One round of smoke exposure consists of 12 cigarettes and takes up to 75 mins to complete. This was completed twice daily.

#### Nose-only inhalation system



Figure 2.2: CS exposure of mice

Mouse restrain tubes for custom-built nose-only smoke exposure are inserted into the smoke tower which is then connected to the cigarette through a computer-operated system.

## 2.2.5 Euthanasia

Mice were euthanised via intraperitoneal administration of sodium pentobarbital for all the 2 weeks of acute studies. All the mice used in the 8 weeks or 12 weeks of COPD studies were subjected to surgical anesthesia using a mixture of ketamine and xylazine administered intraperitoneally for a terminal procedure of lung function measurements.

## 2.2.6 Blood and Serum

A cardiac bleed was performed on anaesthetised mice using a 26G needle. Collected blood was centrifuged at 8000 x g for 10 mins at 4°C, after which the serum fraction was collected and stored at -80°C for downstream analysis.

## 2.2.7 BAL

Bronchoalveolar lavage (BAL) was performed on the left lung lobe using Hank's media (# H9394, Sigma Aldrich, United States) (285). The BAL samples were centrifuged at 300 x g for 5 mins at 4°C, after which the supernatant was collected and stored at -80°C for downstream analysis. The cell pellet was then resuspended in 200  $\mu$ L of homemade red blood cell (RBC) lysis buffer (Table 2.1) and was incubated for 3 mins at room temperature. Following incubation, 700  $\mu$ L of Hank's media was added to neutralise the reaction and then centrifuged at 300 x g for 5 mins at 4°C. The supernatant was then discarded, and the pellet was resuspended in 160  $\mu$ L of Hank's media. Total leukocyte counts were enumerated by mixing 10  $\mu$ L of cell suspension with a 1:1 dilution of trypan blue (#15250061, Life Technologies) and then loaded onto a hemocytometer for counting. To perform differential counts to determine the major cell populations in the BAL, the remaining cell suspension was deposited onto microscope slides using a cytocentrifuge (Cytospin 4, Thermo Scientific, United States) at 300 RPM for 7 mins.

<b>RBC lysis buffer</b> (pH 7.35)	
Ammonium chloride (#A9434, Sigma Aldrich, United States)	4.15 g
Sodium bicarbonate (#8805, Sigma Aldrich, United States)	0.5 g
Ethylenediaminetetraacetic acid (EDTA) (#E1644, Sigma	0.0185 g
Aldrich, United States)	
Milli-Q water	500 mL

#### 2.2.8 Differential counts on BAL

The cytospin slides were stained using the May Grunwald-Giemsa staining protocol for morphological analysis of leukocytes (286). The slides were incubated with May Grunwald (#MG1L, Point of Care Diagnostics, Australia) primary stain for 5 mins and rinsed in three changes of water for 5 mins each. The slides were further counterstained with Giemsa stain (#GIEM1L, Point of Care Diagnostics, Australia) for 20 mins. The slides were rinsed under running tap water for 5 mins, air-dried overnight, and then mounted with mounting media (#IM0225, ProSciTech, Australia) and coverslips (#CS24X50, Living Stone, Australia) and were subjected to drying overnight (146, 287). Differential leukocyte counts were analysed at 40X magnification using a bright-field microscope Axio Imager microscope (Zeiss, Oberkochen, Germany) accompanied by a camera (Zeiss Axiocam ICm1, Carl Zeiss AG, Germany). For each cytospin sample, morphological criteria for white blood cells (WBC) were enumerated for a total of 200 cells to identify major populations including monocytes/macrophages, neutrophils, eosinophils, and lymphocytes.

#### 2.2.9 Processing of lung samples for histopathological analysis

The left lung lobe was perfused with 0.9% sodium chloride (NaCl) (#S7653, Sigma Aldrich, United States) to remove excess accumulated blood from the lung tissue. Following perfusion, the left lung lobe was gently inflated with 0.5 mL 10% neutral buffer formalin (#HT501320 Sigma Aldrich, United States) through the trachea and was submerged in 10% neutral buffer formalin for 24-48 hrs. After initial fixation, formalin was replaced with 70% ethanol (#E7023, Sigma Aldrich, United States) and 30% PBS (# 21600010, Life Technologies, United States) and tissue samples were processed in an

automatic tissue processor (Histocore PEARL, Leica, Wetzlar, Germany) through a series of dehydration steps of 1 hr each using ethanol 50%, 70%, 80%, 90%, 95%, 100% (thrice), Xylene (#VWRC28975.325, Bio-strategy, Australia) (thrice) and paraplast (#39601006, Leica, Wetzlar, Germany) at 60°C (288). Tissues were then embedded in paraplast using moulds to prepare paraffin blocks. All the blocks were sectioned longitudinally at 3.5 µm thickness using a microtome (# RM 2245 Leica, Wetzlar, Germany). Sections were then used for staining purposes.

#### 2.2.10 Hematoxylin and Eosin stain

The lung sections were dewaxed before staining via immersing into xylene twice for 10 mins, followed by ethanol of 100%, 90%, 80%, 70%, and 50% for 5 mins each, and were then rehydrated in PBS. Lung sections were incubated in hematoxylin nuclear stain (#H3136, Sigma Aldrich, United States) for 10 mins followed by rinsing in water thrice for 5 mins each. The samples were counterstained with eosin (#861006, Sigma Aldrich, United States) followed by three water rinses for 5 mins each (289). The slides were then mounted with mounting media (#IM0225, ProSciTech, Australia) and coverslips (#CS24X50, Living Stone, Australia) and air-dried overnight (289). Slides were imaged under 40X magnification using a bright-field microscope Axio Imager microscope (Zeiss, Germany) embedded with a camera (Zeiss Axiocam ICm1, Carl Zeiss Microscopy). The mean linear intercept was calculated using MLI/DI plugin in ImageJ (National Institute of Health, United States).

#### 2.2.11 Sirius red and fast green stain

Sections were dewaxed and rehydrated as mentioned previously and were stained using Fast-green (#F7252-5G, Sigma Aldrich, United States) for 8 mins followed by a water rinse for 10 mins. The sections were counterstained using Picro-Sirius (Direct RED-80 #365548, Sigma Aldrich, US) stain for 1 hr. The slides were rinsed twice in acidified water, blot dried, then mounted with mounting media (#IM0225, ProSciTech, Australia) and coverslips (#CS24X50, Living Stone, Australia), and left to air-dry overnight (290). Slides were imaged under 40X magnification using a bright-field microscope Axio Imager microscope (Zeiss, Germany) embedded with a camera (Zeiss Axiocam ICm1, Carl Zeiss AG, Oberkochen, Germany) and analysed using Zen Imaging Software (Zen 3.0 blue edition, Carl Zeiss Microscopy). The mean alveolar diameter of the collagen deposition around the basement membrane of small airways was calculated using the colour deconvolution plugin for Sirius red and fast green in ImageJ (National Institute of Health, United States).

## 2.2.12 Lung function

Forced manoeuvre and forced oscillation techniques were used to assess lung function parameters. Mice were anesthetised with three different doses of anaesthetics to achieve surgical anaesthesia with regular breathing. The first dose of anaesthetics ensured the withdrawal of reflexes which was observed by toe pinch, whisker movements and palpebral reflex elicited by a gentle touch and was achieved by intraperitoneal administration of 13 mg/mL of ketamine and 1.6 mg/mL of xylazine at a volume of 200  $\mu$ L for a 20 gm mouse. Along with the withdrawal of reflexes, the respiratory rate and pattern were also closely monitored by observing the thoracic movement. Once the

cessation of reflexes was achieved, the second anaesthetic dose of 40 mg/mL of ketamine and 2 mg/mL of xylazine was administered to the mice via intraperitoneal administration at a volume of 100 µL for a 20 gm mouse. Upon accomplishment of surgical anaesthesia, a tracheostomy was performed on the mice by making an incision on the skin above the trachea and excising the submaxillary gland by removing the muscle layer covering the trachea. The trachea was then gently lifted using a pair of forceps and a suture was passed underneath the trachea. A 19-gauge needle was used to create a small incision above the largest cartilage ring, followed by the insertion of a custom-fit 18-gauge cannula (Scireq, Canada), after which the cannula was secured with a suture enabling an airtight seal between the trachea and cannula. A third anesthetic dose of 2 mg/mL xylazine was then administered to the mice as a muscle relaxant to ensure respiratory compliance throughout the lung function procedure. Mice were then placed onto the FlexiVent apparatus (291) (FX2 System; Scireq, Montreal, Canada) and mice were ventilated with a baseline tidal volume of 8 mL/Kg at a respiratory rate of 450 breaths/min. Following compliance of the mouse once ventilation was commenced, the forced pulmonary manoeuvre script was commenced using the FlexiWare 7 software (292). Lung parameters measured included forced vital capacity (FVC), inspiratory capacity (IC), total lung capacity (TLC), and area (hysteresis). A "snapshot perturbation" manoeuvre was imposed to measure resistance (Rrs), compliance (Crs), and elastance (Ers) of the whole respiratory system (airways, lungs, and chest wall). Forced oscillation perturbation ("Quick prime-3") was consequently applied and resulted in the measurement of the transpulmonary resistance (Rn), tissue damping (G), and tissue elastance (H). The maximal PV loops (PVs-V = PVstepwise-volume regulated; PVr-V = PV-ramp-volume regulated; PVr-P = PV-ramp pressure regulated) were generated to obtain maximal TLC, IC, the form of deflating PV loop, and hysteresis (area between inflating and deflating part of the loop). All the

manoeuvres and perturbations were performed until three correct measurements were achieved.

#### 2.2.13 Lung function analysis

FlexiWare7 software was employed to automatically calculate and display lung parameters associated with each perturbation (292). FlexiWare7 provides a coefficient of determination (COD) that reflects the fit of the mathematical model to the data. Each data set with an insufficient COD is excluded by the software. The datasets having a sufficient COD were exported through the *Review & Reporting* module of the software for the parameters such as pressure-volume curves, raw dataset signals and subject information to a spreadsheet application. A mean of baseline measurements was calculated for each parameter with the exclusion of the first value and used for further analysis in GraphPad Prism version 9.0 for Windows (GraphPad Prism software, San Diego, California, United States).

#### 2.2.14 Immunofluorescence staining

Sections were dewaxed and rehydrated as mentioned previously under section 2.7 and then incubated in the citrate-EDTA buffer as mentioned below in table 2.2 at 80°C for 30 mins. The slides were allowed to cool for 20 mins at 4°C and were immersed in PBS to wash off the citrate buffer for 5 mins. The sections were then blocked in 5% bovine serum albumin (BSA) (#A7030, Sigma Aldrich, United States) for 1 hr at room temperature. Samples were further incubated with 1:300 dilutions of primary antibody specific to different targets as listed below in table 2.3 for 1 hr at room temperature for each antibody staining. Slides were rinsed thrice with PBS with 0.5% tween (PBST) for 5 mins each

prior to incubation with 1:600 dilution cross-adsorbed secondary antibody as listed in table 2.3, for 1 hr at room temperature in the dark. Slides were then rinsed thrice with PBST, mounted with coverslips using Gold-antifade conjugated with DAPI nuclei stain (#P36935, Thermo Scientific, United States) and air-dried in the dark overnight (293). Fluorescence signals were detected at random locations of the sample using an Axio Imager microscope (Zeiss, Germany) embedded with a camera (Zeiss Axiocam ICm1, Carl Zeiss AG, Germany). A total of ten images were obtained per sample and analysed using Zen Imaging Software (Zen 3.0 blue edition, Carl Zeiss Microscopy).

<b>Table 2.2:</b>	Citrate	EDTA	Buffer	(pH	6.2)
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Citrate-EDTA Buffer (pH 6.2)	
Citric Acid monohydrate (10mM) (#C1909 Sigma Aldrich,	2.1014g
United States)	
EDTA (2mM)	0.74g
Milli-Q	up to 1000 mL
Tween 20 (0.05%) (#P7949, Sigma Aldrich, United States)	0.5 mL

<b>Table 2.3: L</b>	list of primary	antibodies use	d in immunofl	uorescence staining
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Primary antibodies	Dilutions	Secondary antibodies	Dilutions
Anti-4-hydroxynenal	1:300	goat anti-rabbit IgG	1:600
antibody (ab46545, Abcam,		(H+L) Alexa Fluor	
United States)		555 (#A32732,	
		Thermo Scientific,	
		United States)	

PKM2 antibody (#	1:300	goat anti-mouse IgG	1:600
PA528700, Thermo Fisher,		(H+L) Alexa fluor	
United States)		(#A21422, Thermo	
		Scientific, United	
		States)	
HIF1a antibody (# MA1-	1:300		
516, Thermo Fisher, United			
States)			
Anti-3-Nitrotyrosine	1:300		
antibody (#ab110282,			
Abcam, United States)			
F4/80 antibody	1:100		
(#MCA497G, Biorad,			
United States)			
EPCAM antibody	1:100		
(#ab213502, Abcam, United			
States)			

## 2.2.15 Transmission Electron microscopy

The post-caval lung lobe was perfused with 0.9% NaCl as previously described in section 2.6. The lobe was then excised and diced into approximately 1 mm<sup>3</sup> cube and dispensed into the 2.5% glutaraldehyde working solution listed below in table 2.6. The tissue samples were processed in a BioWave Pro<sup>+</sup> Microwave Tissue Processing System (TED Pella Inc., United States) following the manufacturer's protocol. The samples were stained with 1% osmium tetroxide and counterstained with 2% uranyl acetate prepared
according to the table below 2.7 and 2.8 (294). Samples were then dehydrated using ethanol 50%, 60%, 70%, 80%, 90%, 95%, and 100% (thrice) and were subjected to resin infiltration with procure of 25%, 50%, 75%, and 100% (thrice). Tissue samples were embedded in resin (#C045, ProSciTech, Australia) and allowed to dry at 60°C in a hot air oven for 2 days. Resin blocks were polished using a glass knife (# 8030 TED Pella, United States) in ultramicrotome (#UC7, Leica, Wetzlar, Germany) and were sectioned using a Diatome 45° diamond knife (#DU4530, EMgrid, Australia) at a thickness of 60 nm. Sections were collected on a copper grid (EMSHC200-AU-100, ProSciTech, Australia) and imaged using JEOL 1400 (JEM, United States) transmission electron microscopy (TEM). A total of 10 images were obtained per sample and analysed using Image J (National Institute of Health, United States). Mitochondria with an intact double membrane with visible cristae and dense matrix were counted as healthy or normal mitochondria, whilst unhealthy or abnormal mitochondria had distorted membranes with swollen cristae and sparse matrix (295).

#### Table 2.4: Recipe for 0.4M sodium cacodylate buffer

Sodium cacodylate buffer (0.4M)	
Sodium cacodylate trihydrate	10.7g
(#C0250, Sigma Aldrich, United States)	
Milli-Q water	125 mL

#### Table 2.5: Recipe for 0.2M sodium cacodylate buffer

Sodium cacodylate buffer (0.2M) (pH-7.2)	
0.4M Cacodylate buffer	50 mL

0.2M Hydrochloric Acid (HCL)	8 mL
Milli-Q water	Top up to 100 mL

## Table 2.6: Recipe for 0.1M sodium cacodylate buffer

Sodium cacodylate buffer (0.1M) (pH-7.2)	
0.2M Cacodylate buffer	50 mL
Milli-Q water	Top up to 100 mL

## Table 2.7: Recipe for 2.5% glutaraldehyde working solution

Glutaraldehyde (2.5% working solution)		
Glutaraldehyde (25% v/v)	8 mL	
(#G5882, Sigma Aldrich, United States)		
0.2M Cacodylate buffer	50 mL	
Milli-Q water	Top up to 100 mL	

## Table 2.8: Recipe for 1% osmium tetroxide

Osmium tetroxide (1% working solution)	
Osmium tetroxide	1g
(#75632, Sigma Aldrich, United States)	
Milli-Q water	100 mL

## Table 2.9: Recipe for 2% uranyl acetate

ι	ranyl acetate (2% working solution)	

Uranyl acetate	2g
(#541-09-3, ProSciTech, Australia)	
Milli-Q water	100 mL

#### 2.2.16 RNA extraction

Mouse lungs were excised and snap-frozen in liquid nitrogen before being stored at -80°C until required. At the time of analysis, samples were thawed gently on ice, and then RNA was extracted using the Trizol-chloroform method (296) (TRI reagent, Chloroform, Sigma Aldrich, USA) according to the manufacturer's instructions. Briefly, lung tissues were homogenised in 1 mL of trizol using stainless steel beads (Qiagen, USA) using a bead homogeniser (Qiagen, USA). The homogenate was transferred into a fresh 1.5 mL tube and centrifuged at 12,000 x g for 10 mins at 4°C, after which the clarified supernatant was transferred into a fresh 1.5 mL tube. To the supernatant, 250 µL of chloroform (#C2432, Sigma Aldrich, USA) was added to each sample and vortexed until a homogenous solution was achieved. The samples were then incubated at room temperature for 10 mins, followed by centrifugation at 12,000 x g for 15 mins at 4°C. After centrifugation, the aqueous phase was transferred carefully into a fresh 1.5 mL tube and then 500 µL of ice-cold Isopropyl alcohol (#I9516, Sigma Aldrich, USA) was added to each tube. The samples were vortexed and incubated at room temperature for 10 mins, followed by centrifugation at 4°C, 12000 x g for 10 mins. Following centrifugation, the supernatant was discarded, and the RNA pellet was carefully washed with 1 mL of 75% Ethanol followed by vortexing and centrifugation at 8,000 x g for 5 mins at 4°C. This step was repeated. The supernatant was then discarded, and the RNA pellet was subjected to air drying for 15 mins on ice. Further, the RNA pellet was resuspended in 30 µL of nuclease-free water (#10977023, ThermoFisher Scientific, USA). The concentration and quality of RNA were measured using a Nanodrop (Thermo Scientific, USA).

#### 2.2.17 cDNA preparation

RNA was reverse transcribed into cDNA using Bioscript (# BIO-21118, Bioline, Australia) and random hexamer primers (# BIO-38028, Bioline, Australia) (297). An equivalence of 1000 ng of RNA was used for cDNA preparation and the volume was made up to a total volume of 8  $\mu$ L, to which 2  $\mu$ L of DNase I mix was added, consisting of 10X DNase reaction buffer and DNase I and incubated at room temperature for 15 mins. To ensure the chemical inactivation of DNase I, 1 µL of DNase I STOP solution was added and mixed gently. The samples were transferred to a T100-Thermal Cycler (Bio-Rad) and incubated at 65°C for 10 mins. A cocktail of 2 µL of 50 ng/µL random primers and 10mM dNTP mix was added to the samples and incubated at 65°C for 5 mins. Following the incubation, 7 µL of MMLV master mix was added to each reaction, comprising of 5X MMLV (1st strand) reaction buffer, 100 mM DTT and 1 µL of nucleasefree water and incubated at 37°C for 2 mins. After the incubation, 1 µL of MMLV reverse transcriptase was added to each reaction and incubated at 25°C for 10 mins, followed by 37°C for 50 mins and 70°C for 15 mins. After the completion of the final reaction, cDNA samples were diluted by the addition of 79  $\mu$ L of nuclease-free water and the final volume was brought up to 100  $\mu$ L. The samples were stored at -20°C until further required.

#### 2.2.18 Quantitative PCR

Quantitative PCR (qPCR) reactions were completed as previously described (297) using real-time PCR systems (Biorad, United States) Each reaction consisted of 2  $\mu$ L of 1/100

dilution of cDNA, 500 nM of both forward and reverse primers,  $6.25 \mu L$  of iTaq Universal SYBR green supermix (#172-5124, BioRad) with the final reaction volume brought up to a total of 12.5 ul with nuclease-free water. Cycling conditions consisted of the following program: 50°C for 2 mins, 95°C for 2 mins, 95°C for 15 secs and 60°C for 30 secs for a total of 40 cycles, and the reaction terminated at 95°C for 10 secs followed by 60°C for 5 secs. The fluorescent acquisition was obtained through the SYBR channel. Samples were run in duplicate with reaction specificity confirmed based on the post-amplification dissociation curve.

#### 2.2.19 Gene expression

The relative mRNA expression was determined in comparison to the housekeeping gene hypoxanthine-guanine phosphoribosyl transferase (*Hprt*) by qPCR using a CFX384 Touch Real-Time PCR Detection System (Bio-Rad, United States). Custom-designed primers (Integrated DNA Technologies, United States) were used as represented below in table 2.9.

Gene	Primer Sequence (5'-3')
Cxcl-1	GCTGGGATTCACCTCAAGAA
	CTTGGGGACACCTTTTAGCA
	CITOGOGACACCITITAGCA
Gene control non-	GGGCTTCTCCAAAGACATCAA
derepressible 5 (Gcn5)	GATTCAGCTCACACTCCATCAG
Hifl a	KiCQSTART PRIMER_KSPQ12012G
	Survivor Marrow Dain ID, M. Higher 1
	Species: Mouse Primer Pair ID: M_Hif1a_1

<b>Table 2.10:</b>	Primer	sequence	S
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Heme Oxygenase	CACTCTGGAGATGACACCTGAG
( <i>Ho</i> )1	GTGTTCCTCTGTCAGCATCACC
Π-1β	TGGGATCCTCTCCAGCCAAGC
	AGCCCTTCATCTTTTGGGGGTCCG
iNos	AGCGAGGAGCAGGTGGAAGACT
	CCATAGGAAAAGACTGCACCGAA
NAD <sup>+</sup> kinase (Nadk)1	GTGTTTCTGGAGATGGCTAGG
	CCCTGTTGTGTGAGGAATGT
Nadk2	CTTCTGGCTGCGAGTAAAGT
	CAGGGAAGGAATGCGTGTAT
NAD <sup>+</sup> synthase	CTCCATGAACAGGCACAAGA
(Nadsyn)1	CAGAAAGGGTCTCAGGTCAAA
Nicotinamide	AATCCAGGAGGCCAAAGAAG
phosphoribosyl	CCTTTACTTCAATCGGGAGATGA
transferase (Nampt)	
Nfκb	CTGCTCAGGTCCACTGTCTG
	TTGCGGAAGGATGTCTCCAC
Nicotinamide	CCACCATCTATCAGCTTCTCTC
mononucleotide	GGTTCCTTCTTCAGCCATTTC
transferase (Nmnt)	
Nmnat1	GTGGAGACTGTGAAGGTGCTC
	GTGAGCTTTGTGGGTAACTGC
Nmnat2	TGGAGCGCTTCACTTTTGTA
	CGATCTCCTCATACCGCATC

Nmnat3	CACCAAACAGGAAGGTACCA
	AAGCCACCAGGTCTTTCTTC
Nrkl	GGATGGAACAAGGTCTGAAGAG
	CACTCCATCTGTGTGGTGTTAT
Nrk2	TGGGTAAGGAGAAACAACATCTAC
	CTAGCTGGACACACCAACTTAC
NADHP oxidase (Nox)	AACTGG GCTGTGAATGAAGG
-2	CAGCAGGATCAGCATACAGTTG
Parp1	ACAAGGAGAGCAGGTACTGGA
	GGAGGGCATCTGCTCAAGTT
3-Phosphoinositide-	GGACTTCGGGTCAGTGAATGC
dependent kinase 1	TCCTGAGAAGATTGTCGGGGGA
(Pdk1)	
Pgc1α	GTTGAAAAAGCTTGACTGGCGTC
	AGTTCAGGAAGATCTGGGCAAAG
Pkm l	GTCTGGAGAAACAGCCAAGG
	TCTTCAAACAGCAGACGGTG
Pkm2	GTCTGGAGAAACAGCCAAGG
	CGGAGTTCCTCGAATAGCTG
Purine Nucleoside	GTTGGTTCACACTCCTTCTCT
Phosphorylase (Pnp)	CCCTCAGTTATGCCCTCTATTT
Sirt1	CAGCCGTCTCTGTGTCACAAA
	GCACCGAGGAACTACCTGAT

Sirt2	GCAGIGICAGAGCGIGGIAA	
	CTACTCCTCCTCATC	
	CIAOIOCIOCCIOCIOAIO	
Sirt3	CTGACTTCGCTTTGGCAGAT	
	GTCCACCAGCCTTTCCACAC	
Sintl		
51114	COCTOCTCAROATCCCTARO	
	GCGACACAGCTACTCCATCA	
Sirt5	AGCCAGAGACTCAAGACGCCA	
	AUUUUAUETETETUTEEAEE	
Sirt6	TCGGGCCTGTAGAGGGGAGC	
	CGGCGCTTAGTGGCAAGGGG	
Sirt7	GGCACTTGGTTGTCTACACG	
5000	ddene i rooi rore mened	
	AGGTCGGCAGCACTCACAGG	
Tnf-α	TCTGTCTACTGAACTTCGGGGTGA	
	TTGTCTTTGAGATCCATGCCGTT	
chitinase-like protein 3	CCCCAGGAAGTACCCTATGCCT	
(Ym-1)	AACCACTGAAGTCATCCATGTCC	
<i>Hnrt</i> (housekeening)	AGGCCAGACTTTGTTGGATTTGAA	
	CAACTTGCGCTCATCTTAGGCTTT	

## 2.2.20 Analysis of qPCR experiments

Using the software (Biorad) threshold cycle values were automatically generated for each sample and analysed using the  $\triangle \triangle Cq$  method relative to the internal control gene (HPRT) (146). The data were expressed as the relative expression for each target gene, normalised to the average of the control group (air).

#### 2.2.21 Protein isolation and quantitation

Lung tissues were crushed into powder on dry ice using a tissue grinder (#D8938, Sigma Aldrich, United States). To 150 mg of powdered tissue, 150  $\mu$ L of sucrose homogenisation buffer was added and supplemented with PhoSTOP phosphatase and Complete ULTRA protease inhibitors cocktails (Roche Diagnostics, Manheim, Germany). The samples were processed in a high-speed benchtop FastPrep-24 5G instrument and centrifuged at 10,000 x *g* for 10 mins at 4°C. The supernatant was then transferred to a new 1.5 mL tube for protein quantification using.

#### 2.2.22 Detergent Compatible (DC) assay

The detection of protein is based on Lowry's principle in which the reaction of protein with an alkaline copper tartrate solution (Reagent A) and Folin reagent (Reagent B) develops colour. The reaction reaches 90% of its maximum colour development within 15 mins. The protein concentrations were further determined from the standard curve.

The Bio-Rad DC Protein Assay (#5000116, Bio-Rad, USA) is a colorimetric assay for protein concentration following detergent solubilization (298). A working reaction of reagent A was prepared as per the manufacturer's instructions by adding 20  $\mu$ L of reagent S to each mL of reagent A. Further, protein standard was prepared from a range of 0.1 mg/mL to 5.5 mg/mL of BSA. Tissue lysates obtained from lung homogenates as previously mentioned (2.18) were serially diluted 10-fold and were added to a 96-well microplate along with the reaction mix (table 2.6). The plate was incubated for 15 mins at room temperature and OD was measured at 750 nm using a plate reader (FluoSTAR Omega microplate reader, BMG-Labtech, Australia).

Reaction mix	Volume
Samples/standards	5 μL
Reagent (A+S)	25 μL
Reagent B	200 µL

 Table 2.11: Reaction mix for protein quantitation using DC assay

#### 2.2.23 Western blotting

Lung tissue homogenates were prepared and quantitated as previously mentioned (section 2.19). A sample volume of 15  $\mu$ L was prepared to adjust the concentrations to 20  $\mu$ g of protein, to that 4X loading dye (table 2.11). The samples were then heat denatured at 95°C for 5 mins. Further, the samples along with standards (Precision Plus Protein Dual Color Standards, # 161-0374, Biorad, USA) were subjected to SDS-PAGE electrophoresis using 4-15% Mini-PROTEAN<sup>TM</sup> TGX Stain-Free gels (#4568086, Biorad, USA). The run was performed at 100 V for 1.5 rs using 1X SDS running buffer as listed below (table 2.12) (299). Upon completion of the run, the protein was transferred onto a PVDF membrane (Immun-Blot, #1620177, Biorad, USA) following a wet transfer protocol at 100V for 1 hr using a transfer buffer prepared as listed (table 2.13) (300).

Following the transfer, the membrane was subjected to blocking for 1 hr at room temperature using a blocking buffer (table 2.14). Further, the membrane was incubated using 1:1000 dilutions of primary antibodies specific to different targets (table 2.16), overnight at 4°C. The membrane was washed thrice for 5 mins each using tris buffer saline with 1% tween 1X TBST (table 2.13). The membrane was then incubated with 1:5000 dilution of anti-mouse/rabbit secondary IgG-HRP conjugated antibody for 1 hr at

room temperature. Followed by three washes in 1X TBST the membrane was subjected to imaging using ChemiDoc (ChemiDoc MP system, Bio-Rad, USA) (301).

The membrane was then stripped twice using the stripping buffer (table 2.15) for 10 mins each at room temperature. Followed by three washes in 1X TBST, the process of blocking and incubation in the antibody was repeated for the loading control (301).

## Table 2.12: Recipe for loading buffer (4X)

4X Laemmli sample buffer (#1610747, Biorad, United	900 μL
States)	
2-Mercapethanol (#21985023, Thermo Fischer Scientific,	100 µL
United States)	

## Table 2.13: Recipe for SDS running buffer (1X)

25 mM Tris (#BIO3094T, Astral Scientific, Australia)	3.03g
192 mM Glycine (#G8898, Sigma Aldrich, United States)	14.41g
SDS (0.1% v/v) (#L3771, Sigma Aldrich, United States)	1g
Milli-Q water	Top up to 1000 mL

## Table 2.14: Recipe for Transfer buffer (1X) (pH-8.3)

25 mM Tris	3.03g
192 mM Glycine	14.41g
	C
Methanol (20% v/v) (#34885, Sigma Aldrich, United	200 mL
States)	
,	
Milli-Q water	Top up to 1000 mL
	1 1

## Table 2.15: Recipe for blocking buffer

BSA (5%)	5g
Milli-Q water	100 mL

## Table 2.16: Recipe for TBST wash buffer (1X) (pH-7.6)

10 mM Tris	12.1g
120 mM NaCl	70.1g
Tween-20 (0.5% v/v)	0.5 mL
Milli-Q water	Top up to 1000 mL

## Table 2.17: Recipe for Stripping buffer (1X) (pH-2.34)

Glycine	3.75g
SDS	0.25g
Tween-20	2.5 mL
Milli-Q water	Top up to 250mL

## Table 2.18: List of primary antibodies

Anti-NMNAT1 antibody (#SANTSC-271557, Santa Cruz Biotechnology Inc., United States) Anti-NMNAT2 antibody (#SANTSC-515206, Santa Cruz Biotechnology Inc., United States) Anti-NMNAT3 antibody (#SANTSC-390433, Santa Cruz Biotechnology Inc., United States)

```
Sirtuin Antibody Sampler Kit (#9787, Cell Signalling Technology, United States)
PARP1 antibody (#9542S, Cell Signalling Technology, United States)
CD38 antibody (#14637S, Cell Signalling Technology, United States)
Total oxphos Rodent WB Antibody Cocktail (#ab110413, Abcam, United States)
Anti-beta Actin antibody Loading Control (#ab8226, Abcam, United States)
```

#### 2.2.24 PK activity

The PK assay detects the catalysis of PEP and ADP by PK to generate pyruvate and ATP. The generated pyruvate is oxidized by pyruvate oxidase to produce colour ( $\lambda = 570$  nm). The increase in colour is proportional to the increase in pyruvate amount.

PK activity was measured using a kit-based protocol as per the manufacturer's instructions (#K709-100, Biovision, USA) (302). Lung tissues were homogenised using a bead homogeniser at 50 O/S for 3 mins in the assay buffer provided in the kit and were quantitated as mentioned previously under 2.19. A sample volume of 50  $\mu$ L/well was added adjusting the concentrations to 20  $\mu$ g of protein to standardise each reaction. The following reaction mix was added to each sample as mentioned below in table 2.17. Initial OD was measured using a microplate reader (FluoSTAR Omega microplate reader, BMG-Labtech, Australia) at 570 nm followed by an incubation of 30 mins at 25°C in the dark. Final readings were measured after the 30 mins incubation.

	D	4 TZ.			Т
			0	•	

 Table 2.19: Reaction mix for measuring PK activity

	Pyruvate Kinase measurement	Background control
Assay Buffer	44 μL	46 µL
Substrate Mix	2 μL	-
Enzyme Mix	2 μL	2 μL

OxiRed <sup>TM</sup> Probe	2 μL	2 μL

#### 2.2.25 Pyruvate Dehydrogenase (PDH) activity

PDH activity was measured using a kit-based protocol as per the manufacturer's instructions (#K679-100, Biovision, USA) (303). Lung tissues were homogenised using a bead homogeniser at 50 O/S for 3 mins using ice-cold assay buffer (provided in the kit) and were quantitated as mentioned previously (section 2.19). A sample volume of 50  $\mu$ L/well was added adjusting the concentrations to 20  $\mu$ g of protein to standardise each reaction. The following reaction mix was added to each sample (table 2.18). The OD was measured immediately at 450 nm in a kinetic mode for 10 to 60 mins at 37°C using a microplate reader.

	Reaction mix	Background control
PDH assay buffer	46 μL	48 μL
PDH developer	2 μL	2 μL
PDH substrate	2 μL	-

Table 2.20: Reaction mix for measurement of PDH activity

#### 2.2.26 NAD<sup>+</sup>/NADH measurement

NAD<sup>+</sup>/NADH was measured using a kit-based protocol as per the manufacturer's instructions (#ab65348, Abcam, USA) (304). Lung tissues were rinsed in ice-cold PBS and homogenised using a bead homogeniser at 50 O/S for 3 mins using the NAD<sup>+</sup>/NADH extraction buffer at room temperature (provided in the kit) and were quantitated as mentioned (section 2.19). Tissue homogenates were subjected to heat decomposition at 60°C for 30 mins for NADH measurements. A sample volume of 50  $\mu$ L/well was added

adjusting the concentrations to 20  $\mu$ g of protein to standardise each reaction for NAD<sup>+</sup>/NADH measurement. The following reaction mix was added to each sample as mentioned below in table 2.19. The OD was measured at 450nm after 30 mins and 60 mins at 37°C using a microplate reader.

	Reaction mix	Background control
NAD <sup>+</sup> cycling buffer	98 μL	10 μL
NAD <sup>+</sup> cycling enzyme	2 μL	2 μL
NAD <sup>+</sup> developer	10 μL	10 μL

Table 2.21: Reaction mix for NAD<sup>+</sup>/NADH measurement

#### 2.2.27 PARP activity measurement

PARP activity was measured using a colorimetric assay kit using the manufacturer's protocol (#RDS4677096K, In Vitro technologies, USA) (305). Lung homogenates were prepared as previously mentioned (sections 2.18 and 2.19). PARP standards were prepared from a range of 0, 0.25, 0.5, 1, 1.5, and 2.0 units. Strip wells coated with histones (provided in the kit) were rehydrated using 1X I-PAR assay buffer (provided in the kit) and incubated at room temperature for 30 mins. Further, the buffer was removed from the wells by tapping the strip wells. Samples were added to the wells at a volume of 25  $\mu$ L followed by the addition of 25  $\mu$ L of the PARP substrate cocktail which was prepared as mentioned in tables 2.20 and 2.21. The reaction was then incubated for 30 mins. Further, the strip wells were washed twice with 1X PBS + 0.1% Triton X-100 (200  $\mu$ L/well) followed by two washes with 1X PBS. To that 50  $\mu$ L of pre-warmed TACS-Sapphire

colorimetric substrate was added and incubated in dark for 15 mins. The reaction was stopped by the addition of 0.2 M HCl, and the absorbance was measured at 450 nm using a microplate reader.

Reaction component	Volume	Order of Addition
Samples/Standards	XμL	1
Diluted PARP-HAS Enzyme (0.5 unit)	ΥµL	2
1X PARP cocktail	25 μL	3
Total volume	50 µL	
Where X+Y=25 µL		

 Table 2.22: Sample preparation for PARP activity measurements

#### Table 2.23: Recipe for PARP cocktail

Reaction component	Volume
10X PARP Cocktail	$2.5 \ \mu L / well$
10X Activated DNA	$2.5 \ \mu L / well$
1XPARP Buffer	20 µL/well

#### 2.2.28 Mitochondrial respiratory chain activity assay

The investigation of mitochondrial function was performed by the assessment of mitochondrial respiratory chain enzymatic activities. Tissue lysates were obtained as mentioned previously (sections 2.18 and 2.19). All reagents were prepared as mentioned (table 2.22). For each sample, 20  $\mu$ g of protein were used for the activity measurements of ETC (306) complex I (NADH: ubiquinone oxidoreductase) (Table 2.23), complex II (Succinate dehydrogenase) (Table 2.24), complex III (decylubiquinol cytochrome C

oxidoreductase) (Table 2.25), complex IV (cytochrome C oxidase) (Table 2.26), complex I+III (NADH cytochrome C oxidoreductase) (Table 2.27), complex II+III (succinate cytochrome C reductase) (Table 2.28), citrate synthase (Table 2.29) and  $\beta$ -hydroxyacyl CoA ( $\beta$ -HAD) (Table 2.30). The enzyme activity for each mitochondrial enzyme was calculated as nmol min<sup>-1</sup> mg<sup>-1</sup> of protein according to the equation below: Enzyme activity (nmol min<sup>-1</sup> mg<sup>-1</sup>) = ( $\Delta$  Absorbance/min × 1,000)/ [(extinction coefficient × volume of sample used in mL) × (sample protein concentration in mg mL<sup>-1</sup>)]

 Table 2.24: Stock reagent recipe for Mitochondrial respiratory chain activity assay

Stock preparation	
Acetyl CoA (#A2181, Sigma Aldrich, all USA?)	12.5 mM (mmol/L)
Acetoacetyl CoA (#21219, Sigma Aldrich)	5mM (mmol/L)
Antimycin A (#A8674, Sigma Aldrich)	0.5 mM (mmol/L)
Cytochrome C (#C2506, Sigma Aldrich)	1 mM (mmol/L)
Decyl ubiquinone (DBQ) (#D7911, Sigma Aldrich)	10 mM (mmol/L)
5,5-dithio-bis-(2-nitrobenzoic acid) (DTNB) (#D218200, Sigma	1 mM (mmol/L)
Aldrich)	
EDTA	200 mM (mmol/L)
FA-Free Bovine Serum Albumin (#A6003, Sigma Aldrich)	10 % (g/100 mL)
β-nicotinamide adenine (NADH) (# N4505, Sigma Aldrich)	2 mM (mmol/L)
Oxaloacetic acid (#O4126, Sigma Aldrich)	5 mM (mmol/L)
Potassium cyanide (KCN) (# 60178, Sigma Aldrich)	50 mM (mmol/L)
Potassium phosphate (Kpi) Buffer (pH 7.4)	
Monopotassium Phosphate (# P8709, Sigma Aldrich)	0.5 M (mol/L)

Dipotassium Phosphate (#P2222, Sigma Aldrich)	0.5 M (mol/L)
Rotenone (#R8875, Sigma Aldrich)	0.125 mM
	(mmol/L)
Sodium Succinate (#S2378, Sigma Aldrich)	100 mM (mmol/L)
Triton X-100 (#X100, Sigma Aldrich)	10% (g/100 mL)
Ubiquinone-1 (#C7956, Sigma Aldrich,)	5 mM (mmol/L)

## Table 2.25: Protocol for measurement of mitochondrial ETC complex I activity

Complex I (NADH: ubiquinone oxidoreductase) activity			
[Stock]		Amount/well	
0.5 M	Крі	25 μL	
2 mM	NADH	25 μL	
25 mM	KCN	3 μL	
1 mg/mL	Antimycin A	2.5 μL	
100 mg/mL	BSA	7.5 μL	
20 µg/mL	Sample	30 µL	
	Water	137 µL	
All the reagents excep	All the reagents except the sample were premixed and pre-heated at 30°C		
Samples/blanks were	pipetted into appropriate	wells	
$200 \ \mu L$ of the above re	eagent mix was added to	each well	
2.5 µL of 5 mM Ubiquinone-1 was added to each well			
Baseline absorbance was measured every 15 seconds for 3 mins at 340 nm			
10 µM of Rotenone w	as added to each well		
Final absorbance was measured every 15 seconds for 3 mins at 340 nm			

Complex II (Succinate dehydrogenase) activity		
[Stock]		Amount/ well
0.5 M	Крі	12.5 μL
25 mM	KCN	3 μL
1 mM	DCPIP	20 μL
0.1 M	Succinate	50 μL
20 µg/mL	Sample	10 μL
	Water	149.5 μL
All the reag	ents except the sample were premi	ked and pre-heated at 30°C
Samples/bla	anks were pipetted into appropriate	wells
235 $\mu$ L of the above reagent mix were added to each well and incubated for 10 mins		
Baseline rea	adings were measured every 15 seco	onds for 1 min at 600 nm
$5 \ \mu L \text{ of } 5 \text{ mM DBQ}$ was added to each well		
Absorbance was measured every 15 seconds for 3 mins at 600 nm		
12.5 µL of	100 mM Malonate was added to eac	ch well
Final absorbance was measured every 15 seconds for 1 min at 600 nm		

## Table 2.26: Protocol for measurement of mitochondrial ETC complex II activity

## Table 2.27: Protocol for measurement of mitochondrial ETC complex III activity

Complex III (Decylubiquinol-Cytochrome C oxidoreductase) activity		
[Stock]		Amount/ well
0.5 M	Kpi	12.5 μL
25 mM	KCN	5 μL

5 %	Tween-20	1.25 μL
1.25 mM	Oxidised cytochrome C	15 μL
20 µg/mL	Sample	4 μL
	Water	207.3 μL
All the reagents except the sample were premixed and pre-heated at 30°C		
Samples/blanks were pipetted into appropriate wells		
241 $\mu$ L of the above reagent mix were added to each well		
2.5 µL of 1 mg/mL of Antimycin A was added to each well		
Absorbance was measured every 15 seconds for 5 mins at 550 nm		

## Table 2.28: Protocol for measurement of mitochondrial ETC complex IV activity

Complex IV (Cytochrome C oxidase) activity		
[Stock]		Amount/ well
0.5 M	Крі	25 μL
	Water	197 μL
20 µg/mL	Sample	10 µL
All the reag	ents except the sample were premixed and	d pre-heated at 30°C
Samples/blanks were pipetted into appropriate wells		
$222 \ \mu L$ of the above reagent mix were added to each well		
15 $\mu$ L of 1 mM of Cytochrome C was added to each well		
Absorbance was measured every 10 seconds for 3 mins at 550 nm		
$3 \ \mu L$ of 25 mM KCN was added to each well		
Absorbance was measured every 15 seconds for 1 min at 550 nm		

Complex I+III (NADH cytochrome C oxidoreductase) activity			
[Stock]		Amount/ well	
0.5 M	Крі	25 μL	
25 mM	KCN	3 µL	
100 mM	Malonate	12.5 μL	
1 mM	Oxidised cytochrome C	12.5 μL	
100	BSA	2.5 μL	
mg/mL			
20 µg/mL	Sample	5 μL	
	Water	164.5 μL	
All the reag	ents except the sample were p	remixed and pre-heated at 30°C	
Samples/blanks were pipetted into appropriate wells			
220 µL of t	$220 \ \mu L$ of the above reagent mix were added to each well		
Baseline absorbance was measured every 15 seconds for 1 min at 550 nm			
25 μL of 2mM NADH was added to each well			
Absorbance was measured every 15 seconds for 3 mins at 550 nm			
20 µL of 0.125 mM Rotenone was added to each well			
Final absorbance was measured every 15 seconds for 1 min at 550 nm			

Table 2.29: Protocol for measurement of mitochondrial ETC complex I + III activity

## Table 2.30: Protocol for measurement of mitochondrial ETC complex II+ III activity

Complex II+III (Succinate-cytochrome C oxidoreductase) activity		
[Stock]		Amount/ well
0.5 M	Крі	10 μL

25 mM	KCN	3 μL	
0.1 M	Succinate	25 μL	
20 µg/mL	Sample	20 μL	
	Water	179.5 μL	
All the reagents except the sample were premixed			
Samples/blanks were pipetted into appropriate wells			
217.5 $\mu$ L of the above reagent mix was added to each well and incubated at 30°C for			
10 mins in a plate reader			
Baseline absorbance was measured every 15 seconds for 1 min at 550 nm			
12.5 µL of 1 mM Oxidised Cytochrome C was added to each well			
Absorbance was measured every 15 seconds for 3 mins at 550 nm			
12.5 μL of 100 mM Malonate was added to each well			
Final absorbance was measured every 15 seconds for 1 min at 550 nm			

Table 2.31: Protoco	for measurement	of mitochondrial	l citrate synthase	activity
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Citrate Synthase activity		
[Stock]		Amount/ well
1 M	Tris (pH 8.0)	12.5 μL
1 mM	DTNB	25 μL
12.5 mM	Acetyl CoA	2 μL
20 µg/mL	Sample	10 µL
	Water	195.5 μL
All the reag	gents except the sample were	premixed and preheated at 30°C
Samples/blanks were pipetted into appropriate wells		

$235 \mu\text{L}$ of the above reagent mix was added to each well		
Baseline absorbance was measured every 15 seconds for 1 min at 412 nm		
5 µL of 5 mM Oxaloacetic acid was added to each well		
Absorbance was measured every 15 seconds for 3 mins at 412 nm		

## Table 2.32: Protocol for measurement of β-HAD activity

β-HAD activity			
[Stock]		Amount/ well	
1 M	Tris (pH 7.0)	12.5 μL	
2 mM	NADH	25 μL	
200 mM	EDTA	2.5 μL	
10%	Triton X-100	5 μL	
20 µg/mL	Sample	15 μL	
	Water	185 μL	
All the reagents except the sample were premixed and preheated at 30°C			
Samples/blanks were pipetted into appropriate wells			
230 $\mu$ L of the above reagent mix was added to each well			
Baseline absorbance was measured every 11 seconds for 1 min at 340 nm			
$5 \ \mu L \ of \ 5 \ mM$ Acetoaceyl CoA was added to each well			
Absorbance was measured every 11 seconds for 3 mins at 340 nm			

### 2.2.29 Measurement of Mitochondria membrane potential ( $\Delta \Psi m$ )

 $\Delta \Psi m$  was measured using JC1 dye as per the manufacturer's instructions (#m34152, Life Technologies, USA) (307). JC1 accumulates in healthy mitochondria to form J-

aggregates exhibiting red fluorescence with an emission of ~590 nm whereas, it remains as J-monomers upon depolarisation in unhealthy mitochondria showing green fluorescence emission at ~529 nm. For this purpose, sections were dewaxed and rehydrated as mentioned previously under section 2.7 and were subjected to antigen retrieval followed by blocking in 5% BSA as mentioned previously under section 2.11. The samples were further incubated with 2  $\mu$ g/mL of JC1 conjugate for 1 hr at room temperature for each antibody staining. The slides were rinsed thrice with PBS and 0.5% Tween (#P7959, Sigma Aldrich, USA) for 5 mins each. The slides were mounted with coverslips using Gold-antifade conjugated with DAPI nuclei stain and air dried as mentioned previously in 2.11. Fluorescence signals were detected with an Axio Imager microscope and analysed using Zen Imaging Software as mentioned previously in 2.11. Further, the mean fluorescence intensity (MFI) was calculated for J-monomers and Jaggregates for each sample.

#### 2.2.30 Statistical analysis

All the data are presented as  $\pm$  standard error mean (SEM) with 6-8 mice in each experimental group unless otherwise stated. Statistical significance between two groups was determined using an unpaired students t-test, whereas multiple comparisons using One-way ANOVA were used for analyses of more than two groups followed by Tukey's multiple comparisons using GraphPad Prism version 9.0 for Windows (GraphPad Prism software, San Diego, California United States, www.graphpad.com). Statistical significance was confirmed with P-values  $\leq 0.05$ .

# Chapter 3. Elucidating the therapeutic implications of NR and/or PT in preventing the progression of COPD in vivo

#### 3.1 Introduction

COPD is characterised by chronic airway inflammation that results in progressive and irreversible damage to the lung (23). Oxstress is an important driver of inflammation and tissue damage in COPD and occurs when the levels of ROS overwhelm endogenous antioxidants (168, 308). Mitochondria are the ultimate producer of energy in a cell and are also known to be one of the major sources of mtROS (180). High levels of ROS cause the oxidation of proteins, lipids and DNA resulting in tissue injury and triggering inflammatory responses in COPD (183). ROS is produced both via exogenous factors such as environmental pollutants, radiation, cigarette smoking etc. (180, 183), whereas, endogenous ROS are released from inflammatory cells such as macrophages and neutrophils, as well as epithelial and endothelial cells (309). Additionally, mtROS are generated via CS-induced ROS as by-products of the ETC, located on the inner mitochondrial membrane during oxphos (310). Electrons leaking from complex I and complex III of the ETC lead to a partial reduction of oxygen to form superoxide(O<sup>-</sup>) (311). The sequential reduction of oxygen through the addition of electrons leads to the formation of several types of ROS including superoxide, hydrogen peroxide ( $H_2O_2$ ), hydroxyl radical (OH), hydroxyl ion (OH<sup>-</sup>), and NO (312). Cells can protect themselves from ROS using natural defence mechanisms including apoptosis, mitochondrial biogenesis, mitophagy, mitochondrial homeostasis, and mitochondrial antioxidants (313, 314). However, in diseased conditions, impaired mechanisms result in the damage of cell membranes, DNA, and proteins that attenuate cellular survival (314). Excessive DNA

repair depletes levels of NAD<sup>+</sup> within the cell and reduces the production of ATP via oxphos leading to reduced cellular metabolism (238). Restoration of NAD<sup>+</sup> is important to restore impaired mitochondrial function and thereby reduce oxidative stress and inflammation in COPD (238, 315).

CS is the leading cause of COPD (139). The mechanisms that drive the inception and progression of chronic inflammation and disease are not well understood, and this has hampered the development of effective treatments for COPD (316). Current therapeutic approaches are limited and aim to manage symptoms and reduce exacerbations (317). Thus, there is a need for new therapies that inhibit the induction and progression of COPD. Oxidative stress is a known cause of inflammation in COPD and is produced as a consequence of mitochondrial dysfunction (311), decreased mitochondrial density and biogenesis (177), impaired mitochondrial activity and apoptosis (317). NAD<sup>+</sup> is responsible for carrying out several important reactions for ATP production in mitochondria which provides the ultimate source of energy in a cell (318). Currently, there is no evidence of the contribution of NAD<sup>+</sup> depletion to COPD (277). The objective of the current study was to investigate the role of NAD<sup>+</sup> in the pathogenesis of COPD, with the overall objective to identify a novel therapeutic approach to halt or reverse the disease progression. The study delineates the therapeutic implications of NR and/or PT in the induction, progression/suppression of COPD using our previously established CSinduced COPD murine model.

#### 3.2 Methodology

#### 3.2.1 Experimental COPD model

Female BALB/c mice aged 6-8 weeks were obtained from the ARC and maintained at 20  $\pm$  2°C on a 12:12 hours day-night cycle and fed a standard sterile diet as previously mentioned under section 2.2.1.

#### 3.2.2 Experimental procedure

Mice were subjected to CS/Air exposure from up to 12 research-grade 3R4F cigarettes (University of Kentucky) twice a day, five days a week for 2 or 8 weeks using a custom-designed nose-only inhalation system as mentioned previously (146).

#### 3.2.3 Experimental designs

This study involved a 2-week and an 8-week smoke model subjected to an administration of 400 mg/kg of NR in drinking water, and 80 mg/kg of PT in mushy chow provided *ad libitum* followed by smoke exposure as described in 4.2.2. The 2-week study was a pilot experiment performed to determine the efficacy of NR and PT in ameliorating lung inflammation (Figure 3.1A) and was followed by the 8-week study to elucidate the effects of NR and PT during the development and progression of COPD (Figure 3.2B). Each day, mice were weighed before exposure to smoke and were closely monitored after smoking each day. Any mice losing between 10-15% of their body weight were subjected to smoke cessation for 24 hours with intensive monitoring.



#### Figure 3.1: Experimental design

Mice were subjected to nose-only CS exposure to 12 cigarettes, twice a day, five days a week and were treated with 400 mg/kg of NR added to drinking water and 80 mg/kg of PT added to mushy chow for (A) 2-week acute CS-exposed model and (B) 8-week experimental COPD study.

## 3.2.4 Experimental groups

The different experimental groups in the 2-week study are listed below in Table 3.1. Both the control groups of air and smoke were fed with untreated water and chow. The treatment groups for both air and smoke were subjected to 400 mg/kg of NR in drinking water and/or 80 mg/kg of PT in mushy chow both individually as well as in combination as mentioned below.

Experimental	Smoke exposure	Treatments
groups		
Air	-	-
Air NR	-	400 mg/kg of NR in drinking
		water
Air PT	-	80 mg/kg of PT in mushy chow
Air NRPT	-	400 mg/kg of NR in drinking
		water and 80 mg/kg of PT in
		mushy chow
Smoke	12 cigarettes, 2X day, 5X week	-
Smoke NR	12 cigarettes, 2X day, 5X week	400 mg/kg of NR in drinking
		water
Smoke PT	12 cigarettes, 2X day, 5X week	80 mg/kg of PT in mushy chow
Smoke NRPT	12 cigarettes, 2X day, 5X week	400 mg/kg of NR in drinking
		water and 80 mg/kg of PT in
		mushy chow

Table 3.1: Experimental groups of the 2-week COPD study

The different experimental groups used in the 8-week COPD study are listed below in Table 3.2. Both the control groups of air and smoke were fed with untreated water and chow for 8 weeks. Followed by the treatment groups for NR and/or PT which were subjected to 400 mg/kg of NR in drinking water and/or 80 mg/kg of PT in mushy chow both individually as well as in combination as mentioned below.

Experimental	Smoke exposure	Treatments
groups		
Air	-	-
Smoke	12 cigarettes, 2X day, 5X week	-
Smoke NR	12 cigarettes, 2X day, 5X week	400 mg/kg of NR in drinking
		water
Smoke PT	12 cigarettes, 2X day, 5X week	80 mg/kg of PT in mushy chow
Smoke NRPT	12 cigarettes, 2X day, 5X week	400 mg/kg of NR in drinking
		water and 80 mg/kg of PT in
		mushy chow

 Table 3.2: Experimental groups of the 8-week COPD study

#### 3.3 Results

#### 3.3.1 Imbalance in NAD<sup>+</sup> homeostasis during the development of COPD

Lung homogenates from mice at 2, 4, 6, 8 and 12-week CS exposure in our COPD murine model were used for the measurement of total NAD<sup>+</sup> and NADH levels. The total NAD<sup>+</sup> levels in the smoke group were significantly lower than that of the air group for all the time points (P<0.0001) (Figure 3.2A). The NADH levels were significantly higher in the smoke group than in the air group at all time points (P<0.0001) (Figure 3.2B). The ratio of total NAD<sup>+</sup> and NADH was observed to be significantly reduced in the smoke group in comparison with the air group at each time point (P<0.0001) (Figure 3.2C).



Figure 3.2: Imbalance in NAD<sup>+</sup> homeostasis during the development of COPD:

(A) Total NAD<sup>+</sup> level in mouse lung homogenates, (B) NADH level in mouse lung homogenates, (C) Ratio of total NAD<sup>+</sup>/NADH in mouse lung homogenates. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

#### 3.3.2 Administration of NR and/or PT reduced inflammation in acute CS exposure

Administration of NR and/or PT in the 2-week acute CS-induced inflammatory model reduced cellular infiltration in BAL. Cell counts for the total leukocytes (Figure 3.3A), macrophages (Figure 3.3B), and neutrophils (Figure 3.3C) increased in the smoke group as compared to the air group (P<0.0001). Inflammation was significantly reduced in both the smoke PT group and the smoke NRPT group in comparison to the smoke group (P<0.0001).

Further, the body weight of all the experimental groups was monitored daily as mentioned previously in 3.2.3. There was no significant weight loss observed between the air group and the experimental groups at any of the time points examined (Figure 3.3D).

The gene expression of TNF $\alpha$  increased in the smoke group in comparison to the air group (P<0.001). Furthermore, the expression of TNF $\alpha$  did not change in the smoke NR, smoke PT and smoke NRPT groups with respect to the smoke group (Figure 3.3E), however, there was a significant increase in the gene expression of TNF $\alpha$  in the smoke PT group in comparison to the air group (P<0.01). We also observed an increase in the expression of CXCL1 in the smoke group as compared to the air group (P<0.001) which was significantly reduced in the smoke NR (P<0.01), smoke PT (P<0.01) and smoke NRPT group (P<0.001) (Figure 3.3F). Further, a significant increase in the expression of YM1 was observed in the smoke group in comparison to the air group (P<0.001) and did not

show any significant reduction in the smoke NR, smoke PT and smoke NRPT group (Figure 3.3G).







Figure 3.3: Administration of NR and/or PT reduced inflammation in acute CS exposure:

Graphical representation of (A) cellular infiltrates in the parenchyma, (B) macrophages, (C) neutrophils; (D) Changes in body weight across different experimental groups in acute CS exposed study; (E) Gene expression levels of TNF $\alpha$ , (F) CXCL1, and (G) YM1. Data represented are means  $\pm$  SEM of 6 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

## 3.3.3 Administration of NR and PT restores NAD<sup>+</sup>/NADH balance in acute CS exposure

Lung homogenates from the 2-week CS-induced inflammatory model were used for the measurement of total NAD<sup>+</sup> and NADH levels. The total NAD<sup>+</sup> levels in the smoke group were significantly reduced compared to that of the air group (P<0.0001), followed by the smoke NR group (P<0.0001), smoke PT group (P<0.0001) and smoke NRPT group (P<0.001), however an increase in the total NAD<sup>+</sup> levels were observed in the smoke NRPT in comparison to the smoke group (P<0.01) (Figure 3.4A). The NADH levels were significantly higher in the smoke group than in the air group (P<0.0001) and were 106

significantly reduced in all the treatment groups of smoke NR, smoke PT and smoke NRPT as compared to the smoke group (P<0.0001) (Figure 3.4B). The ratio of total NAD<sup>+</sup> and NADH was observed to be significantly reduced in the smoke group in comparison with the air group (P<0.0001). However, smoke NR, smoke PT and smoke NRPT treatments showed a significant increase in the ratio of total NAD<sup>+</sup> and NADH with respect to the smoke group (P<0.0001) (Figure 3.4C).

Further, the gene expression for various NAD<sup>+</sup> regulators was measured whereby we observed no changes in the expression of NADSYN (Figure 3.4D), NADK2 (Figure 3.4F), NMNT (Figure 3.4H), NRK1 (Figure 3.4I), NRK2 (Figure 3.4J) PGC1 $\alpha$  (Figure 3.4K) and NF $\kappa$ B (Figure 3.4L) in all the experimental groups. A significant increase in NADK1 was observed in the smoke group (P<0.05) and smoke NRPT group (P<0.05) in comparison to the air group (Figure 3.4E). Further, reduced expression of NAMPT was observed both in the smoke group (P<0.01) and the smoke NRPT group (P<0.0001) in comparison to the air group (Figure 3.4G). A significant reduction in the gene expression of PNP (Figure 3.4M) and GCN5 (Figure 3.4N) was also observed in groups smoke PT (P<0.001) and smoke NRPT (P<0.01) with respect to the air group.






Figure 3.4: Administration of NR and/or PT restored imbalance in NAD+ homeostasis in acute CS exposure:

(A) total NAD<sup>+</sup> levels in mouse lung homogenates; (B) NADH level in mouse lung homogenates; (C) ratio of total NAD<sup>+</sup>/NADH. Gene expression levels of (D) NADSYN, (E) NADK1, (F) NADK2, (G) NAMPT; (H) NMNT, (I) NRK1, (J) NRK2, (K) PGC1a, and (L) NF $\kappa$ B; (M) PNP and (N) GNN5. Data represented are means ± SEM of 6 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.001.

### 3.3.4 Administration of NR and/or PT reduced hyperactivity of NAD<sup>+</sup> consumers in acute CS exposure

There were no changes observed in the expression of NAD<sup>+</sup> consumers that encode for PARP1 (Figure 3.5A), SIRT1 (Figure 3.5C), SIRT2 (Figure 3.5D), SIRT3 (Figure 3.5E), SIRT5 (Figure 3.5G), SIRT6 (Figure 3.5H), and SIRT7 (Figure 3.5I). However, we observed an increase in the transcripts that encode for SIRT4 (Figure 3.5F) in the smoke group in comparison to the air group (P<0.01) and was reduced in all the treatment groups of smoke NR, smoke PT and smoke NRPT as compared to the smoke group (P<0.0001). Further, we also observed a significant increase in PARP activity levels (Figure 3.5B) in the smoke group as compared to the air group (P<0.0001) and was reduced in all the treatment group 109

treatment groups of smoke NR, smoke PT and smoke NRPT as compared to the smoke group (P < 0.0001).





Figure 3.5: : Administration of NR and/or PT reduced hyperactivity of NAD+ consumers in acute CS exposure:

(A) Gene expression of PARP1, (B) PARP activity level; Gene expression levels of (C) SIRT1, (D) SIRT2, (E) SIRT3, (F) SIRT4, (G) SIRT5, (H) SIRT6, (I) SIRT7. Data represented are means  $\pm$  SEM of 6 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

### 3.3.5 Administration of NR and PT reduced airway inflammation in COPD

The 8 weeks CS-induced COPD murine model with NR and/or PT showed reduced inflammatory cell infiltration in BAL. There was an increase in the total leukocytes (Figure 3.6A), macrophages (Figure 3.6B) and neutrophils (Figure 3.6C) in the smoke group as compared to the air group (P<0.0001), which was significantly reduced in all the treatment groups of smoke NR, smoke PT and smoke NRPT in comparison to the smoke group (P<0.0001).

The body weight of all the experimental groups was monitored daily, where we observed a significant weight loss in the smoke group, smoke NR, smoke PT and smoke NRPT in comparison to the air group (P<0.0001). Further, there was a significant reduction in weight in the smoke NR group in comparison to the smoke group (P<0.001) (Figure 3.6D).

The gene expression of TNF $\alpha$  (Figure 3.6E), CXCL1 (Figure 3.6F) and YM1 (Figure 3.6G) was significantly increased in the smoke group with respect to the air group (P<0.001). Further, there was a significant reduction observed in the TNF $\alpha$  expression levels of the smoke NR (P<0.01), smoke PT (P<0.0001) and smoke NRPT (P<0.0001) in comparison to the smoke group (Figure 3.6E). Likewise, there was a significant increase in the expression of CXCL1 in the smoke group as compared to the air group (P<0.0001), which was further reduced in all the treatment groups of smoke NR, smoke PT and smoke NRPT in comparison to the smoke group (P<0.0001) (Figure 3.6F). We also observed an increase in the expression levels of YM1 in the smoke group, smoke NR, smoke PT and smoke NRPT in comparison to the air group (P<0.0001) (Figure 3.6G).





Figure 3.6: Administration of NR and/or PT reduced airway inflammation in COPD:

Graphical representation of (A) total leukocytes, (B) macrophages and (C) neutrophils obtained in BAL; (D) Changes in body weight in the smoke group; Gene expression of (E) TNF $\alpha$ , (F) CXCL1, and (G) YM1. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

# 3.3.6 Administration of NR and/or PT attenuated airway remodelling and emphysema in COPD

Lung sections from the 8-week CS-induced COPD model treated with NR and/or PT were used for the measurement of airway remodelling. Chronic exposure to CS resulted in increased collagen deposition around the basement membrane of small airways in the smoke group in comparison to the air group (P<0.0001). However, this was significantly reduced in all the treatment groups of smoke NR and/or PT as compared to the smoke group (P<0.0001) (Figure 3.7A)

Further, MLI counts were performed to determine alveolar destruction where we observed an increase in the MLI counts in the smoke group with respect to air (P<0.0001) and were significantly reduced in all the treatment groups of smoke NR and/or PT (P<0.0001) (Figure 3.7B).





Figure 3.7: Administration of NR and/or PT attenuates airway remodelling and emphysema in COPD:

(A) Collagen deposition, (B) Emphysema. Data represented are means  $\pm$  SEM of 8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 3.3.7 Administration of NR and/or PT improved lung function in COPD

Mouse lung parameter changes were measured using FlexiVent as mentioned previously. Lung parameters such as inspiratory capacity (IC) (Figure 3.8A), area or hysteresis (Figure 3.8B), and total lung capacity (TLC) (Figure 3.8C) were increased in the smoke group in comparison to the air group (P<0.0001). We observed a reduction in the IC in treatment groups of smoke NR (P<0.0001), smoke PT (P<0.0001) and smoke NRPT (P<0.01) in comparison to the smoke group. Further, we also observed a reduction in the area with the treatment of smoke NR (P<0.0001) and smoke NRPT (P<0.01) in comparison to the smoke group. Further, we also observed a reduction in the area with the treatment of smoke NR (P<0.0001) and smoke NRPT (P<0.01) in comparison to the smoke group. Further, we also observed a reduction in the area with the treatment of smoke NR (P<0.0001) and smoke NRPT (P<0.01) in

comparison to the smoke group. Likewise, there was also a significant reduction of TLC observed in the treatment group of smoke NRPT as compared to the smoke group (P<0.0001). Additionally, lung compliance curves were analysed for all the experimental groups. We observed an increase in the compliance curve in the smoke group (grey) in comparison to the air group (blue) (Figure 3.8D). Additionally, we observed a reduction in the compliance curve with the individual treatment groups of smoke NR (green) (Figure 3.8E) and smoke PT (orange) (Figure 3.8F) in comparison to the smoke group (grey). Further, the treatment group of smoke NRPT (pink) showed a reduced compliance curve in comparison to the smoke group (grey).

However, other parameters like central airway resistance (Rn) (Figure 3.8H), transpulmonary resistance (Rrs) (Figure 3.8G), respiratory system compliance (CRS) (Figure 3.8I), forced vital capacity (FVC) (Figure 3.8J), tissue damping (G) (Figure 3.8K), and tissue elastance (H) (Figure 3.8L) did not show any changes between any groups in the current study.







Figure 3.8: Administration of NR and/or PT improved lung function in COPD:

Graphical representation of lung function parameters (A) IC, (B) area, (C) TLC, (D) lung compliance curves air vs smoke group, (E) lung compliance curves for air, smoke and smoke NR group, (F) lung compliance curves for air, smoke and smoke PT group, (G) lung compliance curves for air, smoke and smoke NRPT group, (H) FVC, (I) G, (J) H, (K) Rn, (L) Rrs, (M) Crs. Data represented are means  $\pm$  SEM of 12 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

#### 3.3.8 Administration of NR and/or PT regulated NAD<sup>+</sup>/NADH levels in COPD

We observed a reduction in the total NAD<sup>+</sup> levels in the smoke group in comparison to the air group (P<0.05) (Figure 3.9A). Interestingly, there was a significant increase in the total NAD<sup>+</sup> levels of the smoke NR, smoke PT and smoke NRPT group in comparison to the smoke group (P<0.01) (Figure 3.9A). Further, we observed an increase in the NADH levels in the smoke group as compared to the air group (P<0.0001) (Figure 3.9B). Additionally, the ratio of total NAD<sup>+</sup>:NADH (Figure 3.9C) was observed to be significantly reduced in the smoke group in comparison to the air group (P<0.0001). The treatment groups of smoke NR (P<0.05) and smoke NRPT (P<0.001) showed an increase in the ratio of total NAD<sup>+</sup>:NADH in comparison to the smoke group.

Further, we examined the gene expression of NAD<sup>+</sup> adapters and sensors and observed no changes in the expression levels of NADSYN (Figure 3.9D), NADK1 (Figure 3.9E), NADK2 (Figure 3.9F), NAMPT (Figure 3.9G), NMNT (Figure 3.9H), NRK1 (Figure 3.9I), NRK2 (Figure 3.9J), GCN5 (Figure 3.9M), and PNP (Figure 3.9N) in all of the experimental groups. A significant reduction in the gene expression levels of PGC1 $\alpha$ (Figure 3.9K) and NF $\kappa$ B (Figure 3.9L) was observed in the smoke group with respect to the air group (P<0.0001) and was increased significantly in the smoke NRPT group in comparison to the smoke group (P<0.0001).















Figure 3.9: Administration of NR and/or PT restored imbalance of NAD<sup>+</sup> homeostasis in COPD:

(A) total NAD<sup>+</sup> level in mouse lung homogenates, (B) NADH level in mouse lung homogenates, (C) ratio of NAD<sup>+</sup>/NADH. Gene expression levels of (D) NADSYN, (E) NADK1, (F) NADK2, (G) NAMPT, (H) NMNT, (I) NRK1, (J) NRK2, (K) PGC1a, (L) NF $\kappa$ B, (M) GCN5 and (N) PNP. Data represented are means ± SEM of 5-6 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.001.

### 3.3.9 Administration of NR and/or PT regulated NAD<sup>+</sup> consumers in COPD

PARP1 gene (Figure 3.10A) and protein expression (Figure 3.10B) were measured and a significant reduction in the gene expression of PARP1 was observed in the smoke group in comparison to the air group (P<0.05), which was increased with the smoke NRPT (P<0.0001) as compared to the smoke group (Figure 3.10A). Additionally, we observed a similar reduction in the protein expression of PARP1 in the smoke group in comparison to the air group (P<0.01) which was further increased in the smoke NR (P<0.05), smoke PT (P<0.01) and smoke NRPT (P<0.05) in comparison to the smoke group (Figure 3.10C) were measured and an increase

in the activity level was observed in the smoke group with respect to the air group (P<0.0001) and was significantly reduced in all the different treatment groups of smoke NR and/or PT in comparison to the smoke group (P<0.0001) (Figure 3.10C).

Additionally, we observed a significant reduction of CD38 protein expression in the smoke group in comparison to the air group (P<0.05) which was increased in the smoke NR (P<0.05) and smoke PT (P<0.05) respectively (Figure 3.10D).

Further, a reduction in the SIRT1 gene expression level was observed in the smoke group (P<0.001) in comparison to the air group (Figure 3.10E). Further, no significant changes were observed across all groups in the protein expression of SIRT1 (Figure 3.10L). However, we observed a significant reduction in the protein expression levels of phospho-SIRT1 (pSIRT1) (Figure 3.10M) in the smoke group in comparison to the air group (P<0.01) and was significantly increased in all the treatment groups of smoke NR (P<0.01), smoke PT (P<0.01) and smoke NRPT (P<0.05). No significant changes were observed in the gene expression of SIRT2 (Figure 3.10F) between the air and the smoke group, but there was a significant increase in the treatment group of smoke NR when compared to the smoke group (P < 0.01). In contrast, we observed a reduction in the SIRT2 protein levels (Figure 3.10N) in the smoke group as compared to the air group (P < 0.01), which was upregulated in all the treatment groups of smoke NR and smoke PT in comparison to the smoke group (P<0.0001). Interestingly, a reduction in the gene expression of SIRT3, SIRT5, SIRT6 and SIRT7 (Figure 3.10G, 3.10I, 3.10J & 3.10K) was observed in the smoke group in comparison to the air group (P < 0.05). The treatment groups of smoke NR and smoke NRPT showed an increase in the gene expression of SIRT6 in comparison to the smoke group (P<0.05). However, we did not see any significant changes in the protein expression of SIRT3 (Figure 3.10O) across all the experimental groups, but a reduction in the SIRT5 (Figure 3.10P), SIRT6 (Figure 3.10Q)

and SIRT7 (Figure 3.10R) protein levels were observed in the smoke group as compared to the air group (P<0.05) and were upregulated in the treatment groups of smoke NR and/or PT (P<0.05). Surprisingly, we observed no changes in the gene expression of SIRT4 (Figure 3.10H) across all the experimental groups.







Figure 3.10: Administration of NR and/or PT regulates NAD<sup>+</sup> consumers in COPD:

(A) Gene expression level of PARP1, (B) protein expression of PARP1, (C) PARP activity levels, (D) CD38 protein expression. Gene expression of (E) SIRT1, (F) SIRT2. (G) SIRT3, (H) SIRT4, (I) SIRT5, (J) SIRT6, (K) SIRT7; Protein levels of (L) SIRT1, (M) SIRT2, (N) SIRT3, (O) SIRT5, (6) SIRT6, (7) SIRT7. Data represented are means  $\pm$  SEM of 6 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

# 3.3.10 Administration of NR and/or PT preserved mitochondrial structure in COPD

Mitochondrial morphology was determined using TEM as mentioned previously. We observed an increase in mitochondrial number or density in the smoke group (Figure 3.11B) as compared to the air group (P<0.001) (Figure 3.11A). However, there was a reduction in mitochondrial density in the treatment groups of smoke NR (P<0.01) (Figure 3.11C) and smoke NRPT (P<0.001) (Figure 3.11E) in comparison to the smoke group, in contrast to smoke PT which was increased with respect to the air group (P<0.01) (Figure 3.11D).

Further, the mitochondrial area was measured for all the experimental groups. A significant increase in the mitochondrial area was observed in the smoke group (Figure 3.11G) in comparison to the air group (P<0.001) (Figure 3.11F). We observed a reduction in mitochondrial area in all the treatment groups of smoke NR (P<0.05) (Figure 3.11H), smoke PT (P<0.05) (Figure 3.11I) and smoke NRPT (P<0.01) (Figure 3.11J) as compared to the smoke group.



Smoke NR

Smoke PT

Smoke NRPT



Figure 3.11: Administration of NR and/or PT preserved mitochondrial structure in COPD:

Mitochondrial density represented with white arrows (A) Air, (B) Smoke, (C) Smoke NR, (D) Smoke PT, (E) Smoke NR and PT; Mitochondrial area represented with yellow outline (F) Air, (G) Smoke, (H) Smoke NR, (I) Smoke PT, (J) Smoke NR and PT; Data represented are means  $\pm$  SEM of 5 mice/group, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P < 0.0001.

# 3.3.11 Administration of NR and/or PT preserved mitochondrial cristae structure in COPD

Using TEM we further measured cristae density (Figure 3.12A), cristae volume (Figure 3.12B) and cristae area (Figure 3.12C) in all the experimental groups. There was a significant reduction in cristae density (P<0.01), volume (P<0.0001), and area (P<0.05) in the smoke group (Figure 3.12E) as compared to the air group (Figure 3.12D). This was further increased in the treatment groups of smoke NR (Figure 3.12F), smoke PT (Figure 3.12G) and smoke NRPT (Figure 3.12H) with respect to the smoke group (P<0.05).





Figure 3.12: Administration of NR and/or PT preserved mitochondrial cristae structure in COPD:

Graphical representation of (A) cristae density, (B) cristae volume, (C) cristae area; Cristae structure is outlined in yellow for all the experimental groups: (D) Air, (E) Smoke, (F) Smoke NR, (G) Smoke PT, (H) Smoke NR and PT. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

#### 3.3.12 Administration of NR and/or PT promoted mitochondrial function in COPD

Mitochondrial respiratory chain enzymatic activities were measured in lung homogenates for complex I (Figure 3.13A), complex II (Figure 3.13B), complex III (Figure 3.13C), complex IV (Figure 3.13D), complex I+III (Figure 3.13E), complex II+III (Figure 3.13F), citrate synthase (Figure 3.13G) and  $\beta$ -HAD (Figure 3.13H). We observed a reduction in the activity of complex I, II, III, IV, II+III and  $\beta$ -HAD in the smoke group in comparison to the air group (P<0.05) and this was further increased in the treatment groups of smoke NR (P<0.01) and/or PT (P<0.001) with respect to the smoke group. Moreover, we also observed a significant increase in the activity levels of complex I+III and citrate synthase in the smoke NR (P<0.001) and/or PT groups (P<0.01) as compared to the smoke group. In contrast, we observed an increase in the citrate synthase activity in the smoke group with respect to the air group (P<0.01).

Furthermore, we also measured the protein expression level of complex I-NDUFB8 (Figure 3.13I), complex II-SDHB (Figure 3.13J), complex III-UQCRC2 (Figure 3.13K), complex IV-MTCO1 (Figure 3.13L), and complex V-ATP5A (Figure 3.13M). A significant increase in the protein levels of complex I, III, IV and V were observed in the treatment groups of smoke NR and/or PT in comparison to both the smoke group and air group (P<0.05). In contrast, there was no change in the protein expression of complex II other than in the smoke NRPT group which showed a significant increase in comparison to the smoke group (P<0.001).





Figure 3.13: Administration of NR and/or PT promotes mitochondrial function in COPD:

Increase in mitochondrial respiratory chain enzymatic activity of (A) Complex I, (B) Complex II, (C) Complex III, (D) Complex IV, (E) Complex I+III, (F) Complex II+III, (G) Citrate synthase (H)  $\beta$ -HAD in the smoke NR and/or PT group in comparison to the smoke group (P<0.05); Increased protein expression of (I) Complex I-NDUFB8, (J) Complex II-SDHB, (K) Complex III-UQCRC2, (L) Complex IV-MTCO1, (M) Complex V-ATP5A in the smoke NR and/or PT group in comparison to the smoke group (P<0.05); Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

# 3.3.13 Administration of NR and/or PT promoted mitochondrial membrane potential in COPD

To further explore functional parameters related to mitochondrial dysfunction, we examined  $\Delta\Psi$ m using JC1 staining on lung sections. The ratio of J-aggregates (red) in comparison to J-monomers (green) was measured for all the groups. J-aggregates remained unchanged across all the experimental groups (Figure 3.14A). J-monomers increased in the smoke group with respect to the air group (P<0.0001) and were significantly reduced in the smoke NR and/or PT treatments in comparison to the smoke group as compared to the air group (P<0.001) (Figure 3.14B). Further, the  $\Delta\Psi$ m was reduced in the smoke group as compared to the air group (P<0.05) and increased in the smoke NR (P<0.01) and/or PT (P<0.001) treatment groups with respect to the smoke group (Figure 3.14C). The representative images below represent J-aggregates in the Texas Red channel (red, excitation at 580-604 nm), J-monomers in the Fluorescin (FIT-C) channel (green, excitation at 400-535 nm) and nuclear stain DAPI (blue, excitation at 359 nm) followed by a merged image of all the channels showing a co-localisation of J-aggregates and J-monomers (orange).



Figure 3.14: Administration of NR and/or PT promoted mitochondrial membrane potential in COPD:

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Measure of mitochondrial membrane potential (A) J-aggregates, (B) Reduced Jmonomers (P<0.05), and (C) increased in the  $\Delta\Psi$ m in smoke NR and/or PT group as compared to the smoke group (P<0.05). Representative images of J-aggregates are shown in red, J-monomers in green, nuclear stain DAPI in blue, and co-localisation of Jaggregates and J-monomers in orange. Data represented are means  $\pm$  SEM of 8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

#### 3.3.14 Administration of NR and/or PT reduced oxidative stress in COPD

The gene expression for NOX2 was measured, whereby an increase in NOX2 was observed in the smoke group in comparison to the air group (P<0.01) and was significantly reduced in the smoke NRPT treatment group with respect to the smoke group (P<0.001) (Figure 3.15A).

Further, an increase in the MFI was observed for 4-HNE in the smoke group in comparison to the air group (P<0.0001) which was reduced in all the treatment groups of smoke NR and/or PT with respect to the smoke group (P<0.0001). Images below represent 4-HNE (green) co-stained with F4/80 (red) and DAPI (blue) (Figure 3.15B).





Figure 3.15: Administration of NR and PT reduces oxidative stress in COPD:

(A) Reduced gene expression of NOX2 in smoke NRPT as compared to the smoke group (P<0.001); (B) Graphical representation of MFI in arbitrary units (A.U.) of reduced 4-HNE levels in smoke NR and/or PT in comparison to smoke group (P<0.0001), images show fluorescence localisation of F4/80 (red), 4-HNE (green), DAPI-nuclei stain (blue). Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

### 3.4 Discussion

The objective of this study was to elucidate the role of NAD<sup>+</sup> metabolism in the development and progression of COPD by deciphering the therapeutic effects of NR and/or PT in our experimental COPD mouse model. Studies have stated that inflammation in COPD is accompanied by tissue destruction and degradation, and other cellular and molecular processes like oxidative stress and apoptosis (319). The imbalance of chemokines and cytokines during inflammation results in disrupted cellular homeostasis and consequently impairs cellular metabolism and function leading to disease progression (320, 321). Altered immunometabolism often results in impaired immune responses (322) and thus, pharmacological manipulation of these metabolic processes has been the focus of recent research to develop novel therapeutic interventions for COPD (323). NAD<sup>+</sup> is an important metabolic intermediate that serves as an enzyme cofactor in the redox reaction and is also used as a co-substrate by adenosine diphosphate ribose transferases (324) and synthases, as well as SIRTs (241). These activities enable NAD<sup>+</sup> metabolism to regulate a broad spectrum of cellular functions such as energy metabolism (315) and DNA repair. Therefore, manipulation of NAD<sup>+</sup> using modulators such as NR and/or PT can have immunomodulatory effects in chronic inflammatory diseases.

Studies have demonstrated that the depletion of NAD<sup>+</sup> levels and alterations of NAD<sup>+</sup> homeostasis are common in aging, cancer, diabetes, metabolic disorders, and cardiovascular and neurodegenerative diseases (primary references). To date, there is limited knowledge about the exact mechanisms of NAD<sup>+</sup> depletion in COPD. As such, this study aimed to unveil the mechanism of alterations of NAD<sup>+</sup> homeostasis during the development and progression of the disease.

The findings from our study show a significant reduction in the ratio of total NAD<sup>+</sup> to NADH levels in 2-weeks, 4-weeks, 6-weeks, 8- and 12-weeks CS-induced COPD model

(Figure 3.2C) suggesting that there is an imbalance of NAD<sup>+</sup>/NADH ratios during the development and progression of COPD. Based on the important role of NAD<sup>+</sup> in regulating mitochondrial metabolism, it is therefore plausible that imbalances in NAD<sup>+</sup> homeostasis may be potential drivers of mitochondrial dysfunction in COPD and a contributing factor driving COPD progression.

Further, we investigated the therapeutic efficacy of NR and/or PT using our 2-week acute CS exposure model. We observed an increase in the leukocyte infiltration in the BAL of the smoke group compared to air, which was significantly reduced in all three treatment groups of NR, PT and NRPT (Figure 3.3). We also observed an increase in the transcripts encoding TNF $\alpha$ , CXCL1, and YM1 in the smoke group in comparison to the air group which was further reduced in the treatment groups of NR and/or PT. This further validated the anti-inflammatory properties of NR and/or PT in the 2-week acute CS exposure study (Figure 3.3).

Additionally, our findings from the 2-week acute CS exposure study demonstrated a reduction in the NAD<sup>+</sup>/NADH ratio in the smoke group in comparison to the air group. This was further increased with the administration of NR and/or PT in comparison to the smoke group and is indicative of the restoration of NAD<sup>+</sup> levels. We also observed an increase in total PARP activity upon acute CS exposure which was reduced in the treatment groups of NR and/or PT (Figure 3.5B). Similarly, the gene expression of SIRT4 (Figure 3.5F) was upregulated in the smoke group and was reduced in all the treatment groups of NR and/or PT. Collectively these results demonstrate the hyperactivity of the NAD<sup>+</sup> consumer PARPs and gene expression of SIRT4 during acute CS exposure which may result in the depletion of NAD<sup>+</sup> levels. Administration of NR and/or PT reduced the overactivation of PARP and the gene expression of SIRT4, in parallel to a restoration of basal NAD<sup>+</sup> levels. Taken together, this data suggested that NR and/or PT regulated

NAD<sup>+</sup> levels by increasing NAD<sup>+</sup> synthesis as well as by reducing the overactivation of NAD<sup>+</sup> consumers which further led to a reduction in airway inflammation during acute CS exposure.

Our 8-week CS-induced COPD murine model was characterised by increased infiltration of leukocytes in the lung upon exposure to CS in comparison to the air exposure and was significantly reduced with the administration of NR and/or PT (Figure 3.6), suggesting that anti-inflammatory responses of NR and/or PT are beneficial in reducing the influx of inflammatory cells in the lung parenchyma in COPD. The gene expression of  $TNF\alpha$ , CXCL1 and YM-1 was upregulated upon exposure to CS as a result of increased airway inflammation. The expression of  $TNF\alpha$  was significantly lower in the treatment groups of NR and/or PT as compared to the smoke group (Figure 3.6E). The regulation of TNFa is majorly controlled by the intercellular NAD<sup>+</sup> levels (181). A high level of TNF $\alpha$  leads to inflammation in COPD and hence, controlled production of TNFa is important to maintain healthy conditions (239, 240). Chronic CS exposure leads to increased production of CXCL1 by resident macrophages and epithelial cells for the recruitment of neutrophils, which further leads to increased infiltrates in the airway. Reduced expression of CXCL1 in the treatment groups of smoke NR and/or PT further validated the antiinflammatory benefits of these modulators in COPD (Figure 3.6F). YM-1 is a marker of M2-macrophage (325), which supports the high expression of YM-1 in all the experimental groups with prolonged exposure to smoke (Figure 3.6G).

Emphysema and chronic bronchitis are two important disease features in COPD driven by inflammation and tissue destruction and are instigated by chronic exposure to CS (278, 326). However, the exact mechanisms that drive these features remain unclear and have led to subsequent delays in the development of effective therapies (327, 328). In our study, we observed increased airway remodelling and emphysema in the smoke group further validating the disease features. Interestingly, we observed a significant reduction in both these features in the treatment groups of smoke NR and/or PT (Figure 3.7), suggesting that NR and/or PT protected lung structure from the damage induced by chronic exposure to CS in COPD.

Furthermore, we validated impaired lung function in the smoke group exhibiting a reduction in IC (Figure 3.8A), area (Figure 3.8B), and TLC (Figure 3.8C) in comparison to the air group. All the parameters of IC, area and TLC were improved in the treatment groups of smoke NR and/or PT with respect to the smoke group. Additionally, an increase in lung compliance was observed in the smoke group representing the poor recoiling property of the lung due to chronic exposure to CS and was reduced with the treatment group of NRPT suggesting improved expandability of lungs with the treatment (Figure 3.8G). This is surprising given that until recently, the only known effective intervention to restore lung function was a cessation of smoke exposure (25, 316). The current study shows NRPT might potentially restore or delay the reduced lung function in COPD.

The constant cycle of damage and repair during inflammation in COPD requires an abundance of NAD<sup>+</sup>, which is known to be in a constant state of synthesis (252), degradation (220), and recycling (318). Chronic exposure to CS resulted in NAD<sup>+</sup> depletion and a reduction in the NAD<sup>+</sup>/NADH ratio. Administration of NR and/or PT improved total NAD<sup>+</sup> levels in our COPD study and showed an increase in the NAD<sup>+</sup>/NADH ratio (Figure 3.9). We did not observe any differences in the gene expression of NAD<sup>+</sup> biosynthesis markers, however, the expression of PGC1 $\alpha$  (Figure 3.9K) and NF $\kappa$ B (Figure 3.9L) was reduced in the smoke group and was increased in the treatment group of NRPT, suggesting that NRPT in combination can potentially increase mitochondrial biogenesis or improve mitochondrial function in COPD. Furthermore, we observed an increase in total PARP activity upon chronic exposure to CS which was

reduced with the treatment of NR and/or PT (Figure 3.10C), however, there were no differences observed in the gene expression of PARP1 (Figure 3.10A). In contrast, PARP1 protein levels were reduced upon smoke exposure and increased in all the treatment groups of smoke NR and/or PT (Figure 3.10B). Collectively, these results validated the previous findings of overactivation of PARPs in COPD (329), interestingly, a reduction of PARP activity was observed with NR and/or PT suggesting the protective features of NAD<sup>+</sup> modulators via restoration of NAD<sup>+</sup> levels in COPD. In contrast, we observed a reduction in CD38 protein levels in the smoke group which was further increased in the treatment groups of NR and/or PT (Figure 3.10D). However, the total protein levels of CD38 in our study were inconclusive and requires further assessment of CD38 activity to unveil its role in COPD.

Additional to PARPs and CD38, SIRTs are also one of the major NAD<sup>+</sup>-dependent metabolic and epigenetic regulators (242, 251). Recent evidence suggests that the Sirtuin family (SIRT1-7) protect cellular homeostasis by sensing bioenergy needs and by making alterations in the cell nutrients (229, 330). SIRTs are known to play a critical role in restoring homeostasis during inflammation (251, 331). In our study, the gene and protein expression of SIRTs (1-7) reduced upon chronic exposure to smoke and were increased in the treatment groups of NR and/or PT (Figure 3.10E-R), suggesting that in correspondence to restoring NAD<sup>+</sup>/NADH imbalance, SIRTs also exhibit anti-inflammatory properties in COPD. However, we did not see any changes in SIRT3 and SIRT 4 gene expression across all the experimental groups which is potentially due to the prophylactic administration of NR and/or PT along with exposure to CS. Taken together, our study demonstrated that the dietary modulators of NAD<sup>+</sup>, NR and PT are capable of restoring or protecting NAD<sup>+</sup> levels by regulating NAD<sup>+</sup> consumers, PARPs and SIRTs which further attenuates airway inflammation in COPD.
Mitochondrial ultrastructural changes have revealed novel pathologies in different studies but there has been no evidence of mitochondrial structural changes in COPD lung morphology (332-334). Impaired mitochondrial structure largely contributes to the loss of mitochondrial function due to the placement of ETC complexes in the inner mitochondrial membrane and cristae. Our study showed an increase in the unhealthy mitochondrial population upon chronic exposure to CS which comprised of abnormal increase in mitochondrial density and area (mitochondrial swelling). Further, these abnormal mitochondrial populations were also characterised by reduced cristae density, cristae volume and cristae area which further validated abnormal mitochondria in COPD. These results suggest that an abnormal increase in the mitochondrial density is potentially due to the cellular defence mechanism against damage instigated by CS.

Comparatively, we observed a reduction in the mitochondrial population in the air and the treatment groups of smoke NR and/or PT. In contrast to the smoke group, the mitochondria in the air and the treatment groups displayed a well-defined structure of cristae and double membrane (Figures 3.11 and 3.12). We did not observe mitochondrial swelling in the air or any of the treatment groups in comparison to the smoke group suggesting that CS might potentially be inducing mitochondrial swelling and further investigation will be required to identify if this is due to mitochondrial fission.

Additionally, these mitochondrial populations possessed dense cristae structure and volume suggesting that NR and/or PT protected mitochondrial ultrastructure in COPD. This is surprising as currently there is no treatment available for COPD exhibiting the protection of the mitochondrial structure. However, one limitation of this analysis is that samples are processed and embedded in resin for ultrastructural sectioning and imaging and ultimately the orientation of tissue is unknown which might lead to crosssections with elongated mitochondria instead of a circular structure. To account for this limitation, the

samples from every experimental group were treated and analysed in the same way without allowing any variation.

Furthermore, recent evidence suggests that COPD patients have reduced mitochondrial function (335, 336). In our study, we observed a reduction in the respiratory chain complex activity for complex I (Figure 3.13A), II (Figure 3.13B), III (Figure 3.13C), IV (Figure 3.13D), I+III (Figure 3.13E), and II+III (Figure 3.13F) in the smoke group which supports the evidence of reduced mitochondrial function in COPD. Interestingly, we observed an increase in the activity levels of all the complexes in the treatment groups of smoke NR and/or PT. This was further reflected in the increased protein levels of oxphos in all the treatment groups of smoke NR and/or PT. Collectively these results demonstrated that NR and/or PT improved mitochondrial function by restoring NAD<sup>+</sup>/NADH balance in COPD. Additionally, we also observed an increase in  $\Delta\Psi$ m in the treatment groups of NR and/or PT (Figure 3.14) which was reduced in the smoke group further demonstrating that NR and/or PT protected from mitochondrial damage in COPD.

Damage in mitochondrial structure leads to impaired mitochondrial function resulting in oxidative stress in numerous chronic diseases and is one of the most common drivers of inflammation (337). COPD patients show increased oxidative stress in the lungs induced by airway inflammatory and structural cells (168, 313). These changes lead to a reduction in antioxidant defence with the inactivation of several transcription factors and antioxidant enzymes such as NF-κB and nuclear factor erythroid 2-related factor-2 (Nrf2) (313) leading to increased inflammation and subsequent damage in COPD. The current study showed increased levels of oxidative stress marker, 4-HNE in the smoke group in comparison to the air group. The treatments NR and/or PT showed a significant reduction in 4-HNE resulting in reduced oxidative stress in COPD. Moreover, increased expression

of NOX2 in the smoke group was observed which was reduced with the treatment groups of NR and/or PT (Figure 3.15). Collectively, these results suggested that the administration of NR and/or PT protected from oxidative stress in COPD.

In conclusion, this study has demonstrated that chronic exposure to CS leads to an imbalance in the NAD<sup>+</sup>/NADH levels induced by hyperactivity of PARPs in COPD, which potentially drives inflammation causing an influx of inflammatory cells in the airways and leading to damage to lung structure and function. The therapeutic interventions NR and PT in the CS-induced COPD murine model reduced inflammatory cell infiltration in the lung, leading to improvements in lung structure and function. Critically, NR and PT also improved the ratio of NAD<sup>+</sup> and NADH levels, reducing the overactivation of PARP and leading to an increase in SIRTs. As a consequence, NR and PT further improved the structure and function of mitochondria and reduced oxidative stress in COPD. Additional studies will be required to decipher the therapeutic potential of NR and PT in reversing the damage in COPD.

# Chapter 4. Investigating the role of NR and PT in reversing or delaying the progression of COPD

### 4.1 Introduction

COPD remains the 3rd leading cause of death globally according to WHO 2022 (338). Chronic exposure to CS is a well-recognised cause of COPD, inducing airway inflammation which leads to the disease progression (339). Henceforth, cessation of smoke and nicotine-replacement therapy is so far the only known therapeutic intervention to prevent the progression of COPD (340, 341). Besides, other available treatments for COPD are largely symptomatic and include the use of bronchodilators (342, 343), inhalation of anticholinergics, (344, 345) and corticosteroids (342, 346), which alleviates the symptoms but do not reduce the inflammatory responses or prevent the progression of the disease (338, 347, 348). In addition, long-term use of corticosteroids leads to the systemic side effects of COPD such as cachexia (349-351). Furthermore, long-term COPD patients suffer from severe hypoxia (352) and are required to undergo oxygen therapy or lung transplantations as treatments fail to improve due to the severity of the disease (353, 354).

On the other hand, pulmonary rehabilitation has been shown to reduce the symptoms of breathlessness and improves physical and psychological conditions which further enhances the quality of life in severe COPD patients (355-357). These treatment options (Figure 4.1) alleviate symptoms and exacerbations but currently, there is no cure for COPD, therefore, there is a need for new therapies that can prevent the induction or inhibit the progression of COPD (358).



Figure 4.1: Progressive treatment approaches for COPD

In the previous chapter (Chapter 3), we demonstrated that chronic exposure to CS leads to an imbalance in the NAD<sup>+</sup>/NADH levels induced by hyperactivity of PARPs in COPD, which potentially drives inflammation causing infiltration of inflammatory cells in the airways leading to damage to lung structure and function. The therapeutic interventions; NR and PT, significantly reduced inflammatory cell infiltration in the lung, leading to improvements in lung structure and function of our prophylactic COPD studies. Additionally, NR and PT also improved the ratio of NAD<sup>+</sup> and NADH levels, reducing PARP activity levels and leading to an increase in NAD<sup>+</sup> consumers such as SIRTs. However, additional investigation is required to elucidate if NR and PT can potentially halt the progression and reverse or restore the damage in COPD.

In the current study, utilising our clinically relevant COPD mouse model, we aimed to decipher the therapeutic potential of NR and PT in delaying the progression of the disease as well as reversing the damage in COPD.

### 4.2 Methodology

#### 4.2.1 Experimental COPD model

Female BALB/c mice aged 6-8 weeks were obtained from the ARC and were maintained at  $20 \pm 2^{\circ}$ C on a 12:12 hours day-night cycle and fed a standard sterile diet of mouse chow as previously described in chapter 2 under section 2.2.1.

### 4.2.2 Experimental procedure of smoking:

Mice were subjected to CS/Air exposure for up to 12 research-grade 3R4F cigarettes (University of Kentucky) twice a day, five days a week for up to 12 weeks using a custom-designed nose-only inhalation system as mentioned previously in chapter 2 under section 2.2.2. (146).

#### 4.2.3 Experimental designs

This study involved two 12-week smoke models subjected to administration of 400 mg/kg of NR in drinking water and 80 mg/kg of PT in mushy chow provided *ad* libitum followed by smoke exposure as described in 4.2.2.

The different experimental groups for the 12-week smoke model-1 (Figure 4.2A & B) consisted of five experimental groups which include three control groups comprising of air and smoke and two treatment groups with NR and PT. The control groups include the air group, whereby mice were subjected to air exposure for 12 weeks, the smoke (12 weeks) group whereby mice were subjected to CS for 12 weeks, and the smoke (8wks) rest (4wks) group whereby mice were subjected to CS for 8 weeks followed by a rest period of 4 weeks. Additionally, the treatment groups comprised the smoke NRPT

(12wks) whereby mice were subjected to CS for 12 weeks and were administered with 400 mg/kg of NR in drinking water and 80 mg/kg of PT in mushy chow for 12 weeks, and finally, and smoke (8wks) NRPT (4wks) group whereby mice were subjected to CS for 8 weeks followed by a rest period and administration of 400 mg/kg of NR in drinking water and 80 mg/kg of PT in mushy chow as listed in below in table 4.1.

Experimental	Smoke exposure period	Rest period	Treatments
groups			
Air	-	-	-
Smoke	12 cigarettes, 2x/day,	-	-
(12wks)	5x/week for up to 12		
	weeks		
Smoke NRPT	12 cigarettes, 2x/day,	-	400 mg/kg of NR in
(12wks)	5x/week for up to 12		drinking water and 80
	weeks		mg/kg of PT in mushy
			chow for 12 weeks
Smoke (8wks)	12 cigarettes, 2x/day,	4 weeks	-
Rest (4wks)	5x/week for up to 12		
	weeks		
Smoke (8wks)	12 cigarettes, 2x/day,	4 weeks	400 mg/kg of NR in
NRPT (4wks)	5x/week for up to 12		drinking water and 80
	weeks		mg/kg of PT in mushy
			chow for 4 weeks

 Table 4.1: Experimental groups in 12-week model-1

Further, the 12-week smoke model-2 (Figure 4.2C) consisted of three experimental groups, including the air group, whereby mice were subjected to air exposure for 12 weeks, the smoke (12wks) group whereby mice were subjected to CS for 12 weeks and the smoke NRPT (4wks) group whereby mice were subjected to CS for 12 weeks and were administered with 400 mg/kg of NR in drinking water and 80 mg/kg of PT in mushy chow for the last 4 weeks of the study as listed in below in table 4.2.

Experimental	Smoke exposure period	Treatments
groups		
Air	-	-
Smoke (12wks)	12 cigarettes, 2x/day, 5x/week for	-
	up to 12 weeks	
Smoke NRPT	12 cigarettes, 2x/day, 5x/week for	400 mg/kg of NR in
(4wks)	up to 12 weeks	drinking water and 80 mg/kg
		of PT in mushy chow for 4
		weeks

 Table 4.2: Experimental groups in 12-week model-2

All mice were weighed daily before exposure to smoke, five days a week for 12 weeks and were closely monitored during and after smoke exposure. Any mice losing up to 15% of their body weight were subjected to smoke cessation for 24 hours with intensive monitoring.



Figure 4.2: Experimental design for 12-week advanced COPD study:

(A) Mice exposed to CS and administered with NR and PT for 12 weeks; (B) Mice exposed to CS for 8 weeks and subjected to rest/administered with NR and PT for 4 weeks; (C) Mice were exposed to CS for 12 weeks and administered with NR and PT for the last 4 weeks of the study.

### 4.3 Results

### 4.3.1 Administration of NR and PT reduced inflammation in advanced COPD

Mice exposed to CS for 12 weeks in the smoke (12wks) group exhibited an increase in immune cell infiltration (Figure 4.3A) and comprised of macrophages (Figure 4.3B) and neutrophils (Figure 4.3C) in the BALF in comparison to the air group (P<0.0001).

Administration of NR and PT in the smoke NRPT (12wks) group resulted in a significant decrease in the total leukocytes, as well as macrophages and neutrophils as compared to the smoke (12wks) group (P<0.0001). Further, a decrease in total leukocytes, as well as macrophages and neutrophils was observed both in the smoke (8wks) rest (4wks) as well as smoke (8wks) NRPT (4wks) with respect to the smoke (12wks) group (P<0.0001).

Additionally, the gene expression of TNF $\alpha$  (Figure 4.3D) and CXCL1 (Figure 4.3E) was measured in the lungs, and observed to be significantly increased in the smoke (12wks) group in comparison to the air group (P<0.0001). Besides, we also observed an increase in both these gene expressions in the smoke (8wks) rest (4wks) groups in comparison to the air group (P<0.05). However, a reduction in the expression of both TNF $\alpha$  and CXCL1 was observed in smoke NRPT (12wks) (P<0.0001), smoke (8wks) rest (4wks) (P<0.05) and smoke (8wks) NRPT (4wks) (P<0.01) in comparison to the smoke (12wks) group. Furthermore, the body weight of all the experimental groups was measured daily as mentioned previously in 4.3.3. We observed a significant increase in the weight of the mice in the air group in comparison to mice in all the smoke groups (P<0.0001) across all weeks following smoke exposure commencement (Figure 4.3F). Further, we also observed an increase in the weight of the mice in the smoke (8wks) rest (4wks) group in comparison to the smoke (12wks) group (P<0.001). We also observed an increase in the body weight of mice in the smoke (8wks) NRPT (4wks) group at 10 weeks post-smoking in comparison to smoke (8wks) rest (4wks) (P<0.01) (Figure 4.3E).

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Figure 4.3: Administration of NR and PT restored inflammation in advanced COPD:

(A) Cellular infiltrates in the BALF, (B) Macrophages, and (C) Neutrophils obtained from BALF; Gene expression for (E) TNF $\alpha$  and (F) CXCL1 in the lung. Changes in body weight: (D) All experimental groups (E) Smoke (8wks) rest (4wks) vs Smoke (8wks) NRPT (4wks); Data represented are means  $\pm$  SEM of 8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.3.2 Administration of NR and PT attenuated COPD features in advanced diseases stages

Chronic exposure to CS resulted in collagen deposition around the basement membrane of small airways in the smoke (12wks) group as well as in the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.01). There was a significant reduction observed in the collagen deposition for both the smoke NRPT (12wks) and smoke (8wks) NRPT (4wks) in comparison to the smoke (12wks) group (P<0.0001) (Figure 4.4A)4 In addition, an increase in the MLI counts was observed in the smoke (12wks) group as well as in the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.01). moreover, we observed a significant reduction in both the treatment groups of smoke NRPT (12wks) and smoke (8wks) NRPT (4wks) in comparison to the smoke (12wks) group (P<0.001) as well as smoke (8wks) rest (4wks) (Figure 4.4B).

Lung function changes were measured using FlexiVent as mentioned previously in chapter 2 under section 2.9. An increase in IC was observed in the smoke (12wks) group (P<0.0001), smoke NRPT (12wks) (P<0.01), and smoke (8wks) rest (4wks) (P<0.0001) in comparison to the air group (Figure 4.4C). We observed reduced IC in the treatment group of smoke (8wks) NRPT (4wks) in comparison to the smoke (8wks) rest (4wks) group (P<0.01). We also observed an increase in Area in both the smoke (12wks) group (P<0.01) and the smoke (8wks) rest (4wks) group (P<0.001) in comparison to the air

group (Figure 4.4D). A reduction in the area was observed in the smoke (8wks) NRPT (4wks) with respect to the smoke (8wks) rest (4wks) group (P<0.05). Further, an increase in TLC (Figure 4.4E) was observed in the groups of the smoke (12wks), smoke NRPT (12wks) and smoke (8wks) rest (4wks). Additionally, lung compliance curves shown in figures 4.4F-H were analysed to measure alveolar damage and were observed to have an increased AUC in the smoke (12wks) group, and smoke NRPT (12wks) in comparison to the air group (Figure 4.4F). We also observed an increase in the AUC of the smoke (12wks) group in comparison to the smoke (8wks) rest (4wks) rest (4wks) group and the air group (Figure 4.4G). Further, smoke (8wks) NRPT (4wks) did not show any changes in the AUC with respect to the smoke (8wks) rest (4wks) group and the air group (Figure 4.4H).





Figure 4.4: Administration of NR and PT attenuated COPD features:

(A) Collagen deposition, (B) Emphysema, Lung function parameters (C) IC, (D) Area, (E) TLC, (F) lung compliance curves for the air, smoke (12wks) and smoke (8wks) rest (4wks) groups, (G) lung compliance curves for the air, smoke (12wks) and smoke NRPT (12wks) groups, and (H) lung compliance curves for the air, smoke (12wks) and smoke NRPT (12wks) groups. Data represented are means  $\pm$  SEM of 5-6 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 4.3.3 Administration of NR and PT increased NAD<sup>+</sup> levels in advanced COPD

Lung homogenates from the 12 weeks advanced COPD study were used for the measurement of total NAD<sup>+</sup> and NADH levels. We observed a significant reduction in

the total NAD<sup>+</sup> levels of the smoke (12wks) group (P<0.0001), smoke NRPT (12wks) (P<0.0001), and smoke (8wks) rest (4wks) (P<0.01) in comparison to the air group (Figure 4.5A). Additionally, there was a significant increase in the total NAD<sup>+</sup> levels of the smoke (8wks) NRPT (4wks) group with respect to the smoke (8wks) rest (4wks) (P<0.001) as well as in the smoke (8wks) rest (4wks) in comparison to the smoke (12wks) group (P<0.01).

The NADH levels were significantly higher in the smoke (12wks) (P<0.0001), smoke NRPT (12wks) (P<0.0001), smoke (8wks) rest (4wks) (P<0.05) and the smoke (8wks) NRPT (4wks) (P<0.05) groups in comparison to the air group. Further, we observed a significant reduction in the NADH levels in the treatment group of smoke NRPT (12wks) (P<0.01) in comparison to the smoke (12wks) group, this was also reflected in the smoke (8wks) rest (4wks) group (P<0.0001). However, we did not see a difference in the NADH levels in the smoke (8wks) rest (4wks) group (P<0.0001). However, we did not see a difference in the NADH levels in the smoke (8wks) rest (4wks) rest (4wks) (4wks) (4wks) in comparison to the smoke (8wks) rest (4wks) (4wks) rest (4wks) (4wks) rest (4wks) (Figure 4.5B).

The ratio of total NAD<sup>+</sup> and NADH was observed to be significantly reduced in the smoke (12wks) group (P<0.0001), smoke NRPT (12wks) (P<0.0001), and the smoke (8wks) rest (4wks) group (P<0.001) in comparison with the air group. However, there was an increase in the ratio in the smoke (8wks) NRPT (4wks) in comparison to the smoke (8wks) rest (4wks) group which was not significant and showed a non-significant change from the air group (Figure 4.5C).



Figure 4.5: Administration of NR and PT increased NAD<sup>+</sup> levels in advanced COPD:

(A) total NAD<sup>+</sup> level in mouse lung homogenates, (B) NADH level in mouse lung homogenates, (C) ratio of NAD<sup>+</sup>/NADH. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 4.3.4 Administration of NR and PT regulated PARPs and CD38 in COPD

Lung RNA and protein from the 12-week advanced COPD model were used to determine the PARP1 gene (Figure 4.6A) and protein expression (Figure 4.6B). We did not observe any change in the gene expression of PARP1 across all the experimental groups however, we observed a significant increase in PARP1 protein expression in the smoke (8wks) NRPT (4wks) in comparison to both the air group (P<0.0001) and the smoke (12wks) group (P<0.001). Interestingly, we observed a reduction in the PARP1 protein level in the smoke (8wks) NRPT (4wks) as compared to the smoke (8wks) rest (4wks) (P<0.0001). Further, the PARP activity levels were measured in lung homogenates where an increase in the activity level was observed in the smoke (12wks) group with respect to the air group (P<0.01). Reduced activity of PARP was observed in the smoke (8wks) rest (4wks) in comparison to the smoke (12wks) group (P<0.05) which was further reduced in the smoke (8wks) NRPT (4wks) with respect to the smoke (8wks) rest (4wks) (P<0.05) (Figure 4.6C).

Additionally, CD38 protein levels were measured where we observed a significant increase in the CD38 protein expression in the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.0001) as well as the smoke (12wks) group (P<0.0001). Furthermore, the protein expression of CD38 was reduced in the smoke (8wks) NRPT (4wks) group as compared to the smoke (8wks) rest (4wks) (P<0.0001) (Figure 4.6D).



Figure 4.6: Administration of NR and PT restored PARP and CD38 levels in COPD:

(A) Gene expression of PARP1, (B) Protein expression level of PARP1, (C) PARP activity levels in lung homogenates, (D) Protein expression level of CD38. Data represented are means  $\pm$  SEM of 5-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

### 4.3.5 Administration of NR and PT regulated SIRTs in advanced COPD

The expression of SIRTs was measured using both RNA and protein from the lung. Gene expression of SIRT1 showed a reduction in the smoke NRPT (12wks) group in comparison to the air group (P<0.05) as well as the smoke (12wks) group (P<0.01) with no other changes observed in the other experimental groups (Figure 4.7A). The gene expression of SIRT2 was reduced in the smoke (8wks) rest (4wks) group with respect to the smoke (12wks) groups (P<0.01), and in the smoke (8wks) NRPT (4wks) group with respect to the air group (P<0.05) (Figure 4.7B). Further, we did not see any change in the expression of SIRT3 (Figure 4.7C) and SIRT4 (Figure 4.7D) across all the experimental groups. However, the gene expression of SIRT5 was reduced in the smoke (12wks) group with respect to air (P<0.01). We further observed a reduction in the expression of SIRT5 in the smoke NRPT (12wks) group in comparison to the air group (P < 0.01), which was increased in the smoke (8wks) rest (4wks) group as compared to the smoke (12wks) group (Figure 4.7E). A reduced gene expression of SIRT6 was observed in the smoke (12wks) group as compared to the air group (P<0.05) (Figure 4.7F). Moreover, the gene expression of SIRT7 was reduced in the smoke (12wks) group (P<0.05) as well as the smoke NRPT (12wks) group (P<0.001) in comparison to the air group and was increased in the smoke (8wks) rest (4wks) group as compared to the smoke (12wks) (P<0.01) (Figure 4.7G). In contrast, the protein expression of SIRT1 was upregulated in the smoke NRPT (12wks) group in comparison to the smoke (12wks) group (P<0.01). A reduction in the protein expression of SIRT1 in the smoke (8wks) rest (4wks) group was observed in comparison

to the air group (P<0.05) which was increased in the smoke (8wks) NRPT (4wks) group with respect to the air group (P<0.001) as well as the smoke (8wks) rest (4wks) group (P<0.0001) (Figure 4.7H). Additionally, the protein expression for pSIRT1 was reduced in the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.05). An increase in the protein expression of pSIRT1 was observed in the treatment group of smoke (8wks) NRPT (4wks) as compared to the smoke (8wks) rest (4wks) (Figure 4.7I). In contrast to the gene expression of SIRT2 the protein expression was upregulated in the smoke (8wks) rest (4wks) in comparison to both the air group (P<0.01) as well as the smoke (12wks) group (P<0.05) (Figure 4.7J). We observed no change in the protein expression of SIRT3 (Figure 4.7K) across all the experiment groups in this study. Further, the protein expression of SIRT5 was downregulated in the smoke (12wks), smoke NRPT (12wks) and smoke (8wks) rest (4wks) groups with respect to the air group (P<0.01) (Figure 4.7L). The protein expression of SIRT6 was reduced in the smoke (12wks) group with respect to the air group (P<0.01). Followed by, a reduction of SIRT6 protein was observed in the smoke (8wks) rest (4wks) in comparison to the air group (P<0.05) which was increased in the smoke (8wks) NRPT (4wks) as compared to the smoke (8wks) rest (4wks) (P<0.05) (Figure 4.7M). Moreover, the protein expression of SIRT7 in the smoke (12wks) group reduced with respect to the air group (P<0.001) and increased in the smoke NRPT (12wks) group in comparison to the smoke (12wks) group (P<0.001). Followed by upregulation of SIRT7 protein was observed in the smoke (8wks) rest (4wks) group as compared to the smoke (12wks) group (P<0.0001) and reduced in the smoke (8wks) NRPT (4wks) in comparison to the smoke (8wks) rest (4wks) (P<0.05) (Figure 4.7N).







Figure 4.7: Administration of NR and PT regulated SIRTs in advanced COPD:

(A) gene expression of SIRT1, (B) protein levels SIRT1, (C) protein levels pSIRT1, (D) gene expression of SIRT2, (E) protein levels SIRT2, (F) gene expression of SIRT3, (G) protein levels SIRT3, (H) gene expression of SIRT4, (I) gene expression of SIRT5, (J) protein levels SIRT5, (K) gene expression of SIRT6, (L) protein levels SIRT6, (M) Gene expression of SIRT7, (N) protein levels SIRT7. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 4.3.6 Administration of NR and PT protected mitochondrial structure in advanced COPD

Lung samples from the 12-week advanced COPD study were processed to assess mitochondrial morphology with TEM as mentioned previously. We observed an increase in mitochondrial density or number in the smoke group (Figure 4.8B) as compared to the 168

air group (P<0.0001) (Figure 4.8A). However, there was a reduction in mitochondrial density in the smoke (12wks) NRPT (4wks) group (Figure 4.8C) as well as smoke (8wks) rest (4wks) (Figure 4.8D) in comparison to the smoke (12wks) group (P<0.0001). In contrast, an increase in mitochondrial density was also observed in the smoke (8wks) NRPT (4wks) (Figure 4.8E) with respect to the smoke (8wks) rest (4wks) (P<0.05).

Further, the mitochondrial area or swelling was measured for all the experimental groups. A significant increase in the mitochondrial area was observed in the smoke group (Figure 4.8G) in comparison to the air group (P<0.01) (Figure 4.8F). We also observed a reduction in mitochondrial area in the treatment group of smoke NRPT (12wks) (Figure 4.8H) in comparison to the smoke (12wks) group (P<0.01). We also observed an increase in the mitochondrial area in the smoke (8wks) rest (4wks) which was reduced in the treatment group of smoke (8wks) NRPT (4wks) but was not statistically significant.



Air



Smoke NRPT (12wks)





Smoke (8wks) Rest (4wks)



Smoke (8wks) NRPT (4wks)



Figure 4.8: Administration of NR and PT protected mitochondrial structure in advanced COPD:

Mitochondrial density (A) Air (B) Smoke (12wks), (C) Smoke NRPT (12wks), (D) Smoke (8wks) rest (4wks), (E) Smoke (8wks) NRPT (4wks); Mitochondrial area (F) Air (G) Smoke (12wks), (H) Smoke NRPT (12wks), (I) Smoke (8wks) rest (4wks), (J) Smoke (8wks) NRPT (4wks). Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.3.7 Administration of NR and PT preserved mitochondrial cristae structure in COPD

We measured cristae density (Figure 4.9F), cristae volume (Figure 4.9G) and cristae area (Figure 4.9H) in all the experimental groups. We observed an increase in cristae density in the smoke NRPT (12wks) (Figure 4.9C) in comparison to the smoke (12wks) group (Figure 4.9B) (P<0.001) as well as an increase in the smoke (8wks) NRPT (4wks) (Figure 4.9E) compared to the smoke (8wks) rest (4wks) (Figure 4.9D) (P<0.05). Further, we observed an increase in the cristae volume of smoke NRPT (12wks) in comparison to the smoke (12wks) group (P<0.05). In contrast, we did not observe any significant changes in the cristae area across all the different experimental groups.





Smoke (8wks) Rest (4wks)

Smoke (8wks) NRPT (4wks)

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Figure 4.9: Administration of NR and PT protected mitochondrial cristae structure in advanced COPD:

Representative cristae structure images (A) Air (B) Smoke (12wks), (C) Smoke NRPT (12wks), (D) Smoke (8wks) rest (4wks), (E) Smoke (8wks) NRPT (4wks); Graphical representation of (F) cristae structure, (G) cristae volume, (H) cristae volume. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

### 4.3.8 Administration of NR and PT restored mitochondrial function in advanced COPD

We measured the protein expression level of complex I-NDUFB8 (Figure 4.10A), complex II-SDHB (Figure 4.10B), complex III-UQCRC2 (Figure 4.10C), complex IV-MTCO1 (Figure 4.10D), and complex V-ATP5A (Figure 4.10E) in the lung of 12 weeks advanced COPD mice. A reduction was observed in the protein levels of all complexes examined in both the smoke (12wks) group as well as the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.0001). This was further increased in the smoke NRPT

(12wks) group with respect to the smoke (12wks) group (P<0.05) as well as smoke (8wks) NRPT (4wks) as compared to the smoke (8wks) rest (4wks) group (P<0.05).

Mitochondrial respiratory chain enzymatic activities were measured in lung homogenates for complex I (Figure 4.10F), complex IV (Figure 4.10G), citrate synthase (Figure 4.10H) and  $\beta$ -HAD (Figure 4.10I). We observed a reduction in the activity of complex I, IV, citrate synthase, and  $\beta$ -HAD in the smoke (12wks) group as well as the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.05). This was significantly increased in the treatment groups of smoke NRPT (12wks) in comparison to the smoke (12wks) group (P<0.05) as well as smoke (8wks) NRPT (4wks) with respect to the smoke (8wks) rest (4wks) (P<0.05).







Figure 4.10: Administration of NR and PT promoted mitochondrial function in advanced COPD:

Protein expression of (A) Complex I-NDUFB8, (B) Complex II-SDHB, (C) Complex III-UQCRC2, (D) Complex IV-MTCO1, (E) Complex V-ATP5A. Mitochondrial respiratory chain enzymatic activity of (F) Complex I, (G) Complex IV, (H) Citrate synthase (I)  $\beta$ -HAD; Data represented are means  $\pm$  SEM of 5 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

### 4.3.9 Administration of NR and PT restored mitochondrial membrane potential in COPD

 $\Delta \Psi m$  was examined on lung sections of 12 weeks advanced COPD mice. The MFI of Jaggregates in the smoke (12wks) (P<0.0001) and smoke (8wks) rest (4wks) groups were significantly reduced in comparison to the air group (P<0.05). We also observed increased J-aggregates in the smoke NRPT (12wks) as compared to the smoke (12wks) (P<0.0001) (Figure 4.11A). Further, J-monomers increased in the smoke (12wks) group as well as smoke (8wks) rest (4wks) with respect to the air group (P<0.0001). A significant reduction of J-monomers was observed in the smoke NRPT (12wks) in comparison to the smoke (12wks) group (P<0.0001) as well as smoke (8wks) NRPT (4wks) as compared to the smoke (8wks) rest (4wks) (P<0.0001) (Figure 4.11B). Further, the  $\Delta \Psi m$  was reduced significantly both in the smoke (12wks) and smoke (8wks) rest (4wks) in comparison to the air group (P<0.0001). We also observed a significant increase in  $\Delta \Psi m$  in the smoke NRPT (12wks) compared to the smoke (12wks) group (P<0.0001) and in the smoke (8wks) NRPT (4wks) in comparison to the smoke (8wks) rest (4wks) (P<0.01) (Figure 4.11C). The representative images below represent J-aggregates in the Texas Red channel, J-monomers in the FIT-C channel and nuclear stain DAPI followed by a merged image of all the channels showing a co-localisation of J-aggregates and J-monomers (orange).






Figure 4.11: Administration of NR and PT restored mitochondria membrane potential in advanced COPD:

Measure of mitochondrial membrane potential (A) J-aggregates (Red), (B) Reduced Jmonomers (green) (P<0.05), and (C) increased in the  $\Delta\Psi$ m in smoke NR and/or PT group as compared to the smoke group (P<0.05). Data represented are means  $\pm$  SEM of 8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

#### 4.3.10 Administration of NR and PT reduced oxidative stress in advanced COPD

We observed an increase in the gene expression for NOX2 in the smoke (12wks) group in comparison to the air group (P<0.0001), which was significantly reduced in the smoke NRPT (12wks) treatment group (P<0.001) as well as the smoke (8wks) rest (4wks) (P<0.01) with respect to the smoke (12wks) group (Figure 4.12A). In contrast, the gene expression of HO1 was reduced in the groups of smoke (12wks) (P<0.0001), smoke NRPT (12wks) (P<0.0001), and smoke (8wks) NRPT (4wks) (P<0.001) in comparison to the air group. The gene expression of HO1 was increased in the smoke (8wks) rest (4wks) as compared to the smoke (12wks) group (P<0.01) and was reduced in the smoke (8wks) NRPT (4wks) with respect to the smoke (8wks) rest (4wks) (Figure 4.12B).

Additionally, we observed an increase in the MFI for 4-HNE via IF in the smoke (12wks) and smoke (8wks) rest (4wks) group in comparison to the air group (P<0.0001) which was reduced in the treatment groups of smoke NRPT (12wks) with respect to the smoke (12wks) group (P<0.0001) as well as smoke (8wks) NRPT (4wks) group in comparison to the smoke (8wks) rest (4wks) (P<0.0001) group. Images below represent 4-HNE (green) co-stained with F4/80 (red) and DAPI (Figure 4.12C).





Figure 4.12: Administration of NR and PT reduces oxidative stress in advanced COPD:

Gene expression of (A) NOX2 and (B) HO1, (C) Graphical representation of MFI in arbitrary units (A.U.) of reduced 4-HNE levels in smoke NR and/or PT in comparison to smoke group (P<0.0001), images show fluorescence localisation of F4/80 (red), 4-HNE (green), DAPI-nuclei stain (blue); Data represented are means ± SEM of 8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.3.11 Administration of NR and PT reduced inflammation in advanced COPD

Mice exposed to CS for 12 weeks in the smoke (12wks) group displayed an increase in immune cell infiltration (P<0.0001) (Figure 4.13A) comprising macrophages (P<0.001) (Figure 4.13B) and neutrophils (P<0.0001) (Figure 4.13C) in the BALF in comparison to the air group. We observed a reduction in total cell counts (P<0.0001), macrophages (P<0.05) and neutrophils (P<0.0001) in the smoke (12wks) NRPT (4wks) group as compared to the smoke (12wks) group. In addition, the gene expression of TNF $\alpha$  (Figure 4.13D) and CXCL1 (Figure 4.13E) identified a significant increase in the smoke (12wks) group as well as the smoke (12wks) NRPT (4wks) group in comparison to the air group (P<0.0001).

The body weight of all the experimental groups was monitored daily as mentioned previously in 4.2.3. There was a significant weight loss observed in the smoke (12wks) group and smoke (12wks) NRPT (4wks) in comparison to the air group (P<0.0001) (Figure 4.13F).





Figure 4.13: Administration of NR and PT restored inflammation in COPD:

(A) Cellular infiltrates in the lung parenchyma, (B) Macrophages, (C) Neutrophils; Gene expression for (D) TNF $\alpha$  and (E) CXCL1; (F) Changes in body weight. Data represented are means ± SEM of 8 mice/group, \* *P*<0.05, \*\* *P*<0.01, \*\*\* *P*<0.001, \*\*\*\* *P*<0.0001.

## 4.3.12 Administration of NR and PT attenuated COPD features in advanced disease stages

Lung sections from the 12 weeks advanced COPD model treated with NR and PT was used for the measurement of collagen deposition as previously described. Chronic exposure to CS resulted in increased collagen deposition around the basement membrane of small airways in the smoke (12wks) group in comparison to the air group (P<0.0001). Further, there was a significant reduction in the collagen deposition in the treatment group of smoke (12wks) NRPT (4wks) with respect to the smoke (12wks) group (P<0.01) (Figure 4.14A).

To measure emphysema, we performed MLI counts in the lung sections obtained from a 12-week advanced COPD study. We observed an increase in the alveolar diameter in the

smoke (12wks) group (P<0.0001) and smoke (12wks) NRPT (4wks) (P<0.001) in comparison to the air group (P<0.0001). This was significantly reduced in the smoke (12wks) NRPT (4wks) group as compared to the smoke (12wks) group (P<0.0001) (Figure 4.14B).

Furthermore, lung function parameter changes were measured using FlexiVent as mentioned previously. An increase in IC was observed in the smoke (12wks) group in comparison to the air group (P<0.01) and was reduced in the smoke (12wks) NRPT (4wks) group with respect to the smoke (12wks) (P<0.05) (Figure 4.14C). We did not observe any change in the area across all the experimental groups in this study (Figure 4.14D). However, we observed an increase in the TLC in the smoke (12wks) group as compared to the air group (P<0.01), and a reduction in the smoke (12wks) NRPT (4wks) group in comparison to the smoke (12wks) group (P<0.05) (Figure 4.14E). Additionally, lung compliance curves were analysed for the smoke (12wks) group, the air group and the smoke (12wks) NRPT (4wks) (Figure 4.14F).





Figure 4.14: Administration of NR and PT restored COPD features in advanced disease stages:

(A) Collagen deposition around airways, (B) Alveolar destruction; (C) IC, (D) Area, (E) TLC, (F) Lung compliance curves. Data represented are means  $\pm$  SEM of 8 mice/group, \* *P*<0.05, \*\* *P*<0.01, \*\*\* *P*<0.001, \*\*\*\* *P*<0.0001.

### 4.3.13 Administration of NR and PT restored NAD<sup>+</sup> levels in COPD

Total NAD<sup>+</sup> and NADH levels were assessed in lung homogenates of a 12-week advanced COPD study. There was a significant reduction of total NAD<sup>+</sup> levels in the smoke (12wks) (P<0.0001) and smoke (12wks) NRPT (4wks) (P<0.001) in comparison to the air group (Figure 4.14A). Total NAD<sup>+</sup> levels were further increased in the smoke (12wks) NRPT (4wks) group in comparison to the smoke (12wks) group (P<0.05). NADH levels were increased in the smoke (12wks) group (P<0.0001), and were significantly reduced in the smoke (12wks) NRPT (4wks) as compared to the smoke (12wks) group (P<0.0001) (Figure 4.14B). Further, the ratio of total NAD<sup>+</sup>/NADH was reduced in the smoke (12wks) group (P<0.0001) and the smoke (12wks) NRPT (4wks) (P<0.01) in comparison to the air group, which was increased in the treatment group of smoke (12wks) NRPT (4wks) with respect to the smoke (12wks) group (P<0.0001) (Figure 4.14C).



Figure 4.15: Administration of NR and PT restored NAD+ homeostasis in advanced COPD:

(A) total NAD<sup>+</sup> level in mouse lung homogenates, (B) NADH level in mouse lung homogenates, (C) ratio of NAD<sup>+</sup>/NADH. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

## 4.3.14 Administration of NR and PT regulated PARP and CD38 in advanced COPD

We did not observe any change in the PARP1 gene expression (Figure 4.16A) in the smoke (12wks) group with respect to the air group, however, we observed a reduction in the gene expression of PARP1 in the smoke (12wks) NRPT (4wks) in comparison to the smoke (12wks) group (P<0.05). We also measured the protein levels of PARP1 and observed a decrease in both smoke (12wks) group (P<0.001) as well as the smoke (12wks) NRPT (4wks) group (P<0.01) with respect to the air group (Figure 4.16B). Further, an increase in the PARP activity was observed in the smoke (12wks) group as compared to

the air group (P<0.001) which was reduced in the smoke (12wks) NRPT (4wks) in comparison to the smoke (12wks) group (P<0.05) (Figure 4.16C).

Additionally, we observed a reduction in CD38 protein expression in the smoke (12wks) group in comparison to the air group (P<0.05) which was significantly upregulated in the smoke (12wks) NRPT (4wks) group as compared to the smoke (12wks) group (P<0.05) (Figure 4.16D).



Figure 4.16: Administration of NR and PT regulated PARP and CD38 levels in COPD

(A) Gene expression of PARP1, (B) Protein expression level of PARP1, (C) PARP activity levels in lung homogenates, (D) Protein expression level of CD38. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 4.3.15 Administration of NR and PT regulated SIRTs in COPD

Gene expression of SIRT1 showed an increase in the smoke (12wks) NRPT (4wks) group in comparison to the air group (P<0.01) (Figure 4.17A). Further, the gene expression of SIRT3 was reduced in the smoke (12wks) NRPT (4wks) group in comparison to both the air group (P<0.001) and smoke (12wks) group (P<0.01) (Figure (4.17C). We also observed a reduction in the gene expression of SIRT4 in smoke (12wks) NRPT (4wks) in comparison to the air group (P<0.05) (Figure 4.17D). In contrast, we did not observe any change in the gene expression of SIRT2 (Figure 4.17B), SIRT5 (Figure 4.17E), SIRT6 (Figure 4.17F) and SIRT7 (Figure 4.17G) across the different experiments groups in the 12-week smoke model-2.

In contrast to the gene expression, we observed a reduction in the protein expression of all SIRTs examined (SIRT1-7) in the smoke (12wks) group as compared to the air group (P<0.05) (Figure 4.17H-M). We further observed a reduced protein expression of SIRT3 (Figure 4.17J), SIRT5 (Figure 4.17K) and SIRT6 (Figure 4.17L) in the smoke (12wks) NRPT (4wks) (P<0.05) with respect to the air group. Additionally, we observed an increased protein expression of SIRT7 (Figure 4.17M) in the smoke (12wks) NRPT (4wks) as compared to the smoke (12wks) group (P<0.05).





Figure 4.17: Administration of NR and PT regulates SIRTs in advanced COPD studies:

(A) gene expression of SIRT1, (B) protein levels SIRT1, (C) gene expression of SIRT2, (D) protein levels SIRT2, (E) gene expression of SIRT3, (F) protein levels SIRT3, (G) gene expression of SIRT4, (H) gene expression of SIRT5, (I) protein levels SIRT5, (J) gene expression of SIRT6, (K) protein levels SIRT6, (L) Gene expression of SIRT7, (M) protein levels SIRT7. Data represented are means  $\pm$  SEM of 5 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.3.16 Administration of NR and PT restored mitochondrial structure in advanced COPD

We observed an increase in mitochondrial density/numbers in the smoke (12wks) group (Figure 4.18B) as compared to the air group (P<0.0001) (Figure 4.18A). However, we

did not observe any difference in the mitochondrial density in the smoke (12wks) NRPT (4wks) (Figure 4.18C) in comparison to either smoke (12wks) group or the air group. Further, we observed a significant increase in mitochondrial area in the smoke (12wks) group (Figure 4.18E) in comparison to the air group (Figure 4.18D) (P<0.001) which was reduced in the smoke (12wks) NRPT (4wks) group (Figure 4.18F) with respect to the smoke (12wks) group (P<0.0001).



Figure 4.18: Administration of NR and PT restored mitochondrial structure in advanced COPD:

Mitochondrial density (A) Air (B) Smoke (12wks), (C) Smoke (12wks) NRPT (4wks); Mitochondrial area (D) Air (E) Smoke (12wks), (F) Smoke (12wks) NRPT (4wks). Data represented are means  $\pm$  SEM of 5 mice/group, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001, \*\*\*\*P < 0.0001.

# 4.3.17 Administration of NR and PT preserved mitochondrial cristae structure in advanced COPD

We measured cristae density (Figure 4.19D), cristae volume (Figure 4.19E) and cristae area (Figure 4.19F) in all the experimental groups from our 12-week smoke model-2. We observed a reduction in the cristae density and cristae volume (P<0.05) in the smoke (12wks) group (Figure 4.19B) in comparison to the air group (Figure 4.19A).

Both the cristae density (P<0.01) and cristae area (P<0.001) were increased in the treatment group of smoke (12wks) NRPT (4wks) (Figure 4.19C) with respect to the smoke (12wks) group. In contrast, we did not observe any significant difference in the cristae volume across all the experimental groups in the 12-week smoke model-2.



Air

Smoke (12wks)

Smoke (12wks) NRPT (4wks)



Figure 4.19: Administration of NR and PT restored mitochondrial cristae structure in advanced COPD:

Representative cristae structure images (A) Air (B) Smoke (12wks), (C) Smoke (12wks) NRPT (4wks); Graphical representation of (D) cristae structure, (E) cristae volume, (F) cristae volume. Data represented are means  $\pm$  SEM of 5 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.3.18 Administration of NR and PT restored mitochondrial function in advanced COPD

We measured the protein expression level of complex I-NDUFB8 (Figure 4.20A), complex II-SDHB (Figure 4.20B), complex III-UQCRC2 (Figure 4.20C), complex IV-MTCO1 (Figure 4.20D), and complex V-ATP5A (Figure 4.20E) using the lung samples from 12-week smoke model-2. A reduction was observed in the protein levels of complex I, II, III, IV and V both in the smoke (12wks) group in comparison to the air group (P<0.05) and was further increased in the treatment group of smoke (12wks) NRPT (4wks) as compared to the smoke (12wks) group (P<0.05).

Furthermore, we measured mitochondrial respiratory chain enzymatic activities in lung homogenates for complex I (Figure 4.20F), complex IV (Figure 4.20G), citrate synthase (Figure 4.20H) and  $\beta$ -HAD (Figure 4.20I). We observed a reduction in the activity of complex I, IV, citrate synthase, and  $\beta$ -HAD in the smoke (12wks) group as well as the smoke (8wks) rest (4wks) group in comparison to the air group (P<0.05). We observed an increase in the activity of complex I, IV and citrate synthase in the treatment group of smoke (12wks) NRPT (4wks) in comparison to the smoke (12wks) group (P<0.05), however, there was no change in the  $\beta$ -HAD activity in the smoke (12wks) NRPT (4wks) group and remained reduced with respect to the air group (P<0.05).





Figure 4.20: Administration of NR and PT promoted mitochondrial function in advanced COPD:

Mitochondrial respiratory chain enzymatic activity of (A) Complex I, (B) Complex II, (C) Complex III, (D) Complex IV, (E) Complex I+III, (F) Complex II+III, (G) Citrate synthase (H)  $\beta$ -HAD; Protein expression of (I) Complex I-NDUFB8, (J) Complex II-SDHB, (K) Complex III-UQCRC2, (L) Complex IV-MTCO1, (M) Complex V-ATP5A; Data represented are means  $\pm$  SEM of 5 mice/group, \*P < 0.05, \*\*P < 0.01, \*\*\*P < 0.001.

# 4.3.19 Administration of NR and PT restored mitochondrial membrane potential in advanced COPD

ΔΨm was determined with JC1 staining on lung sections as mentioned previously. The MFI of J-aggregates were reduced in the smoke (12wks) group in comparison to the air group (P<0.05) and was increased in the smoke (12wks) NRPT (4wks) group with respect to the smoke (12wks) group (P<0.001) (Figure 4.21A). Further, J-monomers increased in the smoke (12wks) group (P<0.001) as well as smoke (12wks) NRPT (4wks) (P<0.001) with respect to the air group. This was significantly reduced in the smoke (12wks) NRPT (4wks) NRPT (4wks) group in comparison to the smoke (12wks) group (P<0.001) (Figure 4.21B). Moreover, the ΔΨm was reduced significantly in the smoke (12wks) in comparison to the air group (P<0.0001) which was increased in the smoke (12wks) NRPT (4wks) group with respect to the smoke (12wks) group (P<0.0001) (Figure 4.21B).







Figure 4.21: Administration of NR and PT restored mitochondria membrane potential in advanced COPD:

Measure of mitochondrial membrane potential (A) J-aggregates, (B) Reduced J-monomers (P<0.05), and (C) increased in the  $\Delta\Psi$ m in smoke NR and PT group as compared to the smoke group (P<0.05). Data represented are means ± SEM of 8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.3.20 Administration of NR and PT reduced oxidative stress in advanced COPD

We observed an increase in the gene expression of NOX2 in the smoke (12wks) group as well as the smoke (12wks) NRPT (4wks) group in comparison to the air group (P<0.0001) (Figure 4.22A). In addition, the gene expression for HO1 was reduced in the smoke (12wks) NRPT (4wks) group with respect to the air group (P<0.01) (Figure 4.22B). Additionally, we observed an increase in the MFI for 4-HNE via IF in the smoke (12wks) group in comparison to the air group (P<0.0001) which was reduced in the treatment groups of smoke (12wks) NRPT (4wks) with respect to the smoke (12wks) group (P<0.0001). Images below represent 4-HNE (FITC) co-stained with F4/80 (Texas red) and DAPI (Figure 4.22C).





Figure 4.22: Administration of NR and PT reduces oxidative stress in COPD:

Gene expression of (A) NOX2 and (B) HO1, (C) Graphical representation of MFI in arbitrary units (A.U.) of reduced 4-HNE levels in smoke NR and/or PT in comparison to smoke group (P<0.0001), images show fluorescence localisation of F4/80 (red), 4-HNE (green), DAPI-nuclei stain (blue); Data represented are means ± SEM of 8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 4.4 Discussion

The objective of the current study was to delineate the therapeutic effects of NR and PT in delaying the progression of COPD, as well as reversing COPD upon cessation of smoking in combination with NR and PT administration. Our previous study (Chapter 3) demonstrated improvements in COPD features in an 8-week prophylactic study following treatment with NR and/or PT. which is reflective of the early stages of the disease. Consequently, we aimed to investigate the therapeutic benefits of NR and PT in the advanced stages of the disease using a 12-week prophylactic COPD model, whereby NR and PT were administered throughout the whole 12 weeks of CS exposure. Simultaneously, we also used a therapeutic COPD model whereby NR and PT were administered in a completely developed disease state with and without cessation of smoke.

Our findings from this study showed increased airway inflammation in a 12-week CSinduced COPD murine model which was characterised by an increased number of cellular infiltrates in the lung. We observed a significant reduction of cellular infiltration upon the administration of NR and PT in all the different treatment conditions (Figures 4.3 & 4.13). Furthermore, we observed an increase in the gene expression of TNF $\alpha$  and CXCL1 during the advanced stages of the disease as reflected in our 12-week smoke exposure. A reduced gene expression for both TNF $\alpha$  and CXCL1 was observed in all the different treatment groups of NR and PT with respect to the smoke-exposed groups. Together, these results validated the anti-inflammatory response of NR and PT in reducing airway inflammation in COPD which was previously demonstrated in chapter 3. Corticosteroids have been commonly used as anti-inflammatories in COPD (359), however, they are limited in their efficacy during the early stages of the disease (360) with the cessation of smoke (361), and fail to protect from inflammation during the advanced stages of the disease (362). Our data suggested that NR and PT reduced airway inflammation in advanced stages of COPD with or without cessation of smoke. NR and PT have been known to ameliorate TNFα levels *in vitro* ALS (amyotrophic lateral sclerosis) model (363) but currently, there is no such evidence in COPD. Henceforth, it is interesting that the administration of dietary modulators NR and PT reduced airway inflammation during the advanced stages of COPD.

In addition, we validated COPD features such as collagen deposition leading to airway remodelling, alveolar destruction or emphysema, and lung function changes in this study. We observed an increase in collagen deposition and emphysema upon chronic CS exposure for both 8 and 12 weeks which did not reduce upon cessation of smoke in the smoke (8wks) rest (4wks) group. This was not surprising as it has been known that the cessation of smoke may terminate the progression of structural damages in COPD, however, does not revert the existing damages in the lung (364, 365). Interestingly, both features of airway remodelling and emphysema were reduced in all the treatment groups of smoke NRPT (12wks), smoke (8wks) NRPT (4wks), and smoke (12wks) NRPT (4wks) (Figure 4.4 & 4.14). Furthermore, we observed an increase in the lung function parameters of IC, area, and TLC in both the smoke (12wks) group as well as smoke (8wks) rest (4wks) reflecting the impaired lung function in COPD. Interestingly, we observed a reduction in the parameters of IC and area in the smoke (8wks) NRPT (4wks) in comparison to the smoke (8wks) rest (4wks), which reflected that administration of NR and PT along with the cessation of smoke is protective of impaired lung function in COPD. Additionally, we observed a reduction in IC and TLC in the smoke (12wks) NRPT (4wks) in comparison to the smoke (12wks) group indicating that treatment with NR and PT even without the cessation of smoke restored impairment of lung function in COPD. However, lung function parameters did not improve in the smoke NRPT (12wks), this was an interesting observation and may reflect a limitation due to the prolonged administration of NR and PT in COPD. Collectively, these results suggested that 4 weeks of administration of NR and PT is beneficial in reducing and reversing COPD features during advanced disease stages with or without cessation of smoke. This is surprising as until recently, cessation of smoke was the only known intervention in delaying the progression of the disease (366-368) but did not protect from lung function impairment (369-371). Recent studies have also shown that smoke cessation does not improve bronchoconstriction and spirometry in COPD patients (372, 373). Our data demonstrated that administration of NR and PT with or without cessation of smoke reversed damage in the lung structure and protected from impaired lung function during advanced COPD stages.

Previously in chapter 3, we demonstrated that the constant cycle of damage and repair during inflammation in COPD is dependent on the balance of NAD<sup>+</sup> and NADH. Chronic CS exposure resulted in NAD<sup>+</sup> depletion and a reduction in the ratio of NAD<sup>+</sup>/NADH in the smoke (12wks) groups as well as in smoke (8wks) rest (4wks) (Figure 4.5). Moreover, we observed an improvement in the ratio of NAD<sup>+</sup>/NADH due to the cessation of smoke in the smoke (8wks) rest (4wks) group and was further improved when subjected to NRPT treatment in the smoke (8wks) NRPT (4wks) as well as the in the smoke (12wks) NRPT (4wks) group (Figure 4.15). However, prolonged administration of NR and PT for 12 weeks did not show an increase in the NAD<sup>+</sup>/NADH balance in COPD. These results further suggested limited benefits of NR and PT over prolonged administration as previously also observed in the measure of lung function parameters. In addition, these findings also suggested that the 4 weeks administration of NR and PT with or without cessation of smoke is beneficial in protecting and restoring the NAD<sup>+</sup>/NADH balance in COPD. This could be a potential adverse effect of high levels of NR and PT, as currently there is a lack of understanding of the possible disadvantages of prolonged use of NR and PT and future studies will be required to understand the underlying mechanisms contributing to these effects.

The bioavailability of NAD<sup>+</sup> is important for the regulation of NAD consumers such as PARPs, CD38, and SIRTs. We have previously shown the hyperactivity of PARPs in our 8-week experimental COPD studies as a contributing factor in the depletion of NAD<sup>+</sup> during the progression of the disease in chapter 3. To substantiate our previous findings, we investigated the role of PARPs in 12-week COPD studies (Figure 4.6 & 4.16); the gene expression of PARP1 remained unchanged in all our experimental groups except for the smoke (12wks) NRPT (4wks) group which was reduced and is also reflected in the protein expression of PARP1. However, we observed an upregulation of PARP1 protein in the smoke (8wks) rest (4wks) group which was reduced upon the treatment in the smoke (8wks) NRPT (4wks) group. However, the gene and protein expression of PARP1 was inconclusive and hence we measured total PARP activity. We observed an increase in the PARP activity in smoke (12wks) which was further reduced in the smoke (8wks) rest (4wks) as well as the treatment groups of smoke (8wks) NRPT (4wks) and smoke (12wks) NRPT (4wks) but remained unchanged in the smoke NRPT (12wks). Taken together, these results suggested that there is an increased activity of PARPs in COPD which is reduced with the cessation of smoke, as well as in the short-term treatment of NRPT with or without cessation of smoke. PARPs are known to play an important role in regulating immune responses and cell survival (374, 375). As such, the hyperactivity of PARPs in COPD is likely due to increased DNA methylation and histone acetylation (376-378). Additionally, recent research evidence suggests that the inhibition of PARPs represents a promising therapeutic intervention for lung inflammatory diseases including acute lung injury (ALI), asthma, and COPD as well as cancer (329, 379).

Furthermore, we measured CD38 protein levels (Figures 4.6 & 4.16) and observed an upregulation in the smoke (12wks) and smoke(8wks) rest (4wks) which was reduced in all the NR and PT treatment groups. CD38 is highly expressed in macrophages resulting in increased NAD<sup>+</sup> consumption during inflammation (380). This further supports our findings suggesting the administration of NR and PT reduces inflammation which leads to the downregulation of CD38. However, future studies will be required to validate the CD38 enzyme activity levels in our study.

Moreover, we determined the role of SIRTs in our study as the third major NAD<sup>+</sup>dependent metabolic regulator of cellular stress (Figure 4.7 & 4.17). SIRT1 and SIRT7 are expressed in the nucleus and have been observed to downregulate in our smoke (12wks) group, in contrast, we observed an upregulation of SIRT1 and SIRT7 in the smoke (8wks) rest (4wks) group. Studies have previously shown that chronic exposure to smoke leads to damage in the nucleus causing apoptotic changes (381) which is potentially the cause of decreased nucleus-expressed SIRT1 and SIRT7. On the other hand, we observed an increase in the SIRT1, and SIRT7 upon administration of NR and PT both in the smoke NRPT (12wks) as well as smoke (12wks) NRPT (4wks), suggesting the important role of NR and PT in protecting the lung from damage caused during chronic exposure to CS. We also observed a decrease in the SIRT1 and 7 in the smoke (8wks) rest (4wks) which suggested a reduced immune response due to the cessation of smoke. This trend of expression is also followed by SIRT2 which is largely cytosolic but is capable of locating in the nucleus during cell division (mitosis) (382). Another nuclearexpressed member of this family is SIRT6 (383), in contrast to the above, we observed a downregulation in SIRT6 in both smoke (12wks) as well as smoke (8wks) rest (4wks), which did not improve with NR and PT administration in the smoke NRPT (12wks) and smoke (12wks) NRPT (4wks) but increased in the smoke (8wks) NRPT (4wks),

suggesting the anti-inflammatory roles of SIRT6 in our study. Furthermore, SIRT3, 4, and 5 are mitochondria-expressed members of this family (384), and we observed no change or decrease in their expression in all our smoke-exposed groups. SIRT3, 4 and 5 are involved in metabolic pathways in mitochondria and regulate enzymatic activities promoting ATP production and consumption (385-387). Hence no change or reduced expression of SIRT3, 4 and 5 is unsurprising as chronic exposure to CS potentially leads to increased ATP consumption. Taken together, these results suggest that an increase in PARPs in COPD, leads to a depletion in the NAD<sup>+</sup> pool, reducing the protective effects of SIRTs and CD38. The administration of NR and PT increases the availability of NAD<sup>+</sup>, which leads to an increase in cellular defence mechanisms via increased SIRTs and CD38. Additionally, cessation of smoke promoted the protective role of PARPs, SIRTs and CD38 which was further improved with NR and PT treatment showing increased recovery in COPD features.

Previously, in our 8-week COPD study in chapter 3, we have shown that chronic exposure to CS leads to damage in the mitochondrial ultra-structure which was protected in our treatment groups of NR and/or PT. We further validated these changes in mitochondrial ultra-structure in our current study and observed a similar outcome, whereby chronic CS exposure induced damage in mitochondrial structure (Figures 4.8 & 4.18), and reduced cristae density, area and volume (Figures 4.9 & 4.19). Additionally, we also observed an increase in mitochondrial area or swelling in the smoke (12wks) group which is an indication of damage and a potential compensation during oxidative stress. Interestingly, our treatment groups of smoke NRPT (12wks), smoke (8wks) NRPT (4wks) and smoke (12wks) NRPT (4wks) protected mitochondrial ultrastructure from acquiring damage induced due to chronic CS exposure via exhibiting reduced mitochondrial area, increased cristae density, area and volume. This is interesting, as mitochondrial dysfunction associated with COPD is largely contributed by oxstress (175, 388), production of ROS (389, 390), autophagy (347, 391) and apoptosis (392, 393) which leads to the structural as well functional deterioration of the mitochondria. Administration of NR and PT could be of potential benefit in the restoration of mitochondrial structure and function in COPD. Furthermore, recent evidence suggested that reduced mitochondrial function has been observed in COPD patients (336, 394, 395). This is also reflected in our previous 8-week COPD studies, and in our current 12-week study where we observed reduced mitochondrial complex proteins as well as reduced complex activity in the smoke (12wks) group. In addition, the mitochondrial complex protein levels did not increase after the cessation of smoke in the smoke (8wks) rest (4wks) group, suggesting reduced mitochondrial function. Administration of NR and PT in all our groups of smoke NRPT (12wks), smoke (12wks) NRPT (4wks) and smoke (8wks) NRPT (4wks) increased both the activity levels as well as the total protein levels of mitochondrial complex proteins (Figure 5.10 & 5.20). Previous studies have shown PT in combination with various mitochondrial cofactors has the potential to improve mitochondrial function in vitro (396). NR can boost NAD<sup>+</sup> levels which improves mitochondrial function (263). This evidence further supported our findings of increased mitochondrial function with NR and PT treatment in COPD. To further validate mitochondrial health, we measured mitochondrial membrane potential. We observed a reduced membrane potential in the smoke (12wks) group which was improved with the cessation of smoke in the smoke (8wks) rest (4wks) and was further increased with the NR and PT treatments in all the groups of smoke NRPT (12wks), smoke (8wks) NRPT (4wks), and smoke (12wks) NRPT (4wks) (Figure 4.11 & 4.21). These findings further validate the efficacy of NR and PT in promoting mitochondrial function. This is interesting, as there are currently no known treatments for COPD which is capable of protecting the mitochondrial structure and promoting mitochondrial function.

Oxstress has been associated with COPD as the driver of inflammation (312, 397, 398) and we have previously shown that NR and PT reduced oxidative stress in our 8-week COPD studies. To further validate this, we measured NOX2, HO1 and 4-HNE in our 12-week study (Figure 4.12 & 4.22). We observed an increase in oxidative stress following chronic CS exposure which was reflected in our smoke (12wks) group and interestingly was not reduced with the cessation of smoke in the smoke (8wks) rest (4wks) group. However, the administration of NR and PT in all the different treatment groups exhibited a reduction in oxidative stress which is supportive of our previous findings of increased mitochondrial function.

In conclusion, this study has demonstrated the therapeutic efficacy of NR and PT in the advanced stages of COPD both in halting the disease progression as well as reversing the disease features. Using our 12-week prophylactic COPD study with NR and PT, we have highlighted the benefits of reducing airway inflammation, and improvements in lung structure. Additionally, we have shown a reduction in oxidative stress which further improved the mitochondrial structure and function. However, prophylactic treatment with NR and PT for 12 weeks did not show improvements in impaired lung function as well as failed to increase total NAD<sup>+</sup> levels. As a consequence, we did not observe a reduction in PARP hyperactivity levels or an increase in SIRTs, suggesting that prolonged treatment with NR and PT might potentially have adverse effects on the progression of the disease. Furthermore, using our 12-week therapeutic COPD study with NR and PT with or without cessation of smoke, we have demonstrated that only 4 weeks of treatment reduced all the COPD features. In addition, we observed increased availability of NAD<sup>+</sup> to SIRTs and CD38, which further reduced PARP activity levels in 4 weeks of treatment using NR and

PT. This further improved the structure and function of mitochondria and reduced oxidative stress, suggesting prospective therapeutic interventions that may promote COPD resolution.

We have also exhibited the benefit of cessation of smoke in reducing airway inflammation and oxidative stress as well as increasing citrate synthase activity and mitochondria membrane potential. However, damage to the lung structure and impaired lung function persisted with the cessation of smoke. In addition, we did not observe mitochondrial structure and function with cessation of smoke, suggesting that additional treatments are required upon smoke cessation to reverse the damage in COPD. Our findings with NR and PT treatment will be further validated in future studies using human COPD clinical specimens such as broncho epithelial cells as well as macrophages obtained from bronchoalveolar lavage of COPD patients prior to implementation in pre-clinical human trials.
# Chapter 5. Therapeutic targeting of NAD<sup>+</sup> modulation in COPD using overexpressed NMNAT1 and NMNAT3 *in vivo*

# 5.1 Nicotinamide mononucleotide adenyl transferases (NMNATs) isoforms

NAD<sup>+</sup> metabolism encompasses various cellular energetic pathways involved in inflammation and metabolism that are essential for cell survival (399, 400). NAD<sup>+</sup> is tightly regulated by various enzymes such as NMNATs (401), NAM (400, 402), and NAMPT (403) which are involved in the NAD<sup>+</sup> biosynthesis and consumption pathways (225, 404). The NAD<sup>+</sup> biosynthesis pathway initiates with the uptake of the essential amino acid L-tryptophan from dietary sources following the *de novo* biosynthesis pathway (405, 406). Alternatively, NAM and NR, also obtained from dietary sources can synthesise NAD<sup>+</sup> via the salvage and the Preiss-Handlers pathway (406, 407). NMNATs are essential enzymes involved in catalysing the key reaction in the conversion of NMN to NAD<sup>+</sup> (401, 408, 409). NMNATs have three different isoforms with distinct molecular and catalytic properties (410, 411) (Figure 5.1). Each isoform is essential for cell survival and plays a critical role in modulating NAD<sup>+</sup> levels in a compartment-specific manner (412-414). NMNAT1 is localised to the nucleus (415, 416), NMNAT2 in the cytosol (417, 418) and NMNAT3 is localised to the mitochondria (419, 420) (Figure 5.1). Additionally, each NMNAT isoform is responsible for supplying NAD<sup>+</sup> to specific NAD<sup>+</sup>-dependent enzymes such as PARPs (421), SIRTs (422, 423), and/or CD38 (422, 424), thus regulating their activity with an impact on several biological processes such as DNA repair (425), proteostasis (426), cellular differentiation (425) as well as neuronal maintenance (427). Importantly, NMNATs can replenish NAD<sup>+</sup> levels during the inflammatory activation of immune cells, thereby reducing inflammation. Studies have

shown that deletion of individual genes for NMNAT1-3 in mice is neonatal lethal (428-430), highlighting that the three isoforms are unable to compensate for each other (430, 431). To date, there is limited evidence available on NAD<sup>+</sup> biosynthesis for each NMNAT isoform. However, recent studies have largely focused on targeting NMNAT activity to understand the pathogenesis of various chronic diseases (432) such as cancer (408), diabetes (433) as well as aging (434).



Figure 5.1: NMNAT isoforms

The schematic diagram shows the subcellular compartmentalisation of the three different isoforms of NMNAT highlighting their functional interaction with NAD<sup>+</sup>-consuming enzymes. NMNAT1 is localised in the nucleus and provides NAD<sup>+</sup> to PARP1, SIRT1, SIRT6 and SIRT7. NMNAT2 is in the cytosol and functionally interacts with PARP6, SIRT2 and CD38. NMNAT3 is localised in the mitochondria and provides NAD<sup>+</sup> to SIRT3, SIRT4 and SIRT5.

#### 5.1.1 Generation of transgenic NMNAT1 and NMNAT3 overexpressed mice

NMNAT1 and NMNAT3 overexpressing transgenic mouse lines were obtained from Professor Nigel Turner (University of New South Wales, Sydney, Australia). The generation of NMNAT1 and NMNAT3 transgenic mice was previously described by Naoki *et.al* 2009 (435).

# 5.1.2 Study rationale

In the previous study (Chapter 3), we demonstrated that chronic exposure to CS for 8 weeks leads to an imbalance in NAD<sup>+</sup>/NADH levels. This imbalance in NAD<sup>+</sup> level is likely induced by the overactivation of PARPs and reduction in SIRTs. As a consequence, there is an increase in immune cell infiltration in the lung causing further damage to the lung structure and function in our CS-induced COPD mouse model.

The dietary modulators NR and PT in the CS-induced COPD murine model reduced airway inflammation, leading to improvements in lung structure and function in the prophylactic COPD study. Additionally, we have also demonstrated the therapeutic efficacy of NR and PT in the advanced stages of COPD both in halting the disease progression as well as reversing the disease features (Chapter 4). Currently, there is no evidence of the mechanisms involved in the restoration of NAD<sup>+</sup> imbalances in the COPD model following NR and PT administration. As NMNATs are involved in the final catalysis of NMN to NAD<sup>+</sup>, therefore, we investigated the benefits of NMNAT1 and NMNAT3 in COPD using transgenically overexpressed mice in comparison to the treatment with NR and PT. Critically, we also investigated if the administration of NR and PT in overexpressed NMNAT1 and NMNAT3 mice had additional benefits from the development of COPD.

# 5.2 Methodology

#### 5.2.1 Experimental COPD model

Female NMNAT1 and NMNAT3 overexpressing mice along with WT littermate controls, aged 6-8 weeks were provided by Prof Nigel Turner at the University of New South Wales (UNSW), Australia. Mice were maintained at  $20 \pm 2^{\circ}$ C on a 12:12 hours day-night cycle and fed a standard sterile diet of mouse chow with water allowed *ad libitum* during an acclimatisation period of 7 days before experimental procedures as previously described (Section 2.2.1).

#### 5.2.2 Experimental procedure

Following our previously mentioned protocol, mice were subjected to CS/Air exposure from up to 12 research-grade 3R4F cigarettes (University of Kentucky) twice a day, five days a week for 8 weeks using a custom-designed nose-only inhalation system as mentioned previously described (Section 2.2.2)(146).

# 5.2.3 Experimental designs

This current study involved two 8-week smoke models using NMNAT1 and NMNAT3 overexpressing mice, subjected to an administration of 400 mg/kg of NR in drinking water, and 80 mg/kg of PT in mushy chow provided *ad libitum* followed by smoke exposure as described in 5.2.2 (Figure 5.2A & B).



Figure 5.2: Experimental design of NMNAT1/3 overexpressed mice:

Mice were subjected to nose-only CS exposure to 12 cigarettes, twice a day, five days a week and were treated with 400 mg/kg of NR added to drinking water and 80 mg/kg of PT added to mushy chow for (A) WT/ NMNAT1 8-week COPD study and (B) WT/ NMNAT3 8-week experimental COPD study.

# 5.2.4 Experimental groups

The different experimental groups in the WT/NMNAT1 and WT/NMNAT3 8-week COPD study are listed below in Tables 5.1 and 5.2. All control groups for WT/NMNAT1 and WT/NMNAT3 air and smoke were fed with untreated water and standard chow. The treatment groups for both WT/NMNAT1 and WT/NMNAT3 air and smoke were subjected to 400 mg/kg of NR in drinking water and 80 mg/kg of PT in mushy chow in combination as mentioned below.

Experimental	Smoke exposure	Treatments
groups		
WT Air	-	-
WT Smoke	12 cigarettes, 2x/day, 5x/week for	-
	up to 8 weeks	
WT Smoke	12 cigarettes, 2x/day, 5x/week for	400 mg/kg of NR in drinking
NRPT	up to 8 weeks	water and 80 mg/kg of PT in
		mushy chow
NMNAT1 Air	-	-
NMNAT1	12 cigarettes, 2x/day, 5x/week for	-
Smoke	up to 8 weeks	
NMNAT1	12 cigarettes, 2x/day, 5x/week for	400 mg/kg of NR in drinking
Smoke NRPT	up to 8 weeks	water and 80 mg/kg of PT in
		mushy chow

Table 5.1: Experimental groups of the WT/NMNAT1 8-week COPD study

Experimental	Smoke exposure	Treatments
groups		
WT Air	-	-
WT Smoke	12 cigarettes, 2x/day, 5x/week for	-
	up to 8 weeks	
WT Smoke	12 cigarettes, 2x/day, 5x/week for	400 mg/kg of NR in
NRPT	up to 8 weeks	drinking water and 80
		mg/kg of PT in mushy chow
NMNAT3 Air	-	-
NMNAT3	12 cigarettes, 2x/day, 5x/week for	-
Smoke	up to 8 weeks	
NMNAT3	12 cigarettes, 2x/day, 5x/week for	400 mg/kg of NR in
Smoke NRPT	up to 8 weeks	drinking water and 80
		mg/kg of PT in mushy chow

 Table 5.2: Experimental groups of the WT/NMNAT3 8-week COPD study

# 5.3 Results

# 5.3.1 Administration of NR and PT in COPD promoted increased expression of NMNAT1 and NMNAT3

The gene expression of NMNAT1/2/3 was measured using lung RNA of WT BALB/C mice from previous studies of chapters 3 and 4. We observed a reduction in the gene expression of NMNAT1/2/3 in the smoke group (Figure 5.3A-C) in comparison to the air group (P<0.05). A significant increase in the gene expression of NMNAT1/2/3 in the smoke NRPT group was observed as compared to the smoke group (P<0.0001). The gene expression of NMNAT1 and NMNAT3 was reduced in the smoke (12wks) group in comparison to the air group (P<0.05) (Figure 5.3D-I). Further, we observed an increase in NMNAT1/3 gene expression in the smoke (8wks) rest (4wks) (P<0.001), smoke (8wks) NRPT (4wks) (P<0.001) and smoke (12wks) NRPT (4wks) (P<0.01) as compared to the smoke (12wks). Additionally, there was an increase in the gene expression of NMNAT3 in the smoke (12wks) in comparison to the smoke (12wks) group (P<0.05).





Figure 5.3: Administration of NR and PT increased the gene expression of NMNAT1 and NMNAT3:

The gene expression in 8-week COPD study with NR and/or PT (A) NMNAT1, (B) NMNAT2, (C) NMNAT3; The gene expression in 12-week advanced COPD study with NR and PT (D) NMNAT1, (E) NMNAT2, (F) NMNAT3; The gene expression in 12-week advanced COPD study without cessation of smoke (G) NMNAT1, (H) NMNAT2, (I)

*NMNAT3. Data represented are means* ± *SEM of 6-8 mice/group,* \* *P*<0.05*,* \*\* *P*<0.01*,* \*\*\*\* *P*<0.001*,* \*\*\*\* *P*<0.0001.

### 5.3.2 Overexpression of NMNAT1 reduced inflammation in COPD

There were an increase in the number of total leukocytes (Figure 5.4A), macrophages (Figure 5.4B) and neutrophils (Figure 5.4C) in the BAL from the WT smoke group as compared to the WT air group (P<0.0001). Further, there was a reduced number of total leukocytes, macrophages and neutrophils in the WT smoke NRPT group and NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001).

The body weight of all the experimental groups in the NMNAT1 study was monitored daily as mentioned previously in 5.2.3. There was a significant difference in weight observed between the WT air group and the WT smoke group as well as the NMNAT1 air group and the NMNAT1 smoke group (P<0.05) (Figure 5.4D).

Additionally, we observed an increase in the gene transcripts for TNF $\alpha$  (Figure 5.4D) in the WT smoke group in comparison to the WT air group (P<0.0001). A reduction in the expression of TNF $\alpha$  was observed in the WT smoke NRPT group and the NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001). However, we also observed an increase in the gene expression of TNF $\alpha$  in the NMNAT1 smoke group as compared to the NMNAT1 air group (P<0.001). Similarly, the gene expression of CXCL1 (Figure 5.4E) increased in the WT smoke group in comparison to the WT air group (P<0.0001). Further, a reduction in the gene expression of CXCL1 was observed in the WT smoke NRPT group as well as the NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001).



Figure 5.4: NMNAT1 overexpression reduced airway inflammation in COPD:

Graphical representation of (A) total cellular infiltrates in the BAL, (B) macrophages, (C) neutrophils; (D) Graphical representation of body weight; Gene expression of (E) 227 *TNFα and (F) CXCL1 in the lung. Data represented are means* ± *SEM of 6-8 mice/group,* \* *P*<0.05, \*\* *P*<0.01, \*\*\* *P*<0.001, \*\*\*\* *P*<0.0001.

# 5.3.3 Overexpression of NMNAT1 protected from airway remodelling and emphysema in COPD

We observed an increase in the thickness of collagen deposition in the WT smoke group in comparison to the WT air group (P<0.0001). Additionally, we observed a reduction in the collagen deposition in the WT smoke NRPT group and NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001) (Figure 5.5A).

We observed an increase in alveolar diameter with increased MLI counts for the WT smoke group in comparison to the WT air group (P<0.0001). The counts obtained from MLI analysis for the WT smoke NRPT group and the NMNAT1 smoke group were reduced in comparison to the WT smoke group (P<0.0001) (Figure 5.5B). Representative images of the alveolar diameter of each experimental group are displayed below in Figure 5.5B.









WT Smoke





NMNAT1 Air



NMNAT1 Smoke



NMNAT1 Smoke NRPT



Figure 5.5: NMNAT1 overexpression protected from airway remodelling and emphysema in COPD:

(A) Graphical representation of the mean area of collagen and representative images of airway remodelling (B) Graphical representation of MLI counts and representative images of emphysema. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\* P<0.0001.

## 5.3.4 Overexpression of NMNAT1 protects from impaired lung function in COPD

Lung function parameters were measured for NMNAT1 overexpressed mice as mentioned previously. An increase in IC (Figure 5.6A) was observed in the WT smoke group in comparison to the WT air group (P<0.05). Similarly, an increase in IC was also observed in NMNAT1 smoke in comparison to the NMNAT1 air (P<0.05). In contrast, we observed an increase in IC in the NMNAT1 smoke NRPT group in comparison to the WT smoke NRPT (P < 0.05). Additionally, the estimation of IC (A) (Figure 5.6B) increased in the WT smoke group in comparison to the WT air group (P<0.0001). A reduced level of A was observed in the WT smoke NRPT group in comparison to the WT smoke group (P<0.05). In contrast, we observed an increase in parameter A for the NMNAT1 smoke NRPT group in comparison to the WT smoke NRPT (P<0.01). We observed an increase in the parameter Area and FEV (Figure 5.6C-D) in the WT smoke group in comparison to the WT air (P<0.001), and this was also reflected in the NMNAT1 smoke group with respect to the NMNAT1 air group (P<0.01). Further, we observed an increase in FVC (Figure 5.6E) in the WT smoke group in comparison to the WT air group (P<0.0001). We also observed an increase in FVC in the NMNAT1 smoke group as compared to the NMNAT1 air group (P<0.0001). In contrast, there was an increase in FVC in the NMNAT1 smoke NRPT group in comparison to the WT smoke NRPT group (P < 0.01). There was an increase in the parameter vital capacity (VC) (Figure 5.6F) in the WT smoke group in comparison to the WT air group (P<0.001) and this was also reflected between the NMNAT1 smoke group and NMNAT1 air group (P<0.001). In contrast, there was an increase in VC in the NMNAT1 smoke NRPT in comparison to the WT smoke NRPT (P<0.01). We observed an increase in static compliance (Cst) (Figure 5.6J) in the WT smoke group as compared to the WT air group (P<0.01). Followed by an increase in functional residue capacity (FRC) (Figure 5.6M) in the WT smoke group in

comparison to the WT air group (P<0.01) which was also reflected in between NMNAT1 smoke and NMNAT1 air group (P<0.0001). We also observed an increase in FRC in the NMNAT1 smoke NRPT in comparison to the WT smoke NRPT (P<0.001). Interestingly, we observed a reduction in residual volume (RV) (Figure 5.6N) in the WT smoke NRPT (P<0.001) group as well as the NMNAT1 smoke (P<0.01) group in comparison to the WT smoke group. Additionally, we observed an increase in TLC (Figure 5.6O) in the WT smoke group in comparison to the air group (P<0.05). Furthermore, we did not observe any changes in the parameters of Rn (Figure 5.6G), G (Figure 5.6H), H (Figure 5.6I), Rrs (Figure 5.6K), and Crs (Figure 5.6L). Additionally, the lung compliance curves between the WT air, WT smoke and WT smoke NRPT are represented in Figure 5.6P. Followed by the compliance curves between NMNAT1 air, NMNAT1 smoke and NMNAT1 smoke NRPT are represented below in Figure 5.6Q. Further, the compliance curves between NMNAT1 smoke and WT smoke are represented in Figure 5.6R.







Figure 5.6: NMNAT1 overexpression protected from impaired lung function in COPD:

Graphical representation of lung function parameters: (A) IC, (B) Estimation of IC (A), (C) Area, (D) Forced expiratory volume (FEV), (E) Forced vital capacity (FVC), (F) Vital capacity (VC), (G) Central airway resistance (Rn), (H) Tissue elastance (G), (I) Tissue damping (H), (J) Quasi-static compliance (Cst), (K) Resistance of respiratory system (Rrs), (L) Compliance of respiratory system (Crs), (M) Functional residual capacity (FRC), (N) Residual volume (RV), (O) Total lung capacity (TLC); Lung compliance curves for (P) WT air, WT smoke and WT smoke NRPT, (Q) NMNAT1 air, NMNAT1 smoke, and NMNAT1 smoke NRPT, (R) NMNAT1 smoke and WT smoke. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

# 5.3.5 Effect of NMNAT1 overexpression on NAD<sup>+</sup> biosynthesis in COPD

We observed a significant increase in the gene expression of NMNAT1 between the WT air and NMNAT1 air (P<0.0001), WT smoke and NMNAT1 smoke (P<0.001) as well as WT smoke NRPT and NMNAT1 smoke NRPT (P<0.0001) (Figure 5.7A). Further, we observed a reduction in the gene expression of NMNAT2 in the WT smoke group in comparison to the air group (P<0.0001). An increase in the gene expression of the NMNAT2 was observed in the WT smoke NRPT group as compared to the WT smoke group (P<0.0001) (Figure 5.7B). Similarly, we also observed a reduction in the gene expression of NMNAT3 in the gene expression to the WT smoke group in comparison to the WT smoke group in comparison to the WT smoke group in comparison to the WT smoke group (P<0.001). Furthermore, we observed an increase in NMNAT3 in the WT smoke NRPT group in comparison to the WT smoke group (P<0.0001). In contrast, we observed a reduction in the gene expression of NMNAT3 in the WT smoke group (P<0.0001). In contrast, we observed a reduction in the gene expression of NMNAT3 in the WT smoke group (P<0.0001). In contrast, we observed a reduction in the gene expression of NMNAT3 in the WT smoke NRPT group in comparison to the WT smoke NRPT group (P<0.0001). In contrast, we observed a reduction in the gene expression of NMNAT3 in the NMNAT3 in the NRPT group in comparison to the WT smoke NRPT group (P<0.0001). (Figure 5.7C).

Furthermore, we observed an increase in the ratio of total NAD/NADH levels in the WT smoke NRPT group in comparison to the WT smoke group (P<0.0001). However, we observed a reduction in the ratio of total NAD/NADH levels in the NMNAT1 smoke NRPT group in comparison to the WT smoke NRPT group (P<0.001) (Figure 5.7D). Similarly, the total NAD<sup>+</sup> levels increased in the WT smoke NRPT group in comparison to the WT smoke group (P<0.01). We observed a reduction in the total NAD<sup>+</sup> levels in the NMNAT1 smoke NRPT group (P<0.01). We observed a reduction in the total NAD<sup>+</sup> levels in the NMNAT1 smoke NRPT group as compared to the WT smoke NRPT group (P<0.01) (Figure 5.7E). Moreover, there was an increase in the NADH levels in the WT smoke group in comparison to the WT air group (P<0.01). We observed a reduction in the NADH levels in the NADH levels in the WT smoke NRPT group as compared to the WT smoke group (P<0.01).

Additionally, we observed a reduction in the NADH levels in the NMNAT1 smoke group in comparison to the WT smoke group (P < 0.05) (Figure 5.7F).





Figure 5.7: Effect of NMNAT1 overexpression on NAD<sup>+</sup> biosynthesis in COPD:

Gene expression of (A) NMNAT1, (B) NMNAT2, and (C) NMNAT3; (D) ratio of  $NAD^+/NADH$ , (E) total  $NAD^+$  levels, (F) NADH levels. Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\*\* P<0.001, \*\*\*\* P<0.0001.

# 5.3.6 NMNAT1 overexpression regulated NAD<sup>+</sup> consumers in COPD

We observed an increase in PARP activity (Figure 5.8A) in the WT smoke group in comparison to the WT air group (P<0.0001). Furthermore, a reduction in the PARP activity was observed both in the WT smoke NRPT and NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001). In contrast, we observed a reduction in the gene expression of PARP1 (Figure 5.8B) in the WT smoke group as compared to the WT air group (P<0.05). Additionally, we observed an increase in the gene expression of PARP1 group in comparison to the WT smoke NRPT group (P<0.0001). In contrast, there was a reduction in the gene expression of PARP1 in the WT smoke NRPT group with respect to the WT smoke NRPT group (P<0.05).

A reduction in the gene expression of SIRT1 (P<0.05) (Figure 5.8C), SIRT4 (P<0.001) (Figure 5.8F) and SIRT5 (P<0.0001) (Figure 5.8G) was observed in the WT smoke group in comparison to the WT air group. We observed an increase in the gene expression of SIRT1 (P<0.01), SIRT5 (P<0.05) and SIRT7 (P<0.01) (Figure 5.8I) in the WT smoke NRPT group as compared to the WT smoke group. A reduction in the gene expression of SIRT1, SIRT5 and SIRT7 was observed in the NMNAT1 smoke NRPT in comparison to the WT smoke NRPT (P<0.01). We also observed a reduction in the gene expression of SIRT3 (Figure 5.8E) in the NMNAT1 smoke with respect to the WT smoke group (P<0.01). We observed a reduced gene expression of SIRT3 (P<0.001), SIRT4 (P<0.05) and SIRT5 (P<0.01) in the NMNAT1 smoke group in comparison to the NMNAT1 air group. SIRT3 and SIRT4 gene expression were increased in the NMNAT1 smoke NRPT group as compared to the NMNAT1 smoke group (P<0.01). We also observed a reduction in the gene expression of SIRT1, SIRT5 and SIRT7 in the NMNAT1 smoke NRPT group in comparison to the WT smoke NRPT group (P<0.01). We did not observe any change in the gene expression of SIRT2 (Figure 5.8D) and SIRT6 (Figure 5.8H) across any experimental groups in this study.







Figure 5.8: NMNAT1 overexpression regulated NAD<sup>+</sup> consumers in COPD:

(A) PARP activity; Gene expression for (B) PARP1, (C) SIRT1, (D) SIRT2, (E) SIRT3,
(F) SIRT4, (G) SIRT5, (H) SIRT6, (I) SIRT7. Data represented are means ± SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.</li>

# 5.3.7 NMNAT1 overexpression increased mitochondria membrane potential in COPD

Mitochondria membrane potential was measured in this study as mentioned described (Section 2.2.29). We observed a decrease in the MFI of J-aggregates (red) (Figure 5.9A) in the WT smoke group in comparison to the WT air group (P<0.0001). There was an increase in the MFI of J-aggregates in the WT smoke NRPT as compared to the WT smoke group (P<0.01). In contrast, we observed an increase in the MFI of J-aggregates in the NMNAT1 smoke group in comparison to the NMNAT1 air group, NMNAT1 242

smoke NRPT group as well as the WT smoke group (P<0.0001). Furthermore, a reduction in the MFI of J-aggregates was observed in the NMNAT1 air group in comparison to the WT air group (P<0.0001).

Simultaneously, we also measured the MFI of J-monomers (green) (Figure 5.9B) and observed an increase in the WT smoke group with respect to the WT air, WT smoke NRPT as well as NMNAT1 smoke group (P<0.0001). Additionally, we observed an increase in the MFI of J-monomers in the NMNAT1 smoke group as compared to the NMNAT1 air group (P<0.05). We also observed a reduction in the MFI of J-monomers obtained for the NMNAT1 air group in comparison to the WT air group (P<0.0001).

Furthermore, the ratio of J-aggregates/J-monomers was analysed to obtain the measure of  $\Delta \Psi m$  (Figure 5.9C). We observed a reduction of  $\Delta \Psi m$  in the WT smoke group in comparison to the WT air group, WT smokeNRPT group, and the NMNAT1 smoke group (P<0.0001). In contrast, we also observed an increase in the  $\Delta \Psi m$  in the NMNAT1 air group with respect to the WT air group (P<0.05).







Figure 5.9: NMNAT1 overexpression increase ΔΨm in COPD:

Graphical representation of MFI obtained for (A) J-aggregates (red), (B) J-monomers (green), (C) Ratio of J-aggregates/J-monomers ( $\Delta\Psi m$ ). Representative images from all the experimental groups under different channels: J-aggregates in red, J-monomers in green, and nuclear stain DAPI in blue followed by a merged image of all the channels.

### 5.3.8 NMNAT1 overexpression protected from oxidative stress in COPD

We observed an increase in gene expression of NOX2 (Figure 5.10A) in the WT smoke group in comparison to the WT air group (P<0.0001), WT smoke NRPT group (P<0.0001) and NMNAT1 smoke group (P<0.0001). Similarly, we observed an increase in the gene expression of HO1 (Figure 5.10B) in the WT smoke group in comparison to the WT air group (P<0.05), WT smoke NRPT group (P<0.01) and NMNAT1 smoke group (P<0.01).

In addition, we observed an increase in the MFI obtained from 4-HNE in the WT smoke group in comparison to the WT air group (P<0.0001), WT smoke NRPT group (P<0.0001) and NMNAT1 smoke group (P<0.0001). We also observed an increase in the MFI of 4-HNE in the NMNAT1 air group as compared to the WT air group (P<0.001). Images below represent 4-HNE (green) co-stained with F4/80 (red) and DAPI (blue) (Figure 5.10C).









Figure 5.10:NMNAT1 overexpression reduced oxidative stress in COPD:

Gene expression of (A) NOX2, (B) HO1; (C) Graphical representation of MFI in arbitrary units (A.U.) of 4-HNE images show fluorescence localisation of F4/80 (red), 4-HNE (green), DAPI-nuclei stain (blue). Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 5.3.9 NMNAT3 overexpression reduced inflammation in COPD

There was an increase in the number of total leukocytes in the BAL (Figure 5.11A), as well as an increase in the total number of macrophages (Figure 5.11B) and neutrophils (Figure 5.11C) in the WT smoke group as compared to the WT air group (P<0.0001). Importantly, there was a reduced number of total leukocytes, macrophages and neutrophils in the WT smoke NRPT group and NMNAT3 smoke group in comparison to the WT smoke group (P<0.0001). Further, an increase in the counts of total leukocytes (P<0.0001) and macrophages (P<0.001) were observed in the NMNAT3 smoke NRPT as compared to the WT smoke NRPT group. Additionally, we also observed an increase in the total leukocyte counts (P<0.0001), macrophages (P<0.001) and neutrophils (P<0.0001) in the NMNAT3 smoke group in comparison to the NMNAT3 air group.

The body weight of all the experimental groups in the NMNAT3 study was monitored daily as mentioned previously in 5.2.3. There was a significant difference in weight observed between the WT air group and the WT smoke group as well as the NMNAT3 air group and the NMNAT3 smoke group (P<0.05) (Figure 5.11D).

Furthermore, we observed an increase in the gene transcripts for TNF $\alpha$  (Figure 5.11E) in the WT smoke group in comparison to the WT air group (P<0.0001). A reduction in the expression of TNF $\alpha$  was observed in the WT smoke NRPT group and the NMNAT3 smoke group in comparison to the WT smoke group (P<0.0001). Moreover, we also
observed an increase in the gene expression of TNF $\alpha$  in the NMNAT3 smoke group as compared to the NMNAT3 air group (P<0.01). Similarly, we also observed an increase in the gene expression of CXCL1 (Figure 5.11F) in the WT smoke group in comparison to the WT air group (P<0.0001). A reduction in the gene expression of CXCL1 was observed in the WT smoke NRPT group as well as the NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001). However, we observed an increase in the gene expression of CXCL1 in the NMNAT3 smoke group in comparison to the NMNAT3 air group (P<0.0001).





Figure 5.11: NMNAT3 overexpression reduced airway inflammation in COPD:

Graphical representation of (A) total cellular infiltrates in the BAL, (B) macrophages, (C) neutrophils; (D) Body weight; Gene expression of (E) TNF $\alpha$  and (F) CXCL1 in the lung. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

# 5.3.10 NMNAT3 overexpression protected from airway remodelling and emphysema in COPD

We observed an increase in the area of collagen deposition in the WT smoke group in comparison to the WT air group (P<0.0001). Additionally, we observed a reduction in the collagen deposition in the WT smoke NRPT group and NMNAT1 smoke group in comparison to the WT smoke group (P<0.0001) (Figure 5.12A).

We observed an increase in alveolar diameter with increased MLI counts for the WT smoke group in comparison to the WT air group (P<0.0001). The counts obtained from MLI analysis for the WT smoke NRPT group and the NMNAT3 smoke group were

reduced in comparison to the WT smoke group (P<0.0001) (Figure 5.12B). Furthermore, we observed an increase in MLI counts for the NMNAT3 air group in comparison to the WT air group (P<0.01). Additionally, a reduction in the MLI counts was observed in the NMNAT3 smoke group in comparison to the NMNAT3 air group (P<0.05). Representative images of the alveolar diameter of each experimental group are displayed below in Figure 5.12B.





Figure 5.12: NMNAT3 overexpression protected from airway remodelling and emphysema in COPD:

(A) Graphical representation of the mean area of collagen and representative images of airway remodelling (B) Graphical representation of MLI counts and representative images of emphysema. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

# 5.3.11 Overexpression of NMNAT3 protects from impaired lung function in COPD

Lung function parameters were measured for NMNAT3 overexpressing mice as mentioned previously under section 2.2.12. An increase in IC (Figure 5.13A) was observed in the WT smoke group in comparison to the WT air group (P<0.001). Similarly, an increase in IC was also observed in NMNAT3 smoke in comparison to the NMNAT3 air (P<0.01). Further, the estimation of IC (A) (Figure 5.13B) increased in the WT smoke group in comparison to the WT air group (P<0.001). We also observed an increase in parameter A for the NMNAT3 smoke group in comparison to the NMNAT3 air group (P<0.01). We observed an increase in the parameter Area (Figure 5.13C) in the WT smoke group in comparison to the WT air group (P<0.001). Similarly, an increase in the Area was also observed in the NMNAT3 smoke as compared to the NMNAT3 air group (P<0.05). An increase in FEV (Figure 5.13D) was observed in the WT smoke group in comparison to the WT air (P<0.0001). We observed an increase in FVC (Figure 5.1E) in the WT smoke group in comparison to the WT air group (P<0.0001). Besides, there was an increase in the parameter VC (Figure 5.13F) in the WT smoke group in comparison to the WT air group (P<0.0001) and this was also reflected between the NMNAT3 smoke group and NMNAT3 air group (P<0.05). In contrast, there was a reduction in VC in the NMNAT3 smoke group in comparison to the WT smoke group(P<0.05). We observed an increase in the parameter Rn (Figure 5.13G) in the NMNAT3 smoke NRPT group in comparison to the WT smoke NRPT group (P<0.01). We also observed an increase in Cst

(Figure 5.13J) in the WT smoke group as compared to the WT air group (P<0.001). An increase in the parameter Rrs (Figure 5.13K) was observed in the NMNAT3 smoke NRPT in comparison to the WT smoke NRPT. Followed by an increase in FRC (Figure 5.13M) in the WT smoke group in comparison to the WT air group (P<0.0001) and this was further reduced in the NMNAT3 smoke group as compared to the WT smoke group in comparison to the air group (P<0.001). In contrast, we did not observe any changes in the parameters of G (Figure 5.13H), H (Figure 5.13I), and RV (Figure 5.13N). The lung compliance curves between the WT air, WT smoke and WT smoke NRPT are represented in Figure 5.13P. Followed by the compliance curves between NMNAT3 smoke and WT smoke are represented in Figure 5.13Q. Further, the compliance curves between NMNAT3 smoke and WT smoke are represented in Figure 5.13R.







Figure 5.13: NMNAT3 overexpression protected from impaired lung function in COPD:

Graphical representation of lung function parameters: (A) IC, (B) Estimation of IC (A), (C) Area, (D) Forced expiratory volume (FEV), (E) Forced vital capacity (FVC), (F) Vital capacity (VC), (G) Central airway resistance (Rn), (H) Tissue elastance (G), (I) Tissue damping (H), (J) Quasi-static compliance (Cst), (K) Resistance of respiratory system (Rrs), (L) Compliance of respiratory system (Crs), (M) Functional residual capacity (FRC), (N) Residual volume (RV), (O) Total lung capacity (TLC); Lung compliance curves for (P) WT air, WT smoke and WT smoke NRPT, (Q) NMNAT1 air, NMNAT1 smoke, and NMNAT1 smoke NRPT, (R) NMNAT1 smoke and WT smoke. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*P<0.0001.

# 5.3.12 NMNAT3 overexpression regulated NAD<sup>+</sup> biosynthesis in COPD

We observed a significant increase in the gene expression of NMNAT1 (Figure 5.14A) between the WT air and NMNAT3 air (P<0.001). A reduction in the gene expression of NMNAT1 was observed both in the NMNAT3 smoke group (P<0.001) and the NMNAT3 smoke NRPT group (P<0.01) with respect to the NMNAT3 air group. We did not observe any change in the gene expression of NMNAT2 (Figure 5.14B) across all the experimental groups. Additionally, we observed an increase in the gene expression of NMNAT3 (Figure 5.14C) in the NMNAT air group in comparison to the WT air group (P<0.0001). In contrast, a reduction in the gene expression of NMNAT3 was observed in the NMNAT3 smoke NRPT group in comparison to the NMNAT3 air group. Furthermore, we observed an increase in the ratio of total NAD/NADH levels in the WT smoke group in comparison to the WT smoke NRPT group as well as the WT air group (P<0.0001). An increase in the ratio of total NAD/NADH levels was observed in the NMNAT3 smoke group in comparison to the NMNAT air group (P<0.0001). Further, we also observed an increase in the ratio of total NAD/NADH levels in the NMNAT3 smoke NRPT group in comparison to the WT smoke NRPT group (P<0.0001) (Figure 5.14D). Similarly, the total NAD<sup>+</sup> levels increased in the WT smoke NRPT group in comparison to the WT smoke group (P < 0.01). We observed an increase in the total NAD<sup>+</sup> levels in the NMNAT3 smoke group as compared to the WT smoke group (P<0.05) (Figure 5.14E). Moreover, there was a decrease in the NADH levels in the WT smoke group in comparison to the WT air group as well as the WT smoke NRPT group (P<0.0001). We observed a reduction in the NADH levels in the NMNAT3 smoke NRPT group as compared to the WT smoke NRPT group (P<0.0001). Additionally, we observed a reduction in the NADH levels in the NMNAT3 smoke group in comparison to the NMNAT3 air group (P<0.0001) (Figure 5.14F).





Figure 5.14: Effect of NMNAT3 overexpression on NAD<sup>+</sup> biosynthesis in COPD: Gene expression of (A) NMNAT3, (B) NMNAT2, and (C) NMNAT3; (D) ratio of NAD<sup>+</sup>/NADH, (E) total NAD<sup>+</sup> levels, (F) NADH levels. Data represented are means  $\pm$ SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

# 5.3.13 NMNAT3 overexpression regulated NAD<sup>+</sup> consumers in COPD

We observed an increase in PARP activity levels (Figure 5.15A) in the WTsmoke group in comparison to the WT air group (P<0.0001). Furthermore, a reduction in the PARP activity was observed both in the WT smoke NRPT and NMNAT3 smoke group in comparison to the WT smoke group (P<0.0001). In contrast, we did not observe any changes in the gene expression of PARP1 (Figure 5.15B) across all the experimental groups.

Further, we observed a reduction in the gene expression of SIRT2 (Figure 5.15D) in the NMNAT3 smoke NRPT group in comparison to the WT smoke NRPT group (P<0.01).

We observed an increase in the gene expression of SIRT3 in the NMNAT3 smoke group in comparison to the NMNAT3 air group and this expression was further increased in the NMNAT3 smoke NRPT group as compared to the NMNAT3 smoke group (P<0.05). An increase in the gene expression of NMNAT3 was observed in the NMNAT3 smoke NRPT group as compared to the WT smoke NRPT group (P<0.0001). In contrast, we did not observe any change in the gene expression of SIRT1 (Figure 5.15C), SIRT4 (Figure 5.15F), and SIRT5-7 (Figure 5.15G-I).





Figure 5.15: NMNAT3 overexpression regulated NAD<sup>+</sup> consumers in COPD:

(A) PARP activity; Gene expression for (B) PARP1, (C) SIRT1, (D) SIRT2, (E) SIRT3, (F) SIRT4, (G) SIRT5, (H) SIRT6, (I) SIRT7. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

#### 5.3.14 NMNAT3 overexpression increased mitochondria membrane potential

We observed a decrease in the MFI of J-aggregates (Figure 5.16A) in the WT smoke group (P<0.001) and NMNAT3 air group (P<0.0001) in comparison to the WT air group. We observed an increase in the J-aggregates in the NMNAT3 smoke group in comparison to the NMNAT3 air group (P<0.01) as well as the NMNAT3 smoke NRPT group (P<0.01). We also observed a reduction in the J-aggregates in the NMNAT3 smoke NRPT group in comparison to the WT smoke NRPT group (P<0.0001). Simultaneously, we also measured the MFI of J-monomers (Figure 5.16B) and observed an increase in the WT smoke group with respect to the WT air, WT smoke NRPT as well as NMNAT3 smoke group (P<0.0001). Furthermore, we observed a reduction in the MFI of J-monomers in the NMNAT3 smoke NRPT group as compared to the WT smoke NRPT group (P<0.05). We observed a reduction of  $\Delta \Psi m$  (Figure 5.16C) in the WT smoke group in comparison to the WT air group, WT smoke NRPT group, and the NMNAT3 smoke group (P<0.0001). An increase in the  $\Delta \Psi m$  was observed in the NMNAT3 smoke group in comparison to the NMNAT3 air group (P<0.001). We observed an increase in the  $\Delta \Psi m$ in the NMNAT3 smoke NRPT in comparison to the WT smoke NRPT group (P<0.0001). In contrast, we observed a reduction in the  $\Delta \Psi m$  in the NMNAT3 air group with respect to the WT air group (P < 0.05).









Figure 5.16: NMNAT3 overexpression increased mitochondria membrane potential in COPD:

Graphical representation of MFI obtained for (A) J-aggregates (red), (B) J-monomers (green), (C) Ratio of J-aggregates/J-monomers ( $\Delta \Psi m$ ). Representative images from all the experimental groups under different channels: J-aggregates in red, J-monomers in green, and nuclear stain DAPI in blue followed by a merged image of all the channels.

### 5.3.15 NMNAT3 overexpression reduced oxidative stress in COPD

We observed an increase in gene expression of NOX2 (Figure 5.17A) in the WT smoke group in comparison to the WT air group (P<0.0001). Further, we also observed an increase in the gene expression of NOX2 in the NMNAT3 group in comparison to the NMNAT3 air group (P<0.0001) as well as the NMNAT3 smoke NRPT group (P<0.05). In contrast, there was no change observed in the gene expression of HO1 (Figure 5.17B) across all the experimental groups in this study.

In addition, we observed an increase in the MFI obtained from 4-HNE in the WT smoke group in comparison to the WT air group (P<0.0001), WT smoke NRPT group (P<0.0001) and NMNAT3 smoke group (P<0.0001). Images below represent 4-HNE costained with F4/80 and DAPI (Figure 5.17C).



NAMATS SPORE VERT

1000

500

WI Smoke THEI





Figure 5.17: NMNAT3 overexpression reduced oxidative stress in COPD:

Gene expression of (A) NOX2, (B) HO1; (C) Graphical representation of MFI in arbitrary units (A.U.) of 4-HNE images show fluorescence localisation of F4/80 (red), 4-HNE (green), DAPI-nuclei stain (blue). Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

# 5.4 Discussion

In the previous studies (chapters 3 & 4), we demonstrated that imbalances in the NAD<sup>+</sup> level play an important role in the development and progression of COPD. Additionally, modulation of NAD<sup>+</sup> synthesis and consumption using NR and PT exhibited benefits from COPD features in both prophylactic and therapeutic studies. However, the key pathway responsible for the regulation of NAD<sup>+</sup> via NR and PT treatment in COPD remains unknown. In our current study, we investigated the role of NMNATs in modulating NAD<sup>+</sup> levels in comparison to the treatment of NR and PT in COPD. To further unveil the protective mechanisms of NR and PT in COPD, we utilised overexpressing NMNAT1 and NMNAT3 transgenic mice to exert the benefits of elevated NAD<sup>+</sup> levels in COPD in the absence of treatment with NR and PT. Additionally, we also administered NR and PT to the overexpressing NMNAT1 and NMNAT3 transgenic mice to further elucidate if inordinate NAD<sup>+</sup> levels can potentially exert additional benefits from COPD.

Utilising previously obtained lung samples from our 8-week (Chapter 3) and 12-week (Chapter 4) COPD study with NR and PT, we measured the gene expression of various candidates of the NAD<sup>+</sup> biosynthesis pathways and found NMNAT1, NMNAT2 and NMNAT3 to be promising targets to be further explored our study. We observed a reduction in the gene expression of NMNAT1/2/3 in the smoke group after 8 weeks of CS exposure in comparison with the air groups (Figure 5.3A-C), suggesting that the decreased expression of NMNAT1/2/3 is likely involved in the reduced synthesis of NAD<sup>+</sup>, in addition to the hyperactivity of NAD<sup>+</sup> consumer, PARPs leading to an imbalance of NAD<sup>+</sup> levels in COPD. This was further reflected in our 12-week study,

where we observed a reduction in the gene expression of NMNAT1 and NMNAT3 in the smoke group after 12 weeks of CS exposure (Figures 5.3D & F, 5.3G & H).

Interestingly, we observed an increase in the gene expression of NMNAT1/2/3 in the NR and PT treatment groups after 8 weeks (Figure 5.3 A-C), suggesting that NR and PT in combination are driving increased NMNAT1-3 expression in COPD, potentially increasing NAD<sup>+</sup> levels and reducing the overactivation of PARPs and increasing sirtuin activity. In addition, we observed an increase in the gene expression of NMNAT1 in the short-term administration of NR and PT with/without cessation of CS in the 12-week COPD study but this did not improve with the long-term treatment of NR and PT (Figures 5.3D & 5.3G). This is not surprising as we previously observed an increase in the NAD<sup>+</sup> levels with the short-term administration of NR and PT but not with the prolonged treatment (Chapter 4). As NMNAT1 is localised in the nucleus, therefore it is plausible that the nuclear-synthesised NAD+ via NMNAT1 is rapidly degraded due to the overactivation of nuclear-localised PARPs such as PARP1. In addition, we also observed an increase in the gene expression of NMNAT3 both in the prophylactic and therapeutic administration of NR and PT with or without the cessation of CS in the 12-week study (Figures 5.3F & 5.3I). These findings further support the increase in NMNATs leading to an increase in NAD<sup>+</sup> levels. We have also demonstrated that prolonged treatment of NR and PT increased the gene expression of NMNAT3, which potentially drives the benefits of improvement in mitochondrial structure and function as highlighted previously (Chapter 4). Henceforth, NR and PT contribute to NAD<sup>+</sup> synthesis and consumption pathways via NMNAT1/2/3 in COPD. There are limited effects of NMNAT1 in advanced stages of the disease when treated with NR and PT for a longer duration, due to the rapid degradation of NAD<sup>+</sup> via hyperactivity of PARPs and this exerts limited benefits of prolonged NR and PT treatment. Critically, both short and prolonged treatment with NR

and PT increased NMNAT3 expression indicating that mitochondria localised NMNAT3 is protective against damage caused in COPD.

In contrast, we did not observe any changes in the gene expression of NMNAT2 in the 12-week study (Figure 5.3E & 5.3H), which isn't surprising as cytosolic NAD<sup>+</sup> consumers like SIRT2 and CD38 levels remain unchanged in the study as well. This suggested that cytosolic NAD<sup>+</sup> level isn't crucial in COPD, however, we will measure additional cytosolic NAD<sup>+</sup> consumers like PARP6 and SARM1 to validate if there is a rapid degradation of NAD<sup>+</sup> level in the cytosol which potentially contributes to this. Taken together, these findings suggested that NR and PT administration in COPD increased NAD<sup>+</sup> levels via increasing NMNAT1 and NMNAT3 further contributing to improvements in COPD features.

To further validate our above findings, we used overexpressing NMNAT1 and NMNAT3 transgenic mice and induced a COPD phenotype with or without the administration of NR and PT. We observed an increase in the infiltration of leukocytes in the BALF upon exposure to CS in the WT smoke mice in comparison to WT air-exposed mice (Figure 5.4 &5.14). As shown in previous chapters (Chapters 3 and 4), there was a significant reduction in cellular infiltrates in the BALF with the administration of NR and PT in the WT mice supporting our previous observations of anti-inflammatory responses of NR and PT in reducing airway inflammation in COPD. Additionally, NMNAT1 and NMNAT3 overexpressing mice demonstrated reduced cellular infiltrates with the administration of NR and PT the BALF with 1 and NMNAT3 overexpressed mice. These results further suggested that elevated NMNAT1 and NMNAT3 with or without the treatment of NR and PT have anti-inflammatory potential in COPD. Furthermore, TNF $\alpha$  (Figures 5.4E & 5.11E) and CXCL1 (Figures 5.4F & 5.11F) expression was upregulated in the lungs of 278

WT mice upon exposure to CS as a result of increased airway inflammation in COPD. We observed a reduction in the gene expression of TNF $\alpha$  and CXCL1 in both the NMNAT1 and NMNAT3 overexpressed mice with or without the administration of NR and PT. These findings further supported the anti-inflammatory potential of elevated NMNAT1 and NMNAT3 in COPD. This was interesting as NMNAT1 and NMNAT3 drive NAD<sup>+</sup> levels with or without NR and PT which might potentially reduce the overactivation of PARPs and promote the activation of SIRTs as a consequence, further reducing inflammation in COPD. Previous studies have stated the potential involvement of impaired NAD<sup>+</sup> metabolism in increasing inflammation (436, 437) via an increase in ROS (438), however, to date, there is limited knowledge about the exact underlying mechanisms involved in this process.

Additionally, we observed a significant difference in body weight between WT air mice and WT smoke mice (Figures 5.4D & 5.11D). This was further observed in between NMNAT1 air and NMNAT1 smoke as well as NMNAT3 air and NMNAT3 smoke. This could be due to systemic inflammation which plays an important role during the progression of COPD and further studies will be required to understand the underlying mechanisms for the restoration of body weight.

We further validated different features of COPD in our study including airway remodelling, emphysema and impaired lung function. We observed an increase in airway remodelling and emphysema in the smoke group of WT mice which further validated the disease features (Figures 5.5 & 5.12). Interestingly, we observed a significant reduction in the features of airway remodelling and emphysema in the smoke groups of NMNAT1 and NMNAT3 overexpressing mice. Additionally, we also observed a reduction in airway remodelling and emphysema with the administration of NR and PT in both WT as well as NMNAT1 and NMNAT3 overexpressed mice. These findings further suggested that 279

NMNAT1 and NMNAT3 overexpression protected lung structure from the damage induced by chronic exposure to CS in COPD with or without NR and PT. These findings indicate that NMNAT1 and NMNAT3 with or without NR and PT are capable of regulating NAD<sup>+</sup> synthesis and consumption, which reduces the damage caused due to the overactivation of PARPs resulting in reduced inflammation in COPD. This further contributes to reduced tissue damage, henceforth protecting from airway remodelling and emphysema. This was interesting as currently there is no evidence on the protective aspects of NMNAT1 and NMNAT3 in COPD. However, both NMNAT1 (428, 439) and NMNAT3 (440, 441) have been previously shown to protect axon degeneration in neuronal diseases.

Furthermore, we validated impaired lung function in our COPD study with WT mice as well as NMNAT1 and NMNAT3 overexpressed mice (Figures 5.6 & 5.13). We observed an increase in the lung parameters of IC, A, Area, FEV, FVC, VC and FRC in the WT mice after 8 weeks of CS exposure in comparison to the air-exposed mice. An increase in the lung parameters of WT smoke mice suggested the development of the disease as previously observed in our earlier studies (Chapters 3 & 4). However, following the administration of NR and PT for 8 weeks in WT CS-exposed mice, we observed a reduction in parameters A and RV. However, there was no significant reduction in the parameters of IC, area and TLC as shown in our previous chapter, hence NR and PT treatment in the WT littermates only partially protected from impaired lung function in COPD. This could be potentially due to variation in the strain of mice as the mice in our current study is on C57BL6 background. Studies have shown that C57BL6 mice have a higher airway reactivity in asthma and fibrosis models. This, therefore, contributes to severe disease features, and we have previously observed limited benefits of prophylactic treatment with NR and PT in severe disease stages mentioned previously (Chapter 4). CS-

exposed NMNAT1 overexpressed mice showed a reduction in the parameter RV in comparison to the WT smoke mice suggesting NMNAT1 overexpression partially protected from impaired lung function in COPD. The reduction of RV suggested a decrease in the volume of trapped air in the lung indicating reduced emphysema in the NMNAT1 overexpressed mice and this was also depicted in our histology data. Furthermore, CS-exposed NMNAT3 mice showed a reduction in the parameters VC and FRC in comparison to the WT smoke mice suggesting NMNAT3 overexpression also partially protected from impaired lung function in COPD. Moreover, an increase in the lung compliance curves was observed in the WT smoke group in comparison to the WT air group with an upward shift, representing the poor recoiling property of the lung due to chronic exposure to CS. We also observed a reduced lung compliance curve supported by the downward shift in the curve in the NMNAT3 smoke group in comparison to the WT smoke group suggesting improved expandability of lungs with NMNAT3 overexpression. In conclusion, we have demonstrated that the overexpression of NMNAT1 and NMNAT3 exhibited partial protection from impaired lung function in COPD.

In addition to our above findings, upon administration of NR and PT in the overexpressing NMNAT1 mice not only failed to protect from impaired lung function but also worsened the severity of several lung parameters such as IC, A, FVC, VC, and FRC in comparison to the WT mice. We also observed a similar effect in NMNAT3 overexpressed mice with a further increase in the parameters of Rn and Rrs with the treatment of NR and PT in comparison to the WT mice. These results further suggested that NR and PT exert limited benefits on overexpressed NMNAT1 and NMNAT3 mice in COPD with potential off-target effects or a consequence of NAD<sup>+</sup> toxicity which further worsened the disease features. Previous studies have stated that inordinate levels of NAD<sup>+</sup> would have a global

impact on cellular proteins and homeostasis which will further lead to the development of cancer (442).

Further, we analysed the gene expression of NMNAT1/2/3 in the lungs of both WT as well as NMNAT1 and NMNAT3 overexpressed mice after 8 weeks of CS exposure. An increase in the gene expression of NMNAT1 was observed in all the NMNAT1 overexpressed groups with respect to the WT groups validating the NMNAT1 overexpression in COPD. We observed a decrease in the gene expression of NMNAT2 and NMNAT3 in the WT smoke group in comparison to the WT air group which was increased in the WT smoke NRPT group. These results further validated our previous findings of an increase in NMNAT1/2/3 (Figure 5.7A-C) with the administration of NR and PT in WT mice. However, we did not observe any changes in the expression of NMNAT2 in the NMNAT1 overexpressing mice. In contrast, we observed a reduction in the gene expression of NMNAT3 in the NMNAT1 overexpressing mice upon treatment with NRPT in comparison to the WT mice, further validating our above findings of limited benefits of NR and PT treatment in NMNAT1 overexpressed mice. This is important, as NMNAT1 overexpression did not induce an increase in the NMNAT2 and NMNAT3 expression showing no off-target effects of the overexpression.

Moreover, chronic exposure to CS resulted in NAD<sup>+</sup> depletion and a reduction in the ratio of NAD<sup>+</sup> to NADH ratio in both WT and NMNAT1 overexpressed mice (Figure 5.7D). Administration of NR and PT improved the total NAD<sup>+</sup> levels (Figure 5.7E) in our COPD study using WT mice and showed an increase in the ratio of NAD<sup>+</sup> to NADH. However, we did not see a difference in the total NAD<sup>+</sup> levels in the NMNAT1 overexpressing mice, resulting in no difference in the ratio of NAD<sup>+</sup> to NADH when compared to the WT smoke. In contrast, there was a decrease in the ratio of NAD<sup>+</sup> to NADH in the NMNAT1 overexpressing mice upon administration of NR and PT as compared to WT smoke NRPT. To conclude, we did not observe an increase in NAD<sup>+</sup> levels with NMNAT1 overexpression and this is further supported by recent evidence suggesting that overexpression of NMNAT1 does not increase NAD<sup>+</sup> levels (428, 443). Therefore, NMNAT1 overexpression exerted limited benefits in reducing COPD features.

We observed a decrease in the gene expression of NMNAT1 (Figure 5.14A) and NMNAT3 (Figure 5.14C) in the overexpressing NMNAT3 mice upon exposure to CS. A decrease in the expression of these genes was surprising and future studies will be performed to measure the NMNAT3 protein levels in isolated mitochondrial samples to further conclude these findings. Furthermore, we observed an increase in the NAD<sup>+</sup> levels (Figure 5.14E) in the NMNAT3 overexpressed mice with or without NR and PT administration suggesting that elevated NMNAT3 regulates NAD<sup>+</sup> levels in COPD. Interestingly, we also observed a reduction in the NADH levels in the NMNAT3 overexpressed mice with or without NR and PT in comparison to the WT mice, further supporting our above results. However, we observed an increase in the ratio of NAD<sup>+</sup>/NADH (Figure 5.14D) in the WT smoke mice, which is contradictory to the total NAD<sup>+</sup> levels and NADH levels (Figure 5.14F) measured in this study. To conclude, NMNAT3 overexpression with or without NR and PT increased the total NAD<sup>+</sup> in the lung which further contributed to the additional benefits of reducing COPD features. Studies have shown that overexpression of NMNAT3 drives an increase in NAD<sup>+</sup> levels in aging studies (444, 445) and supports our above findings of increased NAD<sup>+</sup> levels in COPD.

NMNATs have been suggested to functionally interact with PARPs (446, 447), SIRTs (448, 449) and CD38 (450, 451). However, there is no evidence of the mechanisms that elucidate the regulation of NAD<sup>+</sup> consumers by NMNAT1 and NMNAT3 in COPD. To address this, we measured the PARP activity (Figures 5.8A & 5.15A) in our COPD study 283

using transgenically overexpressing NMNAT1 and NMNAT3 mice. We observed an overactivation of PARPs in the WT smoke mice which were reduced in both the overexpressing NMNAT1 and NMNAT3 smoke mice. This shows that overexpression of NMNAT1 and NMNAT3 reduced PARP hyperactivity in COPD. Therefore suggesting that NMNAT1 and NMNAT3 overactivation can inhibit the overactivation of PARPs in COPD potentially contributing to additional therapeutic benefits. Upon the administration of NR and PT both in the WT mice as well as NMNAT1 and NMNAT3 overexpressing mice, there was a reduction in the PARP activity. These results further supported our previous findings of reduced PARP activity with the administration of NR and PT in COPD. Studies have stated both NMNAT1 and PARP1 (452, 453), key regulators of NAD<sup>+</sup> metabolic pathways (454, 455), are localised in the nucleus and functionally interact to control a variety of nuclear processes such as PARP1-dependent PARylation (456, 457). Currently, there is no evidence of interaction between NMNAT3 and the PARP family. However, studies have shown that NMNAT3 is dispensable in mitochondrial NAD<sup>+</sup> maintenance (458, 459), suggesting that in the absence of NMNAT3, translocation of nuclear NMNAT1 (455) or cytosolic NMNAT2 (460) into the mitochondria maintains NAD<sup>+</sup> metabolism.

SIRTs are NAD<sup>+</sup>-dependent deacylases and play important roles in the maintenance of cellular metabolism, health, and aging (400, 461, 462). We observed a reduction in the gene expression of SIRT1 (Figures 5.8C and 5.15C) and SIRT5 (Figures 5.8G & 5.15G) in the WT smoke group which was increased in the treatment of NR and PT. We also observed an increase in SIRT7 (Figure 5.8I and 5.15I) gene expression with the administration of NR and PT in WT mice. This evidence supports our previous findings in Chapter 3. However, we observed a reduction in the gene expression of SIRT3-5 in the NMNAT1 smoke group in comparison to the NMNAT1 air group. An increase in the

gene expression of SIRT3 (Figures 5.8E) and SIRT4 (Figures 5.8F) was observed in the NMNAT1 smoke NRPT group in comparison to the NMNAT1 smoke group. These results suggested that NMNAT1 overexpression interacts with mitochondrially expressed SIRT3, SIRT4, and SIRT5. However, the reduction in gene expression of SIRT3-5 in NMNAT1 overexpressing mice exhibited limited protection in COPD. Interestingly, the administration of NR and PT displayed protective effects of mitochondrially expressed SIRT3 and SIRT4 genes in NMNAT1 overexpressed mice in COPD. SIRT3 and SIRT4 can regulate metabolic interaction(463) by regulation post-translational modification via protein deacetylation (464) through NAD<sup>+</sup>-dependent ADP-ribosylation (465). This further inhibits mitochondrial oxstress in the airway epithelium by increasing anti-oxidant defence via manganese superoxide dismutase (MnSOD), thereby declining the pathogenesis of COPD (466). Furthermore, we observed an increase in the gene expression of SIRT3 (Figure 5.15E) in the overexpressing NMNAT3 smoke mice which was further increased with the NR and PT administration, suggesting that NMNAT3 interacts with SIRT3 which might potentially protect from mitochondrial damage in COPD. Collectively, these results suggested the overexpression of NMNAT1 and NMNAT3 regulated sirtuin expression, potentially explaining the reduced disease features in COPD. Additionally, NR and PT administration in NMNAT1 and NMNAT3 overexpressed mice further protected the expression of SIRTs in COPD. Previous studies have shown that the overexpression of NMNAT3 improves mitochondrial function via regulation of the NAD<sup>+</sup>-SIRT3 pathway (467-469) and further supports our findings on the protective role of NMNAT1 and NMNAT3 overexpression in COPD. Future studies will be performed to measure SIRT3 protein expression and activity levels in both NMNAT1 and NMNAT3 overexpressed mice to further validate the NAD+-SIRT3 pathway in COPD.

Additionally, we also observed an increase in  $\Delta \Psi m$  (Figures 5.9 & 5.16) in the WT mice treated with NR and PT which was reduced in the WT smoke group further demonstrating our previous findings (Chapters 3 & 4) of increased  $\Delta \Psi m$  with the treatment of NR and PT in COPD. Similarly, we observed an increase in  $\Delta \Psi m$  in the NMNAT1 and NMNAT3 overexpressing mice in COPD with or without the treatment of NR and PT. These findings further suggest that NMNAT1 and NMNAT3 protected mitochondria membrane potential in COPD. Currently, there is no evidence of NMNAT1 and NMNAT3 increasing mitochondria membrane potential, however, studies on neurons have shown that elevation of NMNAT1 and NMNAT3 results in increased mitochondrial respiration (419, 470, 471). Therefore, indicating that overexpression of NMNAT1 and NMNAT3 is capable of protecting mitochondrial function in COPD. Future studies will be performed in frozen lung samples to measure mitochondrial oxygen consumption rate using a seahorse analyser to further conclude these results.

The current study showed increased levels of oxidative stress marker (Figures 5.10 & 5.17), 4-HNE in the smoke group of WT mice which was reduced in the smoke group of NMNAT1 and NMNAT3 overexpressed mice. 4-HNE is released as a by-product of lipid peroxidation and is an important mediator of oxidant-induced cell signalling and apoptosis (472, 473). Studies have previously shown high levels of 4-HNE in the lungs of COPD patients (474, 475). Both NR and PT in WT mice as well as NMNAT1 and NMNAT3 overexpressing mice, showed a significant reduction in 4-HNE resulting in reduced oxstress in COPD. Therefore, suggesting that NMNAT1 and NMNAT3 overexpression with or without NR and PT treatment can protect from the release of 4-HNE, hence reducing oxidative stress resulting in reduced inflammation and additional benefits in COPD. Moreover, increased expression of NOX2 in the smoke group of WT mice was observed which was reduced with the treatment groups of NR and PT as well

as with the overexpression of NMNAT1 and NMNAT3 mice. Collectively, these results suggested that the overexpression of NMNAT1 and NMNAT3 with or without the administration of NR and PT exhibited protection from oxidative stress by reducing 4-HNE in COPD and hence NR and PT are dispensable for oxstress reductions in NMNAT1 and NMNAT3 overexpressed mice. Previous studies have shown that NMNAT1 modulates mitochondrial oxidative stress via inhibiting caspase-3 signalling in Alzheimer's disease (476, 477). Additionally, NMNAT3 is also known to inhibit caspase-3 signalling in neurons (478, 479) but there is limited evidence available of the reduction of oxidative stress.

In conclusion, we have demonstrated that NMNATs are functionally involved in increasing NAD<sup>+</sup> levels upon the treatment of NR and PT in COPD. Using transgenically overexpressing NMNAT1 and NMNAT3 mice we have shown protection from the development of COPD features. In addition, we have also demonstrated that overexpression of NMNAT1 and NMNAT3 exhibited a reduction in PARP hyperactivity and regulation of SIRTs. As a consequence, there was a reduction in oxidative stress and an increase in mitochondria membrane potential. Although, upon administration of NR and PT to the overexpressing NMNAT1 and NMNAT3 mice exhibited important benefits in COPD such as reducing inflammation, protection from airway remodelling and emphysema, reducing PARP hyperactivity and oxidative stress followed by increasing mitochondria membrane potential. Critically, we found that NR and PT are dispensable for NMNAT1 and NMNAT3 overexpressing mice as the treatment did not show any additional benefits in protecting against COPD features. Importantly, NMNAT1 and NMNAT3 regulate NAD<sup>+</sup> imbalances in COPD, thereby reducing the hyperactivity of PARPs and promoting SIRTs, which further reduced oxidative stress and inflammation subsequently protecting against structural and functional damage to the lung in COPD.
### Chapter 6. Investigating the therapeutic efficacy of immunomodulator TEPP46 in Chronic Obstructive Pulmonary Disease (COPD)

#### 6.1 Introduction

COPD is a condition that is characterised by the limitation of airflow in the lungs, which leads to shortness of breath, wheezing, chest tightness and ongoing chronic cough (297). Chronic exposure to CS is one of the predominant causes of COPD however, other causes include long-term exposure to lung irritants—such as air pollution, chemical fumes, or dust (117). COPD pathologies are associated with chronic airway inflammation such as bronchitis, airway remodelling, collagen deposition, fibrosis, mucus hypersecretion and emphysema which worsens as the disease progresses (326). COPD is difficult to diagnose in the early stages and can progress for years without any noticeable symptoms (146). COPD is the third most common cause of death globally and current available treatments include the use of bronchodilators, oxygen therapy, lung transplantation, or reduction surgeries that alleviate the disease symptoms (23). However, there are no current available treatments that inhibit the progression or reverse the disease and hence, there is an urgent need to identify effective therapies for the treatment of COPD (25).

The mechanisms that drive the inception and progression of chronic inflammation in COPD are not well understood, and this has hampered the development of effective treatments for the disease (277). Current therapeutic approaches are limited and aim to manage symptoms and reduce exacerbations (277). Thus, there is a need for new therapies that inhibit the induction and progression of COPD (278).

Altered metabolism in immune cells leads to oxidative stress resulting in sustained inflammation in COPD (282), accompanied by impaired metabolism (283), mitochondrial dysfunction (279), decreased mitochondrial density and biogenesis (280),

and impaired mitochondrial activity and apoptosis (281). Metabolic marker PKM2 plays an important role in catalysing the last step in the glycolysis pathway which subsequently leads to the production of ATP via oxphos in mitochondria and provides the ultimate source of energy in the cell (160).

The objective of the current study was to investigate the role of impaired immunometabolism and pharmaceutical targeting of this process using the immunomodulator TEPP46 in the induction, progression, and development of COPD in a clinically relevant CS-induced experimental COPD murine model.

#### 6.2 Methods

#### 6.2.1 Experimental designs and groups

This study involved a 2-week (Figure 6.1A) and an 8-week (Figure 6.1B) CS-exposed mouse model treated with intranasal administration of TEPP46. Mice were subjected to nose-only CS exposure of 12 cigarettes, twice a day, five days a week for a period of 2 and 8 weeks.

TEPP46 was dissolved in a cocktail of 4% dimethyl sulfoxide (DMSO) (Sigma Aldrich #D8418) and 20%  $\beta$ -cyclodextrin (Sigma Aldrich # H107-5G) in phosphate buffer saline (PBS) (Life technologies #21600010), which was further also used as a vehicle for the control groups. All mice were weighed daily before intranasal administration of TEPP46/vehicle. The different experimental groups in this study were comprised of the air vehicle group, the smoke vehicle group, and the smoke TEPP46 treatment group as mentioned below in tables 6.1 and 6.2.

The 2-week model was a pilot study performed to determine the optimum dosage of TEPP46 required for the 8-week study. The 2-week study consisted of three different doses of TEPP46; 4 mg/kg, 2 mg/kg, and 1 mg/kg which were selected based on the available literature (Figure 6.1A). For our 8-week study, a dosage of 1mg/kg TEPP46 was intranasally administered every alternate day up to three times a week (Figure 6.1B).

The treated mice were subjected to rest for 2 hrs prior to smoke exposure and were closely monitored after each smoke run. Any mice that lost up to 10-15% of their body weight were rested from treatment and smoke exposure for 24 hrs with intensive monitoring. Once mice were below 10% body weight loss, they were able to recommence treatment and smoke exposure.

Experimental groups	Smoke exposure	Treatments
Air vehicle	-	Vehicle (4% DMSO+20%
		β-cyclodextrin in PBS)
Smoke vehicle	12 cigarettes, 2X day, 5X	Vehicle (4% DMSO+20%
	week	β-cyclodextrin in PBS)
Smoke TEPP46 – 4 mg/kg	12 cigarettes, 2X day, 5X	TEPP46 – 4 mg/kg (4%
	week	DMSO+20% β-
		cyclodextrin in PBS)
Smoke TEPP46 – 2 mg/kg	12 cigarettes, 2X day, 5X	TEPP46 – 2 mg/kg (4%
	week	DMSO+20% β-
		cyclodextrin in PBS)
Smoke TEPP46 – 1 mg/kg	12 cigarettes, 2X day, 5X	TEPP46 – 1 mg/kg (4%
	week	DMSO+20% β-
		cyclodextrin in PBS)

### Table 6.1: Experimental groups of 2-week TEPP46 study

### Table 6.2: Experimental groups of 8-week TEPP46 study

Experimental	Smoke exposure	Treatments
groups		
Air vehicle	-	Vehicle (4% DMSO+20%
		β-cyclodextrin in PBS)
Smoke vehicle	12 cigarettes, 2X day, 5X week	Vehicle (4% DMSO+20%
		β-cyclodextrin in PBS)

Smoke TEPP46 – 1	12 cigarettes, 2X day, 5X week	TEPP46 – 1 mg/kg (4%
mg/kg		DMSO+20% β-
		cyclodextrin in PBS)



Figure 6.1: Experimental design:

(A) Mice were subjected to nose-only CS exposure to 12 cigarettes, twice a day, five days a week and were treated daily with an intranasal administration of TEPP46 at different doses of 4 mg/kg, 2 mg/kg, and 1 mg/kg; (B) Mice were exposed to CS for up to 12 cigarettes, twice a day, five days a week and were subjected to intranasal administration of 1 mg/kg TEPP46 for up to three times a week.

#### 6.3 Results

### 6.3.1 Oxidative stress and mitochondrial dysfunction drive impaired metabolism in experimental COPD model

Lung sections from the 8-week CS-induced COPD model were sectioned and stained with 4-HNE to determine the level of oxidative stress in experimental COPD. The fluorescent intensity measured in the smoke group was significantly higher than in the air group (P<0.05) (Figure 6.2A). Further, mRNA levels of transcripts that encode HO1 (Figure 6.2B) and NOX2 (Figure 6.2C) were observed to be significantly higher in the smoke group as compared to the air group (P<0.01).

JC1 staining was also performed on lung sections from the 8-week CS-induced COPD model to measure  $\Delta\Psi$ m. The MFI of J-aggregates was significantly lower in the smoke group as compared to the air group (P<0.0001) (Figure 6.2D), while the MFI of J-monomers was significantly higher in the smoke group in comparison to the air group (P<0.0001) (Figure 3.6E). Furthermore, the ratio of J-aggregates/J-monomers showed a significant decrease in the smoke group as compared to the air group as compared to the air group (P<0.0001) (Figure 6.2F).

Further, the gene expression profiles of TNF $\alpha$  (Figure 6.2 G), CXCL1 (Figure 6.2H), YM1 (Figure 6.2I) and PARP1 (Figure 6.2J) was upregulated in the smoke group as compared to the air group (P<0.05); whereas the expression of iNOS (Figure 6.2K), PGC1 $\alpha$  (Figure 6.2L), PKM1 (Figure 6.2M), PKM2 (Figure 6.2N), and HIF1 $\alpha$  (Figure 6.2O) was downregulated in the smoke group (P<0.05).











Figure 6.2: Oxidative stress is the potential driver of inflammation in experimental COPD:

(A) 4HNE staining in lung sections of air and 8-week smoke-exposed mice (n=6); Increase in gene expression for oxidative stress markers, (B) HO1, (C) NOX2; Mitochondrial membrane potential staining shows an increase in the accumulation of (D) J aggregates in the air group and (E) J monomers in the smoke group, (F) reduced  $\Delta\Psi m$ in the smoke group; Graphical representation of gene expression of inflammatory and metabolic markers: Increased expression in the smoke group for (G) TNFa, (H) CXCL1, (I) YM1, (J) PARP1; Reduced gene expression for (K) iNOS, (L) PGC1a, (M) PKM1, (N) PKM2, (O) HIF1a. Data represented are means  $\pm$  SEM of 6 mice/group, \* P<0.05,\*\* P<0.01. \*\*\* P<0.001.

### 6.3.2 Administration of TEPP46 reduced inflammatory cell influx in acute CS exposure

Administration of TEPP46 at 4 mg/kg, 2 mg/kg and 1 mg/kg in the 2-week acute CS model showed significantly reduced total leukocyte counts in BAL as compared to the smoke vehicle group (P<0.0001) (Figure 6.3A). Differential counts for macrophages and neutrophils also showed significant reductions associated with TEPP46 administration for all the doses of 4 mg/kg, 2 mg/kg, and 1 mg/kg as compared to the smoke vehicle group (P<0.0001) (Figure 6.3B-C).

The body weight of all experimental groups was monitored daily throughout the 2-week model. We observed a ~10-12% total body weight loss in the mice treated with higher doses of TEPP46 i.e., 4 mg/kg and 2mg/kg as compared to all the other groups of the air vehicle, smoke vehicle and smoke TEPP46-1 mg/kg (Figure 6.3D). Mice from these groups were also observed to have hunched posture, ruffled fur, shaking after smoke exposure and were inactive when compared to the other experimental groups of smoke TEPP46 1 mg/kg, smoke vehicle and air vehicle

The gene expression of TNF- $\alpha$  (Figure 6.3E), CXCL1 (Figure 6.3F), IL-1 $\beta$  (Figure 6.3G), and YM1 (Figure 6.3H) increased (P<0.05) in the smoke vehicle group with respect to the air vehicle group, which was reduced in the smoke TEPP46-1 mg/kg. However, the expression of iNOS was reduced in both the smoke vehicle and smoke TEPP46-1 mg/kg as compared to the air vehicle group (Figure 6.3E).





Figure 6.3: Administration of TEPP46 reduced inflammation in acute CS exposed model:

Graphical representation of (A) reduced cellular infiltrates in the parenchyma, (B) reduced macrophages and (C) neutrophils obtained in BAL; (D) Changes in body weight upon administration of the different dosages of TEPP46; Reduced levels of transcripts

upon TEPP46 treatment that encode (E) TNF $\alpha$ , (F) CXCL1, (G) IL-1 $\beta$ , (H) YM1, and (I) iNOS. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 6.3.3 Administration of TEPP46 protected from alveolar damage during acute CS exposure

An increase in the MLI was observed in the smoke vehicle group in comparison to the air vehicle group and was protected in the smoke TEPP46-1 mg/kg (P<0.0001) (Figure 6.4).





Figure 6.4: Administration of TEPP46 protected from alveolar damage during acute CS exposure:

Reduced mean alveolar diameter in the smoke TEPP46-1mg/kg group (P<0.05). Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

#### 6.3.4 TEPP46 promoted tetramerisation of PKM2 in acute CS exposure

Reduced PK activity levels were measured in the smoke vehicle group as compared to the air vehicle group(P<0.0001) and were found to be significantly increased in the smoke TEPP46-1 mg/kg as compared to the smoke vehicle group (P<0.0001) (Figure 6.5A). Further, the gene expression profile for PKM2 was found to be similar between air vehicle, smoke vehicle and smoke TEPP46-1 mg/kg (Figure 6.5B). However, protein levels of PKM2 were measured by immunofluorescence in which the MFI of PKM2 was found to be significantly increased in the smoke TEPP46-1 mg/Kg as compared to both the air vehicle (P<0.01) and smoke vehicle groups (P<0.0001).



Figure 6.5: TEPP46 promoted tetramerisation of PKM2 in acute CS exposed inflammation:

(A) Increase in the PK activity; (B) Gene expression of PKM2 in lung tissues (C) Increase in the protein levels of PKM2. Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

#### 6.3.5 Administration of TEPP46 promoted the metabolic role of PKM2

PDH activity was observed to be significantly reduced in the smoke vehicle group as compared to the air vehicle group (P<0.05) as shown below and was restored to baseline levels in the smoke TEPP46-1 mg/kg, which was significantly different to the smoke vehicle group (P<0.0001) (Figure 6.6A).

An increase in the gene expression of HIF1 $\alpha$  in the smoke vehicle group was observed as compared to the air vehicle group (P<0.0001) and was significantly reduced in the smoke TEPP46-1 mg/kg (P<0.0001) (Figure 6.6B). However, the protein level of HIF1 $\alpha$  was reduced in the smoke vehicle group as compared to the air vehicle group (P<0.05) and was significantly increased in the smoke TEPP46-1 mg/kg (P<0.05) (Figure 6.6C).

Further, the gene expression of metabolic markers was measured such as PDK1 (Figure 6.6D) which remains unchanged in all the groups; PGC1 $\alpha$ , which was reduced in the smoke vehicle group in comparison to the air vehicle group (P<0.001), and was protected in the smoke TEPP46-1 mg/kg (P<0.05) (Figure 6.6E); PARP1 remained unchanged in all the experimental groups (Figure 6.6F), and PKM1 which was reduced in the smoke TEPP46-1 mg/kg with respect to the smoke vehicle group (P<0.05) (Figure 6.6G).







Figure 6.6Administration of TEPP46 promoted the metabolic role of PKM2 in COPD:

(A)TEPP46 increased PDH activity levels, (B) TEPP46 reduced gene expression of HIf1a, (C) TEPP46 increased protein levels of HIf1a; Gene expression levels of (D) PDK1 was unchanged, (E) PGC1a was reduced with TEPP46 treatment, (F) PARP1was unchanged and (G) PKM1was reduced with TEPP46 treatment. Data represented are  $means \pm SEM$  of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 6.3.6 TEPP46 promoted mitochondria membrane potential (ΔΨm) during acute CS exposure

 $\Delta \Psi m$  was measured for all groups in which J-aggregates remained unchanged in the air vehicle, smoke vehicle and smoke TEPP46-1 mg/kg (Figure 6.7A). J-monomers increased in the smoke vehicle group with respect to the air vehicle group (P<0.0001) and were significantly reduced in the smoke TEPP46-1 mg/kg (P<0.0001) (Figure 6.7B). Importantly,  $\Delta \Psi m$ , which is the ratio of J-aggregates to J-monomers was reduced in the smoke vehicle group as compared to the air vehicle group (P<0.0001), which was protected in the smoke TEPP46-1 mg/kg (P<0.0001) (Figure 6.7C).

NOX2 gene expression was increased in the smoke vehicle group in comparison to the air vehicle group (P<0.0001) and was significantly reduced in the smoke TEPP46-1 mg/kg (P<0.01) (Figure 6.7D). There was no change in the expression of HO1 in any of the experimental groups examined (Figure 6.7E).







Figure 6.7: Administration of TEPP46 promoted mitochondrial function during acute CS exposure:

TEPP46 administration showed (A) no change in J-aggregates (B) reduced J-monomers (C) increased  $\Delta \Psi m$ ; Gene expression of oxidative stress markers in TEPP46 treatment (D) reduced NOX2 and (E) exhibited no change in HO1. Data represented are means  $\pm$ SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

### 6.3.7 Administration of TEPP46 reduced inflammation in experimental COPD model

The 8-week CS-induced experimental COPD mouse model was performed as outlined previously in the section 6.2. An increase in total leukocytes (Figure 6.8A), macrophages (Figure 6.8B) and neutrophils (Figure 6.8C) were significantly elevated in the smoke vehicle group as compared to the air vehicle group (P<0.0001) and was significantly reduced in the TEPP46 smoke group (P<0.0001).

Body weight was measured daily for the duration of the 8-week model. There was a significant weight loss observed in the smoke vehicle group in comparison to the air vehicle group (P<0.0001) however, there was no change observed in the smoke TEPP46-1 mg/kg group in comparison to the smoke vehicle group (Figure 6.8D).

The expression of TNF $\alpha$  (Figure 6.8 E) and CXCL1 (Figure 6.8F) increased significantly in the smoke vehicle group as compared to the air vehicle group (P<0.0001). This was significantly reduced in the smoke TEPP46-1 mg/kg group as compared to the smoke vehicle group (P<0.0001). The expression of iNOS (Figure 6.8G) was significantly reduced in the smoke TEPP46-1 mg/kg as compared to the air vehicle group (P<0.001), however, there was no significant difference between the smoke TEPP46-1 mg/kg and the smoke vehicle group. Furthermore, the expression of YM1 (Figure 6.8H) increased significantly in the smoke vehicle group in comparison to the air vehicle group (P<0.0001) and remained unchanged in the smoke TEPP46-1 mg/kg group.





Figure 6.8: Administration of TEPP46 reduced inflammation in experimental COPD model:

TEPP46 treatment reduced (A) cellular infiltrates in the parenchyma, (B) macrophages and (C) neutrophils obtained in BAL; (D) Changes in body weight. TEPP46 administration (E) reduced the gene expression for TNF $\alpha$ , (F) CXCL1, (G) iNOS and (H) increased the expression levels of YM1. Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

### 6.3.8 Administration of TEPP46 protected from COPD features in experimental COPD studies

Measurement of collagen deposition around airways in the lung leading to airway remodelling was performed. Figure 6.9A below represents collagen deposition around the airways which was significantly increased in the smoke vehicle group as compared to the air vehicle group (P<0.0001) and was protected in the smoke TEPP46-1 mg/kg group (P<0.0001).

Further, a significant increase in the MLI counts was observed in the smoke vehicle group as compared to the air vehicle group (P<0.05) and was protected in the smoke TEPP46-1 mg/kg group (P<0.0001) as represented below in Figure 6.9B).

Mouse lung parameter changes were measured using FlexiVent as mentioned previously under 2.2.9. We observed an increase in IC (P<0.001) (Figure 6.9C); area (P<0.001) (Figure 6.9D), FVC (P<0.001) (Figure 6.9E), FEV (P<0.001) (Figure 6.9F), Cst (P<0.01) (Figure 6.9G), A (P<0.01) (Figure 6.9H), and TLC (P<0.01) (Figure 6.9I) in the smoke vehicle group as compared to the air vehicle group which did not reduce in the treatment group of smoke TEPP46-1 mg/kg. Further, we observed an increase in the lung compliance curves in the smoke vehicle group as compared to the air-vehicle group as compared to the air-vehicle group as compared to the air-vehicle group, which were reduced in the smoke TEPP46-1 mg/kg group (Figure 6.9J).





Figure 6.9: Administration of TEPP46 protected from COPD features:

TEPP46 treatment in COPD (A) reduced collagen deposition around airways, (B) reduced MLI in the lung parenchyma, increased (C) IC, (D) Area, (E) FVC, (F) FEV, (G) Cst, (H) A, (I) TLC, and (J) reduced lung compliance curves. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

### 6.3.9 Administration of TEPP46 promoted tetramerisation of PKM2 in experimental COPD model

A decrease in PK activity levels was observed in the smoke vehicle group with respect to the air vehicle group (P<0.0001) which was increased in the treatment group of smoke TEPP46-1 mg/kg group (P<0.0001) as represented below in Figure 6.10A.

Further, the gene expression levels of PKM2 were increased in the smoke vehicle as compared to the air vehicle group (P<0.01) and remained unchanged in the smoke TEPP46 group (Figure 6.10B). Protein levels of PKM2 were analysed using immunofluorescence staining, which identified decreased PKM2 levels in the smoke vehicle group as compared to both the air vehicle and TEPP46 smoke groups (P<0.05) (Figure 6.10C).





Figure 6.10: TEPP46 promoted tetramerisation of PKM2:

TEPP46 treatment increased (A) PK activity (B) Gene expression of PKM2 and (C) Protein level of PKM2. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

# 6.3.10 Administration of TEPP46 promoted the metabolic role of PKM2 in experimental COPD study

There was a significant reduction in the PDH activity levels in the smoke vehicle group as compared to both the air vehicle and smoke TEPP46-1 mg/kg group (P<0.05) (Figure 6.11A).

The gene expression of HIF1 $\alpha$  was determined to be unchanged across all the groups (Figure 6.11B). Further, the protein levels analysed using immunofluorescence showed a

reduced MFI of HIF1 $\alpha$  in the smoke vehicle group in comparison to the air vehicle and TEPP46 smoke groups (P<0.05) (Figure 6.11C).

The gene expression of metabolic markers such as PDK1 was reduced in the smoke vehicle group however this was not significantly different to the air vehicle group (Figure 6.11D). PGC1 $\alpha$  levels were reduced significantly in the smoke vehicle with respect to the air group (P<0.05) but remained unchanged in the smoke TEPP46-1 mg/kg group (Figure 6.11E). The gene expression of PKM1 (Figure 6.11G) and NF $\kappa$ B (Figure 6.11H) remained unchanged in this study.





PGC1a

0

\* \*





Figure 6.11: TEPP46 promoted the metabolic role of PKM2:

TEPP46 administration in COPD showed (A) increased PDH activity, (B) unchanged gene expression of HIF1 $\alpha$  and (C) increased protein expression of HIF1 $\alpha$ , (D) reduced expression of PDK1, (E) PGC1 $\alpha$ , and no change in (F) PKM1, and (G) NF $\kappa$ B. Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05,\*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 6.3.11 Administration of TEPP46 improved NAD<sup>+</sup> metabolism in the experimental COPD model

The total NAD<sup>+</sup> levels in the smoke vehicle group were lower than that of the air vehicle group (P<0.05) and were increased in the smoke TEPP46-1 mg/kg group in comparison to the smoke vehicle group (P<0.01) (Figure 6.12A). In contrast, we did not observe any difference in the total NADH levels across all the experimental groups (Figure 6.12B). However, there was a significant reduction in the ratio of total NAD<sup>+</sup>/NADH in the smoke vehicle group in comparison to the air vehicle group (P<0.05) which was again increased

in the smoke TEPP46-1 mg/kg group with respect to the smoke vehicle group (P<0.01) (Figure 6.12C).

In addition, we also measured the gene expression of NAD<sup>+</sup> consumers such as PARP1 and SIRT1-7, where we observed an increase in PARP1 expression in the smoke vehicle group in comparison to the air vehicle group (P<0.0001) and was reduced in the smoke TEPP46-1 mg/kg group with respect to the smoke vehicle group (P<0.0001) (Figure 6.12D). We observed a reduction in the gene expression of SIRT3 (Figure 6.12G), SIRT4 (Figure 6.12H), SIRT6 (Figure 6.12J) and SIRT7 (Figure 6.12K) in the smoke vehicle group in comparison to the air vehicle group (P<0.05), however, there was no significant difference in the smoke TEPP46-1 mg/kg group. Further, the gene expression levels of SIRT1 (Figure 6.12E), SIRT2 (Figure 6.12F) and SIRT5 (Figure 6.12I) did not change across any experimental groups in this study.





Figure 6.12: TEPP46 improved NAD<sup>+</sup> metabolism in experimental COPD:

TEPP46 administration in COPD showed (A) increased NAD levels, (B) unchanged NADH levels and (C) increased ratio of NAD/NADH, Gene expression levels for (D) PARP1, (E) SIRT1, (F) SIRT2, (G) SIRT3, (H) SIRT4, (I) SIRT5, (J) SIRT6, (K) SIRT7. Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

# 6.3.12 Administration of TEPP46 protected from oxidative stress in experimental COPD model

The gene expression of oxidative stress markers NOX2 and HO1 were examined, whereby the expression of NOX2 was significantly increased in the smoke vehicle in comparison to the air vehicle group (P<0.0001). There was a significant reduction in NOX2 expression in the smoke TEPP46-1 mg/kg group as compared to the smoke vehicle group (P<0.0001) (Figure 6.13A). There was no change in HO1 expression levels in the smoke vehicle group with respect to the air vehicle group, however, the TEPP46 smoke group showed reduced HO1 in comparison to the smoke vehicle group (P<0.001) (Figure 6.13B).

Further, lung sections from all the groups were stained with 4HNE (green) and F4/80 (red) to localise the oxidative stress response to macrophages within the lung due to lipid peroxidation. An increase in the intensity of 4HNE in the smoke vehicle group was observed with respect to the air vehicle group (P<0.0001). There was a significant reduction in the MFI of 4HNE in the smoke TEPP46-1 mg/kg group as compared to the smoke vehicle group (P<0.0001) (Figure 6.13C).

Moreover, lung sections were also stained with 3-NT (red) and LY6G (green) to localise oxidative stress response to the neutrophils in the lung due to nitration. An increase in the MFI of 3-NT was observed in the smoke vehicle group as compared to the air vehicle group (P<0.0001) which was significantly reduced in the smoke TEPP46-1 mg/kg group as compared to the smoke vehicle group (P<0.0001) as shown below in the Figure 6.13D.







Figure 6.13: TEPP46 protects from oxidative stress in COPD:
TEPP46 treatment in COPD reduced the (A) gene expression of NOX2, (B) HO1, and the MFI in both (C) 4HNE and (D) 3-NT. Data represented are means  $\pm$  SEM of 6-8 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

## 6.3.13 Administration of TEPP46 preserved mitochondrial ultra-structure in experimental COPD

Lung samples from the 8-week CS-induced COPD study were processed to determine mitochondrial morphology with TEM as mentioned previously. Chronic exposure to CS in our 8-week COPD model demonstrated an increase in mitochondrial density in the smoke vehicle group in comparison to the air vehicle group (P<0.05) (Figure 6.14A). However, the smoke TEPP46-1mg/kg group did not show any significant change in the mitochondrial density with respect to both the smoke vehicle group and air vehicle group (Figure 6.14A).

We also observed damaged mitochondrial ultra-structures with an increase in mitochondrial area in the smoke vehicle group with respect to the air vehicle group (P<0.001) (Figure 6.14B) Importantly, the smoke TEPP46-1mg/kg group displayed a reduction in damaged mitochondria via reduced mitochondrial area in comparison to the smoke vehicle group (P<0.05) (Figure 6.14B).



Figure 6.14: Administration of TEPP46 protected mitochondrial ultra-structure in experimental COPD:

(A) Increase in mitochondrial density in the smoke vehicle group does not change with TEPP46 administration; (B) Reduced mitochondrial area in air vehicle and smoke TEPP46-1 mg/kg in comparison to the smoke vehicle group. Data represented are means  $\pm$  SEM of 5 mice/group, \*P<0.05, \*\*P<0.01, \*\*\*P<0.001, \*\*\*\*P<0.0001.

## 6.3.14 Administration of TEPP46 promoted oxidative phosphorylation and ΔΨm in experimental COPD

To perform a quantitative analysis of oxidative phosphorylation, we also measured the protein abundance of complex I-NDUFB8 (Figure 6.15A), complex II-SDHB (Figure 6.15B), complex III-UQCRC2 (Figure 6.15C), complex IV-MTCO1 (Figure 6.15D), and complex V-ATP5A (Figure 6.15E). A significant increase in the protein levels of complex I, III, IV and V were observed in the smoke TEPP46-1 mg/kg in comparison to the smoke vehicle group (P<0.05). In comparison, there was no change in the protein abundance of complex II in all experimental groups. However, the protein levels of all the complexes remain unchanged between the air vehicle and smoke vehicle groups.

Furthermore, the determination of  $\Delta\Psi$ m was performed using JC1 staining on lung histology sections and the ratio of J-aggregates in comparison to J-monomers was measured for all the groups. J-aggregates were reduced in the smoke vehicle group as compared to the air vehicle and smoke TEPP46-1 mg/kg group (P<0.05) (Figure 6.15F). J-monomers were significantly increased in the smoke vehicle group as compared to the air vehicle and smoke TEPP46-1 mg/kg group (P<0.0001) (Figure 6.15G). Further, the  $\Delta\Psi$ m representing the ratio of J-aggregates to J-monomers was reduced in the smoke vehicle group as compared to the air vehicle and smoke TEPP46-1 mg/kg group (P<0.0001) (Figure 6.15H).





Figure 6.15: Administration of TEPP46 promoted mitochondrial function in experimental COPD:

Graphical representation of the increase in protein levels of (A) Complex I-NDUFB8, (B) Complex II-SDHB, (C) Complex III-UQCRC2, (D) Complex IV-MTCO1, (E) Complex V-ATP5A in the smoke TEPP46-1 mg/kg group; TEPP46 also (F) increased J-aggregates, (G) reduced J-monomers and (H) increased  $\Delta\Psi$ m. Data represented are means  $\pm$  SEM of 6-8 mice/group, \* P<0.05, \*\* P<0.01, \*\*\* P<0.001, \*\*\*\* P<0.0001.

## 6.4 Discussion

The objective of this study was to delineate the role of immunometabolism in the development and progression of COPD and to decipher the therapeutic effects of TEPP46 in our CS-induced experimental COPD mouse model. In our study, we used our wellestablished murine model to mimic the hallmark features of COPD that include increased cellular infiltration in the lung, airway remodelling and alveolar destruction leading to reduced lung function (117, 146). COPD is a progressive disorder and is driven by multiple other factors even after cessation of smoking such as oxidative stress due to chronic inflammation, as well as mitochondrial and metabolic dysfunction (297). Immune cells rely heavily on oxidative phosphorylation in the resting state however, during inflammation these cells undergo a metabolic shift and depend on glycolysis for instant ATP production (271). PKM2 plays an important role in catalysing the last step of glycolysis along with regulating cellular metabolism (274). This leads to a shift from the normal respiratory chain to glucose metabolism and lactate production (160). PKM2 exists in a highly active tetramer or low-activity dimer form and can switch between both in healthy cells (480). During inflammation, the shift in PKM2 oligomer is impaired leading to metabolic dysfunction which results in reduced cellular metabolism and oxidative stress (163). Studies have demonstrated the role of PKM2 in tumorigenesis and cancer metabolism but to date, there is limited knowledge about the exact mechanisms of impaired immunometabolism in driving COPD (163).

The findings from our study showed an increase in 4HNE; a marker of oxidative stress produced as a by-product of lipid peroxidation upon CS exposure for 8 weeks (Figure 6.2A). This was further confirmed by an increase in the transcripts that encode for HO1 (Figure 6.2B) and NOX2 (Figure 6.2C). Oxidative stress in COPD is an inherent outcome of mitochondrial dysfunction, and therefore to elucidate the mitochondrial activity we

measured mitochondrial membrane potential using JC1 cationic dye. Healthy energised mitochondria are negatively charged and lead to the accumulation of JC1 forming Jaggregates and exhibiting excitation in the red spectrum at 590nm (481). Whereas, in stressed or apoptotic cells, JC1 is unable to form aggregates resulting in the formation of J-monomers due to the reduced negative charge in the mitochondria, increased membrane permeability and consequent loss of electrochemical potential (307). J-monomers exhibit excitation in the green spectrum at 526nm. The ratio of the J-aggregates/J-monomers in the mitochondria is considered a state of mitochondrial polarisation or highly charged membrane to exhibit full membrane potential (481). We observed a significant reduction in J-aggregates (Figure 6.2D) and an increase in the J-monomers (Figure 6.2E) in our COPD model in the smoke vehicle group, resulting in reduced mitochondrial membrane potential (Figure 6.2F). This reflects the impaired process of the ETC and oxphos resulting in depletion of ATP and therefore acting as a potential driver of chronic inflammation in COPD. This was further validated with an increase in the transcripts encoding TNFa (Figure 6.2G), CXCL1 (Figure 6.2H), YM1 (Figure 6.2I) and PARP1 (Figure 6.2J) after 8 weeks of smoke exposure which confirmed the heightened inflammation in our murine COPD model. Further, we also observed a reduction in the transcripts encoding iNOS (Figure 6.2K), PGC1a (Figure 6.2L), PKM1 (Figure 6.2M), PKM2 (Figure 6.2N), and HIF1a (Figure 6.2O), suggestive of impaired cellular metabolism in our 8-week CS-induced COPD murine model. Taken together, these findings demonstrate that impaired immunometabolism drives inflammation in COPD. Further, we investigated the therapeutic efficacy of immunomodulator TEPP46 in COPD. TEPP46 is known to activate PKM2 by promoting the formation of PKM2 tetramers (271). A 2-week CS-induced acute inflammatory murine model was performed to optimise the dosage for intranasal administration of TEPP46 and elucidate its antiinflammatory effects. The 2-week study showed an increase in total leukocyte infiltration in the BAL of the smoke vehicle group compared to the air vehicle group, which was significantly reduced in all three doses of TEPP46 examined in this acute model (Figure 6.3). However, TEPP46 smoke groups treated with either 4 mg/kg or 2 mg/kg lost up to 10-12% of their body weight (Figure 6.3D). Mice in the smoke TEPP46-1 mg/kg group were observed to be healthy, with normal fur and regular posture and behaviour as also noted in the smoke vehicle and air vehicle groups. Based on these observations in the 2week acute model, it was concluded that these TEPP46 dosages were likely to negatively impact animal welfare in an 8-week COPD model and thus, they were excluded from further analyses. Based on these data, 1 mg/kg was selected as an optimum dosage for long-term 8-week studies. An increase in the gene expression of  $TNF\alpha$  (Figure 6.3E), CXCL1 (Figure 6.3F), IL-1β (Figure 6.3G) and YM1 (Figure 6.3H) upon smoke exposure, and the subsequent reduction upon treatment with TEPP46 further validate the anti-inflammatory properties of TEPP46 in our 2-week murine studies. We observed reduced gene expression of iNOS (Figure 6.3I) which is indicative of inflammation upon smoke exposure and remains unchanged in the TEPP46 treatment group potentially due to the constant CS exposure in the 2-week model.

Irreversible changes to the lung structure are one of the hallmark features of COPD (326). While acute CS exposure in a 2-week model does not typically induce permanent structural changes, we wanted to determine whether TEPP46 caused structural changes to the lung architecture as this was an intranasally administered drug. In our model, we observed alveolar damage upon CS exposure and TEPP46 treatment exhibited protection from alveolar damage, providing a strong rationale to extend these studies further into an 8-week model (Figure 6.4).

Previous studies have demonstrated the role of TEPP46 in the tetramerisation of PKM2 and in promoting the metabolic functions of PKM2 in tumorigenesis (160). Our findings display the tetramerisation of PKM2 in the acute CS-exposed murine model following TEPP46 treatment. We observed an increase in the PK activity level and the PKM2 protein level via immunofluorescence in the TEPP46 treatment group, suggesting the presence of a highly active PKM2 tetramer as expected (Figure 6.5). High PK activity is indicative of increased catalysis of the phosphate group from PEP to ADP (164), yielding pyruvate and ATP. Reduced or abolished pyruvate kinase levels can lead to dampening in the rate of glycolysis resulting in impaired mitochondrial and metabolic function (274). Pyruvate derived from glycolysis is converted to acetyl-CoA by PDH, which enters the TCA cycle and leads to ATP production (482). We observed an increase in PDH activity upon TEPP46 treatment which was reduced upon smoke exposure (Figure 6.6A). PDH deficiency reduces mitochondrial function and is linked to chronic neurological diseases affecting the central nervous system (483). Recent studies have shown that PDH is a target of oncogene-induced senescence (484); activation of PDH enhances pyruvate utilization and increases respiration and redox stress (485). PDH activity is controlled by PDKs (486). The gene expression of PDK1 (Figure 6.6D) didn't change in our acute study along with PARP1 (Figure 6.6F) and PKM1 (Figure 6.6G). However, the expression of PGC1a (Figure 6.6E) was reduced upon smoking and was protected upon TEPP46 treatment. PGC1 $\alpha$  is a mitochondrial biogenesis marker (331), an increase in mitochondrial biogenesis results in an increase in the metabolic enzymes for glycolysis and oxphos (487). Recent studies have highlighted the importance of PKM2 as a coactivator of HIF1a and its role in the metabolic reprogramming of inflammatory cells in promoting the transcription of glycolytic genes (166, 488). We observed an increase in gene expression of HIF1a upon smoke exposure, which was reduced in the TEPP46 treatment group

(Figure 6.6B), contradictory to the protein levels of HIF1 $\alpha$  which was reduced upon exposure to smoke and upregulated in the TEPP46 treatment group (Figure 6.6C). PKM2 and HIF1 $\alpha$  are regulated in a positive feedback loop (273), where HIF1 $\alpha$  regulates PKM2 gene expression, however, PKM2 then interacts with HIF1 $\alpha$  in a loop and promotes the transactivation of HIF1 targeted glycolytic genes.

We further measured  $\Delta \Psi m$  in our 2-week model and observed an increase in the TEPP46 treatment in comparison to the smoke group (Figure 6.7). Interestingly, an increase in  $\Delta \Psi m$  resulted in reduced oxidative stress as observed with a decrease in the gene expression of NOX2 and HO1 with the TEPP46 treatment (Figure 6.7).

In conclusion, this study has demonstrated that TEPP46 exhibited a versatile therapeutic role in acute CS inflammation study by reducing inflammation, promoting metabolic activity and increase in mitochondrial activity leading to reduced oxidative stress. Further, we investigated the efficacy of TEPP46 in an experimental COPD murine model. Inflammation is a major driver of COPD pathogenesis (139), as in our 8-week model we observed an increase in cellular infiltration in BAL of smoke vehicle mice which was significantly reduced upon the administration of TEPP46 (Figure 6.8). Furthermore, validation of the anti-inflammatory role of TEPP46 in COPD was confirmed with the reduction in the transcripts encoding TNFa, CXCL1, YM1, and iNOS as compared to the smoke vehicle group (Figure 6.8). Further, we observed a significant reduction of body weight in the smoke vehicle group in comparison to the air vehicle group which is a feature of the CS-induced experimental COPD model, however, treatment with TEPP46 did not show any improvements in the overall body weight in comparison to the smoke vehicle group. This could be due to various systemic factors which play important role in the disease progression and further studies will be required to understand the underlying mechanisms for the restoration of body weight.

Emphysema and chronic bronchitis are two important disease features of COPD (489). The mechanisms that drive these features remain unclear and have led to a delay in the development of effective therapies for COPD (490). Nevertheless, high doses of corticosteroids are widely employed through inhalation, but their efficacy is limited to reducing exacerbation frequency or, combined with bronchodilators to improve COPD (491). In the current study, chronic exposure to CS leads to damage in air sacs resulting in emphysema, airway remodelling, and deposition of collagen around the airways when compared to the air vehicle group. Importantly, these measurements were significantly reduced by the administration of TEPP46 relative to the smoke vehicle group (Figure 6.9 A&B). These changes reflected the protective therapeutic role of TEPP46 in preventing or impairing the development of chronic bronchitis and emphysema in our murine model. The structural changes in the lung often lead to impaired lung function which is a clinically-relevant measurement in COPD patients often intrinsically linked to COPD severity (492). The progressive airflow limitation is associated with morbidity and mortality in COPD hence a major goal of recent studies has been to delay or prevent the reduction of lung function (493). Our study showed impaired lung function due to chronic exposure to CS leading to an increase in IC, area (hysteresis), FVC, FEV, Cst, A, and TLC in comparison to the air vehicle group. However, there were no improvements in lung function parameters with TEPP46 treatment (Figure 6.9). This is unsurprising given that until recently, the only known effective intervention to restore lung function capacity in COPD patients was smoke cessation (364). Moreover, lung compliance curves are representative of emphysema, and we observed an increase in the area of the curve in the smoke vehicle group which was reduced with the administration of TEPP46 back to baseline level, suggesting that TEPP46 treatment might potentially restore or delay lung function changes in COPD upon cessation of smoke. Collectively, these results suggest

that intranasal administration of TEPP46 protects lung structure from the damage induced by CS in COPD and might potentially restore function upon cessation of smoke exposure. Further studies will be required to confirm the efficacy of TEPP46 in the restoration of lung function upon cessation of smoke exposure.

To unravel the therapeutic benefits of TEPP46 in COPD, we confirmed our previous findings in a 2-week model of TEPP46 administration in enhanced tetramerisation of PKM2 in our 8-week COPD model. We observed an increase in the PK activity level (Figure 6.10A), the gene expression of PKM2 (Figure 6.10B), and the protein level of PKM2 (Figure 6.10C) in the smoke TEPP46-1 mg/kg group, suggesting the presence of the highly active tetramer form of PKM2 following TEPP46 treatment in smoke-exposed mice and might potentially lead to an increase in glycolysis. Studies have shown that the rate of glycolysis increases in COPD leading to an imbalance in pyruvate produced from glycolysis and oxidation of pyruvate via the TCA cycle which leads to the production of lactate (494, 495).

We further observed an increase in PDH activity upon TEPP46 treatment in COPD (Figure 6.11A). The gene expression of PDK1 is reduced upon the TEPP46 treatment (Figure 6.11D), along with the expression of PGC1 $\alpha$  (Figure 6.11E). We did not observe any change in the gene expression of PKM1 (Figure 6.11F), NF $\kappa$ B (Figure 6.11G), and HIF1 $\alpha$  (Figure 6.11B), however, the protein levels of HIF1 $\alpha$  were reduced upon exposure to smoke and upregulated in the TEPP46 treatment group (Figure 6.11C). Collectively, these results suggest that the administration of TEPP46 promoted the tetramerisation of PKM2 which further increases the metabolic role of PKM2 in COPD.

Chronic exposure to CS in the smoke vehicle group resulted in NAD<sup>+</sup> depletion and a reduction in the ratio of NAD<sup>+</sup> to NADH ratio in comparison to the air vehicle group and was increased in the smoke TEPP46-1 mg/kg group, suggesting that the administration

of TEPP46 improved NAD<sup>+</sup> metabolism in COPD (Figure 6.12A). In contrast, we did not observe any change in total NADH levels across all experimental groups (Figure 6.12B), however, there was a reduction in the ratio of total NAD<sup>+</sup>/NADH in the smoke vehicle group in comparison to the air vehicle group which increased with the administration of TEPP46 in the smoke TEPP46-1 mg/kg group as compared to the smoke vehicle group (Figure 6.12C). These results exhibit an imbalance of NAD<sup>+</sup> in COPD, which was protected with the administration of TEPP46. Furthermore, increases in the gene expression of PARP1 (Figure 6.12D) in the smoke vehicle group suggest that hyperactivity of PARP1 is a potential contributing factor for NAD<sup>+</sup> depletion in COPD. In support of this, the administration of TEPP46 reduced PARP1 expression which is in line with our previous findings demonstrating increased NAD<sup>+</sup> levels following TEPP46 treatment. In contrast, we observed reduced gene expression of SIRT3-4, 6-7 (Figure 6.12E-K) in the smoke vehicle group, likely due to reduced NAD<sup>+</sup> levels. However, the TEPP46 treatment did not show any changes in the expression of SIRT1-7, potentially due to the prophylactic administration of the treatment along with the CS exposure in this study and might improve with the therapeutic administration of TEPP46. In conclusion, these results demonstrate that the administration of TEPP46 improved NAD<sup>+</sup> metabolism in experimental COPD.

Oxstress and mitochondrial damage are potential drivers of inflammation in COPD (496). COPD patients show increased oxidative stress in the lungs induced by airway inflammatory and structural cells (316). These changes lead to a reduction in antioxidant defence with the inactivation of several transcription factors and antioxidant enzymes (313). The current study shows increased levels of NOX2 (Figure 6.13A) in the smoke vehicle group in comparison to the air group which was reduced in the smoke TEPP46-1 mg/kg group. This was further confirmed with 4HNE (Figure 6.13C) and 3-NT (Figure 6.13D) levels. However, we did not see any change in gene expression of HO1 between the air vehicle and smoke vehicle groups, but smoke TEPP46-1 mg/kg reduced HO1 expression levels (Figure 6.13B). Taken together, these results suggested that the administration of TEPP46 reduced oxidative stress in COPD.

Previous studies have shown that oxidative stress leads to changes in mitochondrial structure and membrane permeability (317). Mitochondrial ultra-structural changes have revealed novel pathologies in chronic diseases in numerous different studies (497, 498) but there has been no evidence of mitochondrial ultrastructural changes in COPD. In our study, we observed an increase in the number of mitochondria as well as an increase in the mitochondrial area upon chronic exposure to CS indicating damage in mitochondrial ultra-structure (Figure 6.14A & B). TEPP46 treatment in our experimental COPD model protected mitochondrial density and area, preserving mitochondrial structure in COPD (Figure 6.14A & B).

Recent studies have shown that PKM2 tetramer exhibit high catalytic activity which increases pyruvate production and promotes the glucose flux to increase oxidative phosphorylation (499), which is one of the crucial mitochondrial functions to synthesise ATP via the electron transport chain. We observed an increase in the protein levels of complex I, III, IV and V in the smoke TEPP46-1 mg/kg group (Figure 6.15A-E) suggesting that TEPP46 increased oxidative phosphorylation in COPD via increased tetramerisation of PKM2. However, we did not see a change in complex II in any experimental group in this study which isn't surprising as there is no evidence of PKM2 regulating succinate dehydrogenase which helps in promoting the Krebs cycle.

Moreover, an increase in  $\Delta\Psi$ m was observed in the TEPP46 treatment group (Figure 6.15F), with increased accumulation of J-aggregates (Figure 6.15G) and reduction in J-monomers (Figure 6.15H). Collectively, these results suggested that the administration of

TEPP46 preserved the mitochondrial structure and function via increased oxidative phosphorylation and mitochondria membrane potential.

In conclusion, our study has demonstrated that chronic exposure to CS leads to impaired immunometabolism driving oxidative stress and inflammation in COPD leading to important pathophysiological features of COPD. We have investigated the therapeutic efficacy of TEPP46 in an acute CS exposure and chronic experimental COPD murine model whereby administration of TEPP46 not only showed improvements in lung inflammation and structure but also promotes metabolic function, resulting in reduced oxidative stress and protection of mitochondrial structure and function. Further studies will be required to decipher the implications of TEPP46 in reversing or delaying the progression of COPD.

## **Chapter 7. General Discussion**

## 7.1 Introduction

COPD is the 3rd leading cause of chronic morbidity and mortality worldwide (500, 501). Chronic inhalation of CS is notably the major known risk factor for COPD (501, 502). COPD is characterised by the limitation of airflow in the lungs (1) and is largely driven by alveolar destruction (emphysema) (503), chronic bronchitis (504) and impaired lung function (505). These events lead to physiological and pathological changes in the lung which are further associated with increased airway inflammation in COPD (506). The mechanisms that drive the inception and progression of chronic inflammation in COPD are not well understood, and this has hampered the development of effective treatments for the disease (277, 507). Current therapeutic approaches are limited and largely manage symptoms and reduce exacerbations (277, 508). Thus, due to the incomplete understanding of COPD pathogenesis, there are no available treatments that inhibit the progression or reverse the disease features.

Recent studies have highlighted that altered metabolism in immune cells leads to oxidative stress resulting in sustained inflammation in COPD (282, 509), accompanied by impaired metabolism (283, 510), mitochondrial dysfunction (96, 279), decreased mitochondrial density and biogenesis (92, 280), and impaired mitochondrial activity and apoptosis (92, 281). NAD<sup>+</sup> is responsible for carrying out essential biochemical reactions responsible for ATP production in mitochondria which provides the ultimate source of energy in a cell (318, 401). Currently, there is no evidence of the contribution of NAD<sup>+</sup> in impaired immunometabolism driving COPD (238, 511). The objective of the current study was to understand the role of NAD<sup>+</sup> in impaired immunometabolism involved in the induction, progression, and development of COPD. The thesis investigates the role of

NR, PT and TEPP46 as therapeutic interventions in COPD in a clinically relevant CSinduced experimental COPD murine model.

## 7.2 Experimental findings

#### 7.2.1 Imbalance in NAD<sup>+</sup> homeostasis

 $NAD^+$  is a central redox cofactor that participates in energy metabolism pathways (512, 513) such as glycolysis (514),  $\beta$ -oxidation (515), and oxidative phosphorylation (516, 517). Additionally, it is required for post-translational modifications (324) such as deacetylation (518, 519) and ADP-ribosylation (520) by SIRTs (521, 522) and PARPs (523). NAD<sup>+</sup> is involved in DNA damage repair (524), and oxidative stress responses (525). Impaired NAD<sup>+</sup> metabolism is a causative factor in numerous metabolic disorders such as diabetes (526, 527) and fatty liver disease (528, 529). In addition, NAD<sup>+</sup> levels decrease with aging and obesity (530, 531) suggesting declining NAD<sup>+</sup> levels are associated with reduced metabolism. Primary deficiencies in NAD<sup>+</sup> homeostasis are caused by impaired NAD<sup>+</sup> biosynthesis (532, 533), while secondary deficiencies result from increased NAD<sup>+</sup> consumption driven by PARPs, SIRTs and CD38 (478, 534). Activation of PARPs (379, 535) and reduced histone deacetylation (248, 536) in COPD pathogenesis highlight the potential involvement of NAD+, as both PARPs and SIRTs, are dependent on NAD<sup>+</sup> as a co-factor (537). To date, there is limited knowledge about the imbalances of NAD<sup>+</sup> depletion in the induction and the progression of the disease and if restoration of NAD<sup>+</sup> can potentially exert therapeutic benefits in COPD. Therefore, we investigated the role of NAD<sup>+</sup> during the pathogenesis of the disease and therapeutically targeted NAD<sup>+</sup> imbalances using different dietary modulators in COPD.

We have demonstrated in chapter 3 that there is a significant reduction in the total NAD<sup>+</sup> levels in our CS-induced experimental COPD mouse model and that these NAD<sup>+</sup> levels are consistently reduced from as early as 2 weeks and remain changed throughout the 12-week smoke exposure period. Additionally, we observed an increase in NADH levels upon CS exposure across all these time points. Consequently, the ratio of total NAD+ to NADH levels was reduced, indicative of imbalances of NAD<sup>+</sup>/NADH ratios during the development and progression of COPD. Previous studies have shown a decline in NAD<sup>+</sup> levels is associated with aging (538), and metabolic disorders like diabetes (539) and fatty liver disease (540) are linked to worsened outcomes and poor clinical prognosis which is largely driven by imbalances in NAD<sup>+</sup> synthesis and consumption pathways (541, 542). Therefore, it is plausible that imbalances in NAD<sup>+</sup> homeostasis may be a potential contributing factor driving COPD progression. Moreover, therapeutics that are able to modulate NAD<sup>+</sup> metabolism represent attractive interventions to prevent or reduce COPD development or progression.

## 7.2.2 Targeting impaired NAD<sup>+</sup> homeostasis using NR and PT in COPD

Recent advances in immunometabolism have highlighted NAD<sup>+</sup> as a vital co-factor that can activate SIRTs (543, 544) and maintain mitochondrial fitness via mitochondrial unfolded protein responses (UPRmt) (545, 546) required to maintain cellular functions (547). To therapeutically target impaired NAD<sup>+</sup> biosynthesis and consumption in COPD, we used dietary interventions of NR and PT in this study. NR is a precursor molecule of NAD<sup>+</sup> (548), therefore might potentially increase NAD<sup>+</sup> synthesis in COPD. On the other hand, PT is known to activate SIRT1 (549), which is one of the major NAD<sup>+</sup> consumers (550), therefore might potentially increase NAD<sup>+</sup> consumption in COPD. Chronic oxidative stress in COPD leads to significant DNA damage during the progression of the disease causing rapid activation of PARP1 to repair DNA lesions (329). In our study, we have demonstrated an increase in the activity of PARPs in COPD which is potentially activated in order to repair DNA damage caused via chronic exposure to CS. Additionally, we have shown a reduction in the total NAD<sup>+</sup> levels in COPD leading to an imbalance in NAD<sup>+</sup>/NADH ratios. PARPs rely on NAD<sup>+</sup> to modulate chromatin structure in order to repair DNA damage (551), this suggests that the overactivation of PARPs might result in NAD<sup>+</sup> depletion in COPD. Recent studies have shown that DNA-damage-dependent PARP overactivation contributes to increased inflammation and oxidative stress. in COPD (329, 552). Studies have also shown that reduced deacetylation in COPD patients accounts for amplified inflammation leading to COPD exacerbations (553, 554). Therefore, reduction or inhibition of the overactivation of PARPs is crucial to stop the damage or delay the progression of COPD.

The therapeutic interventions, NR and PT in the CS-induced COPD model reduced inflammatory cell infiltration in the BAL, leading to improvements in lung structure and function as listed below in table 7.1. This was an important finding given that currently there are no known dietary interventions that protect from COPD features. In addition, studies have shown that NRPT reduced hepatic inflammation in non-alcoholic fatty liver disease (NAFLD) patients via increasing NAD<sup>+</sup> in the blood (555). Similarly, the administration of NR and PT increased the total NAD<sup>+</sup> levels and reduced NADH levels in our COPD mouse model. Critically, the administration of NR and PT improved the ratio of NAD<sup>+</sup>/NADH, consequently reducing the overactivation of PARPs and leading to an increase in SIRTs. These events potentially lead to a reduction of inflammation subsequently leading to protection from damage to lung structure and function in COPD. Moreover, NR and PT further improved the structure and function of mitochondria via

increased oxphos proteins and increased mitochondria membrane potential. These events subsequently lead to reduced oxidative stress in COPD which further reduced inflammation and COPD exacerbations. In conclusion, our findings from chapter 3 indicate that NR and PT have significant potential as therapeutics for COPD.

## Table 7.1: Benefits of NR and/or PT in COPD

NAD <sup>+</sup> modulators	NR	РТ	NRPT
COPD feat	ures		
Airway inflammation	Reduced	Reduced	Reduced
Airway remodelling	Reduced	Reduced	Reduced
Emphysema	Reduced	Reduced	Reduced
Impaired lung function	Reduced	Reduced	Reduced
	IC, Area,	IC and	IC, Area,
	and TLC	Area	and TLC
NAD <sup>+</sup> biosynthesis and	d consumptio	n	
Total NAD <sup>+</sup> levels	Increased	Increased	Increased
Total NADH levels	Reduced	Reduced	Reduced
Ratio of NAD <sup>+</sup> /NADH levels	Increased	Increased	Increased
PARP activity	Reduced	Reduced	Reduced
Mitochondrial structur	re and functi	on	
Mitochondrial structure			
Mitochondrial density	Reduced	No change	Reduced
Mitochondrial area (swelling)	Reduced	Reduced	Reduced
Cristae density	Increased	Increased	Increased
Cristae volume	Increased	Increased	Increased
Cristae area	Increased	Increased	Increased
Mitochondria membrane potential	Increased	Increased	Increased
Relative protein levels for ETC complexes:			
Complex I-NDUFB8	Increased	Increased	Increased
Complex II-SDHB	No change	No change	Increased
Complex III-UQCRC2	Increased	No change	Increased
Complex IV-MTCO1	Increased	Increased	Increased
Complex V-ATP5A	Increased	Increased	Increased
Oxidative stress	Reduced	Reduced	Reduced

## 7.2.3 Implications of NR and PT in advanced COPD stages

Modulation of NAD<sup>+</sup> levels with NR and PT proved to be beneficial in reducing COPD features as mentioned in chapter 3. As such, we next wanted to explore the therapeutic potential of NR and PT in delaying the progression of COPD as well as reversing the damage in the advanced stages of COPD. Using our 12-week therapeutic COPD model with NR and PT with or without cessation of smoke, we have demonstrated that administration of NR and PT during the COPD progression phase between 8-12 weeks of smoke exposure resulted in suppressed cellular infiltration in the BAL and reduced airway inflammation. Furthermore, administration of NR and PT during the COPD progression phase between and restored impaired lung function as listed below in table 7.2. We have also demonstrated that the administration of NR and PT increased total NAD<sup>+</sup> levels and exhibited numerous benefits in restoring mitochondrial impairment as listed below in table 7.2. In addition, we observed a reduction in PARP activity levels and an increase in SIRTs which contributed to reduced oxidative stress and improvement in mitochondrial structure and function.

Further, using our 12-week prophylactic COPD study with NR and PT, we have highlighted the benefits of reducing airway inflammation, and improving the severity of airway remodelling and emphysema as listed below in table 7.2. Interestingly, we observed stark differences in the efficacy of NR and PT to prevent the development and progression of COPD, relative to the length of treatment administration. While we identified that NR and PT were able to improve COPD progression and increase NAD<sup>+</sup> levels during the 8-week model, we found that administration of NR and PT throughout a 12-week model led to no improvements in COPD hallmark features or increases in

NAD<sup>+</sup> levels/PARP consumer activity. Studies have demonstrated that excess NAD<sup>+</sup> can potentially contribute to senescence-associated secretory phenotype (SASP) (556, 557), which drives chronic inflammation and DNA damage in COPD-derived fibroblasts (558, 559). This supports our evidence of no change in PARP activity suggesting DNA damage, however, future studies will be required to validate DNA damage and its effect on PARylation in COPD. Mitochondrial dysfunction in COPD patients is characterised by reduced enzyme activities (172, 395), resulting in loss of oxidative capacity (560, 561), and increased T-cell apoptosis caused due to abnormalities in mitochondrial morphology (562, 563) such as swelling (564, 565). These events further lead to increased exacerbations in COPD patients (566, 567). Additionally, we have shown a reduction in oxidative stress in the 12-week prophylactic COPD study with NR and PT which potentially improved the mitochondrial structure. As a consequence, we observed an increase in mitochondria membrane potential and increased expression of oxphos proteins.

Additionally, we have also shown that cessation of smoke was able to reduce airway inflammation and oxidative stress as well as increase citrate synthase activity and mitochondria membrane potential. However, damage to the lung structure and impaired lung function persisted with the cessation of smoke as listed below in table 7.2. This was to be expected given that CS exposure instigates the constant release of pro-inflammatory cytokines (155, 568) which leads to increased cellular infiltration in the lung (569, 570). Therefore, upon cessation of smoking, we observed a reduction in airway inflammation. CS is a form of exogenous ROS (571, 572) which leads to oxidative stress and the generation of mtROS (170, 571, 573). Henceforth, cessation of smoke was capable of reducing oxidative stress. Additionally, an increase in citrate synthase could potentially be due to an increase in mitochondrial swelling as citrate synthase is a mitochondrial

matrix protein that increases due to chronic exposure to CS in COPD (574, 575). Previous studies have shown the cessation of smoking not only delayed the progression of COPD but also reduced the risk of hospitalisation and mortality (576-578). Furthermore, the damage caused due to CS exposure in COPD is capable of inducing mitochondrial abnormalities leading to mitophagy which is an irreversible process (579-581). This supports our findings of minimal improvement in the mitochondrial structure and function with cessation of smoke, suggesting that additional treatments are required upon smoke cessation to reverse the damage in COPD.

Therapeutic implications of NR and PT in COPD				
Experimental groups	8wks smoke	8wks smoke	12wks	12wks
	+ 4wks rest	+ 4wks rest+	smoke+	smoke+
		4wks NRPT	12wks NRPT	4wks NRPT
	СОР	D features	·	·
Airway inflammation	Reduced	Reduced	Reduced	Reduced
Airway remodelling	No change	Reduced	Reduced	Reduced
Emphysema	No change	Reduced	Reduced	Reduced
Impaired lung	No change	Reduced IC	No change	Reduced IC
function		and Area		and TLC
N	<b>AD<sup>+</sup> biosynth</b>	esis and consun	ption	
Total NAD <sup>+</sup> levels	Increased	Increased	No change	Increased
Total NADH levels	Reduced	Reduced	Reduced	Reduced
Ratio of NAD <sup>+</sup> /NADH	No change	Increased	No change	Increased
levels				
PARP activity	Reduced	Reduced	No change	Reduced
Mitochondrial structure and function				
Mitochondrial structure	è			
Mitochondrial density	Reduced	Reduced	Reduced	Reduced
Mitochondrial area	No change	No change	Reduced	Reduced
(swelling)				
Cristae density	No change	Increased	Increased	Increased
Cristae volume	No change	No change	Increased	Increased
Cristae area	No change	No change	No change	No change

Table 7.2: Therapeutic implications of NR and PT in advanced stages of COPD

Mitochondria	Increased	Increased	Increased	Increased
membrane potential				
<b>Relative protein levels for ETC complexes:</b>				
<b>Complex I-NDUFB8</b>	No change	Increased	Increased	Increased
<b>Complex II-SDHB</b>	No change	Increased	Increased	No change
<b>Complex III-UQCRC2</b>	No change	Increased	No change	Increased
<b>Complex IV-MTCO1</b>	No change	Increased	Increased	Increased
<b>Complex V-ATP5A</b>	No change	Increased	Increased	Increased
Oxidative stress	No change	Reduced	Reduced	Reduced

## 7.2.4 Therapeutic targeting of NAD<sup>+</sup> compartmentalisation in COPD

Intracellular NAD<sup>+</sup> levels depend on a diverse range of physiological stimuli such as energy stressors (582, 583), glucose deprivation (584, 585), and fluctuations in the circadian cycle (586). The presence of three different isomers of NMNATs (224, 587) including NMNAT1 in the nucleus (415, 588), NMNAT2 in the cytosol (417, 418) and NMNAT3 in the mitochondria (458, 589), are involved in salvaging NAD<sup>+</sup> from NMN (411, 590). NAD<sup>+</sup> degrading enzymes such as PARPs and SIRTs are also present in these compartments (591, 592), which suggests that NAD<sup>+</sup> salvage is tailored according to compartment-specific needs (593, 594). Recent studies have highlighted the importance of targeting NMNATs in modulating NAD<sup>+</sup> homeostasis in research areas of cancer (264), diabetes (225), aging (400, 519) and COPD (595). NMNATs have been shown to modulate the deacetylation activity of SIRT1 in COPD (536, 595). However, as NAD<sup>+</sup> metabolism is a novel research avenue for therapeutic interventions in COPD, the role of NMNATs in COPD remains unexplored. Therefore, we investigated the role of NMNATs in COPD by utilising overexpressing NMNAT1 and NMNAT3 transgenic mice.

Our findings in chapter 3 demonstrated that an imbalance in  $NAD^+$  homeostasis is a potential contributing factor driving the progression of COPD. Moreover, upon therapeutic targeting of  $NAD^+$  homeostasis with NR and PT, we observed benefits in

COPD features as listed above in tables 7.1 and 7.2. Additionally, we identified increased gene expression of NMNAT1, NMNAT2 and NMNAT3 upon administration of NR and PT in our COPD study. Henceforth, we sought to decipher the role of NMNATs in COPD progression by using transgenic overexpressing NMNAT1 and NMNAT3 mice to further unravel the mechanisms of restoration of NAD<sup>+</sup> balance in COPD.

We demonstrated in chapter 5 that overexpression of NMNAT1 and NMNAT3 in COPD reduced cellular infiltrates in the BAL, thereby exhibiting reduced airway inflammation. Additionally, NMNAT1 and NMNAT3 overexpression protected lung structure in COPD via protecting against airway remodelling and emphysema as mentioned below in table 7.3. Furthermore, we observed partial protection from impaired lung function. In addition, we have also demonstrated that overexpression of NMNAT1 and NMNAT3 exhibited a reduction in PARP hyperactivity and regulation of SIRTs, therefore reducing oxidative stress and increasing mitochondria membrane potential in COPD. Studies have shown that NMNAT1 modulates mitochondrial oxstress by inhibiting caspase-3 signalling in Alzheimer's disease (476). It has also been highlighted that NMNAT3 improves mitochondrial function by reducing oxstress by improving NAD<sup>+</sup> levels and promoting SIRT3 expression (467). Therefore, it is possible that NMNAT1 and NMNAT3 overexpression was protective against mitochondrial dysfunction in COPD, which further reduced oxidative stress, increased  $\Delta\Psi$ m and reduced subsequent damage during the disease pathogenesis exhibiting protection against the disease features.

The overexpression of NMNAT1 did not increase total NAD<sup>+</sup> but reduced NADH levels in COPD. However, we did not observe a change in the ratio of total NAD<sup>+</sup>/NADH with NMNAT1 overexpression. This was intriguing as it is well known that NMNAT1 is crucial for maintaining nuclear NAD<sup>+</sup> levels (596) however, NMNAT1 does not change NAD<sup>+</sup> biosynthesis but protects from SARM1-mediated NAD<sup>+</sup> depletion in axon 348 degeneration (597). Henceforth, it is plausible that the overexpression of NMNAT1 in COPD did not increase NAD<sup>+</sup> biosynthesis but prevented NAD<sup>+</sup> depletion by regulating its consumption. In contrast, the overexpression of NMNAT3 showed an increase in the total NAD<sup>+</sup> levels as well as a reduction in the NADH level in COPD. This suggests that overexpression of mitochondrial NMNAT3 might directly contribute to NAD<sup>+</sup> biosynthesis in COPD, which further promotes an increase in mitochondrial respiration and reduction in mtROS as previously stated in aging studies (598).

<b>Table 7.3: Elucidating the role of overexpresse</b>	ed NMNAT1 and NMNAT3 in COPD
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Experimental groups	NMNAT1	NMNAT3	
	COPD features		
Airway inflammation	Reduced	Reduced	
Airway remodelling	Reduced	Reduced	
Emphysema	Reduced	Reduced	
Impaired lung function	Reduced RV	Reduced FRC and VC	
NAD <sup>+</sup> biosynthesis and consumption			
Total NAD <sup>+</sup> levels	No change	Increased	
Total NADH levels	Reduced	No change	
Ratio of NAD <sup>+</sup> /NADH levels	No change	No change	
PARP activity	Reduced	Reduced	
Mitochondrial membrane potential and Oxstress			
Mitochondria membrane	Increased	Increased	
potential			
Oxidative stress	Reduced	Reduced	

# 7.2.5 Implications of NR and PT in transgenically overexpressed NMNAT1 and NMNAT3 in COPD

We have demonstrated in chapter 5 that NMNATs are functionally involved in increasing NAD<sup>+</sup> levels upon the treatment of NR and PT in COPD. Henceforth, to understand if the

administration of NR and PT in overexpressed NMNAT1 and NMNAT3 mice can further boost NAD<sup>+</sup> levels and if that can provide additional benefits in COPD. The overexpression of NMNAT1 and NMNAT3 has exhibited protection against airway inflammation, airway remodelling and emphysema in COPD. Additionally, we also observed partial protection against impaired lung function. Transgenically overexpressed NMNAT1 and NMNAT3 mice are known to have increased NAD<sup>+</sup> levels (435), and as such administration of NR and PT to these mice enabled us to induce an additional boost to the NAD<sup>+</sup> levels. This was to further decipher whether the benefits previously observed with overexpression of NMNAT1 and NMNAT3 are solely due to the restoration of NAD<sup>+</sup> or due to off-target effects.

Our findings in chapter 5 demonstrated that administration of NR and PT to the overexpressed NMNAT1 and NMNAT3 mice exhibited numerous benefits in COPD such as reducing inflammation, protection from airway remodelling and emphysema as listed below in table 7.4. Additionally, we observed a reduction in PARP hyperactivity and oxidative stress upon administration of NR and PT in NMNAT1 and NMNAT3 overexpressed mice in COPD which was previously observed with the overexpression on its own. These findings indicated that NR and PT treatment in NMNAT1 and NMNAT3 overexpression had no additional benefit in COPD.

Future studies will be conducted to understand the underlying mechanisms as currently there is limited knowledge on the effects of NR and PT in NMNAT1 and NMNAT3 overexpression.

We have demonstrated that NR and PT treatment in overexpressing NMNAT1 and NMNAT3 mice worsened impaired lung function parameters. These findings suggested possible negative effects of elevated NAD+ synthesising enzymes. Studies have

suggested that high levels of NAM have shown adverse effects on multiple scenarios such as inhibition of PARPs and compromising genome integrity (225). Additionally, NAM alters cellular methyl metabolism and further affects DNA methylation (599), followed by alterations in the cellular transcriptome (600) and proteomes (601) which contribute to the development and progression of chronic diseases. In this context, these findings are in line with the literature and suggest a mechanism by which NR and PT treatment worsened lung function parameters in NMNAT1 and NMNAT3 overexpressing mice.

 Table 7.4: Implications of NR and PT on overexpressed NMNAT1 and NMNAT3

 mice in COPD

Experimental groups	NMNAT1+NRPT	NMNAT3+NRPT	
COPD features			
Airway inflammation	Reduced	Reduced	
Airway remodelling	Reduced	Reduced	
Emphysema	Reduced	Reduced	
Impaired lung function	Increased IC, A, FVC, VC, FRC	Increased Rn, Rrs	
NAD <sup>+</sup> biosynthesis and consumption			
Total NAD <sup>+</sup> levels	Reduced	No change	
Total NADH levels	No change	Reduced	
Ratio of NAD <sup>+</sup> /NADH levels	Reduced	Increased	
PARP activity	Reduced	Reduced	
Mitochondrial membrane potential and Oxstress			
Mitochondria membrane potential	Increased	Increased	
Oxidative stress	Reduced	Reduced	

## 7.2.6 Impairment of PKM2 in COPD

Recent studies have highlighted that cellular bioenergetic pathways play an important role in maintaining cellular function which involves metabolic homeostasis (602), metabolic reprogramming (603) and immune cell activation (604). All of these cellular processes are known to contribute to the pathogenesis of COPD (605), however, the role of metabolic reprogramming and its underlying mechanisms are not fully understood. Studies have shown metabolic marker PKM2 plays an important role in catalysing the last step in the glycolysis pathway which subsequently leads to the production of ATP (606). However, in COPD patients increased glucose production has been noted with faster glucose metabolism via glycolysis suggesting the shift in metabolism may be a contributing factor in COPD pathogenesis (607, 608). In chapter 6, we investigated the role of PKM2 in contributing to impaired metabolism during the progression of COPD. The findings from our study have demonstrated that chronic exposure to CS leads to reduced PKM2 levels which drive impaired immunometabolism in COPD leading to important pathophysiological features of COPD. Studies have shown that PKM2 regulated CS-induced airway inflammation via modulating mitophagy (609), therefore, metabolic dysregulation driven by PKM2 has been a recent focus to develop novel therapeutics for COPD (610). Our study showed a reduction of mitochondria membrane potential and mitochondrial biogenesis further reflecting the impaired process of the ETC and oxphos resulting in depletion of ATP in COPD. This is not surprising as mitochondrial abnormalities have been previously exhibited in COPD patients (172, 611, 612). In addition, it is also known that PKM2 coordinates glycolysis along with mitochondrial fusion which further promotes oxidative phosphorylation (613). Interestingly, our findings have validated the involvement of PKM2 in the pathogenesis

of COPD for the very first time. Therefore, targeting PKM2 in COPD might potentially show benefits from COPD features.

## 7.2.7 Targeting impaired PKM2 using TEPP46 in COPD

Currently, it is unclear if pharmacologically modulating glucose metabolism would be beneficial in COPD. The first human trial studies of mitochondria-targeted immunomodulator dichloroacetate improved pulmonary arterial hypertension in genetically susceptible patients by inhibiting pyruvate dehydrogenase kinase (614, 615). In addition, enhanced fatty acid oxidation is beneficial in patients with asthma and bronchopulmonary dysplasia (BPD) (616, 617). In our study, we demonstrated a reduction in PKM2 resulted in the reduction of PK and PDH activity as well as HIF1 $\alpha$  in COPD indicating reduced metabolism. Furthermore, low levels of PKM2 also contributed to increased oxidative stress and inflammation in COPD causing subsequent damage to the lung structure and function during the pathogenesis of the disease. Therefore, therapeutic targeting of metabolic enzymes like PK via PKM2 has the potential to reduce metabolic abnormalities in chronic lung diseases (612, 618, 619).

We sought to target PKM2 in COPD using TEPP46; a pharmacologically derived small molecule activator (480). TEPP46 has previously been shown to suppress kidney fibrosis (271) and attenuate thoracic aortic aneurysms (167). Similarly, we have shown that administration of TEPP46 exhibited improvements in airway inflammation, airway remodelling and emphysema in COPD as listed below in table 7.5. Although we did not observe a difference in most lung function parameters with TEPP46 treatment, there was a shift in the lung compliance curve indicating improved expandability of the lung. This evidence suggested that TEPP46 treatment protected from damage to the lung structure

and partially protected from impaired lung function in COPD. Furthermore, TEPP46 increased the tetramerisation of PKM2 as measured by increased PK activity, and also increased PKM2 and HIF1 $\alpha$  expression in COPD. This is not surprising as TEPP46 has been previously shown to promote tetramerisation of PKM2 in tumour cells (620) which then regulates HIF1 $\alpha$  (172, 621). Interestingly, we observed a similar result in COPD with TEPP46 treatment. However, since it is known that mitochondrial dysfunction drives oxidative stress and inflammation leading to COPD exacerbations (622), TEPP46 treatment increases PKM2 levels in COPD and thereby protects against mitochondrial dysfunction drives of the therapeutic implications of TEPP46 in reversing or delaying the progression of the COPD in advanced stages of the disease.

Immunomodulator	TEPP46		
COPD features			
Airway inflammation	Reduced		
Airway remodelling	Reduced		
Emphysema	Reduced		
Impaired lung function	No change		
Metabolism in immune cells			
PK activity	Increased		
PDH activity	Increased		
PKM2	Increased		
HIF1a	Increased		
NAD <sup>+</sup> biosynthesis			
Total NAD <sup>+</sup> levels	Increased		
Total NADH levels	No change		
Ratio of NAD <sup>+</sup> /NADH levels	Increased		
Mitochondrial structure and function			
Mitochondrial structure			
Mitochondrial density	No change		
Mitochondrial area (swelling)	Reduced		

Table 7.5: Therapeutic potential of immunomodulator TEPP46 in COPD

Mitochondria membrane potential	Increased		
Relative protein levels for ETC complexes:			
Complex I-NDUFB8	Increased		
Complex II-SDHB	No change		
Complex III-UQCRC2	Increased		
Complex IV-MTCO1	Increased		
Complex V-ATP5A	Increased		
Oxidative stress	Reduced		

## 7.3 Conclusion

Chronic exposure to CS in COPD leads to the activation of immune cells (623) via induction of pro-inflammatory cytokines such as TNF $\alpha$  and IL-1 $\beta$  (624) leading to an accumulation of inflammatory cells in the lungs (625) (Figure 7.1). Activation of immune cells is followed by several functional changes such as cytokine production, proliferation and chemotaxis during the progression of COPD, which are known contributing factors driving COPD pathogenesis (39, 626). These functional changes demand metabolic adaptions to maintain adequate ATP levels for host defence mechanisms (626, 627). The energy demands are met by interconnected metabolic pathways of mitochondria which includes glycolysis (628), Krebs cycle (629) and oxphos (630). Recent evidence has shown that cellular metabolism directly regulates immune cell functions (630, 631). Thus, immune cells upon activation undergo a shift from maintaining a basal metabolic state to an accelerated metabolic state which promotes key effector functions such as glycolysis (632, 633). An increase in glycolysis results in an increased NADH level (634) which is then decomposed to  $NAD^+$  during the Krebs cycle (635). However, the shift in immune cell metabolism inhibits the Krebs cycle and reduces oxphos leading to ATP depletion (636, 637) (Figure 7.1). Increased accumulation of NADH in the cell leads to an imbalance in the redox reaction further contributing to oxstress (541, 638) and increased inflammation leading to impaired activity of SIRTs (541, 639). SIRTs are known to play an important role during the pathogenesis of COPD and are majorly involved in reducing inflammation, apoptosis, mitochondrial oxidative stress, anti-oxidant response etc (248, 640). SIRTs are solely dependent on NAD<sup>+</sup> as a co-factor for their function, while depletion of NAD<sup>+</sup> leads to impaired sirtuin activity causing enhanced DNA damage in COPD (641, 642). This is further followed by the activation of PARPs to repair DNA damage in COPD (643, 644). All of these events contribute to the burden of endogenous oxidative stress causing accelerated COPD exacerbations (174, 309, 645) (Figure 7.1).

To summarise the findings of this thesis, we have highlighted that impaired NAD<sup>+</sup> metabolism potentially drives a metabolic shift in immune cells during the development and progression of COPD. We have further demonstrated that targeting impaired NAD<sup>+</sup> levels not only protected from pulmonary damage induced by CS but also restored lung structure and function in COPD. We have highlighted that the overactivation of PARPs consumes NAD<sup>+</sup> levels in COPD leading to the imbalance in NAD<sup>+</sup>/NADH levels. The imbalance in NAD<sup>+</sup> pools reduced SIRTs levels further leading to sustained inflammation during the induction and progression of the disease. We have demonstrated that targeting the redox imbalance of NAD<sup>+</sup>/NADH with NR and PT has therapeutic benefits in COPD. The administration of NR and PT protected from airway inflammation, airway remodelling, emphysema and impaired lung function in COPD. Additionally, the administration of NR and PT increased the ratios of NAD+/NADH in COPD, further reducing the overactivation of PARPs and increasing SIRTs (Figure 7.1). NR and PT also protected mitochondrial structure and function resulting in the reduction of oxidative stress which further contributed to delayed progression and reversing the damage in COPD (Figure 7.1). We determined that chronic exposure to CS reduced PKM2 protein levels, which further lead to a reduction in PK activity and HIF1 $\alpha$  protein expression. This indicated reduced metabolism in the lungs in COPD. Based on these findings, we

further identified that targeting PKM2, with TEPP46 to restore impaired metabolism had therapeutic benefits in COPD. We have demonstrated that administration of TEPP46 reduced airway inflammation leading to a reduction in airway remodelling and emphysema. In addition, TEPP46 administration also displayed partial protection from impaired lung function in COPD. Critically, TEPP46 promoted tetramerisation of PKM2 which further increased oxphos and reduced oxidative stress, exhibiting protective features on mitochondrial structure and function in COPD (Figure 7.1).



Figure 7.1: Therapeutic intervention of NR, PT and TEPP46 in COPD:

Chronic exposure to CS is a form of exogenous ROS in COPD and can initiate oxidative stress which induces airway inflammation. As a consequence, leads to the release of endogenous ROS from inflammatory cells such as macrophages and neutrophils as well as mtROS via disruption in ETC during oxphos. This further leads to altered cellular metabolism and cell death via apoptosis causing increased COPD exacerbations. Chapters 3, 4, and 5- NR is a precursor of NAD<sup>+</sup> and can synthesise NAD<sup>+</sup> via the salvage pathway i.e. by NMNATs thereby increasing NAD<sup>+</sup> synthesis. Whereas PT activates SIRTs for enhanced consumption of NAD<sup>+</sup> and this further regulates the NAD<sup>+</sup> pool which is essential for maintaining cellular energy, homeostasis, survival, and proliferation. Chapter 6- Additionally, TEPP46 binds to PKM2 dimers inducing the formation of PKM2 tetramer and promoting the rate-limiting step of glycolysis and pyruvate production. Pyruvate enters the TCA cycle and stimulates the oxphos leading to a reduction in ROS production further reducing inflammation and COPD features.

## 7.4 Future directions

This thesis has focused on targeting various pathways of NAD<sup>+</sup> biosynthesis and glucose metabolism pathways which lead to mitochondrial dysfunction causing impaired immunometabolism in COPD. The use of NR, PT and TEPP46 in our CS-induced experimental COPD mouse model has been shown in this thesis to modulate NAD<sup>+</sup> levels and PKM2 levels to further improve mitochondrial structure and function, therefore reducing COPD features.

Importantly, further studies will be required for the identification of specific alterations of NAD<sup>+</sup> modulators like NMN and NAM, as well as NAD<sup>+</sup> consumers like PARPs, SIRTs and CD38 in COPD. Additional investigation will be required to validate if the
administration of NR and PT can protect or inhibit alterations of NAD<sup>+</sup> modulators and consumers in COPD. These findings would help to understand the modulation of NAD<sup>+</sup> metabolism in COPD and will lead to a further understanding of disease pathogenesis.

Simultaneously, our study has also demonstrated that inhibition of the overactivation of PARPs in COPD could be a potential therapeutic target. Future studies will be conducted using Olaparib, a commercially available PARP inhibitor (379, 646-648), in our clinically relevant CS-induced COPD mouse model. We have previously demonstrated that NR and PT reduced the overactivation of PARPs in COPD, and therefore we will evaluate the therapeutic benefits of NR and PT in combination with Olaparib in COPD. This is to validate if NR and PT are capable of inhibiting the overactivation of PARPs in COPD in order to exert benefits in the disease features.

Further, we have demonstrated the benefits of TEPP46 using a prophylactic COPD model. Therefore it is important to study the implications of TEPP46 in a therapeutic COPD model to further elucidate if TEPP46 can halt the progression or reverse the disease features in advanced stages of COPD when delivered during the late stages of disease progression, or upon smoke cessation.

Finally, studies will be conducted to investigate the pre-clinical potential of NR, PT and TEPP46 using human COPD clinical specimens such as bronchial epithelial cells obtained via bronchoscopy and macrophages obtained via BAL of COPD patients. This will ultimately demonstrate the clinical translation of NAD<sup>+</sup>-modulating drugs for the treatment of COPD in human patients.

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