

1 **Influence of Periodic Cyclic Loading and Rest Period on Soft Clay**

2 **Consolidation**

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21 **Abstract**

22 Railways are often subjected to periodic cyclic loading and intermittent rest periods. Excessive
23 consolidation settlements can affect the performance of railway tracks built on the soft
24 subgrade. The consolidation behavior under railway loading conditions with rest periods has
25 not been evaluated thoroughly. In this study, laboratory testing was conducted to investigate
26 the influence of periodic cyclic loading and rest periods on the consolidation of Holocene soft
27 clay from Ballina NSW. The specimens were subjected to a loading frequency of 1Hz for 54hrs
28 with multiple rest periods. The recorded settlements and excess pore water pressures (EPWP)
29 during cyclic consolidation were employed to determine the corresponding hydraulic
30 gradient, void ratio, resilient (dynamic) modulus and damping ratio. The settlement and
31 accumulated EPWP can be observed during cyclic loading. In contrast, settlements do not
32 occur within a rest period, despite the rapidly dissipating EPWP at the start of a given rest
33 period. The maximum EPWP and settlements decrease as the number of resting periods
34 increases. An analytical model capturing the effect of cyclic loading and rest period is
35 proposed where the unique relationships between the hydraulic gradient and the flow rate
36 are established.

37 Keywords: Clays, Consolidation, Railway tracks

38 **Notation**

39	AOS	apparent opening size
40	c_c	coefficient of compressibility
41	c_{cyc}	coefficient of consolidation under cyclic loading
42	CL	stage number of cyclic loading

43	c_v	coefficient of consolidation
44	dQ	change of volume
45	DR	damping ratio
46	DR_1	damping ratio of first cycle
47	e	void ratio
48	E_d	axial dynamic modulus
49	$E_{d,1}$	axial dynamic modulus of first cycle
50	EPWP	excess pore water pressure
51	H	sample height/thickness
52	H_D	drainage length
53	k	permeability of the soil
54	LL	liquid limit
55	m_{cyc}	coefficient of volume compressibility
56	PL	plastic limit
57	q	flow rate
58	RP	stage number of rest period
59	$s_{t_{cyc}}$	accumulated settlement at time t
60	t	time
61	t_i	time elapsed at an individual period of cyclic load

62	T_v	time factor
63	U	degree of consolidation
64	u	pore pressure
65	u_A	accumulation of excess pore pressure
66	u_D	dissipation of excess pore pressure
67	u_G	generation of excess pore pressure
68	u_{max}	maximum pore pressure
69	u_r	residual excess pore water pressure
70	W	stored energy
71	α	experimental constant
72	β	experimental constant
73	γ_w	unit weight of water
74	Δe	change in void ratio
75	Δu	change of excess pore water pressure
76	$\Delta \sigma'_{cyc}$	increase in effective stress due to cyclic loading
77	$\epsilon_{z,initial}$	initial axial strain in each cycle
78	$\epsilon_{z,max}$	maximum axial strain in each cycle
79	$\epsilon_{t_{cyc}}$	vertical strain at time t
80	η	experimental constant

81	λ	relative void ratio
82	σ'_0	initial effective stress
83	σ_0	applied preconsolidation stress
84	$\sigma_{v,cyc}$	cyclic vertical stress
85	$\sigma_{z,initial}$	initial axial stress in each cycle
86	$\sigma_{z,max}$	maximum axial stress in each cycle

87 **1. Introduction**

88 Settlement of railway tracks built on soft soils can lead to instability, high maintenance costs,
89 and service disruption. In the eastern coastal regions of Australia, relatively thick, soft
90 Holocene clay layers (up to 30m deep) can be found with intermittent sand layers (Indraratna
91 et al., 2013; Kelly et al., 2017; Lim et al., 2018; Pineda et al., 2016). Typically, these clay layers
92 have relatively low permeability and high compressibility. When such clays are subjected to
93 cyclic train loading under poor drainage conditions, excess pore water pressures (EPWP)
94 accumulate with time, causing instability such as differential settlement, undrained failure
95 and subgrade fluidisation (Ansal & Erken, 1989; Brown et al., 1975; Diaz-Rodriguez, 1989;
96 Duong et al., 2014; Indraratna, Singh, et al., 2020).

97 In railway embankments built on soft clay deposits, consolidation deformation can be
98 significant. The behaviour of clayey soils subjected to static embankment loads is usually well-
99 understood, and various design techniques reported in past literature are presented, and they
100 explain how the post-construction consolidation settlement can be controlled (Arulrajah et
101 al., 2009; Indraratna et al., 2005; Kelly et al., 2018; Mesri & Choi, 1985; Ngo et al., 2020).
102 Besides, the assessment of settlement behaviour under cyclic loading becomes a challenging

103 task due to (i) time-dependent EPWP accumulation behaviour (Indraratna et al. 2020; Duong
104 et al. 2014; Ni 2012); (ii) Stiffness variation (Andersen, 2015; Cai et al., 2018). With the
105 increasing number of loading cycles, soil behaviour continues to vary depending on the
106 magnitude and frequency of the applied load. Therefore, the consolidation settlement of
107 railway tracks would be required to be assessed under a more realistic cyclic loading rather
108 than static loading.

109 In view of the above, the scope of this study is to investigate the consolidation behaviour
110 under railway loading conditions. Although a considerable amount of research has been
111 carried out to investigate the undrained behaviour (i.e. no volume reduction) of soft soils
112 under cyclic loading, their consolidation under railway loading conditions with special
113 reference to rest periods has not been evaluated thoroughly. This study aims to provide
114 further insight into consolidation settlement and corresponding EPWP under periodic cyclic
115 loading capturing the influence of rest periods.

116 A summary of consolidation tests performed under cyclic/repeated loading conditions is
117 provided in Table 1. Brown et al. (1977) investigated the consolidation behavior under long-
118 term repeated loading conditions using cyclic triaxial testing with a loading frequency of 0.1
119 Hz, and their results showed that drainage during the rest period (i.e., no loading) would
120 increase the stiffness of clay when subjected to subsequent cyclic loading. Geng et al. (2006),
121 Yıldırım & Erşan (2007), Toufigh & Ouria (2009), Chai et al. (2021) studied consolidation under
122 low-frequency loading (≤ 0.1 Hz) and proposed theoretical solutions for cyclic consolidation.
123 Through a series of undrained cyclic triaxial testing, Zhou & Gong (2001) and Indraratna et al.
124 (2020) showed that loading amplitude and frequency had a significant effect on the
125 development of axial strains. Furthermore, Yasuhara et al. (1982) and Ni (2012) confirmed

126 that higher frequencies may delay the development of the peak EPWP under undrained
127 conditions. Lei et al. (2020) investigated the cyclic behavior of soft clay under intermittent
128 cyclic loading; here the frequencies were varied from 0.5 to 2 Hz under undrained conditions
129 with intermittent rest periods, however, drainage was allowed while a static load equivalent
130 to half of the cyclic loading was maintained. A similar loading condition was also adopted by
131 Liu & Xue (2022), Li et al. (2021) and Nie et al. (2020), where drainage period between loading
132 cycles can improve the cyclic resistance of clay for subsequent loading cycles. However, the
133 above studies do not represent the actual railway loading conditions. Loading frequency in
134 railway subgrade varies between 1 – 5 Hz when a train travelling approximately at 45-225
135 km/h (Arivalagan et al., 2021; Indraratna et al., 2020; Mamou et al., 2017; Powrie et al., 2007).

136 **2. Theoretical development**

137 **Model description**

138 Cyclic loading with drainage can cause consolidation settlement via EPWP dissipation. EPWP
139 dissipation during rest periods without any live loading causes negligible deformation, so the
140 observed behaviour in the subsequent section can be conceptualised as illustrated in Figure
141 1 using the piston analogy. At the initial stage (Figure 1(a)), the effective stress (σ'_0) of the
142 normally consolidated soil is equal to the applied preconsolidation stress (σ_0) with no EPWP
143 ($\Delta u = 0$). When the cyclic load is applied, say due to the passage of a train (Figure 1(b)), soil
144 settlement occurs while the EPWP starts to accumulate during the loading-unloading process,
145 as the rate of pore pressure generation rate is higher than its rate of dissipation.
146 Simultaneously, settlement causes a reduction in void ratio, contributing to an increase in
147 effective stress ($\sigma'_{t1} = \sigma'_{t0} + \Delta\sigma'_{cyc}$); where $\Delta\sigma'_{cyc}$ is the increase in effective stress due to cyclic
148 loading as a function of the change of void ratio, (Δe). When the cyclic loading ceases during

149 the rest period (Figure 1(c)), the accumulated EPWP (Δu_t) starts to decrease to reach an
 150 equilibrium (i.e., $\Delta u_t \rightarrow u_r$) as shown in Figure 1(d). The removal of cyclic load contributes to
 151 swelling (i.e., $\Delta e \rightarrow \Delta e^*$), the extent of which depends on the type of soft soil and the
 152 magnitude of stress release; however, in this study, swelling (within 0.05 mm) is considered
 153 negligible based on the experimental results as presented in the following section of the
 154 paper.

155 ***Determination of time-dependent settlement due to EPWP dissipation during cyclic load***

156 The processes of EPWP generation and dissipation as well as volumetric strain under cyclic
 157 load, are presented in Fig. 2 (Hyodo & Yasuhara, 1988). In this study, we consider that the
 158 settlement only occurs due to the dissipation of EPWP. For a given cyclic vertical stress
 159 ($\sigma_{v,cyc}$), the coefficient of volume compressibility for one-dimensional compression under the
 160 small strain condition can be determined by:

161
$$m_{cyc} = \frac{\varepsilon_f}{\sigma_{v,cyc}} \quad (1)$$

162 where ε_f is the final vertical strain due to the cyclic vertical stress.

163 The strain-based degree of consolidation (U) at a vertical strain ($\varepsilon_{t_{cyc}}$) upon an accumulated
 164 time during cyclic loading (t_{cyc}) can be obtained from:

165
$$U = \frac{\varepsilon_{t_{cyc}}}{\varepsilon_f} = \frac{s_{t_{cyc}}}{m_{cyc} \sigma_{v,cyc} H} \quad (2)$$

166 where $s_{t_{cyc}}$ and H are the accumulated settlement during cyclic load and the sample height,
 167 respectively.

168 It is assumed that the degree of consolidation based on Terzaghi's 1-D consolidation theory
 169 (Terzaghi, 1943) can be expressed by:

170
$$U = 1 - \sum_{m=0}^{\infty} \frac{2}{M^2} \exp(-M^2 T_v) \quad (3a)$$

171
$$T_v = \frac{c_{cyc} t_{cyc}}{H_{dr}^2} \quad (3b)$$

172 where, T_v is the time factor and c_{cyc} is the coefficient of consolidation which can be back-
 173 analysed using time-settlement curve and Eqs. (1)-(3).

174 ***Determination of time-dependent EPWP due to cyclic load***

175 Based on Fig. 2, the accumulation of excess pore pressure (u_A) can be calculated based on
 176 the difference between the generation (u_G) and dissipation (u_D) of EPWP as:

177
$$u_A = u_G - u_D \quad (4)$$

178 The dissipation of EPWP can be obtained using time-dependent settlement by:

179
$$u_D = \frac{\varepsilon_{t_{cyc}}}{\varepsilon_f} \sigma_{v,cyc} \quad (5)$$

180 Based on Laboratory observation, u_A follows an exponential relationship with time elapsed
 181 at an individual period of cyclic load (t_i) and can be expressed as:

182
$$\frac{u_A}{u_A^{max}} = 1 - \alpha e^{-\beta t_i} \quad (6)$$

183 where u_A^{max} is the measured maximum accumulation of EPWP at an individual period of cyclic
 184 load and α , β are experimental constants. Subsequent experimental results show that u_A^{max}
 185 is a function of the void ratio during cyclic loading.

186 ***A theoretical model for EPWP dissipation during the rest period***

187 During the rest period, no volumetric strains of the soil occur as described in Figure 1.
 188 Therefore, pore fluid is considered slightly compressible and the volume change in the pore

189 fluid is considered equal to the flow rate during a given time interval (dt). If the change of
 190 volume and flow rate per unit volume are dQ and q , respectively, the continuity equation of
 191 the soil specimen with thickness dz can be represented by;

$$192 \quad dQ = \frac{\partial q}{\partial z} dz dt \quad (7)$$

193 Considering Darcy's law;

$$194 \quad q = \frac{k}{\gamma_w} \frac{\partial u}{\partial z} \quad (8)$$

195 where γ_w is the unit weight of water, u is the EPWP and k is the permeability of the soil. Eqs.
 196 (7) and (8) can be combined and re-written as;

$$197 \quad dQ = \frac{k}{\gamma_w} \frac{\partial^2 u}{\partial z^2} dz dt \quad (9)$$

198 The change in EPWP can result in a change in the volume of pore fluid per unit volume,
 199 therefore;

$$200 \quad dV = \frac{\mu_s}{\gamma_w} \frac{\partial u}{\partial t} dz dt \quad (10)$$

201 where u is the pore pressure at time t and depth z . By combining Equations (9) and (10), the
 202 Equation for the one-dimensional EPWP transmission during the rest period can be obtained
 203 as:

$$204 \quad \frac{\partial u}{\partial t} = \eta \frac{\partial^2 u}{\partial z^2} ; \eta = \frac{k}{\mu_s} \quad (11)$$

205 Constant η determines the rate of the pore pressure dissipation. It should be noted that,
 206 Equation (11) has the same form as Terzaghi's one-dimensional consolidation equation.
 207 However, this Equation considers that the pore fluid deformation is elastic and the pore
 208 volume change occurs due to the elastic deformation of the fluid as a result of stress removal

209 in the rest period. It is important to note that, this volume change is tiny as the compressibility
 210 of the water is significantly high. Further, the volume of the solid is considered to be
 211 unchanged.

212 To solve Eq (11), a soil element under two-way drainage is considered. Assuming that the pore
 213 pressure behaviour is symmetrical along the mid-depth, thickness, H is considered where H_D
 214 = Half of the full thickness of the soil section. The following initial conditions and boundary
 215 conditions are established.

$$216 \quad \begin{cases} u = 0 (t = 0, z = 0) \\ u = f(z) (t = 0, 0 < z \leq H_D) \end{cases} \quad (12)$$

$$217 \quad \begin{cases} u = 0 (0 < t \leq \infty, z = 0) \\ \frac{\partial u}{\partial z} = 0 (0 < t \leq \infty, z = H_D) \end{cases} \quad (13)$$

218 where $f(z)$ is the non-uniform distribution of the excess pore pressure with depth due to the
 219 cyclic load application. Assuming the excess pore pressure distribution is sinusoidal, and
 220 excess pore pressure is zero at the drainage boundary;

$$221 \quad f(z) = u_1 \sin\left(\frac{\pi z}{2H_D}\right) \quad (14)$$

222 where u_1 is the measured excess pore pressure at depth H_D , at $t = 0$.

223 **2. Experimental program**

224 To overcome the limitations of conventional oedometers and Rowe cells for which cyclic
 225 loading cannot be applied, the Dynamic Filtration Apparatus (DFA) developed by Israr et al.
 226 (2016) and later used extensively by Arivalagan et al. (2021, 2022) was modified to investigate
 227 one-dimensional cyclic consolidation behavior. This unique apparatus (Figure 3) consisted of
 228 4 main components; (1) hydraulic actuator, (2) piston, (3) rigid loading plate, and (4)

229 polycarbonate rigid cell. The polycarbonate cell had an internal diameter of 240 mm, a height
230 of 300 mm, and a thickness of 13 mm. In the current study, a linear variable differential
231 transformer (LVDT) was attached to the axial hydraulic actuator to measure the settlement
232 of the soil specimen. Two body pressure transducers attached to the periphery of the
233 polycarbonate cell (1 kPa accuracy) were used to measure the excess pore water pressure.
234 Pore pressure transducers (P1 and P2) were located near the top-sand-clay interface and at
235 35 mm above the bottom sand-clay interface, respectively. A volumetric flask was connected
236 to the bottom drainage to collect the discharge. Cyclic vertical load was applied using the
237 hydraulic actuator via the rigid loading plate through the piston. A compacted coarse sand
238 layer was used as the drainage layer at the top and the bottom of the clay specimen (i.e., two-
239 way drainage).

240 Reconstituted clay samples at Ballina NSW (1.3 m to 2.2 m deep) were used during testing.
241 The soil specimen was characterized by a clay fraction of 25%, with a liquid limit, plastic limit
242 and natural water content of 82%, 29%, and 70%, respectively. According to the Unified Soil
243 Classification System (USCS), this soil could be classified as high-plasticity clay (CH). The test
244 samples were mixed with de-aired distilled water at 1.2 times the liquid limit to form a slurry,
245 which was kept for 24 hours in air-tight containers stored in a humidity-controlled room. The
246 initial void ratio of the slurry was 2.61, and under a consolidation pressure of 50 kPa following
247 ASTM-D2435 (ASTM-D2435, 2010) coefficient of compressibility (c_c) of 0.47 and coefficient of
248 consolidation (c_v) of 1.19 m²/year could be determined.

249 The test procedure is briefly described as follows:

- 250 1. The internal wall of the polycarbonate cell was coated with Teflon to minimise
251 boundary friction between the cell wall and test specimen. Then, a coarse sand layer

252 was compacted in three layers at the cell's bottom to a thickness of 40 mm, and
253 saturated with distilled water. Sand layers were compacted using the 2.5-kg top
254 loading plate with a detachable handle to lift the loading plate. Drop height (300 mm)
255 and the number of drops (50) were selected to achieve the standard compaction
256 energy of 600 kN-m/m³ (ASTM-D698, 2012). A permeable geotextile was placed on
257 top of the sand layer to prevent any intermixing between clay and sand layers
258 (apparent opening size, AOS of the geotextile was <1 µm; minimum particle size of
259 clay = 1 µm and that of sand = 0.2 mm).

260 2. The clay slurry was poured into the cell until a total specimen height of 135 mm was
261 attained. A permeable geotextile layer was then placed on top of the clay slurry, and
262 the top sand layer was carefully compacted to a thickness of 20 mm. The specimen
263 was consolidated by applying a pressure of 25 kPa representing an in-situ pressure
264 corresponding to a depth of 1.5 m. Preconsolidation was continued until a negligible
265 rate of settlement (i.e. <0.1 mm/h) and complete dissipation of EPWP (< 1 kPa) was
266 achieved, thus giving a final specimen height of 90 mm with an initial void ratio of 1.41
267 evaluated from weight-volume relationships.

268 3. In addition to the initial vertical stress of 25 kPa, a vertical sinusoidal cyclic load
269 (amplitude = 40 kPa, frequency = 1 Hz) was applied, resulting in minimum and
270 maximum vertical stresses of 25 and 65 kPa, respectively. These loading conditions
271 conformed to 25-tonne axle loading of a heavy haul train travelling at 45 km/h
272 (Indraratna et al. 2020; Powrie et al. 2007).

273 To simulate typical heavy haul operations on a track built on soft subgrade, the cyclic loading
274 was applied during normal operating hours of the laboratory and a rest period was

275 maintained overnight, as shown in Figure 4(a) for 5 sets of this cyclic loading pattern. CL and
276 RP represent the stage number of cyclic loading and rest period, respectively.

277 **3. Experimental results**

278 *3.1 EPWP, settlements, and void ratio*

279 As shown in Figure 4(b), the measured EPWP is the difference between the built-up and
280 dissipated ones. As expected, EPWP near the drainage (P1) rises quickly and dissipates during
281 the application of cyclic loading. In contrast, EPWP gradually increases despite the proximity
282 to drainage boundary (35 mm away from P2). During CL3, CL4 and CL5, the drop in the EPWP
283 can be observed after 30,000 cycles. This indicates that the magnitude of EPWP dissipation is
284 greater than the EPWP generation (Figure 4(b)) and results in an overall reduction of the
285 EPWP. The decrease in void ratio through settlement during the previous cyclic loading and
286 the dissipation of EPWP during rest period causes lesser accumulated EPWP in the following
287 loading stage. This can also be attributed to the inevitable increase in soil stiffness (reduction
288 in pore space/void ratio) during cyclic consolidation. As expected, at the drainage boundary,
289 the EPWP is minimal (0.6 - 1.6 kPa).

290 In Figure 4(c), settlements are observed to be substantial in CL1 and they gradually reduce
291 during the subsequent loading stages. This can also be confirmed by the reduction in the
292 measured discharge volume from the bottom drainage (Figure 4(d)) as cyclic consolidation
293 occurs to make the soil stiffer. It can be seen that settlement only occurs during the loading
294 application, while there is insignificant deformation during a rest period, and this observation
295 was also reported by Li et al. (2021). A similar behaviour could be observed at a railway site
296 in the town of Sandgate, NSW, where the measured EPWP increased during the passage of a

297 train and then diminished swiftly to the normal hydrostatic level during the rest periods, while
298 the rate of settlement significantly reduced with time (Indraratna et al., 2010).

299 Furthermore, experimental results show that, normalised EPWP with the maximum EPWP
300 $(1 - u/u_A)$, follows an exponential relationship with time elapsed at an individual period of
301 cyclic load (t_i) (Figure 5). This confirms that the rate of EPWP accumulation is relatively
302 constant in all subsequent loading stages.

303 *3.2 Soil behaviour during the rest period*

304 Figure 6(a) shows the measured EPWP at P2 during rest periods. In the absence of loading,
305 redistribution of the EPWP can be observed during the rest periods without any deformation
306 occurring. For instance, the excess pore pressure becomes less than 1 kPa within 13 hours
307 without deformation. This is in contrast to static consolidation, where the dissipation of EPWP
308 generated by sustained external loading can cause notable deformation. Figure 6(b) shows
309 the measured normalised EPWP (the ratio of the EPWP and the initial EPWP of the
310 corresponding loading stage), confirming that the redistribution of EPWP is independent of
311 the initial pore pressure.

312 The measured EPWP at $H_D = 45$ mm was adopted to calibrate the proposed model described
313 earlier (Eqs. 11-14). Based on the back analysis, the constant $\eta = 1.42$ m²/year can be
314 obtained. Figure 6(a) compares the predicted model results with the recorded EPWP at the
315 start of rest periods (RP1, RP2, RP3 and RP4), where $U_{1(RP1)}$, $U_{1(RP2)}$, $U_{1(RP3)}$ and $U_{1(RP4)}$, are 22.4
316 kPa, 16.4 kPa, 11.7 kPa and 7.6 kPa, respectively. An acceptable agreement is established,
317 whereby the proposed EPWP prediction model (including both cyclic loading and rest period)
318 is validated using the experimental data as shown earlier in Figures 4(b) and 4(c). Here the
319 value of m_{cyc} was calculated from Eq. (1) to be 2.58×10^{-3} m²/kN and the back-calculated value

320 of C_{cyc} was 0.75 m²/year. The generation of EPWP during cyclic loading was calculated using
321 Eqs. (4-6) where back-calculated α and β parameters are 0.85 and 0.0002, respectively.

322 3.3 Soil stiffness during cyclic consolidation

323 To quantify the soil stiffness variation during cyclic loading, the axial dynamic modulus (E_d)
324 was determined using the method proposed by Cai et al. (2018), where $E_d =$
325 $(\sigma_{z,max} - \sigma_{d,initial}) / (\varepsilon_{z,max} - \varepsilon_{z,initial})$; $\sigma_{z,max}$ and $\varepsilon_{z,max}$ = maximum axial stress and
326 strain in each cycle, respectively; $\sigma_{z,initial}$ and $\varepsilon_{z,initial}$ = initial axial stress and strain in each
327 cycle, respectively. Figures 7(a) and 7(b) show the development of the E_d and the normalised
328 modulus ($E_d/E_{d,1}$) with the number of cycles where $E_{d,1}$ is the corresponding value of the 1st
329 cycle. Figure 7(a) shows that, during CL1, the axial dynamic modulus gradually increases with
330 the number of cycles due to the consolidation. The initial $E_d/E_{d,1}$ reduces in the subsequent
331 loading stages as the consolidation progresses.

332 The damping ratio (DR) was calculated using the ratio between the consumed energy (ΔW) to
333 the stored energy (W) do a given cycle, where $DR = \Delta W / 4\pi W$. In contrast to E_d , DR and relative
334 change of damping ratio (DR/DR_1) reduce with the number of cycles and after each rest period
335 (DR_1 is the corresponding value of the 1st cycle). This behaviour is opposite to the measured
336 axial dynamic modulus and damping ratio during undrained cyclic loading, where the axial
337 dynamic modulus decreases with the number of cycles while the damping ratio increases with
338 the number of cycles (Cai et al., 2018; Indraratna et al., 2022; Lei et al., 2020; Nguyen et al.,
339 2021).

340 The increase in axial dynamic modulus between subsequent loading (ΔE_d) and maximum
341 EPWP (u_{max}) is related to the soil structure, including void ratio. Therefore, the parameter
342 representing the relative void ratio (λ) is introduced to obtain the desired correlation, where

343 $\lambda = (e_0 - e)/(e_0 - e_f)$; e_0 = initial void ratio; e_f = final void ratio. $\lambda = 0$ represents the initial condition
344 of the soil, and $\lambda = 1$ represents the final condition. Figures 8(a) and 8(b) show that u_{max} and
345 ΔE_d decrease when λ approaches 1, implying that when soil approaches its maximum
346 contraction (or ultimate consolidation settlement), either negligible or minimal change to the
347 EPWP and stiffness occurs. Figures 8(c) and 8(d) show that, u_{max} is directly proportional to the
348 stiffness increase in the previous loading stages. A similar analogy between the change in void
349 ratio and modulus was also discussed by Park & Santamarina (2019) for sandy soils under one-
350 dimensional compression.

351 *3.4 Hydraulic gradient and flow rate during cyclic consolidation*

352 The hydraulic gradient was calculated using the difference between the measured EPWP at
353 P1 and P2. The flow rate was obtained by converting the rate of settlement (per 100 cycles).
354 Figure 9(a) shows that the hydraulic gradient increases with the number of cycles. During the
355 initial number of loading cycles, EPWP accumulates when the generated EPWP (du_G) exceeds
356 the dissipated EPWP (du_D). In contrast to the consolidation occurring under incremental
357 loading, where the hydraulic gradient is proportional to the flow rate (Hansbo, 1960; Kianfar
358 et al., 2013), the relationship between the hydraulic gradient and the flow rate is inversely
359 proportional as indicated in Figure 9(b). However, when the dissipation rate dominates (i.e.
360 $du_D > du_G$), the hydraulic gradient becomes proportional to the flow rate, which is similar to
361 the condition of static consolidation.

362 **5. Conclusions**

363 This study was focused on the influence of periodic cyclic loading and rest periods on soft soil
364 consolidation in which changes to the excess pore water pressure (EPWP), settlements, void

365 ratio, axial dynamic modulus, and hydraulic gradient were quantified together with their
366 inter-relationships where warranted. The salient findings based on this study are as follows:

- 367 1. The development of EPWP, settlements, and vertical strains reduced considerably
368 from cyclic loading-stage 1 to 5 (CL1 to CL5). The maximum EPWP (u_{max}) decreases
369 upon the subsequent loading stages, where u_{max} during CL1 and CL5 were 21.3 kPa
370 and 5.2 kPa, respectively. Similar trends were observed for settlement and discharged
371 water. When the test specimen was subjected to cyclic loading with effective
372 drainage, it became increasingly stiffer due to the consolidation and offered more
373 resistance to the subsequent cyclic load with the corresponding EPWP becoming less
374 than the previous loading stage.
- 375 2. Observed settlements and volume outflow from the bottom drain suggest that cyclic
376 consolidation does not occur during the rest periods (i.e., negligible settlement) albeit
377 notable rate of dissipation of the accumulated EPWP. Furthermore, the rate of pore
378 pressure dissipation during rest period was found to be independent of the
379 accumulated EPWP value at the start of the rest period.
- 380 3. The axial dynamic modulus increased from 89.9 kPa to 121.7 kPa (approx. 35%
381 increase) and the damping ratio reduced to <10% from its initial value from CL1-CL5.
382 Their relationships with the relative void ratio (λ) could be established where changes
383 were minimum when λ approached 1. This implies that for a given cyclic loading
384 pattern with appropriate rest periods, the extent of change to the dynamic modulus,
385 damping ratio, and EPWP decreases as soil stiffness increases with the number of
386 loading cycles.

387 4. The hydraulic gradient decreased when the flow velocity increased during the initial
388 phase, when the accumulated EPWP was dominant. However, when the dissipation of
389 EPWP became dominant as facilitated by the drainage, the hydraulic gradient was
390 proportional to the flow velocity as in static loading conditions.

391

392 **Data Availability**

393 The data used in the current study are available from the corresponding author upon
394 reasonable request.

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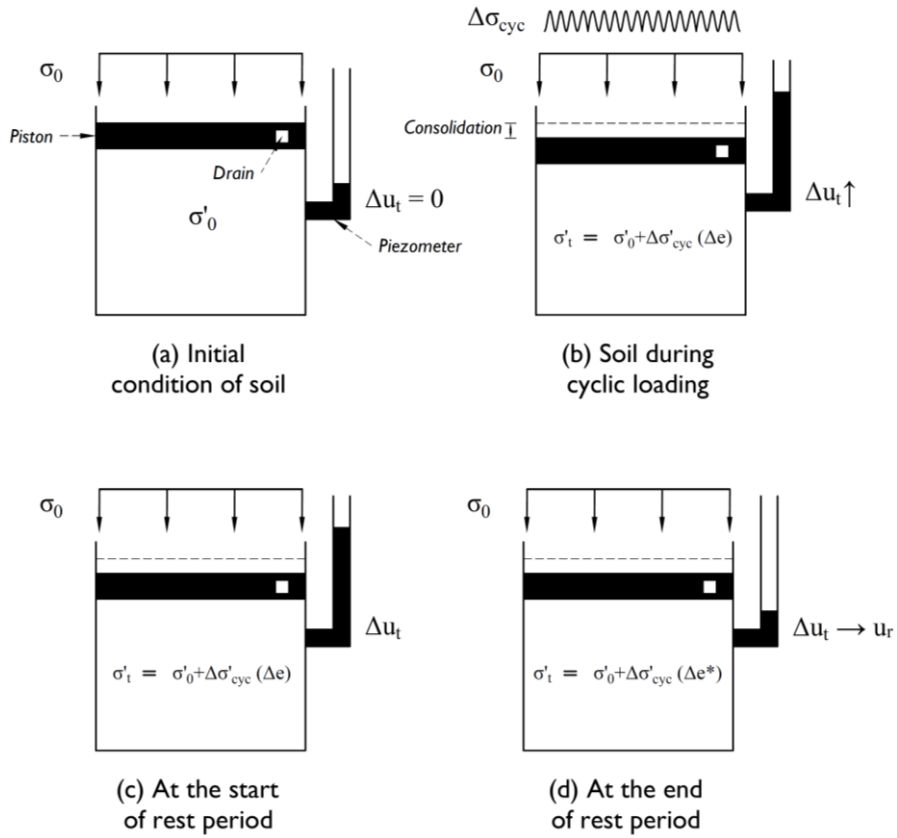
558 Table 1: Summary of past studies related to consolidation under cyclic loading

Sources	Soil types	Stress conditions & sample sizes (Diameter x Height mm)	Characteristics of cyclic load	Frequencies	Total Cyclic loading duration	Drainage condition during cyclic loading	Details
Brown et al. (1977)	Remoulded Norwegian Drammen clay (LL = 55%; PL = 28%)	Triaxial (75x150)	Two-way Sinusoidal wave-form	0.1 Hz	11.1 hours	Not allowed	Undrained cyclic loading tests were performed for 2.7 hrs (1000 cycles) and followed by an overnight drainage period

Fujiwara et al. (1985)	Remoulded Kudamatsu Clay (LL = 65%; PL = 30%)	Oedometer (60x20)	Rectangular step loading	0.023 Hz	– 96 hours	Allowed	Step loadings were applied along with a sustained static loading
O'reilly et al. (1991)	Remoulded Keuper Marl (mudstone) (LL = 32%; PL = 17%)	Triaxial (76x150)	Two-way Sinusoidal wave-form	0.1 Hz	30 hours	Not allowed	Six hours of undrained cyclic loading followed by 18 hours of rest period
Sakai et al. (2003)	Undisturbed Silty-clay from Saga, Japan (LL = 63%; PL = 31%)	Triaxial (50x100)	One-way Sinusoidal wave-form	0.1 – 1 Hz	250 s	Allowed	Drainage was allowed in the lateral direction using filter papers.

Yildirim & Erşan (2007)	Remoulded clay from Zeytinburnu, Istanbul (LL = 73%; PL = 25%)	Simple shear (70x30)	Two-way Sinusoidal wave-form	0.1 Hz	2500 s	Not allowed	The undrained cyclic load was applied for 500 seconds and followed by 3600 seconds during rest periods
Lei et al. (2020)	Remoulded Tianjin soft clay (LL = 52.7%; PL = 27.1%)	Triaxial (70x140)	One-way Sinusoidal wave-form	0.5 – 2 Hz	0.6 hours (2250 sec)	Not allowed	Undrained intermittent cyclic loading for 750 s followed by a drained rest period of 24 hours
Nie et al. (2020)	Remoulded clay from China	Triaxial (39.1x80)	One-way Sinusoidal wave-form	2 Hz	1.4 hours (5000 sec)	Not allowed	Undrained cyclic loading for 1000 s followed by a drained rest period of 1000 s
Chai et al. (2021)	Remoulded Ariake clay (LL =)	Oedometer (485x618)	Rectangular step loading	0.017 Hz (Period 60 s)	1200 hours	Allowed	EPWP was dissipated radially (through PVDs) during step loading

	124.2%; PL =							
	58.5%)							
Tong et al. (2022)	Remoulded clay form Wenzhou, China (LL = 64%; PL = 38%)	Triaxial (50x100)	One-way Sinusoidal wave-form	1 Hz	13.8 hours	Allowed	No rest period	(50,000 cycles)
(Ni & Geng, 2022)	Remoulded Kaolin (LL = 55%; PL = 27%)	Triaxial (300x600)	One-way Sinusoidal wave-form	1 Hz	12.6 hours	Allowed	Cyclic load was applied for 15000 cycles with radial drainage allowed through PVDs and followed by 48 hrs rest period	

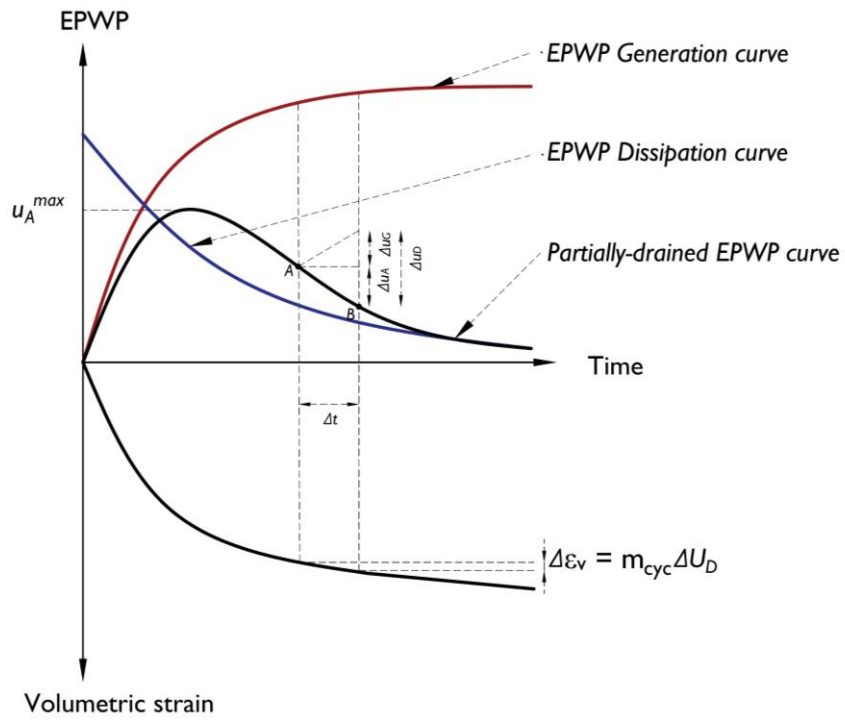


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561 Figure 1: Conceptual piston analogy for consolidation under cyclic loading with rest periods

562 (a) initial condition of soil (b) soil during cyclic loading (c) at the start of rest period (d) at the

563 end of rest period



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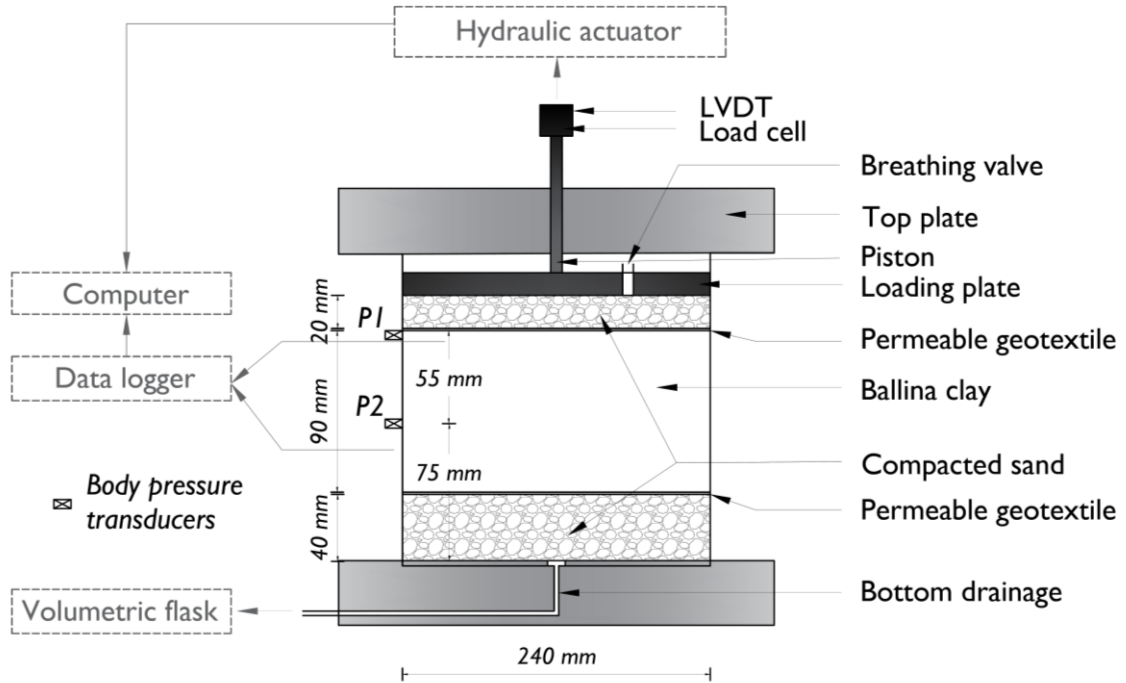
565 Fig. 2 EPWP and associated volumetric strain under cyclic loading condition (Inspired by

566 Hyodo & Yasuhara (1988))

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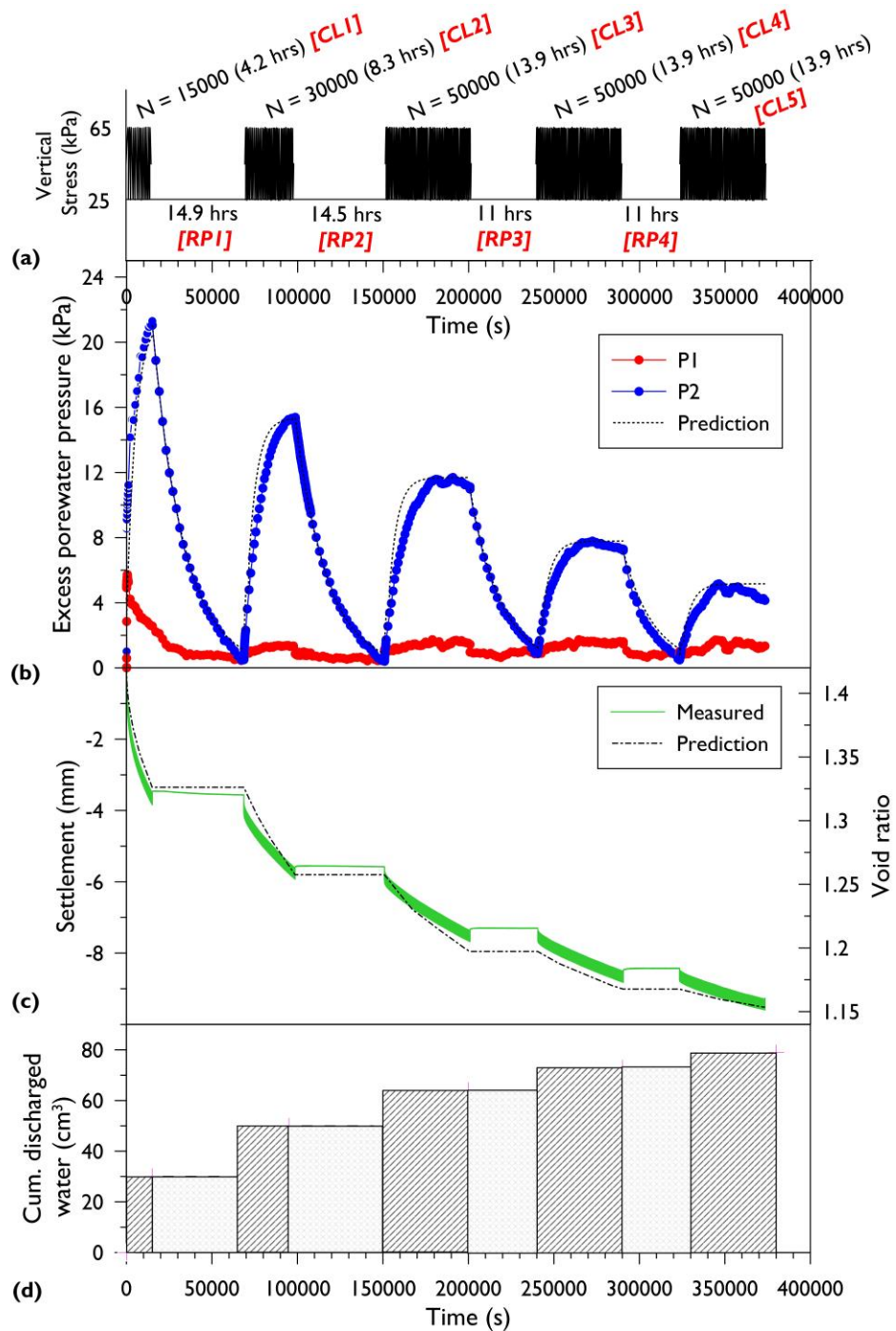
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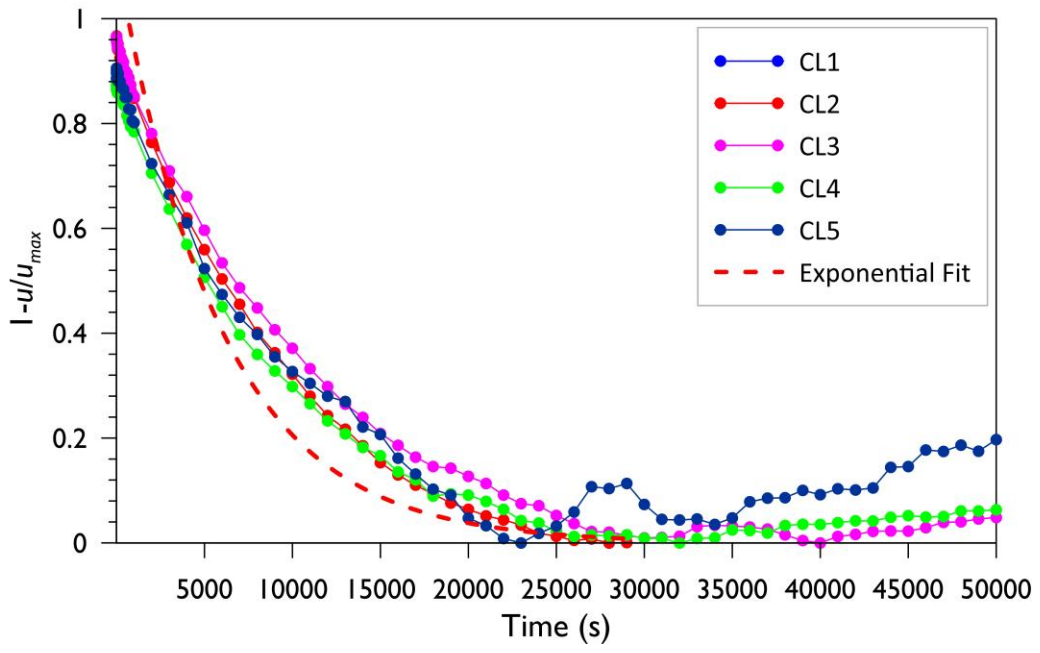
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571 Figure 3: Schematic diagram of the experimental setup



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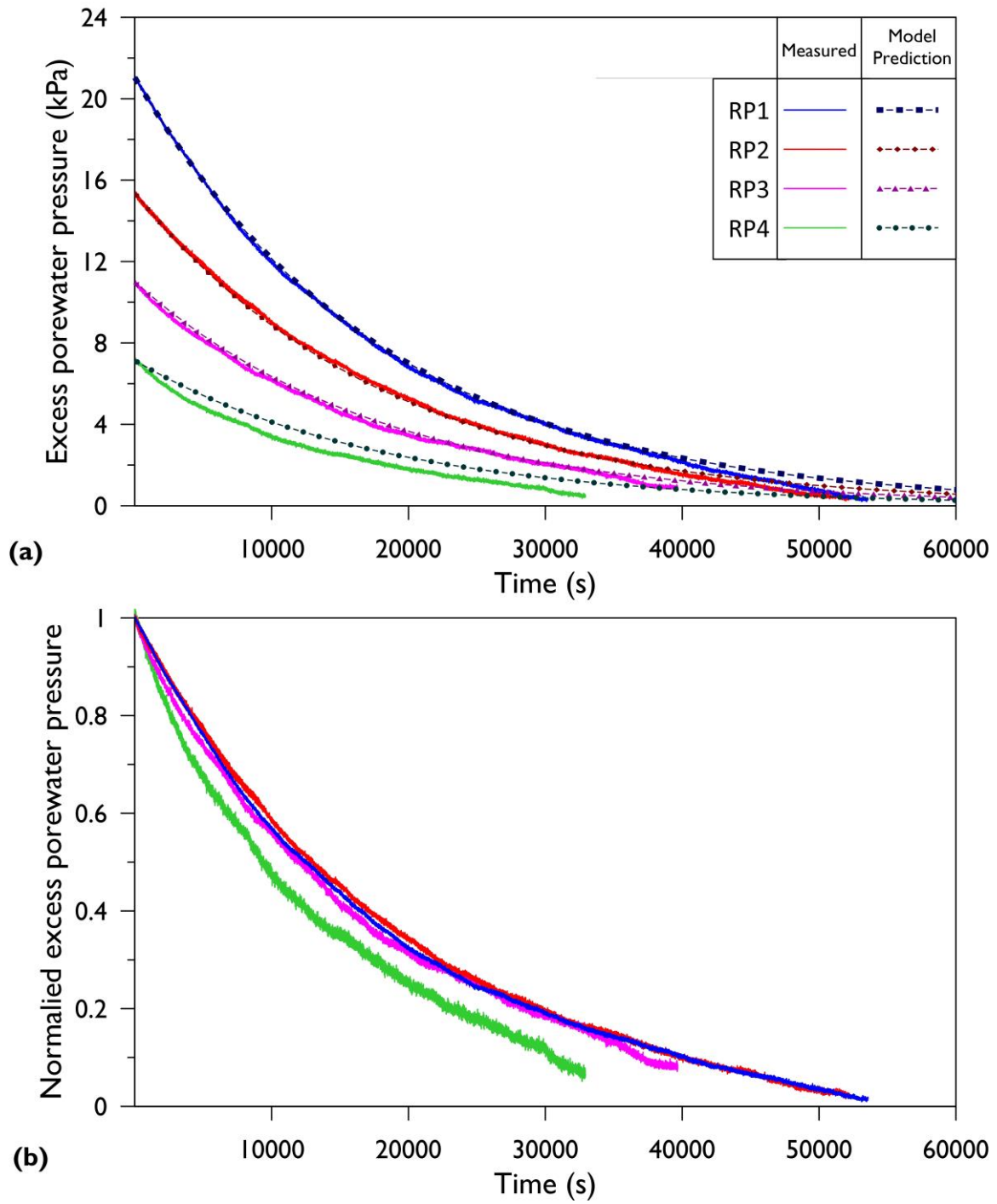
573 Figure 4: (a) loading schedule; (b) EPWP; (c) settlement; (d) volume of discharged water (CL1,
 574 CL2, CL3, CL4, CL5 represent cyclic loading stages 1, 2, 3, 4, 5 respectively; RP1, RP2, RP3, RP4
 575 represent rest periods 1, 2, 3 and 4, respectively)



576

577 Figure 5: Normalised EPWP during cyclic loading

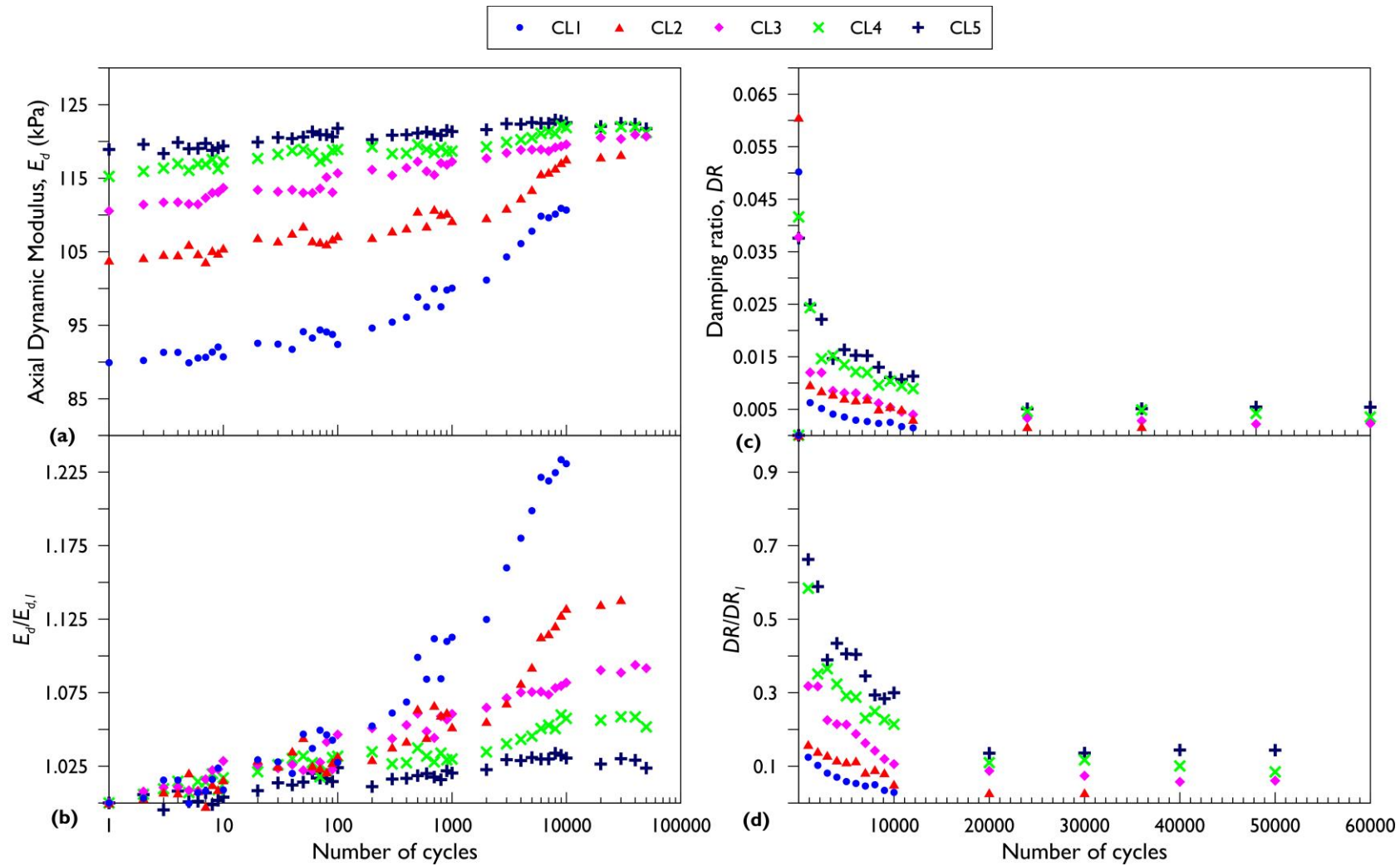
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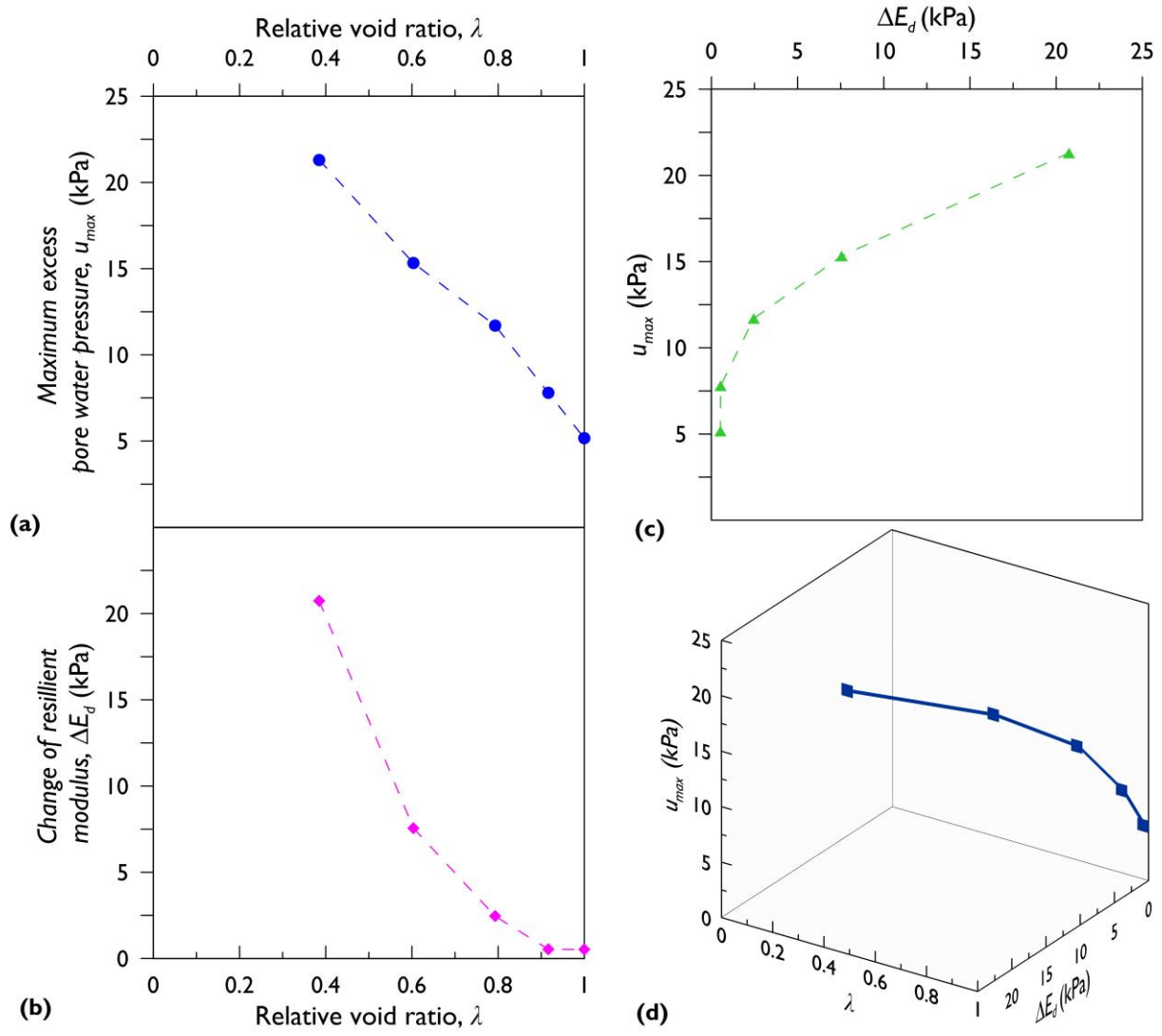
580 Figure 6: (a) Predicted and measured EPWP at P1 during rest periods (b) normalised EPWP

581 during rest periods



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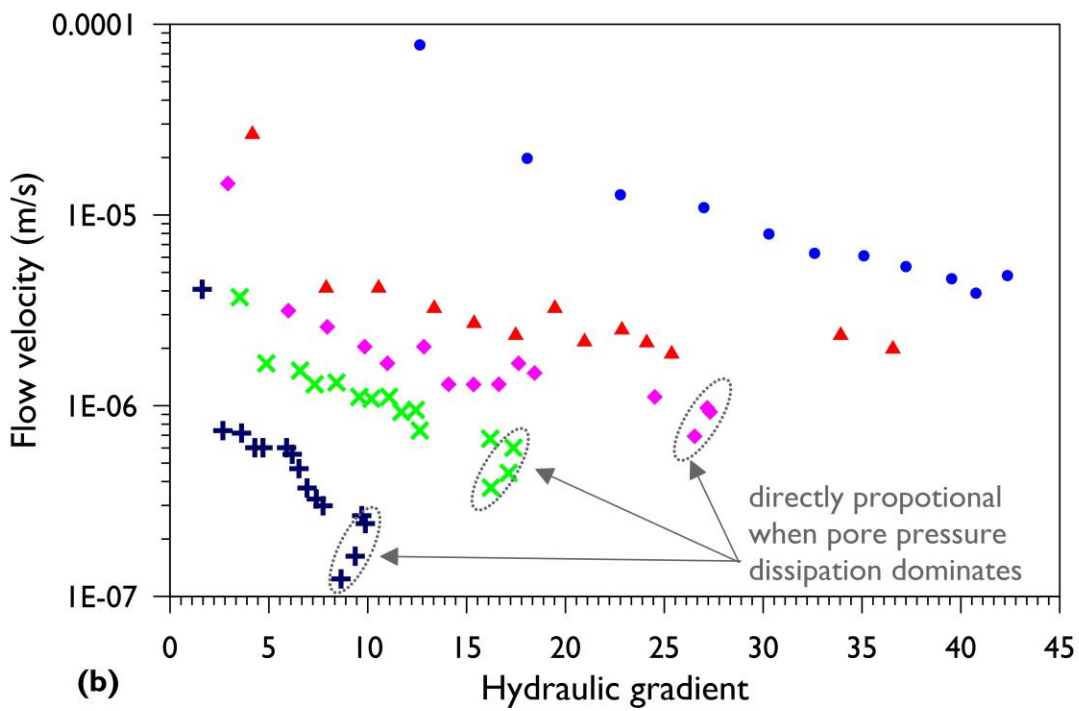
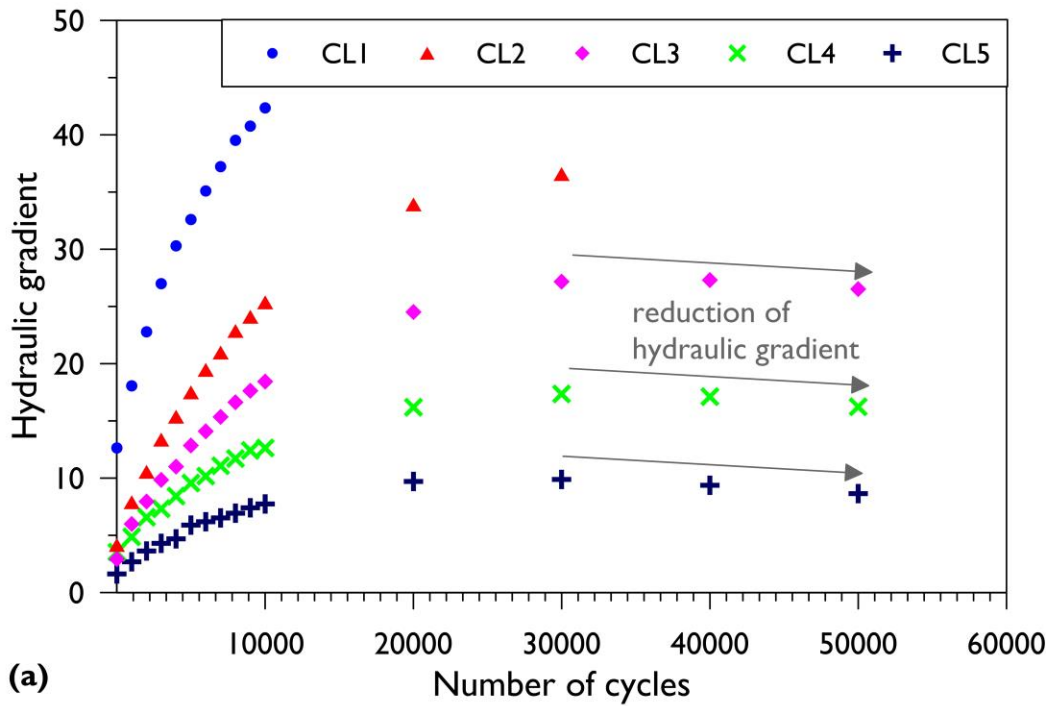
583 Figure 7: (a) Resilient modulus, (b) normalised resilient modulus, (c) damping ratio and (d) normalised damping ratio



585

586 Figure 8: Relationships of (a) maximum EPWP and relative void ratio, (b) change of resilient
 587 modulus and relative void ratio, (c) maximum EPWP and change of resilient modulus, and (d)
 588 change of resilient modulus, maximum EPWP and relative void ratio in 3D space.

589



590

591 Figure 9: (a) Trend between the hydraulic gradient and the number of cycles; (b) Relationship

592 between the flow velocity and the hydraulic gradient

593