

1 **Communication between Rehabilitation Staff and People with Traumatic**
2 **Brain Injury: A Systematic Review**

3 Iben Christensen^{a*}, Emma Power^b, Leanne Togher^c, Sophie Brassel^c, Elise
4 Elbourn^c, Naomi Folder^b, and Lise Randrup Jensen^a

5
6 *^aDepartment of Nordic Studies and Linguistics, University of Copenhagen, Copenhagen*
7 *South, Denmark*

8 *^bDepartment of Speech Pathology, University of Technology Sydney, Ultimo, New South*
9 *Wales, Australia*

10 *^c Discipline of Speech Pathology, Faculty of Medicine and Health, The University of Sydney,*
11 *Camperdown, New South Wales, Australia*

12 *Corresponding author: Iben Christensen. i.christensen@hum.ku.dk Department of Nordic
13 Studies and Linguistics, Emil Holms Kanal 2, Building 22, 2300 Copenhagen South,
14 University of Copenhagen, Denmark.

15

16 **Author notes**

17 Iben Christensen: <https://orcid.org/0000-0002-4523-9061>

18 Emma Power: <https://orcid.org/0000-0002-2638-0406>

19 Leanne Togher: <https://orcid.org/0000-0002-4518-6748>

20 Sophie Brassel: <https://orcid.org/0000-0002-4270-2559>

21 Elise Elbourn: <https://orcid.org/0000-0003-2398-5036>

22 Naomi Folder: <https://orcid.org/0000-0001-9691-9553>

23 Lise Randrup Jensen: <https://orcid.org/0000-0001-8784-6962>

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26 **Communication between Rehabilitation Staff and People with Traumatic**
27 **Brain Injury: A Systematic Review**

28 **Abstract**

29 This systematic review aimed to synthesize barriers and facilitators in communicative interactions
30 between staff and people with traumatic brain injury (TBI) in the rehabilitation context. Searches
31 captured published evidence up to November 2022 in MEDLINE, Embase, SCOPUS, Web of
32 Science, CINAHL, AMED, and PsycINFO. Eligible studies reported on the communicative
33 interaction between rehabilitation staff and adults with TBI. In total, 31 studies were included in the
34 review; including quantitative, qualitative, and mixed-methods designs. Quality assessment was
35 carried out using standard checklists. Quantitative studies and quantitative components of mixed-
36 method studies were synthesized descriptively according to reported communication barriers and
37 facilitators. Qualitative studies and qualitative components of mixed-method studies were analyzed
38 through an inductive thematic meta-synthesis; generating six main themes with four subthemes.
39 Themes were categorized as barriers or facilitators to communicative interaction. Findings
40 demonstrated that cognitive-communication disorders of people with TBI challenge the
41 communicative interaction between rehabilitation staff and people with TBI. However, the extent to
42 which these disorders create a communicative barrier is closely related to staff's communicative
43 approach. While staff holding a collaborative and acknowledging approach and using supportive
44 strategies may facilitate successful communicative interactions, staff using the opposite approach may
45 exacerbate communication barriers.

46 *Keywords: Traumatic brain injury, cognitive-communication, rehabilitation staff, communicative*
47 *interaction, systematic review.*

48 **Background**

49 Traumatic brain injury (TBI) globally affects over 10 million people annually [1], with
50 approximately 75% of people with TBI experiencing cognitive-communication disorders as a
51 sequelae of the injury [2]. Cognitive-communication disorders are defined as communicative
52 challenges due to underlying cognitive impairments, such as in attention, memory, and
53 executive functions [3], leading to poor comprehension of larger information units,
54 unstructured discourse production, and low adherence to the social rules of communication
55 [4]. Cognitive-communication disorders cause challenges in communication between people
56 with TBI and their communication partners [5]. ~~Communication partners tend to change their
57 speech, often unconsciously, using a communicative style that either supports or hinders the
58 communicative abilities of the person with TBI. ommunication partners tend to change their
59 communicative style, often unconsciously, using an unequal approach, where they may
60 initiate the majority of conversational topics or pose questions that test the individual's
61 memory unnecessarily. For example, communication partners may initiate the majority of
62 conversational topics, or pose questions that test the individual's memory unnecessarily.~~
63 When such unsupportive strategies are used, the person with TBI is not fully included in
64 information exchange and decision-making [6–8].

65 As rehabilitation of people with TBI can range from months to years [9], the
66 communicative skills of rehabilitation staff impact extensively on the communicative
67 opportunities of people with TBI [10,11]. Current health care guidelines [12,13] and the
68 International Classification of Functioning, Disability and Health (ICF) [14] strongly
69 emphasize the concept of person-centered care, where people with TBI are viewed as equal
70 partners in planning of the rehabilitation process with accommodation to their individual
71 goals and needs. Communication is pivotal in person-centered care where dialogue serves as
72 a means to create mutual understanding between staff and clients [15,16]. However, research

73 suggests that staff working with people with TBI struggle to follow the health care guidelines
74 for communicative collaboration on rehabilitation tasks such as goal-setting and training
75 activities [17,18]. Thus, people with cognitive-communication disorders are at risk of being
76 sidetracked in their own rehabilitation process [19].

77 Two recent systematic reviews [20,21] have found increasing evidence for
78 communication partner training (CPT), where familiar and unfamiliar communication
79 partners of people with TBI are trained to use specific strategies to improve the
80 communicative interaction. A small randomized control trial has shown that communication
81 between paid carers and people with TBI in a post-acute residential rehabilitation setting can
82 also be improved through CPT [24,25]. Thus, CPT holds potential to enhance adherence to
83 the guidelines of person-centered care and support the communicative participation of people
84 with TBI.

85 Rehabilitation settings are highly diverse in terms of type of facility (e.g. in-patient,
86 out-patient, living), post-injury phase (e.g. sub-acute, community), and staff disciplines (e.g.
87 physicians, occupational therapists, and social education workers) having overlapping but
88 also discipline-specific types of communicative interactions with people with TBI according
89 to their assigned professional rehabilitation tasks [26]. According to the ICF, these
90 environmental factors may create various *barriers* (e.g. staff members using poor
91 communication strategies) or *facilitators* (e.g. staff using supportive strategies) to the
92 communicative participation of people with TBI [14,27]. To develop and tailor CPT
93 programs for the rehabilitation context, it is therefore important to establish an in-depth
94 understanding of the various barriers and facilitators in communicative interactions between
95 staff and people with TBI in this setting. This systematic review and synthesis of the
96 literature reporting on such barriers and facilitators will provide a comprehensive knowledge

97 base about both the interactions and the communicative behaviors in staff that can be targeted
98 in future CPT interventions aiming to improve the interaction.

99 This study aims to answer the following research questions:

100 (1) In a population of people with TBI, which barriers and facilitators are observed and
101 experienced when communicating with rehabilitation staff?

102 (2) In a population of rehabilitation staff, which barriers and facilitators are observed
103 and experienced when communicating with people with TBI?

104

105 **Methods**

106 *Design*

107 The review followed The Preferred Reporting Items for Systematic Reviews and Meta-
108 Analyses (PRISMA) guidelines [28]. In addition, The Enhancing Transparency in Reporting
109 the Synthesis of Qualitative Research (ENTREQ) statement was followed for the included
110 qualitative studies [29]. PRISMA and ENTREQ checklists are included as supplemental
111 materials. The protocol for the review is registered on PROSPERO (CRD42020218075).

112

113 *Search strategy*

114 The databases MEDLINE, Embase, SCOPUS, Web of Science, CINAHL, AMED, and
115 PsycINFO were searched for studies published up to November 22, 2022. The Boolean
116 operators OR and AND were used as required to link search terms together [30]. Four
117 categories of search terms were searched in each database:

118 1. *Etiology*: Brain injur*

119 2. *Activity*: Communicat*, Conversation*, Rehabilitation*, Interaction*, Intervention*,

120 Training*, Need*

121 3. *Communication partner*: Staff*, Nurs*, Paid care*, Therap*, Physician*, Allied health,
122 Profession*, Mentor*

123 4. *Communication disorder*: Pragmatic*, Conversation*, Interaction*, Cognitive
124 Communicat*, Confus*, Social*, Challeng*

125 The search algorithm is presented in Table 1. Searches were amended according to the
126 options of each database. Medical subject headings (MESH) were included when available.

127 **[INSERT TABLE 1 NEAR HERE]**

128 ***Eligibility criteria***

129 Criteria for inclusion were: (1) Publication in an academic peer reviewed journal in English.
130 (2) Studies reported on original data related to adult human beings aged 18 years or older
131 with a diagnosis of TBI. (3) Study participants were either at least 50% adults with TBI, staff
132 members with at least 50% of their clients being adults with TBI, or both participant groups.
133 (4) The main purpose of the study was investigation of the communicative interaction
134 between staff and people with TBI. Studies describing more general investigations were
135 included if they reported key findings related to communicative interaction between staff and
136 people with TBI from which recommendations about communication between staff and
137 people with TBI could be made. (5) As the focus of the review was the communicative
138 interactions between people with TBI and rehabilitation staff, a dialogistical and co-creational
139 perspective of communication guided the inclusion criteria [31,32]. Accordingly, for studies
140 to be included, they had to report on genres of communicative interactions, where both
141 communication partners (people with TBI and staff) were expected to participate actively in
142 the co-creation of dialogue, e.g. conversations, goal setting, establishing understanding, and
143 communicative actions of staff triggering verbal aggression in people with TBI. Thus, studies
144 reporting on information-giving as a unidirectional communicative action from staff to

145 people with TBI, and studies reporting on verbal outburst from people with TBI against staff
146 without providing the communicative context, were not eligible for inclusion.

147 ***Screening***

148 After de-duplication of identified papers, a title and abstract screening, based on the
149 eligibility criteria, was carried out by the first author (IC) with another author (SB) screening
150 25% of the papers independently to ensure reliability. Interrater agreement was 97.5%. Due
151 to too little variation in the dataset with far more papers agreed excluded than included
152 calculation of Cohen's kappa was not useful. The high number of excluded papers was
153 caused by the search terms aiming to capture studies reporting on different rehabilitation
154 activities and different terminology of cognitive-communication disorders. However, the
155 terms also generated a number of irrelevant studies, i.e. animal and pharmacological studies,
156 and studies of specific interventions solely targeting the person with TBI.

157 Papers included for full text reading were reviewed by two authors independently (IC
158 and EE) according to the eligibility criteria. Disagreements were resolved by further
159 discussion between the two raters and a third author (EP). The review was performed using
160 the Covidence software [33].

161 ***Data extraction and quality assessment***

162 Data extraction of included studies (n=31) was undertaken by the first author (IC) in
163 Microsoft Excel [34]. Data included bibliographic information, study aims and design,
164 participant characteristics, genre of communicative interactions, type of rehabilitation setting,
165 and reported barriers and facilitators in communication between rehabilitation staff and
166 people with TBI. Outcome measures or themes/categories were extracted for quantitative and
167 qualitative studies, respectively. The extraction sheets were reviewed by two co-authors (EP
168 and LRJ) for accuracy and discussions of interpretation of data.

169 Quality assessment was conducted using the Joanna Briggs Institute (JBI) Critical
170 Appraisal Tools [35] for randomized controlled trials (n=1), quasi-experimental studies
171 (n=2), and qualitative studies (n=20). For mixed-method studies (n=5), the Mixed-Methods
172 Appraisal Tool (MMAT) [36] was used. For quantitative, but descriptive studies (n=3), the
173 JBI Tools were not deemed as suitable as their descriptive quantitative designs did not fit
174 with any of the available JBI checklists. For this reason, the MMAT (section 4) was used.
175 Summaries of quality assessments are presented in Table 2 and 3. Quality assessment was
176 conducted independently by two authors (IC and NF). Disagreements were resolved through
177 discussion between the two raters and with another author (EP).

178 To obtain a comprehensive knowledge base of the barriers and facilitators in the
179 communication between staff and people with TBI, studies were not excluded from the
180 review based on quality. Furthermore, the JBI Tools [35] do not provide specific quality
181 ratings, but rather descriptive data. Therefore, a systematic process for identification of low
182 and high quality of studies was not supported using these tools.

183 **[INSERT TABLE 2 AND 3 NEAR HERE]**

184 *Data Synthesis*

185 The 31 included studies were categorized based on the use of quantitative, qualitative, or
186 mixed-methods. Quantitative studies (n=6) and quantitative components of mixed-methods
187 (n=5) were highly heterogeneous in terms of participant characteristics, genre of
188 communicative interactions, type of rehabilitation setting, and outcome measures. Therefore,
189 a meta-analysis could not be conducted and instead a descriptive synthesis was performed.

190 Qualitative studies (n=20) and qualitative components of mixed-methods studies
191 (n=5) were analyzed through a thematic meta-synthesis. This analysis was first guided by an
192 inductive approach following the procedure described by Braun & Clarke [37]. Initially, data
193 familiarization was established through reading and re-reading of the included studies. Next,

194 coding of the findings from each study was carried out to capture a condensed meaning of
195 these findings and finally, the codes were collided into preliminary themes from which final
196 themes were generated. Subsequently, themes identified in the inductive analysis were
197 categorized as either communicative barriers or facilitators in staff-client interaction.
198 Thematic meta-synthesis was carried out by the first author (IC) with continuous reflection
199 and discussion with another author (EP) to establish analytical rigour.

200 For both quantitative and qualitative studies including other etiologies than
201 exclusively TBI, analysis was based on data reported from the total study population or on
202 data or quotes related explicitly to participants with TBI.

203

204 **Results**

205 *Study selection*

206 The selection process is illustrated in Figure 1, PRISMA flowchart. In total, searches
207 identified 12452 papers, and 6616 remained after duplicates were removed. After title and
208 abstract screening, 188 papers were included for full-text review. Of those papers, 157 were
209 excluded primarily due to either lack of reporting on communicative interaction between staff
210 and people with TBI, or inclusion of participant groups where less than 50% were people
211 with TBI or staff working with people with TBI. Finally, 31 papers reporting on 29 unique
212 studies were included ~~in the review~~.

213

[INSERT FIGURE 1 NEAR HERE]

214 *Study characteristics*

215 Six quantitative studies were included: One RCT [24], two quasi-experimental studies
216 [38,39], and three descriptive quantitative studies [26,40,41]. Twenty qualitative studies were
217 included: 13 interview studies [11,25,42–52], five observation studies [19,53–56], and two

218 qualitative survey studies [57,58]. Five mixed-methods studies were included: two cross-
219 sectional survey studies [17,59], and three observation studies [60–62]. A summary of
220 included studies is provided in Table 4.

221 The following sections present the study data and the results from the descriptive
222 synthesis of included quantitative studies and quantitative components of mixed-method
223 studies. Subsequently, the study data of qualitative studies and qualitative components of
224 mixed-method studies and the results of the qualitative thematic meta-synthesis are presented.
225 Despite differences in study design, the results of both quantitative and qualitative studies
226 could be understood from the theoretical ICF-perspective on barriers and facilitators [14].
227 Thus, the presentations below are structured in sections relating to the barriers and facilitators
228 identified in the analysis of included studies, i.e. the factors challenging or supporting the
229 communicative interaction between rehabilitation staff and people with TBI.

230 **[INSERT TABLE 4 NEAR HERE]**

231 ***Study data: quantitative studies and quantitative components of mixed-method studies***

232 ***Participants with TBI***

233 In total, seven of the 11 quantitative studies included 146 participants with TBI [24,38–
234 40,60–62]. Four of the studies included staff perspectives only [17,26,41,59]. The youngest
235 participants were 18, and the oldest were reported as ‘in their 70s’ [61]. Four studies reported
236 on the sex of the participants with one study [38] having an equal number of male and female
237 participants and the rest of the studies having mostly male participants [40,61,62]. Five
238 studies reported on time since injury, with participants having sustained a TBI between eight
239 days and 38 years before the study [24,38,40,61,62]. Three studies addressed the severity of
240 participants’ TBI with two studies including participants with moderate to severe injury based
241 on length of post-traumatic amnesia (PTA) [24] and Glasgow Coma Scale [60]. The third
242 paper [39] used the Functional Independence Measure score to determine severity and

243 included 15 participants with severe communication and cognition disorders and 21
244 participants with mild to moderate communication and cognition disorders. Only one study
245 [24] reported on the cause of the TBI (primarily motor vehicle accidents).

246 *Staff participants*

247 In total, 10 of the 11 quantitative studies included 637 staff participants
248 [17,24,26,38,39,41,59–62]. One study exclusively included people with TBI as participants
249 [40]. The 10 studies reported on the professional background of the participants with some
250 studies including only one profession and others including a wide range of health
251 professionals. Staff included physicians, nurses, nursing assistants, rehabilitation assistants,
252 physiotherapists, physiotherapist assistants, occupational therapists, occupational therapy
253 assistants, speech- language pathologists, neuropsychologists, clinical psychologists, social
254 workers, recreational therapists, paid carers, case coordinators, secretaries, porters, job
255 coaches, and students from some of these professions.

256 Seven studies reported on the length of staff experience working with TBI with a variation
257 from less than one year to 35 years (median 7 years, range 0-35 [17]; mean 2.1years [24]; 4-9
258 years [41]; 28% >15 years, 13% one year or less [26]; average of approximately 16 years
259 [59]; and an average of 5.6 years [60]). The age of staff was reported in four studies, ranging
260 from 20-61+ years [26], mean age 31.4 years [24], 39.7 years [41], or 44.14 years [59]. Five
261 studies reported the sex of staff participants [26,41,59,60,62] with all studies having a clear
262 majority of female participants.

263 *Communicative context*

264 Across the 11 quantitative studies, ~~there was variation regarding both~~ the
265 rehabilitation setting in which the studies were conducted and the type of communicative
266 interaction between staff and people with TBI ~~varied~~. Two studies reported on
267 communication across the continuum of care [26,60]. Four studies reported on inpatient

268 settings with either people with TBI in PTA [17,61] or people with TBI post PTA [39,40].
269 One study focused on communication in a post-acute residential rehabilitation setting [24],
270 one study on the outpatient setting [41], two studies on the community setting [38,59], and
271 one study on both outpatient and community settings [62].

272 One study explored CPT and focused on both structured and casual conversations
273 between staff and individuals with TBI [24]. Two studies focused specifically on
274 communicative interaction in goal setting [60,62], one study focused on staff's questioning
275 style [61], and one study looked at verbal aggression in people with TBI [40]. Six papers did
276 not state the genre of the explored communicative interaction between staff and clients
277 [17,26,38,39,41,59].

278 *Outcome measures*

279 Of the 11 studies with quantitative or mixed-methods designs with quantitative components,
280 three studies were designed as cross-sectional survey studies using questionnaires specifically
281 developed for the study purpose [17,26,59]. The remaining eight studies used 13 different
282 outcome measures of which only six were directly assessing communication, i.e. rating of
283 language data [38], interaction rating form and checklist [39], coding of observations [61],
284 and the Adapted Kagan Scales, Global Impression Scale, and La Trobe Communication
285 Questionnaire ~~(LCQ)~~ [24].
286

287 *Descriptive synthesis: quantitative studies and quantitative components of mixed-method* 288 *studies*

289 *Communicative barriers*

290 Eight of the quantitative studies reported on a range of barriers in the communication
291 between people with TBI and rehabilitation staff [17,24,26,38,40,59–61], whereas three
292 studies [39,41,62] reported solely on communicative facilitators.

293 Cognitive-communication disorders in people with TBI was reported as one of the
294 most prevalent barriers across the continuum of care [17,24,38,40]. Likewise, the
295 communicative behavior of staff was reported as a barrier, e.g. lack of use of strategies and
296 conversational support [17,24], questioning people with TBI without adjusting to their
297 cognitive and communicative abilities [24,61], lack of engagement of people with TBI in
298 meaningful every-day conversations and in rehabilitation conversations [26,60], and lack of
299 establishment of a therapeutic alliance [26]. However, one study focusing on verbal
300 aggression in people with TBI [40] found that staff providing high levels of structure, giving
301 direct verbal prompts to comply with an instruction, and offering verbal guidance/advice
302 could potentially increase the number of verbally aggressive responses in people with TBI.

303 Another reported barrier referred to the lack of training to improve communicative
304 knowledge, skills, and confidence in staff [17,24,26,59,61].

305 *Communicative facilitators*

306 Nine of the quantitative studies reported on facilitators in terms of strategies used by staff in
307 their communication with people with TBI [17,24,38–41,59,61,62]. Two studies [26,60]
308 reported exclusively on barriers to the communicative interaction.

309 In general, staff's use of communicative strategies was described as helpful and as a
310 means to create more successful interactions [24,39,62]. In terms of ensuring people with
311 TBI's comprehension in a conversation, one observation study [39] suggested a range of
312 strategies for staff to use in the inpatient rehabilitation setting, e.g. eye contact, gestures,
313 pointing, short and direct sentences, presenting directions one at a time, keeping complexity
314 of information low, presenting information slowly, and repeating information. Furthermore,
315 structuring the information was mentioned for both in- and outpatient settings in two studies
316 [39,62], and the importance of staff explaining their knowledge to people with TBI in the
317 outpatient and community settings was reported in two studies as well [41,62].

318 Three studies reported on questioning strategies for staff [17,24,61]. For inpatient
319 settings, where people with TBI may have -PTA, communication could be improved when
320 staff avoided using quiz questions and focused on questions about the 'here and now' [61].
321 Moreover, providing people with TBI with information instead of demanding information
322 [17,61] or using questions without memory load [17] was found to be successful. For the
323 post-acute residential rehabilitation setting, one RCT study [24] reported on the success of
324 staff asking open-ended questions that encouraged extended responses from clients. In
325 conversations in the inpatient setting, facilitating communication strategies was mentioned in
326 one study as giving people with TBI more time and make sure to clarify what they had said
327 [39]. In the outpatient setting, reported strategies were staff listening, collaborating, and being
328 client-centered in their communication [62] as well as staff using strategies to increase the
329 participation of people with TBI, e.g. introducing topics of interest to people with TBI [24].
330 In one study [24], the importance of staff having strategies for dealing with communicative
331 breakdowns in conversations with people with TBI was also emphasized.

332 Two studies [24,38] reported on how staff using conversational engagement strategies
333 could facilitate a communicatively stimulating environment [38] with better opportunities for
334 people with TBI to share information and their own perspectives [24]. Furthermore, these
335 opportunities could be enhanced by staff modelling positive language and communication
336 [38] or staff using natural, adult-like, and non-patronizing communication [24]. Two studies
337 [38,62] reported on staff providing feedback to people with TBI in the community setting. No
338 specific feedback methods were reported, but feedback on communicative behavior was
339 described as enhancing the communicative function of people with TBI [38]. However, one
340 study [40] reported that specifically for verbal aggression in the inpatient setting, effective
341 communicative strategies for staff were to ignore the aggression of clients within

342 rehabilitation sessions as well as to provide structure for rehabilitation settings to decrease the
343 risk of severe aggression outbursts.

344 Studies across the continuum of care reported that teaching staff strategies was highly
345 important for successful communication between staff and clients by improving knowledge
346 and use of specific strategies [17,24,59], enhancing staff confidence [17,59], and ensuring
347 uniform clinical practice [61].

348 Two studies [38,39] reported on strategies that staff ~~could~~ teach people with TBI. In the
349 inpatient setting, people with TBI could be taught to focus on their conversational partner
350 rather than dividing their attention between a communication task and another task [39]. In
351 the community setting, people with TBI could learn strategies for self-monitoring [38].

352

353 ***Study data: qualitative studies and qualitative components of mixed-method studies***

354 *Participants with TBI*

355 Thirteen of the 25 included qualitative studies reported on a total of 173 people with TBI
356 [11,19,42,45,47,51,53–56,60–62]. Twelve studies reported exclusively on staff
357 [17,25,42,43,45,47–49,51,56–58]. The age of people with TBI was reported in ten studies
358 [11,19,47,51,53,54,60–62] and ranged from 19 years to ‘in their 70s’ [61]. Four studies
359 [45,47,53,61] reported on the sex of people with TBI with the majority of participants being
360 male; except from one study with 27 female participants and 24 male [45]. Time since injury
361 was reported in seven studies [11,45,47,51,53,61,62] as a range from eight days to 42 years.
362 Five studies reported on injury severity with four studies [19,47,53,60] including people with
363 moderate-severe TBI, and one study [42] exclusively people with severe TBI. Only one study
364 mentioned injury causes (vehicular accidents or violent assaults) [51].

365

366 *Staff participants*

367 Twenty-one of the 25 included qualitative studies reported on a total of 1163 staff members
368 [11,17,25,42–44,46–50,52–54,56–62]. Two studies did not provide the exact number of staff
369 members [19,54], and two studies reported exclusively on the perspectives of people with
370 TBI [45,51]. All but one study [19] reported on the professional background of the staff
371 members. Some studies included only one profession and others included a variety of
372 professionals. Professions were the same as reported for included quantitative studies.

373 The age of staff members was reported in eight studies [11,25,48,50,52,57–59]
374 ranging from 19–77 years. Thirteen studies [11,44,46,48–50,52,54,57–60,62] reported on the
375 sex of included staff members with more than 50% female participants in all studies. Years of
376 experience was reported in 15 studies [11,17,25,42–44,46,50,52,53,56,57,60,62] and ranged
377 from nine weeks to 35 years with some studies including mean values: 2.3 years [25], 5.1
378 years [46], 5.6 years [60], 6 years [42], 7 years [17], 7 years [50], 7.5 years [57], 10 years
379 [62], 13.85 years [52], and 14 years [44].

380

381 *Methodology*

382 Data in the included qualitative studies and mixed-method qualitative components was
383 primarily collected through individual interviews or focus groups, but some studies were
384 observation studies or cross-sectional surveys. Most studies did not explicitly define their
385 philosophical approach to data collection and analysis, but some had a basis of either
386 phenomenology [43,45,46], grounded theory [48,56], or critical decision method [49,50].

387

388 *Communicative context*

389 The communicative context of the studies varied greatly. Two studies were conducted
390 across the continuum of care [47,60], while nine studies were carried out in in-patient settings
391 [17,19,42,43,49,50,52,55,57,61], one study in an out-patient setting [62], eight in community

392 settings [11,44–46,51,53,54,59], and two in post-acute residential rehabilitation settings
393 [25,48]. One study was conducted in both in- and outpatient settings [58], and one study in
394 both in-patient and community [56].

395 Likewise, the explored communicative interaction varied across studies. Most studies
396 did not define the communicative genres [11,17,42,43,45,47,49,50,52,55,57,58]. Five studies
397 focused on communication in goal setting [46,53,56,60,62], two studies focused on verbal
398 aggression [44,59], two studies focused on humor [48,54], one study focused on decision-
399 making conversations [51], one study focused on rehabilitation meetings [19], one study
400 focused on staff questioning style [61], and one study focused on CPT including both casual
401 and structured conversations [25].

402

403 ***Thematic meta-synthesis: qualitative studies and qualitative components of mixed-method***
404 ***studies***

405 Through an inductive synthesis of the findings across the 25 included qualitative papers, six
406 main themes with four subthemes were generated. Subsequently, themes and subthemes were
407 categorized as associated with either barriers or facilitators to successful communication
408 between staff and people with TBI. Themes and subthemes are illustrated in Figure 2.

409 **[INSERT FIGURE 2 NEAR HERE]**

410 ***Communicative barriers***

411 The following themes and subthemes were identified as associated with communicative
412 barriers: (1) Communication disorders in people with TBI. (2) Staff's communicative
413 approach (2.a. Style; 2.b. Inefficient strategies; and 2.c. Lack of communicative training). (3)
414 Unequal relationship between staff and people with TBI.

415 ***Barriers theme 1: communication disorders in people with TBI. According to the majority of***
416 ***the qualitative studies, the Cognitive communication disorders of people with TBI can be a***

417 ~~were reported to be a barrier to successful communicationve interaction between~~
418 ~~rehabilitation staff and people with TBIelients, affecting the ability of people with~~
419 ~~communication impairments to understand or provide information. Thus, communicative~~
420 ~~disorders affecting the ability of people with TBI to either understand or provide information~~
421 ~~in conversations with staff are perceived by both staff and people with TBI as an obstacle in~~
422 ~~their communicative interaction.~~ According to the majority of the qualitative studies, the
423 cognitive-communication disorders of people with TBI were reported to be a barrier to
424 successful communication between rehabilitation staff and clients. ~~Thus, communicative~~
425 ~~disorders~~ affecting the ability of people with TBI to ~~either~~ understand or provide information
426 ~~in conversations with staff were perceived by both staff and people with TBI as an obstacle in~~
427 ~~their communicative interaction.~~ In an interview study with people with TBI [51], a female
428 participant expressed the challenges with her comprehension:

429 They might give me material here, [name of community association], but can my brain
430 scan it and make it work and make it think for me? No, I need somebody to say ‘this is
431 what it does’, p. 195 [51].

432 Likewise, the disturbances of discourse production associated with cognitive-communication
433 disorders ~~could~~may become a barrier to successful conversations in rehabilitation settings. In
434 an interview study with staff participants [46], a clinician stated:

435 They [people with TBI] would not be able to give me that information (identifying
436 goals) because they can’t generate those sorts of ideas, p.35 [46].

437 Furthermore, changes in pragmatics and social cognition challenged the rapport between
438 clients and staff. One study observed the use of inappropriate humor by an individual with
439 TBI in a group setting including other people with TBI and Speech-Language Pathology
440 students [54]:

441 In short, the response to MP3’s [individual with TBI] joke is not one of camaraderie.
442 Group reactions express disaffiliation with the joke and the joke teller, p. 329 [54].

443 *Barriers theme 2: staff's communicative approach.* The communication of staff ~~could~~may
444 also constitute a barrier to successful communication between people with TBI and
445 rehabilitation staff. According to the included qualitative studies, challenges primarily arose
446 from the style of staff's communication, their use of inefficient communicative strategies, and
447 their lack of communicative training.

448 *Barriers subtheme 2.a.: style.* Staff's communicative style was reported as being overly loud,
449 having a harsh tone of voice, or even snapping or yelling at people with TBI
450 [44,49,50,52,59]. As this ~~could~~may result in agitation or verbal aggression from the
451 individual with TBI towards staff, this ~~was~~is considered a barrier to successful
452 communication. In an interview study with nurses focusing on the environmental factors
453 irritating people with TBI [49], a nurse described this connection between the communicative
454 style of staff and the response from people with TBI:

455 Staff trigger aggression by annoying them, by being verbally aggressive or snappy
456 towards them, by probably not tending to their needs, p. 977 [49].

457 *Barriers subtheme 2.b.: inefficient strategies.* Another barrier to ~~the~~staff communication was
458 ~~staff's~~their use of ineffective strategies. This included~~s~~ inappropriate questioning style, lack
459 of awareness and acknowledgement of the contributions made by people with TBI, and lack
460 of adjustments to enable the necessary cognitive and communication supports to facilitate
461 interactions [17,42,49,53,55,59,61]. An observation study of the amount and quality of staff's
462 questions to people with TBI in acute care settings [61] showed how a high number of
463 orientation questions during the period of PTA could be counterproductive:

464 ...in addition to reinforcing incorrect responses, autobiographical questions run the risk
465 of providing inaccurate information to the treatment planning process. Asking questions
466 about orientation, recent events, or personal history during PTA carries the additional
467 risk of creating anxiety in patients when they 'draw a blank', p.1519 [61].

468 Staff's lack of awareness and acknowledgement of the communicative contribution of
469 people with TBI ~~could~~~~may~~ also create barriers to a person-centered approach
470 emphasizing the participation of the individual with TBI in rehabilitation conversations.

471 Observations from a study focusing on goal setting [60] ~~supported~~~~s~~ this:

472 There were also, however, instances where health professionals were unable to shift
473 attention from data gathering to address the needs or concerns communicated by
474 patients or their family members. In these instances, patients and family members were
475 observed to retreat from participation in the session, potentially because their expressed
476 needs were not being met, or at times not even acknowledged, p. 26 [60].

477 Furthermore, barriers ~~may~~ ~~ar~~~~ise~~ when staff ~~did~~~~e~~ not adjust their communication to the needs
478 for cognitive and communicative support of people with TBI. A cross-sectional survey study
479 with therapist participants [59] categorized this as an unhelpful approach to address
480 challenging behaviors:

481 Staff not taking into account ABI [acquired brain injury] contributors to CB
482 [challenging behavior] (e.g. communication difficulties), p. 200 [59].

483 *Barriers subtheme 2.c.: lack of communicative training.* Finally, a lack of formal staff
484 training regarding the cognitive and communicative disorders that individuals with TBI
485 frequently experience was also found to be a barrier to effective communication. This may
486 cause a lack of knowledge, skills, and confidence in staff, potentially increasing the use of
487 inappropriate strategies. In an interview study with therapist participants [44], such
488 challenges were described:

489 Some participants reported they had low expertise and confidence in behaviour
490 interventions: 'You feel out of your depth' ... Participants indicated there was a lack of
491 formal training available. Consequently, most training was informal, such as learning on
492 the job and self-directed research, p.39 [44].

493 However, one observation study [56] found that despite training, staff could still experience
494 challenges in changing their communicative practice:

495 Several participating clinicians found delivering IOG [Identity-Oriented Goal Setting]
496 challenging and at odds with their normal practice... 'we are not skilled in formulating
497 dialogue that is used to facilitate thoughts and ideas from other people', p. 735 [56].

498 *Barriers theme 3: unequal relationship between staff and people with TBI.* Across the
499 qualitative studies, the relationship between staff and people with TBI was considered a
500 potential barrier to successful communicative interaction [19,42,45,47,49,51,53,59,60]. When
501 the relationship ~~was~~ unequal and based on an underlying skewed balance of power, there
502 ~~was~~ a risk that the communication approach taken by staff caused ~~s-~~individuals with TBI to
503 feel patronized, devalued, or even de-humanized. In an interview study with people with TBI
504 [51], these feelings were described and exemplified:

505 Indeed, participants often described doctors as condescending and patronizing... 'They
506 do talk down to you ... Like I'm a child, and I'm not. I'm a fifty-year-old woman...', p.
507 193 [51].

508 Besides the negative emotional response in people with TBI, staff's communication in
509 unequal relationships ~~could~~~~may~~ also challenge the participation of individuals with TBI in
510 conversations regarding the rehabilitation process. In an interview study with people with
511 TBI [45], this was described as a power struggle between rehabilitation staff and the
512 individual with TBI:

513 'Whatever I suggested was not done. What she [the physician] suggested was. Those
514 kinds of power struggles are unnecessary, especially when you don't have the
515 ammunition you need to hold your own', p. 195 [45].

516 The communicative context of meetings ~~was~~ mentioned in two studies [19,47] as an example
517 of a situation where this lack of inclusion of the person with TBI ~~took~~~~akes~~ place:

518 Ninety per cent of the clients are passive participants in the meeting... The information
519 is over their heads, and we cannot allow the meeting to affect them emotionally..., p.
520 700 [19].

521

522 *Communicative facilitators*

523 The following themes and subthemes were identified as associated with communicative
524 facilitators: (1) Staff's knowledge, skills, and confidence, (2) Strategies for comprehension
525 and production (2.a. Strategies for questioning), ~~and~~ (3) Acknowledgement and
526 collaboration.

527 *Facilitators theme 1: staff's knowledge, skills, and confidence.* Some studies emphasized
528 improvement of staff's knowledge, skills, and confidence as a prerequisite for their use of
529 successful communication strategies and therefore a facilitator for successful interactions
530 [25,44,52,56,61]. In an interview study focusing on staff experiences of a CPT program [25],
531 staff were able to identify successful strategies due to newfound knowledge:

532 A greater understanding of the impact of cognition (i.e. information processing and
533 memory abilities) on communication was revealed post-training. Instructions needed to
534 be kept short and simple and the person with TBI needed time to respond to questions
535 and comments made, p. 1557 [25].

536 Likewise, the training resulted in better communication skills and more confidence in staff
537 members, which improved their communication style and the level of equality in the
538 conversational interactions:

539 Post-training greater feelings of confidence and enjoyment emerged for all paid carers
540 for individual and group interactions. Paid carers felt more comfortable communicating
541 with people with TBI and reported more positive conversations, p. 1557 [25] .

542 *Facilitators theme 2: strategies for comprehension and production.* The majority of
543 qualitative studies focused on specific communication strategies that staff ~~could use~~ ~~use~~ as

544 facilitators to improve the comprehension and discourse production of people with TBI
545 [17,25,42,44,46,48,50,51,57–60,62]. Some of these strategies included reducing the amount
546 of information given and simplifying ~~their~~ instructions, using concrete language, structuring
547 the conversation, recapping information, and providing a scaffolding for the different
548 elements of a conversation to improve comprehension. In one study, the use of strategies was
549 described in the context of a goal setting conversation [62]:

550 Scaffolding involved the presentation or modification of verbal information, to ensure
551 that the concepts being discussed were concrete rather than abstract ... the practitioner
552 initially uses a direct question to elicit language and cognition goals but when the client
553 was unable to answer, the practitioner re-frames the question to make it concrete for the
554 client, p. 320 [62].

555 Supporting verbal communication with written keywords or visual materials to improve
556 comprehension for people with TBI was also mentioned as a useful strategy. Examples of this
557 approach were a written daily itinerary [17], pictures to guide delivery of information to
558 people with TBI [58], and visual cues to address memory challenges [57].

559 To accommodate to the disrupted discourse production often demonstrated by people
560 with TBI, staff ~~could~~^{may} use strategies such as a clear conversation structure, scaffolding of
561 different elements of the conversation, and specific options for answers to facilitate
562 successful communicative interaction. However, the strategy mentioned in most studies
563 related to the listening skills of staff members, such as in an observation study of goal setting
564 conversations [62] where reflexive listening was used by staff to engage individuals with
565 TBI:

566 Listening included reflective listening, when practitioners repeated back, summarised or
567 used questions to clarify what the client had said., p. 320 [62].

568 Additionally, general communication strategies such as providing enough time for
569 communication, using humor, adjusting communication individually to each person with

570 TBI, and applying a humanized communicative approach were regarded as facilitators of
571 successful communication across included qualitative studies. In an interview study [50], a
572 nurse expressed:

573 So I'd look at good communication, being able to talk to someone as a normal human
574 being, being able to have a joke, muck around but also when it's time to be serious, be
575 professional, p. 18 [50].

576 *Facilitators subtheme 2.a.: strategies for questioning.* Some studies focused on questioning
577 strategies used by staff with people with TBI [17,25,51–53,55,58,60,61]. To facilitate
578 successful communication, staff should pose questions in a way that allows people with TBI
579 to answer them despite their potential cognitive-communication disorders. In sub-acute
580 rehabilitation settings, this meant decreasing both the overall number of questions and the
581 number of questions relying heavily on the memory functions of the individual with TBI.

582 Furthermore, staff should provide information to the person with TBI to support the
583 cognitive functions that the individual needs to answer questions. This was emphasized in an
584 interview study with staff [61], where the positive consequences for people with TBI ~~were~~
585 mentioned as well:

586 Clinicians perceived themselves and their colleagues as minimizing questions that reply
587 on explicit memory and providing, rather than requesting, information during
588 interactions with patients in PTA ... Reduction in frustration and/or agitation in pTBI
589 with impaired memory, was noted by 23% of respondents, p. 1522-1523 [61].

590 Reducing the number of questions ~~was~~ a strategy applying also to the later phases of
591 rehabilitation, where fewer questions ~~were~~ associated with less irritation. This ~~was~~
592 explained by a female participant with TBI describing her current doctor [51]:

593 She doesn't ask a thousand and one questions about what you just told her. She just asks
594 a question or two just to clarify something. That's all she needs to know. She

595 understands. Other doctors keep asking the same question over and over again, see, it
596 gets annoying after a while, p. 196 [51].

597 Likewise, providing cognitive support was also associated with increased participation of
598 people with TBI in later phases of rehabilitation. This was emphasized in an observation
599 study focusing on goal setting conversations in the community setting [53]:

600 When this conversational behaviour was used [staff using open-ended questions about
601 specific tasks], clients made self-observations and reflected on previous performance,
602 which subsequently enabled them to self-identify problems., p.491 [53].

603 *Facilitators theme 3: Acknowledgement and collaboration.* Across the included qualitative
604 studies, an acknowledging and collaborative approach from staff in conversations with people
605 with TBI was regarded as a communicative facilitator [11,19,25,45–47,50,53,54,59,60].

606 Acknowledgement ~~was~~ associated with staff recognizing the person with TBI as an
607 individual human being with unique life roles and social contexts. In an interview study with
608 staff [60], it ~~was~~ stated how acknowledging strategies such as building relationships, being
609 engaged, and using reflective listening skills resulted in increased participation of people with
610 TBI in goal setting conversations:

611 Health professionals used reflective listening skills to understand the patient in the
612 context of their family and to understand the life roles and activities of the person before
613 their brain injury ... Patients and families responded to this with greater engagement in
614 the interview, p. 25 [60].

615 In an observation study of goal setting conversations [53], staff's use of acknowledging
616 strategies was seen as a direct facilitator of speech production in people with TBI:

617 Acknowledgements and affirmations appeared to facilitate as they were frequently
618 followed by explicit problem statements from the client, p. 491 [53].

619 Conversational collaboration between staff and people with TBI ~~is~~ established when both
620 communication partners ~~are~~ given the possibility of contributing to the conversation. This

621 may not always be the case in rehabilitation conversations for instance about planning and
622 goal setting, where staff traditionally have been holding the main role as explained in an
623 observation study with staff regarding a collaborative goal setting training program [56]:

624 Many of the clinicians admitted that previously they set their client's rehabilitation
625 goals themselves in response to funder requirements rather than working with clients on
626 developing their own goals. 'We always had goals, but we never did sort of really
627 collaborative goal setting, p. 733 [56].

628 From the perspective of people with TBI, staff's use of strategies to increase collaboration in
629 conversations facilitated their autonomy in their own rehabilitation process, as described in
630 an interview study with people with TBI [45]:

631 In some cases, the participant needed active assistance with taking charge: 'I was
632 referred to an occupational therapist. And he was the one that really helped me. And
633 also broke the logjam and finally got me a little more in charge of my recovery', p.195
634 [45].

635 **Discussion**

636 This systematic review aimed to identify the communication barriers and facilitators in the
637 interaction between staff and people with TBI in the rehabilitation context. In total, 31 papers
638 reporting on 29 unique studies were reviewed. Six studies were quantitative (one RCT, two
639 quasi-experimental, and three quantitative descriptive), 20 studies were qualitative (13
640 interview studies, five observation studies, and two qualitative analyzed survey studies), and
641 finally five studies were mixed-methods (two cross-sectional survey studies, and three
642 observation studies). Overall, the included studies met a high percentage of the criteria of the
643 quality assessment tools. Studies reported on a total number of 275 participants with TBI and
644 1522 inter-disciplinary staff participants from a variety of rehabilitation settings ranging from
645 early phase to residential facilities.

646 In both the descriptive synthesis and thematic meta-synthesis, cognitive-
647 communication disorders were identified by staff and people with TBI as a major barrier to
648 successful communicative interaction. Furthermore, interaction challenges associated with
649 the communicative disorders were considered by staff and people with TBI to be augmented
650 by certain inappropriate communicative responses from staff, which in turn became another
651 interaction barrier. In contrast, it was recognized that staff holding an acknowledging and
652 collaborative approach to communication and staff using supportive communicative
653 strategies could decrease the negative impact of cognitive-communication disorders.

654 The synthesis of included studies showed that the challenges of cognitive-
655 communication disorders were present across the continuum of the rehabilitation setting and
656 across the genres of communicative interaction, including goal setting, decision-making, and
657 verbal aggression. This confirms the findings of previous cross-sectional survey studies,
658 where staff members from various rehabilitation settings have pointed to communication with
659 people with TBI as highly challenging in their everyday work [17,18]. However, this review
660 highlights that the extent to which these disorders create a barrier for the interaction between
661 staff and people with TBI is closely related to staff's communicative approach.

662 According to both staff and people with TBI, the opportunities for people with TBI to
663 participate in their rehabilitation process are limited if staff do not accommodate to the
664 altered cognitive and communicative needs of people with TBI. Lack of communicative
665 support is therefore a barrier in achieving the recommendations outlined in the ICF and
666 current health guidelines, specifically those relating to person-centred care [12–16].

667 Across the studies included in this review, a wide range of communicative strategies
668 utilized by staff were considered as facilitators of successful interaction. This finding
669 elaborates the suggestions of previous research into the communicative role of staff during
670 the course of rehabilitation [10,11]. Some strategies were generic to all communication

671 interactions, for instance, allocating sufficient time for communication and applying a
672 humanized communicative approach. Other strategies were specifically related to
673 comprehension (e.g., staff providing a reduced amount of information) or they were related to
674 discourse production (e.g., staff providing options to choose between). Furthermore, some
675 strategies were aimed at specific rehabilitation settings or specific communicative genres.

676 Despite the variation in suggested strategies across the studies included in this review,
677 all studies supported the premise that staff needed the communicative skills to approach
678 communication with people with TBI using an acknowledging and collaborative intent. The
679 syntheses of this review show that this premise is strongly associated with increased
680 conversational participation of individuals with TBI and a greater sense of individual
681 recognition amongst people with TBI in the rehabilitation situation.

682 Two studies [38,39] also included strategies that people with TBI could be taught in
683 relation to attention and self-monitoring in conversations. Though the effect of such
684 communicative strategies is supported by recent research [64–66], it is noteworthy that the
685 literature reporting on interaction between people with TBI and staff members, synthesized in
686 this review, primarily suggested that the responsibility for communication strategy use should
687 be placed with staff. This finding may to some extent be expected due to the eligibility
688 criteria, including studies focusing on interactions where both conversations partners were
689 expected to participate actively. However, the strong emphasis on staff's role as
690 communication partners may also be explained by the shift in speech-language pathology
691 research and interventions in recent decades going from a focus on training only the affected
692 individual towards inclusion of environmental factors, e.g. communication partners in
693 treatment [23]. Accordingly, communication is considered a collaborative, two-way process
694 with both conversation partners carrying the responsibility for success [22].

695 Even though the review only identified two papers (reporting on one study), focusing
696 on CPT for staff working with people with TBI [24,25], a range of papers emphasized that
697 communication training was required if rehabilitation staff are to increase their skills in
698 facilitative communication strategies. Formal training was preferred by staff members in
699 included studies, as this format was associated with increased knowledge, skills, and
700 confidence in staff and was believed by staff to increase participation and conversational
701 contribution of individuals with TBI.

702 Thus, the findings of this review suggest that there is reason to explore the potential of
703 CPT in different rehabilitation settings to improve communication between staff and people
704 with TBI. In accordance with the communicative facilitators identified in this review, existing
705 CPT programs are based on acknowledging and collaborative approaches in conversations
706 with the use of strategies, for instance providing a scaffold for the different elements of a
707 conversation [67,68]. However, existing programs may need adjustment to the great variation
708 of the environmental factors affecting the communicative participation of the individual with
709 TBI in the rehabilitation context i.e., type of facility, staff disciplines, rehabilitation tasks, and
710 power balance. As synthesized from included studies in this review, specific barriers and
711 facilitators can be identified in the communicative interactions between staff and people with
712 TBI including certain conversational genres such as meetings and goal setting; certain
713 constraints e.g., limited time use; and certain traditional skewed power relations between
714 individuals with TBI and rehabilitation staff.

715

716 **Strengths and limitations**

717 To our knowledge, this is the first review to synthesize the literature reporting on the
718 communication barriers and facilitators in interactions between rehabilitation staff and people
719 with TBI. Building on quantitative, qualitative, and mixed-methods studies, it provides an in-

720 depth knowledge base for researchers and clinicians aiming to understand how environmental
721 factors may affect the participation of people with TBI in the rehabilitation context.
722 Furthermore, the inclusion of both an observational perspective, a staff perspective, and the
723 perspective of people with TBI facilitates a detailed interactional understanding of the
724 communication that takes place in rehabilitation. However, it may be considered a limitation
725 that the perspective of people with TBI is underrepresented compared to staff perspectives
726 due to a paucity in studies exploring the perspectives of people with TBI, which has been
727 associated with the methodological challenges of interviewing people with cognitive and
728 communicative disorders after TBI [69]. Another limitation to consider is the great
729 heterogeneity between the included studies with only few studies representing the exact same
730 participant groups, rehabilitation settings, outcomes measures, and communication genres.
731 Thereby, an analysis of the impact of for instance differences in patient age or type of
732 conversation on communicative barriers and facilitators was not possible. Furthermore,
733 recommendations regarding outcome measures cannot be established. With the growing
734 number of studies in this field, future research is recommended to attend to these aspects.

735 In terms of the quality assessment of included studies, the use of the JBI Tools [35]
736 for assessment of qualitative studies may to some extent have introduced focus on the
737 reporting of the studies rather than their conduct, which has been identified by Noyes et al.
738 [70] as a common challenge in qualitative meta-syntheses. Given the inclusion of multiple
739 study designs in this review, having numerous available tools under the JBI umbrella ensured
740 greater consistency in quality analysis across study designs. Furthermore, the JBI Tools have
741 been recommended over two other quality assessment tools for qualitative research [71].
742 However, the JBI Tools have a strong focus on the theoretical aspects of qualitative studies
743 but do not include perspectives on recruitment. Thereby, a discrepancy between the included
744 studies and the tool was introduced causing many studies to be rated as 'no' or 'unclear' for

745 JBI items regarding the philosophical perspective of the study. Nonetheless, studies were not
746 excluded from the review based on this quality assessment.

747

748 **Conclusion**

749 Communication between staff and people with TBI is challenging in the rehabilitation
750 context, where communicative collaboration is needed to set goals and plan treatment. This
751 review has provided an in-depth understanding of the barriers that may limit this
752 collaboration and the facilitators that may help staff and people with TBI overcome the
753 experienced challenges and ensure successful interactions. The main finding across the
754 included studies is the potential for staff's communicative approach to either enhance or limit
755 the communicative opportunities for people with TBI. Thus, the communicative participation
756 of people with TBI is to a greater extent determined by staff's communication than by the
757 individuals' cognitive-communication disorders. This finding holds clinical and research
758 implications in terms of developing and implementing a CPT program aiming to improve the
759 communicative knowledge and skills of rehabilitation staff and, in turn, increase the inclusion
760 of people with TBI in communication regarding their own rehabilitation process.

761

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774 **Data availability statement**

775 There is no publicly available dataset from this research.

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