

Synthesis and optical properties of hybrid and alloy plasmonic nanoparticles

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1. Introduction

The plasmon resonances and other optical properties of elemental noble and alkali metal nanoparticles have generated enormous scientific interest.¹⁻¹¹ There are numerous applications for plasmon-active nanoparticles especially in areas such as biological microscopy, medicine, and sensors.¹²⁻¹⁸ The various applications exploit some aspect of the plasmon resonance, which include particle-particle plasmon interactions,¹⁹ the unusual coloring or dichroic effects in isolated particles,²⁰⁻²¹ light-induced plasmonic heating,²² light scattering,²³ or two-photon phenomena.²⁴ Hybrid or multifunctional nanoparticles constructed from more than one component phase have also been attracting increasing interest due to their additional functionalities.²⁵⁻³⁰ In this review we consider specifically the *optical* properties of *solid* nanoparticles comprised of more than one phase or compound. Alloyed nanoparticles (solid solutions or intermetallic compounds of the metallic elements) are also included because these intergrade with the two-phase hybrids. The primary focus here is the optical properties related to plasmonic phenomena. We exclude nanoparticle hybrids between solid cores and organic ligands, or between solid cores and proteins and other large organic molecules, from the scope of the review as, in most cases, the only effect the organic substance has on the optical properties is to impart a small bathochromic shift ('red-shift') to the plasmon resonance.

The term 'hybrid nanoparticle' is commonly used to describe a discrete multi-component nanoparticle. This particular nomenclature has been in use since at least 1999,³¹ but multi-component nanoparticles of various kinds had been studied long before then. For example, the phenomenon of magnetic exchange bias was first reported in 1956 for Co@CoO nanoparticles of 10 to 100 nm diameter.³² In this review, we have concentrated on results published from 2005 onwards but some important earlier work is also mentioned in order to provide a historical context.

There are a number of important reasons why more than one phase, compound or other constituent might be gainfully fused to make a composite nanoparticle. For example, the surface chemical

properties of a core particle can be modified by coating with a second material to provide improved colloidal stability or resistance to oxidation, or additional functionality may also be imparted to a nanoparticle by attaching an antibody, for example, to enable biological targeting. Here, however, we are most interested in the plasmon resonances of hybrid nanoparticles, so those instances in which an interaction between the two components of the hybrid results in an active enhancement or modulation of the plasmon resonance are especially relevant. Information on the many other interesting chemical and physical properties of conjugates with organic molecules may be found elsewhere.^{5,12,14-17}

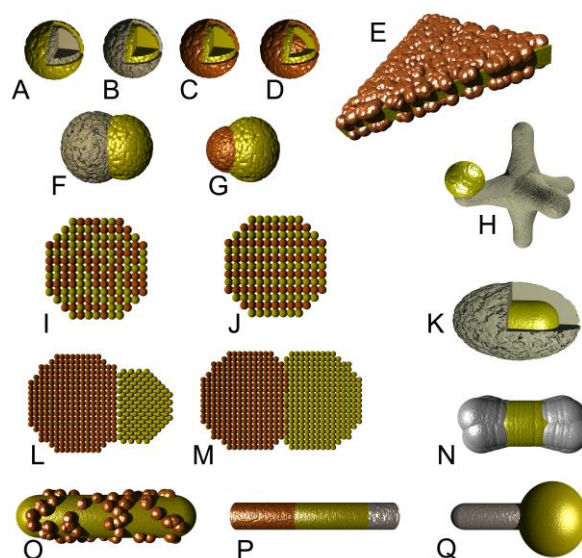


Figure 1. Examples of hybrid nanoparticles, (a) dielectric@metal core-shell, (b) metal@dielectric core-shell, (c) metal@metal core-shell, (d) metal@metal@metal core-shell, (e) metallic nano-triangle with overcoat of second metal, (f) heterodimer comprised of dielectric and metal parts, (g) heterodimer comprised of two different metal parts, (h) semiconductor crystal with attached metal nanosphere, (i) cross-section through alloyed metal nanoparticle showing disordered nature of atomic occupancies, (j) cross-section through nanoparticle comprised of an intermetallic compound showing ordered atomic occupancy, (k) metal nanorods coated in a thick shell of dielectric, (l) dimer with incoherent crystalline interface between the parts, (m) dimer with coherent interface between the parts, (n) nanorods with overgrowth of another metal at the rod ends, (o) nanorods with a sparse overgrowth of a second metal, (p) segmented nanowires or nanowire comprised of two or more elements, (q) ‘nano-tadpole’.

There are many different possible types or morphologies of hybrid nanoparticle, some of which are depicted schematically in Figure 1. Here we have chosen to group the possibilities into three groups, defined not by shape but rather by how the optical properties of the parts interact. In the first type, which will be termed ‘metallic’ hybrids, the entire nanoparticle is comprised of electron-conductive materials, but the overall composition contains more than one element. The nanoparticle might be single phase, or a composite of two or more phases of differing crystal structure or composition.

The second type of hybrid nanoparticle is comprised of at least one metallic and one dielectric phase, but the only effect of the dielectric phase on the particle’s plasmon response is to red-shift it by passively increasing the local refractive index adjacent to the metallic part of the particle. These hybrid nanoparticles will be termed ‘passive metallo-dielectric’ hybrids. Of course the dielectric may exert other useful functions, such as provide corrosion protection or solvent compatibility to the metallic part, or be magnetic or fluorescent. Conversely, this category also includes hybrids where the only purpose of the metallic part is to provide corrosion protection or electrical conductivity to the dielectric part. The dielectric may be a semi-conductor or insulator, inorganic or organic, but has four defining features 1) it is not a metal, 2) it is clearly part of the nanoparticle, 3) it is a solid phase, and 4) its functionality does not interact with the plasmon resonance of the metallic part except as discussed above. Most existing colloidal nanoparticles fall into this class, if only by virtue of the fact that many are coated with a shell of adsorbed molecules.

The third type to be considered consists of nanoparticles comprised of at least one metallic and one dielectric phase, but where the composite particle has some active optical functionality lacking in its individual parts. These will be termed ‘active metallo-dielectric’ hybrids.

2. Synthesis of hybrid nanoparticles

The individual components of a hybrid nanoparticle often possess different surface properties, crystal

lattices, redox potentials, crystal morphologies, or surface charge, so the successful combination of these materials into a discrete composite hybrid nanoparticle presents some synthetic challenges. Costi et al.²⁵ described how the processes of nucleation and growth may be used to prepare hybrids, while Ferrando et al.¹¹ provided a comprehensive overview of the methods available for purely metallic particles. Reviews of the methods required to make single or hybrid nanoparticles with a magnetic component have also appeared.^{29,33}

The nature of the interface between the two or more parts is an important consideration. Examples of epitaxial hetero-structured hybrids as well as particles in which the interface has no lattice correspondence or orientation relationship have been observed.²⁵ Furthermore, the geometry of the hybrid can vary from a simple spherical core-shell, to heterodimers (or ‘dumbbells’), through to nanorods, cubes or more complex shapes, Figure 1. Dielectric-dielectric systems in which a controlled transition of interface between coherent (continuous lattice correspondence) to incoherent (no lattice correspondence) occurs as the lattice mismatch of the system is increased are also known.³⁴ In general, however, a low surface energy mismatch between the components is helpful in securing a solid-solid hybrid.

In this section we summarize the synthetic techniques that may be used to prepare hybrid nanoparticles with two components, designated Part 1 and Part 2. Note that these synthetic principles can be extended to produce more complex, multi-component structures, if desired.

2.1. Deposition-precipitation

In this strategy, the first component of the nanoparticle (Part 1) is first produced separately by some appropriate mechanism, such as nucleation and growth by reduction, or by pH change of a solution, or pyrolysis of an appropriate precursor. It is generally useful to produce a particle size distribution that is as monodisperse as possible at this point and the reader is referred to existing literature for some

reviews of the various methods of synthesis.^{4,27,35} Next, the reaction environment is changed so that a second component (Part 2) can precipitate onto the first. Part 2 will often nucleate and grow on Part 1 to produce composite nanoparticles because, in general, heterogeneous nucleation is more favorable than homogenous nucleation. This is because the availability of an existing surface on which to nucleate a nanocrystal lowers the free energy barrier considerably.³⁶ The precipitation of Au onto CdSe²⁵ or Ag onto a Fe₂O₃ core,³⁷ are examples of this method. In some cases irradiation with light can accelerate or control the process.²⁵

2.2 Synthesis by galvanic replacement

In this synthetic strategy, a nanoparticle of a moderately active metal, such as Ag or Co, of appropriate shape and size is produced and then the reaction environment is changed to introduce a solution of a more noble metal, such as Au. The more noble metal precipitates onto, and begins to consume the more active core material by galvanic displacement. If the process is allowed to proceed to completion, the product could be a hollow, single phase, Part 2 nanoparticle, **Figure 2**, but the process can be halted before completion to produce a hybrid core-shell or alloy nanoparticle. Although the noble metal might be expected to precipitate on the outside of the core nanoparticle and work its way inwards with time, in some cases a surprising inversion occurs. In the case of Au reacting with InAs nanoparticles, the Au diffuses rapidly to the interior of the dielectric nanocrystal to form a well-defined core, while the displaced InAs forms a shell of amorphous InAs or, if oxidized, In₂O₃.³⁸ Galvanic displacement will often occur during the preparation of hybrid metal-metal nanoparticles from aqueous solution but is not always recognized by the corresponding authors.

There are at least two mechanisms by which a hollow particle could be formed. For example, the Kirkendall effect (in which porosity is generated when the rate of solid state diffusion of one of the elements is faster than that of the others) can be invoked to explain how an Ag@Au particle can be hollowed out in a purely solid state process (because Ag diffuses about twice as fast as Au³⁹).

Alternatively, if the Au shell is not continuous and the hybrid particle is in an aqueous environment, then a redox-mediated corrosion process, in which the Ag-rich regions are anodic relative to the Au-rich regions, can be envisaged.

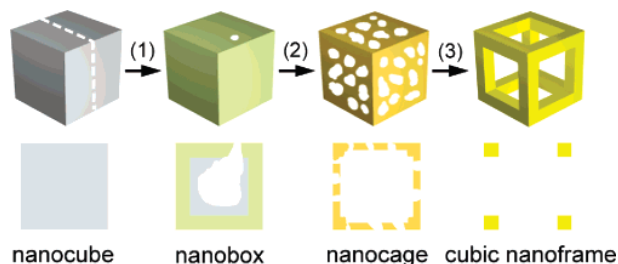


Figure 2. The process of galvanic displacement, Au for Ag, can be used to convert a template to alloyed boxes or cages, or even a hollow frame. (Reprinted with permission from ref.⁴⁰ Copyright 2007 American Chemical Society.)

A conceptually similar process involves making the Part 1 nanoparticle core, and then partially reacting it to form a shell of metal,⁴¹ sulfide⁴² or oxide.⁴³ Alternatively, a Part 1 core (e.g., Au) can be coated with a sacrificial material (e.g., Ag), which is subsequently completely converted to a third phase, such as Ag₂Se.⁴⁴ It is also possible to coat the core with some precursor (PdCl₂, for example⁴⁵) and allow the Part 2 phase to form from that by thermal decomposition.

2.3 Co-precipitation

The co-precipitation method produces hybrid particles in one-step by simultaneous nucleation and growth. Solution conditions are chosen to allow Part 1 and Part 2 to precipitate simultaneously and, ideally, the two parts should heterogeneously nucleate on one another to form a composite particle. In practice, however, co-precipitation of true hybrids is not readily achieved. In the case of metallic components, this is because the redox potential for each reduction reaction is different, so in general the more noble element is reduced first followed by the other elements. One way to overcome this is to use

a very strong reductant such as borohydride, so that reduction occurs so rapidly that the usual galvanic processes do not occur to a significant extent. Even in this case, however, the nanoparticles might still precipitate separately⁴⁶ even if simultaneously. Nevertheless, co-precipitation has been used to prepare hybrid nanoparticles of Au and Ag,⁴⁷⁻⁴⁸ as well as Ag/AgBr⁴⁹ nanoparticles.

2.4 Templated growth

Nanoparticles of triangular or semi-shell form can be produced in small quantities by physical vapor deposition (PVD) onto a suitable template⁵⁰ followed, if necessary, by release,⁵¹ **Figure 3.** A hybrid particle can be produced by periodically changing the composition of the deposited material (by changing sputter targets, for example). Au-ZnO or Au-Al₂O₃-Au nanoparticle sandwiches have been prepared by this means.⁵²⁻⁵³ In the case of templates made of colloiddally crystallized spheres, if the nanoparticles are to be released the deposition should proceed at an acute angle, so that the equatorial girths of the semi-shells do not fuse.⁵¹

The use of porous alumina templates to prepare hybrid nanoparticles has also been explored. A succession of metals, such as Au followed by Pt for example, can be deposited in the pores of the alumina using electrolysis,⁵⁴⁻⁵⁵ followed by dissolution of the template to produce free hybrid nanocylinders or nanowires.⁵⁶⁻⁵⁷ Deposition on or into a template is a flexible approach but has the disadvantage that the diameter of rods produced is generally over 50 nm. Particles of this size tend to scatter rather than absorb light, which may not be a desired optical property. In one ingenious development of the technique, metallic components were deposited onto templates of polymer pillars themselves produced by soft lithography, and after some further processing, layers containing hybrid metallic nanorings were produced by microtoming.⁵⁸

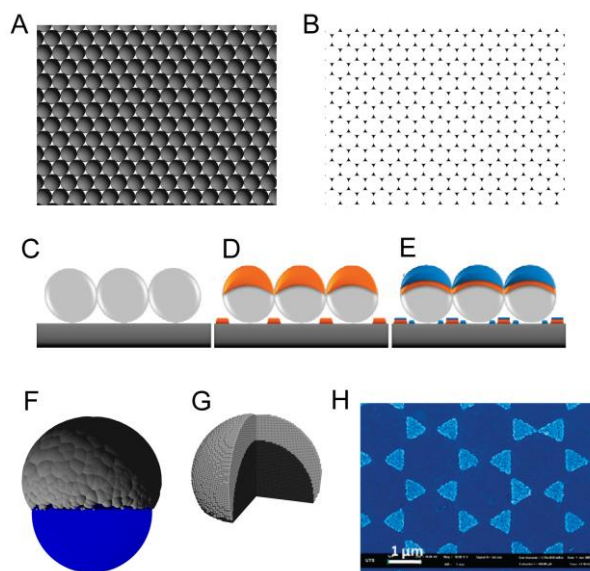


Figure 3. Physical vapor deposition and nanosphere lithography may be used to prepare nanoscale semishells and nano-triangles or nano-bowties. First (a) a template of polymer nanospheres is laid down as a colloidal crystal monolayer; this has a hexagonal pattern of holes (b). One or more layers of metal and dielectric is deposited (c) to (e). The semi-shells (f) that are released by dissolution of the template have tapered walls, (g).⁵⁹ Alternatively, the process can be used to prepare arrays of nanotriangles or nano-bowties, (h). (Images C to E and H reprinted with permission from ref⁶². Copyright 2008 American Chemical Society. Image G reprinted from ref.⁵⁹ with permission of the Institute of Physics, London)

2.5. Other synthetic methods

Zepto- to atto-mole quantities of hybrid nanoparticles can be produced by electron beam lithography, possibly in combination with physical vapor deposition.⁶⁰ Because of the small quantities produced, this technique appears to be best suited for the production of fixed arrays or chains of hybrid nanoparticles for very precise plasmonic circuitry or lab-on-chip type applications. Electron beam lithography offers excellent top-down control but only relatively small quantities of particles are produced. Other methods include laser ablation, electrochemical,⁶¹ arc discharge,⁶² sonochemistry followed by galvanic

displacement,⁶³ and radiolytic reduction,⁶⁴ (see also references contained within the various reviews cited previously^{3-5,11,25,27}).

3. Optical Properties of Material Systems

3.1 Metallic hybrids

Hybrid metallic nanoparticles are of considerable commercial interest as heterogeneous catalysts. Core-shell particles or other hybrids of Pt, Ru, Au, Pd, Co and Cu are amongst the combinations that have been investigated with this application in mind.⁶⁵⁻⁷⁰ A comprehensive review of metallic hybrid nanoparticles in general is available and details of the many synthesis techniques and metallic systems of these so-called “nanoalloys” may be found there.¹¹ The optical properties of metallic alloys and intermetallic compounds in general was also recently reviewed.¹⁰ Here, we specifically consider the intersection of these two themes, in particular, studies into the plasmonic optical properties of hybrid nanoparticle systems. However, the diversity of possible metal-metal structures, Figure 1, should be considered, as structure has a significant effect on optical properties

Au and Ag are the most frequently mentioned materials in the context of the optical properties of metallic hybrid nanoparticles. This is for two reasons.

The first is that the optical properties of these elements are well suited for sustaining a plasmon resonance in the visible region of the spectrum. If the dielectric function, ϵ , of the material is written as

$$\epsilon(\omega) = \epsilon_1(\omega) + i \cdot \epsilon_2(\omega)$$

where ω is the frequency of the incident light, then a localized plasmon resonance is achieved in small nanospheres in vacuum when $\epsilon_1 = -2$,⁷¹ which for Au and Ag is in the green and blue parts of the spectrum respectively. However, the corresponding values of ϵ_2 are also important. It has been shown that the quality factor of the resonance in a nanosphere or nanoshell is proportional to $-\epsilon_1/\epsilon_2$, while that of an ellipsoid is better approximated by $(\epsilon_1)^2/\epsilon_2$, so the lowest possible values of ϵ_2 are desired at the

wavelength of plasmon resonance.⁷² The dielectric functions of some of the candidate elements are compared in Figure 4.

The second reason for the popularity of Au and Ag is that these two elements, and particularly Au,⁷³ can be readily formed into nanostructures by chemical, physical and lithographic methods. They are, however, certainly not the only possible material choices. Nanoparticles of Cu and Al, and the alkali metals, are also capable of sustaining a strong plasmon resonance,^{10,74-78} but are far less studied due to their chemical reactivity and hence difficulties in their preparation and application. Most of the other elements, however, have somewhat damped plasmon resonances.¹⁰

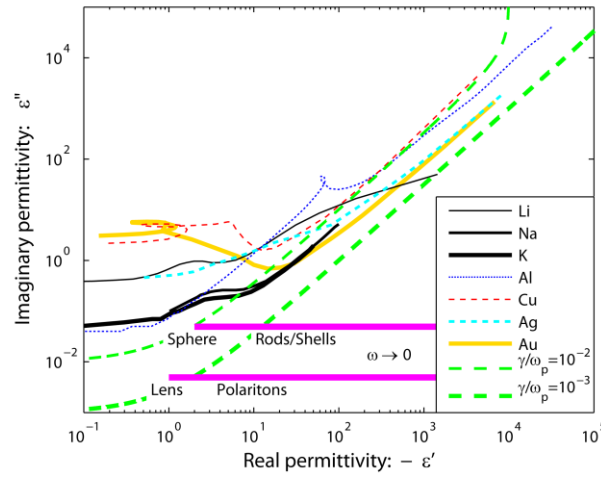


Figure 4. Dielectric functions of a variety of metals that can undergo a strong plasmon resonance. Two Drude models (dashed lines, green) are superimposed on the data. Potassium and aluminum are superior to Au or Cu for nanospheres and plasmonic lenses (which require $\epsilon_1 < -4$), because in that region they have smaller ϵ_2 , however, at lower frequencies of light, gold and silver become the better choice. This optimization can be realized in nanoshells and nanorods of Au or Ag because their plasmon resonances are displaced to lower frequencies and hence more negative values of ϵ_1 . Reproduced from ref.⁷² by permission of the Optical Society of America.

An interesting aspect, which we consider here, is that of the optical properties of hybrid particles made of these constituents. In principle, there are three possible outcomes for such hybrids in an optical

context: the optical properties of the parts are each still separately identifiable in the hybrid, the optical properties of the constituents merge or are averaged or, thirdly, something new happens. Which of these occurs also depends on whether the constituents form a solid solution or remain as separate phases and on the morphology of the hybrid. If the plasmon resonances of two metallic components merge in a hybrid particle then the formation of a single-phase alloyed particle has probably occurred.^{11,79-80} The result is general and holds even if some minor tendency towards surface segregation of, for example, the less noble element occurs.^{11,81}

Importantly, the behavior of the bulk materials with regard to miscibility or phase stability is a poor guide to nanoscale behavior.⁸² For example, Au and Pt are not mutually soluble in the bulk solid state⁸³ but the two may form a random solid solution in bimetallic nanoparticles with sufficiently small radii, with $<3\text{ nm}$ ⁸⁴ or $<6\text{ nm}$ ⁸⁵ being reported as the threshold for the transition. A similar result holds for Au and Fe.⁸⁶ The ordered intermetallic compound Cu_3Au does not form in Cu/25 at% Au nanoparticles of $<5\text{ nm}$ diameter⁸⁷ whereas in the bulk phase this composition would normally be ordered up to 240°C .⁸³ Instead, these small Cu/Au nanoparticles keep the random face centered cubic structure of the high temperature phase. The trend towards greater mutual solubility holds also for nanoparticles of In/Sn and Bi/Sn⁸⁸ and appears to be a general tendency in bimetallic systems. This result was predicted in 1995 by Monte Carlo modeling:⁸⁹ the alloying effect in a nanoparticle of radius r can be readily explained as being the result of the interplay of the enthalpy of a de-mixing phase transformation (proportional to volume and hence r^3) and the energy required to create a new surface on de-mixing (proportional to r^2). As r shrinks there can be a size at which the r^2 term dominates, i.e. there will be insufficient energy for de-mixing to overcome surface effects⁸⁹ so the particle stays as a single-phase alloy. The effect is usually greater in sub-nanometer particles.⁹⁰

Other odd behavior seems possible in the intermediate range of nanoparticle sizes. For example Cu_3Au was reportedly stabilized in nanoparticles of between 20 and 50 nm diameter to temperatures two or three hundred degrees Celsius higher than those observed in bulk experiments.⁹¹ Careful work is

required to resolve these issues due to the difficulty in characterizing the structure of such small particles. In addition, it has been claimed that some of the “core-shell” samples reported in the past are merely mixtures of elemental nanoparticles,⁹² a much more mundane problem! Clearly, this is a complex and not entirely resolved field.

3.1.1 Coinage metal hybrids

The coinage metals (Group 11: Cu, Ag and Au) with a $qd^{10}-(q+1)s^1$ electronic configuration, where $q=3, 4$ and 5 , respectively, all exhibit a face-centered cubic structure as elements. As a result of interband transitions, they tend to absorb light in the higher energy region of the visible spectrum through to the long-wave UV, with the effect being especially well-developed in Au and Cu and accounting for the yellow to reddish colors in bulk form. In the form of isolated nanospheres, they have plasmon resonance peaks in typical transparent media at about 550 - 600, 360 - 400, and 520 nm corresponding to Cu, Ag and Au, respectively.⁹³⁻⁹⁶ As hemispherically-capped nanorods of 2:1 aspect ratio the longitudinal plasmon peaks should be observed at 620, 500, and 620 nm, respectively.⁷⁶ The longitudinal resonance of nanorods is increasingly red-shifted as the aspect ratio is increased but their transverse resonance remains at a very similar wavelength as that for isolated nanospheres. There is, however, a wide variation of values cited for the resonance of Cu nanospheres, including a report that there is no resonance at all in a true sphere due to the strong damping effect of d-band transitions at these wavelengths in Cu.⁹⁷

3.1.1.1 Ag/Au

Scientific studies into hybrid nanoparticles in the Ag-Au system date back several decades. Morriss and Collins, for example, prepared colloidal Au@Ag particles in 1964,⁹⁸ but all are preceded by a famous technological application: the manufacture of Late-Roman-era dichroic glass, which is colored by the plasmon resonance of cuboidal Ag-Au alloy nanoparticles of 50 to 100 nm size.⁹⁹ The Ag/Au

hybrid nanoparticles remain of interest because of the strong plasmon resonances of the constituent elements and the relatively straightforward nature of the chemistry required for their preparation (aqueous chemistry and atmospheric conditions will suffice⁴⁷⁻⁴⁸). Here we consider Ag/Au alloyed nanospheres as well as core-shell particles, nanorods, and other less symmetrical shapes comprised of these two elements.

Modern interest in the synthesis of alloy particles of these two elements dates from the 1970s (see Papavassiliou⁶² or references in Hostetler,⁸¹ for example) and there have similarly been many investigations of the optical properties of Au@Ag and Ag@Au core-shell particles since the pioneering study of Morriss and Collins in 1964.^{98,100} Interest in composite *nanorods* of Ag and Au, however, is a more recent phenomenon, dating from only 2001.¹⁰¹

In the bulk form, Ag and Au are mutually soluble⁸³ and in general they will also tend to form a solid solution in nanoparticles. This was shown in 1976 for 10 nm particles,⁶² and has been confirmed several times.^{11,102-104} The effect of this is that the plasmon resonance peak of the hybrid nanoparticle can be smoothly interpolated between that of Ag (~380 nm) and that of Au (~520 nm) by changing the stoichiometry,^{47-48,80,95,105-108} Figure 5. However, the resulting dielectric function is not a simple linear combination of the ingredients. The non-linear optical $\chi^{(3)}$ properties of such particles have also been assessed but were apparently not significantly greater than those of elemental nanoparticles.^{95,109} Interestingly, alloyed shapes other than spheres, such as pentagonal bipyramids¹¹⁰, can also be synthesized in this system by appropriate control of the synthesis conditions.

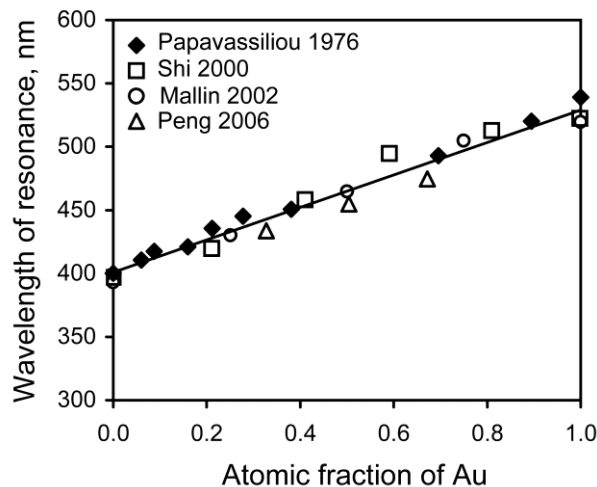


Figure 5. Effect of composition on the surface plasmon resonance of Ag/Au alloy nanospheres. Redrawn from the data of Papavassiliou⁶², Shi et al.¹⁰², Mallin and Murphy⁴⁷ and Peng et al.¹⁰⁴ The peak extinction wavelength of the data shown here is given by $\lambda \text{ (nm)} \approx 401 + 128.x$, where x is the atomic fraction of Au in the Au-Ag alloy (note, however, that a non-linear fit has also been suggested in the literature¹¹¹).

The optical properties of the core-shell particles are more complex. The theoretical basis for studies into their optical properties was laid by Aden and Kerker in 1951¹¹² and the issue here is how the two different plasmon resonance frequencies of Ag and Au might interact in the hybrid particle. Au@Ag or Ag@Au core-shell particles have been prepared by a variety of methods including electrolysis,⁶¹ radiolysis,^{105,113-115} physical deposition,¹¹⁶ or photochemical reduction^{80,117} but sequential chemical reduction in aqueous or organic solutions is also very popular^{98,100,118-123} (see also references provided in Ferrando et al.¹¹). As a general observation, the plasmon resonance of the core nanosphere is rapidly masked or attenuated by that of the growing shell and, after passing through a regime in which two plasmon resonances are present, the shell resonance dominates. The superior plasmon resonance of Ag,⁷⁵ however, leads to some asymmetry in this process, and the Au resonance is, relatively speaking, more rapidly attenuated or less rapidly developed depending on whether it is in the Au@Ag or the Ag@Au geometry respectively, Figure 6. In the case of Au@Ag, the core resonance is blue-shifted until the two resonances merge into a single peak at a rather similar wavelength to that which would have

been exhibited by a solid particle of Ag.^{80,98,120,124} For Ag@Au, the core resonance is less rapidly attenuated, which produced an extinction spectrum of a somewhat flatter nature. However, one important difference between these metal-metal hybrids and the dielectric@Au or dielectric@Ag nanoshells¹²⁵ is that the strongly red-shifted shell resonances of the latter are generally absent (an interesting exception, Au@Ag@Au multi-shelled particles, will be discussed below). In these systems, the shell generally dominates the optical properties to the extent that the identity of the core is relatively unimportant, as long as it is also metallic and with a similar imaginary part to its dielectric constant to that of the shell.^{113,121,126} The implication of this is that the plasmon resonance of *spherical* metal-metal core-shell particles can be tuned *between* the characteristic nanosphere wavelengths of the core and shell materials but not *beyond* them.

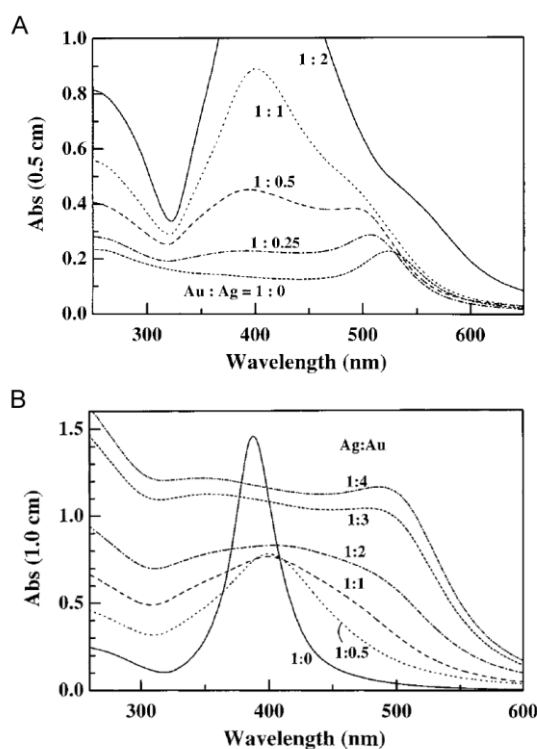


Figure 6. Optical extinction spectra of Au@Ag and Ag@Au core shell particles. (a) The resonance of an Ag shell rapidly overwhelms that of an Au core. (b) The resonance of an Ag core is masked by that of an Au shell but the effect is not as pronounced as in (a). (Reprinted with permission from ref.¹¹⁴ Copyright 2000 American Chemical Society.)

Given the tendency of Au and Ag to alloy, it follows that a hybrid core-shell particle consisting of an elemental core and an alloy shell,¹²¹ or vice versa,¹¹⁹ can also be made. Lee and colleagues, for example, made Ag@AuAg core-shell particles.¹²¹ The optical properties of their nanoparticles showed a main plasmon resonance assigned to Ag or (Au,Ag) (depending on morphology) and a second, weak resonance at about 650 nm. They attributed the latter to Au protrusions nucleating on the surface of the Ag seed and discounted the presence of a hollow core as an explanation (this despite their TEM images ostensibly showing such hollow structures). Heat treatment of Au/Ag core-shell particles converts them, as expected, to alloyed nanospheres.^{114,116} This could explain results like those of Jian et al.⁶¹ who had prepared Au@Ag by electrolysis and found a plasmon resonance in the 445 to 485 nm range, which is at somewhat higher energy than the usual resonance found by others for Au@Ag. It is probable that this shift in the wavelength of resonance was due to the formation of an alloy rather than to a well-defined Ag shell on a gold core. The photoluminescence properties of the hybrid particle were specifically examined in this latter work, but pure Ag nanoparticles exhibited the strongest emission and the effect was weaker in the hybrid particle.

Tokonami et al. have recently reported a puzzling phenomenon, in which a Ag shell appears to initially alloy into an existing Au nanoparticle with minimal shift in the plasmon resonance wavelength of the hybrid and with no separate resonant peak due to Ag observed.⁷⁹ Importantly, however, the intensity of the primary Au resonance was initially significantly enhanced by this process, an effect reproduced in other recent work,⁸⁰ Figure 7. Tokonami et al. suggested that the Ag segregates to the core of the particle, leaving the surface of the now larger particle rich in Au. This would not, on the basis of surface energies, have been the expected outcome; since the surface energy of Ag is less than that of Au¹²⁷ and some segregation of Ag on the exterior of the particle would be normally expected if there is time and sufficient thermal activation for diffusion to occur. Nevertheless, because the plasmon resonance of the outer shell in metal-metal core shell particles dominates, this latter scenario would have

strongly and immediately blue-shifted the resonance of the Au@Ag particle.

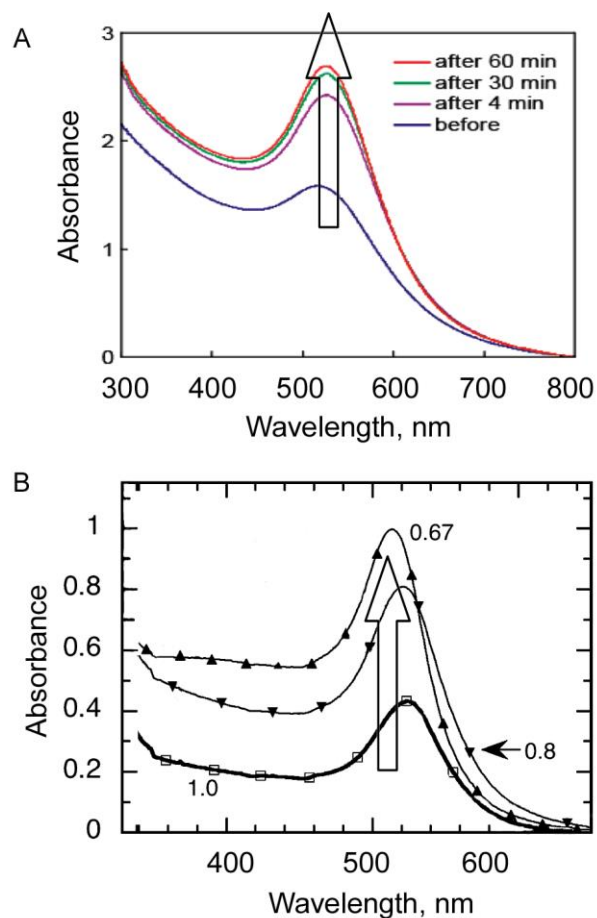


Figure 7. Initial or minor additions of Ag onto an Au core have the effect of strongly enhancing the resonance of the Au as indicated by the superimposed arrows. (a) Effect of time on extinction spectrum of Au nanospheres suspended in AgClO_4 solution,⁷⁹ (b) Effect of mole fraction of Au (indicated by arrows) during photo-reduction of $\text{HAuCl}_4/\text{AgNO}_3$ precursor solutions.⁸⁰ (Reprinted and modified⁷⁹⁻⁸⁰ with permission. Copyright 2009, 2010 American Chemical Society.)

Naturally, multi-shell structures, for example, Au@Ag@Au@Ag can also be formed, and they have quite interesting optical properties. For example, whereas Au@Ag is yellow in color, adding another Au shell to produce Au@Ag@Au gives a blue colloid,¹⁰⁰ Figure 8, due evidently to the development of a strong plasmon resonance at about 620 nm. The explanation for this new resonance is unclear although the discrepancy was attributed to possible deviation from spherical symmetry in the nanoparticles.

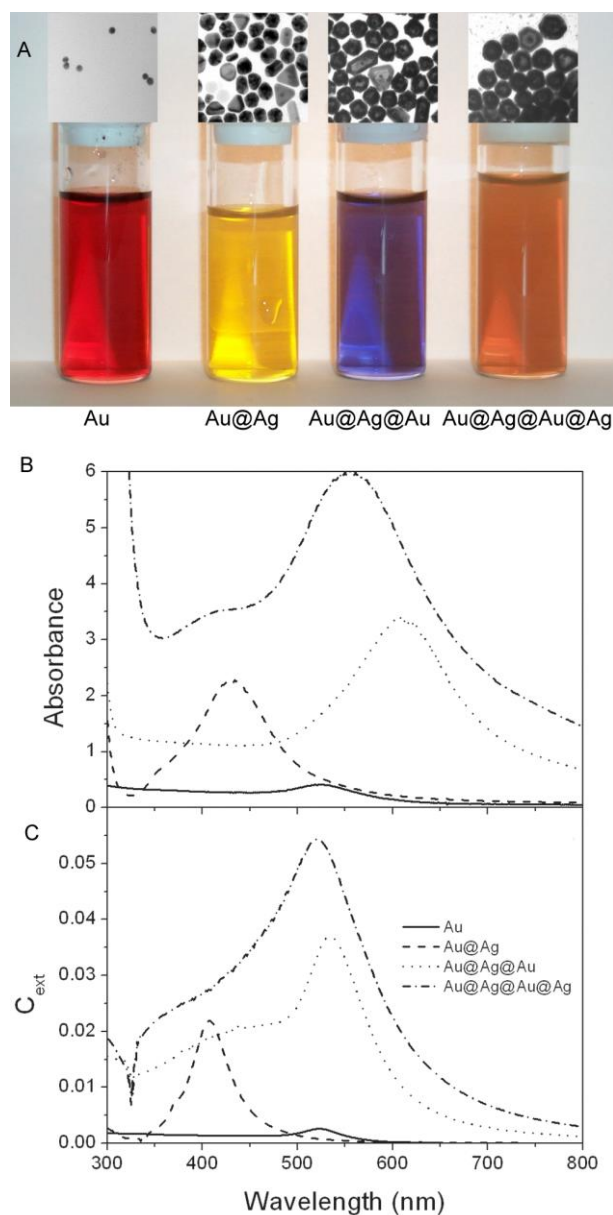


Figure 8. Color changes in multi-shell Au/Ag colloids.¹⁰⁰ (a) Color changes as shells are added. The basic gold colloid is red, but after overcoating with Ag the plasmon resonance is blue shifted and the colloids becomes yellow. A layer of Au, to make Au@Ag@Au, red-shifts the resonance to make a deep blue color, while a further layer of Ag, to make Au@Ag@Au@Ag, red-shifts the resonance again to give an orange color. (b) Measured extinction spectra corresponding to the four types of sample. (c) Simulations of the extinction spectra for the four types of sample. Reproduced by permission of The Royal Society of Chemistry, <http://dx.doi.org/10.1039/b500556f>.

The preparation of Ag/Au core-shell or alloy particles is now a mature field but there are still a few surprises. For example, Mirkin et al.¹²⁸ studied the effect of prolonged illumination by ordinary fluorescent tube lighting on colloidal suspensions of Ag@Au core-shells and Ag/Au alloy nanoparticles and found that, for some stoichiometries, the Ag and Au separated. The Ag formed large, pure nano-triangles and the Au partitioned to sub-5 nm nanospheres. It was believed that the explanation was related to the inferior oxidation resistance of the Ag, relative to Au and some additional photochemical effect.

Hollow core-shell nanoparticles with walls comprised of Au-Ag alloy or Au can be prepared by the method of galvanic replacement of an Ag core by Au.¹²⁹⁻¹³¹ The product that forms, either a Ag@Au core-shell or a hollow Au shell, depends on the nature of the supernatant solution, with stronger reducing conditions favoring the core-shell morphology, while an excess of Au favors complete dissolution of the Ag to form a hollow particle. However, if the reaction is halted at some intermediate stage, a hollow shape comprised of an Au-Ag alloy is formed. If the template particle was an Ag nano-cube¹³² then the final product may be described as a “nano-cage” since the general usage of the term ‘nanoshell’ in this field is to imply a particle with complete rotational symmetry. The optical properties of this motif are exceedingly tunable, because the aspect ratio, shape and dielectric properties of the nano-cages can be systematically varied, Figure 9. Peak plasmon resonances of between 500 and 1200 nm may be obtained by control of the reaction parameters.¹²⁹

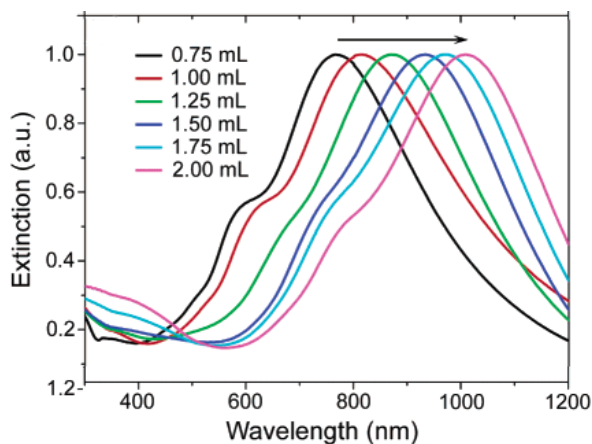


Figure 9. Tunable plasmon resonance of Au nano-cages. The arrow shows the effect of increasing additions of HAuCl_4 . (Reprinted with permission from ref¹²⁹. Copyright 2008 American Chemical Society.)

The widely-used seeded wet chemical process to produce gold nanorods includes the co-addition of a small amount of AgNO_3 to control the aspect ratio of the rods,¹³³ a discovery that follows on from the apparently serendipitous observation by Chang et al. in 1999 that, when a silver electrode was used in the (quite different) electrolysis process to make Au nanorods,¹³⁴ improved shape control was obtained. It is but a small step from there to use a two-stage synthesis technique to overcoat Au nanorods with Ag,^{101, 101,135-145} something apparently first achieved in 2001¹⁰¹ and investigated several times since.^{101,135-145} Alternatively, Ag can be induced to grow on the ends of the Au rod cores to make a segmented hybrid.

The optical properties of the resulting hybrid nanorods depend on the aspect ratio, shape, and distribution of the Ag, and are very tunable. While the longitudinal extinction peak of the underlying nanorod core can be extended deep into the near-infrared by control of its aspect ratio,⁷ it is blue-shifted when coated with Ag. This is a combined effect due to both a decrease in aspect ratio due to the additional material and the introduction of the Ag with its different dielectric properties. The onset of a new peak, at a similar position to that of a nanosphere of Ag, has also been observed.^{101,135-137,142,145} The results have been qualitatively reproduced by modeling,^{136,142,145-146} Figure 10. In contrast, selective growth of Ag on the ends of the Au nanorods (to make a dumbbell for example) causes a small red shift

in the longitudinal resonance, an effect that can be discerned in some experimental data and simulations.^{144,146-148} If the Ag shell is not cylindrical and is instead a parallelepiped,¹²³ then there will be more than one new peak from the Ag shell due to loss of rotational symmetry.

The inverse geometry, in which Au is deposited onto an Ag nanorod core has been less studied, probably because Ag nanorods cores are more difficult to make. In addition, in this case galvanic displacement of the Ag nanorod core by Au is a likely outcome, a factor that has been recently exploited to make an elongated hollow capsule.¹⁴⁹ Trimetallic nanorods, with an Au core, and shells of Ag and Hg, have also been prepared.¹⁵⁰ The Hg coating caused a strong blue shift of the longitudinal plasmon resonance of the rod.

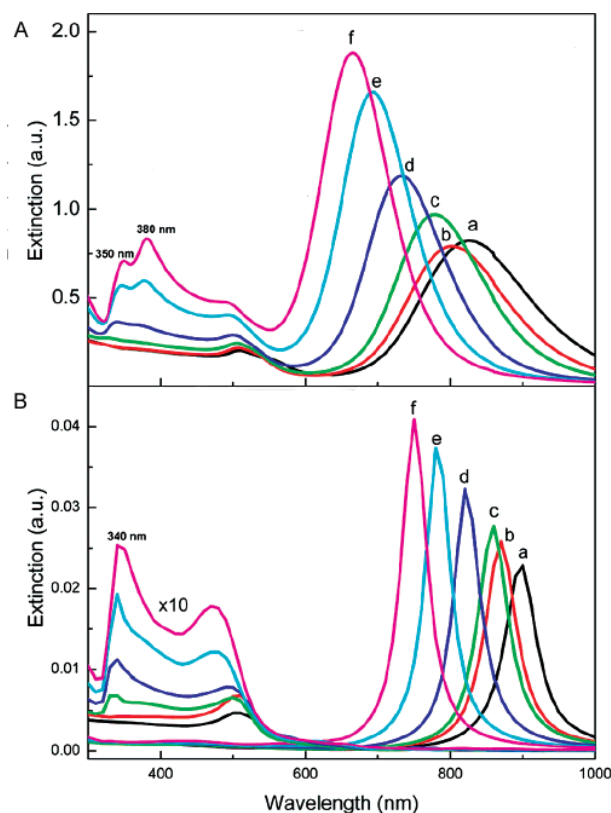


Figure 10. A typical example showing the effect of an Ag overcoat on the extinction spectrum of Au nanorods. As more Ag is deposited, the longitudinal plasmon resonance of the rod blue-shifted and intensified, while new peaks due to the Ag appeared at about 350 and 380 nm. (a) experimental results, (b) calculated for these particles morphologies. (Reprinted with permission from ref¹⁴⁵. Copyright 2008 American Chemical Society.)

In general, the measured resonance peaks of the individual hybrid nanoparticles are broadened considerably by the addition of an Ag shell, which has been attributed to damping at the Au/Ag interface.¹³⁶ However, when considered at an ensemble level, the average line widths of distributions of Ag-coated nanorods might actually decrease, Figure 11, an effect investigated by Sönnichsen et al. and described by them as ‘plasmonic focusing’.¹³⁷ A given thickness of Ag-coating has a greater blue-shifting effect on the plasmon resonance of longer nanorods than on shorter ones, leading to their resonance peaks to ‘bunch’ up in the ensemble as the Ag shell is formed. Finally, there has been some interest in the non-linear optical properties of these the hybrid nanorods and the non-linear refractive index of Ag-coated Au core nanorods is reported to be enhanced by a factor of 8.¹⁵¹

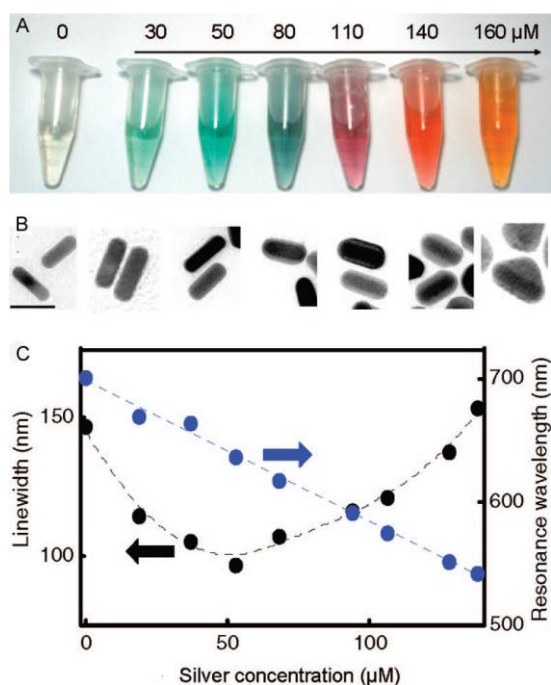


Figure 11. The blue-shifting effect of an Ag shell is relatively greater for rods of longer aspect ratios and so the line width of the ensemble’s longitudinal plasmon resonance peak can be initially decreased by addition of Ag. (a) Samples of colloid showing variation in color, (b) TEM images of corresponding Au@Ag core-shell nanorods. The Ag content of the samples in (a) and (b) increases from left to right as shown. (c) Variation of line width of the ensembles’ plasmon resonances and resonance wavelength, as a function of Ag addition. (Reprinted with permission from ref¹³⁷. Copyright 2008 American Chemical Society.)

It is also possible to overcoat Ag onto core shapes other than spheres or rods. Nano-triangles, nanopods or octohedra can be coated with Ag¹⁵²⁻¹⁵⁵ in the same way. For example, Tsuji et al.¹²² recently overcoated gold icosahedra with Ag and studied the range of morphologies that resulted. The resulting samples had extinction spectra with peaks corresponding to both Ag and Au, but these peaks were much broader than the peaks of pure nanospheres of the elements would have been. In contrast, narrower extinction peaks were obtained for Ag-coated Au nano-triangles and these could be readily tuned between 800 and 1300 nm¹⁵³ by control of the Ag content of the solution; more Ag produced a blue shift. The blue shift was ascribed to the thickening of the nano-triangles with increased Ag (and hence a geometric effect), rather than being due to the differing dielectric properties of the Ag shell,¹⁵³ but it seems probable that the strong masking effect that metallic overcoats have on any resonance of the underlying core should have also played a role. The shape of the overgrown Ag nanocrystal can be controlled by use of surfactants or by controlling the reaction conditions. Thus Au nanotriangle cores can also be buried in cubes, triangular prisms or other shapes of Ag.¹⁵²

In summary, the creation of Ag/Au core-shells and composite nanorods particles allows plasmon resonances to be tuned across the visible spectrum, ranging from the 380 nm of an Ag nanosphere to the near-infrared of Au nanorods and nanotriangles. Control of the degree of alloying vs. chemical segregation, aspect ratio, and the location of shell deposition (flanks vs. ends) provides flexibility. The significant enhancement of the Au resonance that results from the deposition of very modest Ag shells is worthy of further exploration as it is potentially useful in technological applications.

3.1.1.2 Au/Cu

Au/Cu nanoparticles have been studied intermittently for some years^{87,156} and there has been particular interest in theoretical simulations of their cluster geometry and properties.¹¹ As for Au/Ag, the plasmon resonances of the alloy particles can be interpolated between those of Au and those of Cu by

varying the stoichiometry of the particle.^{95-96,106,157} The plasmon resonance of Cu is relatively weak however, so the effect of the inclusion of Cu in nanospheres is mainly to attenuate the resonance peak of the Au (see, for example refs.^{106,158}). The physical metallurgy of the Au-Cu system at temperatures below 410°C is relatively complex, with a series of ordered superlattices corresponding to AuCu₃, AuCu-I, AuCu-II and Au₃Cu forming in bulk samples, given sufficient time,⁸³ while at temperatures above 410°C the two elements form a solid solution at all stoichiometries. Is the lattice of the reported Au/Cu nanoparticles ordered or random? Contradictory or ambiguous results have been reported^{11,87,91,96,106} but the result is of more than academic interest since the optical properties of the ordered and disordered forms diverge strongly in the Drude regime of intraband transitions. For example, for the AuCu stoichiometry the values of ϵ_1 for the two phases are comparable,⁹⁷ but ϵ_2 for the disordered phase become significantly larger than that of the ordered phase as wavelength is increased beyond 680 nm,¹⁵⁹ Figure 12. Therefore, it might be expected that the resonance in an anisotropic nanoparticle of *ordered* phase would be stronger and sharper,⁹⁷ with the difference becoming more marked as the plasmon resonance is displaced towards longer wavelengths as, for example, by increasing the aspect ratio of the nanoparticle. It is also the case that ordered AuCu has a lower DC electrical resistivity than the disordered phase,¹⁶⁰ a factor which is in principle correlated with a reduction in intraband damping in Drude metals⁹⁷.

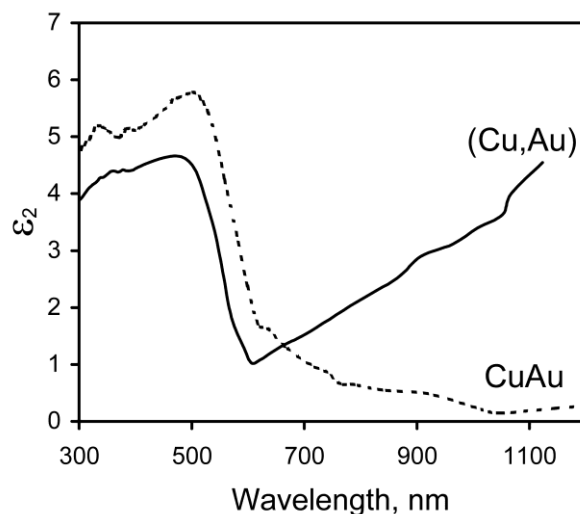


Figure 12. Approximate values of the imaginary part (ϵ_2) of the dielectric permittivity of CuAu intermetallic compound compared to that of the equivalent disordered, equiatomic Cu,Au alloy. Redrawn from data in ref.¹⁵⁹ and rescaled here to units of wavelength (minor peaks and spectral features in the original data have been smoothed out in this representation).

Core-shell nanoparticles of Au and Cu have also been prepared. Co-impregnation of silica with $\text{Cu}(\text{NO}_3)_2$ and HAuCl_4 followed by reduction was investigated for catalysis applications⁷⁰ whereas colloidal Au@Cu structures have been made by sequential reduction.¹⁵⁶ Interestingly, it was reported in 1984 that the Au in Au/Cu particles segregates to the core in reducing conditions and to the surface under oxidizing conditions.¹⁶¹ In principle, this should give a plasmon resonance wavelength that is modulated by the partial pressure of oxygen but the idea seems not to have been explored further.

Alloyed nanorods of Au and Cu were found to be random solid solutions as-synthesized, but they ordered to the AuCu intermetallic phase when heated to 400°C in a TEM.⁹⁷ The line widths of the resonances were determined from scattering off individual particles and varied as the stoichiometry of the particle was changed with the minimum line width observed for a Au:Cu ratio of 1:1. It was suggested that an ordered phase had formed from the heat of the illuminating light source in the experiment. This is feasible as Au/Cu alloys order quite rapidly once heated above about 100°C. Earlier

work¹⁵⁹ suggests that ϵ_2 is greater for the disordered phase than for the ordered phase (thereby suggesting a stronger plasmon resonance for the latter) although the contrary has also been reported.⁹⁷

It is interesting to note that ordered intermetallic AuCu nanoparticles can be converted to AuCu₃ by heating at 315°C in a tetraethylene glycol solution of Cu(C₂H₃O₂)₂·H₂O.¹⁶² The very high surface to volume ratio of the nanoparticles evidently imparts quite high chemical reactivity. This may prove in the future to be another strategy to control composition, and hence the wavelength of the plasmon resonance.

There has been some interest in the non-linear optical properties of hybrid Au/Cu nanoparticles, however, the best figures-of-merit obtained so far, while promising, still fall short of the requirements of all-optical switching devices.⁹⁶

3.1.1.3 Cu-Ag

At room temperature, bulk Cu and Ag have negligible solubility for one another⁸³ (although nanoparticles of less than about 270 atoms (~1 nm) would be expected to be alloyed on the basis of the surface energy argument and simulations mentioned above⁸⁹). Therefore, Cu and Ag are promising materials for constructing core-shell hybrids with diameters in the typical colloidal range (5 to 50 nm for metallic particles). However, there are comparatively few studies of this system. An early study of sub-10 nm nanoparticles prepared by co-impregnation of the Cu and Ag nitrates into a porous silica support followed by calcination showed that the Cu tended to migrate to the core and the Ag to the surface.¹⁶¹ Ag and Cu can also be loaded into bulk glass or silica by ion implantation or by ion exchange from a molten salt, and it has been claimed that both Ag@Cu and Cu@Ag particles of less than about 10 nm can result from these processes, depending on sequence of treatments.¹⁶³⁻¹⁶⁴ The optical properties of the Ag@Cu particles in both cases are similar to those of an Ag nanosphere, with only a small shoulder at 550 nm from the Cu. It was suggested that the shape of the optical absorption spectrum was evidence for core-shell particle formation¹⁶³⁻¹⁶⁴ but it could be argued that two separate populations of

nanoparticles were formed, or that the Cu shell had partially oxidized. In contrast, Cu@Ag particles prepared by Manikandan et al.¹⁶³ exhibited a single, rather broad, resonance at about 440 nm, as expected from a damped Cu core plasmon resonance and a strong Ag shell resonance.

Core-shell particles of the Cu@Ag type have also been prepared by physical vapor deposition¹⁶⁵ or sonochemistry followed by galvanic displacement,⁶³ and of the Cu@Ag and Ag@Cu types by reduction in ethylene glycol.¹⁶⁶⁻¹⁶⁷ The polyol technique of Tsuji et al. is quite useful for this system and, besides the core-shells mentioned, can also produce alloy particles¹⁶⁸ and heterodimers,¹⁶⁹ Figure 13. Presumably, however, the alloy particle is metastable and might demix readily if heated.

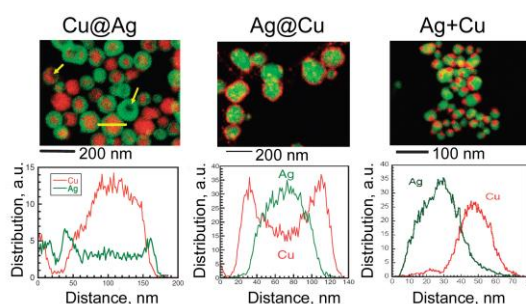


Figure 13. Three morphologies of Cu/Ag hybrid nanoparticles, prepared by reduction in ethylene glycol, with product type controlled by the reaction parameters. Reproduced from refs.^{166-167,169} with permission of The Chemical Society of Japan.

In the case of the particles prepared by physical vapor deposition, the structure of the Cu@Ag depends on the particle diameter; Cu@Ag core shell particles formed only when the overall diameter was <12 nm.¹⁶⁵ In general it appears that simple two-phase heterostructures are more stable above that size.^{63,165,170} The transition in morphology may be due to a mismatch in lattice parameter, such that an epitaxial Ag shell is not favored above 12 nm diameter.¹⁶⁵ Hybrid nanoparticles of about five nanometers diameter have also been produced by spark discharge and were found to be two-phase.¹⁷¹

3.1.1.4 Cu-Ag-Au

Three-way hybrids of the coinage metals are also possible. Strictly speaking, the nanoparticles in the

famous Roman Lycurgus Cup are Cu-Ag-Au hybrids, with a composition of about 60% Ag, 30% Au and 10% Cu.⁹⁹ There are only a few examples of studies into hybrids of these three elements though. Chatterjee⁹¹ prepared Au-Ag-Cu nanoparticles of 20 to 50 nm diameter by simultaneous physical deposition of the elements. The resulting hybrid was not single phase and partitioned into one or more coherent domains of Ag-rich face-centered cubic phase and ordered AuCu₃. Later, Pal et al. reported that they had successfully prepared true alloy hybrid nanoparticles of these three elements within a SiO₂ matrix, a conclusion based on the presence of a single plasmon resonance peak at 480 nm and the results of electron dispersive spectroscopy analyses¹⁷². Onion-like core-shell particles, consisting of an Au core, and then successive shells of Ag and Cu were reported by Belloni et al.¹¹⁵

3.1.2 Hybrids with platinum group metals

Some early experiences of the optical properties of coinage metal/platinum group metal hybrids were summarized by Belloni¹⁷³ and illustrated some important principles. In particular, the plasmon resonance of a coinage metal core is very rapidly damped by any platinum group metal shell, which somewhat mitigates against use of these structures in optical applications.

3.1.2.1 Au/Pd

Au@Pd particles have been studied for some decades, primarily as catalysts, with their optical properties often utilized as tools for characterization. In the bulk phase, the two elements are mutually soluble at elevated temperatures but will over time form ordered compounds at lower temperatures,⁸³ similar to the behavior of the Cu-Au system. Particles produced by simultaneous reduction of HAuCl₄ and PdCl₂ using ethanol as the reductant¹⁷⁴ were characterized using EXAFS, which indicated an Au core with an outer Pd-rich zone on the surface.¹⁷⁴⁻¹⁷⁵ The plasmon resonance of the Au was attenuated and eventually suppressed as the Pd:Au ratio was increased. Later work showed that annealing at

temperatures up to 573K homogenized the alloy to produce a random solid solution¹⁷⁵ but the optical properties of this structure were not reported. Clearly, the initial presence of the Au core in this work is the result of the more noble nature of Au, which was reduced first, rather than to any thermodynamic structural considerations peculiar to this size of nanoparticle and these elements. Curiously, if the reduction reactions are conducted sequentially, then quite different outcomes result depending on the order. Reduction of Au followed by Pd produced a mixed monometallic population of elemental nanoparticles, whereas the reaction of existing Pd nanoparticles with Au ions produced a hybrid dual-phase nanoparticle in which, apparently, the surface contained a mixture of Au- or Pd-rich¹⁷⁶ regions. Li et al.⁴⁵ produced Au@Pd nanoparticles by thermal decomposition of PdCl₂ on existing Au nanoparticle cores, and Wong et al.¹⁷⁷ deposited Pd onto a colloid of Au nanoparticles. In both cases it was found that the plasmon resonance of the Au rapidly disappeared. It cannot be determined from this observation whether the attenuation of the Au resonance was due to the formation of a Pd shell, or a Au-Pd alloy, as both scenarios would have similar effects. Incidentally, alloying with Pd or Ni is commonly used to bleach the yellow color of gold to make ‘white gold’ for jewelry¹⁷⁸ - a macroscale demonstration of the strong effect that alloying has on the electronic structure of Au.

The precipitation of Pd onto Au nanorod cores has also been demonstrated^{135,179} and as expected, as the Pd shell thickened, the longitudinal and transverse resonances of the underlying rod were attenuated, Figure 14. Under appropriate conditions, very regular parallelepipeds of Pd with a Au nanorod core can be synthesized.¹⁷⁹

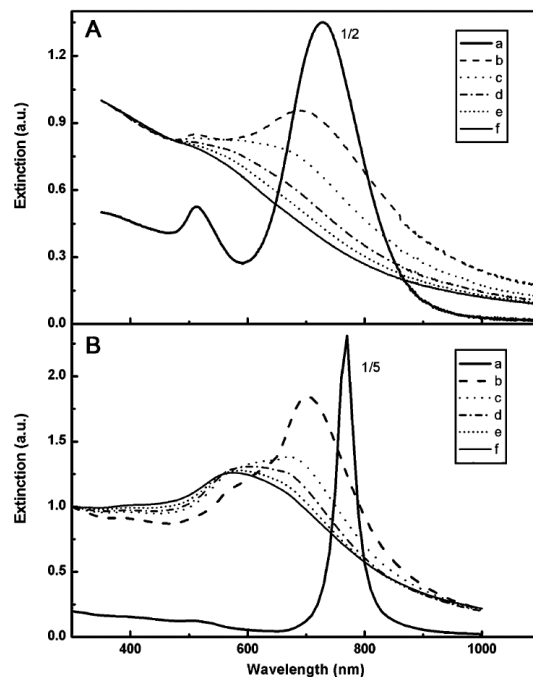


Figure 14. Rapid attenuation of the core resonance of a Au@Pd hybrid nanorod with increase in thickness of the Pd shell. Curves *a* to *f* representing increasing thickness of Pd. (a) measured data, (b) simulated effect. (Reprinted with permission from ref.¹⁷⁹ Copyright 2006 American Chemical Society.)

The whimsically-named ‘tadpole’ nanoparticle consists of a spherical Au ‘head’ and a Pd nanorod ‘tail’,¹⁸⁰ Figure 15. It was made by galvanic displacement of Pd by Au, which under appropriate conditions occurs only on the ends of the Pd nanorods. Later, Ostwald ripening causes one of the two Au ends to grow at the expense of the other to produce the ‘tadpole’. In these particles, there is a weakly-developed plasmon resonance in the vicinity of 560 nm due to the spherical gold ‘heads’.

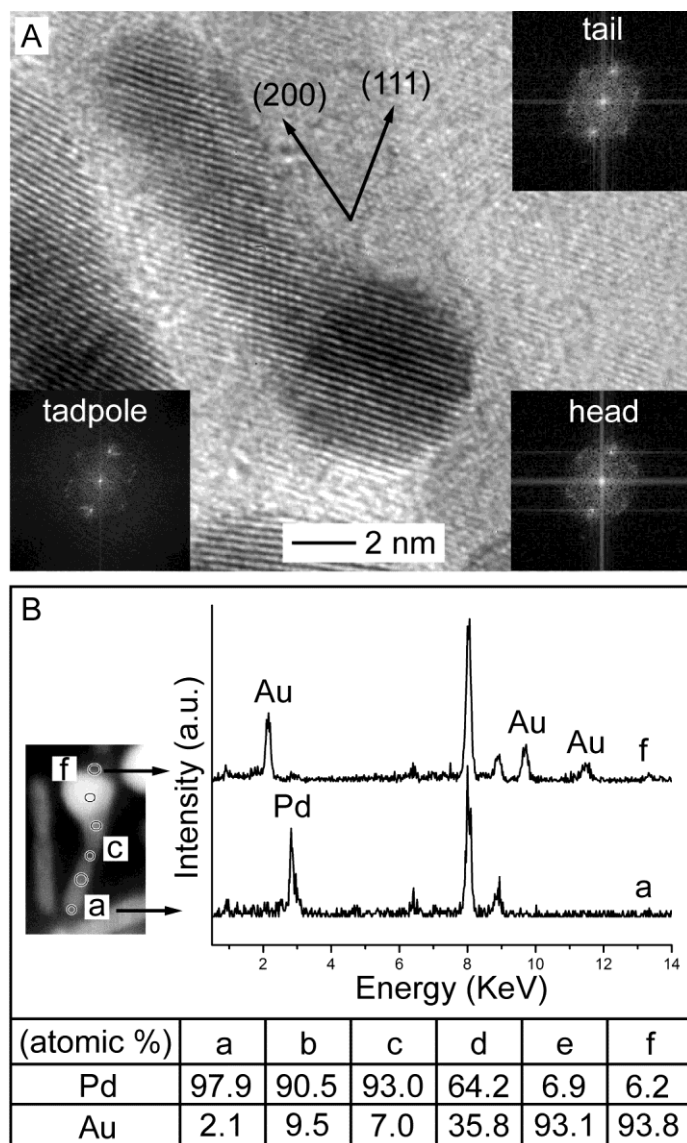


Figure 15. (a). Au nanospheres can be grown epitaxially on one end of a Pd nanorod to produce a shape that has been termed a ‘tadpole’. (b). The composition of each part of the hybrid nanoparticle was confirmed by STEM/EDS. (Reprinted with permission from ref.¹⁸⁰ Copyright 2007 American Chemical Society.)

3.1.2.2 Au/Pt

Au and Pt have limited mutual solubility in the bulk phase⁸³ and alloyed nanoparticles of more than 3 to 6 nm^{84-85,171} are not readily produced.¹¹⁵ In the range of sizes that are associated with a well-developed plasmon resonance (usually 10 to 100 nm) it appears that only Au@Pt or Pt@Au core-shells

have been made. If single phase particles are desired, as catalysts for example, then a very energetic reduction, such as by application of electron beam radiation, can suppress de-mixing¹⁸¹ but the particles produced will be only about 2 nm in diameter with no plasmon resonance in the visible or near-visible regime.¹⁸¹

Many schemes to produce Au@Pt and Pt@Au core shell particles have been reported since the mid-1990s.¹¹⁵ The overall diameter of such nanoparticles must be bigger than the threshold below which alloying occurs. In particular, due to the relatively lower surface energy of Au than Pt, a Pt@Au particle would be favored.⁸⁵ An Au shell on a Pt core has a plasmon resonance peak of about 520 nm, if thick enough.⁶⁴ Once again, the shell's plasmon resonance is similar to that of a solid nanosphere of the same composition, rather than the red-shifted resonance expected of a hollow or dielectric-cored Au structure. Similar to Au@Pd, the resonance in Au@Pt is attenuated with a reasonably thin shell of Pt.^{115,182 183-185} Evidently, the highly damped plasmon response⁷⁶ of the platinum group metal shields the gold core and attenuates its oscillation. An intriguing inversion of the core-shell geometry as a result of high intensity laser irradiation has been reported, in which a Au@Pt particle of 20 to 30 nm becomes changed into a Pt@Au one.¹⁸⁶ The reorganization when the particle solidifies after the laser pulse is presumably a result of the lower surface energy of the Au relative to the Pt and its lower melting point.

Damping was also observed when Pt was deposited onto Au nanorods. If, however, the Pt is preferentially deposited on the ends of the rod, then the longitudinal resonance red-shifts,¹⁸⁵ a phenomenon also observed for Ag deposition onto the ends of Au nanorods. A small degree of red-shifting also occurred when a sparse, incomplete coverage of Pt nanospheres¹⁸⁷ or Ag/Pt nanospheres¹⁸⁸ was deposited onto a Au nanorod core. In these cases, the longitudinal plasmon resonance of the rod was only relatively modestly attenuated. This is because the Au core in these instances is not covered by a continuous layer of metal, so its resonance is not suppressed and instead only red shifted by the increase in near-field dielectric permittivity.

FePt@Au particles have also been prepared and have a plasmon resonance at about 540 nm.¹⁸⁹ As

synthesized, the particles were superparamagnetic, but became ferromagnetic after annealing at 400°C ostensibly corresponding to a transition of the core from a disordered face centered cubic Fe,Pt solid solution to the ordered FePt phase.

Nanorods or nano-cylinders can be produced by electrodeposition within the pores of an alumina template. The process to produce single component nanorods this way dates from 1989,¹⁹⁰ while the idea of varying the electroplating solution to produce a free hybrid nanoparticle seems to have appeared in 1999,⁵⁴ in this case to produce an Au-Pt-Au nanocylinder of 200 nm diameter and considerable length. Autonomous propulsion of such objects by catalytic decomposition of H₂O₂ was realized in 2004,¹⁹¹ and has been studied several times since, with some refinements such as the addition of a magnetic Ni component to provide another means of external control,¹⁹² the use of other platinum group metals as catalyst,⁵⁵ or asymmetric deposition of the catalyst to give a rotary motion.¹⁹³ However, these particles are too large to exhibit localized plasmon resonances and their optical properties are due to scattering of the incident light.

3.1.2.3 Ag-platinum group metal

Simultaneous radiolytic reduction of mixed Ag and Pd salts produced alloyed nanoparticles of Ag and Pd, and the plasmon resonance could be tuned between that of Ag (~400 nm) and that of Pd (~200 nm) by control of the stoichiometry.¹¹⁵ A similar result can be obtained by rapid reduction of a solution of mixed precursors with hydrazine.¹⁹⁴

Pd@Ag particles have a similar plasmon resonance wavelength (360 to 390 nm depending on shell thickness)¹⁹⁵ to that of solid Ag nanospheres, in accordance with the general principle discussed earlier. Although Ag and Pd are mutually soluble at all stoichiometries⁸³, this result strongly implies that these were true core-shell particles since a stronger blue shift in the peak position away from that of Ag would have been expected for alloy particles. However some alloying of Ag and Pd has been reported for ostensibly core-shell hybrids.⁸²

On the other hand, Ag and Pt have limited mutual solubility⁸³ suggesting an intrinsic suitability for use in core-shell particles. Lee et al. attempted to prepare Ag@Pt and Pt@Ag nanoparticles by deposition-precipitation, but found that only the former could be successfully prepared under their conditions, with the reverse order of reactions creating separate populations of Pt and Ag nanoparticles.⁹² The surface composition of their Ag@Pt was verified by a process of phase transfer from an aqueous phase into toluene, which would apparently occur only if the outer layers were Pt. A puzzling aspect of their work, however, was that their Pt-coated core shell particles retained the plasmon resonance of the Ag cores, which is not the behavior expected for a continuous Pt shell. In contrast, Bunker et al.⁸² prepared small Pt@Ag particles by radiolysis and observed the expected plasmon resonance due to Ag at about 360 nm.

Kim et al. prepared Ag@Pt nanoparticles by aqueous deposition-precipitation and then converted them to hollow Pt shells by a process involving intense laser irradiation at 1064 nm.¹⁹⁶ The heated Pt shells melted and expelled the Ag core. The structures, before and after irradiation, had relatively featureless optical absorption, as would be expected from the Pt shell.

In summary, overcoating a plasmon-active coinage metal with a platinum group metal has the effect of destroying the coinage metal's plasmon resonance, whereas in the converse case, the coinage metal coating has the optical properties of a solid coinage metal nanosphere. From the point-of-view of the technological exploitation of the optical properties specifically, neither of these outcomes is particularly attractive.

3.1.3 Hybrids with magnetic metals

A number of magnetic hybrid nanoparticle systems have been investigated¹⁹⁷ but few descriptions of their optical properties have been forthcoming. Systems such as Au-CoPt₃,¹⁹⁷⁻¹⁹⁸ Ag@Co,¹⁹⁹ FePt-Au,^{198,200} FePt-Ag,²⁰¹ Fe₃O₄-Au,²⁰¹ and Fe@Au²⁰² prepared as core shell particles^{199,202} or as heterodimers^{197-198,200-201} would be expected to exhibit the plasmon resonance of their coinage metal

component provided that it is not masked by a non-plasmonic shell. In some cases the shell resonance of the coinage metal, for example Au in the Fe@Au²⁰² particles is a little red-shifted and attenuated compared to that expected from a solid Au nanosphere of similar diameter but such trends can be explained as the result of surface roughness, a red-shifting factor for nanoshells generally.²⁰³⁻²⁰⁴ In this respect, noble metal coatings might in some cases behave more like a hollow ensemble of loosely connected nanospheres than a true shell.²⁰⁵⁻²⁰⁷

In these systems, the magnetic properties and the optical properties are independent of one another. We will discuss the different and interesting concept of ‘active’ magnetic hybrids in Section 3.3.

3.1.3.1 Au-Ni, Au-Fe and Au-Co

Au and Ni are almost completely immiscible in the bulk phase and so are good choices for the preparation of core-shells or other two-phase hybrid nanoparticles. Due to the lower surface energy of Au relative to Ni,¹²⁷ the expected stable structure is Ni@Au, and experimental data support this.²⁰⁸⁻²⁰⁹ An increased proportion or coverage of Au provides an increasingly stronger plasmon resonance at about 520 nm. Ni can be deposited onto Au nanorods, which can then be aligned in an external electric field.²¹⁰ Controlled alignment of Au nanorods is an attractive outcome because it could provide a useful color change system,⁷⁶ but coating the rods with Ni significantly attenuated the longitudinal plasmon of Au nanorods, Figure 16. Retention of the resonance of an Au core should be possible in principle, however, provided that the thickness of the Ni is strictly limited. For example, Sánchez-Iglesias et al. have shown that the plasmon resonance of an Au nanosphere was still evident after it had been overcoated with up to 8 nm of Ni and NiO.²¹¹

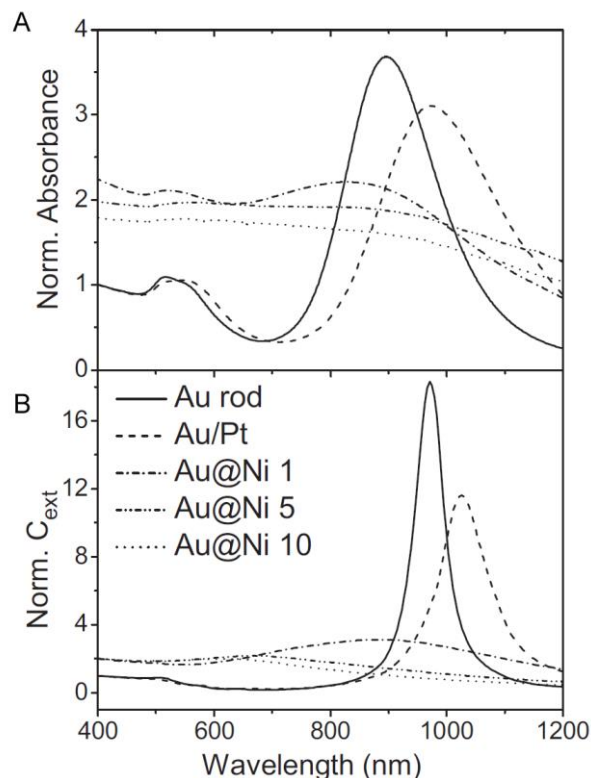


Figure 16. Overcoating Au nanorods with Pt and Ni results in complete attenuation of the longitudinal and transverse plasmon resonances. (a) Experimental data, (b) calculated for similar shapes. Copyright Wiley-VCH Verlag GmbH & Co. KGaA. Reproduced from ref.²¹⁰ with permission.

Although the bulk Au-Fe system exhibits similar immiscibility to bulk Au-Ni, alloyed nanoparticles of Au and Fe in the 4 to 6 nm size range have been prepared by ion implantation into a SiO₂ matrix.⁸⁶ The plasmon resonance of the alloy is, however, rapidly attenuated by the additions of Fe.

Au-Ni hybrid nanorods can act as nanoscale rotors when tethered to a substrate by one end.²¹² The hybrid particles in this case were produced by electroplating into a porous Al₂O₃ template of about 200 nm diameter followed by release. Their movement could be observed in an optical microscope due to their scattered light.

Besides the core-shell and heterodimer morphologies mentioned above, it is also possible, under carefully controlled conditions, to precipitate gold nanospheres onto the ends or flanks of Co

nanorods.²¹³ The site of nucleation of the Au nanospheres on the Co nanorod could be controlled by reaction parameters. Electron microscopy revealed that the Au/Co interface of this hybrid was of the coherent type, with a (111) plane of Au matching up with a (002) plane of the Co.

3.1.3.2 Ag-Ni

Ni and Ag are also expected to form core-shell particles of the Ni@Ag type, because these elements are completely immiscible as solid bulk materials, and because the surface energy of Ag is only about half that of Ni.¹¹ A similar tendency holds for Co and Ag. A study in which 2 to 5 nm particles containing these elements were prepared within a Al₂O₃ matrix found that the optical properties of both Ni@Ag and Co@Ag were dominated by the plasmon resonance of an Ag shell, which occurred, depending on thickness, between about 410 and about 450 nm,²⁰⁸ suggesting that core-shell particles had formed. In contrast, Ag/Ni nanoparticles of about 8 nm diameter prepared by others were found to be homogeneously alloyed.²¹⁴ Evidently, the method of synthesis and thermal history of these types of particles has a large effect on their structure.

3.1.4 Other metallic hybrids

3.1.4.1 Intermetallic compounds of the alkali metals

Blaber et al.^{10,215} assessed a range of other intermetallic compounds and identified the coinage metal CsCl (B2) structured intermetallic compounds with the alkali metals, such as AuK, as candidates for plasmonic applications. Little experimental work has been performed to date on these systems,²¹⁶ and the physical properties of AuK are somewhat uncertain.²¹⁷ Hybrid nanoparticles in the sub-nanometer size range of Na or Cs with Au, Cu or Ag have been prepared by a gas phase process but the optical properties have not been reported. Au₂Na has been reported to have a bright brassy color in the bulk form²¹⁸ and so investigations into the optical properties of nanoparticles of this material may be warranted.

3.1.4.2 Other intermetallic nanoparticles

There are other intermetallic compounds of coinage metals that are quite strongly colored, suggesting interesting dielectric functions in the visible range. The compound AuAl_2 , for example, is purple in the bulk phase. Due to the peculiar variation of its dielectric properties with wavelength, it has been predicted that nanoparticles would show dual broad plasmon resonances at both 480 and 600 nm, Figure 17.²¹⁹ AuAl_2 may be challenging to prepare in nanoparticle form by chemical methods (due to the reactivity of the Al) but it is interesting to note that the isostructural AuIn_2 , which has a blue color²²⁰, has been prepared in nanoparticle form by physical vapor deposition.²²¹ PtAl_2 has the same crystal structure as AuAl_2 and AuIn_2 but is bright yellow.²²²

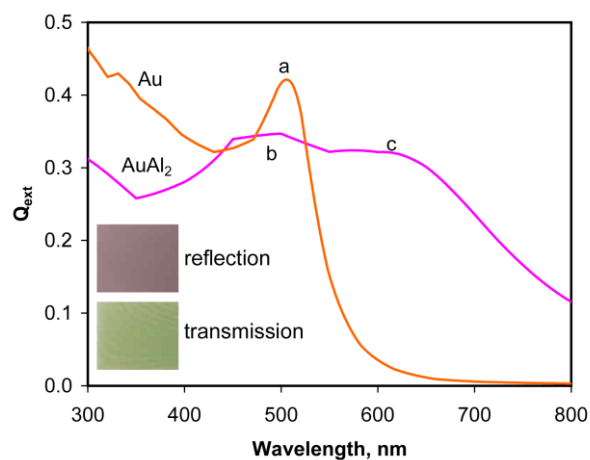


Figure 17. Some calculations suggest that nanospheres of AuAl_2 should display two, overlapping, plasmon resonances *b* and *c*. The simulation is for 20 nm diameter particles, with the resonance of a similarly sized Au nanosphere shown for comparison as *a*. Inset. Thin films of the intermetallic compound AuAl_2 have a purple color in reflection and a green color in transmission. Redrawn from Supansomboon²¹⁹ and reproduced with permission of Gold Bulletin.

Cu-Zn nanoparticles (“nanobrass”) of various stoichiometries and in the 5 to 10 nm size range have been prepared and their plasmon resonances are red-shifted and rapidly attenuated from that of Cu as the proportion of Zn is increased. Surprisingly, these particles consisted of more than one phase (there are

several possibilities in the Cu-Zn binary phase diagram) despite their small size.²²³ In general, the core seems enriched in Cu in these structures.¹¹ AuZn would be another prospective basis for a plasmon resonance in the visible range of the spectrum.¹⁰

In addition to the various systems already mentioned, Ag@Cd,²²⁴ Ag@Pb,¹⁰⁵ Au@Sn,²²⁵ and even Au@Pb@Cd²²⁶ may be added. More recently, Fe@Au, Fe@Pt, Fe@Pd have also been synthesized, but the optical properties were unremarkable, with only the slightest of plasmon resonance peaks evident for the Au-coated samples.²²⁷ Se@Au and Se@Ag have been synthesized,²²⁸ and the optical absorption spectra of both are dominated by the shell, and similar to a solid nanosphere of the shell composition. A novel approach to the synthesis of a metal-dielectric hybrid was to prepare bimetallic AuCd nanoparticles first, and then react them at 250°C with a solution containing Se to finally produce Au@CdSe core-shells.²²⁹ The plasmon resonance of the core was retained in the hybrid, albeit red shifted by about 10 to 15 nm, since the CdSe is non-metallic and does not screen the core. A similar effect holds when coating an Au core with SnO₂ (rather than Sn).²³⁰

Hybrids of the coinage metals and some other non-magnetic, non-platinum group element might be useful for several reasons. A core of an active metal such as Co can serve as a sacrificial template to prepare hollow gold nanoshells, and although the amount of red-shifting (the main technological motivation for doing this) initially obtained was initially relatively modest,²³¹ further refinements to the process have demonstrated hollow gold nanoshells with strong plasmon resonance peaks that can be tuned from 520 to 850 nm.²³² Alternatively, hybrid nanoparticle semi-shells of concentric layers of Au, Al and Au have been prepared by physical vapor deposition onto a nanosphere template, and dissolution of the Al in an alkali produces a ‘nanobell’, in principle with an ostensibly detached core.²³³ Similarly, dissolution of Fe₃O₄ in Au/Si/Fe₃O₄ hybrids has been shown to produce ‘nanorattles’, consisting of a hollow silica shell containing a small number of Au nanospheres²³⁴.

The general principle that the optical properties of the hybrid closely resemble those of the shell material also holds for these examples.²²⁶

3.2 Passive metallo-dielectric hybrids

This section discusses hybrid metallo-dielectric nanoparticles in which the two or more components have independent functionalities that do not interact significantly, at least in so far as the optical properties are concerned.

There are many reports of coinage metal / semi-conductor hybrids in the category of ‘passive’ metallic-dielectric hybrids. CdS/Au, PbS/Au and PbS/Ag, Fe₃O₄/Ni and Fe₃O₄/Pt, Au/MnO and Au/Fe₃O₄ are but a few of the many possibilities that have been reported.^{25,235-238} Core-shells, heterodimers, and even more complex shapes have been prepared. A general review of passive hybrid nanoparticles made by the precipitation of noble metals onto diverse semi-conductors, and *vice versa*, was recently provided by Costi et al.,²⁵ while Zeng et al.²⁹ have recently reviewed passive hybrid nanoparticles in which one component is a magnetic material.

In the case of coinage metal@dielectric hybrids, or coinage metal/dielectric heterodimers, the net effect on optical properties is often simply additive, with some red-shifting of the plasmon resonance due to a localized increase in the surrounding refractive index,^{25,29,31,44,230,239-243} Figure 18. However, red-shifting of the plasmon resonance obtained in this manner is generally less dramatic than the far more potent red-shift achievable by increasing the aspect ratio of the underlying coinage metal ellipsoid, nanorod or nanoshell.

In contrast, the dielectric@coinage metal geometry should, as described earlier, have the optical properties of a true metal shell for the most part, modified somewhat by the refractive index of the core.²⁰⁵ In this case, the resonance of the metal shell can be red-shifted significantly by reduction of its thickness,¹²⁵ which provides a versatile platform for a variety of budding technological applications.²⁴⁴⁻²⁴⁹ Alternatively, in the specific case of an Au shell, the resonance can be tuned to higher energies by overcoating with Ag.²⁵⁰ A magnetic core can provide other useful features, such as the capability to move particles around by an external magnetic field.²⁵¹

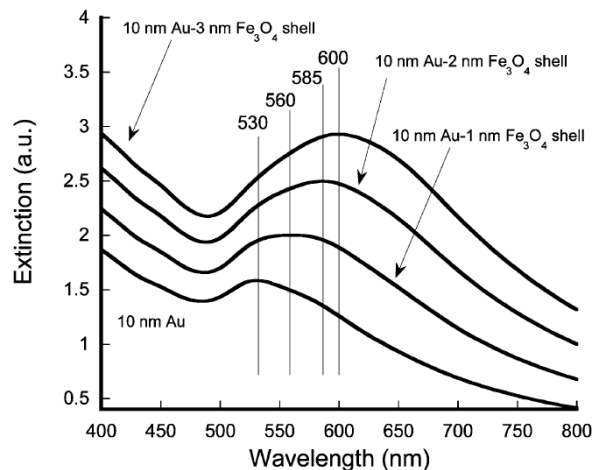


Figure 18. Red shift of underlying Au nanosphere due to increasing thickness of an Fe_3O_4 shell due to effect of local dielectric environment on the Au plasmon resonance. (Reprinted with permission from ref.²⁴⁰ Copyright 2006 American Chemical Society.)

An optically-related reason for coating noble metal nanoparticles with dielectric material is to prevent aggregation of the particles and hence collapse of their single-particle plasmon resonances. On the other hand, *controlled* aggregation, using a defined thickness of dielectric coating, may be useful at times as a means of developing a controlled interparticle plasmon resonance. This has considerable utility, since if Au or Ag nanoparticles, for example, are deliberately closely spaced (with an interparticle distance between about 1 nm and one particle diameter), there will be additional dipole-dipole plasmon resonances between adjacent particles which are strongly red-shifted relative to the single particle resonance.^{19,78} The effect can be exploited to make sensitive colorimetric biosensors to detect lead ions, cocaine, DNA or pregnancy,²⁵²⁻²⁵⁵ to name only a few of the possibilities. Coatings comprised of closely packed Au or $\text{Au}@\text{SiO}_2$ particles, for example, exhibit a range of interesting and tunable optical properties due to this effect.²⁵⁶⁻²⁵⁷

In addition to spherical geometries, Au, for example, can be nucleated onto the tips of nanorods of CdSe and CdS, with the process ostensibly exploiting both the greater reactivity of the strongly curved tips of the dielectric nanorods and the more sparse coverage of protective ligands on the tips of the

dielectric nanorods compared to their flanks.²⁵ The resultant particles have been described as ‘nanodumbbells’. Precipitating Au onto a spindle-shaped Fe_2O_3 core, possibly with the assistance of an intermediate layer of SiO_2 ,³⁷ produces the ‘nano-rice’ particle, Figure 19.²⁵⁸ This has very tunable plasmon resonances because of the ability to independently modify the shape of the spindle core, and the identity, thickness, and morphology of the coinage metal deposited²⁵⁸ and can be achieved with Ag too.³⁷ In principle, relaxation of the ellipsoidal core geometry ($a=b \neq c$, where a , b and c are the dimensions of the core) to produce a scalene ellipsoid ($a \neq b \neq c$) coated with Ag should produce the ultimate nanoparticle from the point-of-view of tunability through the visible spectrum.²⁵⁹ The three dimensions plus aspect ratio, alloy composition and refractive index of the core, would provide six degrees of freedom by which to tune the resonances across the visible and near-visible spectrum.

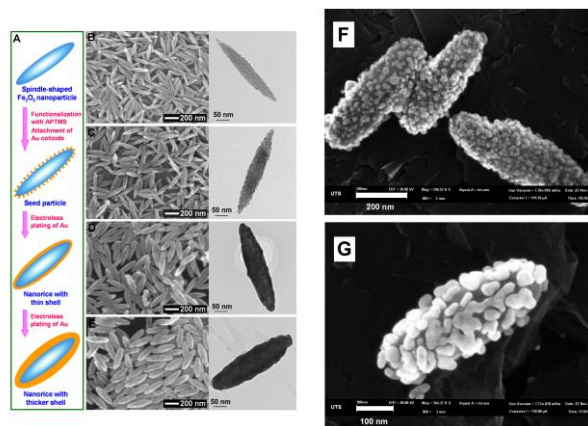


Figure 19. (a) The ‘nanorice’ hybrid consists of a spindle-shaped dielectric core onto which Au or Ag is deposited. (b) to (e) shows examples of nanorice in various stages of deposition (Reprinted with permission from ref.²⁵⁸ Copyright 2007 American Chemical Society.). The process of overcoating can be terminated at any stage to produce a partially coated particle, such as these (f) $\text{Fe}_2\text{O}_3@\text{SiO}_2@\text{Ag}$ and (g) $\text{Fe}_2\text{O}_3@\text{SiO}_2@\text{Au}$ particles, if desired. (Reprinted with permission from ref.³⁷ Copyright 2007 American Chemical Society.).

In another kind of hybrid, thin films of chalcogenide compounds can be formed on noble metal cores, or vice versa, to produce a variety of core-shell nanoparticles. For example, the first synthesis of gold

nanoshells was prepared from Au₂S nanoparticles by partial in situ reduction to form an Au shell,^{41,260} and there have been several other descriptions of methods to coat noble metal nanoparticle cores with chalcogenide layers such as Au₂S, Ag₂S or Ag₂Se.^{44,261}

There are other reasons for producing ‘passive’ metal-dielectric hybrids with some optical functionality. The combination of a noble metal nanoparticle and a fluorescent dielectric produces a staining agent for microscopy of biological samples in which the noble metal has the electron density needed for contrast in the electron microscope while an attached fluorescent material provides a signal for optical microscopy.²⁴¹ Fluorescent material (e.g., a CdSe quantum dot) can be attached to or deposited onto a magnetic core to produce a light emitting nanoparticle that can be moved around in biological tissue using an external magnetic field while being observed in a confocal optical microscope.²⁵¹ Heterodimers of Au and Fe₃O₄ can be synthesized for simultaneous optical (due to scattered light from the plasmon resonance of the Au) and magnetic imaging.²⁴² Controlled aggregation of a hybrid particle comprised of a gold or silver part and a magnetic part can be interrogated in at least three ways: by measuring the shift in the plasmon resonance peak of the coinage metal, or the change in the magnetic signal of the magnetic component, or the increase in dynamic light scattering caused by the aggregated particles.²³⁵ In principle, the multiplicity of available modes of detection should increase the sensitivity of a sensor or diagnostic stain that uses such hybrids.

3.3 Active metallo-dielectric hybrids

3.3.1 Modulation of plasmon resonance by metal-insulator transitions

The wavelength and intensity of the plasmon resonance in a nanoparticle is strongly affected by the refractive index in its immediate vicinity. There are a few compounds, such as VO₂, WO₃, SmS or NiS for example,²⁶²⁻²⁶³ that can undergo metal-insulator transitions (and hence transitions in their optical properties) as a function of temperature, composition, redox potential, or pressure. A hybrid

nanoparticle containing one of these compounds will therefore have a component with a variable refractive index. In particular, VO₂ has been investigated for temperature-switchable optical devices. This compound has two forms, a semi-conducting, monoclinic form ('VO₂-M₁'), which is stable at temperatures below about 67°C, and a metallic, tetragonal structure ('VO₂-R'), stable above that temperature. The displacive transformation between the two is reversible and attended by large changes in electrical conductivity and optical properties.²⁶³⁻²⁶⁵ The idea of combining VO₂ with Au to make an active composite nanomaterial with switchable optical properties appears in 1999²⁶⁶ and the idea of making discrete VO₂@Au or VO₂@Ag hybrid nanoparticles emerged a few years later.²⁶⁷ One attribute of such a particle is that, at certain wavelengths, its optical cross-section *drops* when heated through the phase transition. This could provide a type of optical limiting effect and possibly even provide temperature control in the nanoparticle, an idea behind the so-called 'regulatron' smart particle,²⁶² Figure 20. Unfortunately, no simple chemical process to prepare these hybrids as discrete nanoparticles has been developed yet, although the effect has been experimentally verified for arrays of Ag or Au nanoparticles on or in a thin film of VO₂.²⁶⁸⁻²⁶⁹

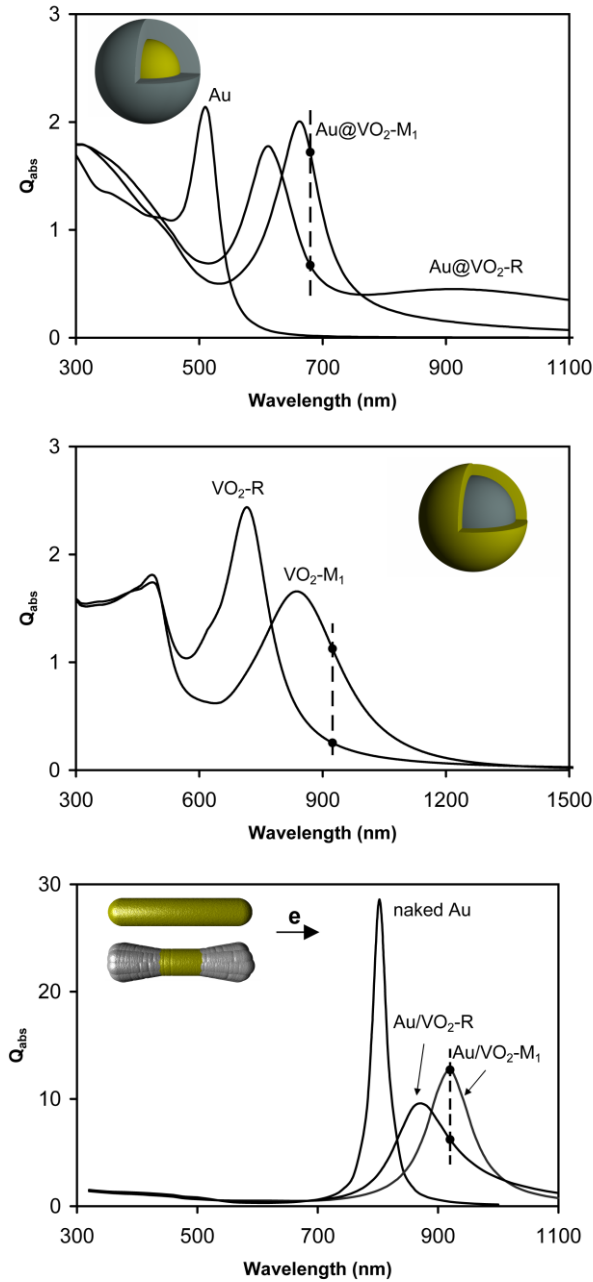


Figure 20. Simulations show that hybrid particles of Au and VO₂ should undergo large changes in optical extinction as a function of the metal to insulator transition in VO₂. (a) Au@VO₂, (b) VO₂@Au, (c) conceptual hybrid nanorods in which VO₂ has been coated onto the ends of an Au nanorods core. Reprinted with permission from ref.²⁶² Copyright 2007 American Physical Society.)

3.3.2 Magnetic hybrids

We consider here particles in which the magnetic component is used to modulate the optical

properties through an interaction with the localized electromagnetic field of the plasmon. Such interactions, as in the magneto-optical Kerr and Faraday effects which rotate the plane of polarization of light, have been known in thin films for some time.²⁷⁰ Rather different geometries are offered by discrete nanoparticles and studies of these effects are more recent. An ‘active’ metal-magnet hybrid nanoparticle was reported by Li et al. in 2005.²⁷¹ In this case Ag-CoFe₂O₄ dimers were formed in colloidal suspension and an enhanced degree of Faraday rotation was observed at some wavelengths indicating that an interaction between the localised surface plasmon of the Ag part and the magnetic material had occurred. Armelles et al.²⁷² produced Au, Co, Au sandwiches on a substrate of glass by a combination of nanosphere lithography and physical vapor deposition. The plasmon resonance of the gold was somewhat red-shifted, attenuated and broadened in the hybrid but the most interesting point was that an enhancement of the magnetic-optical effect was observed and ascribed to the effect of the localized plasmon in the gold part. Du et al.²⁷³ subsequently obtained a similar effect in hybrid nanoparticles comprised of two Au nanodisks at either end of a multilayer Co/Pt stack while Jain et al.²⁷⁴ have shown that the enhanced magneto-optical activity is possible with Fe₂O₃@Au nanoparticles. The effect is emphasized only at certain wavelengths however, Figure 21. In general, increased rotation of the plane of polarization in these examples required spectral overlap of the magneto-optical transition and a sharp plasmon resonance. There are yet further possibilities for these plasmon-magnetic hybrids with, for example, second harmonic generation recently reported by Murzina et al.²⁷⁵ for an Au-Co-Au sandwich particle while Temnov et al.²⁷⁶ have recently shown how the propagation of surface plasmon polaritons can be controlled by subjecting a Co layer inserted into an Au waveguide to a magnetic field. This relatively young field of research appears to have much more to offer.

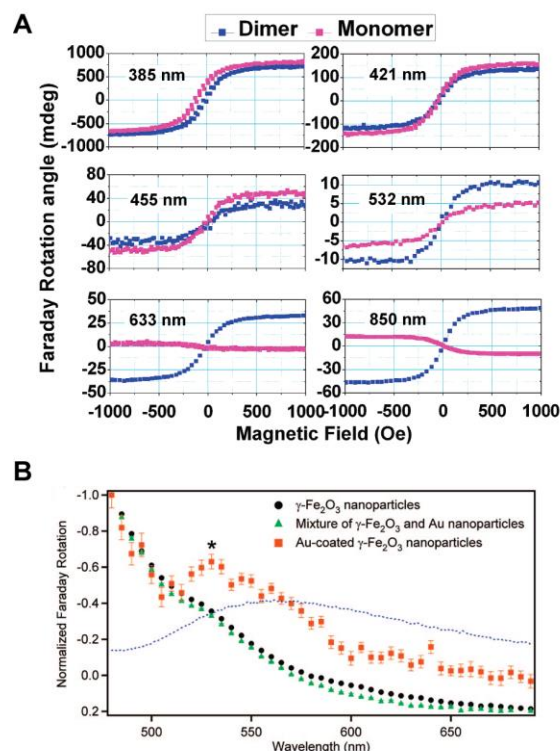


Figure 21. Magneto-optical interaction in hybrid nanoparticles consisting of magnetic material and coinage metal. (a) The Faraday rotation in Ag/CoFe₂O₄ dimers is enhanced for wavelengths >500 nm, relative to the effect in single nanoparticles. (Reprinted with permission from ref. ²⁷¹ Copyright 2005 American Chemical Society.) (b) Some enhancement in Faraday rotation is found in Fe₂O₃@Au nanoparticles for wavelengths >500 nm, relative to a mixture of the individual nanoparticles. (Reprinted with permission from ref. ²⁷¹ Copyright 2009 American Chemical Society.)

3.3.3 Active hybrids with a fluorescent component

Hybrid particles or nanostructures comprised of a plasmonically resonant part and a fluorescent part were mentioned in Section 3.2 in respect of passive, independent, functionalities. However, the presence of the metal part can have a pronounced effect on the light emission from the fluorescent component, generally attenuating or quenching it^{25,240,277-279} but occasionally enhancing it.²⁸⁰⁻²⁸² The interaction is relatively complex and interesting. A plasmon resonance on the metallic component will have the effect of considerably enhancing the electric field strength around the nanoparticle. This can, in principle, lead

to enhanced emission from fluorescent molecules or quantum dots located within the region of enhanced field, provided of course that the frequency of the enhanced electric field corresponds with the excitation frequency of the fluorescent substance. However, if the light emitting structure is too close to the metal portion, its excited state may be quenched by transfer of an electron to the metal, which causes the hole to decay non-radiatively in the semi-conductor portion. Which of the effects (enhancement or extinction) will occur depends acutely on the distance between the two components, the temperature, the spatial extent of the electric field and other factors.²⁸¹ It has been proposed that the interplay of these phenomena could be used as the basis of a sensor.²⁸¹

Photoluminescence of a semiconductor generally shows two peaks, a band edge emission and an emission in the mid-visible regime from surface state defects. Depending on application, it may be desired to enhance or suppress the latter. Recently Dimir et al. showed how the surface state emission of a CdS semiconductor nanocrystal could be enhanced twelvefold relative to the band edge emission by deposition onto a layer of Ag nanoparticles. The enhancement mechanism involves coupling between the plasmons in the Ag and the surface state emissions of the CdS.²⁸⁰ This is a highly desirable effect if efficient white light emission is desired. While this is not a true hybrid nanoparticle system as defined earlier, it illustrates that some intriguing effects remain to be explored.

When the energy of an exciton is close to that of a plasmon, then a coherent transfer of energy between the two can occur.²⁸¹ The resulting state has been termed a ‘mixed polariton’²⁸³ and interactions of this type have been reported for various geometric configurations of metal and fluorescent material^{281,283-284} and are an example of a type of metal-enhanced fluorescence. In general these are non-linear phenomena.^{25,285}

Metal-enhanced fluorescence due to amplification of the local electric field is generally absent when the fluorescent material is too close to a metal surface^{278,286-288} and is optimized when the fluorophore is at some intermediate distance of a few tens of nanometers from the surface.²⁸⁹⁻²⁹⁰ The insertion of ‘spacer’ layers of polymer or some other dielectric such as SiO₂ between fluorophore and metal is useful

to maintain an optimum separation distance.^{277,291-293} Under optimum circumstances, several-fold enhancements in fluorescence have been demonstrated in nanosphere hybrids by this means, Figure 22.^{281,292} The use of a more complex plasmonic structure, for example a sandwich or ‘tower’ of two Au discs separated by a dielectric and then overcoated with the fluorescent material, has been claimed to provide enhancements in fluorescence of over forty-fold due to the development of a spatially extended electric field around the hybrid nanostructure.⁶⁰

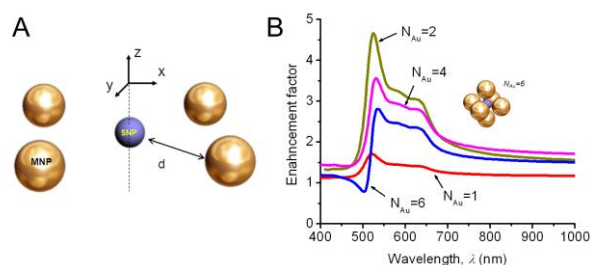


Figure 22. The interaction between metal and fluorescent emitter can lead to quenching or enhancement of the fluorescence. a. The distance, d , between emitter and nanoparticle is a key variable. b. Simulations showing how fluorescence enhancements of four or five-fold can be achieved in the most appropriate geometries. (Reprinted with permission from ref.²⁸¹ Copyright 2006 American Chemical Society.).

The quenching effect is often desirable for applications in photocatalysis. In these cases, long-lived separation of the exciton is required so that the hole can provide a site for oxidation and the electron can facilitate reduction. It is possible that the negative charge in this case builds up in the metallic portion slightly faster than it is consumed by reduction reactions, thereby raising the electron density of the metallic region. Since the plasmon resonance frequency of a metal is sensitive to its electron density via the dependence of the bulk plasmon wavelength, λ_p , on the electron density²⁹⁴, it follows that the plasmon resonance wavelength of the metallic nanoparticle can be modulated to some extent by changing its electron density electrochemically,²⁹⁵ by depositing a shell of redox-active dielectric onto

it¹⁰⁵ or charging with electrons from a nearby excitons. For example, when Ag@TiO₂ nanoparticles are used as photocatalysts, the plasmon resonance of the Ag blue shifts, which has been interpreted as indicating an increase of electron density in that part of the nanoparticle.²⁹⁶

Although the presence of the metal part can quench or enhance the fluorescent emission, the reverse effect, i.e. the exciton exerting an influence on the plasmon, is less well studied. Nevertheless, transfer of energy from exciton to plasmon has been reported^{291,297} although in somewhat larger structures than the hybrid nanoparticles discussed in this review. For example, when CdS or CdSe quantum dots attached to a SiO₂ spacer layer lying in turn on the surface of a Ag nanowire of several micrometers length were excited, their emitted light coupled to a surface plasmon polariton in the Ag.^{291,298} However, we are not aware of a demonstration of the analogous effect with a localized surface plasmon yet. The interaction between an emitter and a metal nanoparticle has been analyzed theoretically,²⁹⁹⁻³⁰⁰ and found to depend sensitively on the distance between the two. The effect is, however, in general a negative one, in the sense that it removes rather than enhances a functionality (light emission).

Finally, non-linear optical effects have been reported for hybrid nanoparticles of this type. For example, Yang et al reported a significant increase in $\chi^{(3)}$ for films comprised of Au@CdS core-shell particles³⁰¹ and Shaviv et al. found second harmonic emission in Au-CdSe hybrids.³⁰²

4. Conclusions and Future Outlook

Hybrid nanoparticles with two or more components provide significant flexibility in design and application. In this review we have focussed on hybrid systems that display a plasmon resonance in the visible or near-visible regions of the spectrum.

In the hybrid system which we have denoted as ‘passive’ metallo-dielectric hybrids, the functionalities of the parts remain reasonably independent. Hybrids between a coinage metal (Cu, Ag, or Au) on the one hand and semi-conductors or magnetic oxides on the other frequently fall into this class. The optical properties of these structures may be explained by the properties the individual parts, except

for some bathochromic shifting of the plasmon resonance of the metallic component due to the increase in local dielectric constant due to the other component.

Metal-metal hybrids and their closely related alloyed nanoparticles exhibit more complex behaviour. The plasmon resonance or resonances of the parts can interact in interesting ways, and can, for example, be enhanced, shifted or suppressed. In the case of core-shell particles, the dielectric properties of the shell material will dominate above some modest thickness and, in the limit, the result is as if the hybrid was comprised throughout of the shell material. This is a fundamentally different result to that obtained in dielectric@coinage metal structures which have a strongly red-shifted plasmon resonance. An important implication of this is that coating a core material that is capable of undergoing plasmon resonance with some other, non-resonant metallic substance, will rapidly destroy any features in the optical spectrum that come from the core plasmon resonance.

Finally, the least understood and possibly most interesting hybrids are those in which the plasmon resonant component interacts in a synergistic manner with the other materials of the hybrid. The plasmon resonance characteristics can be modulated, for example, by a phase change in the other component, or an exciton in a semi-conducting part can be coupled to a plasmon in the metallic part to form a mixed state. These particles appear to offer the prospect of unique new functionalities, such as temperature control, optical limiting or improved photocatalytic properties.

The outlook for hybrid and alloy nanoparticles is promising as they offer the prospect of new or enhanced functionalities. They especially show potential in health technologies, particularly for diagnostic applications, and many of the reports in this field describe quite applied test work. However, as we have documented, hybrid particles may also offer the possibility of breakthrough photocatalysis technology, or as improved non-linear optical materials. There are a great many permutations possible, even if only the metallic elements are considered as ingredients, and of course the possibilities multiply hugely when compounds are considered. The availability of this flexibility provides a platform for the design of some quite sophisticated hybrids, to the extent that some of the more elaborate possibilities

could be quite justifiably described as individual, functional ‘nano-devices’. Examples mentioned here included the ‘regulatron’, the particle with self-adaptive optical extinction, or the various multifunctional hybrids that can be manipulated with light or magnetism and which can be induced to unload a chemical or thermal payload in response to an external stimulus. There will surely be other, as yet unimagined, functionalities in these hybrid systems, and so there is considerable potential for further research, discovery and exploitation.

Acknowledgement. The authors thank the Australian Research Council for support received from grants DP0666689 and DP0984354.

Author biographies

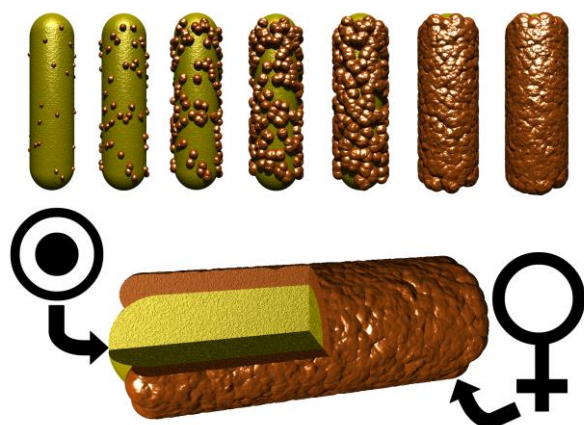


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Cover Art



Caption : Schematic illustration of the nucleation and growth of a copper coating on the flanks of a gold nanorod core to produce a hybrid nanoparticle. The symbols are the medieval European representations for Au and Cu.

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