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Precise Ablation Zone Segmentation on CT Images after Liver Cancer Ablation using Semi-automatic CNN-based

Segmentation

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Abstract

Background: Ablation zone segmentation in contrast-enhanced computed tomography (CECT) images enables the quantitative assessment of treatment success in the ablation of liver lesions. However, fully-automatic liver ablation zone segmentation in CT images still remains challenging, such as low accuracy and time-consuming manual refinement of the incorrect regions.

Purpose: Therefore, in this study, we developed a semi-automatic technique to address the remaining drawbacks and improve the accuracy of the liver ablation zone segmentation in the CT images.

Methods: Our approach uses a combination of a CNN-based automatic segmentation 30 method and an interactive CNN-based segmentation method. Firstly, automatic segmen-31 tation is applied for coarse ablation zone segmentation in the whole CT image. Human 32 experts then visually validate the segmentation results. If there are errors in the coarse seg-33 mentation, local corrections can be performed on each slice via an interactive CNN-based 34 segmentation method. The models were trained and the proposed method was evaluated 35 using two internal datasets of post-interventional CECT images ($n_1 = 22$, $n_2 = 145$; 62 36 patients in total) and then further tested using an external benchmark dataset $(n_3 = 12;$ 37 10 patients). 38

Results: To evaluate the accuracy of the proposed approach, we used Dice Similarity Co-39 efficient (DSC), average symmetric surface distance (ASSD), Hausdorff Distance (HD), 40 and volume difference (VD). The quantitative evaluation results show that the proposed 41 approach obtained mean DSC, ASSD, HD, and VD scores of 94.0%, 0.4 mm, 8.4 mm, 42 0.02, respectively, on the internal dataset, and 87.8%, 0.9 mm, 9.5 mm, and -0.03 re-43 spectively, on the benchmark dataset. We also compared the performance of the proposed 44 approach to that of five well-known segmentation methods; the proposed semi-automatic 45 method achieved state-of-the-art performance on ablation segmentation accuracy, and on 46 average, 2 minutes are required to correct the segmentation. Furthermore, we found that 47 the accuracy of the proposed method on the benchmark dataset is comparable to that of 48 manual segmentation by human experts (p = 0.55, t-test). 49

Conclusions: The proposed semi-automatic CNN-based segmentation method can be used
 to effectively segment the ablation zones, increasing the value of CECT for assessment
 of treatment success. For reproducibility, the trained models, source code, and demon stration tool are publicly available at https://github.com/lqanh11/Interactive_
 AblationZone_Segmentation.

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⁸¹ I. Introduction

Liver cancer has a high mortality rate, and its incidence increases yearly¹. According to statistics from 82 GLOBOCAN 2020, liver cancer ranked fifth in the number of new cases and third in the number of cancer 83 deaths². Early detection and treatment of liver cancer are crucial for improving treatment outcomes³. 84 Thermal ablation such as radiofrequency ablation (RFA) and microwave ablation (MWA) are considered 85 as curative treatment options for patients with early-stage liver cancer can not undergo an open surgical 86 procedure⁴ and can be performed with minimal discomfort for the patient, and with a short recovery 87 time⁵. RFA and MWA also have a low risk of complications compared to surgery and a low risk of side 88 effects compared to chemotherapy and radiation therapy⁶. 89

During the procedure, the interventionist uses ultrasound (US) or CT to guide the insertion of a thin needle through the patient's skin and into the target lesion⁷. Once the needle tip is placed in position, heat is generated at the needle tip to destroy the malignancy by creating a region of cell destruction, also known as the ablation zone. A major drawback of RFA and MWA is the high recurrence rate after treatment, especially in the local ablation site. It has been reported that ablation of tumors with a size ranging from 2 to 5 cm in diameter results in a recurrence rate of 26.4%⁸.



Figure 1: The liver tumor (red circle) in the pre-intervention CT image (A.1 and B.1) and its corresponding ablation zone (red arrow) in the post-intervention image (A.2 and B.2).

To evaluate the ablation, a CECT scan is usually performed at the end of the intervention to 96 visualize the ablation zone (see Figure 1)⁹. By assessing the ablation zone in the CECT image, the 97 physician can determine whether the procedure was completely successful, whether additional ablation 98 needs to be performed, and what the safety margins of the ablation zone correspond to the lesion ¹⁰. It 99 is especially important to accurately assess the margins of the ablation zone. In current clinical practice, 100 this assessment is performed visually by the interventional radiologist. Precise segmentation of the 101 ablation zone in the CECT image enables quantitative assessment and may provide improved confidence 102 in the outcome. 103

While manual segmentation of the ablation zone is tedious and time-consuming and thus is not 104 feasible in clinical practice, computer-aided methods can be used to greatly reduce the required cognitive 105 load. The main challenge of precise ablation zone segmentation is that the post-interventional CT 106 images are noisy, with inhomogenous intensities inside the ablation zone. In addition, for clinical use, 107 the segmentation processing time should be sufficiently fast (in order of minutes). Although there 108 have been many studies developing methods for liver lesion segmentation¹¹, only a few studies have 109 focused on ablation zone segmentation. Egger et al. $(2015)^{12}$ presented a semi-automatic method, 110 based on an interactive, graph-based contouring approach, for segmenting the ablation zone in twelve 111 post-interventional CECT images. The obtained segmentation is compared to manual segmentations 112 performed by two medical experts, achieving a mean Dice Similarity Coefficient (DSC) score of 77%. 113 Wu et al. $(2021)^{13}$ applied a region-growing method to segment the ablation zone in the CT image, 114 followed by fuzzy c-mean clustering and then refined by cyclic morphological processing, which achieved 115 the mean DSC core of 75%. Recently, deep learning-based methods have been applied to segment the 116 ablation zone. He et al. (2021)¹⁴ used a multi-scale patch-based 3D Residual Attention U-Net (RA-117 UNet) to segment the ablation zone in multiphase CT images, achieving median DSC scores of 83% and 118 89% for arterial and portal venous phase, respectively. It was reported that the hepatic enhancement in 119 the arterial phase is not sufficient to discriminate the ablation zone's edge, resulting in the difficulty for 120 the segmentation. Anderson et al. (2022)¹⁵ investigated Hybrid-WNet to segment the ablation zone in 121 CECT images, reporting a median DSC score of 79% and a median surface distance of 0.76 mm. From 122 the reported state-of-the-art results, it is clear that precise segmentation of the ablation zone in CT 123 images remains a challenging problem. In addition, to the best of our knowledge, none of the previous 124 studies evaluated the methods on external datasets. Therefore, the purpose of this study is to propose 125 and assess an effective method for precise ablation zone segmentation. In addition, we will evaluate the 126 method using both internal and external datasets to verify the performance of the method. 127

In the last decade, deep learning-based methods, especially Convolutional Neural Networks (CNN) 128 and Transformers, have demonstrated their indisputable effectiveness across a variety of fields including 129 medical image analysis. Ronneberger et al. (2015)¹⁶ introduced U-Net, which consists of a combi-130 nation of encoder-decoder structures for efficient automatic segmentation of medical images. Since 131 then, numerous variants of U-Net have been proposed, such as Residual U-Net¹⁷, which integrates the 132 residual path into the original structure, and H-DenseUNet¹⁸, which combines 2D and 3D Dense U-Net. 133 Isensee et al (2021)¹⁹ further demonstrated the effectiveness of U-Net in medical image segmentation 134 via the release of nnU-Net, which has become a well-known platform for automatic training and organ 135

segmentation pipelines. Recently, the Vision Transformer technique (ViT) proposed by Dosovitskiy et 136 al. (2020)²⁰ demonstrated its potential in computer vision, and is now being applied to the problem 137 of medical image segmentation. For instance, UNETR²¹ leverages the U-shaped encoder-decoder ar-138 chitecture but replaces the CNN-based encoding branch with ViT. Chen et al. (2021)²² also realize 139 the potential of the ViT-based encoder scheme and introduce TransUNet, but instead of a pure ViT 140 encoding branch, the authors propose a hybrid architecture where a multi-resolution CNN is initially 141 utilized to produce input feature maps for the ViT encoding block. CoTr²³ further improves the hybrid 142 architecture in TransUNet by fully leveraging the multi-scale feature maps from the CNN instead of only 143 using the lowest resolution. Subsequently, these multi-scale feature maps are flattened and encoded by 144 Deformable ViT, which greatly reduces the complexity of ViT and therefore significantly boosts its 145 performance. 146

Unlike automatic segmentation methods, semi-automatic segmentation methods require some 147 level of human interactions to complete the segmentation procedure. The interaction can be point-148 clicking, scribbling, rectangle/circle initial drawing, and manual tuning of parameter values. Several 149 semi-automatic segmentation methods have been developed for medical image segmentation ²⁴. Region-150 growing is one of the most popular semi-automatic segmentation methods²⁵. Region-growing is initial-151 ized by a seed point with a predefined threshold interval and then expands within a connected region. 152 The contrast between the object and the background acts as an important factor for a successful 153 segmentation. Chan and Vese (2001)²⁶ introduced an interactive segmentation method based on a 154 level-set algorithm, where the user provides an initial contour around the object. The method can suc-155 cessfully segment objects without clear boundaries. Grab-cut²⁷ is a well-known interactive segmentation 156 method in which the user provides source and sink regions via manual interactions. Furthermore, sev-157 eral conventional methods such as the Robust Statistics Segmenter²⁸, Otsu & Picking²⁹, and Geodesic 158 Segmenter³⁰ were investigated for interactive segmentation of objects in medical images. 159

Recently, interactive CNN-based segmentation methods have been investigated and shown to out-160 perform traditional interactive methods, achieving higher accuracy with fewer user interactions. Deep-161 Cut³¹ and ScribbleSup³² are among the first interactive CNN-based segmentation frameworks which 162 embed user-provided bounding boxes or scribbles into CNN models. DeepIGeoS³³ performed interactive 163 segmentation by using geodesic distance transforms of scribbles as additional input channels to CNNs. 164 Furthermore, Wang et al. (2018)³⁴ proposed an image-specific fine-tuning method to make a CNN 165 model adaptive to a specific test image. Although promising results have been reported, the method 166 still has some limitations. For example, it requires the user to provide a bounding box for the object and 167

scribbling on the background and foreground for the correction which may be inconvenient in practice. 168 Luo et al. (2021)³⁵ introduced MIDeepSeg, a minimally interactive segmentation method based on a 169 CNN and exponentiated geodesic distance, to segment both seen and unseen objects appearing in the 170 training dataset. However, the framework requires the user to click several points at the edges of the 171 object on each slice the object presents to create a ROI for the object, which may be inconvenient to 172 use in applications with tight timing constraints. Sun et al. (2022)³⁶ proposed a graph convolutional 173 neural network for segmentation tasks; however, the requirement of clicking points at the boundaries or 174 dragging predicted points is also not well-suited to time-critical tasks. Sofiiuk et al. (2022)³⁷ proposed 175 a click-based interactive segmentation, Reviving Iterative Training with Mask Guidance (RITM), that 176 iteratively uses the differences of the previous prediction segmentation and the ground truth to provide 177 additional prior information to train the model and improve segmentation prediction accuracy. 178

Generally, fully automatic segmentation methods are convenient and fast for global segmentation 179 of the entire image. However, they frequently have small segmentation errors that need to be manually 180 corrected. Theoretically, for CNN-based approaches, the more data involved in training the deep learning 181 models, the more accurate the model can become. However, it is not clear how much data should be 182 used for a specific medical image segmentation application. Furthermore, collecting large amounts 183 of data in the medical image field with accurate segmentations is challenging. In contrast, a human 184 expert can control an interactive method to segment a region correctly. Nevertheless, using interactive 185 segmentation methods to fully segment a structure may not be practical because of the excessive 186 amount of interactions required. In addition, we hypothesize that clicking points inside a region is more 187 convenient than clicking points at the edges, or scribbling using the mouse. Therefore, our key idea to 188 solve the problem of ablation zone segmentation is to utilize an interactive CNN-based segmentation 189 method in which the user clicks points at the incorrect segmentation regions to refine the segmentation 190 provided by an automatic segmentation method. 191

The overview of the remainder of the paper is as follows: Section II. describes the proposed interactive CNN-based segmentation method in detail. Subsequently, Section III. describes extensive experiments to assess the performance of the proposed solution. Next, the experimental results are discussed in Section IV.. Finally, Section V. summarizes the findings of this study.

¹⁹⁶ II. Methods

In order to precisely segment the ablation zone in a CECT image, our strategy is to combine automatic 197 segmentation with interactive segmentation. Firstly, the automatic segmentation method is applied to 198 segment the ablation zone in the whole CT volume. Next, a human expert reviews the automatic seg-199 mentation and then uses RITM³⁷ as a CNN-based interactive segmentation method to fix the incorrect 200 segmentations via clicking points at the local error locations. Finally, the segmentations are combined 201 by mixing the probability maps at the local location of the two methods. The underlying assumption 202 is that the more accurate the automatic segmentation is, the fewer human interactions are needed for 203 error correction. In this study, we evaluate several automatic segmentation frameworks for the initial 204 segmentation in Section III.D.1.. In addition, the interactive method enables click-based segmentation 205 which is one of the simplest interaction types. The pipeline of the proposed approach is illustrated in 206 Figure 2. The following sections describe each component in the pipeline in detail. 207

²⁰⁸ II.A. Automatic segmentation

In the first step of the proposed pipeline, an automatic segmentation network is utilized to segment the ablation zone from the CT image. In this study, we evaluate well-known CNN-based and Transformerbased networks to find a suitable one, aiming for fast inference time and high accuracy. Here are descriptions of four segmentation methods.

3D U-Net, introduced by Cciccek et al. (2016)³⁸, is an extension of U-Net architecture designed
 to segment objects in 3D data by processing them with corresponding 3D operations. 3D U-Net
 consists of an encoder-decoder structure with a skip connection to capture high-level and low level features of the 3D image and produce full-resolution segmentation. The 3D U-Net has been
 extensively used in various medical image segmentation tasks.

UNETR, proposed by Hatamizadeh et al. (2022)²¹, leverages the potential of ViT in sequence
 representation learning, making it highly effective in segmenting objects in images. UNETR
 utilizes the strength of the U-Net architecture but replaces the CNN-based encoder with the
 Transformers-based encoder. The original image is split into 3D patches and a linear projection of these patches is applied to produce the input for the ViT-based encoder. UNERT has
 demonstrated state-of-the-art performance in several medical image segmentation tasks.



Figure 2: The pipeline of the proposed approach for the semi-automatic ablation zone segmentation. The 3D coarse segmentation is predicted using nnU-Net, which is then locally corrected in each slice by the user using the interactive segmentation via the combination scheme. The probability map, the output of segmentation models, overlapped with the CT image and visualized by a color map. The color bar indicates the probability prediction value of the segmentation. The white circle marks the region of interest.

nn-UNet, developed by Isensee et al.(2021)¹⁹, focuses on optimizing and improving the performance of the U-Net architecture by introducing various enhancements. nn-UNet employs novel data augmentation techniques, training strategies, and model configurations to achieve better segmentation results.

CoTr, proposed by Xie et al. (2021)²³, also consists of an encoder-decoder structure like other segmentation networks. In the encoder part, CNNs and Transformers are used in the CoTr's architecture. The CNN's role is to extract feature representations from the input image. Then, the deformable Transformer (DeTrans) is used to model long-range dependencies within the extracted feature maps. Combining the strengths of CNNs and Transformers, CoTr addresses complex tasks requiring local and global context understanding in image analysis.

²³⁴ II.B. Interactive CNN-based segmentation



Figure 3: The architecture of RITM. User click points are encoded in binary disks. The positive and negative click points are shown in green and red in the *encoding_map*, respectively.

We aim to use slice-based segmentation for the correction as in clinical routine: the medical expert needs to check every single axial slice containing the ablation zone after the treatment¹².

As post-interventional CT images are characterized by inhomogeneous intensities and noise in the 237 ablation zone (see Figure 1), traditional methods may not perform well in such conditions. It has been 238 demonstrated that CNN-based segmentation methods are able to deal with inhomogeneous regions and 239 noise³⁹. Therefore, we use RITM³⁷, a 2D interactive CNN-based segmentation method, to revise the 240 prediction of the automatic segmentation and obtain the final ablation zone segmentation. The RITM 241 consists of two parts: the click encoding block and the backbone, as shown in Figure 3. The idea 242 of the interactive segmentation network is to encode the user clicks and feed them into the network's 243 backbone to generate a prediction. We encode the user click in a binary disk, which achieved effective 244 performance compared to other click encoding schemes⁴⁰. 245

As a segmentation network, RITM also contains a semantic segmentation backbone. In this study, 246 we choose High-Resolution Net combined with Object-Contextual Representations (HRNet+OCR) as 247 the backbone of the interactive segmentation method. The HRNet+OCR is a promising architecture 248 specifically designed for producing high-resolution outputs³⁴. The HRNet+OCR backbone model was 249 pre-trained using the ImageNet dataset, meaning the backbone's input is a three-channel image. How-250 ever, in the interactive segmentation, the input includes additional features such as a guided mask and 251 the click-encoding map. To adapt the pre-trained model, we employ a convolution block known as 252 Conv1S, introduced by Sofiiuket al. (2022)³⁷. The architecture of Conv1S is designed to ensure that 253 the output feature's channel matches the input of the backbone's first convolutional layer (64 channels). 254



Figure 4: Illustration of interactive segmentation using the click-based semi-automatic method w and w/o the combination scheme: the original image with ground truth segmentation (green contour) of an ablation zone (A), the segmentation (red contour) obtained from the automatic model (B), the segmentation (red contour) obtained from the original interactive model (C), and the segmentation (red contour) obtained using the proposed combination scheme (D). The green dot represents the user's click. The white circle is the ROI. The red arrows mark the mislabeled regions.

²⁵⁵ II.C. Combination scheme

Since the segmentation obtained from the automatic model might have mislabeled regions (see Figure 256 4.B), the interactive model is applied to revise the prediction of the automatic segmentation. Nev-257 ertheless, the segmentation obtained from the interactive model may also have mislabeled regions far 258 from the clicked points (see Figure 4.C). Consequently, we combine the two CNN-based segmentations 259 using their probability predictions with a spatial constraint from the clicked points. The main idea is 260 to construct a weighted voter between the two probability predictions within the regions of interest 261 (ROIs), assuming that the user clicks inside the incorrect segmentation regions. The pseudo-code of 262 the combination scheme is shown in Algorithm 1. 263

Firstly, the automatic segmentation network coarsely predicts the ablation zone from the CT slice $I \in \mathbb{R}^{512 \times 512}$ to obtain the probability prediction $P_{coarse} \in \Omega^{512 \times 512}, \Omega \triangleq [0, 1]$ which is then defined as the initial prediction P_{init} for the combination scheme. Next, the initial prediction is thresholded to obtain the final segmentation $S_{final} \in \Theta^{512 \times 512}, \Theta \triangleq \{0, 1\}$. If the segmentation is not satisfying, the

Algorithm 1 Combination scheme

- 1: Input: CT slice: I, Coarse prediction: P_{coarse} , Kernel size: K, Weighted value: λ , Threshold value: thrsh
- 2: **Output:** Final segmentation: S_{final}
- 3: $P_{init} \leftarrow P_{coarse}$
- 4: $S_{final} \leftarrow P_{init} > thrsh$
- 5: while S_{final} is unsatisfied do
 - /* User define a click in the mislabeled region */
- 6: $encoding_map, click_positon \leftarrow USER_CLICK()$
- 7: $P_{interact} \leftarrow \text{PREDICTOR}(I, P_{init}, encoding_map)$
- 8: $cirle_mask_1, cirle_mask_0 \leftarrow CRICLE(click_position, K)$ /* Update P_{init} */
- 9: $P_{combination} \leftarrow \lambda \times P_{interact} + (1-\lambda) \times P_{init}$
- 10: $updated_region \leftarrow P_{combination} \times cirle_mask_1$
- 11: $preserve_region \leftarrow P_{init} \times cirle_mask_0$
- 12: $P_{init} \leftarrow updated_region + preserve_region$ /* Thresholding to get S_{final} */
- 13: $S_{final} \leftarrow P_{init} > thrsh$

14: end while

user corrects the mis-segmented regions using a negative point click to correct a false positive region 268 or a positive point click to correct a false negative region. Based on the clicked points, the interactive 269 segmentation network generates a probability prediction (PREDICTOR), which refers to the interactive 270 prediction $P_{interact} \in \Omega^{512 \times 512}$. In addition, we define ROIs from the positions of user clicks by dilating 271 the clicking positions with a kernel size K (CIRCLE). The initial probability prediction is then updated 272 by combining the previous initial probability prediction P_{init} and the interactive probability prediction 273 $P_{interact}$ with a weighted parameter λ within the local correcting ROIs. Subsequently, the ablation zone 274 segmentation is corrected only in the ROIs. To this end, the process is repeated until the final ablation 275 zone segmentation S_{final} is satisfied. The effect of weighted parameter λ and kernel size K on the 276 segmentation accuracy will be assessed in Section III.D.2.. 277

²⁷⁸ III. Experiments and results

279 III.A. Datasets

²⁸⁰ III.A.1. The details of the datasets:

This study involved three datasets, comprising a total of 179 contrast-enhanced CT scans, from two medical centers.

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The first dataset, denoted as EMC_A dataset, includes CT scan images (arterial phase) from 22 patients who underwent ablation treatment of liver lesions. The CT scans were acquired at Erasmus MC using Siemens CT scanners and reconstructed with an axial matrix size of 512×512 , with a pixel spacing of 0.8 mm, a slice thickness of 3 mm, and the number of slices ranged from 40 to 70 slices.

The second dataset, referred to as EMC_B dataset, was reused from our prior study⁴¹ and consists of 145 multiphase CT scans from 40 patients who underwent ablation treatment of liver cancer at Erasmus MC. The CT scan was acquired while patients were in the intra-intervention room and patients went to the medical center for the follow-up procedure. The CT scans were reconstructed with an axial matrix size of 512×512 , with the slice thickness ranging from 0.4 to 5 mm, the pixel spacing ranging from 0.59 to 0.98 mm, and the number of slices ranging from 19 to 672 slices.

The third dataset, the Benchmark dataset, was obtained from the Medical University of Leipzig, Saxony, Germany and included 12 CT scans from 10 patients¹². The CT scans are acquired in the portal venous phase, reconstructed with an axial matrix size of 512×512 , pixel spacing ranging from 0.68 to 0.78 mm, slice thickness ranging from 1 to 2 mm, and the number of slices ranging from 52 to 232.

Each CT scan contains one to three ablation zones. The mean diameter of the ablation zones in the training set was 55 ± 18.6 mm. In 12 CT scans in the training set, the needle is visible. In the validation set, the mean diameter of the ablation zones is 54.6 ± 23.8 mm. Three CT scans in the validation set contain the needle. In the EMC testing set, the mean diameter of the ablation zones was 54.5 ± 18.4 mm. The needle was visible in seven CT scans in this set. For the Benchmark dataset, the mean diameter of the ablation zones was 62.2 ± 16.3 mm. The needle is visible in six CT scans in this dataset.

Table 1: Number of CT volumes and slices for training, validation, and testing used in this study. The numbers in parentheses are the number of 2D slices.

The specifics of data division can be found in Table 1.

Dat	aset	# Patients	Arterial	Portal venous	Total
Training	EMC_A EMC_B	18 29	$\frac{18}{36} (5638)$	35 (5379)	$ 18 \\ 71 (11017) $
Validation	EMC_A EMC_B	4 18	4 5 (862)	- 13 (1353)	$ \begin{array}{c} 4 \\ 18 (2215) \end{array} $
Testing	EMC_B Benchmark	11 10	31 (3006)	$\begin{array}{c} 25 \ (3141) \\ 12 \ (1525) \end{array}$	$56 (6147) \\ 12 (1525)$

³⁰⁶ III.A.2. Annotation and ground-truth

For the ablation zone of EMC_A and EMC_B datasets, a technician manually created segmentations using Mevislab version 3.4.3. Next, the segmentations were corrected/verified by a physician, serving as the ground truth. In the Benchmark dataset, the ground truth of the ablation zones was obtained from two medical experts who manually drew segmentations using Mevislab¹².

311 III.A.3. Preprocessing

In the preprocessing step of the CT scan, we limited the prediction range to the liver region. Firstly, liver segmentation is automatically acquired using a nnU-Net segmentation network, which has been trained on the LiTS dataset¹¹. Then, the liver segmentation is dilated with a kernel size of (30, 30, 1). The dilated liver segmentation is used as a mask for the ablation zone segmentation.

In the interactive segmentation, we truncated the CT image using a HU range, which is defined in Section III.D.2. for an optimal value. Then, we converted the clipped CT images into a three-channel format using the *OpenCV* library for input into the interactive segmentation model.

The preprocessing step of the automatic segmentation methods is performed using the default 319 settings described in the original articles. For nnU-Net, nnU-Net (fine-tuning), and CoTr, these models 320 are implemented within the nnU-Net framework. Consequently, they share similar preprocessing steps, 321 which are automatically determined based on the characteristics of the training dataset. Firstly, all data 322 is cropped to retain only the region containing nonzero values. Subsequently, the data is resampled 323 to the median voxel spacing of the entire training dataset, where third-order spline interpolation and 324 nearest interpolation are applied for the image data and segmentation label, respectively. Following 325 resampling, the data is normalized by clipping intensity values to the [0.5, 99.5] percentiles of the entire 326 training dataset's intensity range. This is based on z-score normalization, which is computed based on 327 the mean and standard deviation of all intensity values collected from the dataset.⁴². For 3D U-Net and 328 UNETR, the models are implemented using the MONAI library. The preprocessing steps include various 329 data transformations, which are resampled to a fixed spacing, clipped, and scaled the intensity into the 330 range of 0 to 1⁴³. Since the training samples are not extensive, data augmentation is also applied to 331 the data to prevent the overfitting problem, which are random crop, random rotate, random flip, and 332 random elastic deformations⁴². 333

³³⁴ III.B. Hardware and implementation in details

³³⁵ III.B.1. Implementation of the CNNs

This study is conducted using a Ubuntu 20.04 workstation, with an Intel® Core[™] i9-10900K CPU, 64GB RAM, RTX 8000 GPU. The source code is implemented in Python 3.6 with Pytorch 1.10 integrated with CUDA 11.3. The implementations of automatic segmentation models and RITM are based on their authors' GitHub repositories ^{a b c d}.

We implemented well-known CNN-based and Transformer-based networks, including 3D U-Net³⁸, 340 nnUNet¹⁹, UNETR²¹, and CoTr²³ for automatic segmentation performance comparison. We trained 341 CNN-based and Transformer-based models with the training EMC_B dataset mentioned in Section III.A.. 342 For nnU-Net and CoTr, two models were constructed using the same self-configuration framework for 343 training and testing. For 3D U-Net and UNETR, we trained the models using the tutorials from MONAI 344 with default parameters. To assess the performance of the automatic segmentation model when more 345 data is involved in the training model, we conducted an experiment with nnU-Net. We trained the nnU-346 Net model with the EMC_A dataset and then employed the fine-tuning technique to train the model with 347 the EMC_B dataset; we refer to this experiment as nnU-Net (fine-tuning). All automatic segmentation 348 models were trained for 1000 epochs, and the training time for nnU-Net and CoTr was approximately 349 30 hours, while the training time for U-Net and UNETR was about 25 hours. 350

To train the RITM model, an interactive sampling procedure is required. We reused the procedure 351 described in the original RITM paper, in which the sampled point is obtained by applying a morphological 352 erosion operation of the mislabeled region 37 . In addition, we used the *DiceCE* loss function, which was 353 used in the automatic segmentation network nnU-Net. We reuse the RITM model, which was trained on 354 the COCO+LVIS dataset^{44,45} as the pre-trained model for the ablation zone segmentation task. Note 355 that before the training stage, the backbone HRnet was already pre-trained with the ImageNet dataset. 356 We then employed the fine-tuning technique to train the RITM model with the EMC_B training dataset. 357 We trained RITM for 500 epochs with the default parameters from the original article. 358

³⁵⁹ III.B.2. Ablation zone segmentation demonstration tool

For an easy demonstration, we adopt the demonstration tool, which was created by Sofiiuk et al. (2022)³⁷, using the *Tkinter* library. Nevertheless, the original demonstration tool was initially designed for 2D images, which necessitates modifications for working with CT scans. The most significant modification involves embedding the proposed method, incorporating 3D CT scans and enabling users to adjust the display of slices in the 3D CT scan using the mouse. The demonstration tool and video are publicly available at Github ^e.

³⁶⁶ III.C. Experiments setup and results

³⁶⁷ III.D. Evaluation criteria

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In this study, we use the Dice Similarity Coefficient (DSC), Average Symmetric Surface Distance (ASSD), Hausdorff Distance (HD), and Volume difference (VD) as metrics for evaluation of the proposed methods.

• Dice similarity coefficient (DSC): Suppose A and B represent the ground truth and predicted segmentation of the ablation zone of a 3D CT image, respectively. The DSC measures how good the overlap between A and B is. The DSC value of 0 means no overlap, and 100 means perfect overlap. The more overlap between A and B, the closer the DSC score to 100%.

³⁷⁵ $DSC(A,B) = \frac{2|A \cap B|}{|A| + |B|} \times 100\%$ (1)

• Average symmetric surface distance (ASSD): Suppose S(A), S(B) represent all surface voxels on the ground truth (A) and the predicted ablation zone segmentation (B). Voxel v_A and v_B are arbitrary voxels belonging to A and B, respectively. We define the shortest path from v_A to S(B)or v_B to S(A) as follows:

$$d(v_{A'}, S(B)) = \min_{v_{BA} \in S(B)} ||v_A - v_{BA}|| \quad , \tag{2}$$

$$d(v_{B'}, S(A)) = \min_{v_{AB} \in S(A)} ||v_B - v_{AB}|| \quad ,$$

where v_{BA} means the point in S(B) that draws the shortest distance from point v_A and similar for v_{AB} . The ASSD metric measures the average gap between the boundary of A and B. The formula for ASSD is written as follows:

$$ASSD(A,B) = \frac{\sum_{v_A \in S(A)} d(v_{A'}, S(B)) + \sum_{v_B \in S(B)} d(v_{B'}, S(A))}{S(A) + S(B)} \quad .$$
(4)

• Hausdorff distance (HD): The HD shows the maximum distance between the boundary of A and B. The formula for HD is as follows:

$$HD(A,B) = \max\left(\max_{v_A \in S(A)} d\left(v_{A'}, S(B)\right), \max_{v_B \in S(B)} d\left(v_{B'}, S(A)\right)\right) \quad .$$
(5)

(3)

• Volume Difference (VD): Suppose V_A and V_B represent the volumes of the ground truth and the predicted segmentation of the ablation zone in a 3D CT image, respectively. The VD metric measures the volume difference between these two volumes without considering their overlap. A VD value close to 0 indicates that the size of the prediction closely matches the size of the ground truth. The formula for VD is as follows:

$$VD(A,B) = \frac{2(V_B - V_A)}{V_B + V_A}$$
 (6)

• **Precision**: The formula for Precision is written as:

$$Precision = \frac{TP}{TP + FP} \quad , \tag{7}$$

where *TP* is the number of correctly identified ablation voxels, and *FP* is the number of over segmentation voxels of the predicted ablation zone segmentation.

• **Recall**: The formula for Recall is written as:

$$Recall = \frac{TP}{TP + FN} \quad , \tag{8}$$

where *FN* is the number of incorrectly identified ablation voxels.

In addition, we also use the Area under a curve metric (AUC) to evaluate the performance of the segmentation methods.

⁴⁰⁵ III.D.1. Automatic ablation zone segmentation

In this experiment, we investigate the performance of four state-of-the-art automatic segmentation net-406 works on ablation zone segmentation: 3D U-Net³⁸, UNETR²¹, nnU-Net, nnU-Net (fine-tuning)¹⁹ and 407 CoTr²³. The experimental results regarding the comparison of the automatic ablation zone segmen-408 tation of four well-known methods are summarized in Table 2. The evaluation is based on the three 409 metrics: DSC, HD and ASSD, and on the two test sets from the EMC_B testing dataset, with the final 410 segmentation achieved using a threshold of 0.5. We also list the number of failed cases, when there is 411 no overlap between the ground truth and the predicted segmentation. The highest mean DSC values 412 are 81.2% and 88.4% for CT images acquired in the arterial and portal venous phases, respectively. 413

Regarding the processing time, UNETR has the lowest processing time (4-5 seconds for a CT volume on average), while nnU-Net (fine-tuning) requires a slightly longer processing time of approximately 7

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Dataset	Method	DSC	HD (mm)	ASSD (mm)	VD	# failure	Processing time (s)
	3D U-Net	61.7 ± 18.7	66.7 ± 78.1	8.2 ± 8.8	0.61 ± 0.39	13	4.4 ± 3
EMC	UNETR	48.8 ± 20.8	203.1 ± 64.8	16.7 ± 11.2	0.56 ± 0.46	9	3.8 ± 2
(Arterial)	nnU-Net	81.2 ± 12.8	34.1 ± 29.7	2.9 ± 4.5	0.26 ± 0.29	1	31.9 ± 11.7
n = 31	CoTr	76.6 ± 20.6	39.4 ± 29.7	3.6 ± 5.8	0.34 ± 0.39	1	25.3 ± 9.1
	nnU-Net (fine-tuning)	80 ± 15.6	29.9 ± 30.3	3.4 ± 5.4	0.3 ± 0.33	2	7.1 ± 3.5
	3D U-Net	58.4 ± 26.9	125.3 ± 94.9	12.5 ± 16.1	0.62 ± 0.54	1	5.3 ± 3.8
EMC	UNETR	52.9 ± 20.9	240 ± 84.2	23.4 ± 21.4	0.34 ± 0.58	0	4.9 ± 4
(Portal venous)	nnU-Net	86.1 ± 13.7	44.3 ± 37.3	2 ± 2.1	0.15 ± 0.3	0	33 ± 12.8
n = 25	CoTr	87 ± 14.2	42.4 ± 36.3	1.9 ± 2.3	0.16 ± 0.31	1	28.2 ± 9.7
	nnU-Net (fine-tuning)	88.4 ± 11.2	25.6 ± 20.2	1.4 ± 1.5	0.13 ± 0.26	0	6.6 ± 4.7

Table 2: Performance comparison of ablation zone segmentations among the well-known automatic segmentation methods. The bold numbers are the highest mean scores.



Figure 5: The histogram of voxel intensity in the CT scans in the EMC dataset (left) and the Benchmark dataset (right).

seconds for a CT volume, on average. Furthermore, nnU-Net trained by EMC dataset only has the 416 longest processing time- an average of approximately 30 seconds for a CT volume. The reason is that the 417 nnU-Net framework is designed for patch-based segmentation, which means that the framework needs 418 to define the patch size and the patch separation strategy based on the training dataset. The nnU-Net 419 (fine-tuning) performs a preprocessing stage based on the EMC_A dataset, therefore the preprocessing 420 strategy is different from that of nnU-Net and CoTr, resulting in a lower number of split patches. Thus, 421 the processing time of nnU-Net (fine-tuning) is significantly reduced compared to nnU-Net and CoTr. 422 As a result, we choose the nnU-Net (fine-tuning) model as the automatic method in the proposed 423 approach since it has shown high accuracy and sufficiently fast processing time. 424

⁴²⁵ III.D.2. Define optimal model for click-based interactive segmentation

426 a. HU truncation range assessment:

Model	HU truncation ranges	NoC@85	NoC@90
	-100 to 200	3.62	6.01
RITM + DiceCE	-160 to 240	3.64	6.24
(Baseline)	-100 to 400	3.82	6.53
```'	-1024 to $1024$	4.26	6.46

Table 3: Performance assessment of interactive segmentation model with several the HU truncation range on CT images. NoC@85% and NoC@90% are the average number of required clicks to achieve mean DSC scores of 85% and 90%, respectively.

In this experiment, we demonstrate the value of the HU truncation range on ablation zone seg-427 mentation. First, we plot the histogram of the voxel intensity of two datasets to show the distribution 428 of ablation zone intensity in the CT image in Figure 5. It can be seen that the range of -100 to 200 HU 429 contains the most ablation zone voxel intensity (larger than 99%). Furthermore, we evaluate the impact 430 of HU truncation on the performance of the interactive segmentation model. Four HU truncation ranges 431 are employed for this purpose. Firstly, the HU range of -100 to 200 is utilized by He et al. for automatic 432 ablation zone segmentation¹⁴. Secondly, the HU range of -160 to 240 is widely used in various methods 433 participating in the Liver Tumor Segmentation Benchmark (LiTS)¹¹. Thirdly, the HU range of -100 434 to 400 is often used for liver segmentation¹¹. Finally, the HU range of -1024 to 1024 represents the 435 entire HU range of a CT image. We use the RITM (baseline) model with DiceCE loss to perform this 436 experiment. The models are trained using truncated CT image datasets. We evaluate using 100 2D 437 images randomly selected from the validation set. Table 3 indicates that the range of -100 to 200 HU 438 achieved the minimum number of clicks required compared to other HU truncation ranges. Hence, we 439 used the HU range of -100 to 200 for truncating the CT image in the interactive segmentation model. 440

#### 441 b. Weight & kernel size optimization:

In this section, we examined the impact of weight  $\lambda$  and kernel size K on the mean number of clicks 442 required to achieve DSC scores of 85% and 90% (referred to as NoC@85% and NoC@90%). To achieve 443 this,  $\lambda$  is varied from 0.1 to 0.9 and K from 10 to 190 pixels, and the results were evaluated using 100 444 2D images randomly selected from the validation set. We experimented with the values of  $\lambda$  and K in 445 two strategies: keeping the value fixed and changing adaptively based on the number of clicks. In the 446 fixed strategy, the values of  $\lambda$  and K are fixed for the segmentation revising process. In the adaptive 447 strategy, each click point provided by the user increases the  $\lambda$  by 10% and decreases K by 10%. A 10% 448 change per click is substantial enough to alter the parameters meaningfully and avoid instability in the 449 segmentation refinement process. The findings in Figure 6 indicate that when  $\lambda$  is small (e.g., 0.1 and 450 0.3), the interactive network requires more clicks. When  $\lambda$  exceeds 0.5, there is no difference in the 451



Figure 6: The effect of the mean number of clicks to achieve DSC of 85% (left) and 90% (right) w.r.t the weighted value  $(\lambda)$  and kernel size (K) in fixed strategy (solid line) and adaptive (dash line) strategy.

results. The reason is that when  $\lambda$  is less than 0.5, the weight of interactive segmentation is smaller 452 than that of automatic segmentation. Thus, it requires more clicks in the ablation zone regions in which 453 the automatic model predicts with low confidence scores. Regarding the kernel size K, the fewest clicks 454 are required when K is 30 pixels. For K is less than 30, the ROI may not cover all the large mislabeled 455 regions (e.g., Figure 4.B). When K exceeds 30, it produces an ROI with a large coverage area; when 456 the interactive network has mislabeled regions, it subsequently affects the final segmentation. For the 457 strategies of value adjustment, we observed that there were no significant differences in the minimum 458 value of NoC@85% (with a difference of 0.13) and NoC@90% (with a difference of 0.08) between the 459 fixed and adaptive strategies. Furthermore, utilizing fixed values can offer considerable advantages in 460 simplicity, flexibility, and user proactivity during the process of revising segmentation. Based on these 461 considerations, we selected fixed values of  $\lambda = 0.5$  and K = 30 for the proposed method. 462

463 c. Interactive methods comparison:

This section presents the results of an experiment conducted on the EMC testing set to evaluate 464 the impact of the loss function and combination scheme on the mean number of clicks required to 465 achieve a mean DSC score of 85% and 90% (referred to as NoC@85% and NoC@90%). Based on the 466 study of Sofiiuk et al. (2022), the RITM architecture outperformed several interactive segmentation 467 methods³⁷. Therefore, we use the RITM network structure to perform this experiment. The experiment 468 involved three models: the baseline model, which is an interactive segmentation model without a guided 469 mask from automatic segmentation; the automatic initial model, which is an interactive segmentation 470 model with a guided mask from automatic segmentation; and the combination scheme model, which is 471

Ν	/Iodel	NoC@85%	NoC@90%	SPC (s)
Baseline	RITM + NFL RITM + DiceCE	5.13 $4.47$	8.03 7.23	$0.061 \\ 0.055$
Automatic Initial	RITM + NFL RITM + DiceCE	$\begin{array}{c} 4.9\\ 4.07\end{array}$	$7.86 \\ 6.85$	$0.054 \\ 0.051$
Combination scheme	RITM + NFL RITM + DiceCE (selected)	4.79 <b>3.74</b>	6.98 <b>6.22</b>	0.057 0.054

Table 4: Performance comparison of the loss functions and combination schemes on 2D CT images of the ablation zone. NoC@85% and NoC@90% are the average number of required clicks to achieve mean *DSC* scores of 85% and 90%, respectively. SPC is second per click.

an interactive segmentation model that utilizes the combination scheme described in section II.C.. To compare the loss function, we trained the RITM model using Normalized Focal Loss (*NFL*), which was used in the original work by Sofiiuk et al.  $(2022)^{37}$ , and using *DiceCE* loss, a loss function that has been used in various medical image segmentation studies. The results, as shown in Table 4, indicate that using *DiceCE* loss performs better than using the *NFL* loss in terms of the mean number of clicks required. Additionally, the selected combination scheme achieved the highest results. As a result, we selected the combination scheme model (RITM + DiceCE) for further evaluation.

In the next experiment, we compare the performance of the proposed method with the baseline 479 interactive segmentation method (RITM) and conventional approach (manual segmentation) on a pilot 480 dataset. The pilot dataset contains 10 CT volumes, which are randomly selected from the testing set, 481 and contains from one to three ablation zones per volume. Two medical image analysis technicians (3 482 years and 1 year of experience), referred to as User 1 and User 2, respectively, utilized the developed 483 tool with the two interactive segmentation methods to segment the ablation zone in the pilot dataset. 484 Additionally, two users manually annotated the ablation zone slice-by-slice using the Mevislab software. 485 Two users perform the ablation zone annotation until the satisfaction is met. The ablation zone appears 486 as a non-enhancing area of low attenuation in the CT image. The users segment the ablation zone slice-487 by-slice by delineating the attenuation as a typical procedure¹³. To assess the impact of the interaction 488 on the segmentation accuracy, the DSC score of the whole 3D CT volume is recalculated when a new 489 click is provided by a technician. We also plot the mean DSC score of manual segmentation from two 490 technicians. The results are shown in Figure 7 (left). It can be seen that, for both of the technicians, 491 using the baseline interactive method requires an average of more than 250 clicks to achieve a mean 492 DSC score of 88%. In contrast, for the proposed method, both of the technicians require averages of 53 493 and 94 clicks to achieve saturated mean DSC scores of approximately 91.1% and 92.4%, respectively, 494

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indicating that the proposed method outperformed RITM (baseline) in terms of DSC score with the 495 same amount of clicks. Furthermore, mean DSC scores of 92.4% and 90.9% are achieved by User 1 and 496 User 2 in manual segmentation, respectively. We also achieved the mean DSC of 92.4% between the 497 ablation zone manually annotated by the two technicians. The experiment demonstrated a high level of 498 inter-observation agreement between the manual segmentation annotations made by two technicians. 499 The annotation time for each case was recorded. The average annotation time is shown in Figure 7 500 (right). The results experimental show that using the proposed method, the average annotation time 501 is reduced by approximately 40% and 60% compared with the baseline interactive method and manual 502 segmentation, respectively. 503



Figure 7: Experiment on the pilot dataset. Mean *DSC* scores w.r.t the number of clicks by two users for segmenting the ablation zones in a CT volume (left). Average annotation time by two users in three manners: Manual, RITM baseline assistance, and proposed method assistance (right). RITM baseline is the interactive segmentation model trained using NFL loss.

#### ⁵⁰⁴ III.D.3. Semi-automatic segmentation performance

In this section, we investigate the performance of the proposed method on 3D CT images with human 505 interaction. A technician uses the interactive segmentation tool, which integrates the proposed method, 506 to correct the ablation zone region in the 3D CT images. The evaluation on the  $EMC_B$  dataset, arterial 507 phase CT subset shows that the proposed segmentation method obtained a mean DSC, HD, ASSD, 508 and VD of 92.3%, 6.5 mm, 0.5 mm, and 0.05, respectively. These metrics for the portal-venous subset 509 are 94%, 8.4 mm, 0.4 mm, and 0.02, respectively (see Table 5). The paired t-tests to those of the 510 nnU-Net (fine-tuning) obtained p- values which are less than 0.01, suggesting that the proposed method 511 statistically significantly improves the segmentation accuracy of the automatic method. In addition, the 512

Table	5:	Performance	comparison	of	ablation	zone	segmenta	tions	between	the
automa	atic/	'semi-automatic	segmentation	and	proposed	metho	ods on the	$\mathrm{EMC}_B$	dataset.	The
bold m	umb	ers are the highe	est mean valu	es.						

Dataset	Method	DSC	HD (mm)	ASSD (mm)	VD	# fails	Processing time (s)
	nnU-Net (fine-tuning)	$80\pm15.6$	$29.9\pm30.3$	$3.4 \pm 5.4$	$0.3\pm0.33$	2	$7.1\pm3.5$
$\mathbf{EMC}_B$	MONAI Label (Deepedit)	$50.4\pm23$	$99.9 \pm 82.6$	$16.6\pm20.1$	$0.64\pm0.41$	7	$2.1 \pm 1.1$
	Proposed	$92.3\pm3.6$	$6.5\pm3.2$	$0.5\pm0.3$	$0.05\pm0.13$	0	$121.5 \pm 103.1$
	nnU-Net (fine-tuning)	$88.4 \pm 11.2$	$25.6\pm20.2$	$1.4 \pm 1.5$	$0.13\pm0.26$	0	$6.6\pm4.7$
$EMC_B$ (Portal venous)	MONAI Label (Deepedit)	$60.3 \pm 18.2$	$159.7 \pm 137.2$	$35.1\pm55.1$	$0.14\pm0.42$	2	$2.4 \pm 1.2$
(I of the veneral)	Proposed	$94.0\pm2.2$	$8.4\pm5.9$	$0.4\pm0.2$	$0.02\pm0.06$	0	$126.7 \pm 105.8$



Figure 8: The Precision-Recall curve of ablation zone segmentation on the EMC dataset (left) and the Benchmark dataset (right).

proposed method successfully segmented all of the lesions in the EMC_B dataset while the nnU-Net 513 fine-tuning model failed 2 cases in the  $EMC_B$  arterial subset. Moreover, the Precision-Recall curve 514 of the proposed method shows highly precise ablation zone segmentation compared to the automatic 515 segmentation methods: CoTr, nnU-Net, nnU-Net fine-tuning (as depicted in Figure 8). Specifically, the 516 proposed method's AUC scores are 0.92 and 0.95 for the Benchmark dataset and the  $EMC_B$  dataset, 517 respectively, which are greater than those of the other automatic methods. Examples of ablation zone 518 segmentation by the proposed method and the other methods on EMC and Benchmark dataset are in 519 Figure 10. 520

To further assess the segmentation accuracy of the proposed method on the Benchmark dataset, we compared the proposed method with inter-observer manual segmentation and the other well-known segmentation methods, including CoTr, nnU-Net, nnU-Net (fine-tuning) and Graph-based contouring¹². Two experts labeled the Benchmark dataset. We use the labels created by the first expert as the

Method	DSC	HD (mm)	ASSD (mm)	VD	# failure	Processing time (s)
3D U-Net	$62.9 \pm 21.5$	$87.9\pm78.8$	$7.8\pm6.9$	$0.33\pm0.64$	1	$4.7 \pm 1.5$
UNETR	$60.8 \pm 13.8$	$260.3\pm75.3$	$25.2\pm7.5$	$-0.02 \pm 0.43$	2	$4.5 \pm 2.2$
nnU-Net	$76.1 \pm 18.4$	$40.5\pm41$	$6.4\pm8.8$	$-0.06 \pm 0.46$	0	$29.8\pm7.9$
CoTr	$78 \pm 17$	$47 \pm 45$	$7.3\pm9.5$	$-0.19 \pm 0.28$	3	$23.1\pm7.6$
nnU-Net (fine-tuning)	$77.2 \pm 16.6$	$33.9\pm40.3$	$5.8 \pm 8.2$	$-0.07 \pm 0.39$	0	$5.5 \pm 4.5$
MONAI Label (Deepedit)	$52.3\pm20.8$	$105.8\pm100$	$14.2 \pm 13.8$	$0.42\pm0.75$	2	-
Proposed	$87.8\pm 6.8$	$9.5~\pm~6.9$	$0.9~\pm~0.5$	$-0.03 \pm 0.07$	0	$134.3 \pm 82.8$
Manual (inter-observer)	$88.8\pm3.3$	$8.6\pm3.4$	$0.8\pm0.2$	$\textbf{-0.02}\pm0.07$	0	_

Table 6: Performance comparison of ablation zone segmentations on the Benchmark dataset. The bold numbers are the best scores. The statistics of Graph-based contouring method is listed from the original paper by Egger et al.  $(2015)^{12}$ .



Figure 9: The boxplot of DSC scores among the manual segmentation, the proposed method, the automatic methods, and the classical interactive method¹² for ablation zone segmentation on the Benchmark dataset.

ground truth, while the labels created by the second expert are used to represent the inter-observer manual segmentation. From Table 6, the mean *DSC*, *HD*, *ASSD*, and *VD* scores achieved by the proposed method were 87.8%, 9.5mm, 0.9 mm, and -0.03, respectively. The inter-observer manual segmentation achieved mean *DSC*, *HD*, *ASSD*, and *VD* scores of 88.8%, 8.64 mm, 0.8 mm, and -0.02, respectively. In addition, we applied a *t*-test on the *DSC* scores of the methods. As shown in Figure 9, there is no statistically significant difference between the proposed method and inter-observer manual



Figure 10: Examples of ablation zone segmentation on EMC and Benchmark dataset of the methods with the segmentation ground truths (B.1 and D.1). The original images (A.1 and C.1) are overlaid by the probability predictions (A.2-5; C2-5) and the thresholded segmentations of each corresponding method (B.2-5; D2-5).

segmentation (*p*-value = 0.55). While the performances of CoTr, nnU-Net, nnU-Net (fine-tuning), and Graph-based contouring are not statistically significantly different, the proposed method obtained a statistically significantly better performance compared to those of the methods (*p*-values  $\leq$  0.02). Furthermore, the mean processing time to correct the ablation zone is 134 seconds.

## ⁵³⁵ IV. Discussion

In this study, we have proposed and evaluated a semi-automatic method for accurate segmentation of the
 ablation zone in the post-interventional liver tumor ablation CT images. The ablation zone segmentation
 accuracy was compared to five state-of-the-art segmentation methods using both internal and publicly

⁵³⁹ available external datasets. Extensive experiments were carried out to assess the performance of the ⁵⁴⁰ proposed method. In addition, we also developed a tool for demonstrating the effectiveness of the ⁵⁴¹ method. The demonstration tool and the source code were made publicly available for research purposes.

Table 2 displays the ablation zone segmentation accuracy of four automatic segmentation meth-542 ods. The results indicate that nnU-Net performs better than the other baseline methods in automatic 543 segmentation, showing the effectiveness of the self-configuration framework for automatic ablation zone 544 segmentation. Furthermore, using the t-test, we found no statistically significant differences between 545 the results of nnU-Net and CoTr (with a p-value ranging from 0.2 to 0.5). The accuracy of both 546 methods is comparable to those of the state-of-the-art ablation zone segmentation method reported by 547 He et al.  $(2021)^{14}$  and Anderson et al.  $(2022)^{15}$ . We also found that the accuracy of the methods 548 is reduced when using arterial phase CT images compared to the portal venous phase, which is consis-549 tent with the study by He et al. (2021)¹⁴. However, the performance of the automatic segmentation 550 methods suggests that they are still unreliable and insufficient for clinical use. In addition. Table 6 551 shows that the accuracy of the method decreases when performed on the external dataset, indicating 552 that ablation zone segmentation is still a challenge for fully automatic methods. On the other hand, the 553 proposed semi-automatic segmentation method achieves state-of-the-art performance on ablation zone 554 segmentation and outperforms the other methods, yielding mean DSC scores of 92.3%, 94.0%, and 555 87.8% on the Arterial EMC_B, Portal venous EMC_B and Benchmark datasets, respectively (see Table 5, 556 6, and 8), which are remarkably better than the mean DSC scores reported by Anderson et al.  $(2022)^{15}$ 557 (79%). The means of ASSD cores of the proposed method on both the EMC dataset and Benchmark 558 dataset are less than 1 mm, which is smaller than the ideal ablation zone safety margin of 10 mm⁴⁶ 559 and equivalent to the median surface distance reported by Anderson et al.  $(2022)^{15}$  (0.76 mm). 560

From the experiment with MONAI Label, we see that MONAI Label, a state-of-the-art semi-561 automatic segmentation method for medical images⁴⁷, yielded low accuracy with the embedded click-562 based method. This is because the default preprocessing setting of the MONAI Label is investigated for 563 multiple organ segmentation (BTCV Challenge), which may not be optimal for a single class (ablation 564 zone segmentation only). In the resampling step, a fixed spacing is applied for the entire data, and a 565 large spacing (spacing of [1.5, 1.5]) for the axial plane resampling makes lost information. Additionally, 566 the patch-based segmentation with a small patch size (the size of [96, 96, 32]) results in a class 567 imbalance during the training phase. Since the ablation zone region is small compared to the whole 568 CT volume, the number of patches that contain the background only is larger than the number of 569 patches that contain the ablation zone. This is the evidence to explain the performance of models 570

⁵⁷¹ implemented using the MONAI library (3D U-Net, UNETR, and MONAI Label) achieved low accuracy. ⁵⁷² In contrast, the proposed method has the advantage of the self-configuration framework (nnU-Net), ⁵⁷³ which can automatically adapt the preprocessing step based on the training data. Furthermore, using ⁵⁷⁴ a 2D interactive segmentation model (RITM) for the refinement of the ablation zone in a slice-based ⁵⁷⁵ manner supports the technician in the refinement of the ablation zone as a typical procedure.

Figure 9 also indicates that the proposed method achieved the best performance compared to 576 other automatic methods. Our observation is that the  $\mathsf{EMC}_B$  dataset is less noisy than the Benmchark 577 dataset. Thus, the performance of the methods on the  $EMC_B$  dataset is higher than that on the 578 Benchmark dataset. In addition, the manual segmentations of the Benchmark dataset were created 579 by two experts. By quantitatively evaluating the inter-observer variability of manual segmentation, we 580 found that the proposed method achieved a segmentation accuracy comparable with the inter-observer 581 variation in terms of DSC. The p-value of 0.55 (t-test) suggests that there is no statistically significant 582 difference between the proposed method and the inter-observer manual technique (see Figure 9). 583

From Figure 7, we can see that the proposed method needs a lower number of required clicks 584 compared to the original RITM. This is because the proposed method takes advantage of the automatic 585 segmentation to reduce the workload for the user. This indicates that the performance of the automatic 586 method is also an essential factor in reducing the number of clicks. The larger the number of clicks is, 587 the more time and inconvenient it is for the operator to obtain a good segmentation. From Table 5 588 and 6, we can see that the average processing time of the proposed method for a volume is around 2 589 minutes, which is small compared to the average operation time of a MWA/RFA session of 112 -149 590 minutes⁴⁸. Moreover, it can be seen from Figure 7 that the mean saturated DSC scores of both users 591 are slightly different. Since the proposed method requires human interaction, we suppose the difference 592 is caused by inter-observer variation. 593

Our study has some limitations. Firstly, although we conducted the study with both internal and 594 external datasets, and achieved state-of-the-art performance, the number of CT images in the external 595 dataset is only 12 CT volumes, thus conclusions on generality should be drawn with care. By sharing 596 our source code and demonstration tool, we expect other researchers can easily reproduce our obtained 597 results and perform testing on larger external datasets. Secondly, the developed demonstration tool 598 was derived from the work by Sofiiuk et al.(2022)³⁷, which was not originally designed for medical 599 application purposes. As a result, the number of interactions might not be optimal yet. In this study, 600 we consider the tool for the demonstration purpose only. Further studies may require a better design for 601

the user interface and user experience. Another solution which could be considered is to integrate the 602 proposed method with existing medical image tools such as MONAI⁴³, ITK-SNAP⁴⁹ and 3D Slicer⁵⁰. 603 In addition, although the accuracy of the semi-automatic method is comparable with the accuracy of the 604 manual segmentation by experts, it still contains errors in the final segmentation with a mean HD score 605 of 9.5 mm (compared that of the 8.6 mm inter-observer score, p-value = 0.67). These errors seem to 606 be the limitations of human-level performance for annotation and evaluation on the Benchmark dataset. 607 We suggest that the interventionist may take the errors into account in assessing the ablation zone. We 608 acknowledge that the time cost of the proposed method, which is about 120 seconds, is higher than 609 automatic segmentation methods that can produce results in a matter of seconds. This difference in 610 time cost is indeed a consideration and can be seen as a limitation when comparing our method to fully 611 automated approaches. However, the proposed method allows for higher accuracy and customization, as 612 users can iteratively refine the segmentation. This is particularly advantageous in cases where automatic 613 methods might struggle with complex or ambiguous regions. Finally, in this study, we mainly focus on 614 developing methods for precise ablation zone segmentation without further investigating the effect of 615 the ablation zone segmentation on the clinical outcome. Nevertheless, Lin et al. (2023)⁴⁶ suggested in 616 their recent study that precise ablation zone segmentation has clinical benefits. 617

The use of deep learning for medical image analysis is massively expanding at present, especially 618 for image segmentation applications^{51,52}. A major drawback of deep learning is that it requires a 619 sufficiently large amount of data for effective training of the models. However, it is frequently difficult 620 to acquire a sufficient amount of medical images with labels that are appropriate for a specific application, 621 potentially resulting in sub-optimal performance. For fully automatic CNN-based segmentation methods, 622 the predicted segmentation may therefore contain segmentation errors. However, with simple interactive 623 corrections using the proposed semi-automatic CNN-based method, the accuracy of segmentation can 624 be improved significantly. Therefore, we expect that using the proposed approach, other segmentation 625 problems may be similarly addressed without requiring large amounts of training data. 626

## 627 V. Conclusions

This study has proposed a semi-automatic approach for ablation zone segmentation in thermal treatments of liver cancer. An accurate segmentation is obtained by combining automatic CNN-based segmentation and click-based CNN segmentation methods. Regarding segmentation accuracy, the proposed method is superior to the well-known CNNs in almost all metrics, achieving comparable performance to manual segmentation of human experts on a benchmark dataset, yielding a mean *DSC* score of 87.8% on average. The obtained segmentation accuracy scores of the proposed approach are also better than those of the other methods when applied to the internal dataset, achieving state-of-the-art performance in accuracy (*DSC* score of 94.0% on average), and the method is sufficiently fast for the use in clinical practice. In conclusion, this study has shown the potential of the semi-automatic approach in supporting the interventionist in assessing the treatment outcome of thermal ablation for liver cancer treatment.

## 638 Ethical statement

The local medical research ethics committee decided that the Medical Research Involving Human Subjects Act does not apply to this study. The Benchmark dataset is publicly available for research purpose.

## 641 Conflicts of interest

642 None.

## 643 Acknowledgments

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#### Notes 794

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- † Deceased 31 July 2023 796
- ^ahttps://github.com/MIC-DKFZ/nnUNet 797
- ^bhttps://github.com/Project-MONAI 798
- ^chttps://github.com/YtongXie/CoTr 799
- ^d https://github.com/SamsungLabs/ritm_interactive_segmentation 800
- ^ehttps://github.com/lqanh11/Interactive_AblationZone_Segmentation 801