

# **Towards Robust Visual-Inertial SLAM**

## **by Hongkyoon Byun**

Thesis submitted in fulfilment of the requirements for the degree of

## **Doctor of Philosophy**

under the supervision of Prof. Shoudong Huang and Dr. Liang Zhao

University of Technology Sydney Faculty of Engineering and Information Technology

January 2024

## <span id="page-2-0"></span>Certifcate of Original Authorship

I, HONGKYOON BYUN, declare that this thesis, is submitted in fulflment of the requirements for the award of the degree of Doctor of Philosophy, in the School of Mechanical and Mechatronics Engineering, Faculty of Engineering and Information Technology (FEIT) at the University of Technology Sydney.

This thesis is wholly my own work unless otherwise referenced or acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis. This document has not been submitted for qualifcations at any other academic institution. This research is supported by the Australian Government Research Training Program.

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Date: 24 January 2024

### <span id="page-4-0"></span>Towards Robust Visual-Inertial SLAM

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A thesis submitted in partial fulflment of the requirements for the degree of Doctor of Philosophy

# Abstract

In recent decades, the development of autonomous navigation systems for mobile robots has been a key area of research. Among the solutions gaining prominence, the Monocular Visual-Inertial Navigation System (VINS) stands out for its compact size, costefectiveness, and robustness, addressing challenges in this domain.

Achieving optimal performance within resource constraints requires a delicate balance between computational efficiency and estimation accuracy. Choosing a Visual-Inertial SLAM (VI-SLAM) approach for VINS holds substantial signifcance, encompassing two primary categories: fltering-based methods and optimization-based methods. These methods ofer versatile strategies tailored to specifc application needs and resource constraints.

In this thesis, Compressed-MSCKF (Comp-MSCKF) is introduced as a fltering-based approach. This method efectively incorporates loop closure constraints for long-term navigation based on MSCKF. It achieves this by partitioning the extensive map into local and global maps, ensuring that the global map is updated whenever the local boundary changes. This approach leads to updates limited to  $O(N_L^2)$ , where  $N_L$  represents the size of the local map—typically smaller than the total number of states  $N$ .

To further enhance system accuracy and robustness, a novel optimization-based method called Parallax Visual-Inertial SLAM (PVI-SLAM) is then proposed. This approach leverages the parallax angle for feature parametrization, combining feature observations and preintegrated inertial measurement unit (IMU) data to formulate a nonlinear least squares problem. By doing so, it adeptly avoids singularity issues linked to problematic features, enabling PVI-SLAM to outperform VI-SLAM methods using XYZ parametrization. Incorporating Gaussian Process (GP)-based preintegration and using the observation ray as an objective function contribute to additional performance improvements. These enhancements not only address challenges posed by traditional methods but also elevate PVI-SLAM, bestowing it with superior robustness and accuracy.

However, the high-dimensional nonlinear optimization problem does not always ensure convergence, and even when it does, reaching the global minimum is not guaranteed. Additionally, it poses a signifcant computational burden, especially in large-scale scenarios with a very large number of poses. To tackle these challenges, a linear submap joining method using the Linear SLAM framework is proposed. In this approach, local submaps are constructed using the PVI-SLAM method, seamlessly joined through a combination of linear least squares and nonlinear coordinate transformations. This technique aims to enhance computational efficiency and overall system robustness, making it well-suited for challenging and resource-intensive scenarios.

A comprehensive series of quantitative analyses was conducted on a range of challenging datasets, validating the efectiveness of the proposed VI-SLAM algorithms.

# Acknowledgements

<span id="page-6-0"></span>I want to express my gratitude to Professor Shoudong Huang, my supervisor, for all the unwavering support and guidance provided throughout my journey. The opportunities you ofered have not only contributed to my growth as a researcher but also as a better person. I truly wouldn't be where I am today without your encouraging mentorship.

I also want to extend my thanks to Dr. Liang Zhao, my co-supervisor, for your immense support, valuable advice, and genuine kindness. Whenever challenges arose, you devoted yourself to assisting and guiding me in the right direction. Your motivation played a pivotal role in sustaining my momentum in the research process.

Refecting on my 3.5-year research journey, I appreciate the support from many individuals. Firstly, I wish to express my gratitude to Professor Jonghyuk Kim for granting me the opportunity to embark on this academic journey. I acknowledge the support provided by the Australian Research Council Discovery Project DP200101640.

Brenton Leighton, your friendship and guidance during the pandemic were invaluable. I vividly recall the day when Dr. Maleen Jayasuriya helped me set up the VINS-fusion and introduced me to everyone at our institute, the Robotic Institute; it was genuinely appreciated. To Sheila Sujipto, Dr. Yujun Lai, and Dr. Julian Collart, your support made my adaptation as a PhD student much smoother, and I am grateful for your friendship. A special thanks to Dr. Richardo Khonasty for standing by me during challenging times. A special thanks to everyone in our SLAM group who inspired and motivated me. The Brisbane conference trip with Tiancheng Li, Kai Pan, Yang Song, and Yang Xu was an enriching experience. To Dr. Raphael Falque, I regret not getting to know you sooner, but thank you for the invaluable advice, especially on our journey back home. Benny Dai, Rohan Patel, Dac Dang Khoa Nguyen, Dominik Slomma, and Dr. Cedric Le Gentil, your help and support meant a lot and brought me to this point.

I also want to express my gratitude to my family for their endless support. Thanks always to Soo Chung Han and Soo Young Han. Especially, Inha Yoon, your consistent empowerment in everything I do, right by my side, has been invaluable. Lastly, a big thank you to my parents, who have always believed in me.

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# Acronyms & Abbreviations

- [1D](#page-41-1) [One-Dimensional](#page-41-1)
- <span id="page-18-6"></span>[2D](#page-26-1) [Two-Dimensional](#page-26-1)
- <span id="page-18-3"></span>[3D](#page-26-2) [Three-Dimensional](#page-26-2)
- <span id="page-18-9"></span>[AUVs](#page-30-1) [Autonomous Underwater Vehicles](#page-30-1)
- <span id="page-18-7"></span>[BA](#page-29-0) [Bundle Adjustment](#page-29-0)
- <span id="page-18-5"></span>[BRIEF](#page-26-3) [Binary Robust Independent Elementary Features](#page-26-3)
- [CP-SLAM](#page-50-0) [Compressed Pseudo-SLAM](#page-50-0)

#### <span id="page-18-8"></span>[Comp-MSCKF](#page-29-1) [Compressed-MSCKF](#page-29-1)

- <span id="page-18-4"></span>[DBoW](#page-26-4) [Distributed Bag-of-Words](#page-26-4)
- <span id="page-18-2"></span>[DoF](#page-24-1) [Degree of Freedom](#page-24-1)
- <span id="page-18-0"></span>[DTAM](#page-23-2) [Dense Tracking and Mapping](#page-23-2)
- [DVP](#page-120-1) [Da Vinci Precinct](#page-120-1)
- <span id="page-18-10"></span>[EKF](#page-34-1) [Extended Kalman Filter](#page-34-1)
- <span id="page-18-1"></span>[FAST](#page-24-2) [Features from Accelerated Segment Test](#page-24-2)
- [FEJ-EKF](#page-38-0) [First Estimates Jacobian EKF](#page-38-0)
- [GCKF](#page-50-1) [Generalized Compressed Kalman Filter](#page-50-1)
- [GN](#page-41-2) [Gauss-Newton](#page-41-2)

<span id="page-19-10"></span><span id="page-19-9"></span><span id="page-19-8"></span><span id="page-19-7"></span><span id="page-19-6"></span><span id="page-19-5"></span><span id="page-19-4"></span><span id="page-19-3"></span><span id="page-19-2"></span><span id="page-19-1"></span><span id="page-19-0"></span>

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## <span id="page-22-0"></span>Chapter 1

# Introduction

## <span id="page-22-1"></span>1.1 Background

In the contemporary landscape, the signifcance of robotics has become increasingly undeniable, assuming a pivotal role in our daily lives. Notably, mobile robots have emerged as a burgeoning and versatile feld of research, holding immense promise for advancing our society, both socially and economically. These robots, encompassing aerial, terrestrial, and underwater varieties, are being harnessed to replace humans across a spectrum of applications, including but not limited to service, surveillance, planetary exploration, patrolling, emergency rescue, and reconnaissance.

<span id="page-22-2"></span>Mobile robots have gained widespread recognition due to their practicality, maneuverability, and agility, making them essential in both military and civilian operations for carrying out a wide variety of tasks. These robots typically receive predefned mission plans from ground control stations, relying on Global Positioning System [\(GPS\)](#page-19-0) for accurate localization. However, challenges arise in environments with high levels of interference, potentially compromising the reliability of [GPS,](#page-19-0) leading to localization inaccuracies. Such inaccuracies pose safety concerns during the execution of critical missions, highlighting the need for robust solutions in navigating complex and cluttered environments.

<span id="page-22-3"></span>To overcome this challenge, there has been an increased research focus on the development of autonomous navigation systems over the past few decades. The primary objective of an autonomous navigation system is to enable robots to navigate independently in environments where they lack prior knowledge, determining the optimal path. To achieve this, the Simultaneous Localization And Mapping [\(SLAM\)](#page-20-0) was introduced, allowing mobile robots to perceive unknown environments in real-time, construct maps, and simultaneously estimate their own positions based on sensor data.

<span id="page-23-5"></span><span id="page-23-4"></span><span id="page-23-3"></span>Numerous [SLAM](#page-20-0) systems have been developed, employing a variety of sensors, including cameras, Inertial Measurement Units [\(IMU\)](#page-19-1), Light Detection And Ranging [\(LIDAR\)](#page-19-2), Sound Navigation And Ranging [\(SONAR\)](#page-20-1), and more. Recognizing the inherent limitations of individual sensors, such as the level of uncertainty in the observations, the feld has embraced the adoption of multi-sensor fusion algorithms to enhance the overall accuracy and reliability of these systems.

<span id="page-23-6"></span>In the context of addressing the challenges faced by mobile robots, the Visual-Inertial Navigation System [\(VINS\)](#page-20-2) has emerged as a fundamental solution, capitalizing on the complementary nature of its components. The ability of the visual sensor to detect and track numerous features enriches the data with image-based information, which can then be used to improve the state estimation accuracy signifcantly. Simultaneously, the [IMU](#page-19-1) plays a crucial role in bridging gaps and compensating for errors, particularly during instances where visual tracking encounters difficulties.

## <span id="page-23-0"></span>1.2 Visual-Inertial Navigation System

The central concept of [VINS](#page-20-2) is sensor fusion, where data from both visual and inertial sensors are combined to provide accurate estimations of the device's motion and pose. The complementary nature of these two sensor types enhances the accuracy and robustness of the system.

#### <span id="page-23-1"></span>1.2.1 Visual Sensor

Referring to the visual sensor, the [VINS](#page-20-2) utilizes a camera for capturing image frames. In the process of estimating the motion or structure of the scene within [VINS,](#page-20-2) information from these captured image frames can be extracted using two primary methods.

<span id="page-23-2"></span>The frst method is the direct approach, which bypasses the extraction of distinct features and instead directly utilizes entire pixel-intensity information from images. This approach, exemplifed by methods like Dense Tracking and Mapping [\(DTAM\)](#page-18-0) [\[15\]](#page-145-8), leverages all available pixel data in each frame, thereby enhancing accuracy and robustness, particularly in featureless environments. However, processing all pixel intensities in each frame can be computationally expensive. To address this computational challenge, sparse mapping techniques have been developed. These techniques focus on selected sparse sets of pixels within the image frame, particularly those associated with high-gradient regions of the scene [\[16\]](#page-145-9). Additionally, the selection of specifc frames within these high-gradient regions is implemented to further enhance computational efficiency  $[17]$ .

<span id="page-24-5"></span><span id="page-24-4"></span><span id="page-24-3"></span><span id="page-24-2"></span>The second method is the indirect (feature-based) approach, which initially extracts a set of feature observations from the image. Subsequently, it calculates the camera's position and scene geometry based solely on these extracted observations. These observations are typically derived from points that are readily recognizable or specifc line and curve segments. This method utilizes well-known feature descriptors such as Harris [\[18\]](#page-146-1), Speeded-Up Robust Features [\(SURF\)](#page-20-3) [\[19\]](#page-146-2), Scale-Invariant Feature Transform [\(SIFT\)](#page-20-4) [\[20\]](#page-146-3), Features from Accelerated Segment Test [\(FAST\)](#page-18-1) [\[21\]](#page-146-4), and Oriented FAST and Rotated BRIEF [\(ORB\)](#page-19-3) [\[22\]](#page-146-5). The extracted features can be tracked through various techniques, including descriptor matching, flter-based tracking, optical fow tracking, and direct pixel processing [\[4,](#page-144-4) [23,](#page-146-6) [24\]](#page-146-7).

#### <span id="page-24-0"></span>1.2.2 Inertial Measurement Unit

The [IMU,](#page-19-1) an integral component equipped with accelerometers and gyroscopes, serves as a valuable source of information, delivering crucial data pertaining to both angular rate and acceleration. Within this sensory system, gyroscopes play a pivotal role in precisely calculating the platform's attitude, providing insights into its orientation with respect to a given reference frame. Simultaneously, accelerometers contribute signifcantly to the estimation of the platform's position and velocity. Their function involves incorporating specifc forces into their calculations, thereby ofering a comprehensive understanding of the platform's dynamic state. Synthesizing the information from both accelerometers and gyroscopes yields a detailed and accurate 6 Degree of Freedom [\(DoF\)](#page-18-2) description, encapsulating the platform's orientation and motion concerning the desired reference frame.

<span id="page-24-1"></span>Nevertheless, in [VINS,](#page-20-2) utilizing raw [IMU](#page-19-1) measurements at high frequencies for each time step can impose a signifcant computational burden. Additionally, challenges arise due to the noise in sensor readings, which can adversely afect position and velocity estimate accuracy. To address this inherent issue, sophisticated integration techniques are employed. These integration methods [\[1,](#page-144-1) [8,](#page-145-1) [25\]](#page-147-0) play a critical role in managing the growth of estimate errors. This helps in maintaining a reasonably high level of precision and reliability in the resulting orientation and motion estimations, all while minimizing computational complexity.

#### <span id="page-25-2"></span><span id="page-25-0"></span>1.2.3 Visual-Inertial SLAM

Visual-Inertial SLAM [\(VI-SLAM\)](#page-20-5) stands as a major advancement in navigation systems, marking a decisive step toward achieving better precision in [VINS.](#page-20-2) The fundamental objective of this technology is to surmount the inherent challenges of [SLAM](#page-20-0) by seamlessly integrating data from visual and inertial sensors.

Earlier methods within [VI-SLAM](#page-20-5) focus on fltering-based approaches. These methods involve a continuous update of the system's location through the assimilation of incoming sensor data. Renowned for their real-time performance and efficiency, these filtering-based methods are particularly adept in scenarios demanding prompt updates. This attribute renders them highly suitable for applications characterized by dynamic environmental conditions or those requiring rapid adjustments to the navigation state [\[26\]](#page-147-1).

On the other hand, the alternative approach within [VI-SLAM](#page-20-5) leverages optimization-based methods. This approach embraces nonlinear optimization techniques to refne the estimation of the system's pose and map. Despite their computational demands, optimizationbased methods stand out for achieving robust accuracy. Moreover, they ofer the notable advantage of lower memory utilization, proving benefcial for applications requiring extended operational periods [\[26\]](#page-147-1). This careful trade-of between computational demands and enhanced accuracy positions optimization-based methods as valuable assets in scenarios where prolonged and reliable navigation is of paramount importance.

#### <span id="page-25-1"></span>1.2.4 Initialization

Initialization in [VI-SLAM](#page-20-5) is a pivotal phase in setting up the system and its sensors to provide an accurate starting point for the estimation of the camera or robot's initial pose and the initial map of the environment. Proper initialization is of paramount importance as it signifcantly infuences the robustness and precision of the ensuing [VI-SLAM](#page-20-5) operation.

The initialization process typically commences with the meticulous calibration of the system's sensors, with special emphasis on the cameras and the [IMU.](#page-19-1) This calibration involves determining the exact relative positions and orientations of the sensors concerning one another, ensuring the precise fusion of data from both sensor types.

Subsequently, the system needs to estimate the initial pose of the camera or robot within the environment. This estimate is critical for providing a starting point for the [SLAM](#page-20-0) system. Often, this involves employing techniques such as visual odometry or [IMU](#page-19-1) integration. Visual odometry tracks visual features in the camera images over time, while [IMU](#page-19-1) integration utilizes data from the [IMU](#page-19-1) to estimate motion. A combination of both methods can yield a more accurate initial pose estimate.

<span id="page-26-2"></span>The initialization process also entails selecting and tracking visual features in the camera images during the initial frames. These features are then used to initialize their Three-Dimensional [\(3D\)](#page-18-3) positions in the map.

Scale estimation is another essential element of initialization. Since monocular cameras cannot directly estimate scale, the inclusion of [IMU](#page-19-1) data is critical to resolve the scale ambiguity, ensuring that distances in the map are accurately represented.

The robustness of the initialization process is vital, and it should be capable of handling various conditions, including changes in lighting, dynamic scenes, and sensor noise. This robustness ensures that the system can efectively deal with challenging situations right from the outset.

#### <span id="page-26-0"></span>1.2.5 Long-term Navigation

Loop-closure is another essential process in [VI-SLAM,](#page-20-5) triggered when the system detects that the platform has returned to a previously visited location. This action is vital for enhancing overall map accuracy through a global optimization process. Loop-closure detection can be achieved through either odometry-based geometric relationships or appearancebased approaches. However, appearance-based methods, which assess the similarity between two diferent images, are often preferred over odometry-based techniques due to concerns about cumulative errors that can accumulate throughout the trajectory [\[27\]](#page-147-2).

<span id="page-26-4"></span><span id="page-26-3"></span><span id="page-26-1"></span>The loop-closure recognition process is essential, which can be done utilizing Distributed Bag-of-Words [\(DBoW\)](#page-18-4) proposed by [\[28\]](#page-147-3) to achieve a binary bag of words with Binary Robust Independent Elementary Features [\(BRIEF\)](#page-18-5) and [FAST](#page-18-1) features. To address the limitations of the [BRIEF](#page-18-5) descriptor, which lacks rotation and scale invariance and is primarily suited for Two-Dimensional [\(2D\)](#page-18-6) environments, [\[12\]](#page-145-5) proposed a method based on [DBoW](#page-18-4) and [ORB](#page-19-3) that incorporates covisibility information. This innovation signifcantly enhances the system's ability to detect loop-closures in challenging environments.

## <span id="page-27-0"></span>1.3 Motivation

The deployment of small-scale systems for mobile robots, even in [GPS-](#page-19-0)denied environments, has been made possible by the advantages ofered by [VINS.](#page-20-2) This capability has proven highly efective in addressing the unique challenges faced by these robots.

However, it is essential to acknowledge the signifcant computational complexity introduced by the substantial volume of data generated by the visual-inertial sensors. Achieving a delicate balance between computational complexity and estimation accuracy is crucial, especially in resource-constrained systems. This equilibrium is vital for ensuring the robustness of the system, particularly in scenarios demanding real-time performance. The development of reliable algorithms within the [VI-SLAM](#page-20-5) system becomes imperative to efectively leverage onboard sensors for safe environment mapping and accurate pose estimation.

In the field of [VI-SLAM,](#page-20-5) while highly efficient, conventional filtering-based methods can pose signifcant processing challenges in systems characterized by high dimensionality and high-frequency processing requirements. To overcome this, practical heuristic methods, such as sliding windows that marginalize past information, are commonly utilized. However, these methods introduce a trade-of, as they may lead to considerable information loss, resulting in substantial drift accumulation. The incorporation of keyframes is a strategy to address certain challenges. However, treating them as static variables, even with the continuous updating of correlation covariance, has the potential to introduce a compromise in accuracy.

In the optimization-based method, especially within the context of this thesis, problematic features like collinear features can lead to system divergence. In practice, heuristic methods are often employed by discarding these features and treating them as outliers through fltering. However, this approach can result in considerable information loss, afecting the accuracy of the system. Additionally, directly addressing the high-dimensional nonlinear optimization problem may lead to getting stuck in local minima.

Understanding the challenges inherent in both approaches within [VI-SLAM](#page-20-5) unveils a complex landscape of possibilities and trade-ofs. Ongoing improvements in these methodologies are poised to transform navigation systems, aiming for a balance between computational complexity and accuracy. Mitigating these challenges holds the potential to elevate the robustness and performance of navigation systems, particularly in the realm of mobile robot deployments.

### <span id="page-28-0"></span>1.4 Contributions

The main contributions of this thesis are:

- <span id="page-28-4"></span>• Utilizing the Compressed Filtering Framework to Reduce Computational Complexity: The thesis introduces the application of the compressed fltering framework to Multi-State Constraint Kalman Filter [\(MSCKF\)](#page-19-4) including loop-closure. This approach preserves key-frame poses within the state vector while efectively managing computational complexity, achieving a complexity of  $\mathcal{O}(N_L^2)$ , where  $N_L$ denotes the number of local key-frames.
- <span id="page-28-7"></span><span id="page-28-6"></span>• Enhanced Visual-Inertial SLAM with Parallax Bundle Adjustment: The thesis evaluates the efficacy of parallax parametrization in [VI-SLAM](#page-20-5) to address singularity issues common in [VI-SLAM](#page-20-5) with Standard Bundle Adjustment [\(SBA\)](#page-20-6). It highlights favourable attributes such as convergence, robustness, and high accuracy. The robustness of the Parallax Visual-Inertial SLAM [\(PVI-SLAM\)](#page-19-5) system is significantly strengthened by leveraging the pre-integrated [IMU](#page-19-1) method with Gaussian Process [\(GP\)](#page-19-6) and incorporating the observation ray as an objective function.
- <span id="page-28-3"></span>• Efcient Optimization through Linear Submap Joining utilizing PVI-SLAM: The thesis presents Linear Submap Joining algorithms designed to tackle high-dimensional optimization challenges in [PVI-SLAM.](#page-19-5) These algorithms significantly contribute to improving computational efficiency and reinforcing the overall robustness of the system. Rigorous evaluations on multiple datasets underscore their efectiveness, even in instances of suboptimal initialization.

### <span id="page-28-1"></span>1.5 Publications

#### <span id="page-28-2"></span>1.5.1 Directly Related Publications

Parallax Visual-Inertial SLAM: Parallax Bundle Adjustment with IMU and Linear Submap Joining (Byun H, Zhao L, Kim J, Huang S, The 41st IEEE Conference on Robotics and Automation 2024, ICRA) (Under review)

<span id="page-28-5"></span>• This paper frst proposes a new method for [VI-SLAM.](#page-20-5) It uses a parallax angle for feature parametrization. The feature observation and the pre-integrated [IMU](#page-19-1) information are used together to formulate a Nonlinear Least Squares [\(NLLS\)](#page-19-7) problem.

To improve computational efficiency for large-scale problems involving a large number of poses, a linear submap joining method is proposed using the Linear [SLAM](#page-20-0) framework. Local submaps are built using [PVI-SLAM,](#page-19-5) and these submaps are then joined together through linear least squares and nonlinear coordinate transformations.

## Comparison Between MATLAB Bundle Adjustment Function and Parallax Bundle Adjustment (Byun H, Kim J, Zhao L, Huang S, The 17th International Conference on Control, Automation, Robotics and Vision 2022, ICARCV)

<span id="page-29-3"></span><span id="page-29-0"></span>• This paper evaluates two bundle adjustment techniques using [SBA](#page-20-6) functions from MATLAB and Parallax Bundle Adjustment [\(PBA\)](#page-19-8). The two Bundle Adjustment [\(BA\)](#page-18-7) techniques are compared using data from the "Starry Night" and "MALAGA Parking-6L" with diferent initial inputs. In most cases, the results of [PBA](#page-19-8) show better accuracy with lower fnal reprojection error and are less sensitive to the initialization values. Furthermore, [VI-SLAM,](#page-20-5) based on [PBA,](#page-19-8) has been presented.

## Schmidt or Compressed fltering for Visual-Inertial SLAM? (Byun H, Kim J, Vanegas F, Gonzalez F, Australasian Conference on Robotics and Automation, Australasian Conference on Robotics and Automation 2021, ARAA)

<span id="page-29-1"></span>• Focusing on [VI-SLAM,](#page-20-5) computational complexity is a significant factor that needs to be considered, especially with small-scale applications. However, the accuracy of the system still needs to be ensured. Therefore, Compressed-MSCKF [\(Comp-MSCKF\)](#page-18-8) has been proposed to ensure both the computational cost and accuracy of the system while Schmidt[-MSCKF](#page-19-4) can yield sub-optimal performance.

Compressed Pseudo-SLAM: Pseudorange Integrated Generalised Compressed SLAM (Kim J, Byun H, Guivant J, Johansen T, 10 Dec 2020, Australasian Conference on Robotics and Automation, Australasian Conference on Robotics and Automation 2020, ARAA)

<span id="page-29-4"></span><span id="page-29-2"></span>• The compressed [SLAM](#page-20-0) has been proposed to acquire stable computational complex and accurate estimation by dividing the state vector into local and global to accumulate the information gained from the local part and update the global part much lower rate. It has been evaluated using the fight dataset from Unmanned Aerial Vehicle [\(UAV\)](#page-20-7) with Global Navigation Satellite System [\(GNSS\)](#page-19-9) and the visual-inertial sensor.

Cascaded Nonlinear Attitude Observer and Simultaneous Localisation and Mapping (Kim J, Bhambhani Y, Byun H, Johansen T, Australasian Conference on Robotics and Automation, Australasian Conference on Robotics and Automation 2020, ARAA)

• This paper presented a system that integrates the nonlinear observer theory and [SLAM](#page-20-0) for aerial navigation. Using a nonlinear observer, the attitude of the platform can be estimated and the feedback term from utilizing the pseudo-inverse of a skewsymmetric matrix from the linear [SLAM](#page-20-0) estimator increased the accuracy of the system. A simplifed Lyapunov-based stability was also implemented.

#### <span id="page-30-0"></span>1.5.2 Partially Related Publications

Towards a Pantograph-based Interventional AUV for Under-ice Measurement (Byun H, Kim J, Liu D, Woolfrey J, Australasian Conference on Robotics and Automation, Australasian Conference on Robotics and Automation 2021, ARAA)

<span id="page-30-1"></span>• In this paper, the pantograph mechanism is presented with the concept design working with Autonomous Underwater Vehicles [\(AUVs\)](#page-18-9). With the ability of the pantograph, it can efectively generate a constant interaction force to the surface during the contact, which aims to perform an autonomous sampling and measurement under the thin ice in the Antarctic environment.

## Iterative Smoothing and Outlier Detection for Underwater Navigation (Hassan S, Byun H, Kim J, Australasian Conference on Robotics and Automation, Australasian Conference on Robotics and Automation 2021, ARAA)

• Due to the poor visibility causing signifcant outliers in underwater visual-inertial navigation, outlier detection and elimination became an essential part of the system. Existing methods show accurate outlier detection, yet, it is not valid for low-cost applications. Therefore, iterative smoothing and outlier detection utilizing Biswas-Mahalanabis Fixed-lag Smoother is proposed and demonstrated with the dataset collected from the underwater robots and fducial makers.

## <span id="page-31-0"></span>1.6 Thesis Outline

This thesis is organized into six chapters, primarily focusing on presenting the technical contributions of the research in three of these chapters. Additionally, the appendices contain supplementary derivations and algorithms that complement and support the content presented in the technical sections.

Chapter [2](#page-34-0) is dedicated to the literature review, exploring existing research in the feld of [VI-SLAM.](#page-20-5) The existing work is explored, particularly delving into two distinct classes of methods: fltering-based and optimization-based approaches. The chapter provides detailed insights into fundamental methodologies within each approach and also highlights benchmark studies conducted in the domain.

Chapter [3](#page-48-0) delves into the frst contribution, [Comp-MSCKF,](#page-18-8) designed to enhance accuracy while maintaining moderate computational costs. The chapter elucidates the foundational methodology behind [Comp-MSCKF](#page-18-8) and underscores the conceptual benefts demonstrated through the work on Compressed-Pseudo[-SLAM.](#page-20-0) A detailed examination of [Comp-MSCKF](#page-18-8) is provided, concluding with a comprehensive set of experiments conducted in simulated and real-world environments to showcase the efectiveness of the proposed algorithmic framework.

Moving on to Chapter [4](#page-70-0), a novel method for [VI-SLAM](#page-20-5) is proposed. This method utilizes the parallax angle for feature parametrization, combining feature observations and preintegrated [IMU](#page-19-1) information to formulate a nonlinear least squares problem. [PVI-SLAM](#page-19-5) exhibits improved convergence properties compared to traditional methods using Euclidean XYZ as feature parametrization. To enhance system robustness in dynamic scenarios or with challenging initial values, alternative methods in objective functions and [IMU](#page-19-1) preintegration are integrated into the system.

Chapter [5](#page-102-0) tackles the challenges posed by high-dimensional nonlinear optimization problems, which often lack guaranteed convergence and computational efficiency, especially in large-scale scenarios with numerous poses. A Linear Submap Joining method leveraging the Linear [SLAM](#page-20-0) framework is proposed. The construction of local submaps is facilitated using the [PVI-SLAM](#page-19-5) approach, and these submaps are smoothly joined through a fusion of linear least squares and nonlinear coordinate transformations. Importantly, this submap joining algorithm eliminates the necessity for initial guesses or iterative processes, as linear least squares problems ofer closed-form solutions. Consequently, it provides results that closely approximate full nonlinear optimization.

Finally, in Chapter [6](#page-116-0), a thorough summary of the contributions is provided, accompanied by a discussion of potential future work.

## <span id="page-34-0"></span>Chapter 2

# Review of Related Work

<span id="page-34-4"></span><span id="page-34-2"></span><span id="page-34-1"></span>Over the years, Visual SLAM [\(V-SLAM\)](#page-20-8) systems have seen substantial advancements. The journey began with the introduction of Mono-SLAM [\[29\]](#page-147-4), the frst real-time monocular [V-SLAM](#page-20-8) system, which utilized the Extended Kalman Filter [\(EKF\)](#page-18-10) algorithm to estimate camera motion and [3D](#page-18-3) elements. Following Mono-SLAM, Parallel Tracking and Mapping [\(PTAM\)](#page-19-10) [\[30\]](#page-147-5) emerged, splitting the [V-SLAM](#page-20-8) process into separate tracking and mapping threads to enhance computational efficiency. [DTAM](#page-18-0) [\[15\]](#page-145-8) introduced detailed mapping through dense tracking and mapping modules, albeit with a high computational cost. Subsequent innovations included an RGB-D camera-based method [\[31\]](#page-147-6), tailored for costeffective implementations in small robots, and  $SLAM++$  [\[32\]](#page-147-7), which integrated semantic information to enhance mapping accuracy. Further advancements brought Semi-direct Visual Odometry [\(SVO\)](#page-20-9) [\[33\]](#page-147-8), which combined feature-based and direct methods for robust motion estimation, and LSD-SLAM [\[16\]](#page-145-9), specialized for large-scale map reconstruction. ORB-SLAM [\[34\]](#page-148-0) and its successor ORB-SLAM 2 [\[35\]](#page-148-1) efectively utilized [ORB](#page-19-3) features for localization and mapping, though they faced challenges in texture-less environments and with unknown scales. ORB-SLAM 3 [\[12\]](#page-145-5) addressed these challenges by supporting various camera types and advancing pose estimation methodologies [\[36\]](#page-148-2).

<span id="page-34-3"></span>Despite signifcant advancements in pure [V-SLAM](#page-20-8) algorithms, challenges persist in handling image blur from fast camera movements and poor illumination when relying solely on cameras as sensors. The integration of cameras with [IMUs](#page-19-1) has emerged as a key area of research, signifcantly enhancing the robustness and accuracy of [V-SLAM](#page-20-8) systems in various scenarios [\[37\]](#page-148-3).

Initially, researchers explored the loose coupling of [IMU](#page-19-1) data with existing [V-SLAM](#page-20-8) methods [\[38](#page-148-4)[–40\]](#page-148-5). While this approach is relatively straightforward to implement, it sufers from error susceptibility and has not undergone extensive research [\[41\]](#page-148-6). The development of hybridization flters marked a signifcant advancement towards "tightly coupled" visualinertial methods. These methods, now widely used in systems equipped with both [IMUs](#page-19-1) and cameras, ofer improved performance and reliability by more efectively fusing visual and inertial data [\[41\]](#page-148-6).

In this chapter, the related work on [VI-SLAM](#page-20-5) is introduced and categorized into two main approaches: fltering-based and optimization-based methods. Firstly, basic fltering methods, specifcally the [EKF,](#page-18-10) are explained. This is followed by an overview of related work in the feld of fltering-based approaches. Subsequently, the chapter delves into least square problems and provides an explanation of optimization-based methods along with a discussion of related works in this category. The structured presentation aims to provide a comprehensive understanding of the existing literature and approaches in the domain of [VI-SLAM.](#page-20-5)

#### <span id="page-35-0"></span>2.1 Filtering-Based Methods

#### <span id="page-35-1"></span>2.1.1 Extended Kalman Filter SLAM

The [EKF](#page-18-10) serves as a classic solution in [SLAM,](#page-20-0) historically pioneering the feld. It operates by estimating the state, encompassing the current robot pose,  $P$ , and environmental feature parameters,  $\mathcal{F}$ :

$$
\mathcal{X} = \begin{bmatrix} \mathcal{P} \\ \mathcal{F} \end{bmatrix} = \begin{bmatrix} \mathcal{P} \\ \mathbf{f}_1 \\ \vdots \\ \mathbf{f}_n \end{bmatrix} .
$$
 (2.1)

Notably, [EKF](#page-18-10) excludes the past robot pose from the state. The [EKF](#page-18-10) continuously expands the state vector by incorporating feature parameters as they become available, ofering insights into the uncertainty of both pose and map through the covariance matrix:

$$
\mathbf{P} = \begin{bmatrix} \mathbf{P}_{\mathcal{PP}} & \mathbf{P}_{\mathcal{PF}} \\ \mathbf{P}_{\mathcal{FP}} & \mathbf{P}_{\mathcal{FF}} \end{bmatrix} = \begin{bmatrix} \mathbf{P}_{\mathcal{PP}} & \mathbf{P}_{\mathcal{P}\mathbf{f}_1} & \cdots & \mathbf{P}_{\mathcal{PF}_n} \\ \mathbf{P}_{\mathbf{f}_1 \mathcal{P}} & \mathbf{P}_{\mathbf{f}_1 \mathbf{f}_1} & \cdots & \mathbf{P}_{\mathbf{f}_1 \mathbf{f}_n} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{P}_{\mathbf{f}_n \mathcal{P}} & \mathbf{P}_{\mathbf{f}_n \mathbf{f}_1} & \cdots & \mathbf{P}_{\mathbf{f}_n \mathbf{f}_n} \end{bmatrix}
$$
(2.2)
Upon receiving sensor measurements, the [EKF](#page-18-0) exhibits an adaptive behavior, dynamically refning its state and covariance matrix to mitigate uncertainty actively. In the context of feature-based [SLAM,](#page-20-0) the available information can be categorized into two types: odometry information, represented by the motion model, and observation information, delineated by the observation model [\[42\]](#page-148-0).

During the prediction phase, the [EKF](#page-18-0) anticipates the subsequent state by harnessing the motion model. This model intricately captures the expected movements of the system, factoring in the current state and received control inputs. Simultaneously, the observation information is crucially considered during the update phase, refning the system's predictions by aligning them with the observed measurements.

#### <span id="page-36-3"></span>2.1.1.1 Prediction Step

When the control input vector from the [IMU](#page-19-0) is received at time  $k-1$ , denoted as  $\mathbf{u}_{k-1}$ , the generic motion model using the function  $f(\cdot)$  can be expressed as:

$$
\mathcal{X}_k \leftarrow f(\mathcal{X}_{k-1}, \mathbf{u}_{k-1}, \mathbf{n}_{I_{k-1}}). \tag{2.3}
$$

Given that the motion model specifcally pertains to the robot pose, it is applied diferently to the pose and feature positions, resulting in distinct expressions:

<span id="page-36-1"></span>
$$
\mathcal{P}_k \leftarrow f_{\mathcal{P}}(\mathcal{P}_{k-1}, \mathbf{u}_{k-1}, \mathbf{n}_{I_{k-1}}),\tag{2.4}
$$

<span id="page-36-2"></span><span id="page-36-0"></span>
$$
\mathcal{F}_k \leftarrow \mathcal{F}_{k-1},\tag{2.5}
$$

where  $n<sub>I</sub>$  represents the zero-mean Gaussian process noise from [IMU](#page-19-0) measurements with the covariance matrix  $\mathbf Q$ . The prediction step of the [EKF](#page-18-0) for the state and its corresponding covariance is described by:

$$
\hat{\mathcal{X}}_{k|k-1} \leftarrow f(\hat{\mathcal{X}}_{k-1|k-1}, \mathbf{u}_{k-1}, 0),\tag{2.6}
$$

$$
\mathbf{P}_{k|k-1} \leftarrow \mathbf{F} \mathbf{P}_{k-1|k-1} \mathbf{F}^{\top} + \mathbf{G} \mathbf{Q}_{k-1} \mathbf{G}^{\top}.
$$
 (2.7)

Here,  $\hat{\mathcal{X}}_{k|k}$  represents the estimate of  $\mathcal X$  at time k given observations up to and including time k. The matrices  $\mathbf{F} = \frac{\partial f(\mathcal{X}, \mathbf{u}, \mathbf{n})}{\partial \mathcal{X}}$  $\frac{\partial \mathcal{X}, \mathbf{u}, \mathbf{n}}{\partial \mathcal{X}}$  and  $\mathbf{G} = \frac{\partial f(\mathcal{X}, \mathbf{u}, \mathbf{n})}{\partial \mathbf{n}}$  $\frac{\partial \mathcal{L}(\mathbf{u}, \mathbf{n})}{\partial \mathbf{n}}$  are system Jacobians with respect to the state vector and noise, respectively. Following the application of the motion model to the state at time  $k-1$  in the prediction step (as described by Equation [\(2.6\)](#page-36-0)), and in accordance with Equation [\(2.4\)](#page-36-1) and Equation [\(2.5\)](#page-36-2), the pose and features in the prediction step can be expressed as:

$$
\hat{\mathcal{P}}_{k|k-1} \leftarrow f_{\mathcal{P}}(\hat{\mathcal{P}}_{k-1|k-1}, \mathbf{u}_{k-1}, 0), \tag{2.8}
$$

<span id="page-37-2"></span>
$$
\hat{\mathcal{F}}_{k|k-1} \leftarrow \hat{\mathcal{F}}_{k-1|k-1}.\tag{2.9}
$$

This results in sparse Jacobians:

$$
\mathbf{F} = \begin{bmatrix} \frac{\partial f_{\mathcal{P}}}{\partial \mathcal{P}} & 0\\ 0 & \mathbf{I} \end{bmatrix}, \quad \mathbf{G} = \begin{bmatrix} \frac{\partial f_{\mathcal{P}}}{\partial n} \\ 0 \end{bmatrix}.
$$
 (2.10)

#### 2.1.1.2 Update Step

The subsequent phase initiates when observations from the vision sensor are received. The generalized nonlinear camera measurement model, denoted as  $z_k$ , for the [EKF](#page-18-0) can be expressed as:

$$
\mathbf{z}_k = h(\mathcal{X}_k) + \mathbf{n}_{f_k},\tag{2.11}
$$

where  $h(\cdot)$  denotes the observation function, and  $\mathbf{n}_f$  represents white Gaussian noise with covariance R. Utilizing this model, the standard [EKF](#page-18-0) update unfolds through the following steps:

<span id="page-37-3"></span>
$$
\mathbf{e}_k = \mathbf{z}_k - h(\hat{\mathcal{X}}_{k|k-1}),\tag{2.12}
$$

<span id="page-37-1"></span><span id="page-37-0"></span>
$$
\mathbf{S}_k = \mathbf{H} \mathbf{P}_{k|k-1} \mathbf{H}^\top + \mathbf{R}_k, \tag{2.13}
$$

$$
\mathbf{K}_k = \mathbf{P}_{k|k-1} \mathbf{H}^\top \mathbf{S}_k^{-1},\tag{2.14}
$$

$$
\hat{\mathcal{X}}_{k|k} \leftarrow \hat{\mathcal{X}}_{k|k-1} + \mathbf{K}_k \mathbf{e}_k,\tag{2.15}
$$

$$
\mathbf{P}_{k|k} \leftarrow (\mathbf{I} - \mathbf{K}_k \mathbf{H}) \, \mathbf{P}_{k|k-1}.\tag{2.16}
$$

Here,  $\mathbf{H} = \frac{\partial h(\mathcal{X})}{\partial \mathcal{X}}$  $\frac{n(\mathcal{A})}{\partial \mathcal{X}}$  represents the measurement Jacobian with respect to the state. e signifies the measurement residual, and S stands for its covariance matrix. The state and its covariance are updated using the Kalman gain,  $\bf{K}$ , as outlined in Equation [\(2.15\)](#page-37-0) and Equation  $(2.16)$ .

#### 2.1.2 Filtering-based Visual-Inertial SLAM

The [EKF](#page-18-0) is a widely used robust state estimation algorithm, especially in nonlinear dynamics systems. However, challenges like partial observability can introduce inconsistencies in the [EKF,](#page-18-0) potentially causing suboptimal performance and even leading to divergence or biased estimates [\[43,](#page-149-0) [44\]](#page-149-1).

To overcome the limitation arising from the underestimation of uncertainty associated with the estimate, resulting in an overly confdent outcome [\[45,](#page-149-2) [46\]](#page-149-3), enhanced variants of the [EKF](#page-18-0) [SLAM](#page-20-0) have been proposed. Castellanos et al. introduced the Robocentric [EKF](#page-18-0) [SLAM](#page-20-0) [\[47\]](#page-149-4). This variant addresses linearization errors by dynamically adapting the coordinate frame based on the robot's local coordinate frame. The First Estimates Jacobian EKF [\(FEJ-EKF\)](#page-18-1) [\[45\]](#page-149-2), proposed by Huang et al. computes the Jacobian within [EKF](#page-18-0) using initial available estimates. This results in an error-state system model with an observable subspace dimension matching the underlying nonlinear [SLAM](#page-20-0) system. The Observability Constrained EKF [\(OC-EKF\)](#page-19-1), introduced in works by Li et al. [\[48\]](#page-149-5), Hesch et al. [\[49\]](#page-149-6), and Huang et al. [\[50\]](#page-149-7), also ofers enhancements in terms of consistency. The incorporation of Lie group representation [\[51,](#page-149-8) [52\]](#page-150-0) facilitates the introduction of the Invariant EKF [\(I-EKF\)](#page-19-2) [\[53–](#page-150-1) [55\]](#page-150-2). This variant includes a geometrically adapted correction term based on an invariant output error. This approach prevents covariance reduction in directions of the state space where no information is available. Right Invariant Error EKF [\(RI-EKF\)](#page-19-3) [\[46,](#page-149-3) [56\]](#page-150-3) has been further improved to demonstrate that the output of the flter remains invariant under any stochastic rigid body transformation.

However, fltering methods present a computational challenge for embedded processors in small-scale platforms. To address this, Thrun et al. proposed the Sparse Extended Information Filters [\(SEIF\)](#page-20-1) [\[57\]](#page-150-4), leveraging sparsity in the information matrix. In many large-scale systems, not all variables are interconnected, leading to a sparse covariance matrix. [SEIF](#page-20-1) exploits this sparsity to signifcantly reduce computational requirements. FastSLAM [\[58\]](#page-150-5) takes a particle fltering-based approach to represent the belief about the robot's pose and the map of the environment. This algorithm can leverage parallelism by processing particles independently, enabling efficient implementation on parallel computing platforms. An improved version was presented in [\[59\]](#page-150-6), where the distribution relies not only on the motion estimate but also on the most recent sensor measurement. The concept of Compression is applied to [EKF](#page-18-0) [SLAM](#page-20-0) in [\[60\]](#page-150-7). This involves partitioning the local and global components, thereby reducing computational complexity related only to the number of features in the defned local map. The global part is updated only when a new local boundary is defned. This approach has been successfully implemented in various fltering-based approaches [\[2,](#page-144-0) [61,](#page-150-8) [62\]](#page-151-0). The adaptation of the Schmidt Kalman Filter [\(SKF\)](#page-20-2) [\[63\]](#page-151-1) is intended to reduce computational complexity by considering certain parameters as static [\[64\]](#page-151-2). These parameters are no longer updated, but their covariance and correlated covariance with other states are still utilized in the [EKF](#page-18-0) update. Through this method, the computational complexity becomes linear with respect to the number of features, making it more feasible for implementation in resource-constrained environments.

In the feld of [VI-SLAM,](#page-20-3) researchers have successfully integrated fltering-based approaches, showcasing notable examples such as VIO-ROVIO [\[65\]](#page-151-3) and maplab [\[66\]](#page-151-4). More recently, attention has been directed towards harnessing the capabilities of the [MSCKF](#page-19-4) within the context of [VI-SLAM,](#page-20-3) as evidenced by various works [\[6,](#page-144-1) [64,](#page-151-2) [67,](#page-151-5) [68\]](#page-151-6). The [MSCKF](#page-19-4) is an [EKF-](#page-18-0)based algorithm that strategically maintains a sliding window of camera poses in the state vector. It uses feature observations to establish probabilistic constraints among these poses. Unlike traditional [EKF](#page-18-0) [SLAM](#page-20-0) approaches, the [MSCKF](#page-19-4) does not approximate the feature position probability density function with a Gaussian. This unique characteristic sets the [MSCKF](#page-19-4) apart from traditional [EKF](#page-18-0) [SLAM](#page-20-0) methods, holding the potential for superior performance. One key advantage of the [MSCKF](#page-19-4) lies in its linear computational complexity with the number of features, contrasting with the cubic complexity often associated with feature-based [SLAM](#page-20-0) approaches. This linear complexity enhances the computational efficiency of the [MSCKF,](#page-19-4) making it particularly advantageous in resource-constrained environments [\[69\]](#page-151-7). Modifcations have been introduced to ensure correct observability properties without incurring additional computational costs [\[69\]](#page-151-7). A stereo version of the [MSCKF](#page-19-4) has been proposed in [\[24\]](#page-146-0).

Despite the advancements in [MSCKF,](#page-19-4) a limitation in the long-term consistency of the [VI-SLAM](#page-20-3) system arises from the fact that [MSCKF](#page-19-4) does not retain all past poses using sliding windows. To enhance long-term consistency, introducing loop-closure constraints becomes essential. However, it's crucial to acknowledge that incorporating loop-closure constraints may result in increased computational costs. To overcome the challenge of long-term consistency, Schmidt[-MSCKF](#page-19-4) [\[6\]](#page-144-1) strategically incorporates keyframes of camera poses in the loop-closure process, focusing on keyframes rather than adding all camera poses. By treating keyframe state vectors as nuisance parameters, signifcant computational savings are achieved. This strategic approach ensures long-term consistency without imposing excessive computational burdens. Furthermore, OpenVINS [\[11\]](#page-145-0) introduces an open platform online system built upon the [MSCKF.](#page-19-4) This system provides a fexible and extensible framework for the online [VI-SLAM](#page-20-3) system.

#### 2.2 Optimization-Based Methods

#### 2.2.1 Least Squares SLAM

In the realm of optimization-based [SLAM,](#page-20-0) where achieving superior estimation results is paramount, the method distinguishes itself by executing re-linearization at each step, ensuring the system's consistency. This approach involves incorporating not only the current pose but also all past poses and observed features into the state vector. This state vector can be represented as:

$$
\mathcal{X} = \begin{bmatrix} \mathcal{X}_{\mathcal{P}} \\ \mathcal{F} \end{bmatrix} = \begin{bmatrix} \mathcal{P}_{1} \\ \vdots \\ \mathcal{P}_{m} \\ \mathbf{f}_{1} \\ \vdots \\ \mathbf{f}_{n} \end{bmatrix} .
$$
 (2.17)

The dedicated framework of Least Squares in [SLAM](#page-20-0) revolves around treating [SLAM](#page-20-0) as an optimization problem, seeking the optimal state vector denoted as  $\mathcal{X}^*$ . This optimization task is approached as a Maximum Likelihood Estimation [\(MLE\)](#page-19-5) problem, aiming to minimize the negative log-likelihood of the available sensor measurements (denoted as  $\mathcal{Z}$ ) given the state [\[70\]](#page-151-8):

<span id="page-40-0"></span>
$$
\mathcal{X}^* = \underset{\mathcal{X}}{\arg \max} \ \left( P \left( \mathcal{Z} \mid \mathcal{X} \right) \right) = \underset{\mathcal{X}}{\arg \min} \ \left( -\log(P(\mathcal{Z} \mid \mathcal{X})) \right). \tag{2.18}
$$

Under the Gaussian model, Equation [\(2.18\)](#page-40-0) is equivalent to minimizing the objective function,  $J(\mathcal{X})$ ,

<span id="page-40-1"></span>
$$
\mathcal{X}^* = \underset{\mathcal{X}}{\text{arg min}} \ J\left(\mathcal{X}\right),\tag{2.19}
$$

where the comprehensive objective function consolidates the accumulated cost over all time steps:

<span id="page-40-2"></span>
$$
J(\mathcal{X}) = \sum_{i=1}^{N-1} C_{imu}^{(i)} + \sum_{i=1}^{N} C_{cam}^{(i)}.
$$
 (2.20)

Equation  $(2.19)$  aims to minimize the sum of squared residuals (Equation  $(2.20)$ ) where the cost functions of [IMU,](#page-19-0)  $\mathcal{C}_{imu}$ , and camera,  $\mathcal{C}_{cam}$ , at time i can be expressed as:

$$
\mathcal{C}_{imu}^{(i)} = \left\| \mathbf{e}_{imu}^{(i)} \right\|_{\mathbf{Q}_i}^2, \tag{2.21}
$$

$$
\mathcal{C}_{cam}^{(i)} = \left\| \mathbf{e}_{cam}^{(i)} \right\|_{\mathbf{R}_i}^2.
$$
\n(2.22)

This residual,  $e = \begin{bmatrix} e_{imu}^{\top} & e_{cam}^{\top} \end{bmatrix}^{\top}$ , represent the disparity between predicted and observed measurements across all time steps. The residual at time *i* for the [IMU,](#page-19-0)  $e_{imu}^{(i)}$ , and vision sensors,  $e_{cam}^{(i)}$ , in [VI-SLAM,](#page-20-3) utilizing the functions described in Equation [\(2.8\)](#page-37-2) and Equation [\(2.12\)](#page-37-3), respectively, can be expressed as:

$$
\mathbf{e}_{imu}^{(i)} = \mathcal{P}_i - f_p \left( \mathcal{P}_{i-1}, \mathbf{u}_{i-1}, 0 \right), \tag{2.23}
$$

$$
\mathbf{e}_{cam}^{(i)} = \mathbf{z}_i - h\left(\mathcal{X}_i\right). \tag{2.24}
$$

The One-Dimensional [\(1D\)](#page-18-2) [SLAM](#page-20-0) problem utilizes a Linear Least Squares [\(LLS\)](#page-19-6) approach with a closed-form solution since the functions  $f_p(\cdot)$  and  $h(\cdot)$  are linear. In contrast, the more complex [2D](#page-18-3) and [3D](#page-18-4) [SLAM](#page-20-0) scenarios require a [NLLS](#page-19-7) formulation [\[42\]](#page-148-0).

#### <span id="page-41-0"></span>2.2.2 Gauss-Newton Iteration and Levenberg-Marquardt Method

For the standard minimization method, the Gauss-Newton [\(GN\)](#page-18-5) and Levenberg-Marquardt [\(LM\)](#page-19-8) algorithm are usually used to solve Eqaution [\(2.19\)](#page-40-1). In the [GN](#page-18-5) method, the update to the state vector at each iteration,  $k$ , is given by:

$$
\mathcal{X}_{k+1} = \mathcal{X}_k + \Delta_k, \tag{2.25}
$$

where  $\Delta_k$  is the update calculated as:

$$
\Delta_k = -(\mathbf{J}^\top \mathbf{W} \mathbf{J})^{-1} \mathbf{J}^\top \mathbf{W} \mathbf{e},\tag{2.26}
$$

Here, J is the Jacobian matrix, capturing the Jacobian of the residual with respect to X evaluated at  $X_k$ , and W is the weight matrix, obtainable by inverting the covariance matrix stacked with  $\mathbf{Q}_i$  and  $\mathbf{R}_i$ . The corresponding covariance matrix for the optimized state vector can then be obtained as  $(\mathbf{J}^\top \mathbf{W} \mathbf{J})^{-1}$ .

The introduction of a damping parameter  $\lambda$  to the [GN](#page-18-5) method results in the [LM](#page-19-8) algorithm. The update expression is then modifed to:

$$
\Delta_k = -\left(\mathbf{J}^\top \mathbf{W} \mathbf{J} + \lambda \mathbf{E}\right)^{-1} \mathbf{J}^\top \mathbf{W} \mathbf{e},\tag{2.27}
$$

where  $\bf{E}$  is the identity matrix. The inclusion of the damping term enhances the stability of the optimization process, particularly in scenarios where the [GN](#page-18-5) method may encounter numerical challenges.

#### 2.2.3 Gauss-Newton on Manifold

The optimization on the manifold follows the "lift-solve-retract" scheme, a well-established methodology detailed in [\[71\]](#page-152-0). This systematic approach is particularly prevalent within the framework of trust-region methods. It provides an efficient means of performing optimization on manifolds, striking a balance between leveraging the advantages of Euclidean space for optimization and ensuring the maintenance of valid solutions on the manifold. This is crucial for respecting any inherent constraints or structures present in the problem domain [\[71\]](#page-152-0).

The process initiates with a "lifting" operation, wherein the optimization problem in Eqaution [\(2.19\)](#page-40-1) is reparametrized to operate in a tangent space associated with the current estimate on the manifold [\[1\]](#page-144-2). This transformation is denoted as:

$$
\mathcal{X}^* = \underset{\mathcal{X} \in \mathcal{M}}{\arg \min} \ J(\mathcal{X})
$$
  

$$
\Downarrow \qquad (2.28)
$$
  

$$
\delta \mathbf{x}^* = \underset{\delta \mathbf{x} \in \mathbb{R}^n}{\arg \min} \ J(\mathcal{R}_x(\delta \mathbf{x})).
$$

Here,  $\mathcal{R}_x$  serves as a bijective retraction map, facilitating the mapping between an element  $\delta$ **x** in the tangent space,  $\mathbb{R}^n$ , and a neighbourhood around the current estimate X on the manifold in n dimension,  $\mathcal{M}$  [\[1\]](#page-144-2). This lifting operation transforms the optimization problem from a manifold-based representation to an auxiliary Euclidean space, allowing the application of standard optimization techniques.

Once the problem is in the lifted Euclidean space, conventional optimization techniques like Gauss-Newton (Section [2.2.2\)](#page-41-0) can be applied to minimize the cost function. The cost function is typically near quadratic in  $\delta x$  around the current estimate, resulting in a

<span id="page-43-0"></span>

FIGURE 2.1: The right Jacobian  $J_r$  establishes a connection between an additive perturbation  $\delta\phi$  in the tangent space and a multiplicative perturbation on the manifold SO(3) [\[1\]](#page-144-2).

quadratic approximation. The solution to this quadratic approximation provides a vector  $\delta \mathbf{x}^*$  in the tangent space.

The fnal step involves "retracting" the updated estimate from the lifted space back to the manifold using the inverse of the lifting operation. The retraction map  $\mathcal{R}_x$  is crucial in this step, as it maps the updated tangent space element  $\delta x$  back to a new estimate on the manifold [\[1\]](#page-144-2):

$$
\hat{\mathcal{X}} \leftarrow \mathcal{R}_{\hat{x}}\left(\delta \mathbf{x}^{\star}\right). \tag{2.29}
$$

This updated estimate becomes the starting point for the subsequent iteration of the optimization process, facilitating a coherent and efective procedure for optimization on manifold.

#### 2.2.4 Geometric Concepts on Manifold

The choice of rotation characterized by the Lie group known as Special Orthogonal group in three dimensions  $(SO(3))$  is advantageous due to its freedom from singularities; however, it introduces certain constraints. In contrast, Lie algebra of special orthogonal group in three dimensions  $(\mathfrak{so}(3))$  $(\mathfrak{so}(3))$  avoids these constraints but faces challenges associated with singularities. A strategic approach is adopted to address these issues efectively. The dominant and nominal components are retained within [SO\(3\),](#page-20-4) ensuring singularity-free representation. Simultaneously, the smaller, noisy components are accommodated in  $\mathfrak{so}(3)$ , which is constraint-free and treated as a vector space. This approach provides a balanced solution to the challenges associated with rotations in the context of state representation [\[72\]](#page-152-1).

Formally, [SO\(3\)](#page-20-4) is defined as SO(3)  $\dot{=} \{ \mathbf{R} \in \mathbb{R}^{3 \times 3} : \mathbf{R}^\top \mathbf{R} = \mathbf{I}, \det(\mathbf{R}) = 1 \}$  [\[25\]](#page-147-0). This group constitutes a smooth manifold, capturing the essence of rotational transformations. Now, consider the tangent space to this manifold,  $\mathfrak{so}(3)$ . It coincides with the set of  $3 \times 3$ skew-symmetric matrices. These skew-symmetric matrices fnd expression as vectors in  $\mathbb{R}^3$  through the  $\wedge$  operator:

$$
\phi^{\wedge} = \begin{bmatrix} \phi_1 \\ \phi_2 \\ \phi_3 \end{bmatrix}^{\wedge} = \begin{bmatrix} 0 & -\phi_3 & \phi_2 \\ \phi_3 & 0 & -\phi_1 \\ -\phi_2 & \phi_1 & 0 \end{bmatrix} \in \mathfrak{so}(3). \tag{2.30}
$$

Here,  $\phi$  represents a 3-by-1 axis-angle vector. A noteworthy property of skew-symmetric matrices, crucial in this context, is given by:

$$
\mathbf{a}^{\wedge}\mathbf{b} = -\mathbf{b}^{\wedge}\mathbf{a}, \quad \forall \mathbf{a}, \mathbf{b} \in \mathbb{R}^{3}.
$$
 (2.31)

The exponential map  $\mathfrak{so}(3)$  $\mathfrak{so}(3)$  to  $SO(3)$  is a fundamental concept in rotational transformations and is defned by:

$$
\exp\left(\phi^{\wedge}\right) = I + \frac{\sin(\|\phi\|)}{\|\phi\|} \phi^{\wedge} + \frac{1 - \cos(\|\phi\|)}{\|\phi\|^2} \left(\phi^{\wedge}\right)^2. \tag{2.32}
$$

This operation maps a skew-symmetric matrix to a rotation matrix. Conversely, the logarithm map associates a matrix  $\bf{R}$  with a skew-symmetric matrix:

$$
\log(\mathbf{R}) = \frac{\varphi \cdot (\mathbf{R} - \mathbf{R}^{\top})}{2 \sin(\varphi)},
$$
\n(2.33)

where the rotation angle,  $\varphi$ , is determined by  $\varphi = \cos^{-1}\left(\frac{\text{tr}(\mathbf{R})-1}{2}\right)$  $\frac{2(-1)}{2}$ . In another form, the logarithm map can be expressed as  $log(R) = c^{\wedge} \varphi$ , where c represents the rotation axis. When **R** is equal to the identity matrix, the rotation angle  $\varphi$  becomes 0, and the rotation axis c cannot be defned [\[73\]](#page-152-2). In such scenarios, the choice of a rotation axis is arbitrary due to the absence of rotation [\[1\]](#page-144-2).

Several key properties of the exponential map are:

$$
\exp\left(\phi^{\wedge}\right) \approx I + \phi^{\wedge},\tag{2.34}
$$

$$
\exp\left(\phi^{\wedge}\right)^{-1} = \exp\left(-\phi^{\wedge}\right),\tag{2.35}
$$

$$
\mathbf{R} \exp(\boldsymbol{\phi}^{\wedge}) \mathbf{R}^{\top} = \exp\left(\left(\mathbf{R} \boldsymbol{\phi}^{\wedge} \mathbf{R}^{\top}\right)^{\wedge}\right) = \exp\left((\mathbf{R} \boldsymbol{\phi})\right)^{\wedge}, \tag{2.36}
$$

$$
\exp(\boldsymbol{\phi}^{\wedge})\mathbf{R} = \mathbf{R} \exp\left(\left(\mathbf{R}^{\top}\boldsymbol{\phi}\right)^{\wedge}\right). \tag{2.37}
$$

Additionally, frst-order approximations for the exponential and logarithm with additive perturbation,  $\delta \phi$ , can be derived:

$$
\exp\left((\phi + \delta\phi)^\wedge\right) \approx \exp(\phi^\wedge) \exp\left((J_r(\phi)\delta\phi)^\wedge\right),\tag{2.38}
$$

$$
\log(\exp(\phi^{\wedge}) \exp(\delta \phi^{\wedge})) \approx \phi + J_r^{-1}(\phi) \delta \phi.
$$
 (2.39)

As can be seen in Figure [2.1,](#page-43-0) the right Jacobian of [SO\(3\),](#page-20-4)  $J_r(\phi)$  connects  $\delta\phi$  in the tangent space to a multiplicative perturbation on the manifold  $SO(3)$  [\[1\]](#page-144-2). It's essential to emphasize that both  $J_r(\phi)$  and its inverse  $J_r^{-1}(\phi)$  become to the identity matrix when  $\|\phi\|=0.$ 

$$
J_r(\phi) = I - \frac{1 - \cos(\|\phi\|)}{\|\phi\|^2} \phi^{\wedge} + \frac{\|\phi\| - \sin(\|\phi\|)}{\|\phi^3\|} (\phi^{\wedge})^2.
$$
 (2.40)

The inverse of the right Jacobian is

$$
J_r^{-1}(\phi) = I + \frac{1}{2}\phi^{\wedge} + \left(\frac{1}{\|\phi\|^2} + \frac{1 + \cos(\|\phi\|)}{2\|\phi\|\sin(\|\phi\|)}\right) (\phi^{\wedge})^2.
$$
 (2.41)

For notational convenience, Exp and Log are adopted from [\[1\]](#page-144-2):

$$
\begin{aligned}\n\text{Exp}: \quad &\mathbb{R}^3 \to \text{SO}(3) \quad ; \quad \phi \mapsto \exp\left(\phi^{\wedge}\right), \\
\text{Log}: \quad &\text{SO}(3) \to \mathbb{R}^3 \quad ; \quad \mathbf{R} \mapsto \log(\mathbf{R})^{\vee}.\n\end{aligned} \tag{2.42}
$$

#### 2.2.5 Optimization-based Visual-Inertial SLAM

In the domain of [SLAM,](#page-20-0) the computational complexity presents a notable challenge, especially in optimization-based methodologies. An efort to tackle these computational challenges can be found in the work of Ranganathan et al. [\[74\]](#page-152-3) and Sibley et al. [\[75\]](#page-152-4), which introduces fixed-lag smoothing approaches. This processes sensor measurements and refnes the estimated state exclusively within a predetermined fxed-lag time window. To manage computational complexity, fxed-lag smoothing employs the marginalization of older states and measurements located outside the fxed-lag window. While this strategy helps control computational costs, it introduces a potential drawback—loss of sparsity in the information matrix [\[76\]](#page-152-5). Sparse representations can enhance the stability and numerical properties of optimization algorithms. Dense matrices may result in ill-conditioned problems, posing challenges for optimization algorithms to converge reliably. Dong-Si et al. [\[77\]](#page-152-6) introduced a modifcation to the algorithm's linearization process with the specifc aim of preventing the introduction of information along directions in the state space where no actual information is provided by the measurements.

Taking a diferent approach, iSAM [\[78\]](#page-152-7) and its enhanced version, iSAM2 [\[79\]](#page-152-8), employ incremental processing of sensor measurements, dynamically refning the state estimate as fresh data unfolds. These algorithms adopt a factor graph framework, ofering a graphical depiction that captures the intricate relationships among variables and the constraints imposed by sensor measurements. To achieve efficient incremental updates, iSAM and iSAM2 employ Givens rotations, an orthogonal transformation technique. This approach allows for the incremental enhancement of QR decomposition and Cholesky factorization without necessitating a complete recomputation, optimizing the computational efficiency of the algorithms. However, it is acknowledged that this method may face challenges related to accuracy, particularly with the accumulation of linearization errors in scenarios involving frequent loop-closures [\[76\]](#page-152-5).

Klein et al. introduced [PTAM](#page-19-9) [\[30\]](#page-147-1), a system employing a keyframe-based strategy for environmental mapping. This approach selectively chooses keyframes and calculates a [3D](#page-18-4) map for this subset at a reduced frame rate, discarding non-keyframes to streamline the process [\[76\]](#page-152-5). Unlike approaches that discard information from non-keyframes, C-KLAM [\[76\]](#page-152-5) maximizes the use of this data. It leverages most of the information to establish consistent pose constraints between keyframes while preserving the sparsity of the information matrix.

Despite the efficacy of keyframes in [SLAM,](#page-20-0) challenges emerge as the trajectory expands, primarily stemming from the increased size of the state vector. This growth in computational complexity raises concerns about the system's robustness, particularly when confronted with high-dimensional nonlinear optimization. To address these issues, various research endeavours within the realm of [SLAM](#page-20-0) have strategically tackled the balance between computational efficiency and system resilience [\[80–](#page-152-9)[84\]](#page-153-0). A notable contribution in this context is the Linear SLAM framework proposed by Zhao et al. [\[85\]](#page-153-1). Unlike methods that require initial guesses or iterations, this framework leverages closed-form solutions for linear least squares problems, enhancing computational efficiency while maintaining accuracy in the optimization process.

In the realm of [VI-SLAM,](#page-20-3) there is a growing emphasis on nonlinear optimization techniques driven by the advancements in computer technology. These techniques are known to ofer higher accuracy when compared to traditional fltering-based methods. Many researchers have adopted the above-mentioned techniques to manage computational costs

efectively. OKVIS [\[86\]](#page-153-2) introduces an optimization-based approach centered around a keyframe-based framework. This method optimally integrates inertial and reprojection errors while marginalizing past poses. VINS-Fusion [\[10\]](#page-145-1), on the other hand, adopts a graph-based approach, implementing local window optimization with loop-closure to enhance performance. It employs a 4[-DoF](#page-18-6) pose graph optimization technique to ensure global consistency. Balancing accuracy and computational complexity, optimization-based [VI-SLAM](#page-20-3) often leads to keyframe-based systems like ORB-SLAM3 [\[12\]](#page-145-2), which uses [ORB](#page-19-10) descriptors for feature matching and operates with three parallel threads: tracking, local mapping, and loop closing.

In the specifc context of [IMU,](#page-19-0) Lupton et al. [\[25\]](#page-147-0) pioneered the pre-integration method. This approach aims to mitigate the issue of repeated constraints arising from the parametrization of relative motion integration, ultimately reducing computational complexity in [VI-SLAM.](#page-20-3) Forster et al. [\[1\]](#page-144-2) modifed the pre-integration method, ofering a more formal treatment of rotation noise. This modifcation is crucial for addressing the manifold structure of the [SO\(3\),](#page-20-4) providing a more accurate representation of rotational dynamics. Additionally, Le Gentil et al. introduced a novel pre-integration method known as Unifed Gaussian Preintegrated Measurement [\(UGPM\)](#page-20-6) [\[8\]](#page-145-3), addressing the challenge of continuous pre-integration over Special Euclidean group in three dimensions  $(SE(3))$  using [GP.](#page-19-11) The incorporation of [GP](#page-19-11) models enables accurate pre-integrated measurements, thereby enhancing accuracy, particularly in dynamic motion scenarios.

In modern [VI-SLAM](#page-20-3) [\[11\]](#page-145-0), [\[86\]](#page-153-2), [\[10\]](#page-145-1), [\[12\]](#page-145-2), [\[87\]](#page-153-3), the [BA](#page-18-7) algorithm plays a pivotal role as the central back-end process. [BA](#page-18-7) typically involves representing feature locations using Euclidean XYZ coordinates. An alternative method is to parametrize feature positions using the inverse-depth method, as elaborated in [\[88\]](#page-153-4). However, both XYZ parametrizations and Inverse Depth Parametrization [\(IDP\)](#page-19-12) exhibit limitations, particularly in scenarios where camera motion aligns with the feature's direction or when the feature is at a considerable distance, resulting in a zero parallax angle. To address these challenges, [\[89\]](#page-154-0) introduced the parallax parametrization, which incorporates the parallax angle directly into the state vector. This approach has demonstrated superior performance in terms of accuracy, efficiency, and convergence compared to traditional methods. The parallax parametrization proves particularly advantageous in scenarios where standard parametrizations may face limitations, highlighting its signifcance in advancing the capabilities of [VI-SLAM](#page-20-3) systems.

## <span id="page-48-0"></span>Chapter 3

## Compressed Visual-Inertial SLAM

To ensure the efficiency of monocular [VI-SLAM](#page-20-3) within resource-constrained environments, it is essential to balance computational cost and estimation accuracy, thereby ensuring robust and reliable performance. This chapter is centered on fltering-based methodology, commencing with strategies to manage computational complexity without compromising accuracy. As a result, [Comp-MSCKF](#page-18-8), a novel approach incorporating loop-closure, is introduced. This entails defning the system state in a compressed manner based on [MSCKF](#page-19-4) principles. The compression methodology is detailed for both the propagation and update steps. The study incorporates an analysis of the convergence of uncertainty in key-frame states, evaluated using a MATLAB simulator. Furthermore, the performance of the proposed system is assessed with real-world datasets, showcasing superior accuracy with reasonable computational demands. Overall, these considerations typically result in a computational complexity of  $\mathcal{O}(N_L^2)$ , where  $N_L$  denotes the number of local key-frames, while maintaining the incorporation of loop-closure into the system.

### 3.1 Reducing Computational Complexity in SLAM: Compressed SLAM and MSCKF

As mentioned in Section [2.1,](#page-35-0) fltering-based [SLAM](#page-20-0) solutions play a pivotal role in estimating the state, which includes both the agent's current pose and the environmental feature parameters encountered during exploration. As the agent navigates new regions, these solutions consistently integrate incoming feature parameters into the state vector, leading

<span id="page-49-0"></span>

Figure 3.1: The compressed flter divides the environment into two regions: a local area (depicted as a rectangular box beneath the vehicle, with map uncertainty ellipses in blue) and a global region (outside the box, with map uncertainty ellipses in red). The local area is redefned each time the vehicle crosses its boundary.

to a continuous expansion of the state size. The continual growth in the state vector profoundly impacts the overall cost of the [SLAM](#page-20-0) solution, resulting in high computational complexity. Typically, this complexity scales quadratically, denoted as  $\mathcal{O}(N^2)$ , where N signifes the total number of features present in the system.

Various approaches have been explored to address the computational challenge associated with the increasing state size. This section specifcally delves into the compression technique introduced by Guivant et al. [\[60\]](#page-150-7) and standard [MSCKF](#page-19-4) proposed by Mourikis et al. [\[4\]](#page-144-3). These methodologies are integrated into the proposed [Comp-MSCKF](#page-18-8) approach, as discussed in Section [3.2.](#page-55-0)

#### 3.1.1 Compressed SLAM

The concept of compression was initially introduced in [\[60\]](#page-150-7) to manage the computational cost of [SLAM](#page-20-0) solutions efectively. As can be seen in Figure [3.1,](#page-49-0) the compression approach divides a large map of the state,  $\mathcal{X}$ , into local,  $\mathcal{X}_L$ , and global,  $\mathcal{X}_G$ , maps as follows:

$$
\mathcal{X} = \left[\frac{\mathcal{X}_L}{\mathcal{X}_G}\right] = \left[\frac{\mathcal{P}_I}{\mathcal{F}_G}\right].\tag{3.1}
$$

Here,  $P_I$  represents the current [IMU](#page-19-0) state, and  $\mathcal{F}_L$  and  $\mathcal{F}_G$  denote features located in the local and global maps, respectively. The corresponding covariance matrix can be expressed as:

$$
\mathbf{P} = \begin{bmatrix} \mathbf{P}_{LL} & \mathbf{P}_{LG} \\ \mathbf{P}_{GL} & \mathbf{P}_{GG} \end{bmatrix} = \begin{bmatrix} \mathbf{P}_{II} & \mathbf{P}_{IF_L} & \mathbf{P}_{LG} \\ \mathbf{P}_{F_L I} & \mathbf{P}_{F_L F_L} & \mathbf{P}_{GG} \end{bmatrix} .
$$
(3.2)

It updates the local map with a quadratic complexity of  $\mathcal{O}(N_L^2)$ , where  $N_L$  represents the size of the local map, which is usually much smaller than the total number of features, denoted as N. Additionally, the method compresses the correlation information between local and global map and propagates it to the global map only when the vehicle crosses the boundary of the local map. This strategy efectively manages computational complexity while maintaining map accuracy.

In [\[62\]](#page-151-0), Guivant et al. proposed Generalized Compressed Kalman Filter [\(GCKF\)](#page-18-9). Unlike the standard compressed fltering, where the local and global correlation is explicitly computed using a closed-form expression, the generalized approach is formulated based on the Bayesian framework. This not only simplifes the process with various local flters but also facilitates its extension to multiple vehicle applications.

Utilizing the [GCKF,](#page-18-9) the Compressed Pseudo-SLAM [\(CP-SLAM\)](#page-18-10) was introduced as described in [\[2\]](#page-144-0), which fuses pseudo-range observations from [GNSS](#page-19-13) with [VI-SLAM.](#page-20-3) The primary aim of this integration was to enhance navigation reliability and robustness for [UAV](#page-20-8) operating in near-Earth environments, where [GNSS](#page-19-13) signals are typically available. The fusion flter models and estimates the receiver clock and drift, which is crucial for integrating pseudorange rate measurements. Subsequently, efficient accumulation of information from a local map and updating the global map at a lower rate was achieved using [GCKF.](#page-18-9) This approach allows us to observe the impact of incorporating the concept of compression into [VI-SLAM.](#page-20-3)

The method is validated using a fight dataset recorded from a [UAV](#page-20-8) platform [\[90\]](#page-154-1). The system incorporates data from an [IMU,](#page-19-0) a [GPS](#page-19-14) receiver, and a camera installed in a downlooking confguration. On-ground artifcial visual landmarks are strategically placed, and

<span id="page-51-1"></span><span id="page-51-0"></span>

<span id="page-51-3"></span><span id="page-51-2"></span>Figure 3.2: The result of Compressed Pseudo-SLAM [\[2\]](#page-144-0): (a) The estimated vehicle trajectory, (b) map with uncertainty, (c) receiver clock-bias error with uncertainty, and (d) x-gyro bias error with uncertainty. The CP-SLAM trajectory is compared with the full-SLAM (with no compression) and the on-board loosely-coupled GPS/INS solution, showing consistent performance. The receiver clock-bias error shows large errors when the number of SVs drops to 3 and 1. However, thanks to the SLAM aiding, the gyro bias error is constrained adequately.

their positions are surveyed using a real-time-kinematic [GPS](#page-19-14) receiver for reference. As depicted in Figure  $3.2(a)$  and Figure  $3.2(b)$ , the estimated trajectory of [CP-SLAM](#page-18-10) closely resembles that of the full [EKF](#page-18-0) [SLAM.](#page-20-0) Furthermore, the estimated map and its associated uncertainty align well with the actual surveyed map positions. In Figure  $3.2(c)$ , receiver clock bias error is noticeable as it results in drifts when the number of Satellite Vehicles  $(SVs)$  drops to 3. However, the gyro bias error (Figure [3.2\(d\)\)](#page-51-3), particularly along the  $x$ -axis, is still constrained adequately, indicating the robustness of the [SLAM](#page-20-0) system. The total number of landmarks in the system amounts to 85, but the number of local landmarks

<span id="page-52-0"></span>

<span id="page-52-1"></span>Figure 3.3: Computational time result of Compressed Pseudo-SLAM [\[2\]](#page-144-0). (a) The comparison of the total number of landmarks registered (in blue) and the number of local landmarks in CP-SLAM (in red), and (b) the comparison of the update time of the Full-SLAM (in red) and CP-SLAM (in blue).

consistently remains below 20, as depicted in Figure [3.3\(a\).](#page-52-0) Regarding computational complexity, occasional peaks are observed during the local-to-global updates, primarily infuenced by the association of additional data and the sorting process associated with map transitions. Nevertheless, these results confrm the efectiveness of the compressed fltering approach, demonstrating its suitability for real-time processing, with an average processing time of just 1.5 milliseconds as in Figure [3.3\(b\).](#page-52-1)

It is important to note that in this work, the validity was restricted to the downwardlooking camera confguration, as the camera feld-of-view naturally defnes the boundary of the local map. Additionally, in restricted environments, the reliability of [GNSS](#page-19-13) can be compromised. Therefore, a robust [VI-SLAM](#page-20-3) system without using the [GNSS](#page-19-13) is imperative to facilitate diverse applications and enhance overall reliability.

#### <span id="page-52-3"></span>3.1.2 MSCKF

[MSCKF](#page-19-4) [\[4\]](#page-144-3) is a classic [VI-SLAM](#page-20-3) algorithm based on [EKF.](#page-18-0) The state of [MSCKF,](#page-19-4) denoted as an active state,  $\mathcal{X}_A$ , includes the [IMU](#page-19-0) state at time k represented by  $\mathcal{P}_{I_k}$ , and sliding windows,  $\mathcal{X}_{C_k} = \left[ \begin{array}{ccc} \mathcal{P}_{C_{k-M}}^{\top} & \cdots & \mathcal{P}_{C_{k-1}}^{\top} \end{array} \right]$  $\big]^\top$ , containing the states of the past M camera poses. The structure is as follows:

<span id="page-52-2"></span>
$$
\mathcal{X}_{A_k} = \left[ \begin{array}{cc} \mathcal{P}_{I_k}^{\top} & \mathcal{X}_{C_k}^{\top} \end{array} \right]^{\top} . \tag{3.3}
$$

<span id="page-53-0"></span>

Figure 3.4: The geometric constraints in MSCKF are expressed without incorporating features into the state vector, utilizing the null-space technique [\[3,](#page-144-4) [4\]](#page-144-3).

Unlike feature-based [SLAM,](#page-20-0) [MSCKF](#page-19-4) provides localization information using multiple visual feature measurements without including the [3D](#page-18-4) feature positions in the flter state vector, as illustrated in Figure [3.4.](#page-53-0) This strategy ensures linear computational complexity with respect to the number of features, employing the null-space technique.

The null-space technique demonstrates its ability to modify the general nonlinear residual form, as defned in Equation [\(2.12\)](#page-37-3), to align with the requirements of [MSCKF](#page-19-4) for the execution of a general [EKF](#page-18-0) update. Upon linearizing around the estimated poses and feature positions within the [MSCKF](#page-19-4) framework, the resulting expression for the residual takes the following form:

<span id="page-53-1"></span>
$$
\mathbf{e}_f = \mathbf{H}_x \widetilde{\mathcal{X}}_{A_{k|k-1}} + \mathbf{H}_f \widetilde{\mathcal{F}}_{k|k-1} + \mathbf{n}_{f_k},\tag{3.4}
$$

where, the measurement noise,  $\mathbf{n}_f$ , is characterized as white Gaussian noise with covariance **R**, and  $\mathcal F$  represents the [3D](#page-18-4) position of the features.  $\mathbf H_x$  and  $\mathbf H_f$  represent the Jacobians of the measurement with respect to the state and feature position, respectively. Additionally,  $\mathcal{X}_{A_{k|k-1}}$  and  $\mathcal{F}_{k|k-1}$  denote the differences between the true and estimated values of the state and feature position. However, in the [MSCKF](#page-19-4) context, the feature position is not included in the state vector. Therefore, a standard [EKF](#page-18-0) update cannot be performed with Equation [\(3.4\)](#page-53-1) by simply ignoring the feature position, as this is unfeasible due to the correlation between  $\mathcal{X}_A$  and  $\mathcal{F}$ .

To overcome this challenge,  $e_f$  is projected to the left nullspace of  $H_f$ , transforming it into a residual model independent of the feature's position:

$$
\mathbf{N}^{\top}\mathbf{e}_{f} = \mathbf{N}^{\top}\mathbf{H}_{x}\widetilde{\mathcal{X}}_{A_{k|k-1}} + \mathbf{N}^{\top}\mathbf{H}_{f}\widetilde{\mathcal{F}}_{k|k-1} + \mathbf{N}^{\top}\mathbf{n}_{f_{k}},
$$
\n(3.5)

<span id="page-54-1"></span>
$$
\mathbf{e}'_f = \mathbf{H}'_x \widetilde{\mathcal{X}}_{A_{k|k-1}} + \mathbf{n}'_{f_k}, \quad \left(\mathbf{N}^\top \mathbf{H}_f = 0\right). \tag{3.6}
$$

Here,  $\mathbf{n}'_{f_k}$  is white Gaussian noise with covariance  $\mathbf{R}'_k = \mathbf{N}^\top \mathbf{R}_k \mathbf{N}$ . This approach enables updating as a general [EKF](#page-18-0) without requiring the feature position to be part of the state vector.

#### 3.1.3 Including Loop-Closure

The standard [MSCKF](#page-19-4) lacks consideration for loop-closures within its algorithm, posing a limitation in handling extended trajectories. Efectively managing the accumulation of drift over prolonged trajectories is imperative to uphold the precision of trajectory estimation. Drift may arise from inherent uncertainties and errors in sensor measurements, leading to deviations from the actual trajectory. To address this challenge, it becomes essential to integrate loop-closure mechanisms. loop-closure entails the identifcation and closure of loops in the trajectory by recognizing previously visited locations. This integration empowers the system to detect and rectify accumulated errors through loop-closure mechanisms.

Hence, in this chapter, the inclusion of key-frame poses, denoted as  $\mathcal{X}_{S_k}$ , into the state vector plays a signifcant role in enhancing this process:

$$
\mathcal{X} = \left[ \begin{array}{cc} \mathcal{X}_{A_k}^{\top} & \mathcal{X}_{S_k}^{\top} \end{array} \right]^{\top} = \left[ \begin{array}{cc} \mathbf{P}_{I_k}^{\top} & \mathcal{X}_{C_k}^{\top} & \mathcal{X}_{S_k}^{\top} \end{array} \right]^{\top} = \left[ \begin{array}{cc} \mathcal{P}_{I_k}^{\top} & \mathcal{X}_{C_k}^{\top} & \mathcal{P}_{S_1}^{\top} & \cdots & \mathcal{P}_{S_M}^{\top} \end{array} \right]^{\top} . \tag{3.7}
$$

Additionally, the covariance matrix is extended to accommodate these key-frame poses:

<span id="page-54-0"></span>
$$
\mathbf{P} = \begin{bmatrix} \mathbf{P}_{AA} & \mathbf{P}_{AS} \\ \mathbf{P}_{SA} & \mathbf{P}_{SS} \end{bmatrix} = \begin{bmatrix} \mathbf{P}_{II} & \mathbf{P}_{IC} & \mathbf{P}_{AS} \\ \mathbf{P}_{CI} & \mathbf{P}_{CC} & \mathbf{P}_{SS} \end{bmatrix}.
$$
 (3.8)

In the [MSCKF](#page-19-4) framework, the growth rate of the state vector size is considerably slower than when features are added to the state vector. Despite this, the accumulation of keyframes along the trajectory leads to an increasing number of states for estimation, potentially challenging the real-time performance.

In Schmidt[-MSCKF](#page-19-4)  $[6]$ , the adapted concept from  $[63]$  efficiently addresses the issues of unbounded localization error and computational cost by treating the key-frames as static. This approach leads to linear growth in computational complexity. However, despite the reduction in computational cost, the strategy of treating the key-frame states as a 'nuisance' throughout the trajectory introduces a signifcant loss of information that cannot be overlooked.

Balancing computational requirements and accuracy is a critical consideration for real-time [SLAM](#page-20-0) systems. In response to these challenges, I introduce the [Comp-MSCKF,](#page-18-8) a variant that incorporates loop-closure to manage the trade-off between computational efficiency and information loss.

#### <span id="page-55-0"></span>3.2 Compressed Multi-State Constraint Kalman Filter

In this section, [Comp-MSCKF](#page-18-8) is presented, a method that incorporates loop-closure [\[91\]](#page-154-2). Within [Comp-MSCKF,](#page-18-8) the key-frame states  $\mathcal{X}_S$  (in Equation [\(3.7\)](#page-54-0)), which are continuously integrated into the state vector for loop-closure, are further categorized.  $\mathcal{X}_S$  are divided into states within the local boundary, denoted as  $\mathcal{X}_{S_L}$ , and states situated outside the local boundary, represented as  $\mathcal{X}_{S_G}$ .

$$
\mathcal{X}_S = \left[ \begin{array}{cc} \mathcal{X}_{S_L}^{\top} & \mathcal{X}_{S_G}^{\top} \end{array} \right]^{\top} . \tag{3.9}
$$

Subsequently, the state vector of [Comp-MSCKF](#page-18-8) and the corresponding covariance matrix in the local and global map are now structured as follows:

$$
\mathcal{X} = \left[\frac{\mathcal{X}_L}{\mathcal{X}_G}\right] = \left[\frac{\mathcal{X}_A}{\mathcal{X}_{S_G}}\right],\tag{3.10}
$$

$$
\mathbf{P} = \begin{bmatrix} \mathbf{P}_{LL} & \mathbf{P}_{LG} \\ \mathbf{P}_{GL} & \mathbf{P}_{GG} \end{bmatrix} = \begin{bmatrix} \mathbf{P}_{AA} & \mathbf{P}_{AS_L} & \mathbf{P}_{LG} \\ \mathbf{P}_{S_L A} & \mathbf{P}_{S_L S_L} & \mathbf{P}_{GG} \end{bmatrix} .
$$
 (3.11)

Here, the active state,  $\mathcal{X}_A$ , mentioned in Equation [\(3.3\)](#page-52-2) is structured as follows:

$$
\mathcal{X}_{A_k} = \left[ \begin{array}{cc} \mathcal{P}_{I_k}^{\top} & \mathcal{X}_{C_k}^{\top} \end{array} \right]^{\top} = \left[ \begin{array}{cc} \mathcal{P}_{I_k}^{\top} & {}^{C_{k-M}} \bar{\mathbf{q}}^{\top} & {}^{W} \mathbf{t}_{C_{k-M}}^{\top} & \cdots & {}^{C_{k-1}} \bar{\mathbf{q}}^{\top} & {}^{W} \mathbf{t}_{C_{k-1}}^{\top} \end{array} \right]^{\top} . \tag{3.12}
$$

The representation of  $\mathcal{P}_{I_k}$  is detailed as:

$$
\mathcal{P}_{I_k} = \begin{bmatrix} I_k \bar{\mathbf{q}}^{\top} & \mathbf{b}_{\omega_k}^{\top} & \mathbf{b}_{v_k}^{\top} & W \mathbf{t}_{I_k}^{\top} \end{bmatrix}^{\top}.
$$
 (3.13)

In this representation,  $\frac{I_k}{W}\bar{\mathbf{q}}$  denotes the unit quaternion that describes the rotation between the world frame,  $\{W\}$ , and the [IMU](#page-19-0) frame,  $\{I\}$ .  $\mathbf{b}_w$  and  $\mathbf{b}_v$  represent the biases associated with gyro and velocity measurements, respectively.  ${}^W\mathbf{t}_{I_k}$  signifies the [IMU](#page-19-0) position relative to frame  $\{W\}$ . The camera rotation, represented as  $\mathcal{C}_{W}$ **q**, and the camera position, denoted as  ${}^W$ t<sub>C</sub>, are determined using the extrinsic matrix that relates the [IMU](#page-19-0) frame, {I}, to the camera frame, {C}. This is achieved through the following equations:

$$
{}_{W}^{C}\overline{\mathbf{q}} = {}_{I}^{C}\overline{\mathbf{q}} \otimes {}_{W}^{I}\overline{\mathbf{q}},
$$
\n(3.14)

$$
W_{\mathbf{t}_C} = W_{\mathbf{t}_I} + \mathbf{R}_I^{WI}\mathbf{t}_C,\tag{3.15}
$$

where  $\otimes$  represents the quaternion multiplication and  $\mathbf{R}^W_I$  is the rotation matrix from  $\{I\}$ to  $\{W\}$ . Each key-frame state,  $\mathcal{P}_{S_i}$ , is defined as:

$$
\mathcal{P}_{S_i} = \left[ \begin{array}{cc} C_i \bar{\mathbf{q}}^\top & W \mathbf{t}_{C_i}^\top \end{array} \right]^\top. \tag{3.16}
$$

#### <span id="page-56-0"></span>3.2.1 Propagation

In the propagation step, the estimated state vector and its associated covariance are continually propagated as they evolve with incoming [IMU](#page-19-0) measurements [\[5\]](#page-144-5). In this chapter, the gravity-corrected linear velocity,  $\mathbf{v}_m$ , and angular velocity,  $\boldsymbol{\omega}_m$ , are considered as [IMU](#page-19-0) measurements. The "Starry Night" dataset [\[5\]](#page-144-5) in Section [3.3.2](#page-62-0) provides only the gravity-corrected linear velocities. However, the "KITTI" dataset [\[7\]](#page-145-4) used in Section [3.3.3](#page--1-0) provides both gravity-corrected linear velocities and raw linear acceleration. To maintain consistency with the previous dataset, only gravity-corrected linear velocities are utilized.

Unlike the prediction step outlined in Section [2.1.1.1,](#page-36-3) the motion model equations are obtained by discretizing the continuous-time [IMU](#page-19-0) system model [\[4\]](#page-144-3). The following continuous-time motion model describes the evolution of the estimated [IMU](#page-19-0) state  $\hat{\mathcal{P}}_I$  over time:

$$
{}_{W}^{I}\dot{\hat{\mathbf{q}}} = \frac{1}{2}\Omega({}^{I}\hat{\boldsymbol{\omega}}){}^{I}_{W}\hat{\mathbf{q}}, \quad \dot{\hat{\mathbf{b}}}_{\omega} = \mathbf{0}_{3\times 1},
$$
  

$$
\dot{\hat{\mathbf{b}}}_{v} = \mathbf{0}_{3\times 1}, \quad {}^{W}\dot{\hat{\mathbf{t}}}_{I} = \hat{\mathbf{R}}_{I}^{WI}\hat{\mathbf{v}}.
$$
 (3.17)

The rotational velocity,  $\hat{\boldsymbol{\omega}}$ , and linear velocity,  $\hat{\mathbf{v}}$ , are both expressed in the [IMU](#page-19-0) frame. These can be computed using the [IMU'](#page-19-0)s measurements of velocity,  $\mathbf{v}_m$ , and gyro,  $\boldsymbol{\omega}_m$ , as follows:

$$
{}^{I}\hat{\mathbf{v}} = {}^{I}\mathbf{v}_{m} - \hat{\mathbf{b}}_{v}, \quad {}^{I}\hat{\boldsymbol{\omega}} = {}^{I}\boldsymbol{\omega}_{m} - \hat{\mathbf{b}}_{\omega}.
$$
 (3.18)

The linearized continuous-time model of the [IMU](#page-19-0) error state can be expressed as:

$$
\dot{\widetilde{\mathcal{P}}}_I = \mathbf{F}\widetilde{\mathcal{P}}_I + \mathbf{G}\mathbf{n}_I,\tag{3.19}
$$

where the error-state,  $\widetilde{\mathcal{P}}_I$ , is defined as:

$$
\widetilde{\mathcal{P}}_I = \begin{bmatrix} \delta \boldsymbol{\theta}_I^\top & \widetilde{\mathbf{b}}_w^\top & \widetilde{\mathbf{b}}_v^\top & W \widetilde{\mathbf{t}}_I^\top \end{bmatrix}^\top. \tag{3.20}
$$

 $\text{Here, } \textbf{n}_I \, = \, \left[ \begin{array}{ccc} \textbf{n}_\omega^\top & \textbf{n}_{b_\omega}^\top & \textbf{n}_v^\top & \textbf{n}_{b_v}^\top \end{array} \right]$  $\int_{0}^{\top}$  represents the [IMU](#page-19-0) process noise with covariance matrix Q. While the error-state of position and biases can be directly calculated by the difference between the true and estimated values, the error quaternion,  $\delta \bar{\mathbf{q}}$ , is defined as:

$$
\delta \bar{\mathbf{q}} \simeq \left[ \begin{array}{cc} \frac{1}{2} \delta \boldsymbol{\theta}^T & 1 \end{array} \right]^T. \tag{3.21}
$$

This is determined by the relation  $\bar{\mathbf{q}} = \delta \bar{\mathbf{q}} \otimes \hat{\bar{\mathbf{q}}}$ , and since it describes the small rotation, where only  $\delta\theta$  is used as a minimal representation. The Jacobians **F** and **G** are given by

$$
\mathbf{F} = \begin{bmatrix} -I_{\hat{\omega}} \wedge & -\mathbf{I}_{3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} \\ \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} \\ \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} \\ -\hat{\mathbf{R}}_{I}^{WI}\hat{\mathbf{v}} \wedge & \mathbf{0}_{3\times 3} & -\hat{\mathbf{R}}_{I}^{W} & \mathbf{0}_{3\times 3} \end{bmatrix},
$$
(3.22)  

$$
\mathbf{G} = \begin{bmatrix} -\mathbf{I}_{3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} \\ \mathbf{0}_{3\times 3} & \mathbf{I}_{3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} \\ \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & \mathbf{I}_{3} \\ \mathbf{0}_{3\times 3} & \mathbf{0}_{3\times 3} & -\hat{\mathbf{R}}_{I}^{W} & \mathbf{0}_{3\times 3} \end{bmatrix}.
$$
(3.23)

To account for discrete time intervals, the diferential model needs to be integrated into diferences equations. As described in [\[5\]](#page-144-5), forward Euler integration is used to propagate the motion model. The covariance,  $P_{AA}$ , can be propagated as follows:

$$
\mathbf{P}_{AA_{k|k-1}} = \begin{bmatrix} \mathbf{\Gamma}_{k-1} \mathbf{P}_{II_{k-1|k-1}} \mathbf{\Gamma}_{k-1}^{\top} + \mathbf{G} \mathbf{Q}_{k-1} \mathbf{G}^{\top} \Delta t & \mathbf{\Gamma}_{k-1} \mathbf{P}_{IC_{k-1|k-1}} \\ \mathbf{P}_{IC_{k-1|k-1}}^{\top} \mathbf{\Gamma}_{k-1}^{\top} & \mathbf{P}_{CC_{k-1|k-1}} \end{bmatrix} .
$$
 (3.24)

Here,  $P_{II}$  represents the covariance matrix of the evolving [IMU](#page-19-0) state,  $P_{CC}$  is the covariance matrix of the camera pose estimates in sliding window, and  $P_{IC}$  denotes the correlation between the [IMU](#page-19-0) state and the states in the sliding window. The state transition matrix,  $\Gamma_{k-1}$ , is given by:

$$
\Gamma_{k-1} = \mathbf{I} + \mathbf{F}\Delta t,\tag{3.25}
$$

where  $\Delta t$  is the [IMU](#page-19-0) sampling period. Subsequently, the covariance matrix for the entire state vector in [Comp-MSCKF](#page-18-8) is expressed as:

$$
\mathbf{P}_{k|k-1} = \left[ \begin{array}{c|c|c} \mathbf{P}_{AA_{k|k-1}} & \mathbf{\Gamma}_{k-1} \mathbf{P}_{AS_{L_{k-1|k-1}}} \\ \hline \mathbf{P}_{AS_{L_{k-1|k-1}}}^{\top} \mathbf{\Gamma}_{k-1}^{\top} & \mathbf{P}_{S_{L}S_{L_{k-1|k-1}}} \\ \hline \mathbf{P}_{LG_{k-1|k-1}}^{\top} \mathbf{\Gamma}_{k-1}^{\top} & \mathbf{P}_{GG_{k-1|k-1}} \end{array} \right].
$$
 (3.26)

It is evident that the correlation between the local and global states can be compressed as until the new image is detected:

$$
\mathbf{P}_{LG}(k) = \left(\prod_{i=1}^{k} \mathbf{\Gamma}_i\right) \mathbf{P}_{LG}(0). \tag{3.27}
$$

#### 3.2.2 Update

In the update step, the observations are frst used to update the local state, and the correlation is accumulated. As discussed in Section [3.1.2,](#page-52-3) following the update step allows achieving Equation [\(3.6\)](#page-54-1). The measurement Jacobian,  $\mathbf{H}'_x$ , exhibits sparsity and solely contains values corresponding to the local state, represented as  $\mathbf{H}'_x = \begin{bmatrix} \mathbf{H}_{L_x} & \mathbf{0}_{G_x} \end{bmatrix}$ . Consequently, the residual measurement can be expressed as follows:

$$
\mathbf{e}'_f \simeq \mathbf{H}_{L_x} \widetilde{\mathcal{X}}_{L_{k|k-1}} + \mathbf{n}'_{f_k}.\tag{3.28}
$$

Utilizing this model, the update process of the state estimate unfolds as in Equation [\(2.15\)](#page-37-0):

$$
\hat{\mathcal{X}}_{L_k|k} = \hat{\mathcal{X}}_{L_k|k-1} + \mathbf{K}_{L_k} \mathbf{e}'_f,\tag{3.29}
$$

$$
\hat{\mathcal{X}}_{G_k|k} = \hat{\mathcal{X}}_{G_k|k-1} + \mathbf{K}_{G_k} \mathbf{e}_f',\tag{3.30}
$$

where the Kalman gain,  $K$ , can be computed as:

$$
\mathbf{K}_{k} = \mathbf{P}_{k|k-1} \mathbf{H}_{x}' \mathbf{S}_{k}^{-1} = \begin{bmatrix} \mathbf{P}_{LL_{k|k-1}} \mathbf{H}_{L_{x}}^{\top} \mathbf{S}_{k}^{-1} \\ \mathbf{P}_{GL_{k|k-1}} \mathbf{H}_{L_{x}}^{\top} \mathbf{S}_{k}^{-1} \end{bmatrix} = \begin{bmatrix} \mathbf{K}_{L_{k}} \\ \mathbf{K}_{G_{k}} \end{bmatrix}.
$$
 (3.31)

Here,  $\mathbf{S}_k = \mathbf{H}_{x}^{\prime} \mathbf{P}_{k|k-1} {\mathbf{H}_{x}^{\prime}}^{\top} + \mathbf{R}_{k}^{\prime} = \mathbf{H}_{L_x} \mathbf{P}_{LL_{k|k-1}} \mathbf{H}_{L_x}^{\top} + \mathbf{R}_{k}^{\prime}$ , and therefore the form of the updated covariance matrix is represented as:

$$
\mathbf{P}_{k|k} = \mathbf{P}_{k|k-1} - \mathbf{K}_{k} \mathbf{S}_{k} \mathbf{K}_{k}^{T}
$$
\n
$$
= \mathbf{P}_{k|k-1} - \begin{bmatrix} \mathbf{P}_{LL_{k|k-1}} \mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \\ \mathbf{P}_{GL_{k|k-1}} \mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \end{bmatrix} \mathbf{S}_{k} \begin{bmatrix} \mathbf{P}_{LL_{k|k-1}} \mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \\ \mathbf{P}_{GL_{k|k-1}} \mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \end{bmatrix}^{T}
$$
\n
$$
= \mathbf{P}_{k|k-1} - \begin{bmatrix} \mathbf{P}_{LL_{k|k-1}} (\mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \mathbf{H}_{Lx}) \mathbf{P}_{LL_{k|k-1}} & (\mathbf{P}_{LL_{k|k-1}} (\mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \mathbf{H}_{Lx})) \mathbf{P}_{LG_{k|k-1}} \\ (\mathbf{P}_{LL_{k|k-1}} (\mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \mathbf{H}_{Lx}) \mathbf{P}_{LG_{k|k-1}})^{T} & \mathbf{P}_{GL_{k|k-1}} (\mathbf{H}_{Lx}^{T} \mathbf{S}_{k}^{-1} \mathbf{H}_{Lx}) \mathbf{P}_{LG_{k|k-1}} \end{bmatrix} .
$$
\n(3.32)

Until the new local boundary is defined, the calculation of  $\hat{\mathcal{X}}_G$ ,  $P_{LG}$ , and  $P_{GG}$  adopts a compressed approach to efficiently manage computational complexity while continuously updating  $\hat{\mathcal{X}}_L$  and  $\mathbf{P}_{LL}$ . The reduction of correlation and global state terms can be rewritten as follows:

$$
\mathbf{P}_{LG_{k|k}} = \mathbf{P}_{LG_{k|k-1}} - \mathbf{\Upsilon} \mathbf{P}_{LG_{k|k-1}} = \mathbf{\Phi} \mathbf{P}_{LG_{k|k-1}},
$$
\n(3.33)

$$
\mathbf{P}_{GG_{k|k}} = \mathbf{P}_{GG_{k|k-1}} - \left(\mathbf{P}_{GL_{k|k-1}} \mathbf{\Psi} \mathbf{P}_{LG_{k|k-1}}\right),\tag{3.34}
$$

$$
\hat{\mathcal{X}}_{G_{k|k}} = \hat{\mathcal{X}}_{G_{k|k-1}} + \left(\mathbf{P}_{GL_{k|k-1}} \mathbf{H}_{L_x}^T \mathbf{S}_k^{-1} \mathbf{e}'_f\right). \tag{3.35}
$$

As a result, the accumulated form of the estimated global state  $\hat{\mathcal{X}}_G$ , correlation term  $P_{LG}$ , and  $P_{GG}$  can be expressed as follows:

$$
\mathbf{P}_{LG}(k) = \left(\prod \mathbf{\Phi}\right) \mathbf{P}_{LG}(0) = \mathbf{\Phi}(k,0) \mathbf{P}_{LG}(0),\tag{3.36}
$$

$$
\mathbf{P}_{GG}(k) = \mathbf{P}_{GG}(0) - \mathbf{P}_{GL}(0) \left( \sum \boldsymbol{\Phi}(k, 0)^T \boldsymbol{\Psi} \boldsymbol{\Phi}(k, 0) \right) \mathbf{P}_{LG}(0), \tag{3.37}
$$

$$
\hat{\mathcal{X}}_G(k) = \hat{\mathcal{X}}_G(0) + \mathbf{P}_{GL}(0) \left( \sum \mathbf{\Phi}(k, 0)^T \mathbf{H}_L^T \mathbf{S}^{-1} \mathbf{e}'_f \right).
$$
 (3.38)

<span id="page-60-0"></span>

<span id="page-60-1"></span>Figure 3.5: The result of Comp-MSCKF in MATLAB simulator comparing with Full-MSCKF (Full-MSCKF involves loop-closure and updates entire state vector and covariance matrix upon each incoming data): (a) Final trajectory (b) Cumulative time cost.

Using this compressed correlation term, the global map and covariance can be recovered at a much lower rate whenever the local map boundary changes.

#### 3.3 Performance Evaluations of Comp-MSCKF

This section assesses the performance of [Comp-MSCKF](#page-18-8) through both simulation and realworld datasets. In the simulation, the convergence of key-frame states is evaluated, focusing on the uncertainty of these states within the context of [Comp-MSCKF.](#page-18-8) Additionally, experiments are conducted using the "Starry Night" and "KITTI" datasets, comparing the performance of [Comp-MSCKF](#page-18-8) with that of standard [MSCKF](#page-19-4) [\[4\]](#page-144-3) and Schmidt[-MSCKF](#page-19-4) [\[6\]](#page-144-1). All experiments are performed in MATLAB.

#### 3.3.1 Simulation

For the experimental validation of the proposed method, a high-fdelity MATLAB simulator [\[14\]](#page-145-5) was used, as illustrated in Figure [3.1.](#page-49-0) This simulator, named [CP-SLAM,](#page-18-10) is designed for comprehensive all-source navigation. To assess [Comp-MSCKF,](#page-18-8) only the visual-inertial sensors were utilized. The generation of sensor data, encompassing [IMU](#page-19-0) readings at a rate of 100Hz and vision data at 20Hz, accurately follows a simulated trajectory employing realistic sensor models to replicate real-world conditions. Detailed IMU

Sensor	Type	Unit	Specification
	Sampling rate	Ηz	100
	Accel bias	mg	2
	Gyro bias	$\degree/\mathrm{h}$	100
IMU	Accel bias stability	g, $1\sigma$	0.02
	Gyro bias stability	$\degree/\mathrm{h}, 1\sigma$	100
	Accel bias correlation time	S	300
	Gyro bias correlation time	S	300
	Frame rate	Hz	20
	FOV angle	$\circ$	30
Camera	Range noise	m	
	Bearing noise	۰	
	Elevation noise	$\circ$	1.5

<span id="page-61-0"></span>Table 3.1: Simulation setup parameters for the IMU and Camera [\[14\]](#page-145-5).

and camera parameters used in the simulation are provided in Tabl[e3.1.](#page-61-0) To demonstrate the efectiveness of [Comp-MSCKF,](#page-18-8) the simulator is adapted to enable the integration of key-frames into the state vector. The frequency of this integration is linked to the camera's feld-of-view and the vehicle's speed. For simplicity, a 5-second interval is employed in this work to achieve a balanced coverage of the trajectory.

The outcomes of the proposed method, [Comp-MSCKF,](#page-18-8) are presented in Figure [3.5\(a\),](#page-60-0) showcasing the trajectory results in comparison to Full-MSCKF. The Full-MSCKF updates the entire state vector along with its covariance matrix upon each data input, incorporating loop-closure without compressing the data. The Root Mean Square Error [\(RMSE\)](#page-20-10) of [Comp-MSCKF](#page-18-8) is 8.655m, higher than that of Full-MSCKF, which is 4.519m. However, as illustrated in Figure [3.5\(b\),](#page-60-1) [Comp-MSCKF](#page-18-8) provides a temporal perspective on computational efficiency over Full-MSCKF.

Figure [3.6](#page-62-1) provides insights into the uncertainty evolution of keyframes, featuring a total of 14 registered keyframes. The compressed update strategy is strategically applied as the vehicle approaches the local map boundary, leading to the re-centering of the local map at the current vehicle location. In the simulation, the local map is re-centered at approximately  $1.5 - 2$  seconds. A notable loop-closure event occurs around 70 seconds, during which the uncertainty of keyframes signifcantly decreases. Figure [3.6\(d\)](#page-62-2) provides an enhanced view of key-frame number 4, illustrating the efects of both sensor updates and compressed updates. Around 20 seconds, the fourth key-frame is incorporated into the state vector and consistently updated based on vision information. By approximately 24 seconds, it transitions to a global state as it surpasses the local boundary. From that point, it compresses all incoming information, and at around 25 seconds, with the boundary change, all the compressed information related to the fourth key-frame is updated.

<span id="page-62-1"></span>

<span id="page-62-2"></span>Figure 3.6: The evolution of uncertainty in key-frames: A comparison between XYZ and an enhanced view of  $4^{th}$  key-frame, highlighting compressed updates during the simulation.

Subsequently, only global updates occur until the loop-closure event. This comprehensive set of results provides a detailed understanding of the performance, accuracy, and computational efficiency of the [Comp-MSCKF.](#page-18-8)

#### <span id="page-62-0"></span>3.3.2 "Starry Night" Dataset

The "Starry Night" dataset [\[5\]](#page-144-5) consists of stereo vision and pre-processed [IMU](#page-19-0) readings within an environment featuring static landmarks, as illustrated in Figure [3.7](#page--1-1) and Table [3.2.](#page--1-2) Only the monocular camera data from the left is utilized for this experiment, and



Figure 3.7: Dataset environment of "Starry Night" [\[5\]](#page-144-5): (a) The hand-held sensor head used in experiments. (b) Overview of the data collection environment.



Horizontal optical center pixels

Table 3.2: Sensor parameters used in "Starry Night" dataset [\[5\]](#page-144-5).

the right camera's information is disregarded. The Vicon motion capture system records sensor head motion and feature positions, serving as the ground-truth.

Vertical optical center pixels 247.4814

The original dataset observes a set of 20 features, but it has been enhanced by introducing synthetic features distributed with larger spatial extents, resulting in a maximum of 500 features. The modified dataset preserves the [IMU](#page-19-0) data from the original set and introduces zero-mean Gaussian noise to corrupt the synthetic camera measurements [\[5\]](#page-144-5).

For the experiments, instead of marginalizing cloned camera poses from the sliding window of the active state, specific clones are retained as key-frames in the state vector for loopclosure as similar to [\[6\]](#page-144-1). The selection of key-frames can be based on various heuristics. In this work, new key-frames are simply added at fixed time intervals, and all feature IDs are pre-defined for identifying loop-closure candidates in key-frame-based loop closing.

The trajectory estimation results of the proposed [Comp-MSCKF](#page-18-8) are visually presented

<span id="page-64-0"></span>

(a) The Estimated Trajectories



(b) Translation and Rotational Error

<span id="page-64-1"></span>Figure 3.8: The trajectory estimations for the "Starry Night" dataset are provided for the proposed Comp-MSCKF, Schmidt-MSCKF [\[6\]](#page-144-1), and standard MSCKF [\[4\]](#page-144-3). Examining the translation error, it is evident that the error of the standard MSCKF increases over time. In contrast, both Comp-MSCKF and Schmidt-MSCKF efectively maintain bounded error throughout the duration.

in Figure [3.8\(a\),](#page-64-0) ofering a comparative analysis alongside Schmidt[-MSCKF](#page-19-4) and standard [MSCKF.](#page-19-4) Notably, both [Comp-MSCKF](#page-18-8) and Schmidt[-MSCKF](#page-19-4) demonstrate a reasonable degree of proximity to the ground-truth trajectory, highlighting their efficacy in



<span id="page-65-0"></span>Table 3.3: Comparison of RMSE values for translation and rotation, alongside the fnal translation error: Standard MSCKF, Schmidt-MSCKF, and Comp-MSCKF on the "Starry Night" Dataset.

accurately estimating the pose by including the key-frames for loop-closure. In contrast, standard [MSCKF](#page-19-4) exhibits a divergence from the ground-truth trajectory, suggesting a susceptibility to cumulative error over time, as evident in Figure [3.8\(b\).](#page-64-1) These results afrm the superior accuracy and precision of [Comp-MSCKF](#page-18-8) in trajectory estimation, particularly when compared to standard [MSCKF](#page-19-4) without loop-closure. The inclusion of key-frames for loop-closure proves instrumental in mitigating cumulative errors.

For a more detailed evaluation, Table [3.3](#page-65-0) comprehensively compares translational and rotational accuracy metrics among the three methodologies[—MSCKF,](#page-19-4) [Comp-MSCKF,](#page-18-8) and Schmidt[-MSCKF.](#page-19-4) The standard [MSCKF,](#page-19-4) operating without loop-closure, demonstrates the highest errors in translation [RMSE,](#page-20-10) rotational [RMSE,](#page-20-10) and fnal translation error, with recorded values of 0.248m, 0.161°, and 1.279m, respectively.

In contrast, [Comp-MSCKF](#page-18-8) emerges as the standout performer, showcasing the lowest errors in translation [RMSE](#page-20-10) at 0.082m, rotational [RMSE](#page-20-10) at 0.062°, and fnal translation error at 0.123m. Schmidt[-MSCKF](#page-19-4) also exhibits favourable performance, with errors slightly higher than [Comp-MSCKF,](#page-18-8) recording values of 0.091m, 0.070<sup>°</sup>, and 0.155m for translation [RMSE,](#page-20-10) rotational [RMSE,](#page-20-10) and fnal translation error, respectively.

The integration of key-frames for loop-closure proves instrumental in mitigating cumulative errors, underscoring the superior accuracy and precision of [Comp-MSCKF](#page-18-8) in trajectory estimation when compared to both Schmidt[-MSCKF](#page-19-4) and standard [MSCKF.](#page-19-4) However, it is essential to consider the computational complexity as well. As highlighted in Table [3.4,](#page--1-3) the computational time for [Comp-MSCKF](#page-18-8) is 328.86 seconds, which is marginally higher than Schmidt[-MSCKF](#page-19-4) at 320.92 seconds. Nevertheless, this diference in computational time can be perceived as reasonable in comparison to the Full[-MSCKF,](#page-19-4) which reports a computational time of 338.90 seconds. The efficiency of [Comp-MSCKF](#page-18-8) in terms of both accuracy and computational time makes it a compelling choice for applications demanding a balance between precision and computational efficiency.







FIGURE 3.9: The sensor setup for collecting the "KITTI" dataset [\[7\]](#page-145-4).

#### 3.3.3 "KITTI" Dataset

The publicly accessible dataset mentioned here was collected by moving platforms, as illustrated in Figure  $3.9(a)$ . It stands as a widely used benchmark dataset in the fields of computer vision and robotics. The "KITTI" dataset provides a rich set of data, including camera images, laser scans, high-precision [GPS](#page-19-14) measurements, and [IMU.](#page-19-0) The [GPS/](#page-19-14)[IMU](#page-19-0) system is combined, and the extrinsic setup is depicted in Figure [3.9\(b\).](#page--1-5) For each frame, 30 different [GPS/](#page-19-14)[IMU](#page-19-0) values are provided, encompassing geographic coordinates such as altitude, global orientation, velocities, accelerations, angular rates, accuracies, and satellite information. The dataset offers ground-truth trajectory information obtained from highprecision [GPS](#page-19-14) measurements to assess the accuracy and reliability of [SLAM](#page-20-0) algorithms.

As outlined in Section [3.2.1,](#page-56-0) the [IMU](#page-19-0) measurement incorporates a pre-processed linear velocity instead of raw linear acceleration. Image processing for feature extraction is conducted using ORB-SLAM3 [\[12\]](#page-145-2), a state-of-the-art [SLAM](#page-20-0) algorithm. Each extracted feature is assigned a predefined ID, enhancing the understanding and tracking of visual features throughout the experiment.

<span id="page-67-0"></span>

Figure 3.10: Comparative Trajectories of Comp-MSCKF and standard MSCKF on "KITTI" datasets (Both methods failed to close the loop, demonstrating divergence. Notably, MSCKF in sequence 07 exhibited divergence, even in the middle of the trajectory. Trajectories are plotted only until the point of divergence).

The results of the [Comp-MSCKF](#page-18-8) estimation are depicted in Figure [3.10.](#page-67-0) Schmidt[-MSCKF](#page-19-4) yields very similar outcomes to [Comp-MSCKF;](#page-18-8) hence, only the results of [Comp-MSCKF](#page-18-8) are presented in comparison with the standard [MSCKF.](#page-19-4) Unfortunately, both Schmidt and [Comp-MSCKF](#page-18-8) encounter difficulties in closing the loop. The presented figures extend only until the divergence point in sequences 06 and 07 of the "KITTI" dataset. In the case of the standard [MSCKF,](#page-19-4) it exhibits drift over time and fails to complete sequence 07 of the "KITTI" dataset, diverging in the middle of the trajectory.

To offer a more comprehensive assessment of the proposed method's effectiveness in loopclosure with key-frame states, a dataset that provides the advantage of observing the same features multiple times is necessary. This facilitates more frequent loop-closure updates, which can reveal and address significant drifts in the trajectory. In contrast, the "KITTI" dataset involves collinear motion within a large environment, requiring an extended duration to observe loop-closure features. The extended duration in the "KITTI" dataset poses a challenge when performing loop-closure updates using key-frame states. Over time, the trajectory estimate accumulates drift, and uncertainties may collapse after a loop-closure. Moreover, in the feature extraction process using ORB-SLAM3 [\[12\]](#page-145-2), features with minimal parallax are deliberately removed. While this is done to improve computational efficiency, it may potentially impact the efectiveness of the proposed [Comp-MSCKF,](#page-18-8) particularly in scenarios where minimal parallax features could contribute to loop-closure.

#### 3.4 Summary

The application of the compressed fltering framework to an [MSCKF](#page-19-4) [\(Comp-MSCKF\)](#page-18-8) has the advantage of retaining pose key-frames in the state while efectively limiting computational complexity to  $\mathcal{O}(N_L^2)$ , where  $N_L$  represents the number of local key-frames. This approach demonstrates improved performance when compared to both the standard [MSCKF](#page-19-4) and Schmidt[-MSCKF.](#page-19-4)

However, challenges arise when simultaneously dealing with the information of states marginalized within the sliding window and compressed within the local area. This concurrent processing introduces the potential for information loss, signifcantly impacting the overall accuracy of the system. Distinguishing between local and global information becomes particularly challenging, especially in the context of a monocular camera. Therefore, developing a suitable strategy for compressing data is essential while preserving the fundamental [MSCKF](#page-19-4) framework.

Furthermore, in scenarios where loop closing fails, this consideration becomes crucial, especially given the demonstrated efectiveness of optimization-based methods over flteringbased methods. Optimization methods stand out for their ability to propagate loopclosure data backward along the trajectory estimate. It's noteworthy that, while the [Comp-MSCKF](#page-18-8) ofers advantages in terms of computational complexity, it tends to be more sensitive to tuning parameters than optimization-based methods.

Additionally, as observed in the "KITTI" dataset, characterized by larger and longer trajectories, addressing the handling of features with minimal parallax emerges as a critical aspect requiring thoughtful consideration and tailored solutions. In the next chapter (Chapter [4\)](#page-70-0), I present [PVI-SLAM,](#page-19-15) a novel approach founded on [PBA,](#page-19-16) aimed at addressing and overcoming the challenges presented in this chapter.

## <span id="page-70-0"></span>Chapter 4

# Parallax Bundle Adjustment with Inertial Measurement Unit

As highlighted in Chapter [3,](#page-48-0) filtering-based approaches encountered difficulties when closing loops, especially in larger and longer trajectories. These difculties were due to the accumulated drift over time, even with the incorporation of key-frames in the state vector for loop-closure. Addressing these challenges, the current chapter shifts its attention toward optimization-based methods. Nonlinear optimization techniques employed in these methods have demonstrated the potential for achieving superior accuracy compared to their fltering-based counterparts. This shift in focus is fueled by the advancements in computer technology.

In this chapter, a novel solution named **[PVI-SLAM](#page-19-15)** is proposed. The method aims to deliver robust, tightly-coupled, optimization-based [VI-SLAM](#page-20-3) by leveraging parallax angle for feature parametrization and pre-integrating [IMU](#page-19-0) measurements in continuous-time.

[BA](#page-18-7) plays a crucial role in the back-end process of modern [VI-SLAM](#page-20-3) systems. The chapter begins by introducing the parallax feature parameterization method for [BA.](#page-18-7) This approach proves efective in addressing challenges related to features observed at minimal parallax angles, particularly in scenarios involving collinear motion.

Building upon the [PBA](#page-19-16) foundation [\[89\]](#page-154-0), the chapter proposes the integration of [IMU](#page-19-0) data to enhance the accuracy of state estimation and overcome the challenge of recovering the correct metric scale in monocular vision-only systems. The [IMU](#page-19-0) measurements undergo pre-integration using [UGPM,](#page-20-6) and a comparative analysis is conducted with Standard Preintegrated Measurement [\(PM\)](#page-19-17). In the case of [UGPM,](#page-20-6) the [GP](#page-19-11) method is utilized,

providing continuous and non-parametric representations of the system's dynamics. This integration introduces a dynamic dimension to the system, enhancing its robustness and enabling a more accurate representation of the state.

Following this, a novel [VI-SLAM](#page-20-3) system that employs parallax parametrization in the manifold domain [\(PVI-SLAM\)](#page-19-15) is presented. A compatible error function utilizing the observation ray is implemented to further enhance the robustness of the system. This approach aims to improve the accuracy and reliability of the system, particularly in scenarios with challenging visual conditions or complex motion patterns.

The subsequent sections conduct a robustness analysis of the proposed method through evaluations on publicly available datasets, including "MALAGA", "Starry Night", "Eu-RoC", and "KITTI". The proposed system's robustness is demonstrated and compared with state-of-the-art methods, highlighting its efficacy in addressing the aforementioned challenges and providing a comprehensive understanding of its performance across diverse scenarios.

#### 4.1 Parallax Feature Parametrization

The modern [BA](#page-18-7) algorithm commonly uses Euclidean XYZ coordinates to represent the locations of features,  $f_j$ , in [3D](#page-18-4) [\[94](#page-154-3)[–96\]](#page-154-4), as illustrated in Figure [4.1\(a\):](#page-72-0)

$$
\mathbf{f}_{j}^{XYZ} = [X_j, Y_j, Z_j]^{\top}.
$$
\n(4.1)

An alternative method for parametrizing feature position is the [IDP](#page-19-12) proposed by Civera et al. [\[93\]](#page-154-5), as depicted in Figure [4.1\(b\).](#page-72-1) It was proposed that the inverse depth of the feature can be used in monocular [SLAM.](#page-20-0) [IDP](#page-19-12) is defned relative to the frst camera pose that observed the feature as:

$$
\mathbf{f}_{j}^{IDP} = [x_j, y_j, z_j, \psi_j, \theta_j, \rho_j]^\top , \qquad (4.2)
$$

where  $x_j$ ,  $y_j$ , and  $z_j$  are the camera pose in the first observation of feature  $f_j$ , and  $\psi_j$  and  $\theta_j$  represent azimuth and elevation. The point's depth along the ray  $d_i$  is encoded by its inverse  $\rho_j = 1/d_i$ .

Both the XYZ feature parametrization and [IDP](#page-19-12) prove efective when dealing with features situated at a considerable distance with sufficient parallax angles, as demonstrated in Figure [4.2\(a\).](#page-73-0) However, the advantages of [IDP](#page-19-12) become particularly pronounced when


FIGURE 4.1: Different feature parametrization methods.



<span id="page-73-1"></span><span id="page-73-0"></span>FIGURE 4.2: Different case of feature observations.

features are at a long distance, as depicted in Figure [4.2\(b\).](#page-73-0) In such scenarios, the XYZ feature parametrization tends to be less efective due to the high uncertainty in-depth estimates for distant features and the elevated position uncertainty for features with low parallax. Conversely, neither the XYZ feature parametrization nor [IDP](#page-19-0) provides adequate information when feature observations are close and aligned with the two cameras, as shown in Figure  $4.2(c)$ .

Various strategies have been employed to address the challenges posed by problematic features in the context of [VI-SLAM,](#page-20-0) as discussed in [\[97\]](#page-154-3). RANdom SAmple Consensus [\(RANSAC\)](#page-19-1)[\[98\]](#page-154-4) is a common choice for feature selection and elimination of features with small parallax, as seen in many modern [SLAM](#page-20-1) approaches [\[10,](#page-145-0) [12,](#page-145-1) [30\]](#page-147-0). However, [RANSAC](#page-19-1) is essentially a randomized method lacking awareness of the frame's structure, including motion state and feature reliability [\[46\]](#page-149-0). As highlighted in [\[93\]](#page-154-1), an approach proposed by [\[99\]](#page-155-0) introduces a hybrid method. This selectively utilizes problematic features for rotation estimation, aiming to maintain consistency and enhance accuracy by combining them with reliable nearby features, which inherently have lower uncertainty. Another approach, proposed by [\[100\]](#page-155-1), involves using inertial measurements to determine weights for each feature. This assigns lower weight to problematic features, mitigating their impact on the overall estimation.

However, the challenge persists in the detection and categorization of features into nonproblematic and problematic categories, underscoring the complexity of this mechanism and its potential impact on the entire system [\[89\]](#page-154-2).

To overcome this challenge in the proposed [PVI-SLAM,](#page-19-2) the parallax parametrization method proposed by Zhao et al. [\[89\]](#page-154-2) is integrated, which introduces the parallax angle into the state vector as:

<span id="page-74-2"></span>
$$
\mathbf{f}_j = [\psi_j, \theta_j, \omega_j]^\top,\tag{4.3}
$$

where  $\psi_j$ ,  $\theta_j$ , and  $\omega_j$  are the azimuth, elevation angle, and parallax angle, respectively. These parametrization parameters are determined by way of selecting main and associate anchors. The main anchor corresponds to the pose at which the observation of  $f_i$  is initially recorded. Subsequently, the pose that observes the same feature for the second time becomes the associate anchor. When the feature is observed more than twice, the main and associate anchors can be substituted with either the maximum or parallax angle exceeding a predefned threshold.

The inclusion of parallax parameters has demonstrated superior accuracy, efficiency, and convergence properties compared to other [BA](#page-18-0) parametrization methods [\[89\]](#page-154-2).

# <span id="page-74-3"></span>4.2 IMU pre-integration

Diferent from the content covered in Chapter [3,](#page-48-0) this chapter centers on a 6[-DoF](#page-18-1) [IMU,](#page-19-3) which integrates measurements from a 3-axis gyroscope and a 3-axis accelerometer. These measurements result in noisy and biased data for the linear acceleration, represented as  $\mathbf{a}_m$ , and the angular velocity, denoted as  $\boldsymbol{\omega}_m$ , at time t in the inertial frame  $\{I\}$ , expressed as:

<span id="page-74-1"></span><span id="page-74-0"></span>
$$
{}^{I_t}\mathbf{a}_m(t) = \mathbf{R}_{I_t}^W(t)^\top \left( {}^W\mathbf{a}(t) - {}^W\mathbf{g} \right) + \mathbf{b}_a(t) + \boldsymbol{\eta}_a(t), \tag{4.4}
$$

$$
{}^{I_t}\boldsymbol{\omega}_m(t) = {}^{I_t}\boldsymbol{\omega}(t) + \mathbf{b}_{\omega}(t) + \boldsymbol{\eta}_{\omega}(t),
$$
\n(4.5)

where  $\mathbf{R}_{I_t}^W \in SO(3)$  represents the [IMU](#page-19-3) rotation matrix at time t.  $\boldsymbol{\omega}$  is the true instantaneous angular velocity of the  $\{I\}$  relative to global frame  $\{W\}$ , true linear acceleration, a, and the gravity vector, **g**, are specified in  $\{W\}$ .  $\mathbf{b}_a$  and  $\mathbf{b}_\omega$  refer to slowly varying sensor biases. The terms  $\eta_a$  and  $\eta_\omega$  represent zero-mean Gaussian noises associated with linear acceleration and angular velocity, respectively, with variances  $\sigma_a^2$  and  $\sigma_{\omega}^2$ .

The kinematic model is expressed through the following equations:

$$
\dot{\mathbf{R}}_{I_t}^W(t) = \mathbf{R}_{I_t}^W(t)^{I_t} \boldsymbol{\omega}(t)^\wedge,
$$
\n(4.6)

$$
W\dot{\mathbf{v}}_{I_t}(t) = W\mathbf{a}(t),\tag{4.7}
$$

$$
W\dot{\mathbf{t}}_{I_t}(t) = W_{\mathbf{V}_{I_t}}(t),\tag{4.8}
$$

where  $\cdot$  represents the differentiation operator with respect to time t.  $W_{\mathbf{V}_{I_t}}$  and  $W_{\mathbf{t}_{I_t}}$  are the position and velocity of the [IMU](#page-19-3) at time  $t$  in the global frame  $W$ , respectively. The computation of the pose and velocity at time  $t_2$  based on the known initial conditions at time  $t_1$  is expressed as follows:

$$
\mathbf{R}_{I_2}^W = \mathbf{R}_{I_1}^W \left( \prod_{t_1}^{t_2} \text{Exp}\left( \frac{I_t}{\omega(t)} \right)^{dt} \right)
$$
(4.9)

$$
W_{\mathbf{V}_{I_2}} = W_{\mathbf{V}_{I_1}} + \int_{t_1}^{t_2} W_{\mathbf{a}}(t) dt
$$
\n(4.10)

$$
W_{\mathbf{t}_{I_2}} = W_{\mathbf{t}_{I_1}} + W_{\mathbf{V}_{I_2}} \Delta t + \int_{t_1}^{t_2} \int_{t_1}^t W_{\mathbf{a}}(s) ds dt \tag{4.11}
$$

Using Equation  $(4.4)$  and Equation  $(4.5)$ , the above equations can be expressed as a function of the [IMU](#page-19-3) measurements  $\mathbf{a}_m$  and  $\pmb{\omega}_m$ :

$$
\mathbf{R}_{I_2}^W = \mathbf{R}_{I_1}^W \left( \prod_{t_1}^{t_2} \text{Exp}\left( \frac{I_t}{\boldsymbol{\omega}_m(t)} - \mathbf{b}_{\omega}(t) \right)^{dt} \right) \tag{4.12}
$$

$$
W_{\mathbf{V}_{I_2}} = W_{\mathbf{V}_{I_1}} + \mathbf{g}\Delta(t) + \int_{t_1}^{t_2} \mathbf{R}_{I_t}^W(t) \left( \begin{matrix} I_t \mathbf{a}_m(t) - \mathbf{b}_\omega(t) \end{matrix} \right) dt \tag{4.13}
$$

$$
W_{\mathbf{t}_{I_2}} = W_{\mathbf{t}_{I_1}} + W_{\mathbf{V}_{I_1}} \Delta t + \frac{1}{2} W_{\mathbf{g}} \Delta t^2
$$
  
+ 
$$
\int_{t_1}^{t_2} \int_{t_1}^t \mathbf{R}_{I_s}^W(s) (I_s \mathbf{a}_m(s) - \mathbf{b}_\omega(s)) ds dt
$$
 (4.14)

These equations, while suitable for factor graph optimization, possess the limitation of requiring recomputation whenever the linearization point at time  $t_i$  changes. To circumvent

<span id="page-76-0"></span>

Figure 4.3: Overview of UGPM utilizing continuous pre-integration with GP [\[8\]](#page-145-2).

this issue, the relative motion between  $t_1$  and  $t_2$  can be pre-integrated, offering independence from pose and velocity. This pre-integration is expressed as follows:

$$
\Delta \mathbf{R}_{t_2}^{t_1} \doteq (\mathbf{R}_{I_1}^W)^{\top} \mathbf{R}_{I_2}^W = \prod_{t_1}^{t_2} \text{Exp}\left(\frac{I_t}{\omega_m(t)} - \mathbf{b}_{\omega}(t)\right)^{dt} \tag{4.15}
$$

<span id="page-76-1"></span>
$$
\Delta \mathbf{v}_{t_2}^{t_1} \doteq (\mathbf{R}_{I_1}^W)^{\top} \left( {}^W \mathbf{v}_{I_2} - {}^W \mathbf{v}_{I_{t_1}} - {}^W \mathbf{g} \Delta t \right)
$$
  
= 
$$
\int_{t_1}^{t_2} \mathbf{R}_{I_t}^{I_1}(t) \left( {}^{I_t} \mathbf{a}_m(t) - \mathbf{b}_\omega(t) \right) dt
$$
 (4.16)

<span id="page-76-2"></span>
$$
\Delta \mathbf{t}_{t_2}^{t_1} \doteq (\mathbf{R}_{I_1}^W)^{\top} \left( {}^W \mathbf{t}_{I_2} - {}^W \mathbf{t}_{I_1} - {}^W \mathbf{v}_{I_1} \Delta t - \frac{1}{2} {}^W \mathbf{g} \Delta t^2 \right)
$$
  
= 
$$
\int_{t_1}^{t_2} \int_{t_1}^t \mathbf{R}_{I_s}^{I_1}(s) \left( {}^{I_t} \mathbf{a}_m(s) - \mathbf{b}_\omega(s) \right) ds dt
$$
 (4.17)

Unlike  $\Delta \mathbf{R}_{t_2}^{t_1}$ , neither  $\Delta \mathbf{v}_{t_2}^{t_1}$  nor  $\Delta t_{t_2}^{t_1}$  represent the true physical change in velocity and position. Instead, they are defned to ensure the right-hand side of equations remains independent of the state at time  $t_i$  and gravitational effects.

In the proposed work, [PVI-SLAM,](#page-19-2) [GP](#page-19-4) is employed for continuous pre-integration, a technique introduced by Le Gentil et al. [\[8\]](#page-145-2), as illustrated in the overview presented in Fig-ure [4.3.](#page-76-0) This approach differs from [PM,](#page-19-5) as in [\[25\]](#page-147-1) and [\[1\]](#page-144-0), where Equation  $(4.15)$  – Equation [\(4.17\)](#page-76-2) were numerically integrated using the rectangle rule with discrete [IMU](#page-19-3) measurements. Conventional numerical integration treats acceleration and angular velocity between two consecutive [IMU](#page-19-3) timestamps as constant, potentially impacting the accuracy of the system. The use of [GP](#page-19-4) for continuous pre-integration provides a more refned and continuous model of the [IMU](#page-19-3) measurements, allowing for improved accuracy in the integration process.

<span id="page-77-0"></span>

Figure 4.4: The illustration of PVI-SLAM system.

## <span id="page-77-1"></span>4.3 Parallax Visual-Inertial SLAM

In this chapter, the primary objective within the [VI-SLAM](#page-20-0) framework is to simultaneously track the state of the system and map landmarks. These systems are equipped with an [IMU](#page-19-3) and a monocular camera. To achieve this goal, a [PVI-SLAM](#page-19-2) [\[101\]](#page-155-2) system is proposed, making use of both [PBA](#page-19-6) and [UGPM](#page-20-2).

#### 4.3.1 Problem Statement

The state of [PVI-SLAM](#page-19-2) can be represented as:

$$
\mathcal{X} = \{ \mathcal{P}_{I_1}, \cdots, \mathcal{P}_{I_N}, \mathbf{f}_1, \cdots, \mathbf{f}_M \},\tag{4.18}
$$

where  $\mathbf{f}_j$  represents the  $j^{th}$  feature position in [PBA](#page-19-6) parametrization as in Equation [\(4.3\)](#page-74-2) and the [IMU](#page-19-3) state,  $P_I$ , at time i can be written as:

$$
\mathcal{P}_{I_i} = \left\{ \mathbf{R}_{I_i}^W, {}^W \mathbf{t}_{I_i}, {}^W \mathbf{v}_{I_i}, \mathbf{b}_{\omega_i}, \mathbf{b}_{a_i} \right\}.
$$
\n(4.19)

Here,  $\mathbf{R}_{I_i}^W \in SO(3)$  is the rotation matrix of [IMU](#page-19-3) at time i,  $\{I_i\}$ , in the global frame,  $\{W\}$ .  $^{W}\mathbf{v}_{I_i} \in \mathbb{R}^3$  and  $^{W}\mathbf{t}_{I_i} \in \mathbb{R}^3$  are the velocity and position of the [IMU](#page-19-3) in  $\{W\}$  at time *i*. **<sub>a<sub>i</sub></sub> and**  $**b**$ **<sub>** $\omega$ **<sub>i</sub> are slowly varying sensor biases from the [IMU'](#page-19-3)s accelerometer and gyroscope,**</sub> treated as constant between two state timestamps. Camera pose can be obtained using the known extrinsic matrix,  $(\mathbf{R}_C^I, {}^I\mathbf{t}_C)$ , as shown in Equation [\(4.20\)](#page-78-0). A detailed illustration of the reference frames is presented in Figure [4.4.](#page-77-0)

<span id="page-78-0"></span>
$$
\mathbf{R}_{C}^{W} = \mathbf{R}_{I}^{W} \mathbf{R}_{C}^{I}, \quad {}^{W}\mathbf{t}_{C} = {}^{W}\mathbf{t}_{I} + \mathbf{R}_{I}^{WI}\mathbf{t}_{C}.
$$
 (4.20)

The estimation of these states employs [MLE](#page-19-7) as Eqaution [\(2.19\)](#page-40-0). The objective function  $J(\mathcal{X})$  integrates information from various sensor measurements relevant to state estimation. In [PVI-SLAM,](#page-19-2) the objective function of the optimization problem tightly couples the measurements from the [IMU](#page-19-3) and the monocular camera, allowing joint estimation of all states [\[86\]](#page-153-0) as mentioned in Equation [\(2.20\)](#page-40-1). The formulation of this objective function is as follows:

<span id="page-78-2"></span>
$$
J(\mathcal{X}) := \underbrace{\sum_{i=1}^{N} \sum_{j \in \mathcal{J}(i)} \mathbf{e}_{r}^{i,j^{\top}} \mathbf{W}_{r}^{i} \mathbf{e}_{r}^{i,j}}_{\text{visual}} + \underbrace{\sum_{i=1}^{N-1} \mathbf{e}_{s}^{i^{\top}} \mathbf{W}_{s}^{i} \mathbf{e}_{s}^{i}}_{\text{inertial}},
$$
(4.21)

where i and j identify the [IMU](#page-19-3) frame and feature index.  $\mathcal{J}(i)$  includes all visible features in [IMU](#page-19-3) frame at time *i*.  $e_r^{i,j}$  is the reprojection error,  $e_s^i$  is the inertial error, and  $\mathbf{W}_s^i$ represents the inverse covariance of the [IMU](#page-19-3) residual at time i. As the uncertainty of the image coordinates for all features is assumed to be independent and identical, the weight matrix  $\mathbf{W}_r^i$  is considered as an identity matrix.

#### 4.3.2 Parallax-Based Reprojection Error

The reprojection error,  $e_r^{i,j}$ , is computed as the disparity between the observed value,  $\mathbf{u}_j^i$ , and the estimated value,  $\hat{\mathbf{u}}_j^i$ :

<span id="page-78-1"></span>
$$
\mathbf{e}_r^{i,j} = \mathbf{u}_j^i - \hat{\mathbf{u}}_j^i \in \mathbb{R}^2. \tag{4.22}
$$

As discussed in Section [4.1,](#page-71-0) the computation of the reprojection error depends on the selection of anchors. Here, the position of main anchor  $(m)$  in the camera frame is denoted as  $^{W}$ **t**<sub>Cm</sub>, the position of associate anchor (a) in the camera frame is referred to as  $^{W}$ **t**<sub>C<sub>a</sub>,</sub> and all other camera positions are defined as  $W_{\mathbf{t}_{C_i}}$ . Then, the projection model based on parallax angle parametrization can be presented as:

$$
\mathbf{u}_j^i = \begin{bmatrix} u_j^i \\ v_j^i \end{bmatrix} = \pi(\mathbf{K} \ (\mathbf{R}_{C_i}^W)^\top \ \mathbf{x}_j^i), \tag{4.23}
$$

where the function  $\pi(\cdot)$  is defined as:

$$
\begin{bmatrix} u \\ v \end{bmatrix} = \pi \left( \begin{bmatrix} x \\ y \\ z \end{bmatrix} \right) = \begin{bmatrix} x/z \\ y/z \end{bmatrix}.
$$
 (4.24)

Here, **K** is the camera intrinsic matrix,  $\mathbf{R}_{C_i}^W$  is rotation matrix of camera pose i,  $\mathbf{u}_j^i$  is the reprojected image point from feature  $f_j$  to image i, and:

<span id="page-79-0"></span>
$$
\mathbf{x}_{j}^{i} = \begin{cases} \mathbf{x}_{j}^{m}, & \text{if } i = m, \text{ else} \\ \sin(\omega_{j} + \varphi_{j}) \left\| W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}} \right\| \mathbf{x}_{j}^{m} - \sin \omega_{j} \left( W_{\mathbf{t}_{C_{i}}} - W_{\mathbf{t}_{C_{m}}} \right). \end{cases}
$$
(4.25)

 $\mathbf{x}_j^m$  is the unit vector from  ${}^W\mathbf{t}_{C_m}$  to  $\mathbf{f}_j$ :

$$
\mathbf{x}_{j}^{m} = \begin{bmatrix} \sin \psi_{j} \cos \theta_{j} \\ \sin \theta_{j} \\ \cos \psi_{j} \cos \theta_{j} \end{bmatrix},
$$
(4.26)

and  $\varphi_j$  is the angle between the vector  $({}^W\textbf{t}_{C_a} - {}^W\textbf{t}_{C_m})$  and vector  $\textbf{x}_j^m$ :

<span id="page-79-1"></span>
$$
\varphi_j = \arccos\left(\mathbf{x}_j^m \cdot \frac{W\mathbf{t}_{C_a} - W\mathbf{t}_{C_m}}{\|W\mathbf{t}_{C_a} - W\mathbf{t}_{C_m}\|}\right). \tag{4.27}
$$

The values of  $\psi_j$  and  $\theta_j$  can be computed using the following equations:

$$
\psi_j = \operatorname{atan} 2\left(x_j^m, z_j^m\right),\tag{4.28}
$$

$$
\theta_j = \operatorname{atan2}\left(y_j^m, \sqrt{\left(x_j^m\right)^2 + \left(z_j^m\right)^2}\right),\tag{4.29}
$$

where  $\mathbf{x}_j^m = \begin{bmatrix} x_j^m & y_j^m & z_j^m \end{bmatrix}^\top$ . Additionally,  $\omega_j$  can be determined using the equation:

<span id="page-79-2"></span>
$$
\omega_j = \arccos\left(\frac{\hat{\mathbf{x}}_j^m \cdot \hat{\mathbf{x}}_j^a}{\left\|\hat{\mathbf{x}}_j^m\right\| \left\|\hat{\mathbf{x}}_j^a\right\|}\right). \tag{4.30}
$$

#### 4.3.3 Observation Ray Based Error Function

The reprojection error, as mentioned in Equation [\(4.22\)](#page-78-1), plays a crucial role in [VI-SLAM](#page-20-0) by quantifying the disparity between observed image points and the corresponding projections of [3D](#page-18-2) points in the image space. The reprojection error is highly sensitive to pixellevel noise in the images, which highlights the need for careful consideration during the optimization process.

Moreover, challenges may arise during the initialization phase, especially when dealing with features positioned behind the camera. This scenario has the potential to impede the convergence of the optimization process to a valid solution. The reprojection error is known to be infuenced by the initial guess, emphasizing the signifcance of robust initialization strategies in mitigating such challenges.

The observation ray objective function introduces geometric constraints based on the directions of observation rays. This proves advantageous in handling features and their corresponding [3D](#page-18-2) positions, explicitly considering occluded or unseen points. In situations where features are intermittently located behind the camera, this method becomes instrumental in preventing the optimization process from erroneously projecting them onto the image plane. The utilization of observation rays gains particular relevance in resolving depth ambiguities associated with points situated behind the camera, depending on the specific geometry and camera setup [\[97\]](#page-154-3).

By incorporating these geometric constraints, the observation ray objective function signifcantly enhances the overall robustness and accuracy of the system. This contribution is particularly valuable in addressing challenges related to feature initialization and mitigating potential depth ambiguities, ultimately fortifying the system's performance in various scenarios [\[97\]](#page-154-3).

In [PBA](#page-19-6) framework, the observation ray error function, integral to the work in this chapter, is computed using the ray derived in Equation [\(4.25\)](#page-79-0):

<span id="page-80-0"></span>
$$
\mathbf{e}_r^{i,j} = \mathbf{v}_j^i - \hat{\mathbf{v}}_j^i \in \mathbb{R}^3, \tag{4.31}
$$

where

$$
\mathbf{v}_{j}^{i} = \xi \left( \mathbf{K}^{-1} \begin{bmatrix} u_{j}^{i} \\ v_{j}^{i} \\ 1 \end{bmatrix} \right), \quad \hat{\mathbf{v}}_{j}^{i} = \xi \left( (\mathbf{R}_{C_{i}}^{W})^{\top} \mathbf{x}_{j}^{i} \right).
$$
 (4.32)

Here,  $\xi(\cdot) = \frac{1}{|\cdot|}$  represents the normalization operation. This error function plays a crucial role in the system and helps enhance its accuracy by considering the direction of the observation ray.

#### 4.3.4 Inertial and Bias Error

To perform [GP](#page-19-4) as in [\[8\]](#page-145-2), the modelling process commences by defning the following equations and representing  ${}^{I_1}$ **r**<sub> $I_t$ </sub> $(t)$  and  ${}^{I_t}$ **a**<sub> $m$ </sub> $(t)$  as six independent [GP:](#page-19-4)

$$
\mathbf{J}_r \left( {}^{I_1}\mathbf{r}_{I_t}(t) \right) {}^{I_1}\dot{\mathbf{r}}_{I_t}(t) = {}^{I_t}\boldsymbol{\omega}(t) \tag{4.33}
$$

$$
^{I_{1}}\mathbf{a}_{m}(t) = \Delta \mathbf{R}_{t}^{t_{1}}(t)^{I_{t}}\mathbf{a}_{m}(t).
$$
 (4.34)

Here, the rotation vector in  $\{I\}$  at time  $t_1$  is obtained using the logarithm map,  ${}^{I_1}$ **r**<sub> $I_t$ </sub> $(t)$  =  ${\rm Log}\left( {{\bf{R}}_{{I_t}}^{{I_1}}} \right)$  $\binom{I_1}{I_t}(t)$ , and  $\binom{I_1}{I}$  represents the accelerometer measurements reprojected to  $\{I\}$ at time  $t_1$ . The inducing values of these [GP](#page-19-4) are learned by formulating a nonlinear optimization problem based on the actual [IMU](#page-19-3) measurements,  $a_m(t)$  and  $\omega_m(t)$ . After learning, it is possible to infer the pre-integrated measurements,  $\Delta \mathbf{R}_{t_2}^{t_1}$ ,  $\Delta \mathbf{v}_{t_2}^{t_1}$ , and  $\Delta \mathbf{t}_{t_2}^{t_1}$  at any timestamp by analytically integrating and double integrating the continuous signals  $^{I_1}$ **r**<sub> $I_t$ </sub> and  $^{I_1}$ **a**<sub>m</sub>(*t*) [\[8\]](#page-145-2).

In [\[8\]](#page-145-2), the update of biases is incorporated using the first-order expansion, following a similar approach to [\[1,](#page-144-0) [25\]](#page-147-1):

<span id="page-81-0"></span>
$$
\Delta \mathbf{R}_{t_2}^{t_1} (\mathbf{b}_{\omega}) \approx \Delta \mathbf{R}_{t_2}^{t_1} (\overline{\mathbf{b}}_{\omega}) \operatorname{Exp} \left( \frac{\partial \Delta \mathbf{R}_{t_2}^{t_1}}{\partial \mathbf{b}_{\omega}} \delta \mathbf{b}_{\omega} \right)
$$
\n
$$
\Delta \mathbf{v}_{t_2}^{t_1} (\mathbf{b}_a, \mathbf{b}_{\omega}) \approx \Delta \mathbf{v}_{t_2}^{t_1} (\overline{\mathbf{b}}_a, \overline{\mathbf{b}}_{\omega}) + \frac{\partial \Delta \mathbf{v}_{t_2}^{t_1}}{\partial \mathbf{b}_a} \delta \mathbf{b}_a + \frac{\partial \Delta \mathbf{v}_{t_2}^{t_1}}{\partial \mathbf{b}_{\omega}} \delta \mathbf{b}_{\omega},
$$
\n
$$
\Delta \mathbf{p}_{t_2}^{t_1} (\mathbf{b}_a, \mathbf{b}_{\omega}) \approx \Delta \mathbf{p}_{t_2}^{t_1} (\overline{\mathbf{b}}_a, \overline{\mathbf{b}}_{\omega}) + \frac{\partial \Delta \mathbf{p}_{t_2}^{t_1}}{\partial \mathbf{b}_a} \delta \mathbf{b}_a + \frac{\partial \Delta \mathbf{p}_{t_2}^{t_1}}{\partial \mathbf{b}_{\omega}} \delta \mathbf{b}_{\omega}.
$$
\n(4.35)

The corrected bias vector is denoted as  $\mathbf{b} = \overline{\mathbf{b}} + \delta \mathbf{b}$ , where  $\overline{\cdot}$  represents the prior knowledge at the time of pre-integration.

Utilizing Equation [\(4.35\)](#page-81-0), the [IMU](#page-19-3) residual,  $e_s^i$ , between the two consecutive frames at time i and  $i + 1$ , can be written as follows:

<span id="page-81-1"></span>
$$
\mathbf{e}_{s}^{i} = \begin{bmatrix} \text{Log}\left((\Delta \mathbf{R}_{i+1}^{i})^{\top} \Delta \hat{\mathbf{R}}_{i+1}^{i}\right) \\ \Delta \hat{\mathbf{v}}_{i+1}^{i} - \Delta \mathbf{v}_{i+1}^{i} \\ \Delta \hat{\mathbf{t}}_{i+1}^{i} - \Delta \mathbf{t}_{i+1}^{i} \\ \mathbf{b}_{\omega_{(i+1)}} - \mathbf{b}_{\omega_{i}} \\ \mathbf{b}_{a_{(i+1)}} - \mathbf{b}_{a_{i}}, \end{bmatrix} \in \mathbb{R}^{15}, \qquad (4.36)
$$

where  $\Delta \mathbf{R}_{i+1}^i$ ,  $\Delta \mathbf{v}_{i+1}^i$ , and  $\Delta \mathbf{t}_{i+1}^i$  stand for the pre-integrated values of rotation, velocity, and position as from Equation [\(4.35\)](#page-81-0). These contrast with  $\Delta \hat{\mathbf{R}}_{i+1}^i$ ,  $\Delta \hat{\mathbf{v}}_{i+1}^i$ , and  $\Delta \hat{\mathbf{t}}_{i+1}^i$ , which represent estimated relative motion changes and are independent of the pose and velocity at time i.

#### 4.3.5 Nonlinear Least Squares Optimization

The optimization process on the manifold follows the "lift-solve-retract" scheme, as detailed in Section [2.2.3.](#page-42-0) Initially, it involves lifting the cost function (Equation [\(4.21\)](#page-78-2)) to the Euclidean space, followed by the application of retraction to [PVI-SLAM:](#page-19-2)

<span id="page-82-0"></span>
$$
\mathbf{R}_{I_i}^W \leftarrow \mathbf{R}_{I_i}^W \operatorname{Exp} (\delta \phi_i), \qquad \delta \phi_i \in \mathbb{R}^3
$$
  
\n
$$
{}^W \mathbf{t}_{I_i} \leftarrow {}^W \mathbf{t}_{I_i} + \mathbf{R}_{I_i}^W \delta \mathbf{t}_i, \qquad \delta \mathbf{t}_i \in \mathbb{R}^3
$$
  
\n
$$
{}^W \mathbf{v}_{I_i} \leftarrow {}^W \mathbf{v}_{I_i} + \delta \mathbf{v}_i, \qquad \delta \mathbf{v}_i \in \mathbb{R}^3
$$
  
\n
$$
\delta \mathbf{b}_{\omega_i} \leftarrow \delta \mathbf{b}_{\omega_i} + \tilde{\delta} \mathbf{b}_{\omega_i}, \qquad \delta \mathbf{b}_{\omega_i} \in \mathbb{R}^3
$$
  
\n
$$
\delta \mathbf{b}_{a_i} \leftarrow \delta \mathbf{b}_{a_i} + \tilde{\delta} \mathbf{b}_{a_i}, \qquad \delta \mathbf{b}_{a_i} \in \mathbb{R}^3
$$
  
\n(4.37)

In the solving step, [GN](#page-18-3) and [LM](#page-19-8) algorithms (Section [2.2.2\)](#page-41-0) are leveraged for the lifted cost function to refine the  $\delta\phi_i$ ,  $\delta\mathbf{t}_i$ ,  $\delta\mathbf{v}_i$ ,  $\delta\mathbf{b}_{\omega_i}$ , and  $\delta\mathbf{b}_{a_i}$  in all the timestamps. In the retracting step, the refned solution is lifted back to the manifold, as shown in [\(4.37\)](#page-82-0). With the updated estimate, the optimization process can repeat the subsequent steps. Detailed information on the Jacobian calculation of the residual in Equation [\(4.22\)](#page-78-1) and Equation [\(4.36\)](#page-81-1) can be found in Appendix [A](#page-122-0) and Appendix [B,](#page-130-0) respectively.

# 4.4 Performance Evaluations of PVI-SLAM

This section undertakes a comprehensive quantitative evaluation of the proposed methodology, [PVI-SLAM.](#page-19-2) In Section [4.4.1,](#page-83-0) the evaluation begins with a comparative analysis of the pure-vision performance between [PBA](#page-19-6) and [SBA,](#page-20-3) employing the parallax and XYZ parametrization methods, respectively. Two distinct datasets, namely the "MALAGA PARKING-6L" dataset [\[9\]](#page-145-3) and the "Starry Night" Dataset [\[5\]](#page-144-1), are utilized for the assessment, incorporating a variety of initialization strategies.

To explore the impact of [IMU](#page-19-3) integration on [PBA,](#page-19-6) the [IMU](#page-19-3) measurements from Chapter [3](#page-48-0) (Equation [\(3.18\)](#page-57-0)) are incorporated. This analysis provides valuable insights into the simplicity and efectiveness of [PBA](#page-19-6) when combined with [IMU](#page-19-3) data.

<span id="page-83-1"></span>

Figure 4.5: (Left) Trajectory (Right) Sample frame from "MALAGA" Dataset [\[9\]](#page-145-3)

Subsequently, the performance of [PVI-SLAM](#page-19-2) is evaluated using the "EuRoC" dataset in Section [4.4.2.](#page--1-0) The system incorporates the suitable objective function, as elucidated in Section [4.3,](#page-77-1) and operates within a manifold framework, thereby serving as a benchmark against state-of-the-art methodologies.

The investigation then shifts its focus to the "KITTI" dataset, highlighting the advantages of employing the parallax parametrization method in Section [4.4.3.](#page-92-0) In Section [4.4.3.2,](#page-96-0) Robustness assessments are carried out by integrating [UGPM](#page-20-2) and comparing its performance with the [PM](#page-19-5) proposed in [\[1\]](#page-144-0).

Initialization relies on feature observations and poses extracted through ORB-SLAM3 [\[12\]](#page-145-1). In Section [4.4.3.3,](#page--1-1) the system's robustness is further examined by deploying Visual Odometry [\(VO\)](#page-20-4) without closing the loop, and a comparative analysis is carried out using both the observation ray objective function and the reprojection error. This comprehensive evaluation aims to provide a nuanced understanding of the proposed methodology across various datasets and scenarios, ensuring a robust assessment of its performance.

## <span id="page-83-0"></span>4.4.1 "MALAGA" and "Starry Night" Dataset

The publicly accessible "MALAGA PARKING-6L" dataset was collected by an electric vehicle equipped with a camera providing a reliable ground-truth with estimated uncertainty bounds [\[9\]](#page-145-3). Images collected during the 250m close-loop trajectory, called the PARKING-6L dataset, are chosen for evaluation as in Figure [4.5.](#page-83-1) To make the dataset to be suitable for monocular [BA,](#page-18-0) only images from the right-side camera are used. The information of features from those images has been extracted using [SIFT](#page-20-5) [\[20\]](#page-146-0), [RANSAC](#page-19-1) [\[98\]](#page-154-4), and the eight-point algorithm [\[102\]](#page-155-3) as described in [\[103\]](#page-155-4). The number of images has been reduced from 508 to 170 as the key-frame for this loop, now containing 170 poses, 58, 404 features, and 167, 285 projections [\[89\]](#page-154-2). The "Starry Night" dataset aligns with the data previously utilized in Chapter [3.](#page-48-0)

#### 4.4.1.1 Comparison Criteria

For an accurate comparison between the two implementations of [BA,](#page-18-0) identical initial input of camera poses and observations are required. Due to the diferent methods of feature parameterization, the input parameters for features need to be converted to suit the respective [BAs](#page-18-0). The output of the comparison includes assessments of the initial and fnal reprojection errors, along with the number of iterations required. Calculation of reprojection error can be done by averaging the squared reprojection error (Equation [\(4.22\)](#page-78-1)), which is stacked with all the related features from all the camera poses. The [RMSE](#page-20-6) values for poses and features are also compared. In the case of [PVI-SLAM,](#page-19-2) the [RMSE](#page-20-6) of camera pose and feature position has been compared with the results of [PBA](#page-19-6) to assess the improvement achieved through the utilization of [IMU.](#page-19-3)

#### 4.4.1.2 Comparison Result

Since the "MALAGA" dataset does not provide the ground-truth feature position, two different initial inputs for both [BAs](#page-18-0) are used, which are *Initialization 1* and *Initialization 2*.

- Initialization 1: Ground-truth poses, and observations in  $(u, v)$  value are used to compute the initial feature values for both [BAs](#page-18-0). For the [PBA,](#page-19-6) the estimated parallax parameter for features can be computed with the given poses and the observation as mentioned in Section [4.1.](#page-71-0) The same initial value needs to be used for both [BAs](#page-18-0) to allow a fair comparison. The estimated feature position in the XYZ parameter for [SBA](#page-20-3) can be calculated from the [PBA](#page-19-6) parameters using the ground-truth anchor poses.
- Initialization 2: Estimated poses obtained from [VO](#page-20-4) and observations are used to compute the initial values of poses. In addition, estimated feature positions in parallax and XYZ parameters are used as initial values of features, which were computed in the same way as Initialization 1.

			Init 1	Init 2		
		30	170	30	170	
	Trans.RMSE (m)	0.2940	0.000003	0.0286	0.0718	
	Rot.RMSE (deg)	0.0087	0.00029	0.0293	0.0728	
<b>PBA</b>	<b>Iteration</b>	9	104	8	51	
	Initial Cost	580.2133	498896	8.2879	462.998	
	Final Cost	0.1415	212.6789	0.1415	0.1092	
	Trans.RMSE (m)	0.6472	0.0075	1.9635	1.1164	
	Rot.RMSE (deg)	0.0086	0.00081	0.0305	0.1291	
<b>SBA</b>	<b>Iteration</b>	30	79	13	10	
	Initial Cost	580.2133	498896	8.2879	462.998	
	Final Cost	0.9938	7897.9	0.9925	282.764	

<span id="page-85-0"></span>Table 4.1: Comparison result of "MALAGA" from 30 and 170 images with two diferent initialization methods.

<span id="page-85-1"></span>

<span id="page-85-2"></span>(a) 30 images: (b) 30 images: (c) 170 images: (d) 170 images: Initialization 1 Initialization 2 Initialization 1 Initialization 2

Figure 4.6: The result of PBA and SBA from 30 and 170 images in "MALAGA" dataset with two diferent initialization methods (Red Trajectory: Ground-Truth, Green Trajectory: PBA, Blue Trajectory: SBA).

Whereas the "Starry Night" dataset provides ground-truth for both poses and feature positions, it allows for testing with more variety of initial inputs, including Initialization 1 and Initialization 2, shown as follows:

• Initialization  $3$ : Ground-truth of poses is used as the initial value. Ground-truth feature positions in the parallax parameter can be computed using the ground-truth

		Init 1	Init 2	Init 3	Init 4
		200	200	200	200
	Trans.RMSE(m)	0.0047	0.0047	0.0047	0.0047
	Rot.RMSE (deg)	0.0004	0.2936	0.0004	0.2936
<b>PBA</b>	Feature.RMSE (m)	0.2405	0.1997	0.1997	0.1997
	<b>Iteration</b>	101	22	11	22
	Initial Cost	4.5201	64152	1.9843	4.8201
	Final Cost	2.1122	1.8374	1.8374	1.8374
	Trans.RMSE (m)	0.0020	0.1070	0.00004	0.0047
	Rot.RMSE (deg)	0.0006	0.3126	0.00001	0.00041
	Feature.RMSE (m)	1.7506	4.5561	0.00016	2.0701
SBA	<b>Iteration</b>	27	90	28	1
	Initial Cost	4.8201	64152.1	1.9843	1.8374
	Final Cost	2.8219	2895.4	1.9539	1.8374

<span id="page-86-0"></span>Table 4.2: Comparison result of "Starry Night" (500 features) from 200 images with four diferent initialization methods.

<span id="page-86-2"></span><span id="page-86-1"></span>

Figure 4.7: The result of PBA and SBA from 200 images (500 features) in "Starry Night" dataset with four diferent initialization methods.

poses and ground-truth feature positions instead of using the observation data to calculate the parallax parameter. Ground-truth feature positions in the XYZ parameter provided from the data are directly used as the initial value of [SBA.](#page-20-3)

• Initialization  $\ddot{A}$ : For [PBA,](#page-19-6) estimated poses and observations are used to compute the initial feature values. Estimated poses are achieved with the [IMU](#page-19-3) measurements and extrinsic matrix from the "Starry Night" dataset. Estimated feature positions in the parallax parameter can be computed using the estimated poses and observations. The output poses and feature positions from [PBA](#page-19-6) are used as the initial value of [SBA.](#page-20-3) This is to check whether the result obtained from [PBA](#page-19-6) is a minimum for [SBA](#page-20-3) or not.

"MALAGA" dataset with 30 images. The results of [PBA](#page-19-6) and [SBA](#page-20-3) on the "MALAGA" dataset with 30 images are presented in Table [4.1](#page-85-0) and Figure [4.6.](#page-85-1) For the *Initialization 1* and Initialization 2, [PBA](#page-19-6) converges to a lower fnal reprojection error in fewer iterations. Also, in the case of [PBA,](#page-19-6) the [RMSE](#page-20-6) of translation and rotation are smaller than [SBA](#page-20-3) in both cases. The larger reprojection error, in both initial and final, can be seen in *Initial*ization 1 compared to *Initialization 2*, which is due to the absence of ground-truth feature parameters for initial value in both [BAs](#page-18-0).

"MALAGA" dataset with 170 images. As the loop is closed, [PBA](#page-19-6) stably converged close to the ground-truth with a fnal reprojection error of 0.1092 using Initialization 2, as indicated in Table [4.1,](#page-85-0) and Figure [4.6\(d\).](#page-85-2) In contrast, loop-closure did not perform well with [SBA,](#page-20-3) resulting in a final reprojection error of 282.764 with *Initialization 2*. In the case of Initialization 1, where the ground-truth feature is not provided, both methods exhibit signifcant initial and fnal costs. Despite [PBA](#page-19-6) converging to a lower fnal cost of 212.679 compared to [SBA'](#page-20-3)s convergence to 7897.9, both methods seem to be trapped in a local minimum.

"Starry Night" dataset (500 Features) with 200 images. In *Initialization 4*, when refned poses and feature positions from the [PBA](#page-19-6) are used as an initial value to [SBA,](#page-20-3) the same fnal reprojection error is obtained as shown in Table [4.2.](#page-86-0) The [SBA](#page-20-3) result presented in Initialization 2 did not converge close enough to ground-truth poses compared to [PBA,](#page-19-6) as can be easily seen in Figure [4.7\(b\).](#page-86-1) In all the cases, [PBA](#page-19-6) converged to a lower fnal reprojection error and yielded better-refned poses and feature positions (Figure [4.7\)](#page-86-2).

		Init 1	Init 2	Init 3	Init 4
		500	500	500	500
	Trans.RMSE (m)	0.0088	0.0088	0.0088	0.0088
	Rot.RMSE (deg)	0.0017	0.1943	0.0017	0.1943
<b>PBA</b>	Feature.RMSE (m)	0.8883	0.0833	0.0883	0.0883
	<b>Iteration</b>	41	44	41	44
	Initial Cost	4.1902	5642	2.0208	5642
	Final Cost	1.3778	1.3778	1.3778	1.3778
	Trans.RMSE (m)	0.0013	0.1729	0.00008	0.0088
	Rot.RMSE (deg)	0.00065	0.2357	0.00003	0.0039
<b>SBA</b>	Feature.RMSE (m)	0.8883	2.3860	0.00067	0.3952
	<b>Iteration</b>	74	297	66	1
	Initial Cost	4.1902	5642	2.0208	1.3778
	Final Cost	2.6636	77.6693	1.8102	1.3778

<span id="page-88-0"></span>Table 4.3: Comparison result of "Starry Night" (80 features) from 500 images with four diferent initialization methods.

<span id="page-88-1"></span>

Figure 4.8: The result of PBA and SBA from 500 images (80 features) in "Starry Night" dataset with four diferent initialization methods.

				Init $2 - 200$ Images		
		40	60	80	100	500
	Trans.RMSE (m)	0.0148	0.0127	0.0065	0.0069	0.0047
<b>PBA</b>	Rot.RMSE (deg)	0.2944	0.2960	0.2946	0.2957	0.2936
	Feature.RMSE (m)	0.1344	0.8764	0.9576	0.1461	0.1997
	Trans.RMSE (m)	0.0150	0.0114	0.0102	0.0142	0.0074
<b>PVI-SLAM</b>	Rot.RMSE (deg)	0.2964	0.2956	0.2959	0.2955	0.2941
	Feature.RMSE (m)	0.5253	0.2912	0.4471	0.2496	0.3257

<span id="page-89-0"></span>Table 4.4: Comparison result of PBA and PVI-SLAM with 200 images and IMU measurements from "Starry Night" (40, 60, 80, 100, and 500 features).



Figure 4.9: Comparison between PVI-SLAM and PBA with 200 images (40, 60, 80, 100, 500 features) from "Starry Night" dataset.

"Starry Night" dataset (80 Features) with 500 images. The result of [PBA](#page-19-6) (Table [4.3\)](#page-88-0) shows that the fnal reprojection error converges to a smaller value, 1.3778, than [SBA](#page-20-3) in *Initialization 1* to 3. Results using *Initialization 4* are the same, meaning the result of [PBA](#page-19-6) is a minimum of [SBA.](#page-20-3) The results of both [BAs](#page-18-0) are close enough to the ground-truth in all initialization methods except the result of [SBA](#page-20-3) with initialization 2, as seen in Figure [4.8\(b\).](#page-88-1)

VI-SLAM: "Starry Night" dataset (40, 60, 80, 100, 500 Features) with 200 images. [PVI-SLAM](#page-19-2) and [PBA](#page-19-6) have been compared with diferent numbers of feature observations during the whole trajectory. As can be seen in Table [4.4,](#page-89-0) the performance of the pure vision system, [PBA,](#page-19-6) seems to be comparable to [PVI-SLAM.](#page-19-2) However, it cannot



Figure 4.10: The comparison of estimated trajectories between PVI-SLAM, VINS-Fusion [\[10\]](#page-145-0), OpenVINS [\[11\]](#page-145-4), and ORB-SLAM3 [\[12\]](#page-145-1) using the "EuRoC" datasets.

recover the right metric scale without ground-truth while [IMU](#page-19-3) naturally helps to recover the metric scale. Moreover, [PVI-SLAM](#page-19-2) shows more consistence and reliable performance than [PBA,](#page-19-6) even with fewer feature observations.

# 4.4.2 "EuRoC" dataset

The "EuRoC" Micro Aerial Vehicle [\(MAV\)](#page-19-9) dataset [\[104\]](#page-155-5) is collected from two different environments. One setting is a machine hall, providing a challenging industrial environment with diverse conditions. The other environment is a Vicon room designed to evaluate



Figure 4.11: Comparison of translation error and rotation error between PVI-SLAM, VINS-Fusion [\[10\]](#page-145-0), OpenVINS [\[11\]](#page-145-4), and ORB-SLAM3 [\[12\]](#page-145-1) for each "EuRoC" dataset.

the performance of multi-view reconstruction. The dataset comprises high-frequency [IMU](#page-19-3) measurements, capturing rapid changes in acceleration and angular velocity with precision at rates of 200Hz. Simultaneously, front-down-looking stereo camera images are captured at a lower frequency, typically around 20Hz, facilitating visual feature tracking and mapping. The synchronization of [IMU](#page-19-3) and camera data through precise timestamps ensures accurate temporal alignment, a critical factor for the successful fusion of visual and inertial information. Additionally, ground-truth odometry information obtained from laser tracking systems and Vicon is provided at the same high frequency as the [IMU](#page-19-3) data. This provision serves as a reliable reference for evaluating the performance of [VI-SLAM](#page-20-0) algorithms.

For the evaluation, sequences MH01, MH03 and V101 from the machine hall and the Vicon room, respectively, are utilized. Comparative analyses involve VINS-Fusion [\[10\]](#page-145-0), OpenVINS [\[11\]](#page-145-4), and ORB-SLAM3 [\[12\]](#page-145-1). In the case of the proposed [PVI-SLAM](#page-19-2) method, estimated poses and image coordinates of feature observations are initialized using ORB-SLAM3. The impact of this initialization on [PVI-SLAM](#page-19-2) is discussed in Section [4.4.3.3.](#page--1-1)

The trajectories estimated by the proposed method, [PVI-SLAM](#page-19-2) and other state-of-the-art techniques are visually compared in Figure [4.10,](#page--1-2) while the average errors relative to the distance and angle travelled are depicted in Figure [4.11.](#page--1-3) The visualizations clearly indicate that [PVI-SLAM](#page-19-2) and ORB-SLAM3 outperform VINS-Fusion and OpenVINS. Particularly in the more challenging MH01 and MH03 dataset, both [PVI-SLAM](#page-19-2) and ORB-SLAM3 stand out prominently compared to other methods.

In the assessment of translation accuracy measured by [RMSE,](#page-20-6) [PVI-SLAM](#page-19-2) consistently outperforms other state-of-the-art methods across diferent sequences. For MH01, MH03, and V101 sequences, [PVI-SLAM](#page-19-2) achieves translation [RMSE](#page-20-6) values of 0.033m, 0.030m, and 0.035m, respectively. In comparison, ORB-SLAM3 reports translation errors with values of 0.036m, 0.034m, and 0.038m for the corresponding sequences. OpenVINS records the highest translation errors with values of 0.142m, 0.108m, and 0.103m, while VINS-Fusion falls in between with values of 0.077m, 0.078m, and 0.110m.

Moving to the evaluation of rotational [RMSE,](#page-20-6) [PVI-SLAM](#page-19-2) maintains commendable performance across MH01, MH03, and V101 sequences, recording rotational [RMSE](#page-20-6) values of 1.097°, 1.186°, and 5.513°, respectively. Though slightly higher, these values remain comparable to those of other state-of-the-art methods. OpenVINS, ORB-SLAM3, and VINS-Fusion exhibit rotational [RMSE](#page-20-6) values of 1.606°, 1.106°, and 2.501° for MH01; 1.417°, 1.338°, and 1.640° for MH03; and 5.377°, 5.504°, and 6.281° for V101, respectively. The consistent performance of [PVI-SLAM](#page-19-2) underscores its efectiveness in achieving accurate and competitive results in both translation and rotation, establishing it as a robust method in comparison to other leading techniques.

#### <span id="page-92-0"></span>4.4.3 "KITTI" dataset

In contrast to the "EuRoC" dataset, the "KITTI" dataset is known for exhibiting more instances of collinear motion among its sequences. Consequently, the proposed [PVI-SLAM](#page-19-2) approach demonstrates a notable advantage over alternative methods when applied to the "KITTI" dataset. This advantage is more pronounced and evident, showcasing the efficacy

<span id="page-93-0"></span>Table 4.5: Data sizes for sequences 06, 07, and 09 from the "KITTI" dataset extracted using ORB-SLAM3 [\[12\]](#page-145-1).

<b>Dataset</b>	06	በ7	09
<b>Total Number of Poses</b>	419	419	677
Total Number of Features	28141	41183	56439
<b>Total Number of Observations</b>	151990	236482	299513

<span id="page-93-1"></span>Table 4.6: Comparison between parallax angle feature parametrization and XYZ parametrization in BA.



of the proposed approach in addressing and mitigating the challenges posed by collinear motion in the feature-rich environment of the "KITTI" dataset.

For the comparative evaluation between the proposed methodology and ORB-SLAM3 [\[12\]](#page-145-1), the data of feature observations and poses are extracted using ORB-SLAM3. As ORB-SLAM3 does not inherently support [VI-SLAM](#page-20-0) with the "KITTI" dataset, monocular visual SLAM is executed instead. Specifcally, sequences 06, 07, and 09 from the "KITTI" dataset are processed using ORB-SLAM3. The data sizes are summarized in Table [4.5.](#page-93-0) In the ORB-SLAM3 package, various parameters are adjusted to extract more features from a greater distance and to select more key-frames, thereby improving the information available for pre-integrated [IMU](#page-19-3) data. The raw [IMU](#page-19-3) data, captured at a rate of 100Hz, is utilized as input for the pre-integration method.

#### <span id="page-93-2"></span>4.4.3.1 Comparison between PVI-SLAM and SBA+IMU

Visual SLAM. First, the [V-SLAM](#page-20-7) results (no IMU data is used) using diferent feature parameterizations are evaluated. The performance of [PBA](#page-19-6) is compared with [SBA,](#page-20-3) which employs the XYZ parametrization (as utilized in ORB-SLAM3). Given that the outcomes of ORB-SLAM3 show no signifcant deviation from those of the XYZ parametrization, they are considered equivalent to the XYZ results.

For initialization, only the poses from ORB-SLAM3 are employed. The process of feature initialization relies on the observations of these features, as described in [\[89\]](#page-154-2). It is important to highlight that the inclusion of features extracted from greater distances presents a

<b>Dataset</b>	06			07		09	
parametrization	PVI-SLAM	$SBA+IMU$	PVI-SLAM	$SBA+IMU$	PVI-SLAM	$SBA+IMU$	
<b>Strategy</b>	GN	LМ	GN	LМ	GN	LМ	
Initial Cost	31.909	31.909	206.662	206.662	346.343	346.343	
<b>Final Cost</b>	4.476	6.298	8.246	9.2312	3.859	4.648	
<b>Iteration</b>	51		51	22	51	16	
Time (sec)	298.383	64.468	481.897	109.230	614.530	101.337	

Table 4.7: Comparison of between PVI-SLAM and SBA+IMU.



Figure 4.12: The comparison of trajectories between PVI-SLAM and SBA+IMU using the "KITTI" datasets.

challenge, as even with optimized poses and feature positions from ORB-SLAM3, convergence cannot be attained using the [GN](#page-18-3) method. As indicated in Table [4.6,](#page-93-1) it is evident that [PBA](#page-19-6) achieves convergence to a lower final cost compared to [SBA](#page-20-3) across all datasets when using both [GN](#page-18-3) and [LM](#page-19-8) optimization techniques. Notably, [SBA](#page-20-3) encounters singularity issues when applying [GN.](#page-18-3)

Visual-Inertial SLAM. Since the poses obtained from monocular [SLAM](#page-20-1) using ORB-SLAM3 do not provide the correct metric scale, the scale of the initial pose estimates is adjusted using the provided [IMU](#page-19-3) dataset. This correction aims to improve the initial guesses for the evaluation of [VI-SLAM.](#page-20-0) The [IMU](#page-19-3) data is pre-integrated with the camera image timestamps, following the method outlined in [\[1\]](#page-144-0). In addition, integrating [IMU](#page-19-3) data into the system requires an extra step to initialize the state vector. The initial velocity





(c) KITTI-09

Figure 4.13: Comparison of translation error and rotation error between PVI-SLAM and SBA+IMU for each sequence of "KITTI" dataset.

value is computed from the pre-integrated data by propagating it accordingly. During the initialization process, sensor biases are set to zero.

To address the singularity issues, only the [LM](#page-19-8) algorithm is used for [SBA](#page-20-3) with [IMU,](#page-19-3) while the [GN](#page-18-3) algorithm is employed for [PBA](#page-19-6) with [IMU,](#page-19-3) as indicated in Table [4.7.](#page--1-2) Across all datasets, the final cost of [PVI-SLAM](#page-19-2) converges to a lower value compared to SBA+IMU, even when starting from the same initial values. As evident in Figure [4.12](#page--1-4) and Figure [4.13,](#page--1-3) the translation and rotation errors of [PVI-SLAM](#page-19-2) are significantly smaller than those of SBA+IMU. Specifically, [RMSE](#page-20-6) for [PVI-SLAM](#page-19-2) across the entire trajectory is 2.405m for sequence 06, 2.663m for sequence 07, and 3.256m for sequence 09. In contrast, for SBA+IMU, these values are significantly higher at 20.415m for sequence 06, 4.4316m for

 $25$ 

 $\overline{15}$ 

 $\iota$ error $(\%)$  $\overline{2}$ 

Translation  $10$ 

	KITTI-06		KITTI-07		KITTI-09	
	PM	<b>IIGPM</b>	PM	<b>UGPM</b>	PM	<b>UGPM</b>
<b>IMU</b> Intial Cost	0.2062	0.2062	0.2619	0.2616	3.3362	3.3361
Trans.RMSE $(m)$ 32.612		32.091	27.800	34.870	272.534	284.717
Rot.RMSE (deg)	12.397	10.263	8.054	6.519	27.613	56.913

<span id="page-96-1"></span>Table 4.8: The comparison results between dead-reckoning using IMU measurement pre-integrated with PM and UGPM.

sequence 07, and 34.100m for sequence 09. Furthermore, the rotation [RMSE](#page-20-6) also tends to be smaller in the case of [PVI-SLAM.](#page-19-2) While it may be slightly larger in the case of sequence 06, it generally remains below 0.005° per meter throughout the trajectory.

#### <span id="page-96-0"></span>4.4.3.2 Comparison between PM and UGPM

As outlined in Section [4.2,](#page-74-3) the implementation of [UGPM](#page-20-2) is aimed at enhancing system accuracy by incorporating [IMU](#page-19-3) measurements within a continuous model.

During the evaluation, [IMU](#page-19-3) measurements are pre-integrated using both [PM](#page-19-5) and [UGPM.](#page-20-2) The process of dead-reckoning utilizes these pre-integrated [IMU](#page-19-3) data from both [PM](#page-19-5) and [UGPM.](#page-20-2) The comparative results of this evaluation are summarized in Table [4.8.](#page-96-1) Consistently, [UGPM](#page-20-2) exhibits lower initial cost values when the system is initialized with the ground-truth pose compared to [PM](#page-19-5) across the majority of datasets. For instance, in sequence 06, [UGPM](#page-20-2) records an initial cost of 0.2062, which is identical to [PM.](#page-19-5) In sequence 07, [UGPM](#page-20-2) exhibits a lower initial cost of 0.2616 in contrast to [PM'](#page-19-5)s 0.2619. Similarly, in sequence 09, [UGPM](#page-20-2) displays a lower initial cost of 3.3361 than [PM'](#page-19-5)s 3.3362.

Concerning the [RMSE](#page-20-6) for dead-reckoning translation and rotation, sequence 06 demonstrates that [UGPM](#page-20-2) outperforms [PM](#page-19-5) in both aspects, with lower values of 32.091m and 10.263°, respectively, compared to [PM'](#page-19-5)s 32.612m and 12.397°. However, in sequence 07, only the [RMSE](#page-20-6) of rotation is lower for [UGPM](#page-20-2) with 6.519° compared to [PM'](#page-19-5)s 8.054°.

The outcomes of [PVI-SLAM](#page-19-2) utilizing both [PM](#page-19-5) and [UGPM](#page-20-2) are illustrated in Figure [4.14.](#page--1-3) A comparison between [PM](#page-19-5) and [UGPM](#page-20-2) in [VI-SLAM](#page-20-0) reveals similar trends, as shown in Figure [4.15.](#page--1-2) In sequence 6, [PVI-SLAM](#page-19-2) with [UGPM](#page-20-2) displays lower [RMSE](#page-20-6) values for translation and rotation at 2.410m and 0.840°, respectively, while [PM](#page-19-5) yields 2.411m and 0.841°. Additionally, [UGPM](#page-20-2) exhibits a lower rotational error in sequence 09, with a value of 1.296°, compared to [PM'](#page-19-5)s 1.398°.



Figure 4.14: Comparing PVI-SLAM utilizing PM, UGPM, UGPM with Observation ray objective function (3D), and UGPM with 3D initialized using VO, without loopclosure (While PVI-SLAM with UGPM and 3D successfully converges in KITTI-06 and KITTI-07 when initialized with VO and without loop-closure, it encounters convergence challenges in the KITTI-09 dataset).

Table 4.9: The initial objective function for two different pose initializations— one with loop-closure and the other without loop-closure.

	KITTL06		KITTI-07		KITTL09	
	IIV	<b>IMI</b> I	<b>IIV</b>	IMIJ	11 V	<b>IMU</b>
w loop-closure	6.1756	0.333	207.021	0.335	347.118	3.255
w/o loop-closure	1466681.480 0.228		1173817.125	0.636	28529.359	3.424

While [UGPM](#page-20-2) does not exhibit significant advantages over [PM](#page-19-5) with the "KITTI" dataset, which predominantly involves static motion, the notable advantage of [UGPM](#page-20-2) becomes evident in more dynamic and fast-motion datasets, as indicated in [\[8\]](#page-145-2). As the integration of [UGPM](#page-20-2) into [PVI-SLAM](#page-19-2) produces comparable results to incorporating [PM,](#page-19-5) [UGPM](#page-20-2) is utilized in [PVI-SLAM,](#page-19-2) offering potential advantages for both collinear and dynamic motion in subsequent evaluations with more suitable datasets.

#### 4.4.3.3 Comparison between Observation Ray and Reprojection Error

In this section, the implementation of the observation ray is carried out as described in Equation [\(4.31\)](#page-80-0) and is then compared against the reprojection error given by Equation [\(4.22\)](#page-78-1). To evaluate the robustness of the two objective functions further, two distinct



(c) KITTI-09

Figure 4.15: Comparison of translation and rotation error of PVI-SLAM utilizing PM, UGPM, UGPM with observation ray objective function (3D), and UGPM with 3D initialized using VO, without loop-closure (While PVI-SLAM with UGPM and 3D successfully converges in KITTI-06 and KITTI-07 when initialized with VO and without loop-closure, it encounters convergence challenges in the KITTI-09 dataset).

initializations are employed. The first initialization is identical to that used in the previous section (Section [4.4.3.1\)](#page-93-2), obtained from ORB-SLAM3 [\[12\]](#page-145-1). The second initialization involves poses obtained from pure [VO](#page-20-4) without closing the loop. The objective function calculated using the observation ray is subsequently converted back to reprojection error only for comparative analysis. The disparities in the initial cost for these two different initializations are presented in Table [4.9.](#page--1-5) Due to the absence of loop-closure, a substantial difference in image reprojection error is observed.

When utilizing the observation ray as the objective function for the initial pose with loopclosure, it does not demonstrate improvement over using the reprojection error objective function. However, attempting to optimize with the initial pose without loop-closure using the reprojection error objective function results in system optimization failure, leading to singularity in all datasets. In contrast, [PVI-SLAM](#page-19-2) using the observation ray objective function manages to converge with the initial pose without loop-closure to a solution comparable to the one using loop-closed pose initialization, as depicted in Figure [4.14](#page--1-3) and Figure [4.15.](#page--1-2)

For [PVI-SLAM](#page-19-2) utilizing [UGPM](#page-20-2) and observation ray objective function without loopclosure, the achieved translation [RMSE](#page-20-6) is 2.949m and 2.699m in sequence 06 and 07, respectively, with corresponding rotational errors of 1.531° and 1.422°. On the other hand, [PVI-SLAM](#page-19-2) utilizing [UGPM](#page-20-2) and observation ray objective function with loop-closure yields translation errors of 2.632m and 2.699m, along with rotational errors of 1.387° and 1.422° for the same sequences. In the case of sequence 09, the system successfully converges with a good initial value when loop-closure is incorporated. However, it fails to converge even with the utilization of the observation ray objective function when initialized from [VO](#page-20-4) without loop-closure.

# 4.5 Summary

This chapter introduces and evaluates [VI-SLAM](#page-20-0) based on [PBA](#page-19-6) with pre-integrated [IMU](#page-19-3) data [\(PVI-SLAM\)](#page-19-2). The incorporation of [IMU](#page-19-3) into pure [V-SLAM](#page-20-7) corrects the unknown scale from the monocular camera, substantially enhancing the reliability and consistency of the system, even with fewer feature observations.

Leveraging the "EuRoC" dataset, [PVI-SLAM](#page-19-2) exhibits superior performance compared to state-of-the-art approaches (VINS-Fusion [\[10\]](#page-145-0), OpenVINS [\[11\]](#page-145-4), and ORB-SLAM3 [\[12\]](#page-145-1)). To underscore the advantages of employing the parallax parameterization, the evaluation extends to the "KITTI" dataset. The primary challenge addressed revolves around the singularity issue encountered when utilizing SBA+IMU as used in ORB-SLAM3, especially with features located at a greater distance. [PVI-SLAM](#page-19-2) is demonstrated to efectively address this challenge. In terms of convergence properties and accuracy, [PVI-SLAM](#page-19-2) outperforms SBA+IMU, both with and without [IMU](#page-19-3) data integration. Additionally, to further enhance the system, [UGPM](#page-20-2) is implemented to harness benefts in both collinear and dynamic motion by handling [IMU](#page-19-3) measurements in a continuous model. Furthermore, the incorporation of the observation ray enhances the system's robustness, enabling convergence even in trajectories without loop-closure from [VO](#page-20-4) when the reprojection error objective function fails to converge.

However, it is crucial to note that the proposed method [PVI-SLAM](#page-19-2) may not always guarantee convergence, especially when dealing with a large number of frames. The convergence of this high-dimensional nonlinear optimization problem is not assured. Additionally, considering the computational complexity is important when handling batch nonlinear optimization for online system implementation. Therefore, the next chapter introduces the linear map joining method to address these challenges.

# Chapter 5

# Linear Submap Joining using Parallax VI-SLAM

In the feld of [SLAM,](#page-20-1) dealing with high-dimensional nonlinear optimization problems is inherently challenging. The previous chapter (Chapter [4\)](#page-70-0) underscores the crucial importance of precise initial values for achieving successful convergence in nonlinear optimization problems. However, even with the provision of accurate initial values, there is no guarantee of converging to the global minimum.

To address these issues, this chapter introduces a Linear Submap Joining method using the Linear [SLAM](#page-20-1) framework applied to the proposed [PVI-SLAM](#page-19-2) methodology. Instead of retaining all the data and undergoing full nonlinear optimization, which is often impractical, this method optimizes small parts of the full dataset as local maps, utilizing information relevant to each specifc local map. Subsequently, the information from each optimized local map is fused through the map joining process to construct a unifed map. This is particularly benefcial in situations where computational resources are limited and helps mitigate issues related to local minima.

An evaluation is performed using publicly available real datasets, such as "EuRoC" and "KITTI". The performance of Linear [SLAM,](#page-20-1) which is built upon local maps optimized using [PVI-SLAM,](#page-19-2) is demonstrated, showcasing close proximity to solutions achievable through a full nonlinear optimization algorithm from an accurate initial guess. Notably, the evaluation emphasizes the efectiveness of the method in addressing challenges related to poor initial values, situations that would typically lead to convergence failure in the context of full nonlinear optimization.

# 5.1 Integrating PVI-SLAM with Linear Submap Joining

Large-scale maps are efectively managed by combining submaps, as demonstrated in [\[80–](#page-152-0) [83\]](#page-153-1). Most of these approaches, such as [\[84\]](#page-153-2) by Huang et al., avoid marginalizing any states and treat the estimated state of each local map as integrated observations during the map joining process. Another notable work by Zhao et al. [\[85\]](#page-153-3) presents a map joining algorithm that transforms a nonlinear optimization problem into a combination of [LLS](#page-19-10) optimization and nonlinear coordinate transformation. This algorithm eliminates the need for initial guesses or iterative procedures since [LLS](#page-19-10) problems can be resolved using closedform formulas.

In this section, [PVI-SLAM](#page-19-2) with Linear Submap Joining algorithms is proposed to resolve the problem of high computational cost balancing with estimation accuracy. To perform Linear [SLAM](#page-20-1) framework [\[85\]](#page-153-3), a structured three-step procedure is required for addressing large-scale [VI-SLAM](#page-20-0) challenges. Firstly, each local map is independently built using local information by solving a small-scale [VI-SLAM](#page-20-0) problem through [PVI-SLAM](#page-19-2) (Section [4.3\)](#page-77-1). Secondly, to integrate into the Linear [SLAM](#page-20-1) framework, it is essential to transform the structure of the state vector, which in turn requires a recalculation of the information matrix for the system. Finally, submap joining can be carried out, primarily through solving [LLS](#page-19-10) and conducting nonlinear coordinate transformations.

#### 5.1.1 Local Visual-Inertial SLAM

As illustrated in Figure [5.1,](#page-104-0) the Linear Submap Joining process begins by optimizing each of the local maps. For simplicity in this chapter, only two local maps are considered: Local map 1, denoted as  $\mathcal{X}_{W}^{L_1}$ , and local map 2, denoted as  $\mathcal{X}_{W}^{L_2}$ , are expressed as:

$$
\mathcal{X}_{W}^{L_{1}} = \begin{bmatrix} W \mathcal{P}_{I_{1}}, \cdots, W \mathcal{P}_{I_{p}}, \mathcal{F}_{1}^{L_{1}}, \mathcal{F}_{12}^{L_{1}} \end{bmatrix} \n\mathcal{X}_{W}^{L_{2}} = \begin{bmatrix} W \mathcal{P}_{I_{p}}, \cdots, W \mathcal{P}_{I_{q}}, \mathcal{F}_{2}^{L_{2}}, \mathcal{F}_{12}^{L_{2}} \end{bmatrix},
$$
\n(5.1)

where  $\mathcal{F}_1^{L_1}$  and  $\mathcal{F}_2^{L_2}$  represent features unique to each local map, and  $\mathcal{F}_{12}^{L_1}$  and  $\mathcal{F}_{12}^{L_2}$  denote features that are common between the two local maps. All features are represented in the form of parallax parametrization. Both local maps are in the coordinate frame of the world frame,  $\{W\}$ , defined by the first pose of the state as the origin. The pose,  ${}^W \mathcal{P}_{I_i}$ , stay same as in Chapter [4:](#page-70-0)

$$
{}^{W}\mathcal{P}_{I_i} = \left\{ \mathbf{R}_{I_i}^{W}, {}^{W}\mathbf{t}_{I_i}, {}^{W}\mathbf{v}_{I_i}, \mathbf{b}_{\omega_i}, \mathbf{b}_{a_i} \right\}.
$$
\n(5.2)

<span id="page-104-0"></span>

Figure 5.1: Linear way of Map Joining.

Subsequently, each local map can be optimized through [PVI-SLAM](#page-19-2) in Section [4.3,](#page-77-1) leading to the estimated local maps,  $\hat{\mathcal{X}}_W^{L_1}$  and  $\hat{\mathcal{X}}_W^{L_2}$ .

#### 5.1.2 Structural Transformation

After performing [PVI-SLAM,](#page-19-2) the corresponding information matrix is also required as an input for Linear [SLAM.](#page-20-1) However, to align with the requirements of Linear [SLAM,](#page-20-1) adjustments need to be made to the parameters related to poses and feature positions. The information matrix from [PVI-SLAM](#page-19-2) cannot be directly used, necessitating modifcations in this process. In this adjustment,  $^{W}\hat{\mathbf{v}}_{I_i}$ ,  $\hat{\mathbf{b}}_{\omega_i}$ , and  $\hat{\mathbf{b}}_{a_i}$  are removed from the state vector. For each pose, the rotation matrix,  $\mathbf{R}_{I_i}^W$ , is converted into Euler angle,  ${}^W\mathbf{r}_{I_i}$ . The transformation of feature positions,  $f_i$ , into XYZ parameters can be achieved by the following process:

$$
\mathbf{f}_{j}^{XYZ} = d_{j}\mathbf{x}_{j}^{m} + {}^{W}\mathbf{t}_{C_{m}},\tag{5.3}
$$

where  $d_j$  is the depth of the feature  $\mathbf{f}_j$  from the main anchor  $W_{\mathbf{C}_m}$ . By utilizing the angles  $\omega$  and  $\varphi$  as specified in Equation [\(4.27\)](#page-79-1) and Equation [\(4.30\)](#page-79-2), respectively, the depth can be calculated as:

$$
d_j = \frac{\sin\left(\omega_j + \varphi_j\right)}{\sin\omega_j} \left\| \mathbf{W} \mathbf{t}_{C_a} - \mathbf{W} \mathbf{t}_{C_m} \right\|.
$$
 (5.4)

Now the state vector of both local maps can be re-written as:

$$
\hat{\mathcal{X}}_{W}^{L_{1}} = \begin{bmatrix} W \hat{\mathcal{P}}_{I_{1}}, \cdots, W \hat{\mathcal{P}}_{I_{p}}, \hat{\mathcal{F}}_{1}^{L_{1}}, \hat{\mathcal{F}}_{12}^{L_{1}} \end{bmatrix} \n\hat{\mathcal{X}}_{W}^{L_{2}} = \begin{bmatrix} W \hat{\mathcal{P}}_{I_{p}}, \cdots, W \hat{\mathcal{P}}_{I_{q}}, \hat{\mathcal{F}}_{2}^{L_{2}}, \hat{\mathcal{F}}_{12}^{L_{2}} \end{bmatrix},
$$
\n(5.5)

where  ${}^{W}\hat{\mathcal{P}}_{I_i} = \begin{bmatrix} {}^{W}\hat{\mathbf{t}}_{I_i}, {}^{W}\hat{\mathbf{r}}_{I_i} \end{bmatrix}$  and  $\hat{\mathcal{F}}_k^L = \begin{bmatrix} \hat{\mathbf{f}}_1^{XYZ}, \cdots, \hat{\mathbf{f}}_M^{XYZ} \end{bmatrix}$  represent all the feature positions in XYZ parametrization. To perform Linear Submap Joining, both local maps are then transformed into the coordinate frame of the start pose of each local map, {1} and  $\{p\}$ , respectively:

$$
\hat{\mathcal{X}}_1^{L_1} = \left[ {}^{I_1} \hat{\mathbf{t}}_{I_2}, {}^{I_1} \hat{\mathbf{r}}_{I_2}, \cdots, {}^{I_1} \hat{\mathbf{t}}_{I_p}, {}^{I_1} \hat{\mathbf{r}}_{I_p}, \hat{\mathcal{F}}_1^{L_1}, \hat{\mathcal{F}}_{12}^{L_1} \right],
$$
\n(5.6)

$$
\hat{\mathcal{X}}_p^{L_2} = \left[ {}^{I_p} \hat{\mathbf{t}}_{I_{(p+1)}}, {}^{I_p} \hat{\mathbf{r}}_{I_{(p+1)}}, \cdots, {}^{I_p} \hat{\mathbf{t}}_{I_q}, {}^{I_p} \hat{\mathbf{r}}_{I_q}, \hat{\mathcal{F}}_2^{L_2}, \hat{\mathcal{F}}_{12}^{L_2} \right].
$$
\n(5.7)

Subsequently, the information matrices for each local, denoted as  $\mathbf{I}_1^{L_1}$  and  $\mathbf{I}_p^{L_2}$ , are recalculated based on the state vector in Equation [\(5.6\)](#page-105-0) and Equation [\(5.7\)](#page-105-1). This process involves utilizing the cost function defined in Equation [\(4.21\)](#page-78-2), which still requires  $^W \hat{\mathbf{v}}_{I_i}$ ,  $\hat{\mathbf{b}}_{\omega_i}$ , and  $\hat{\mathbf{b}}_{a_i}$  as constants for the residual. The final form of the state vector for each local map can be expressed as follows:

<span id="page-105-1"></span><span id="page-105-0"></span>
$$
\mathcal{M}^{L_1} = \left(\hat{\mathcal{X}}_1^{L_1}, \mathbf{I}_1^{L_1}\right),
$$
  

$$
\mathcal{M}^{L_2} = \left(\hat{\mathcal{X}}_p^{L_2}, \mathbf{I}_p^{L_2}\right).
$$
 (5.8)

#### 5.1.3 Linear SLAM: Map Joining

When the two local maps are given to perform Linear Submap Joining, the first map,  $\hat{\mathcal{X}}_1^{L_1}$ , need to be transformed into the coordinate frame of the last pose,  $\hat{\mathcal{X}}_p^{L_1}$ , as in Figure [5.1:](#page-104-0)

$$
\hat{\mathcal{X}}_p^{L_1} = \left[ {}^{I_p}\hat{\mathbf{t}}_{I_1}, {}^{I_p}\hat{\mathbf{r}}_{I_1}, \cdots, {}^{I_p}\hat{\mathbf{t}}_{I_{p-1}}, {}^{I_p}\hat{\mathbf{r}}_{I_{p-1}}, \hat{\mathcal{F}}_1^{L_1}, \hat{\mathcal{F}}_{12}^{L_1} \right].
$$
\n(5.9)

The corresponding information matrix is recalculated through the following process:

$$
\mathbf{I}_p^{L_1} = \nabla_p^T \mathbf{I}_1^{L_1} \nabla_p,\tag{5.10}
$$

where  $\nabla_p$  is the Jacobian of  $\mathcal{X}_1^{L_1}$  with respect to  $\mathcal{X}_p^{L_1}$  evaluated at  $\hat{\mathcal{X}}_p^{L_1}$  as:

$$
\nabla_q = \left. \frac{\partial \mathcal{X}_1^{L_1}}{\partial \mathcal{X}_p^{L_1}} \right|_{\hat{\mathcal{X}}_p^{L_1}}.
$$
\n(5.11)

Then, the following two local maps can be achieved in the coordinated frame of  $\{p\}$ :

<span id="page-106-0"></span>
$$
\hat{\mathcal{X}}_{p}^{L_{1}} = \begin{bmatrix} I_{p} \hat{\mathbf{t}}_{I_{1}}, I_{p} \hat{\mathbf{r}}_{I_{1}}, \cdots, I_{p} \hat{\mathbf{t}}_{I_{p-1}}, I_{p} \hat{\mathbf{r}}_{I_{p-1}}, \hat{\mathcal{F}}_{1}^{L_{1}}, \hat{\mathcal{F}}_{12}^{L_{1}} \end{bmatrix}, \n\hat{\mathcal{X}}_{p}^{L_{2}} = \begin{bmatrix} I_{p} \hat{\mathbf{t}}_{I_{p+1}}, I_{p} \hat{\mathbf{r}}_{I_{p+1}}, \cdots, I_{p} \hat{\mathbf{t}}_{I_{q}}, I_{p} \hat{\mathbf{r}}_{I_{q}}, \hat{\mathcal{F}}_{2}^{L_{2}}, \hat{\mathcal{F}}_{12}^{L_{2}} \end{bmatrix}.
$$
\n(5.12)

By combining two local maps (Equation [\(5.12\)](#page-106-0)), the state vector of the integrated map,  $\mathcal{M}^{G_{12}}$ , can be obtained in the coordinate frame of  $\{q\}$  as:

$$
\mathcal{X}_{q}^{G_{12}} = \begin{bmatrix} I_q \mathcal{P}_{I_1}, \cdots, I_q \mathcal{P}_{I_p}, I_q \mathcal{P}_{I_{p+1}}, \cdots, I_q \mathcal{P}_{I_{q-1}}, \mathcal{F}_1^G, \mathcal{F}_2^G, \mathcal{F}_{12}^G \end{bmatrix} \\
= \begin{bmatrix} I_q \mathbf{t}_{I_1}, I_q \mathbf{r}_{I_1}, \cdots, I_q \mathbf{t}_{I_p}, I_q \mathbf{r}_{I_p}, I_q \mathbf{t}_{I_{p+1}}, \cdots, I_q \mathbf{t}_{I_{q-1}}, \cdots, I_q \mathbf{t}_{I_{q-1}}, \mathcal{F}_1^G, \mathcal{F}_2^G, \mathcal{F}_{12}^G \end{bmatrix} . \tag{5.13}
$$

This can be optimized directly by minimizing the following objective function, similar to the approach taken by Huang et al. [\[105\]](#page-155-6):

<span id="page-106-1"></span>
$$
f(\mathcal{X}^{G_{12}}) = \|\mathbf{e}_{1}\|_{\mathbf{L}_{p}^{L_{1}}}^{2} + \|\mathbf{e}_{2}\|_{\mathbf{L}_{p}^{L_{2}}}^{2}
$$
\n
$$
\begin{bmatrix}\n\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\mathbf{t}_{I_{1}} - I_{q}\mathbf{t}_{I_{p}}) - I_{p}\hat{\mathbf{t}}_{I_{1}} \\
r(\mathbf{R}_{I_{q}}^{I_{1}}(\mathbf{R}_{I_{q}}^{I_{p}})^{T}) - I_{p}\hat{\mathbf{r}}_{I_{1}} \\
\vdots \\
\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\mathbf{t}_{I_{p-1}} - I_{q}\mathbf{t}_{I_{p}}) - I_{p}\hat{\mathbf{t}}_{I_{p-1}} \\
r(\mathbf{R}_{I_{q}}^{I_{p-1}}(\mathbf{R}_{I_{q}}^{I_{p}})^{T}) - I_{p}\hat{\mathbf{r}}_{I_{p-1}}\n\end{bmatrix}\n\begin{bmatrix}\n\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\mathbf{t}_{I_{p+1}} - I_{q}\mathbf{t}_{I_{p}}) - I_{p}\hat{\mathbf{t}}_{I_{p+1}} \\
r(\mathbf{R}_{I_{q}}^{I_{p-1}}(\mathbf{R}_{I_{q}}^{I_{p}})^{T}) - I_{p}\hat{\mathbf{t}}_{I_{p-1}} \\
\vdots \\
\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\mathbf{t}_{I_{p-1}} - I_{q}\mathbf{t}_{I_{p}}) - \hat{\mathbf{t}}_{I_{1}}^{I_{1}} \\
\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\hat{\mathbf{t}}_{I_{q}} - I_{p}\hat{\mathbf{t}}_{I_{p}}) - \hat{\mathbf{t}}_{I_{2}}^{I_{1}}\n\end{bmatrix}\n\begin{bmatrix}\n\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\mathbf{t}_{I_{p+1}} - I_{q}\mathbf{t}_{I_{p}}) - I_{p}\hat{\mathbf{t}}_{I_{p}} \\
\vdots \\
\mathbf{R}_{I_{q}}^{I_{p}}(I_{q}\hat{\mathbf{t}}_{I_{p}}) - \hat{\mathbf{t}}_{I_{q}}^{I_{p}} \\
\mathbf{R}_{I_{q}}^{I_{p}}(I_{
$$

where  $r(\cdot)$  is the function that converts a rotation matrix to Euler angles. However, given that this involves a [NLLS](#page-19-11) problem, successful application of this approach necessitates a reliable initial guess and iterative optimization to fnd a solution. Consequently, in the context of Linear [SLAM](#page-20-1) [\[85\]](#page-153-3), the state vector of  $\mathcal{X}_q^{G12}$  undergoes redefinition:

$$
{}^{p}\overline{\mathbf{t}}_{1} = \mathbf{R}_{I_{q}}^{I_{p}} \left( {}^{I_{q}}\mathbf{t}_{I_{1}} - {}^{I_{q}}\mathbf{t}_{I_{p}} \right), \quad {}^{p}\overline{\mathbf{r}}_{1} = r \left( \mathbf{R}_{I_{q}}^{I_{1}} (\mathbf{R}_{I_{q}}^{I_{p}})^{\top} \right),
$$
  
\n
$$
\vdots
$$
  
\n
$$
{}^{p}\overline{\mathbf{t}}_{p-1} = \mathbf{R}_{I_{q}}^{I_{p}} \left( {}^{I_{q}}\mathbf{t}_{I_{p-1}} - {}^{I_{q}}\mathbf{t}_{I_{p}} \right), \quad {}^{p}\overline{\mathbf{r}}_{p-1} = r \left( \mathbf{R}_{I_{q}}^{I_{p-1}} (\mathbf{R}_{I_{q}}^{I_{p}})^{\top} \right),
$$
  
\n
$$
{}^{p}\overline{\mathbf{t}}_{p+1} = \mathbf{R}_{I_{q}}^{I_{p}} \left( {}^{I_{q}}\mathbf{t}_{I_{p+1}} - {}^{I_{q}}\mathbf{t}_{I_{p}} \right), \quad {}^{p}\overline{\mathbf{r}}_{p+1} = r \left( \mathbf{R}_{I_{q}}^{I_{p+1}} (\mathbf{R}_{I_{q}}^{I_{p}})^{\top} \right),
$$
  
\n
$$
\vdots
$$
  
\n
$$
{}^{p}\overline{\mathbf{t}}_{q} = -\mathbf{R}_{I_{q}}^{I_{p}} I_{q} \mathbf{t}_{I_{p}}, \quad {}^{p}\overline{\mathbf{r}}_{q} = r \left( (\mathbf{R}_{I_{q}}^{I_{p}})^{\top} \right),
$$
  
\n(5.15)

and

$$
\overline{\mathcal{F}}_1^{G_{12}} = \mathbf{R}_{I_q}^{I_p} \left( \mathcal{F}_1^{L_1} - {}^{I_q} \mathbf{t}_{I_p} \right), \n\overline{\mathcal{F}}_2^{G_{12}} = \mathbf{R}_{I_q}^{I_p} \left( \mathcal{F}_1^{L_2} - {}^{I_q} \mathbf{t}_{I_p} \right), \n\overline{\mathcal{F}}_{12}^{G_{12}} = \mathbf{R}_{I_q}^{I_p} \left( \mathcal{F}_1^{G_{12}} - {}^{I_q} \mathbf{t}_{I_p} \right).
$$
\n(5.16)

Then, the new state vector,  $\overline{\mathcal{X}}_p^{G_{12}}$  $_p^{912}$ , in the frame of  $\{p\}$ , as can be seen in Figure [5.1,](#page-104-0) can be written:

$$
\overline{\mathcal{X}}_p^{G_{12}} = \left[ \mathbf{F}_{\mathbf{t}_1}, \mathbf{F}_{\mathbf{t}_1}, \cdots, \mathbf{F}_{\mathbf{t}_{p-1}}, \mathbf{F}_{\mathbf{t}_{p-1}}, \mathbf{F}_{\mathbf{t}_{p+1}}, \mathbf{F}_{\mathbf{t}_{p+1}}, \cdots, \mathbf{F}_{\mathbf{t}_q}, \mathbf{F}_{\mathbf{t}_q}, \overline{\mathcal{F}}_1^{G_{12}}, \overline{\mathcal{F}}_2^{G_{12}}, \overline{\mathcal{F}}_1^{G_{12}} \right]
$$
\n
$$
= g\left(\mathcal{X}_q^{G_{12}}\right),\tag{5.17}
$$

where  $g(\cdot)$  serves as the transformation function. In this way, instead of facing a [NLLS](#page-19-11) problem directly, Equation [\(5.14\)](#page-106-1) is transformed into a [LLS](#page-19-10) problem:

$$
\bar{f}\left(\overline{X}_{p}^{G_{12}}\right) = \begin{bmatrix} p_{\overline{\mathbf{t}}1} - p_{\hat{\mathbf{t}}1} \\ p_{\overline{\mathbf{r}}1} - p_{\hat{\mathbf{r}}1} \\ \vdots \\ p_{\overline{\mathbf{t}}(p-1)} - p_{\hat{\mathbf{t}}(p-1)} \\ \hline p_{\overline{\mathbf{r}}(p-1)} - p_{\hat{\mathbf{r}}(p-1)} \\ \hline p_{\overline{\mathbf{r}}(p-1)} \\ \hline p_{\overline{\mathbf{r}}(p-1)} - p_{\hat{\mathbf{r}}(p-1)} \\ \hline p_{\overline{\mathbf{r}}(p-1)} - p_{\overline{\mathbf{r}}(p-1)} \end{bmatrix} \begin{bmatrix} p_{\overline{\mathbf{t}}(p+1)} & p_{\overline{\mathbf
$$

The optimal solution for a joined map can be achieved by solving the sparse linear equation as follows:

minimize 
$$
\bar{f}\left(\overline{\mathcal{X}}_p^{G_{12}}\right) = \left\|\mathbf{A}\overline{\mathcal{X}}_p^{G_{12}} - \mathbf{Z}\right\|_{I_Z}^2
$$
 (5.19)

$$
\mathbf{A}^{\top} \mathbf{I}_{Z} \mathbf{A} \overline{\mathcal{X}}_{p}^{\hat{G}_{12}} = \mathbf{A}^{\top} \mathbf{I}_{Z} \mathbf{Z}
$$
 (5.20)
$$
\overline{\mathbf{I}}_p^{G_{12}} = \mathbf{A}^\top \mathbf{I}_Z \mathbf{A},\tag{5.21}
$$

where  $\mathbf{Z} = \left[\hat{\mathcal{X}}_p^{L_1}, \hat{\mathcal{X}}_p^{L_2}\right], \mathbf{I}_Z = \text{diag}\left(\mathbf{I}_p^{L_1}, \mathbf{I}_p^{L_2}\right)$  and  $\mathbf{A}$  is the coefficient matrix of Equation [\(5.18\)](#page-107-0).

When the optimal solution  $\hat{\overline{\mathcal{X}}}_p^{G12}$  $_p$  is obtained, the nonlinear coordinate transformation can be applied to revert to the form presented in Equation [\(5.13\)](#page-106-0) by:

$$
\hat{\mathcal{X}}_q^{G_{12}} = g^{-1} \left( \hat{\overline{\mathcal{X}}}_p^{G_{12}} \right). \tag{5.22}
$$

The corresponding information matrix can also be obtained through the following process:

$$
\mathbf{I}_q^{G_{12}} = \nabla_q^T \, \overline{\mathbf{I}}_p^{G_{12}} \, \nabla_q,\tag{5.23}
$$

where  $\nabla_q$  is the Jacobian of  $\overline{\mathcal{X}}_p^{G_{12}}$  with respect to  $\mathcal{X}_q^{G_{12}}$  evaluated at  $\hat{\mathcal{X}}_q^{G_{12}}$  as:

$$
\nabla_q = \left. \frac{\partial g \left( \mathcal{X}_q^{G_{12}} \right)}{\partial \mathcal{X}_q^{G_{12}}} \right|_{\hat{\mathcal{X}}_q^{G_{12}}}.
$$
\n(5.24)

#### 5.1.4 Sequenced Local Map Joining

When a sequence of local maps is required to be joined, the "Divide and Conquer" strategy proposed by Zhao et al. in [\[85\]](#page-153-0) can be applied by repeating the same procedure outlined in Section [5.1.](#page-103-0) In contrast to the traditional approach to map joining, where each local map is initially in the frame of its frst pose and remains in that frame even after joining, Linear [SLAM](#page-20-0) [\[85\]](#page-153-0) maintains that the first local map is always in the frame of its last pose, and the second map is in the frame of its start pose. After map joining, the resulting map is always in the frame of its last pose. This allows for a "Divide and Conquer" process directly, leading to additional computational cost savings.

# 5.2 Performance Evaluation on Linear Submap Joining utilizing PVI-SLAM

This section evaluates the robustness of the Linear Submap Joining framework within the context of [PVI-SLAM.](#page-19-0) The assessment begins with a comparative analysis of the computational time required for the proposed Map Joining process with [PVI-SLAM,](#page-19-0) considering diferent numbers of local maps. Subsequently, the estimated trajectory of Linear Submap

<b>Dataset</b>	V101			<b>MH01</b>			<b>MH03</b>		
Num of Local maps									
Local Maps	159.536	81.330	31.331	220.537	102.893	38.985	21.013	21.193	19.285
<b>Structure Transformation</b>	2.970	3.241	3.669	3.808	3.858	4.335	2.821	3.095	3.549
Linear Submap Joining	5.297	9.768	19.369	5.866	15.874	34.134	4.582	9.899	17.021
Total Time (sec)	167.803	94.339	54.369	230.211	122.625	77.454	28.416	34.187	39.855

<span id="page-109-0"></span>Table 5.1: Total computation time for Linear Submap Joining process for "EuRoC" dataset.

Joining is compared with the full [NLLS](#page-19-1) problem solved by [PVI-SLAM.](#page-19-0) Experiments are conducted using the "EuRoC" and "KITTI" datasets. In the case of the "KITTI" dataset, various initialization strategies, as discussed in Chapter [4,](#page-70-0) are applied to evaluate further the robustness of [PVI-SLAM](#page-19-0) utilizing Linear Submap Joining.

#### 5.2.1 "EuRoC" Dataset

Table [5.1](#page-109-0) displays the overall processing time for Linear Submap Joining, varying with the number of local maps. The time dedicated to optimizing local maps decreases with an increasing number of local maps. However, there is a concurrent rise in the time required for structure transformation and executing Linear Submap Joining. Despite this, notable time savings persist across various scenarios. For example, the V101 dataset, initially taking 167.80 seconds with 2 local maps, signifcantly reduces to just 54.37 seconds with 8 local maps. Similarly, for the MH01 dataset, the time decreases from 230.21 seconds with 2 local maps to 77.45 seconds with 8 local maps.

The results of the estimated trajectory for Linear Submap Joining are depicted in Figure [5.2](#page--1-0) and Figure [5.3.](#page--1-1) It is evident that Linear Submap Joining can achieve accuracy close to that of full batch optimization. For the V101 dataset, the translation error increases with the growing number of local maps, resulting in [RMSE](#page-20-1) values of 0.086m, 0.143m, and 0.191m, while the rotation error remains relatively consistent across all cases. In the case of MH03, Linear Submap Joining outperforms full batch optimization, yielding [RMSE](#page-20-1) values of 0.025m, 0.033m, and 0.034m with 2, 4, and 8 local maps, respectively. In contrast, full batch optimization achieves a translation error [RMSE](#page-20-1) of 0.030m and the lowest rotational error [RMSE](#page-20-1) at 1.186°, surpassing Linear Submap Joining with rotational errors of 1.229°, 1.215°, and 1.241°.

Furthermore, Linear Submap Joining demonstrates comparable performance to state-ofthe-art methods such as OpenVINS [\[11\]](#page-145-0), ORB-SLAM3 [\[12\]](#page-145-1), and VINS-Fusion [\[10\]](#page-145-2), as illustrated in Figure [5.4](#page--1-0) and Figure [5.5.](#page--1-1) In the case of MH03, Linear Submap Joining



Figure 5.2: Comparing Trajectories: Full Batch PVI-SLAM vs Linear Submap Joining (LSJ) with Varying Numbers (2,4, and 8) of Local Maps in the "EuRoC" Datasets.

achieves the lowest translation and rotational error [RMSE](#page-20-1) values at 0.025m and 1.229°, respectively. In comparison, OpenVINS, ORB-SLAM3, and VINS-Fusion exhibit [RMSE](#page-20-1) values of 0.108m and 1.417°, 0.034m and 1.338°, and 0.078m and 1.640°, respectively.

#### 5.2.2 "KITTI" Dataset

In the case of the "KITTI" dataset, local maps are constructed using [PVI-SLAM](#page-19-0) with two different initializations—one with loop-closure from ORB-SLAM3 [\[12\]](#page-145-1) and the other with [VO](#page-20-2) without loop-closure.



Figure 5.3: Comparative Analysis of Translation and Rotation Errors: Full Batch PVI-SLAM vs. Linear Submap Joining (LSJ) with Different Local Map Configurations (2,4, and 8) across "EuRoC" Datasets.

#### 5.2.2.1 Utilizing Adequate Initial Guess

As depicted in Table [5.2,](#page--1-0) various numbers of local maps are examined to evaluate their computational time compared to full batch optimization (in Table [4.7\)](#page--1-0). In the case of the sequence 06 dataset, using 2 local maps requires a total processing time of 370.11 seconds. However, by increasing the number of local maps to 8, the processing time is significantly reduced to only 206.81 seconds. Notably, for the sequence 07 dataset, there is a substantial reduction in processing time from 513.86 seconds when using 2 local maps to a total of 257.02 seconds when employing 8 local maps, nearly halving the processing time. This approach yields results that closely resemble those of batch optimization, as demonstrated



Figure 5.4: Trajectory Comparison: Linear Submap Joining vs State-of-the-Art Methods OpenVINS [\[11\]](#page-145-0), ORB-SLAM3 [\[12\]](#page-145-1), and VINS-Fusion [\[13\]](#page-145-3) Utilizing the "EuRoC" Datasets.

in Figure [5.6](#page--1-2) and Figure [5.7.](#page--1-1) The RMSE for translation is 3.865m for sequence 06, 2.537m for sequence 07, and 5.630m for sequence 09, which closely aligns with the performance of batch optimization.

#### 5.2.2.2 Absence of Favorable Initial Guess

In the previous chapter (Chapter [4\)](#page-70-0), batch optimization using [PVI-SLAM](#page-19-0) did not consistently converge when initialized with poor poses from visual odometry [\(VO\)](#page-20-2) without



Figure 5.5: Comparative Analysis of Translation and Rotation Errors: Linear Submap Joining vs State-of-the-Art Methods OpenVINS [\[11\]](#page-145-0), ORB-SLAM3 [\[12\]](#page-145-1), and VINS-Fusion [\[13\]](#page-145-3) across Different "EuRoC" Datasets.

loop-closure. However, Linear Submap Joining consistently achieved convergence in all scenarios, effectively overcoming challenges in high-dimensional nonlinear optimization. As depicted in Figure [5.6](#page--1-2) and Figure [5.7,](#page--1-1) in most instances, although Linear Submap Joining with [VO](#page-20-2) demonstrates a higher [RMSE](#page-20-1) compared to batch optimization, it closely approximates the results of batch optimization. For sequence 06, 07, and 09 of the "KITTI" dataset, the translation [RMSE](#page-20-1) of Linear Submap Joining with [VO](#page-20-2) is 6.232m, 3.991m, and 5.659m, while the rotation [RMSE](#page-20-1) is 0.861°, 1.921°, and 1.528°.

<b>Dataset</b>		06			07			09	
Num of Local maps				2					
Local Maps	359.609	263.919	165.807	469.718	306.302	191.099	401.284	358.262	188.058
<b>Structure Transformation</b>	3.974	3.315	3.650	4.518	4.429	4.452	5.829	5.987	6.529
Linear Submap Joining	6.530	9.390	37.354	39.624	67.872	61.469	38.455	80.228	123.270
Total Time (sec)	370.113	276.624	206.811	513.861	378.604	257.020	445.568	444.477	317.856

Table 5.2: Total computation time for Linear Submap Joining for "KITTI" dataset.



Figure 5.6: The comparison of trajectories between Batch PVI-SLAM, Linear Submap Joining, and Linear Submap Joining using VO without loop-closure using the "KITTI" datasets.

#### 5.3 Summary

Addressing high-dimensional nonlinear optimization problems poses challenges to system robustness, as demonstrated in the previous chapter, particularly when confronted with sub-optimal initialization. In response to this challenge, the integration of the Linear [SLAM](#page-20-0) framework into the [PVI-SLAM](#page-19-0) system enables effective handling of highdimensional nonlinear optimization challenges. This strategic incorporation alleviates concerns such as susceptibility to local minima traps.

The incorporation of Linear [SLAM](#page-20-0) into [PVI-SLAM](#page-19-0) necessitates additional processing time for state transformation. However, this overhead proves worthwhile, as it results in substantial time savings when compared to the execution of a full nonlinear optimization procedure. The notable efficiency of Linear [SLAM](#page-20-0) is attributed to its streamlined twostep approach: solving [LLS](#page-19-2) problem and implementing specific coordinate changes. A



Figure 5.7: Comparison of translation error and rotation error between Batch PVI-SLAM, Linear Submap Joining, and Linear Submap Joining using VO without loopclosure for each "KITTI" dataset.

distinguishing feature of this method is its independence from initial guesses and its ability to avoid local minima issues, making it particularly advantageous when a robust solution is crucial, even in challenging initial conditions.

Consequently, this integrated system exhibits enhanced robustness in the face of challenging scenarios, showcasing improved convergence and efficiency. This innovative approach addresses the limitations associated with high-dimensional nonlinear optimization, thereby fortifying the reliability and performance of the [PVI-SLAM](#page-19-0) system.

# Chapter 6

# Conclusion

This thesis has contributed a comprehensive framework for enhancing the robustness of [VI-SLAM](#page-20-3) by incorporating advancements in both fltering-based and optimization-based approaches. The primary emphasis throughout the research has been on achieving the right balance between computational complexity and accuracy within the system. This pursuit has been driven by a recognition of the inherent challenges and limitations associated with existing methods, necessitating a refned and innovative approach to address these issues.

Addressing computational challenges in fltering-based approaches, particularly loop-closure issues, the thesis explores and implements a compressed framework on [MSCKF.](#page-19-3) This innovative approach aims to achieve efficient computational complexity without compromising accuracy. Furthermore, to overcome challenges in fltering-based methods and enhance accuracy, [VI-SLAM](#page-20-3) integrates [PBA](#page-19-4) to handle problematic features observed in collinear motion. Lastly, to tackle the high-dimensional nonlinear optimization problem and manage computational complexity, a Linear [SLAM](#page-20-0) framework is employed for joining the local map constructed by [PVI-SLAM.](#page-19-0)

#### 6.1 Summary of Contributions

# 6.1.1 Balancing Efficiency and Accuracy in Monocular VI-SLAM: Introducing Compressed-MSCKF with Loop-Closure

Operating [VI-SLAM](#page-20-3) in a small-scale system necessitates careful management of computational complexity to ensure the robustness of the system. However, when examining fltering-based approaches, the computational cost tends to increase as the observed map grows larger. While sliding windows that marginalize past information can alleviate some computational burden, they often come at the cost of sacrifcing accuracy. Consequently, incorporating loop-closure becomes essential, yet this introduces the challenge of handling the size of the state vector as keyframes are continuously included as loop-closure constraints.

To address this challenge, the [Comp-MSCKF](#page-18-0) with loop-closure is introduced in Chapter [3.](#page-48-0) The [MSCKF](#page-19-3) framework retains specifc past camera poses as keyframes instead of all observed features in the state vector, utilizing multiple visual feature measurements to provide localization information. This approach enables linear computational complexity with respect to the number of features, a signifcant reduction compared to feature-based [SLAM.](#page-20-0) When incorporating loop-closure constraints into the state vector, a compressed framework is applied by dividing the state into local and global components. This strategy limits the computational complexity to the quadratic order of the number of local maps, which is typically much smaller than considering the entire map.

In the experimental evaluations, the [Comp-MSCKF](#page-18-0) demonstrated superior accuracy compared to both the standard [MSCKF](#page-19-3) and Schmidt[-MSCKF.](#page-19-3) Signifcantly, the [Comp-MSCKF](#page-18-0) emerged as a compelling choice, even considering computational complexity. However, within the [MSCKF](#page-19-3) framework and compression method, there is a potential for information loss during simultaneous marginalization and compression. The crucial task of determining the appropriate strategy for dividing the state into local and global components remains. Furthermore, for larger and longer trajectories without frequent loop-closure, signifcant drift can impede loop-closure in fltering-based methods, especially when problematic features are present.

#### 6.1.2 Advancing VI-SLAM: PVI-SLAM with PBA and UGPM

The shift in focus has moved from a fltering-based method to an optimization-based approach to tackle issues stemming from signifcant drift, especially when loop-closure is infrequent, thereby providing higher accuracy. Additionally, advancements in computer technology have empowered the real-time implementation of optimization-based methods.

In Chapter [4,](#page-70-0) [PVI-SLAM](#page-19-0) is introduced, incorporating [PBA](#page-19-4) to efectively address problematic features arising from collinear motion. While conventional features, such as XYZ parametrization and [IDP,](#page-19-5) perform well in certain scenarios, they face challenges in low parallax angles. The degeneracy in these situations arises from the high uncertainty in direct depth calculation, resulting in singularity and leading to divergence or local minima in the optimization problem.

Furthermore, the approach incorporates pre-integrated [IMU](#page-19-6) measurements, contributing to improved accuracy and the recovery of correct metric scale in monocular [VI-SLAM.](#page-20-3) Unlike the [PM](#page-19-7) method that involves numerical integration, [UGPM](#page-20-4) addresses [IMU](#page-19-6) data in continuous-time using [GP.](#page-19-8) This approach enhances accuracy, particularly in dynamic motion scenarios.

To enhance the robustness of the [PVI-SLAM](#page-19-0) system, an observation ray is utilized in the objective function, departing from the conventional [BA](#page-18-1) error function that relies on [2D](#page-18-2) image pixels. The observation ray objective function introduces geometric constraints based on the directions of observation rays. This incorporation of geometric constraints signifcantly enhances the overall robustness and accuracy of the system. Such improvements are particularly valuable in addressing challenges related to feature initialization and mitigating potential depth ambiguities.

The experimental results demonstrate that the integration of [IMU](#page-19-6) signifcantly enhances the reliability and consistency of the system, even with fewer feature observations. In contrast, SBA+IMU encounters challenges in achieving convergence and determining appropriate stopping criteria, requiring the use of the [LM](#page-19-9) optimization method. Conversely, [PVI-SLAM](#page-19-0) achieves convergence using the [GN](#page-18-3) method. While utilizing [UGPM](#page-20-4) can reduce [IMU](#page-19-6) initial error, it may not exhibit signifcant improvement in collinear motion. The incorporation of the observation ray objective function imparts notable robustness to the system, enabling convergence even with poorly initialized state vectors.

However, it is important to note that the convergence of high-dimensional nonlinear optimization problems is not guaranteed to reach the global minimum, and the proposed system may not assure convergence. Moreover, batch optimizing the problem incurs a high computational cost.

#### 6.1.3 Efficient Nonlinear Optimization in VI-SLAM with Linear Submap Joining

In Chapter [5,](#page-102-0) a Linear Submap Joining method using the Linear [SLAM](#page-20-0) framework was introduced. This technique is applied to the proposed [PVI-SLAM](#page-19-0) methodology to address challenges associated with high-dimensional nonlinear optimization and computational complexity. Unlike the conventional submap joining approach, Linear [SLAM](#page-20-0) eliminates

the need for initial guesses or iterative processes for optimization by treating it as a [LLS](#page-19-2) problem and employing nonlinear coordinate transformation. To integrate Linear [SLAM](#page-20-0) with [PVI-SLAM,](#page-19-0) an additional step is required where the state vector optimized from [PVI-SLAM](#page-19-0) is transformed to be suitable for Linear [SLAM.](#page-20-0) Despite the additional time required, this proves to be worthwhile, consuming a very small fraction of the total time. Moreover, it results in substantial time savings compared to the execution of a full nonlinear optimization procedure. Most importantly, the integrated system exhibits heightened robustness in challenging scenarios of bad initialization, demonstrating improved convergence and overall enhanced efficiency.

#### 6.2 Future Research

The methodology presented in this thesis has showcased notable improvements in both accuracy and efficiency when compared to existing state-of-the-art approaches throughout the conducted experiments. Although these fndings hold promise, there are compelling prospects for additional research and development endeavours to bolster and amplify the infuence of the proposed methodology.

#### 6.2.1 Observability Analysis

While the evaluation has provided valuable insights into the robustness of the system's performance, a more comprehensive exploration is essential, especially when considering the multifaceted nature of [SLAM.](#page-20-0) The current analysis has been constrained to a specifc depth, primarily examining convergence and accuracy. However, a notable gap exists in terms of a detailed comparative study, particularly with observability, a critical factor in [SLAM](#page-20-0) systems. Observability, encompassing the system's ability to efectively estimate the robot's pose and map features, is especially pertinent in [SLAM](#page-20-0) scenarios where environmental dynamics and sensor characteristics play pivotal roles. To address this gap and further advance the understanding of the proposed system, future work will focus on an expanded and more nuanced evaluation. By extending the scope of the evaluation, a comprehensive understanding is aimed at regarding how the proposed system compares to alternative methods within the dynamic landscape of [VI-SLAM.](#page-20-3)

<span id="page-120-0"></span>



#### <span id="page-120-1"></span>6.2.2 Assessing Performance on Individually Collected Datasets

In the present thesis, the evaluation of the proposed method has provided valuable insights into its performance through the analysis of selected real-world datasets. However, recognizing the need for a more comprehensive understanding of the method's capabilities and robustness, further testing with a diverse set of datasets is considered essential.

Furthermore, as articulated in the initial project plan, the hardware confguration, detailed in Appendix [C,](#page-138-0) has enable the collection of visual-inertial data in both outdoor and indoor settings at Queensland University of Technology [\(QUT\)](#page-19-10) (Samford Ecological Research Facility [\(SERF\)](#page-20-5) and Da Vinci Precinct [\(DVP\)](#page-18-4) Hangar facilities), as depicted in Figure [6.1.](#page-120-0) Leveraging this dataset, there is a strategic opportunity to expand the evaluation by subjecting the proposed method to a variety of motion scenarios. This extension to diverse testing environments is intended to facilitate a thorough examination of the system's adaptability and efectiveness across a spectrum of conditions.

#### 6.2.3 Extension Work on Multi-Drone Systems

The robustness exhibited by [PVI-SLAM](#page-19-0) in various scenarios underscores its efficacy in real-world applications, particularly in the domain of [VI-SLAM.](#page-20-3) The integration of the Linear [SLAM](#page-20-0) framework has further demonstrated the system's ability to manage computational complexity efectively and address high-dimensional nonlinear problems inherent in [VI-SLAM.](#page-20-3)

In light of these achievements, future work will focus on extending the application of [PVI-SLAM](#page-19-0) to multi-drone systems. The adaptability showcased in handling diverse scenarios positions the system as a promising solution for collaborative mapping and localization, especially in environments involving multiple drones. The results obtained through Linear Submap Joining suggest the potential to implement this approach in a multi-drone context, aiming to achieve results close to full optimization.

#### 6.2.4 Real-time Implementation

The current work presented in this thesis is confned to MATLAB code, limiting its applicability to real-time systems. As part of future work, the goal is to transition the implementation of the [PVI-SLAM](#page-19-0) algorithm into a real-time system. Unlike the XYZ parametrization and [IDP,](#page-19-5) the parallax parametrization dynamically changes with improvements in parallax angles during feature observations, introducing challenges in optimizing feature parameters.

To address this issue, an approach similar to the one proposed by Mendes et al. [\[106\]](#page-155-0) is planned to be adopted. Their work introduces a parametrization strategy within an incremental graph-based [SLAM](#page-20-0) framework, providing a viable solution to handle changing parallax angles. Implementing a proper method inspired by Mendes et al.'s work will be crucial for ensuring the robust optimization of feature parameters in the context of [PVI-SLAM](#page-19-0) system.

# Appendix A

# Jacobian for Reprojection Error

This appendix shows the derivation of the Jacobian matrix of the reprojection error (Equation  $(4.22)$ ) with respect to the state vector (Equation  $(4.18)$ ), considering the retraction mapping specifed in Equation [\(4.37\)](#page-82-0). The purpose is to enable optimization of the cost function given in Equation [\(4.21\)](#page-78-1) within the manifold domain as explained in Section [2.2.3.](#page-42-0)

To compute the Jacobian,  $U_i$  is first defined as:

$$
\mathbf{U}_{i} = \begin{bmatrix} U_{i_1} \\ U_{i_2} \\ U_{i_3} \end{bmatrix} = \mathbf{K} \left( \mathbf{R}_{C_i}^W \right)^\top \mathbf{x}_j^i, \tag{A.1}
$$

where the estimated reprojected observation matrix can be achieved as Equation [\(4.23\)](#page-78-2):

$$
\mathbf{u}_j^i = \begin{bmatrix} u_j^i \\ v_j^i \end{bmatrix} = \begin{bmatrix} U_{i_1}/U_{i_3} \\ U_{i_2}/U_{i_3} \end{bmatrix}.
$$
 (A.2)

Then, the Jacobian of  $\mathbf{u}_j^i$  with respect to  $\mathbf{U}_i$  can be calculated as:

$$
\frac{\partial \mathbf{u}_j^i}{\partial \mathbf{U}_i} = \begin{bmatrix} 1/U_{i_3} & 0 & -U_{i_1}/(U_{i_3})^2 \\ 0 & 1/U_{i_3} & -U_{i_2}/(U_{i_3})^2 \end{bmatrix} .
$$
 (A.3)

In the context of [PBA,](#page-19-4) the observation is subject to variation based on the anchor, determining the vector from the anchor to feature  $f_j$  as detailed in Equation [\(4.25\)](#page-79-0). For the optimization on the manifold, the cost function is lifted using the approach outlined in Equation [\(4.37\)](#page-82-0).

#### A.1 Jacobian for Observation from Main Anchor,  $u_j^m$ j

In the case of the reprojected observation from the main anchor to feature  $j$  written as:

$$
\mathbf{u}_{j}^{m} = \begin{bmatrix} u_{j}^{m} \\ v_{j}^{m} \end{bmatrix} = \pi(\mathbf{K} \left( \mathbf{R}_{C_{m}}^{W} \right)^{\top} \mathbf{x}_{j}^{m}).
$$
\n(A.4)

where it is only related to the rotation of the main anchor,  $\mathbf{R}_{I_m}^W$ , and the feature parameter,  $\mathbf{f}_{j},$  in the state vector. The chain rule is used to calculate the Jacobian.

## **A.1.1** Calculation of  $\partial u_j^m / \partial \delta \phi_m$

Using the chain rule,  $\frac{\partial \mathbf{u}_j^m}{\partial \delta \phi_m}$  can be written as:

$$
\frac{\partial \mathbf{u}_j^m}{\partial \delta \phi_m} = \frac{\partial \mathbf{u}_j^m}{\partial \mathbf{U}_m} \frac{\partial \mathbf{U}_m}{\partial \delta \phi_m}.
$$
\n(A.5)

With the lifted rotation matrix,  $U_m$  can be re-written as:

$$
\mathbf{U}_{m} \left( R_{I_{m}}^{W} \operatorname{Exp} \left( \delta \phi_{m} \right) \right) = \mathbf{K} \left( \mathbf{R}_{C}^{I} \right)^{\top} \left( \mathbf{R}_{I_{m}}^{W} \operatorname{Exp} \left( \phi_{m} \right) \right)^{\top} \mathbf{x}_{j}^{m}
$$
\n
$$
= \mathbf{K} \left( \mathbf{R}_{C}^{I} \right)^{\top} \left( 1 - \delta \phi_{m}^{\wedge} \right) \left( \mathbf{R}_{I_{m}}^{W} \right)^{\top} \mathbf{x}_{j}^{m}
$$
\n
$$
= \mathbf{K} \left( \mathbf{R}_{C}^{I} \right)^{\top} \left( \left( \mathbf{R}_{I_{m}}^{W} \right)^{\top} \mathbf{x}_{j}^{m} \right)^{\wedge} \delta \phi_{m}.
$$
\n(A.6)

Then, the Jacobian can be calculated as:

$$
\frac{\partial \mathbf{U}_m}{\partial \delta \phi_m} = \mathbf{K} \left( \mathbf{R}_C^I \right)^{\top} \left( \left( \mathbf{R}_{I_m}^W \right)^{\top} \mathbf{x}_j^m \right)^{\wedge} \tag{A.7}
$$

## **A.1.2** Calculation of  $\partial u_j^m / \partial \delta f_j$

$$
\frac{\partial \mathbf{u}_j^m}{\partial f_j} = \frac{\partial \mathbf{u}_j^m}{\partial U_m} \frac{\partial U_m}{\partial \mathbf{x}_j^m} \frac{\partial \mathbf{x}_j^m}{\partial f_j}.
$$
 (A.8)

where

$$
\frac{\partial \mathbf{U}_m}{\partial \mathbf{x}_j^m} = \mathbf{K} \left( \mathbf{R}_{C_m}^W \right)^{\top}, \quad \frac{\partial \mathbf{x}_j^m}{\partial \mathbf{f}_j} = \begin{bmatrix} \cos \psi_j \cos \theta_j & -\sin \psi_j \sin \theta_j & 0 \\ 0 & \cos \theta_j & 0 \\ -\sin \psi_j \cos \theta_j & -\cos \psi_j \sin \theta_j & 0 \end{bmatrix} . \tag{A.9}
$$

#### A.2 Jacobian for Observation from Associate Anchor,  $u_i^a$ j

The reprojected observation from the associate anchor to feature  $j$  written as:

$$
\mathbf{u}_j^a = \begin{bmatrix} u_j^a \\ v_j^a \end{bmatrix} = \pi(\mathbf{K} \ (\mathbf{R}_{C_a}^W)^\top \ \mathbf{x}_j^a).
$$
 (A.10)

In this case, it is related to  $\mathbf{R}_{I_a}^W$ ,  $W$ **t**<sub> $I_m$ </sub>,  $W$ **t**<sub> $I_a$ </sub>, and **f**<sub>j</sub> in the state vector.

## **A.2.1** Calculation of  $\partial u_j^a/\partial \delta \phi_a$

$$
\frac{\partial \mathbf{u}_j^a}{\partial \delta \phi_a} = \frac{\partial \mathbf{u}_j^a}{\partial \mathbf{U}_a} \frac{\partial \mathbf{U}_a}{\partial \delta \phi_a}.
$$
\n(A.11)

With the lifted rotation matrix,  $U_a$  can be re-written as:

$$
\mathbf{U}_{m}\left(R_{I_{a}}^{W}\operatorname{Exp}\left(\delta\phi_{i}\right)\right)=\mathbf{K}\left(\mathbf{R}_{C}^{I}\right)^{\top}\left((\mathbf{R}_{I_{a}}^{W})^{\top}\mathbf{x}_{j}^{a}\right)^{\wedge}\delta\phi_{a},\tag{A.12}
$$

and the Jacobian of  $\boldsymbol{U}$  respect to  $\delta \phi_a$  cna be written as:

$$
\frac{\partial \mathbf{U}_a}{\partial \delta \phi_a} = \mathbf{K} \left( \mathbf{R}_C^I \right)^{\top} \left( (\mathbf{R}_{I_a}^W)^{\top} \mathbf{x}_j^a \right)^{\wedge} . \tag{A.13}
$$

## **A.2.2** Calculation of  $\partial u_j^a/\partial \delta t_m$

$$
\frac{\partial \mathbf{u}_j^a}{\partial \delta t_m} = \frac{\partial \mathbf{u}_j^a}{\partial \mathbf{U}_a} \frac{\partial \mathbf{U}_a}{\partial \mathbf{x}_j^a} \frac{\partial \mathbf{x}_j^a}{\partial \delta t_m},
$$
(A.14)

where

$$
\frac{\partial \mathbf{U}_a}{\partial \mathbf{x}_j^a} = \mathbf{K} \; (\mathbf{R}_{Ca}^W)^\top,\tag{A.15}
$$

and

$$
\frac{\partial \mathbf{x}_{j}^{a}}{\partial \delta t_{m}} = \mathbf{x}_{j}^{m} \left( \frac{\partial \sin(\omega_{j} + \varphi_{j})}{\partial \delta t_{m}} \left\| W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}} \right\| + \frac{\partial \left( \left\| W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}} \right\| \right)}{\partial \delta t_{m}} \sin(\omega_{j} + \varphi_{j}) \right) - \sin \omega_{j} \frac{\partial \left( W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}} \right)}{\partial \delta t_{m}}.
$$
\n(A.16)

Here,

$$
\frac{\partial \sin(\omega_j + \varphi_j)}{\partial \delta t_m} = \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \varphi_j} \frac{\partial \varphi_j}{\partial \cos \varphi_j} \frac{\partial \cos \varphi_j}{\partial \delta t_m},
$$
(A.17)

$$
\frac{\partial \sin \left(\omega_j + \varphi_j\right)}{\partial \varphi_j} = \cos \left(\omega_j + \varphi_j\right),\tag{A.18}
$$

$$
\frac{\partial \varphi_j}{\partial \cos \varphi_j} = -\frac{1}{\sqrt{1 - \left(\frac{\mathbf{x}_j^m (W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m})}{\|W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m}\|}\right)^2}},\tag{A.19}
$$

$$
\frac{\partial \cos \varphi_j}{\partial \delta t_m} = \frac{\partial \left( \mathbf{x}_j^m \frac{(W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m})}{\partial \delta t_m} \right)}{\partial \delta t_m} \frac{1}{\| W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m} \|} \left( \mathbf{A} . 20 \right)
$$
\n
$$
- \frac{\partial \left( \left\| W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m} \right\| \right)}{\partial \delta t_m} \left( \mathbf{x}_j^m \frac{(W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m})}{\| W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m} \|^2}, \right)
$$
\n(A.20)

$$
\frac{\partial \left(\mathbf{x}_j^m \quad (\mathbf{Wt}_{C_a} - \mathbf{Wt}_{C_m})\right)}{\partial \delta t_m} = \frac{\partial \left(\mathbf{x}_j^m \quad (\mathbf{Wt}_{C_a} - (\mathbf{Wt}_{I_m} + \mathbf{R}_{I_m}^W \delta \mathbf{t}_m))\right)}{\partial \delta t_m} = -\mathbf{x}_j^m \mathbf{R}_{I_m}^W,
$$
\n(A.21)

$$
\frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \delta t_m} = \frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)} \frac{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)}{\partial \delta t_m}, \tag{A.22}
$$

where

$$
\frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)} = -\frac{\left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)}{\left\| \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right) \right\|},\tag{A.23}
$$

$$
\frac{\partial \left( {}^{W}\mathbf{t}_{C_a} - {}^{W}\mathbf{t}_{C_m} \right)}{\partial \delta \mathbf{t}_m} = \frac{\partial \left( {}^{W}\mathbf{t}_{C_a} - \left( {}^{W}\mathbf{t}_{I_m} + \mathbf{R}_{I_m}^W \delta \mathbf{t}_m \right) \right)}{\partial \delta \mathbf{t}_m} = -\mathbf{R}_{I_m}^W. \tag{A.24}
$$

## **A.2.3** Calculation of  $\partial u_j^a/\partial \delta t_a$

$$
\frac{\partial \mathbf{u}_j^a}{\partial \delta t_a} = \frac{\partial \mathbf{u}_j^a}{\partial \mathbf{U}_a} \frac{\partial \mathbf{U}_a}{\partial \mathbf{x}_j^a} \frac{\partial \mathbf{x}_j^a}{\partial \delta t_a},\tag{A.25}
$$

where

$$
\frac{\partial \mathbf{x}_{j}^{a}}{\partial \delta t_{a}} = \mathbf{x}_{j}^{m} \left( \frac{\partial \sin(\omega_{j} + \varphi_{j})}{\partial \delta t_{a}} \left\| W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}} \right\| + \frac{\partial (W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}})}{\partial \delta t_{a}} \sin(\omega_{j} + \varphi_{j}) \right) - \sin \omega_{j} \frac{\partial (W_{\mathbf{t}_{C_{a}}} - W_{\mathbf{t}_{C_{m}}})}{\partial \delta t_{a}}, \tag{A.26}
$$

$$
\frac{\partial \sin(\omega_j + \varphi_j)}{\partial \delta t_a} = \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \varphi_j} \frac{\partial \varphi_j}{\partial \cos \varphi_j} \frac{\partial \cos \varphi_j}{\partial \delta t_a},
$$
(A.27)

$$
\frac{\partial \cos \varphi_j}{\partial \delta t_a} = \frac{\partial \left( \mathbf{x}_j^m \left( {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right) \right)}{\partial \delta t_a} \frac{1}{\| {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \|} - \frac{\partial \left( \left\| {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right\| \right)}{\partial \delta t_a} \left( \mathbf{x}_j^m \left( {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right) \right) \frac{1}{\| {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \|}^{2}},
$$
\n(A.28)

$$
\frac{\partial \left(\mathbf{x}_{j}^{m} \quad (\mathbf{W} \mathbf{t}_{C_a} - \mathbf{W} \mathbf{t}_{C_m})\right)}{\partial \delta \mathbf{t}_a} = \frac{\partial \left(\mathbf{x}_{j}^{m} \quad (\mathbf{W} \mathbf{t}_{C_a} - ((\mathbf{W} \mathbf{t}_{I_m} + \mathbf{R}_{I_m}^{W} \delta \mathbf{t}_a) + \mathbf{R}_{I}^{W}))\right)}{\partial \delta \mathbf{t}_m}
$$
\n
$$
= \mathbf{x}_{j}^{m} \quad \mathbf{R}_{I_a}^{W}, \tag{A.29}
$$

$$
\frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \delta \mathbf{t}_a} = \frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)} \frac{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)}{\partial \delta \mathbf{t}_a}, \tag{A.30}
$$

$$
\frac{\partial \left( {}^{W}\mathbf{t}_{C_a} - {}^{W}\mathbf{t}_{C_m} \right)}{\partial \delta \mathbf{t}_a} = \frac{\partial \left( {}^{W}\mathbf{t}_{C_a} - \left( {}^{W}\mathbf{t}_{I_m} + \mathbf{R}_{I_m}^W \delta \mathbf{t}_m \right) \right)}{\partial \delta \mathbf{t}_a} = \mathbf{R}_{I_a}^W. \tag{A.31}
$$

## <span id="page-126-0"></span>A.2.4 Calculation of  $\partial u_j^a/f_j$

$$
\frac{\partial \mathbf{u}_j^a}{\partial f_j} = \frac{\partial \mathbf{u}_j^a}{\partial \mathbf{U}_a} \frac{\partial \mathbf{U}_a}{\partial \mathbf{x}_j^a} \frac{\partial \mathbf{x}_j^a}{\partial f_j}.
$$
\n(A.32)

In this case, the Jacobian with respect to azimuth and elevation angles is obtained, denoted as  $f_{j_{12}} = \begin{bmatrix} \psi_j & \theta_j \end{bmatrix}^\top$ , and then compute it with respect to the parallax angle,  $\omega_j$ . Firstly,  $\frac{\partial \mathbf{x}_j^a}{\partial f_{j_{12}}}$  can be written as:

$$
\frac{\partial \mathbf{x}_{j}^{a}}{\partial \mathbf{f}_{j_{12}}} = (\|^{W} \mathbf{t}_{C_{a}} - {^{W}} \mathbf{t}_{C_{m}} \|) \left( \mathbf{x}_{j}^{m} \frac{\partial \sin (\omega_{j} + \varphi_{j})}{\partial \mathbf{f}_{j_{12}}} + \sin (\omega_{j} + \varphi_{j}) \frac{\partial \mathbf{x}_{j}^{m}}{\partial \mathbf{f}_{j_{12}}} \right), \quad (A.33)
$$

where

$$
\frac{\partial \sin(\omega_j + \varphi_j)}{\partial f_{j_{12}}} = \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \varphi_j} \frac{\partial \varphi_j}{\partial \cos \varphi_j} \frac{\partial \cos \varphi_j}{\partial x_j^m} \frac{\partial x_j^m}{\partial f_{j_{12}}}, \quad (A.34)
$$

$$
\frac{\partial \cos \varphi_j}{\partial \mathbf{x}_j^m} = \frac{W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m}}{\| W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m} \|},\tag{A.35}
$$

$$
\frac{\partial \mathbf{x}_j^m}{\partial \mathbf{f}_{j_{12}}} = \frac{\partial \mathbf{x}_j^m}{\partial \mathbf{f}_j} = \begin{bmatrix} \cos \psi_j \cos \theta_j & -\sin \psi_j \sin \theta_j \\ 0 & \cos \theta_j \\ -\sin \psi_j \cos \theta_j & -\cos \psi_j \sin \theta_j \end{bmatrix} .
$$
 (A.36)

Then, the Jacobian respect to  $\omega_j$  is computed as:

$$
\frac{\partial \mathbf{x}_j^a}{\partial \omega_j} = \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \omega_j} \quad ||^W \mathbf{t}_{C_a} - ^W \mathbf{t}_{C_m} || \mathbf{x}_j^m - \cos(\omega_j) \quad (^W \mathbf{t}_{C_a} - ^W \mathbf{t}_{C_m}). \tag{A.37}
$$

#### A.3 Jacobian for Observation from Camera Position (Excluding Main and Associate Anchors),  $u_i^i$ j

When calculating the reprojected observation from the camera position that is neither the main anchor nor the associate anchor:

$$
\mathbf{u}_{j}^{i} = \begin{bmatrix} u_{j}^{i} \\ v_{j}^{i} \end{bmatrix} = \pi(\mathbf{K} \ (\mathbf{R}_{C_{i}}^{W})^{\top} \ \mathbf{x}_{j}^{i}), \tag{A.38}
$$

the Jacobian calculation can be performed as outlined in this section. Here, it is related to  $\mathbf{R}_{I_i}^W, W \mathbf{t}_{I_m}, W \mathbf{t}_{I_a}, W \mathbf{t}_{I_i}$  and  $\mathbf{f}_j$  in the state vector.

## $\mathrm{A.3.1} \quad \text{Calculation of} \; \partial u_j^i / \partial \delta \phi_i$

$$
\frac{\partial \mathbf{u}_j^i}{\partial \delta \phi_i} = \frac{\partial \mathbf{u}_j^i}{\partial \mathbf{U}_i} \frac{\partial \mathbf{U}_i}{\partial \delta \phi_i},
$$
(A.39)

where

$$
\frac{\partial \mathbf{U}_i}{\partial \delta \phi_i} = \mathbf{K} \left( \mathbf{R}_C^I \right)^\top \left( (\mathbf{R}_{I_i}^W)^\top \mathbf{x}_j^i \right)^\wedge. \tag{A.40}
$$

## $\mathrm{A.3.2\quad$  Calculation of  $\partial u^i_j/\partial \delta t_m$

$$
\frac{\partial \mathbf{u}_j^i}{\partial \delta t_m} = \frac{\partial \mathbf{u}_j^i}{\partial \mathbf{U}_i} \frac{\partial \mathbf{U}_i}{\partial \mathbf{x}_j^i} \frac{\partial \mathbf{x}_j^i}{\partial \delta t_m}.
$$
\n(A.41)

where

$$
\frac{\partial \mathbf{U}_i}{\partial \mathbf{x}_j^i} = \mathbf{K} \left( \mathbf{R}_{C_i}^W \right)^\top, \tag{A.42}
$$

$$
\frac{\partial \mathbf{x}_{j}^{i}}{\partial \delta t_{m}} = \mathbf{x}_{j}^{m} \left( \frac{\partial \sin(\omega_{j} + \varphi_{j})}{\partial \delta t_{m}} \left\| W_{\mathbf{t}_{Ca}} - W_{\mathbf{t}_{C_{m}}} \right\| + \frac{\partial (W_{\mathbf{t}_{Ca}} - W_{\mathbf{t}_{C_{m}}})}{\partial \delta t_{m}} \sin(\omega_{j} + \varphi_{j}) \right) - \sin \omega_{j} \frac{\partial (W_{\mathbf{t}_{C_{i}} - W_{\mathbf{t}_{C_{m}}})}}{\partial \delta t_{m}}, \tag{A.43}
$$

$$
\frac{\partial \sin(\omega_j + \varphi_j)}{\partial \delta t_m} = \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \varphi_j} \frac{\partial \varphi_j}{\partial \cos \varphi_j} \frac{\partial \cos \varphi_j}{\partial \delta t_m},
$$
(A.44)

$$
\frac{\partial \sin \left(\omega_j + \varphi_j\right)}{\partial \varphi_j} = \cos \left(\omega_j + \varphi_j\right),\tag{A.45}
$$

$$
\frac{\partial \varphi_j}{\partial \cos \varphi_j} = -\frac{1}{\sqrt{1 - \left(\frac{\mathbf{x}_j^m \left(W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m}\right)}{\|W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m}\|}\right)^2}},\tag{A.46}
$$

$$
\frac{\partial \cos \varphi_j}{\partial \delta t_m} = \frac{\partial \left( \mathbf{x}_j^m \left( {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right) \right)}{\partial \delta t_m} \frac{1}{\| {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \|} \n- \frac{\partial \left( \left\| {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right\| \right)}{\partial \delta t_m} \left( \mathbf{x}_j^m \left( {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right) \right) \frac{1}{\| {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \|^2}, \n\frac{\partial \left( \mathbf{x}_j^m \left( {}^{W} \mathbf{t}_{C_a} - {}^{W} \mathbf{t}_{C_m} \right) \right)}{\partial \delta t_m} = \frac{\partial \left( \mathbf{x}_j^m \left( {}^{W} \mathbf{t}_{C_a} - \left( \left( {}^{W} \mathbf{t}_{I_m} + \mathbf{R}_{I_m}^W \delta \mathbf{t}_m \right) + \mathbf{R}_{I}^W \right) \right) \right)}{\partial \delta t_m} \n= -\mathbf{x}_j^m \mathbf{R}_{I_m}^W,
$$
\n(A.48)

$$
\frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \delta t_m} = \frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)} \frac{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)}{\partial \delta t_m}, \tag{A.49}
$$

$$
\frac{\partial \left( \left\| W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right\| \right)}{\partial \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)} = -\frac{\left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right)}{\left\| \left( W_{\mathbf{t}_{C_a}} - W_{\mathbf{t}_{C_m}} \right) \right\|},\tag{A.50}
$$

$$
\frac{\partial \left( {}^{W}\mathbf{t}_{C_i} - {}^{W}\mathbf{t}_{C_m} \right)}{\partial \delta \mathbf{t}_m} = \frac{\partial \left( {}^{W}\mathbf{t}_{C_i} - ({}^{W}\mathbf{t}_{I_m} + \mathbf{R}_{I_m}^W \delta \mathbf{t}_m) \right)}{\partial \delta \mathbf{t}_m} = -\mathbf{R}_{I_m}^W.
$$
 (A.51)

## $\textbf{A.3.3} \quad \textbf{Calculation of} \ \partial u^i_j/\partial \delta t_a$

$$
\frac{\partial \mathbf{u}_j^i}{\partial \delta t_a} = \frac{\partial \mathbf{u}_j^i}{\partial \mathbf{U}_i} \frac{\partial \mathbf{U}_i}{\partial \mathbf{x}_j^i} \frac{\partial \mathbf{x}_j^i}{\partial \delta t_a},
$$
(A.52)

$$
\frac{\partial \mathbf{x}_j^i}{\partial \delta \mathbf{t}_a} = \mathbf{x}_j^m \left( \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \delta \mathbf{t}_a} \ \left\| W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m} \right\| + \frac{\partial \left( W \mathbf{t}_{C_a} - W \mathbf{t}_{C_m} \right)}{\partial \delta \mathbf{t}_a} \ \sin(\omega_j + \varphi_j) \right). \tag{A.53}
$$

## **A.3.4** Calculation of  $\partial u_j^i / \partial \delta t_i$

$$
\frac{\partial \mathbf{u}_j^i}{\partial \delta t_i} = \frac{\partial \mathbf{u}_j^i}{\partial \mathbf{U}_i} \frac{\partial \mathbf{U}_i}{\partial \mathbf{x}_j^i} \frac{\partial \mathbf{x}_j^i}{\partial \delta t_i},
$$
(A.54)

$$
\frac{\partial \mathbf{x}_{j}^{i}}{\partial \delta \mathbf{t}_{i}} = -\sin(\omega_{j}) \frac{\partial (W\mathbf{t}_{C_{i}} - W\mathbf{t}_{C_{m}})}{\partial \delta \mathbf{t}_{i}}, \qquad (A.55)
$$

$$
\frac{\partial \left( {}^{W}\mathbf{t}_{C_i} - {}^{W}\mathbf{t}_{C_m} \right)}{\partial \delta \mathbf{t}_i} = \frac{\partial \left( \left( {}^{W}\mathbf{t}_{I_i} + \mathbf{R}_{I_i}^W \delta \mathbf{t}_i \right) - {}^{W}\mathbf{t}_{C_m} \right)}{\partial \delta \mathbf{t}_i} = \mathbf{R}_{I_i}^W
$$
(A.56)

## A.3.5 Calculation of  $\partial u_j^i/\partial f_j$

$$
\frac{\partial \mathbf{u}_j^i}{\partial \mathbf{f}_j} = \frac{\partial \mathbf{u}_j^i}{\partial \mathbf{U}_i} \frac{\partial \mathbf{U}_i}{\partial \mathbf{x}_j^i} \frac{\partial \mathbf{x}_j^i}{\partial \mathbf{f}_j}.
$$
\n(A.57)

In this case, similar to the Jacobian calculation in Section [A.2.4,](#page-126-0) the computation of the Jacobian is divided into two parameters,  $f_{j_{12}}$  and  $\omega_j$  as:

$$
\frac{\partial \mathbf{x}_{j}^{i}}{\partial \mathbf{f}_{j_{12}}} = \frac{\partial \mathbf{x}_{j}^{i}}{\partial \mathbf{f}_{j}} = \begin{bmatrix} \cos \psi_{j} \cos \theta_{j} & -\sin \psi_{j} \sin \theta_{j} \\ 0 & \cos \theta_{j} \\ -\sin \psi_{j} \cos \theta_{j} & -\cos \psi_{j} \sin \theta_{j} \end{bmatrix},
$$
(A.58)

$$
\frac{\partial \mathbf{x}_j^i}{\partial \omega_j} = \frac{\partial \sin(\omega_j + \varphi_j)}{\partial \omega_j} \quad ||^W \mathbf{t}_{C_a} - ^W \mathbf{t}_{C_m} || \mathbf{x}_j^m - \cos(\omega_j) \quad (^W \mathbf{t}_{C_i} - ^W \mathbf{t}_{C_m}). \tag{A.59}
$$

# Appendix B

# Jacobian for IMU

This appendix provides the derivation of the Jacobian matrix of the pre-integrated [IMU](#page-19-6) (Equation [\(4.36\)](#page-81-0)) with respect to the state vector (Equation [\(4.18\)](#page-77-0)), considering the retraction mapping specifed in Equation [\(4.37\)](#page-82-0). The objective is to facilitate the optimization of the cost function given in Equation [\(4.21\)](#page-78-1) within the manifold domain, as elucidated in Section [2.2.3.](#page-42-0)

# B.1 Jacobian for Rotation Residual,  $e_{\Delta R_{ij}}$

The residual of rotation can be derived as follows:

$$
\mathbf{e}_{\Delta R_{ij}} \doteq \mathrm{Log}\left( \left( \Delta \mathbf{R}_{j}^{i} \left( \overline{\mathbf{b}}_{\omega_{i}} \right) \mathrm{Exp}\left( \frac{\partial \Delta \mathbf{R}_{j}^{i}}{\partial \mathbf{b}_{\omega_{i}}} \delta \mathbf{b}_{\omega_{i}} \right) \right)^{\top} \mathbf{R}_{I_{i}}^{W^{\top}} \mathbf{R}_{I_{j}}^{W} \right), \tag{B.1}
$$

where its Jacobian respect to the lifted state vector is composed as:

$$
\mathbf{J}_R = \frac{\partial \mathbf{e}_{\Delta R_{ij}}}{\partial \delta \mathbf{x}} = \begin{bmatrix} 0, & \cdots, & \frac{\partial \mathbf{e}_{\Delta R_{ij}}}{\partial \delta \phi_i}, & 0, & 0, & \frac{\partial \mathbf{e}_{\Delta R_{ij}}}{\partial \delta \mathbf{b}_{\omega_i}}, & 0, & \frac{\partial \mathbf{e}_{\Delta R_{ij}}}{\partial \delta \phi_j}, & 0, & 0, & 0, & \cdots, & 0 \end{bmatrix} . \tag{B.2}
$$

# B.1.1 Calculation of  $\partial e_{\Delta R_{ij}}/\partial \delta \phi_i$

$$
\begin{aligned}\n\mathbf{e}_{\Delta \mathbf{R}_{ij}}\left(\mathbf{R}_{I_i}^W \operatorname{Exp}\left(\delta \phi_i\right)\right) &= \operatorname{Log}\left(\left(\Delta \mathbf{R}_j^i \left(\overline{\mathbf{b}}_{\omega_i}\right) \mathbf{E}\right)^{\top} \left(\mathbf{R}_{I_i}^W \operatorname{Exp}\left(\delta \phi_i\right)\right)^{\top} \mathbf{R}_{I_j}^W\right) \\
&= \operatorname{Log}\left(\left(\Delta \mathbf{R}_j^i \left(\overline{\mathbf{b}}_{\omega_i}\right) \mathbf{E}\right)^{\top} \operatorname{Exp}\left(-\delta \phi_i\right) \mathbf{R}_{I_i}^{W^{\top}} \mathbf{R}_{I_j}^W\right) \\
&= \operatorname{Log}\left(\left(\Delta \mathbf{R}_j^i \left(\overline{\mathbf{b}}_{\omega_i}\right) \mathbf{E}\right)^{\top} \mathbf{R}_{I_i}^{W^{\top}} \mathbf{R}_{I_j}^W \operatorname{Exp}\left(-\mathbf{R}_{I_j}^{W^{\top}} \mathbf{R}_{I_i}^W \delta \phi_i\right)\right) \\
&\simeq & \mathbf{e}_{\Delta \mathbf{R}_{ij}}\left(\mathbf{R}_{I_i}^W\right) - J_i^{-1} \left(\mathbf{e}_{\Delta \mathbf{R}_{ij}}\left(\mathbf{R}_{I_i}^W\right)\right) \mathbf{R}_{I_j}^{W^{\top}} \mathbf{R}_{I_i}^W \delta \phi_i,\n\end{aligned} \tag{B.3}
$$

where

$$
\mathbf{E} = \text{Exp}\left(\frac{\partial \Delta \mathbf{R}_j^i}{\partial \mathbf{b}_{\omega_i}} \delta \mathbf{b}_{\omega_i}\right).
$$
 (B.4)

Therefore, Jacobian of rotational residual,  $\partial e_{\Delta \mathbf{R}_{ij}}/\partial \delta \phi_i$ , can be achieved as follow:

$$
\frac{\partial \mathbf{e}_{\Delta \mathbf{R}_{ij}}}{\partial \delta \phi_i} = -J_r^{-1} \left( \mathbf{e}_{\Delta \mathbf{R}_{ij}} \left( \mathbf{R}_{I_i}^W \right) \right) \mathbf{R}_{I_j}^W^\top \mathbf{R}_{I_i}^W. \tag{B.5}
$$

# B.1.2 Calculation of  $\partial e_{\Delta R_{ij}}/\partial \delta b_{\omega_i}$

$$
\begin{split}\n&\mathbf{e}_{\Delta \mathbf{R}_{ij}}\left(\delta \mathbf{b}_{\omega_{i}} + \tilde{\delta} \mathbf{b}_{\omega_{i}}\right) \\
&= \mathrm{Log}\left(\left(\Delta \mathbf{R}_{j}^{i}\left(\overline{\mathbf{b}}_{\omega_{i}}\right) \mathrm{Exp}\left(\frac{\partial \Delta \mathbf{R}_{j}^{i}}{\partial \mathbf{b}_{\omega_{i}}}\left(\delta \mathbf{b}_{\omega_{i}} + \tilde{\delta} \mathbf{b}_{\omega_{i}}\right)\right)\right)^{\top} \mathbf{R}_{I_{i}}^{W^{\top}} \mathbf{R}_{I_{j}}^{W}\right) \\
&\simeq \mathrm{Log}\left(\left(\Delta \mathbf{R}_{j}^{i}\left(\overline{\mathbf{b}}_{\omega_{i}}\right) \mathbf{E} \mathrm{Exp}\left(\mathbf{J}_{r}^{b} \frac{\partial \Delta \mathbf{R}_{j}^{i}}{\partial \mathbf{b}_{\omega_{i}}}\tilde{\delta} \mathbf{b}_{\omega_{i}}\right)\right)^{\top} \mathbf{R}_{I_{i}}^{W^{\top}} \mathbf{R}_{I_{j}}^{W}\right) \\
&= \mathrm{Log}\left(\mathrm{Exp}\left(-\mathbf{J}_{r}^{b} \frac{\partial \Delta \mathbf{R}_{j}^{i}}{\partial \mathbf{b}_{\omega_{i}}}\tilde{\delta} \mathbf{b}_{\omega_{i}}\right) \left(\Delta \mathbf{R}_{j}^{i}\left(\overline{\mathbf{b}}_{\omega_{i}}\right) \mathbf{E}\right)^{\top} \mathbf{R}_{I_{i}}^{W^{\top}} \mathbf{R}_{I_{j}}^{W}\right) \\
&= \mathrm{Log}\left(\mathrm{Exp}\left(-\mathbf{J}_{r}^{b} \frac{\partial \Delta \mathbf{R}_{j}^{i}}{\partial \mathbf{b}_{\omega_{i}}}\tilde{\delta} \mathbf{b}_{\omega_{i}}\right) \mathrm{Exp}\left(\mathbf{e}_{\Delta \mathbf{R}_{i j}}\left(\delta \mathbf{b}_{\omega_{i}}\right)\right)\right) \\
&= \mathrm{Log}\left(\mathrm{Exp}\left(\mathbf{e}_{\Delta \mathbf{R}_{i j}}\left(\delta \mathbf{b}_{\omega_{i}}\right) \mathrm{Exp}\left(-\mathrm{Exp}\left(\mathbf{e}_{\Delta \mathbf{R}_{i j}}\left(\delta \mathbf{b}_{\omega_{i}}\right)\right)^{\top} \mathbf{J}_{r}^{b}
$$

where  $E = \text{Exp}\left(\frac{\partial \Delta \mathbf{R}_j^i}{\partial \mathbf{b}_{\omega_i}} \delta \mathbf{b}_{\omega_i}\right)$  and  $\mathbf{J}_r^b = J_r \left(\frac{\partial \Delta \mathbf{R}_{ij}}{\partial \mathbf{b}_{\omega_i}}\right)$  $\frac{\partial \Delta \boldsymbol{R}_{ij}}{\partial \boldsymbol{b}_{\omega_i}} \delta \boldsymbol{b}_{\omega_i}$ ). Therefore, the Jacobian of rotation residual respect to bias can be written as:

$$
\frac{\partial \boldsymbol{e}_{\Delta \boldsymbol{R}_{ij}}}{\partial \tilde{\delta} \boldsymbol{b}_{\omega_i}} = -J_r^{-1} \left( \boldsymbol{e}_{\Delta \boldsymbol{R}_{ij}} \left( \delta \boldsymbol{b}_{\omega_i} \right) \right) \operatorname{Exp} \left( \boldsymbol{e}_{\Delta \boldsymbol{R}_{ij}} \left( \delta \boldsymbol{b}_{\omega_i} \right) \right)^\top \mathbf{J}_r^b \frac{\partial \Delta \boldsymbol{R}_j^i}{\partial \boldsymbol{b}_{\omega_i}}.
$$
(B.7)

# B.1.3 Calculation of  $\partial e_{\Delta R_{ij}}/\partial \delta \phi_j$

$$
\mathbf{e}_{\Delta \mathbf{R}_{ij}} \left( \mathbf{R}_{I_j}^W \operatorname{Exp} \left( \delta \phi_j \right) \right) = \log \left( \left( \Delta \mathbf{R}_j^i \left( \overline{\mathbf{b}}_{\omega_i} \right) \mathbf{E} \right)^\top \mathbf{R}_{I_i}^W{}^\top \left( \mathbf{R}_{I_j}^W \operatorname{Exp} \left( \delta \phi_j \right) \right) \right) \simeq \mathbf{e}_{\Delta \mathbf{R}_{ij}} \left( \mathbf{R}_{I_j}^W \right) + J_r^{-1} \left( \mathbf{e}_{\Delta \mathbf{R}_{ij}} \left( \mathbf{R}_{I_j}^W \right) \right) \delta \phi_j,
$$
\n(B.8)

where Jacobian of rotational residual,  $\partial \mathbf{e}_{\Delta \mathbf{R}_{ij}} / \partial \phi_j$ , can be achieved as follow:

$$
\frac{\partial \boldsymbol{e}_{\Delta \boldsymbol{R}_{ij}}}{\partial \delta \phi_j} = J_r^{-1} \left( \boldsymbol{e}_{\Delta \boldsymbol{R}_{ij}} \left( \boldsymbol{R}_{I_j}^W \right) \right). \tag{B.9}
$$

# B.2 Jacobian for Transition Residual,  $e_{\Delta t_{ij}}$

The residual of translation can be derived as follows:

$$
\boldsymbol{e}_{\Delta t_{ij}} \doteq \boldsymbol{R}_{I_i}^{W^{\top}} \left( {}^{W}t_{I_j} - {}^{W}t_{I_i} - {}^{W}v_{I_i} \Delta t - \frac{1}{2} g \Delta t^2 \right) - \left[ \Delta t_j^i \left( \overline{b}_{\omega_i}, \overline{b}_{a_i} \right) + \frac{\partial \Delta t_j^i}{\partial b_{\omega_i}} \delta b_{\omega_i} + \frac{\partial \Delta t_j^i}{\partial b_{a_i}} \delta b_{a_i} \right],
$$
\n(B.10)

where its Jacobian respect to the lifted state vector is composed as:

$$
J_{t} = \frac{\partial e_{\Delta t_{ij}}}{\partial \delta x} = \begin{bmatrix} 0, & \cdots, & \frac{\partial e_{\Delta t_{ij}}}{\partial \delta \phi_{i}}, & \frac{\partial e_{\Delta t_{ij}}}{\partial \delta t_{i}}, & \frac{\partial e_{\Delta t_{ij}}}{\partial \delta v_{i}}, & \frac{\partial e_{\Delta t_{ij}}}{\partial \delta b_{\omega_{i}}}, & \frac{\partial e_{\Delta t_{ij}}}{\partial \delta b_{\omega_{i}}}, & 0, & \frac{\partial e_{\Delta t_{ij}}}{\partial \delta t_{j}}, & 0, & 0, & 0, & \cdots, & 0 \end{bmatrix} .
$$
\n(B.11)

#### B.2.1 Calculation of  $\partial e_{\Delta t_{ij}}/\partial \delta \phi_i$

$$
\mathbf{e}_{\Delta t_{ij}}\left(\mathbf{R}_{I_{i}}^{W}\operatorname{Exp}\left(\delta\phi_{i}\right)\right) \n= \left(\mathbf{R}_{I_{i}}^{W}\operatorname{Exp}\left(\delta\phi_{i}\right)\right)^{\top}\left(W_{\boldsymbol{t}_{I_{j}}}-W_{\boldsymbol{t}_{I_{i}}}-W_{\boldsymbol{v}_{I_{i}}}\Delta t-\frac{1}{2}\boldsymbol{g}\Delta t^{2}\right)-\mathbf{C} \n\approx \left(\mathbf{I}-\delta\phi_{i}^{\wedge}\right)\mathbf{R}_{I_{i}}^{W\top}\left(W_{\boldsymbol{t}_{I_{j}}}-W_{\boldsymbol{t}_{I_{i}}}-W_{\boldsymbol{v}_{I_{i}}}\Delta t-\frac{1}{2}\boldsymbol{g}\Delta t^{2}\right)-\mathbf{C} \n= \mathbf{e}_{\Delta t_{ij}}\left(\mathbf{R}_{I_{i}}^{W}\right)+\left(\mathbf{R}_{I_{i}}^{W\top}\left(W_{\boldsymbol{t}_{I_{j}}}-W_{\boldsymbol{t}_{I_{i}}}-W_{\boldsymbol{v}_{I_{i}}}\Delta t-\frac{1}{2}\boldsymbol{g}\Delta t^{2}\right)\right)^{\wedge}\delta\phi_{i},
$$
\n(B.12)

where  $\boldsymbol{C} = \Delta \boldsymbol{t}^i_j \left( \boldsymbol{\overline{b}}_{\omega_i}, \boldsymbol{\overline{b}}_{a_i} \right) + \frac{\partial \Delta \boldsymbol{t}^i_j}{\partial \boldsymbol{b}_{\omega_i}} \delta \boldsymbol{b}_{\omega_i} + \frac{\partial \Delta \boldsymbol{t}^i_j}{\partial \boldsymbol{b}_{a_i}} \delta \boldsymbol{b}_{a_i}, \text{ then:}$ 

$$
\frac{\partial \boldsymbol{e}_{\Delta t_{ij}}}{\partial \delta \phi_i} = \left( \boldsymbol{R}_{I_i}^{W^{\top}} \left( {}^{W} \boldsymbol{t}_{I_j} - {}^{W} \boldsymbol{t}_{I_i} - {}^{W} \boldsymbol{v}_{I_i} \Delta t - \frac{1}{2} \boldsymbol{g} \Delta t \right) \right)^{\wedge}.
$$
 (B.13)

# B.2.2 Calculation of  $\partial e_{\Delta t_{ij}}/\partial \delta t_i$

$$
\boldsymbol{e}_{\Delta t_{ij}}\left(\boldsymbol{W}\boldsymbol{t}_{I_i} + \boldsymbol{R}_{I_i}^W\delta\boldsymbol{t}_i\right) = \boldsymbol{R}_{I_i}^W \left(\boldsymbol{W}\boldsymbol{t}_{I_j} - \boldsymbol{W}\boldsymbol{t}_{I_i} - \boldsymbol{W}\boldsymbol{v}_{I_i}\Delta t - \frac{1}{2}\boldsymbol{g}\Delta t^2\right) - \boldsymbol{C}
$$
\n
$$
= \boldsymbol{e}_{\Delta t_{ij}}\left(\boldsymbol{W}\boldsymbol{t}_{I_i}\right) - \delta t_i,
$$
\n(B.14)

$$
\frac{\partial e_{\Delta t_{ij}}}{\partial \delta t_i} = -I. \tag{B.15}
$$

B.2.3 Calculation of  $\partial e_{\Delta t_{ij}}/\partial \delta v_i$ 

$$
\boldsymbol{e}_{\Delta t_{ij}}\left(\boldsymbol{W}\boldsymbol{v}_{I_i} + \delta\boldsymbol{v}_i\right) = \boldsymbol{R}_{I_i}^{\boldsymbol{W}^{\top}}\left(\boldsymbol{W}\boldsymbol{t}_{I_j} - \boldsymbol{W}\boldsymbol{t}_{I_i} - \boldsymbol{W}\boldsymbol{t}_{I_i}\Delta t - \delta\boldsymbol{v}_i\Delta t - \frac{1}{2}\boldsymbol{g}\Delta t^2\right) - \boldsymbol{C}
$$
\n
$$
= \boldsymbol{e}_{\Delta t_{ij}}\left(\boldsymbol{W}\boldsymbol{v}_{I_i}\right) + \left(-\boldsymbol{R}_{I_i}^{\boldsymbol{W}^{\top}}\Delta t\right)\delta\boldsymbol{v}_i,
$$
\n
$$
\frac{\partial \boldsymbol{e}_{\Delta t_{ij}}}{\partial \delta\boldsymbol{v}_i} = -\boldsymbol{R}_{I_i}^{\boldsymbol{W}^{\top}}\Delta t.
$$
\n(B.17)

B.2.4 Calculation of  $\partial e_{\Delta t_{ij}}/\partial \tilde{\delta} b_{\omega_i}$ 

$$
\frac{\partial \boldsymbol{e}_{\Delta t_{ij}}}{\partial \tilde{\delta} \boldsymbol{b}_{\omega_i}} = -\frac{\partial \Delta t_j^i}{\partial \boldsymbol{b}_{\omega_i}}.
$$
\n(B.18)

# B.2.5 Calculation of  $\partial e_{\Delta t_{ij}}/\partial \tilde{\delta} b_{a_i}$

$$
\frac{\partial \mathbf{e}_{\Delta t_{ij}}}{\partial \tilde{\delta} \mathbf{b}_{a_i}} = -\frac{\partial \Delta t_j^i}{\partial \mathbf{b}_{a_i}}.
$$
(B.19)

B.2.6 Calculation of  $\partial e_{\Delta t_{ij}}/\partial \delta t_j$ 

$$
\boldsymbol{e}_{\Delta t_{ij}} \left( {}^{W}t_{I_j} + \boldsymbol{R}_{I_j}^{W} \delta t_j \right) = \boldsymbol{R}_{I_i}^{W^{\top}} \left( {}^{W}e_{I_j} - {}^{W}t_{I_i} - {}^{W}v_{I_i} \Delta t - \frac{1}{2} \boldsymbol{g} \Delta t^2 \right) - \boldsymbol{C}
$$
\n
$$
= \boldsymbol{e}_{\Delta t_{ij}} \left( {}^{W}t_{I_j} \right) + \left( \boldsymbol{R}_{I_i}^{W^{\top}} \boldsymbol{R}_{I_j}^{W} \right) \delta t_j,
$$
\n
$$
\frac{\partial \boldsymbol{e}_{\Delta t_{ij}}}{\partial \delta t_j} = \boldsymbol{R}_{I_i}^{W^{\top}} \boldsymbol{R}_{I_j}^{W}.
$$
\n(B.21)

# B.3 Jacobian for Velocity Residual,  $e_{\Delta v_{ij}}$

The residual velocity can be derived as follows:

$$
\boldsymbol{e}_{\Delta v_{ij}} \doteq \boldsymbol{R}_{I_i}^{W^{\top}} \left( {}^{W}v_{I_j} - {}^{W}v_{I_i} - \boldsymbol{g}\Delta t \right) - \left[ \Delta v_j^i \left( \boldsymbol{\overline{b}}_{\omega_i}, \boldsymbol{\overline{b}}_{a_i} \right) + \frac{\partial \Delta v_j^i}{\partial \boldsymbol{b}_{\omega_i}} \delta \boldsymbol{b}_{\omega_i} + \frac{\partial \Delta v_j^i}{\partial \boldsymbol{b}_{a_i}} \delta \boldsymbol{b}_{a_i} \right] \tag{B.22}
$$

where its Jacobian respect to the lifted state vector is composed as:

$$
J_v = \frac{\partial e_{\Delta t_{ij}}}{\partial \delta x} = \begin{bmatrix} 0, & \cdots, & \frac{\partial e_{\Delta v_{ij}}}{\partial \delta \phi_i}, & 0, & \frac{\partial e_{\Delta v_{ij}}}{\partial \delta v_i}, & \frac{\partial e_{\Delta v_{ij}}}{\partial \delta b_{\omega_i}}, & \frac{\partial e_{\Delta v_{ij}}}{\partial \delta b_{\omega_i}}, & 0, & 0, & \frac{\partial e_{\Delta v_{ij}}}{\partial \delta v_j}, & 0, & 0, & \cdots, & 0 \end{bmatrix}
$$
(B.23)

B.3.1 Calculation of  $\partial e_{\Delta v_{ij}}/\partial \delta \phi_i$ 

$$
\mathbf{e}_{\Delta v_{ij}}\left(\mathbf{R}_{I_i}^W \operatorname{Exp}\left(\delta \phi_i\right)\right) = \left(\mathbf{R}_{I_i}^W \operatorname{Exp}\left(\delta \phi_i\right)\right)^\top \left(\begin{matrix} W_{\boldsymbol{v}_{I_j}} - W_{\boldsymbol{v}_{I_i}} - \boldsymbol{g} \Delta t\right) - \boldsymbol{D} \\ \end{matrix} \\ = \left(\mathbf{I} - \delta \phi_i^\wedge\right) \mathbf{R}_{I_i}^{W^\top} \left(\begin{matrix} W_{\boldsymbol{v}_{I_j}} - W_{\boldsymbol{v}_{I_i}} - \boldsymbol{g} \Delta t\right) - \boldsymbol{D} \\ \end{matrix} \\ = \mathbf{e}_{\Delta v_{ij}}\left(\mathbf{R}_{I_i}^W\right) + \left(\mathbf{R}_{I_i}^{W^\top} \left(\begin{matrix} W_{\boldsymbol{v}_{I_j}} - W_{\boldsymbol{v}_{I_i}} - \boldsymbol{g} \Delta t\right)\right)^\wedge \delta \phi_i,\end{matrix}
$$
\n(B.24)

where  $D = \Delta \mathbf{v}_j^i \left( \overline{\mathbf{b}}_{\omega_i}, \overline{\mathbf{b}}_{a_i} \right) + \frac{\partial \Delta \mathbf{v}_j^i}{\partial \mathbf{b}_{\omega_i}} \delta \mathbf{b}_{\omega_i} + \frac{\partial \Delta \mathbf{v}_j^i}{\partial \mathbf{b}_{a_i}} \delta \mathbf{b}_{a_i}$ , then:

$$
\frac{\partial \boldsymbol{e}_{\Delta \boldsymbol{v}_{ij}}}{\partial \delta \boldsymbol{\phi}_i} = \left( \boldsymbol{R}_{I_i}^{W^{\top}} \left( {}^{W} \boldsymbol{v}_{I_j} - {}^{W} \boldsymbol{v}_{I_i} - W \Delta t \right) \right)^{\wedge} . \tag{B.25}
$$

# B.3.2 Calculation of  $\partial e_{\Delta v_{ij}}/\partial \delta v_i$

$$
\mathbf{e}_{\Delta v_{ij}}\left(\mathbf{W}_{\boldsymbol{v}_{I_i}}+\delta\mathbf{v}_i\right)=\mathbf{R}_{I_i}^{W^{\top}}\left(\mathbf{W}_{\boldsymbol{v}_{I_j}}-\mathbf{W}_{\boldsymbol{v}_{I_i}}-\delta\mathbf{v}_i-g\Delta t\right)-\mathbf{D}
$$
\n
$$
=\mathbf{e}_{\Delta v_{ij}}\left(\mathbf{W}_{\boldsymbol{v}_{I_i}}\right)-\mathbf{R}_{I_i}^{W^{\top}}\delta\mathbf{v}_i,
$$
\n(B.26)

$$
\frac{\partial \mathbf{e}_{\Delta v_{ij}}}{\partial \delta v_i} = -\mathbf{R}_{I_i}^{W^{\top}}.
$$
\n(B.27)

B.3.3 Calculation of  $\partial e_{\Delta v_{ij}}/\partial \tilde{\delta} b_{\omega_i}$ 

$$
\frac{\partial \mathbf{e}_{\Delta v_{ij}}}{\partial \tilde{\delta} \mathbf{b}_{\omega_i}} = -\frac{\partial \Delta v_j^i}{\partial \mathbf{b}_{\omega_i}}.
$$
(B.28)

# B.3.4 Calculation of  $\partial e_{\Delta v_{ij}}/\partial \tilde{\delta} b_{a_i}$

$$
\frac{\partial \boldsymbol{e}_{\Delta \boldsymbol{v}_{ij}}}{\partial \tilde{\delta} \boldsymbol{b}_{a_i}} = -\frac{\partial \Delta \boldsymbol{v}_j^i}{\partial \boldsymbol{b}_{a_i}}.
$$
(B.29)

#### B.3.5 Calculation of  $\partial e_{\Delta v_{ij}}/\partial v_j$

$$
\boldsymbol{e}_{\Delta v_{ij}}\left(\boldsymbol{W}\boldsymbol{v}_{I_j} + \delta \boldsymbol{v}_j\right) = \boldsymbol{R}_{I_i}^{\boldsymbol{W}^\top}\left(\boldsymbol{W}\boldsymbol{v}_{I_j} + \delta \boldsymbol{v}_j - \boldsymbol{W}\boldsymbol{v}_{I_i} - \boldsymbol{g}\Delta t\right) - \boldsymbol{D} \n= \boldsymbol{e}_{\Delta v_{ij}}\left(\boldsymbol{W}\boldsymbol{v}_{I_j}\right) + \boldsymbol{R}_{I_i}^{\boldsymbol{W}^\top}\delta \boldsymbol{v}_j,
$$
\n(B.30)

$$
\frac{\partial \boldsymbol{e}_{\Delta v_{ij}}}{\partial \delta v_i} = \boldsymbol{R}_{I_i}^{W^{\top}}.
$$
\n(B.31)

# B.4 Jacobian for Biases Residual,  $e_{\Delta b_{\omega_{ij}}}$  and  $e_{\Delta b_{a_{ij}}}$

The residual biases can be derived as follows:

$$
e_{\Delta b_{\omega_{ij}}} = b_{\omega_j} - b_{\omega_i},\tag{B.32}
$$

$$
e_{\Delta b_{a_{ij}}} = b_{a_j} - b_{a_i}.\tag{B.33}
$$

where its Jacobian respect to the lifted state vector is composed as:

$$
\mathbf{J}_{\mathbf{b}_{\omega}} = \frac{\partial e_{\Delta \mathbf{b}_{\omega_{ij}}}}{\partial \delta \mathbf{x}} = \begin{bmatrix} 0, & \cdots, & 0, & 0, & \frac{\partial e_{\Delta \mathbf{b}_{\omega_{ij}}}}{\partial \delta \mathbf{b}_{\omega_i}}, & 0, & 0, & 0, & \frac{\partial e_{\Delta \mathbf{b}_{\omega_{ij}}}}{\partial \delta \mathbf{b}_{\omega_j}}, & 0, & \cdots, & 0 \end{bmatrix},
$$
\n
$$
\mathbf{J}_{b_a} = \frac{\partial e_{\Delta \mathbf{b}_{a_{ij}}}}{\partial \delta \mathbf{x}} = \begin{bmatrix} 0, & \cdots, & 0, & 0, & 0, & 0, & \frac{\partial e_{\Delta \mathbf{b}_{a_{ij}}}}{\partial \delta \mathbf{b}_{a_i}}, & 0, & 0, & 0, & \frac{\partial e_{\Delta \mathbf{b}_{a_{ij}}}}{\partial \delta \mathbf{b}_{a_j}}, & \cdots, & 0 \end{bmatrix}.
$$
\n(B.34)

## $\textbf{B.4.1} \quad \textbf{Calculation of } \partial e_{\Delta b_{\omega_{ij}}} / \partial \delta b_{\omega_i}$

$$
\frac{\partial e_{\Delta b_{\omega_{ij}}}}{\partial \delta b_{\omega_i}} = -I. \tag{B.36}
$$

## $\textbf{B.4.2} \quad \textbf{Calculation of } \partial e_{\Delta b_{\omega_{ij}}} / \partial \delta b_{\omega_{j}}$

$$
\frac{\partial e_{\Delta b_{\omega_{ij}}}}{\partial \delta b_{\omega_j}} = I.
$$
\n(B.37)

## $\textbf{B.4.3} \quad \textbf{Calculation of } \partial e_{\Delta b_{a_{ij}}}/\partial \delta b_{a_{i}}$

$$
\frac{\partial e_{\Delta b_{a_{ij}}}}{\partial \delta b_{a_i}} = -I.
$$
\n(B.38)

 $\mathrm{B.4.4} \quad$  Calculation of  $\partial e_{\Delta b_{a_{ij}}} / \partial \delta b_{a_{i}}$ 

$$
\frac{\partial \mathbf{e}_{\Delta \mathbf{b}_{a_{ij}}}}{\partial \delta \mathbf{b}_{a_{j}}} = I.
$$
\n(B.39)

# <span id="page-138-0"></span>Appendix C

# Specification of Hardware

The hardware specifcations presented in this appendix detail the setup utilized for implementing and testing proposed methods during the collection of the real dataset. This was conducted in support of Australian Research Council Discovery Project DP200101640, as discussed in Section [6.2.2.](#page-120-1)



Figure C.1: Image of Holybro x500

# C.1 Holybro X500

- Pixhawk 4 autopilot
- Power Management PM07
- Motors 2216 KV880(V2 Update)
- Propeller 1045( V2 Update)
- Pixhawk4 GPS
- 433MHz Telemetry Radio / 915MHz Telemetry Radio
- Power and Radio Cables
- Dimensions: 410\*410\*300mm
- Wheelbase: 500mm
- Weight: 978g

## C.2 Pixhawk 4



Figure C.2: Image of Pixhawk4

#### Main FMU Processor: STM32F765

• 32 Bit Arm® Cortex®-M7, 216MHz, 2MB memory, 512KB RAM

#### IO Processor: STM32F100

• 32 Bit Arm® Cortex®-M3, 24MHz, 8KB SRAM

#### On-board sensors:

- Accel/Gyro: ICM-20689
- Accel/Gyro: BMI055
- Magnetometer: IST8310
- Barometer: MS5611

#### GPS: u-blox Neo-M8N GPS/GLONASS receiver; integrated magnetometer IST8310 Interfaces:

- 8-16 PWM outputs (8 from IO, 8 from FMU)
- 3 dedicated PWM/Capture inputs on FMU
- Dedicated R/C input for CPPM
- Dedicated R/C input for Spektrum / DSM and S.Bus with analog / PWM RSSI input
- Dedicated S.Bus servo output
- 5 general purpose serial ports
- 3 I2C ports
- 4 SPI buses
- Up to 2 CANBuses for dual CAN with serial ESC
- Analog inputs for voltage / current of 2 batteries

#### Weight and Dimensions:

- Weight: 15.8g
- Dimensions: 44x84x12mm



Figure C.3: Image of NVIDIA Jeston NX

# C.3 NVIDIA Jetson Xavier NX

- GPU : NVIDIA Volta architecture with 384 NVIDIA CUDA $\circledR$  cores and 48 Tensor cores
- CPU : 6-core NVIDIA Carmel  $ARM@v8.2$  64-bit CPU 6 MB L2 + 4 MB L3
- DL Accelerator : 2x NVDLA Engines
- Vision Accelerator : 7-Way VLIW Vision Processor
- Memory : 8 GB 128-bit LPDDR4x  $@$  51.2GB/s
- Storage : microSD (not included)
- USB : 4x USB 3.1, USB 2.0 Micro-B
- Others : GPIO, I2C, I2S, SPI, UART
- $\bullet\,$  Mechanical : 103 mm x 90.5 mm x 34.66 mm

# C.4 Zed 2



Figure C.4: Image of ZED2

#### Video output:

- 2.2K mode: 15 fps; resolution 4416 x 1242
- 1080p mode:  $30/15$  fps; resolution 3840 x 1080
- 720p mode:  $60/30/15$  fps; resolution 2560 x 720 (stereo passthrough mode)
- WVGA mode:  $100/60/30/15$  fps; resolution 1344 x 376

#### Depth:

- Resolution: native video (in ultra mode)
- FPS: up to 100 Hz
- Depth range: 20 cm to 20 m
- Field of view: 110° horizontal, 70° vertical, 120° diagonal max.
- Technology: neural stereo depth sensing

#### Motion:

- motion sensors: accelerometer, gyroscope (data rate: 400 Hz)
- Pose update rate: up to 100 Hz
- Position sensors: barometer, magnetometer (data rate:  $25/50$  Hz)
- Technology: 6-DoF visual-inertial stereo simultaneous localisation and mapping (SLAM) with advanced sensor fusion and thermal compensation
- Pose drift: 0.35

#### Image sensors:

- Resolution: dual 4M pixel sensors with 2-micron pixels
- Sensor format: native 16:9 for a larger horizontal feld of view
- Sensor size:  $1/3$ " BSI (backside illumination) sensor with high low-light sensitivity
- Shutter with electronically synchronised rolling shutter
- Camera controls: adjust resolution, frame rate, brightness, contrast, saturation, gamma, sharpness, exposure, white balance
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