

**THE FACILITATION OF TRANSFORMATIVE
LEARNING: A STUDY OF ADULT
EDUCATORS' WORKING KNOWLEDGE**

Judi Apte BSW (Hons) MEd

A thesis submitted for the degree of Doctor of Education
University of Technology, Sydney

2003

TABLE OF CONTENTS

Chapter 1 Working knowledge about the facilitation of transformative learning

Introduction	... 1
Key ideas that frame the study	... 2
<i>Transformative learning</i>	
<i>Working knowledge</i>	
<i>A story of developing professional interests</i>	
Overview of the study	... 8
<i>Outline of the study</i>	
<i>Theoretical framework for the study</i>	
<i>Narrative research and studies of working knowledge</i>	
<i>Context of the study: interface of community work and adult education</i>	
<i>Context of the study: postmodernity, adult education and community work in Australia in the late 1990s</i>	
Organisation of the thesis	... 17
<i>Dilemmas in constructing the thesis</i>	
<i>Outline of the structure of the thesis</i>	

Chapter 2 Issues involved in the facilitation of transformative learning

Introduction	... 21
How does transformative learning occur?: perspective transformation	... 23
<i>Triggers for transformative learning</i>	
<i>The process of transformative learning</i>	
<i>Transformative learning and rationality</i>	
<i>Considering defensive responses and resistance</i>	
How does transformative learning occur?: a narrative approach	... 36
<i>Language and meaning</i>	
<i>Story and experience</i>	
<i>Storytelling communities</i>	
<i>Stories are constructed with discursive resources</i>	
<i>Stories, discourses and identities</i>	

<i>The facilitation of transformative learning as narrative intervention</i>	
Implications for the facilitation of transformative learning	... 45
<i>A normative or strategic viewpoint</i>	
<i>Power relations and transformative learning</i>	
<i>View of 'self'</i>	
The role of educators who facilitate transformative learning	... 51
Conclusion	... 55
Chapter 3 Research methodology	
Introduction	... 56
Narrative research	... 58
<i>Introduction</i>	
<i>Working knowledge and professional practice</i>	
<i>Narrative research and educators' working knowledge</i>	
<i>Narrative interviews</i>	
<i>Case example method</i>	
<i>Metaphor analysis</i>	
<i>An intensive approach to research</i>	
Application of narrative research in this study	... 72
<i>Introduction to the educators</i>	
<i>Interview format</i>	
<i>Processes for analysing and writing the data</i>	
Discussion of the methodology	... 83
<i>What was selected as a significant incident?</i>	
<i>The process of telling a story of practice</i>	
<i>How do interviewees construct stories of practice?</i>	
Conclusion	... 87

Chapter 4 The facilitation of transformative learning: metaphor analysis

Introduction	... 88
Contextual factors	... 89
<i>Features of the accounts</i>	
Metaphors used by the educators	... 92
<i>Introduction</i>	
<i>Seeing things differently</i>	
<i>Shift</i>	
<i>Journey</i>	
<i>A new environment</i>	
<i>Emergence</i>	
<i>Becoming in touch</i>	
<i>Energy</i>	
<i>Converging stories</i>	
<i>Cooking</i>	
<i>Conclusion</i>	
Issues in facilitating transformative learning	... 97
<i>Perspective transformation</i>	
<i>Disorienting dilemma - new information</i>	
<i>Disorienting dilemma - social interactions across difference</i>	
<i>Critical reflection - new possibilities</i>	
<i>Rationality - emotionality</i>	
<i>Rationality - other ways of knowing</i>	
Metaphors of transformative learning: discursive resources	... 107
<i>Seeing things differently</i>	
<i>A new environment</i>	
<i>Shift and journey</i>	
<i>Emergence</i>	
<i>Becoming in touch</i>	
<i>Energy</i>	
<i>Converging stories</i>	
<i>Cooking</i>	

Conclusion	... 117
Chapter 5 Case examples: the facilitation of transformative learning as narrative intervention	
Introduction	... 118
Selection and construction of the case examples	... 119
<i>Selection of the case examples</i>	
<i>Structure of the case examples</i>	
<i>Analysis of the case examples</i>	
<i>Common features of the interventions</i>	
<i>A story that is told, a story that is retold</i>	
Case example 1: Sandra's story	... 123
<i>Introduction</i>	
<i>Summary of the intervention</i>	
<i>The issue</i>	
<i>The impact of the issue within the group</i>	
<i>The intervention</i>	
<i>Results of the intervention</i>	
Case example 1: Analysis of Sandra's story of practice	... 132
<i>Overview of the case example</i>	
<i>What feature of the group narrative claimed the educator's attention?</i>	
<i>What alternative narrative does the educator bring into play?</i>	
<i>What moves occurred in the subject positions of the participants?</i>	
<i>What moves occurred in the subject positions of the educator?</i>	
<i>The educator's story of professional identity</i>	
Case example 2: Bev's story	... 142
<i>Introduction</i>	
<i>Summary of the intervention</i>	
<i>The issue</i>	
<i>The impact of the issue</i>	

<i>The intervention</i>	
<i>Results of the intervention</i>	
Case example 2: Analysis of Bev's story of practice	... 150
<i>Introduction</i>	
<i>What feature of the group narrative claimed the educator's attention?</i>	
<i>What alternative narrative does the educator bring into play?</i>	
<i>What moves occurred in the subject position of the educator</i>	
<i>What moves occurred in the subject positions of the participants?</i>	
<i>Interventions in polarities</i>	

Chapter 6 Working knowledge: capabilities in reading issues and in positioning self

Introduction	... 155
Professional working knowledge as capabilities in reading complex issues	... 156
<i>Reading strategies</i>	
<i>Framework of reading strategies</i>	
<i>Example of a sequence of reading strategies</i>	
<i>The significance of the sequence</i>	
<i>Benefits of each reading strategy</i>	
<i>Reading repertoires: a speculative discussion</i>	
Working knowledge as capabilities in positioning self within interactions	... 166
<i>The educator intervening by moves in subject position</i>	
<i>Bev's case example</i>	
<i>Sandra's case example</i>	

Chapter 7 Working knowledge, professional identities and postmodern narratives of practice

Introduction	... 170
Production of professional identities	... 171

<i>Multiple readings of ourselves</i>	
<i>Provocateurs and evocateurs</i>	
<i>Discourse navigators</i>	
<i>Facilitators of possibilities</i>	
<i>A perspective on authority</i>	
The emergence of postmodern narratives	... 177
<i>A trend</i>	
Issues for the research and writing of practice	... 181
<i>Methodological challenges</i>	
<i>What are the results of this storying of practice: for the educators?</i>	
<i>What are the results of this storying of practice: for the researcher?</i>	
Bibliography	... 185

CERTIFICATE OF AUTHORSHIP/ ORIGINALITY

I certify that this thesis has not previously been submitted for a degree nor has it been submitted as part of requirements for a degree except as fully acknowledged within the text.

I also certify that the thesis has been written by me. Any help that I have received in my research work and the preparation of the thesis itself has been acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

Signature of Candidate

Production Note:
Signature removed
prior to publication.

ACKNOWLEDGEMENTS

I would like to acknowledge the assistance of a number of people, especially my supervisors Dr Michael Newman, Dr Alison Lee and Dr Michael Kaye. Their encouragement, stimulus and feedback have been greatly appreciated. I thank the Faculty of Education at the University of Technology, Sydney for their support in the development of this thesis.

I would also like to acknowledge various professional colleagues who provided feedback on earlier drafts of the thesis: Dr Cathrine Fowler, Elizabeth Yeo, Sally Davis, Peter Slattery and Pam Swinfield. I would like to thank Kathryn Apte for her assistance in the editing of the thesis.

ABSTRACT

This study explores adult educators' working knowledge in the facilitation of transformative learning. It explores transformative learning from the perspective of the educators involved, considering their experiences and their descriptions of incidents. Although there is a growing interest in research into transformative learning, there are few studies that explore the ways educators foster transformative learning. This has been identified as a key direction for research (Taylor 1997, 2000).

The theoretical framework for this study is developed through two approaches; namely, through the literature of transformative learning in adult education and through concepts of narrative, discourse and identity. This thesis argues that Mezirow's theory of transformative learning has limitations when considering social interactions involved in transformative learning. The narrative approach, developed from the literatures of social work, narrative therapy, organisational learning, discursive psychology and education, is introduced to explore issues involved in facilitating transformative learning.

In this study I utilise narrative research because of its potential to explore working knowledge in a comprehensive, contextualised way. I investigate educators' working knowledge through in-depth interviews, inviting educators to relate stories of transformative learning from their practice. The educators' working knowledge is then analysed through metaphor analysis and case examples.

The educators in this study used a range of metaphors when talking about their practice of transformative learning. The metaphors indicate that these educators provide a multifaceted role to foster transformative learning.

Through writing and analysing selected stories of practice as case examples, this thesis presents the facilitation of transformative learning as narrative intervention. I conclude that facilitation of transformative learning requires

capabilities in reading issues and positioning self within interactions. Further, I explore the ways that educators' professional identities are being produced through their working knowledge.