

# Network Security Mechanisms and Implementations for the Next Generation Reliable Fast Data Transfer Protocol - UDT

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*The shades of night were falling fast,  
As through an Alpine Village passed  
A youth, who bore, 'mid snow and ice,  
A banner with the strange device,  
Excelsior!*

*– H. Longfellow*

# CERTIFICATE OF AUTHORSHIP /ORIGINALITY

I certify that the work in this thesis has not previously been submitted for a degree nor has it been submitted as part of requirements for a degree except as fully acknowledged within the text.

I also certify that the thesis has been written by me. Any assistance I have received in my research work and the preparation of the thesis itself has been acknowledged. In addition, I certify that all information sources and literature used are fully indicated in the thesis.

Signature of Candidate

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# Abstract

TCP protocol variants (such as FAST, BiC, XCP, Scalable and High Speed) have demonstrated improved performance in simulation and in several limited network experiments. However, practical use of these protocols is still very limited because of implementation and installation difficulties. Users who require to transfer bulk data (e.g., in Cloud/GRID computing) usually turn to application level solutions where these variants do not fair well. Among protocols considered in the application level are User Datagram Protocol (UDP)-based protocols, such as UDT (UDP-based Data Transport Protocol). UDT is one of the most recently developed new transport protocols with congestion control algorithms. It was developed to support next generation high-speed networks, including wide area optical networks. It is considered a state-of-the-art protocol, addressing infrastructure requirements for transmitting data in high-speed networks. Its development, however, creates new vulnerabilities because like many other protocols, it relies solely on the existing security mechanisms for current protocols such as the Transmission Control Protocol (TCP) and UDP. Certainly, both UDT and the decades-old TCP/UDP lack a well-thought-out security architecture that addresses problems in today's networks. In this dissertation, we focus on investigating UDT security issues and offer important contributions to the field of network security. The choice of UDT is significant for several reasons: UDT as a newly designed next generation protocol is considered one of the most promising and fastest protocols ever created that operates on top of the UDP protocol. It is a reliable UDP-based application-level data-transport protocol intended for distributing data intensive applications over wide area high-speed networks. It can transfer data in a highly configurable framework and can accommodate various congestion control algorithms. Its proven success at transferring terabytes of data gathered from outer space across long distances is a testament to its significant commercial promise. In this work, our objective is to examine a range of security methods used on existing mature protocols such as TCP and UDP and evaluate their viability for UDT. We highlight the security limitations of UDT and determine the threshold of feasible security schemes within the constraints under which UDT was designed and developed. Subsequently, we provide ways of securing applications and traffic using UDT protocol, and offer recommendations for securing UDT. We create security mechanisms tailored for UDT and propose a new security architecture that can assist network designers, security investigators, and users who want to incorporate security when implementing UDT across wide area networks.

We then conduct practical experiments on UDT using our security mechanisms and explore the use of other existing security mechanisms used on TCP/UDP for UDT. To analyse the security mechanisms, we carry out a formal proof of correctness to assist us in determining their applicability by using Protocol Composition Logic (PCL). This approach is modular, comprising a separate proof of each protocol section and providing insight into the network environment in which each section can be reliably employed. Moreover, the proof holds for a variety of failure recovery strategies and other implementation and configuration options. We derive our technique from the PCL on TLS and Kerberos in the literature. We maintain, however, the novelty of our work for UDT particularly our newly developed mechanisms such as UDT-AO, UDT-DTLS, UDT-Kerberos (GSS-API) specifically for UDT, which all now form our proposed UDT security architecture.

We further analyse this architecture using rewrite systems and automata. We outline and use symbolic analysis approach to effectively verify our proposed architecture. This approach allows dataflow replication in the implementation of selected mechanisms that are integrated into the proposed architecture. We consider this approach effective by utilising the properties of the rewrite systems to represent specific flows within the architecture to present a theoretical and reliable method to perform the analysis. We introduce abstract representations of the components that compose the architecture and conduct our investigation, through structural, semantics and query analyses.

The result of this work, which is first in the literature, is a more robust theoretical and practical representation of a security architecture of UDT, viable to work with other high speed network protocols.



# Publications

Most of the chapters that are presented in this dissertation have been accepted, published or have been submitted for publication in refereed /peer reviewed research journals and conference proceedings (IEEE, Elsevier, Springer Verlag – LNCS and IETF).

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<b>Abbreviations</b>	<b>Description</b>
ACK2	Acknowledge of ACK
AES	Advanced Encrypt Standard
AH	Authentication Header
AIMD	Additive Increase/Multiplicative Decrease Algorithm
AIP	Accountable Internet Protocol
AO	Authentication Option
BDP	Bandwidth-Delay Product
BiC	Binary Increase Congestion Control
CCC	Configurable Congestion Control
CGA	Cryptographically Generated Addresses
CRS	Constrained Rewrite Systems
CTCP	TCP Congestion Control Algorithm
DCCP	Datagram Congestion Control Protocol
DTLS	Data Transport Layer Security
EMIST	Evaluation Methods for Internet Security Technology Tool
FAST	FAST TCP Avoidance Algorithm for Long Distance
GRS	Growing Rewrite Systems
GSS-API	Generic Security Service - Application Program Interface
HI /HIT	Host Identifier
HIP	Host Identity Protocol
IDS	Intrusion Detection System
IP	Internet Protocol
IPS	Intrusion Prevention System
IPSec	Internet Protocol Security
IPv4	Internet Protocol Version 4
IPV6	Internet Protocol Version 6
KDF	Key Derivation Functions
MAC	Message Authentication Code
MD5	Message-Digest algorithm 5
MSS	Maximum Segmentation/Segment Size
MTU	Maximum Transmission Unit
NAK	Negative Acknowledgement
NBN	Network Broadband Network
NS2	Network Simulation 2
PCL	Protocol Composite Logic

P2P	Peer to Peer
POP3	Post Office Protocol 3
PSK	Private Shared Keys
RFC	Request For Comments
RSA	Rivest, Shamir and Adleman Algorithm
RTT	Round-Trip Time
SASL	Simple Authentication and Security Layer
SDSS	Sloan Digital Sky Survey
SHA-1,2,256	Secure Hash Algorithm
SMTP	Simple Mail Transport Protocol
STCP	Stream Transport Protocol
SYN	Synchronisation/Synchronise
TCP	Transmission Control Protocol
TCP/IP	Transmission Control Protocol/Internet Protocol
TLS	Transport Layer Security
UDP	User Datagram Protocol
UDT	UDP-based Data Transfer
VLAN	Virtual Local Area Network
VPN	Virtual Private Network
WOAN	Wide Optical Area Network
XCP	Xplicit Congestion Protocol



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*In Deo confidimus*