Single and Multiple Instance Learning for Visual Categorisation



Ruo Du Faculty of Engineering and Information Technology University of Technology, Sydney

A thesis submitted for the degree of $Doctor\ of\ Philosophy$ 2013

CERTIFICATE OF

AUTHORSHIP/ORIGINALITY

I certify that the work in this thesis has not previously been

submitted for a degree nor has it been submitted as part of

requirements for a degree except as fully acknowledged

within the text.

I also certify that the thesis has been written by me. Any

help that I have received in my research work and the

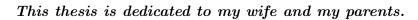
preparation of the thesis itself has been acknowledged. In

addition, I certify that all information sources and literature

used are indicated in the thesis.

Signature of Author

Production Note: Signature removed prior to publication.



 $For \ their \ endless \ support \ and \ encouragement$

Acknowledgements

First and foremost I would like to sincerely thank my principal supervisor, Prof. Xiangjian HE, for his guidance, understanding, patience and help on scholarship to make my PhD experience such a rewarding and exciting journey.

I also want to express my utmost gratitude to my co-supervisor, Dr. Qiang Wu, who spent enormous time and energy on me as a mentor and a friend. His guidance was embedded in every step of my studies.

Special thanks also to Dr. Wenjing Jia, Dr. Min Xu, Prof. Massimo Piccardi and Dr. Richard Xu for their great suggestions, knowledge sharing and invaluable assistance.

I wish to thank my fellow research students of our team, Muhammad Hasan, Man Wong, Chao Zeng, Sheng Wang, Minqi Li, Thomas Tan, Aruna Jamdagni, Ying Wan and Mohammed A AmbuSaid, for their assistance and friendships.

Finally, and most importantly, I would like to thank my wife Ting Zhou. Her faith in me, support, encouragement and quite patience made it possible that I could even continue to pursue my PhD after working in IT for ten years. I thank my parents, Changyou Du and Shenghua Liu, and my parents in law, Ruguo Zhou and Shijie Li, for their help and support as always.

Abstract

Nowadays, huge amounts of visual data, e.g., videos and images, have become widely accessible. Therefore, intelligently categorizing the large and growing collections of data for access convenience has been a central goal for modern computer vision research. In this thesis, we describe several newly-developed approaches for visual categorization upon the single and multiple instance learning cases.

In single-instance learning (SIL), each of the training instances has been labeled. Here, we focus on a challenging task of facial expressions recognition where manually labeling each training instance, i.e., face video, is handy. To get the distinct features of expressions, we propose a novel feature representation, Histogram Variances Face (HVF), which integrates dynamic expression information into a static image being invariant to illumination and in-plane rotation. Through HVFs, the facial expression recognition can be cast as a facial recognition problem. We have applied our approach on the well-known Cohn-Kanade AU-Coded Facial Expression database, and then those extracted HVFs are classified by using facial recognition technology, i.e., Eigenfaces and Support Vector Machines (SVMs). The recognition accuracy is very encouraging. We further propose an extension of HVFs, Hexagonal Histogram Variance Faces (HHVFs), which applies HVFs on a hexagonal structure. Comparing to HVFs, HHVFs not only greatly reduce the computation costs but also improve the recognition accuracy.

In multiple-instance learning (MIL), the training instances are divided into groups and the instances in the same group share only one label. MIL arises from many applications where individually labeling training instances is expensive. In this case, we propose a novel algorithm,

multiple-instance learning with a supervised kernel density estimation (MIL-SKDE), to tackle the labeling ambiguity. Our algorithm extends the twin technologies, kernel density estimation (SKDE) and mean shift, to their supervised versions in which the labels of data points will affect the mode seeking. We apply MIL-SKDE in several applications of visual categorization, e.g., image and object categorization, and our algorithm performs superiorly comparing to other state-of-the-art methods. Furthermore, to address the complexity issue of MIL-SKDE, we propose MIL-SS (MIL with speed-up SKDE) to speed up the training process. Experiments shows that it has comparable performances to MIL-SKDE but is much more efficient in training stage.

Finally, we apply MIL-SS in a "bag-of-words" (BoW) system to learn the visual codebook for object categorization on a more comprehensive dataset. Our system consists of four steps: codebook generation, feature coding, feature pooling and classification. Unlike conventional BoW methods that learn codebook from the whole image areas, our method can learn codebook just from the areas of target objects, which significantly improves classification accuracy.

Author's publications for the Ph.D

Journal paper:

Ruo Du, Qiang Wu, Xiangjian He, and Jie Yang. "MIL-SKDE: Multiple-instance learning with supervised kernel density estimation". Signal Processing, Volume 93, Issue 6, June 2013, Pages 1471-1484

Conference papers:

- Ruo Du, Qiang Wu, XiangJian HE, and Jie Yang. "Object categorisation based on a supervised mean shift algorithm". In 12th European Conference on Computer Vision (ECCV) Demos, Part III, LNCS 7585. Springer, Heidelberg, 2012.
- 3. Ruo Du, Qiang Wu, XiangJian HE, and Jie Yang. "Multi-instance learning with an extended kernel density estimation for object categorisation". In *IEEE International Conference on Multimedia and Expo (ICME) Workshops*, pp.477-482, 9-13 July 2012.
- 4. Lin Wang, Xiangjian He, **Ruo Du**, Wenjing Jia, Qiang Wu, and Wei-Chang Yeh. "Facial expression recognition on hexagonal structure using lbp-based histogram variances". In 17th International MultiMedia Modeling Conference (MMM), pages 35 45, 2011.
- Ruo Du, Sheng Wang, Qiang Wu, and Xiangjian He. "Learn concepts in multiple-instance learning with diverse density framework using supervised mean shift". In *Digital Image Computing: Techniques and Applications* (DICTA) - Oral presentation, pages 643-648, 2010.
- 6. Sheng Wang, Ruo Du, Qiang Wu, and Xiangjian He. "Adaptive stick-like features for human detection based on multi-scale feature fusion scheme". In *Digital Image Computing: Techniques and Applications (DICTA)*, pages 375-380, 2010.

7. **Ruo Du**, Qiang Wu, Xiangjian He, Wenjing Jia, and Daming Wei. "Facial expression recognition using histogram variances faces". In *Workshop on Applications of Computer Vision (WACV)*, pages 1-7, 2009.

Contents

\mathbf{C}	Contents				
Li	st of	Table	s	xii	
Li	st of	Figur	es	xiv	
N	omer	ıclatur	re	xv	
1	Intr	oducti	ion	1	
	1.1	Motiv	ation	1	
	1.2	Facial	expression recognition	3	
		1.2.1	Action unit based approaches	5	
		1.2.2	Emotion based approaches	6	
		1.2.3	Our approach for expression recognition	7	
	1.3	Objec	t categorisation	8	
		1.3.1	Multiple-instance learning	10	
		1.3.2	Our approach for object categorisation	13	
	1.4	Contr	ibutions	13	
	1.5	Outlin	ne of this thesis	14	
2	Rel	ated fe	eature extraction and pattern recognition methods	16	
	2.1	Featur	re extraction	16	
		2.1.1	Haar-like features	17	
		2.1.2	Eigenface	20	
		2.1.3	Scale-invariant feature transform	22	
		2.1.4	Speeded up robust feature	27	

		2.1.5	Histogram of oriented gradients	28
	2.2	Machi	ne learning and pattern recognition	29
		2.2.1	K-means	30
		2.2.2	Kernel density estimation and mean shift	31
		2.2.3	Adaboost	34
		2.2.4	Support vector machines	36
		2.2.5	Unsupervised and supervised topic models	38
			2.2.5.1 Latent Dirichlet allocation (LDA)	38
			2.2.5.2 Supervised latent Dirichlet allocation (sLDA)	41
			2.2.5.3 Maximum entropy discrimination latent Dirichlet	
			allocation (MedLDA)	43
	2.3	Summ	ary	46
3	Hist	togram	1 Variances Faces for expression recognition 4	18
	3.1	Histog	gram Variances Faces	49
		3.1.1		49
		3.1.2	Preprocessing and LBP texturising	51
		3.1.3	Earth Mover's Distance for calculation of histogram variances	5 3
			3.1.3.1 Earth Mover's Distance	53
			3.1.3.2 Procedures of calculating histogram variances	55
			3.1.3.3 Computing histograms of various-size blocks	56
	3.2	Classif	fying HVF images using PCA+SVMs	57
		3.2.1	PCA dimensionality reduction	57
		3.2.2	SVMs training and recognition	57
	3.3	Exper	iments	58
		3.3.1	Dataset	58
		3.3.2	Parameter selection for HVFs generation	60
		3.3.3	Training and recognition	61
	3.4	Discus	ssion	63
	3.5	Conclu	usion	64
4	Hex	agona	l Histogram Variances Faces for expression recognition 6	35
		_	-	66

		4.1.1	Fiducial point detection and face alignment	66
		4.1.2	Conversion from square structure to hexagonal structure .	66
		4.1.3	Preprocessing and LBP texturising	67
		4.1.4	Earth Mover's Distance (EMD)	68
		4.1.5	Histogram variances	69
	4.2	Classi	fication	69
	4.3	Exper	iments	70
		4.3.1	Dataset	70
		4.3.2	HHVFs generation	70
		4.3.3	Training and recognition	71
	4.4	Discus	ssion	72
	4.5	Concl	usion	74
5			E: Multiple-instance learning with supervised kernel	
		·	timation	75
	5.1		SKDE algorithm	75
		5.1.1	Conventional kernel density estimation and mean shift	76
		5.1.2	Supervised kernel density estimation	78
			5.1.2.1 SKDE versus DDE	82
		5.1.3	Supervised mean shift	83
			5.1.3.1 Selecting starting points	85
			5.1.3.2 Bandwidth estimation for supervised kernel den-	
			sity estimation	86
		5.1.4	Algorithm summary	88
		5.1.5	Classification	90
	5.2	Exper	iments	91
		5.2.1	Experiments on synthetic data	92
			5.2.1.1 Mixture of positive and negative points	92
			5.2.1.2 Unbalance of positive and negative points	92
			5.2.1.3 Multiple concepts learning	93
		5.2.2	Region-based image categorisation	94
			5.2.2.1 Experiment setup	94
			5.2.2.2 Image categorisation results	96

			5.2.2.3 Sensitivity to labeling noise
		5.2.3	Object categorisation
			5.2.3.1 Experimental setup
			5.2.3.2 Recognition results
			5.2.3.3 Feature selection
	5.3	Discus	sion
	5.4		ısion
6			Multiple-instance learning with a speed-up supervised
			asity estimation 108
	6.1	MIL-S	S algorithm
		6.1.1	Revisit supervised kernel density estimation and mode seek-
			ing
		6.1.2	Instance selection process
		6.1.3	Bandwidth estimation
		6.1.4	MIL-SS algorithm summary
		6.1.5	Classification
	6.2	Experi	iments
		6.2.1	Region-based image categorisation
		6.2.2	Object categorisation
		6.2.3	Sensitivity to labeling noise
		6.2.4	Regions of interest detection
	6.3	MIL-S	S for bag-of-words model
		6.3.1	Bag-of-words model
			6.3.1.1 Feature extraction
			6.3.1.2 Codebook generation
			6.3.1.3 Feature coding and pooling 125
			6.3.1.4 Classification
		6.3.2	Experiments on SIVAL dataset
	6.4	Conclu	asion
7	Con	clusio	ns and future work 128
•	7.1		asions

		CONTENTS
7.2	Future work	129
Refere	ences	131

List of Tables

3.1	Recognition rates of happy and surprise HVFs	61
3.2	A recent investigation of facial expression recognition by human .	61
3.3	Recognition rates of happy and surprise versus other sorts of HVFs.	62
3.4	Recognition rates of anger, disgust, surprise and sadness HVFs	62
3.5	Recognition rates of all sorts of HVFs	63
4.1	Recognition rates of happy and surprise HHVFs	71
4.2	A recent investigation of facial expression recognition by human .	72
4.3	Recognition rates of anger, disgust, surprise and sadness HHVFs.	72
4.4	Recognition rates of all sorts of HHVFs	73
4.5	Recognition rates between HVFs and HHVFs. The last row is the	
	average recognition rates of six categories. In our experiments,	
	HHVFs slightly outperforms HVFs	73
5.1	Learning for multiple concepts	93
5.2	Comparison of image categorisation accuracy rates for MIL-SKDE	
	and other methods	97
5.3	Confusion matrices of object categorisation on Caltech-4 and SIVAL	
	dataset respectively	103
5.4	The recognition rates for MIL-SKDE, DD-SVM, MILIS and MILES.	104
6.1	Comparison of image categorisation accuracy rates for MIL-SKDE	
	and other methods	116
6.2	The recognition rates for MIL-SS, MIL-SKDE, DD-SVM, MILIS	
	and MILES	117

LIST OF TABLES

6.3	The average training scales and time uses of MIL-SS and MIL-	
	SKDE for object categorisation	118
6.4	Classification accuracy comparisons among different methods over	
	30 runs on the SIVAL	127

List of Figures

1.1	The training process for visual categorisation	2
1.2	The testing process for visual categorisation	2
1.3	Examples of some Action Units extracted from Cohn-Kanade database	; 4
1.4	Examples of some combinations of Action Units	4
1.5	The task of object-based visual categorisation	8
1.6	Examples of salient parts	9
2.1	Examples of Haar-like features	18
2.2	Computing the sum of a rectangular area using integral image	19
2.3	Typical Haar-like features for face detection [68]	19
2.4	Visualisation of the eigenface approach	20
2.5	Generation of Difference of Gaussians (DoG)	23
2.6	SIFT keypoint candidature detection	24
2.7	Generation of SIFT descriptor	28
2.8	Maximum-margin hyperplane obtained by an SVM	36
2.9	LDA graphical model	40
2.10	Graphical model representation of the variational distribution q	41
2.11	Supervised topic models (sLDA)	42
2.12	Graphical model of MedLDA	44
3.1	Procedures of generating a HVF image	50
3.2	An example of computing LBP in a 3×3 neighborhood	52
3.3	Examples of HVF images	56
3.4	Some example sequences in the Cohn-Kanade database	59

LIST OF FIGURES

4.1	A 9x8 square structure and a constructed 7x8 hexagonal structure. 67
4.2	An example of computing LBP in a 3×3 neighborhood on square
	structure
4.3	An example of computing HLBP in a 7-pixel hexagonal cluster 68
4.4	Examples of HHVF images
5.1	The properties of concepts in a feature space
5.2	One of the iterations of the supervised mean shift 85
5.3	Kernel density estimate with different bandwidths
5.4	Supervised mean shift on simulated data for mixture of positive
	and negative data
5.5	Supervised mean shift on simulated data for local mode displacement. 94
5.6	Supervised mean shift on simulated data for unbalance data 95
5.7	Image samples from the COREL image database for region-based
	image categorisation
5.8	Comparison of sensitivity to labeling noise among MIL algorithms. 98
5.9	Some image samples from the Caltech-4 dataset for object cate-
	gorisation
5.10	Some image samples from the SIVAL dataset
5.11	An example of instances detection and matching using SIFT 101
5.12	A figure shows bandwidth calculation on SIVAL dataset using Al-
	gorithm 3
5.13	Several sample images that are recognised as containing a target
	object
6.1	Remained training data after instance selection process
6.2	Comparisons of sensitivity to labeling noise among different methods. 119
6.3	The regions of interest detected by MIL-SS
6.4	General procedures for a bag-of-words model