How Many Cs in NICU?

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Submitted to the University of Technology, Sydney in fulfilment of requirements for the degree of Doctor of Philosophy

Certificate of Original Authorship

I certify that the work in this thesis has not previously been submitted for a degree nor has it been submitted as part of requirements for a degree except as fully acknowledged within the text.

I also certify that the thesis has been written by me. Any help that I have received in my research work and the preparation of the thesis itself has been acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

Lynn Sinclair
10 September 2013

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List of Abbreviations

ACT Australian Capital Territory

AIHW Australian Institute of Health and Welfare

ANZNN Australia and New Zealand Neonatal Network

AQP Aquaporin

CLD Chronic lung disease

CNC Clinical nurse consultant

CNRG Cochrane Neonatal Review Group

CONSORT Consolidated Standards of Reporting Trials

CP Cerebral palsy

CPG Clinical practice guidelines

CRCT Cluster randomised controlled trial

CRIB Clinical Risk Index for Babies

CTC Clinical Trials Centre

DOI Diffusion of Innovations

DSMC Data and Safety Monitoring Committee

EBM Evidence-based medicine

EBP Evidence-based practice

ECW Extracellular water
EoC Essentials of Care

Loc Localities of Care

fMRI functional magnetic resonance imaging

HIPI Humidity in Incubators for Preterm Infants

HREC Human research ethics committee

ICC Intracluster correlation coefficients

ID Identification

IVH Intraventricular haemorrhage

MRN Medical record numbers

NEC Necrotising enterocolitis

NHMRC National Health and Medical Research Council

NICU Neonatal intensive care unit

NICUS Neonatal Intensive Care Units

NSW New South Wales

PARiHS Promoting Action on Research Implementation in Health Services

PDA Patent ductus arteriosus

PET Positron emission tomography

PICO Patients, intervention, comparator and outcomes
PSANZ Perinatal Society of Australia and New Zealand

PSN Pregnancy and Newborn Services Network

PVL Periventricular leukomalacia

qEEG quantitative electroencephalography

QUOROM Quality of Reporting of Meta-Analyses

RCT Randomised controlled trial

SAE Serious adverse event

SCAN Social cognitive affective neuroscience

SCARF Status, certainty, autonomy, relatedness, fairness

SCARF-SA SCARF-Self-Assessment

SNAPPE-II Score for Neonatal Acute Physiology—Perinatal Extension

SPIRIT Standard Protocol Items: Recommendations for Interventional Trials

TEWL Transepidermal water loss

TGA Therapeutic Goods and Administration

TMC Trial management committee

TMS Transcranial magnetic stimulation

Abstract

Neonatal clinicians are challenged to ensure practice is evidence based and health outcomes are positive, which necessitates ongoing innovation and change. In the neonatal intensive care unit (NICU), assessment of the effectiveness of both new and existing interventions is required to reduce the burden of illness for extremely preterm infants and their families. The initial focus of the doctoral work outlined within this thesis was the investigation of the use of incubator humidity in the care of premature infants. My original doctoral plan was to undertake a randomised controlled trial in order to produce robust evidence to guide clinicians in humidity use and reduce the existing variability in practice. The doctoral work evolved to include a review of the broad literature that examines the physiological and historical context of humidification practices; a systematic review of randomised controlled trials that identifies a lack of research evidence to direct practice; a survey of humidification practices in NICUs across Australia and New Zealand that highlights the extent of the diversity in day-today practices; a single centre audit that was unable to detect any patterns between incubator humidity and neonatal health outcomes, but did reveal diversity in practice; and, the development of a protocol for the randomised controlled trial required to test the effect of different levels and duration of humidity and its effect on clinically important outcomes. The final chapters build on these findings and explore the kind of workplace cultures that are required to maximise the generation of meaningful evidence and the likelihood that clinicians would use this evidence to inform practice. The final chapter also explores the potential of contemporary social, cognitive, affective neuroscience for providing causal explanations for interventions such as transformational practice development (tPD) as well as providing pointers to additional strategies for creating more positive workplaces for clinicians and families.