

# **Real Time Multi-Sensor Data Acquisition and Processing for a Road Mapping System**

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# ABSTRACT

Road assets condition has a critical impact on road safety and efficiency. Accurate and efficient monitoring and management of road assets is a challenge. This research is focused on developing a cost efficient mobile surveying system to tackle this challenge. The system is equipped with LADARs (LAsER Detection And Ranging) and a camera as exteroceptive sensors, and other sensors including Inertial Measurement Units (IMU), odometer and GPS (Global Positioning System). This system can acquire road assets information expeditiously in highly dynamic environments, where data collection has previously been inefficient, laborious and even dangerous.

Continuous Position, Velocity and Attitude (PVA) information is obtained by the integration of IMU, GPS, camera and odometer. Then PVA information is fused with range and remission data from LADARs to achieve multiple functions for road assets surveying and management. The functions include road clearance surveying, road surface profiling, 3D structure modelling, road boundary detection and road roughness measurement. The processing results are presented in a user-friendly graphical interface and can be saved as videos for convenient data management.

Two sets of GUI (graphical user interface) have been developed for data acquisition from all the sensors and data processing for the system functions. A Data Acquisition GUI is used for sensors control, data acquisition and pre-processing. It has multiple functions, including configuring LADARs scan frequency and resolution, displaying and recording data and exporting data with the required format. The Data Processing GUI includes various algorithms to perform all the data processing and management functions.

The camera in the proposed system provides not only a vision reference, but also visual odometry for improving PVA estimation when GPS is unreliable. In order to obtain a robust and accurate visual odometry, a new algorithm named PURSAC (PURpositive SAmple Consensus) has been purposed for model fitting, which purposely selects sample sets according to the sensitivity analysis of a model against sampling noise and other information. This in turn can improve the accuracy and

robustness of fundamental matrix estimation, resulting in a more precise and efficient visual odometry.

A prototype system designed for online data processing has been developed and four road tests have been successfully completed. Experimental results on a variety of roads have demonstrated the effectiveness of the proposed mobile surveying system.

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## LIST OF NOTATIONS

3D	Three Dimensional
APB	Advanced Protective Barrier
DPA	Data Processing Algorithm
GPS	Global Positioning System
GUI	Graphical User Interface
IMU	Inertial Measurement Unit
IRI	International Roughness Index
ISF	Integrated Sensors Frame
LADAR	LAser Detection And Ranging
LMS	Laser Measurement Systems
MLESAC	Maximum Likelihood Estimate for SAMpling Consensus
PROSAC	Progressive Sample Consensus
PURSAC	PURpositive Sample Consensus
PVA	Position, Velocity and Attitude
RADAR	Radio Detection And Ranging
RANSAC	RANdom Sample Consensus
SURF	Speeded Up Robust Features
VO	Visual Odometry

# CHAPTER 1 INTRODUCTION

## 1.1 Background and Motivation

With the development of transportation system, bridges, tunnels, urban streets and highways become the essential components of modern transportation infrastructure. They improve transportation capability, but make road conditions more complex. Over-height vehicles are often involved in bridge strike accidents with serious consequence. Bridges and tunnels have a certain clearance for each lane and it is critical to accurately measure and mark the clearance in order to avoid this kind of accident. Moreover, during the service life of bridges and tunnels, deformation and road re-pavement could lower the clearance. It is essential for safety to survey road clearance accurately and efficiently.

The condition of the road surface has a direct impact on road safety and comfortableness. For intelligent vehicles and an intelligent transportation system, to enable autonomous vehicles in urban environments in the future, it is necessary to measure the road condition accurately and efficiently. The road surface profile includes the road boundary, surface roughness, road deformation, white line (traffic lanes division) and marks. In order to achieve satisfactory road surface condition assessment and road assets management, it is critical to accurately profile most of the road sections, especially in urban area.

Traditionally, bridge and tunnel clearance and road surface condition are surveyed manually with rods and other surveying tools. During the process, surveying personnel need to hold rods to measure the height of suspected lowest points, which is inefficient and may introduce human errors into the measurements. This method is not only labour and time consuming but also creates traffic disruption and is safety hazard for workers [1].

In the past two decades, many automatic road surveying systems were designed based on different techniques and sensors [2]-[5], such as Vision sensor, RADAR (Radio Detection And Ranging) sensor, LADAR (LAsER Detection And Ranging) sensor, suspension sensor, and hybrid sensor. They have been applied on road mapping, lane & boundary detection and road roughness measurement. With the

rapid and recent development of sensors and computers, more and more automatic road surveying systems are now able to deliver road asset surveying results in real-time. Automatic road profiling is a novel way of efficiently collecting critical road condition data and performing analysis. Compared with traditional manual surveying, automatic surveying and profiling processes have several advantages such as cost efficiency, less risk and impact to traffic. As a consequence, a safer and more efficient method to measure bridges and tunnels' clearance and many other aspects of road condition is required. This is the motivation of the proposed research.

Most automatic road surveying systems collect road assets information with a moving vehicle. In order to obtain accurate position, velocity and attitude information of the vehicle, sensors such as GPS, IMU and Camera are installed onto the surveying systems. Although GPS has outstanding precision of localization in open space, it becomes unreliable in a signals blocked area, such as in tunnels and the city with many tall buildings. IMU is a perfect device to measure a vehicle's velocity, orientation and also gravitational forces, using accelerometers and gyroscopes. However, a vital shortcoming of IMU alone is error accumulated [64], also known 'drift', as time elapses, which indicates that it is accurate only for a short time and has to be corrected frequently. Camera based visual odometry (VO) has been demonstrated to be able to provide accurate trajectory estimation, with relative position error ranging from 0.1% to 2% [6]. However, camera based VO suffers in poor illumination, in which fewer features can be extracted. In the past decades, many integrated navigation systems have been developed for improving accuracy and robustness of PVA measurement. The shortcomings of an individual system can be overcome by other navigation systems. Most of the systems use inertial navigation as the main sub-system, because it is free from external disturbance.

## **1.2 Objectives and Scope of the Work**

The objective of research is to develop a mobile surveying system with multi-functions, such as road-boundary detection, white line detection, clearance surveying, road roughness measurement and 3D road surface modelling.

The proposed system is designed for delivering accurate and efficient road asset measurement and management, with real-time processing in a surveying vehicle at

normal driving speed. This research also investigates visual odometry using a vision sensor (camera). This research is aimed to provide accurate real-time PVA estimation in GPS-denied environments, such as tunnels, in which using IMU alone would result in inadequate PVA estimation and inaccurate 3D road profiling. Hence, combining IMU and vision data together will limit the IMU drift and reduce PVA estimation error.

The scope of the work is focusing on a vehicle-based platform, sensor fusion, and data processing. The proposed surveying system developed in this research is integrated into an experiment vehicle shown in Figure 3.3. Two LADAR sensors (LMS111 and LMS400) and an IMU are mounted on an aluminium frame and then installed at the back of the vehicle at a proper height (2300mm) for scanning perpendicular to the vehicle moving direction. The GPS antenna and the camera are mounted on the top of the driver's cab. Other sensors, data collect hardware and power system is placed at the back of the cruise vehicle.

The work of sensor data fusion consists of sensors position calibration, sensors time synchronization and data processing for multi-function realization. The sensors time synchronization is one of the most challenging tasks because of a different clock and frequency of each sensor. Another major challenge of developing the proposed system is to process LADAR range and remission data combined with other sensors' data, in order to obtain an integrated and comprehensive road surveying and profiling result. The system can perform road surveying at the designated speed therefore it has no impact on normal traffic during the surveying.

### **1.3 Contributions**

The major contributions of the thesis are as follows:

- Designed and developed an automatic road surveying system to collect road surface and surroundings data with a test vehicle driving at the road speed limit. Data from sensors can be processed on-line with comprehensive information recorded, processed and profiled for road assets condition analysis and management.

- Developed several road surveying and profiling functions, such as road boundary detection, clearance measurement for tunnels and bridges, road surface markers extraction, white line & traffic lane extraction, 3D model and GUI construction and road roughness measurement. They can be utilized in varied environments and for different purposes.
- Research on Visual Odometry has been conducted for PVA estimation. A new algorithm named PURSAC has been proposed as a major component of Visual Odometry for outlier removal. It has demonstrated better accuracy, robustness and efficiency compared with other methods, such as RANSAC and MLESAC (maximum likelihood estimation sample consensus).

#### **1.4 Thesis Outline**

**Chapter 2** presents a literature review of road asset surveying on road mapping, lane and boundary detection, road roughness measurement and clearance measurement by utilizing LADAR based technique and vision based techniques. In addition to this, visual odometry is a key component of the system, a literature review of feature detection and feature matching and robust estimation methods for outlier removal has been described in detail in the second part of this chapter.

**Chapter 3** presents a comprehensive description and analysis of the proposed autonomous surveying system, which includes system architecture, system hardware, data acquisition software, sensor data and system accuracy analysis.

**Chapter 4** introduces a number of functions developed for the proposed system. Road boundary detection and white line & traffic lane extraction are the fundamental functions for clearance measurement of tunnels and bridges. Other functions, such as road surface markers extraction, 3D model & GUI construction and road roughness measurement, are designed as on-line data processing functions to deliver detailed information of the road surface and surroundings. The information can be utilized for road assets monitoring and management, as well as for problem analysis in the future.

**Chapter 5** presents improved visual odometry, which is applied on the proposed system. The frame structure of the proposed approach, detailed algorithm and experimental setup are introduced, and test results are discussed. The repeatability and efficiency of visual odometry using the proposed PURSAC and other algorithms



have been compared and analysed. The results indicate that the proposed method demonstrates a great improvement on both repeatability and efficiency.

**Chapter 6** concludes the summary of the presented outcomes and the outlook for related research, as well as some potential functions, which could supplement this proposed system in the future.

# CHAPTER 2 LITERATURE REVIEW

## 2.1 Road Mapping and Surveying

Many surveying systems have been developed in the past two decades for road mapping, lane and boundary detection, road profile or tunnel and bridge clearance measurement. They have been based on either a single sensor, such as a LADAR sensor, RADAR sensor, vision sensor and suspension device, or sensor fusion, such as LADAR/vision or RADAR/vision fusion. In road lane and boundaries modeling and prediction aspects, some methods, such as extended Kalman filtering, have performed well in real time processing. A literature review of road mapping, lane and boundary detection, road profile and clearance measurement is presented in detail in the following parts.

LADAR is a remote sensing technology that measures the distance between the sensor and a target surface, which is obtained by determining the elapsed time between the emission of a short duration laser pulse and the arrival of the reflection return signal [70]. The LADAR Technique has been widely applied to make high-resolution maps with applications in geomatics, archaeology, geography, geology, seismology, geomorphology, forestry, remote sensing and atmospheric physics [71]. It uses near-infrared light to image objects and can be used with various materials including non-metallic objects, rocks, rain, chemical compounds, aerosols, clouds and even single molecules [71]. In this part of the literature review, the focus is on LADARs and their sensor fusions that have been applied to an autonomous vehicle.

### 2.1.1 Road Mapping

Road mapping focuses on creating the geometry of a road by using images or LADAR data. The created road mapping can be unitized for localization and road asset management. In the past decade, many systems for road mapping have been developed based on a vision sensor [7-10], LADAR sensor [11-13] and sensor fusion [14-16].

In systems using a vision sensor alone, standard images are applied; others, such as high-resolution satellite imagery, are becoming more and more frequently used as

they show advantages of high resolution, large coverage area and high precision on localization. Jin [7] proposed an integrated system for automatic road mapping using high-resolution multi-spectral satellite imagery. This system has distinguished road models for urban areas and suburban areas. In suburban areas, roads are treated as curvilinear and homogeneous regions with constant width and final suburban roads centrelines are generated by integrated results from detectors by using a path search algorithm. In urban areas in the USA, road networks consist of straight lines, which form a grid structure. Jin then uses a spatial signature weighted Hough transform to generate a road grid hypothesis. This system using representative test sites indicates correctness values that range between 70% and 92%. Olson [8] presented a vision based robust and efficient robotic mapping system, especially when dealing with large maps or large numbers of observations. The author described an optimization algorithm that can rapidly estimate the maximum likelihood map given a set of observations. The proposed place recognition algorithm has demonstrated that it can robustly handle ambiguous data. Dong [9] introduced an overview of recent advances in multi-sensor satellite image fusion in application fields of object identification, classification, change detection and manoeuvre targets tracking. Also Dong [9] pointed out the most popular and effective image fusion techniques; intensity-hue-saturation (IHS), high-pass filtering, principal component analysis (PCA), different arithmetic combination (e.g. Brovey transform), multi-resolution analysis-based methods (e.g. pyramid algorithm, wavelet transform), and Artificial Neural Networks (ANNs).

A road mapping method proposed by Doucette [10] utilizes high-resolution multispectral imagery for road extraction and mapping. Doucette presents a Self-Supervised Road Classification (SSRC) feedback loop to automate the process of training sample selection and refinement for a road class. SSRC demonstrates a dramatic improvement in road extraction results by exploiting spectral content. Although a vision based sensor for road mapping is inexpensive and can be started quickly, it is still very hard to extract a three-dimensional road map by using the vision sensor alone. LADAR or sensors fusion-based systems would overcome this drawback and provide vivid 3D road maps.

In LADAR based systems for road mapping, Kukko [11] developed a mobile mapping system and some computing methods for road environment modeling. Author used LADAR based mobile mapping system to produce three dimensional point clouds from surrounding objects. Two-dimensional point clouds are captured from the LADAR sensor while the third dimension is the vehicle moving direction. Lin [12] established a mini-UAV-borne LADAR system where an Ibeo Lux scanner mounted on a small Align T-Rex 600E helicopter. The bird's eye mini-UAV-borne LADAR system collects point clouds data from air and validates its applicability for fine-scale mapping, in terms of tree height estimation, pole detection, road extraction and digital terrain model refinement. Another similar system using airborne LADAR data to extract digital terrain models, roads and buildings is proposed by Hu [13]. The three-dimensional grid road networks are reconstructed using a sequential Hough transformation and the building boundaries are detected by segmenting LADAR height data. The test results based on many LADAR datasets of varying terrain type have demonstrated robustness and effectiveness of the algorithm. Traffic neglect of airborne LADAR based system is the most dominant aspect for road mapping. However, the airflow has a significant impact on such a small helicopter, sometimes causing failure of experiments. For a vehicle LADAR based system, it is influenced by road traffic and other vehicles, but airflow has no impact.

To overcome shortcomings of applying a vision sensor and a LADAR sensor, some researchers tried to integrate two types of sensors and deliver more accurate road information results with less limitation. Shi [15] developed an automatic road mapping system by fusing vehicle-based navigation data, stereo image and laser scanning data for collecting, detecting, recognizing and positioning road objects. It declares that the system is applicable for generating high-accuracy and high-density three dimensional road spatial data more rapidly and less expensively. Sohn [16] presented a new approach for automatic extraction of building footprints in an integration of high-resolution satellite imagery and LADAR data. A laser point cloud in 3D object space was recognized as an isolated building object and normalized difference vegetation indices were driven from satellite imagery. The final description of building outlines was achieved by merging convex polygons using the binary space-partitioning tree. It states that the correctness of detection can reach up

to 90.1% and the overall quality can reach 80.5%. Sensors fusion, overcoming shortcoming of individual sensor, is a vital challenge.

### ***2.1.2 Lane and Boundary Detection***

LADAR based road boundary detection [19] method is popular in recent years, not only due to its direct distance measurement, but also its accuracy and robustness. Wurm et al [24] proposed a novel laser based road boundary detection algorithm with good results, however, only working on simple, flat road boundaries confines its usage. Real-time information can be provided by radar data; Yamaguchi et al [21] performed the test of a van-mounted radar to detect road markers. It is limited to operating at 50 km/h, which could cause traffic congestion and affect other road users. A few approaches [5], [11] perform mobile road mapping based on road models, which work very well in principle; however, due to the complexity of the modern road network, methods above may have robustness issues. W.S. Wijesoma et al [2] introduced a method based on extended Kalman filtering for fast detection and tracking of road curbs. However, the lack of clearance surveying and road surface condition monitoring limits its ability for full-scale assets surveying.

Although, LADAR has better performance on accuracy and robustness compared with vision sensors (camera), the higher cost and lower resolution are important concerns for some researchers to turn away from LADAR, and adopt cameras instead. M. Hu et al [18] proposed a vision based road recognition algorithm. The algorithm is divided into two modules. The first module is to obtain road boundaries information when the vehicle starts moving. The second module is to predict positions of road edge when the vehicle moves at constant speed by applying prediction knowledge. C. Guo [20] presented stereovision-based road boundary detection for an intelligent vehicle. Both of the road boundaries are generated using Catmull-Rom splines based on the RANSAC algorithm with varying road structure models.

Using mono-vision for lane detection and tracking, Y. Wang et al [23] proposed a B-snake based lane detection and tracking algorithm without any camera parameters. The experimental result shows that the proposed method is robust against noise and shadow. H. Lin et al [25] introduced a randomized Hough transform method to

detect practically useful road boundaries with straight line segments, but Dynamic Programming (DP) has to be utilized to obtain the most likely road boundaries field as the first priority. H. Kong et al [26] introduced a new vanishing-point-constrained edge detection technique for detecting road boundaries, and it has been successfully implemented and tested with 1003 general road images. However, the dependence of ambient light affects the functionality in the real world. Surveying road assets at night, where illumination conditions are poor, a vision recognition method may not be applicable.

Sensors fusion, such as vision & LADAR fusion [4] [17] and vision & RADAR fusion [22], for lane and road boundaries detection becomes a choice to researchers. Not only does it overcome the weakness of an individual, but also improves the accuracy of road boundaries detection results.

### ***2.1.3 Road Profile***

Road profile in this section means road surface marker profile and road roughness measurement. Road surface marker profile can be achieved by utilizing a laser remission value, as the remission value of road surface markers is considerably higher than normal ground surface. T. Saitoh et al [27] utilized reflectivity of a laser scanner in structured outdoor environments for online road surface analysis. It pointed out that the remission value of a laser is much less dependent on brightness of colour or ambient lighting than a camera. The accuracy results of this proposed analysis can reach 99% in the field and has met the “Tsukuba Challenge” at the author’s university.

In the past few decades, many researches have been done on road roughness measurement. The International Roughness Index (IRI) became a standard for road roughness measurement and it was established in 1986 by the World Bank [30]. IRI is calculated from a measured longitudinal road profile by accumulating the output from a quarter-car model and dividing by the profile length to yield a summary roughness index with units of slope [29]. Profile of roughness is presented by M. W. Sayers [28], which emphasizes the importance of base-length when specifications for road quality are formulated, or when profiling accuracy is prescribed. Specifically,

the accuracy of high-speed profiling systems should be specified according to base-length. IRI values can be determined either by applying dynamic motion using suspensions or by laser/inertial profile meters. Recently, a one-wheel trailer was developed for estimating the road surface profile based on the vehicle dynamics motion using suspensions and trajectory control systems [5]. However, it can only survey a tiny fraction of a single traffic lane; conditions of the rest of the road are unreached.

#### **2.1.4 Clearance Measurement**

Traditional manual bridges' and tunnels' clearance surveying has been considered not only labour and time consuming but also a dangerous activity. Hence, some researchers and companies turned their interest into this field and developed some relative clearance measurement systems. One approach for bridge clearance data collection is involved with using one or two high-frequency point laser sensors at the rear of a vehicle at the upward and downward direction to acquire clearance along a single plumb line [1]. However this approach exhibits a number of limitations. i) Bridges and tunnels may be sloped or have striped structure, so that single laser point has little chance to detect the lowest point. ii) The road surface may also be sloped. iii) It can only survey a single traffic lane in each run. Another approach [30] applies mobile LADAR and imagery to measure the horizontal and vertical clearance of overhead and bridge structures. It has demonstrated robustness and effectiveness of clearance measurement of each lane for any type of overhead and bridge structure while driving normally within the speed limit.

## **2.2 Visual Odometry**

In more than thirty years development of VO from 1980, VO was implemented off-line in the first two decades. In the recent decade, with the rapid development of computer processing speed and related algorithms, VO can be achieved in real-time processing, which has led VO being applied to Robot indoor navigation and vehicle outdoor navigation. More importantly, it has been demonstrated that VO provides more accurate trajectory estimates, with the relative position error ranging from 0.1% to 2% compared with wheel odometry [6]. An outlier removal algorithm plays a significant role in VO, since a robust algorithm has direct impact on either efficiency

or accuracy of systems. In the following, a comprehensive review of outlier removal algorithm is presented, which was established thirty years ago.

RANSAC is still the fundamental algorithm for robust model fitting and outlier removal for the past thirty years. The principle steps of RANSAC can be summarized as: 1) randomly select a set of samples from all samples; 2) fit a model hypotheses with the selected set of samples; 3) compute the distance of all other points to this model; 4) construct the inliers set with a distance threshold and compare its inliers count to the previous highest one and store the results. Steps 1 to 4 are repeated until a pre-set threshold of iterations is reached. The set with maximum number of inliers is chosen, all these inliers are used for model parameter estimation [31].

Assuming all the samples have the same *outlier possibility*  $\epsilon$ , and ignoring the impact of sampling noise, RANSAC follows a random sampling paradigm. Fundamentally it is a stochastic algorithm without deterministic guarantees of finding the global maximum of the likelihood. A *success rate*  $p$  is the level of confidence of finding a consensus subset, which is a function of  $\epsilon$ , *the number of iterations to be conducted*  $N$  and *the number of samples in a subset*  $s$  [32].

$$N = \frac{\log(1-p)}{\log(1-(1-\epsilon)^s)} \quad (2.1)$$

For the sake of robustness, in many practical implementations  $N$  is usually multiplied by a factor of ten, which increases computational costs [31]. Without prior knowledge of  $\epsilon$ , commonly the implementations of RANSAC estimate  $\epsilon$  adaptively, iteration after iteration.

In practice, sampling always has noise and  $\epsilon$  may be different for each sample. By analysing the difference of  $\epsilon$ , it has large potential for optimizing sample subsets selection and improving model fitting performance. As an example, assuming a required success rate  $p$  is 99% and a dataset with outlier rate  $\epsilon = 50\%$ , according to (2.1), the number of iterations  $N$  is 16 for  $s = 2$  (line fitting), 145 and 587 for  $s = 5$  and 7 (visual odometry).  $\epsilon$  still is 50% for the entire dataset, but  $\epsilon = 20\%$  for a special part of the dataset. If sample subsets are selected only from this part of the



dataset,  $N$  is just 5, 12 and 20 for  $s = 2, 5$  and 7 respectively. This leads to one of the strategies in PURSAC, which will be detailed in the line-fitting example.

RANSAC estimator[32] and its variants [33-37] are popular methods for eliminating outliers and fundamental matrix estimation in computer vision. MLESAC was established by Torr and Zisserman [38] and adopts the same sampling strategy as RANSAC to generate putative solutions, but chooses the solution to maximize the likelihood rather than just the number of inliers. GroupSAC [35] derived by Kai recently performed well in dealing with the cases of high outlier ratios. However, image segmentation for group sampling increases computational costs. Capel [40] proposed a statistical bail-out test for RANSAC that permits the scoring process be terminated early and achieves computational savings. Such methods developed on the basis of RANSAC. However, they share a common weakness of imprecision. This is due to the fact that the tentative features set is randomly chosen from the entire data set, which may result in large differences of model estimates in each trial.

For most model fitting tasks, two types of measurement errors must be considered: small errors (noise) and blunders (outlier) [35]. If the sensitivity analysis of a model against the noise of selected sample sets can be conducted and/or the patterns of the measurement errors can be found, then a method better than random selection can be found which can reduce the effect of measurement noise and/or outlier for model fitting. This is the fundamental principle of the new paradigm for robust outlier removal and model fitting - purposive sample consensus (PURSAC). Although in theory PURSAC is just a qualitative guidance, the implementation of it usually needs quantitative analysis to design executable rules for purposive sample set selection.

In this research, PURSAC is detailed with the line-fitting example and then applied for visual odometry (VO), which is the process of estimating egomotion of an agent (e.g. vehicle, human or robot) using single or multiple cameras attached to it. VO operates by incrementally estimating the pose of the agent through examination of the changes that movement induces on the images of its onboard cameras [31]. Nowadays most VO implementations are feature based, which use salient and repeatable features extracted and matched across the captured images. Not only VO, but also many other computer vision applications, such as structure from motion and

image registration etc. require a more efficient and robust method to be developed for eliminating outliers in the matched features and improving the precision and consistency of fundamental matrix estimation.

No matter which feature detection algorithm is used, the matched feature points are usually contaminated by outliers (wrong data associations). Possible causes of that are image noise, occlusions, blur, changes in viewpoint and illumination for which the mathematical model of the feature detector or descriptor does not account. For the camera motion to be estimated accurately, it is important that outliers should be removed. Outlier removal is the most delicate task in VO [31] and needs further development.

In addition to pairing the features in different images, feature matching also provides similarity measures (scores) of the corresponding features. It has been found that features with lower matching scores have higher likelihood of being outliers [39]. As every pair of matched features has a score associated with them, the scores or the ranking of the scores can be used for improving the efficiency of outlier detection.

Several methods have been proposed considering feature matching scores. Pre-emptive RANSAC by Nistér is based on pre-emptive scoring of motion hypotheses and the framework can be processed for real-time ego-motion estimation[33]. PROSAC (Progressive Sample Consensus) developed by Chum and Matas demonstrates its capabilities on solving wide-baseline matching problems. Instead of random selection, tentative samples are selected from a progressively larger set of higher rank features to reduce the computational costs [39]. Uncertainty RANSAC [43]incorporates feature uncertainty and shows that this results in a decrease in the number of potential outliers, thus enforcing a reduction in the number of iterations. In [44], a deterministic RANSAC approach is proposed, which also estimates the probability that a match is correct.

It has also been found that the geometry of the features in images affects the VO results remarkably[40,41]. The more evenly features are distributed in images, the less sensitive a model is to noise, and the more stable is motion estimation. However, no VO literatures have yet reported the utilization of geometry in the process of outlier removal.

Applied in VO, existing algorithms mentioned above are mainly focused on reducing computational costs, but dismiss precision and reliability. Without considering features ranking and/or geometry, performance improvement of these algorithms is usually limited and unstable. The proposed PURSAC concerns both features' geometry and matching score/ranking in sample sets selection so as to improve fundamental matrix estimation. In endeavouring to increase processing speed, the proposed PURSAC also improves efficiency and precision, resulting in a robust and reliable VO.

### **2.3 PVA information from GPS, IMU and Odometry**

PVA is known as position, velocity and attitude, which is critical information for a mobile surveying system. In the last section, a literature review of visual odometry for obtaining PVA has been introduced. In this section, a review for other commonly used sensors GPS, IMU and odometry is described in detail. The merits and demerits of those sensors for localization will be pointed out.

Global Positioning System (GPS) provides location and time information in all weather conditions. In a simple sentence, it tells where you are on the earth. With more than 24 GPS satellites in space, if four or more satellites are visible from a receiver, its position and velocity information can be quickly measured, without attitude information. It is capable of obtaining more accurate position and velocity information as more satellites are becoming involved. GPS system established by the United States can be used freely around the world by people who have a GPS receiver. Initially, the GPS signal is distinguished as Standard Positioning Service (SPS) for civilian use and Precise Positioning Service (PPS) for military use. Due to safety issues, the American government purposely implemented Selective Availability (SA) to degrade the GPS accuracy for civilian users. The accuracy of SPS was around 100 meters while the accuracy of PPS was below ten meters. After the year 2000, the Clinton government decided to stop the interference of the civilian-use GPS signal. Now the accuracy of the civilian-use GPS signal is able to reach around 10 meters [62]. However, such accuracy is still unsatisfactory for localization of for example aircraft navigation and engineering survey.

Differential GPS (DGPS) technique solves this problem and improves the accuracy of localization. The theory of DGPS is to firstly allocate a GPS receiver for a known

point as a reference station, which has already been accurately determined, and simultaneously execute GPS surveying between the reference station and the moving object. According to the accuracy of reference station coordinates, distance correction from reference station to satellite can be worked out and send out at the same time. The moving object receives the GPS signal, and simultaneously receives distance correction from the reference station. Based on distance correction, accuracy of localization can be improved [63].

Inertial Measurement Unit (IMU) is an electronic device that measures an object's velocity, orientation and gravitational forces using accelerometers, gyroscopes and magnetometers. IMU is the core of inertial navigation systems, which has been widely used in aircraft, watercraft and the military. The data collected from IMU can be used for tracking an object's position using a method called Dead Reckoning. In a navigation system, data extracted from IMU to computer is utilized to calculate current position based on velocity and time.

The advantage of using IMUs is that it is a standalone device, which is not able to be interrupted externally. However, a vital disadvantage of using IMUs is that they suffer from accumulated error [64]. Because, the object's current position calculated from IMUs is continuously being added to the previous calculated position, errors in each measurement, although are small, they are still accumulated and getting larger. This is also known as 'drift'. In a word, although IMUs are free from external disturbance, their drawback of error accumulation has to be corrected by information from other navigation sensors, such as GPS and Odometry.

Two types of odometry are investigated in this research. The first one is visual odometry, which has been introduced in the last section of the Literature Review. Another one is wheel odometry that uses data from a rotary encoder to work out the travelling distance over time, which has been applied on wheeled robots and vehicles. At the current stage, wheel odometry is more often used in the proposed system due to its consistency.

Figure 2.1 shows a vehicle moving from time state  $T$  to state  $T+1$ . To work out the position change and orientation of the vehicle across a given time span ( $T$  to  $T+1$ ), linear distance  $D_R$  and  $D_L$  has to be calculated in the first place (calculated from the

number of ticks from the encoders and the diameter of the wheels) [65]. The orientation of the T+1 state is calculated by:

$$O_{T+1} = O_T + (D_R - D_L) / W \quad (2.2)$$

The distance between state T and T+1 is:

$$D_{T,T+1} = (D_R + D_L) / 2 \quad (2.3)$$

In order to build a map of vehicle travelling, the Cartesian coordinate of state T+1 is calculated as [65]:

$$X_{T+1} = X_T + D_{T,T+1} \cos(O_{T+1}) \quad (2.4)$$

$$Y_{T+1} = Y_T + D_{T,T+1} \sin(O_{T+1}) \quad (2.5)$$

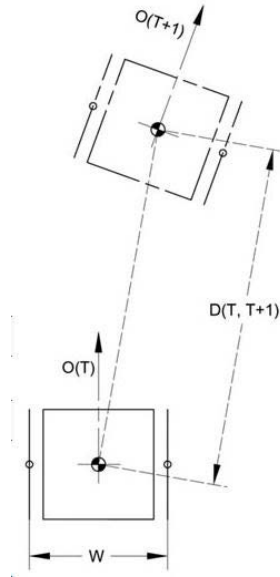


Figure 2.1: Schematic diagram of vehicle moving in time state T and state T+1 [65]

Although wheel odometry operates easily, the accuracy of wheel odometry is strongly affected by roughness and the slope of the road surface. The worse the road conditions the larger error that will be presented. Cheng [66] revealed that when the wheeled system travelled on a rock surface, the error of using wheel odometry becomes increasingly large as traveling distance increases. It becomes up to 50 times larger than the error of using visual odometry on such a surface.

By looking at three types of navigation sensors GPS, IMU and Odometry, each of them has advantages, but disadvantages are also apparent. Hence, many researchers [67-69] turned to investigate sensor fusion in order to supplement the drawback of individual sensors. By taking GPS and IMU fusion as an example, Francois [67] developed a GPS/IMU based multi-sensor fusion algorithm, which increases the reliability of the system by bringing context into consideration. Incorrect data from GPS (GPS data is unreliable under some circumstances) is rejected using contextual information. Besides, to resolve the problem of an unreliable signal from GPS and drift of IMU over time, the author proposed a multi-sensor Kalman Filter directly with the acceleration of IMU. This algorithm has potential to add a high number of sensors without modifying the structure. This sensor fusion algorithm has presented measured reliability and flexibility for localisation of an object.

In the section, both advantages and disadvantages for PVA acquisition from GPS, IMU and Odometry have been reviewed. In the same way as many other researches, this study has preferred using multi-sensors fusion for obtaining PVA information. It combines all the merits of different sensors to deliver more accurate PVA results.

# CHAPTER 3 AUTONOMOUS SURVEY SYSTEM

## 3.1 Introduction

This chapter gives a comprehensive description of the proposed autonomous road surveying system, as well as the reasons for constructing the system in this particular way. The proposed system is a unique design for the multi-purposes of surveying, including Clearance Surveying, Road Boundary Detection, White line Detection, Road Surface Markers Extraction and Road Roughness Measurement. More importantly, the experimental vehicle is designed for people driving at the road speed limit and to deliver accurate on-line processing results. The system architecture (3.2) introduces all the sensors (IMU, GPS, odometer, LADAR, and camera) and indicates how the proposed system works. The system hardware (3.3) provides the information of sensors installation and their connection interfaces. The tasks performed by data acquisition software are listed in 3.4. The next three sections are about sensor's data, ISF Calibration and Vibration Test & system accuracy analysis.

## 3.2 System Architecture

The surveying system is specifically designed for road surface and surroundings profiling. From sensors selection to their location and installation, the proposed surveying system has significant differences to other systems. The significant advantage of the proposed surveying system is that it performs multi-functions, such as road clearance measurement, road surface profiling, 3D structure modeling, road boundary detection and road roughness measurement, at normal drive speed in urban streets. Meanwhile, data collected by sensors has less chance of being blocked by other vehicles.

In order to develop a robust and efficient road surveying system that can provide road profiles in all circumstance, multi-sensor integration is the optimal approach. The thread in designing the proposed system is to build a versatile, multipurpose platform for road surveying. Due to the complexity of multi-sensor measurements, a proper sensor fusion framework needs to be developed with accurate surveying

procedures, reliable modelling and quality control algorithms. The proposed multi-sensor integrated mobile surveying system consists of two laser sensors (LADAR), GPS, IMU, odometer and camera, as shown in Figure 3.1.

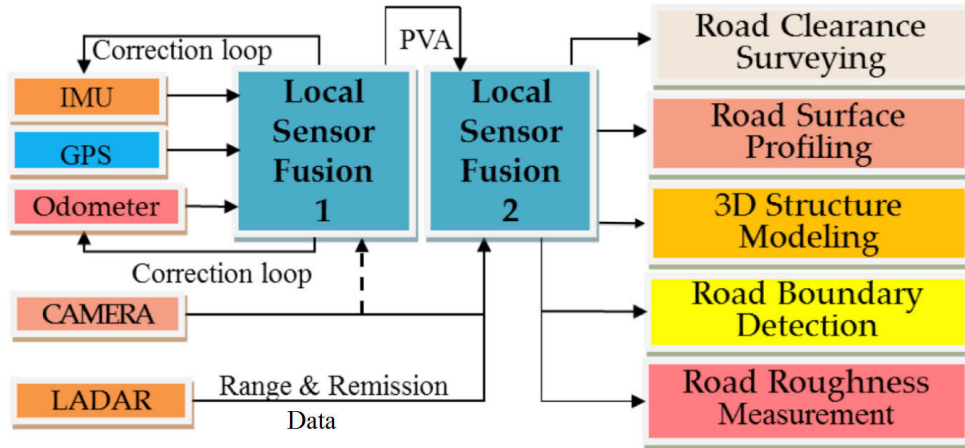


Figure 3.1: Multi-sensors fusion system architecture

IMU is selected as the reference navigation sensor because it can provide continuous PVA data with time-accumulated drift. The odometer can measure vehicle speed and mileage. GPS is a time-invariant navigation system with assured position and velocity measurement in open space, which can be used to correct the IMU and odometer errors. Most importantly, GPS's accurate clock is used for the sensors' time synchronization. LADAR is the main surveying component of the proposed system. Range and remission data are collected by LADAR and fused with other sensors' measurements to perform all the system's tasks. The camera records the road's characteristics as a visual reference, as well as trajectory from visual odometry processing.

### 3.2.1 PVA Acquisition

PVA information plays a significant role in the system. As shown in Figure 3.1, PVA is extracted and processed from the fusion of GPS, IMU and Odometry data. GPS has been widely applied in navigation, such as aircraft navigation, vehicle navigation, weapon navigation, and localization, such as in a vehicle burglary-resisting system and automatic drive system. The following is a list of reasons why it is popular to the public: 1) 24 hours working, it is able to operate in all kinds of weather; 2) High coverage area (98%) of GPS around the World; 3) High accuracy of 3D localization;



4) very high efficiency; 5) mobile localization device. However, a significant drawback of GPS exists. In some blocked area, such as in tunnels, the GPS signal is hard to be received, which brings trouble to users. Besides, when driving on an urban road surrounded by tall buildings, the GPS signal becomes unreliable and it changes frequently, which causes confusion to users.

In an open area, INS and GPS fusion are adopted to obtain PVA information. INS has a vital drawback of drifting over time. Although the precision of GPS is good in an open environment, it is very sensitive to the environment. Hence, GPS/INS fusion would overcome drawbacks of each other. In the surveying system, INS provides continuous attitude information. By applying Kalman Filter and GPS data, INS results are recalculated and drift is minimised at each state.

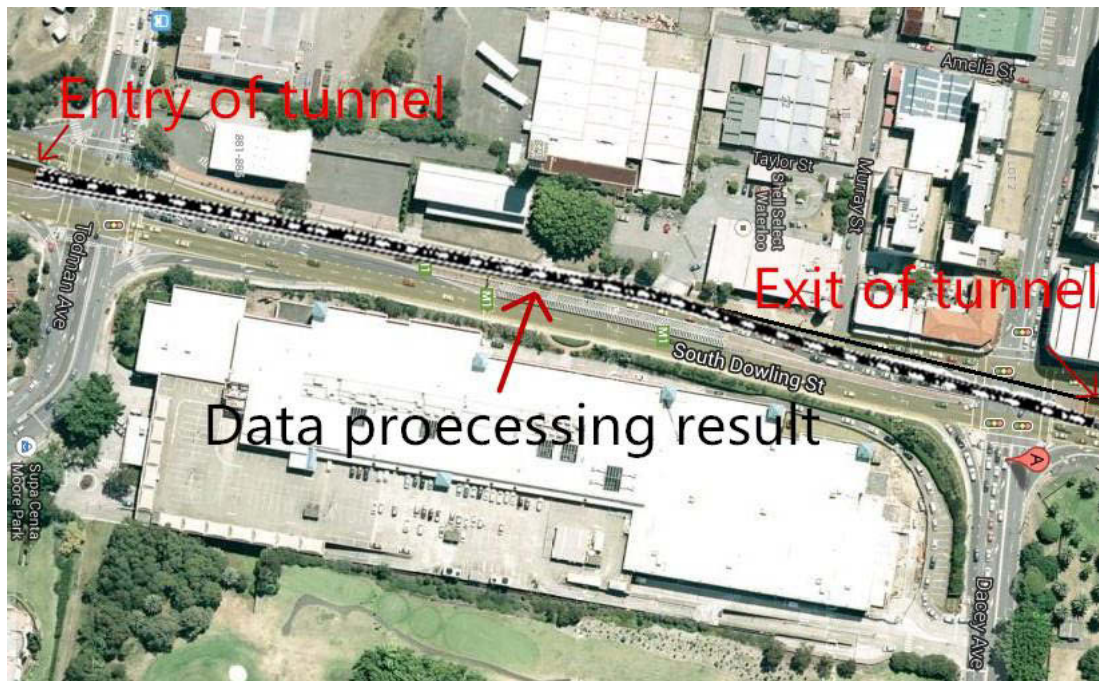


Figure 3.2: INS & Odometry data fusion PVA result V.S imagery ground truth of a tunnel at Moore Park, Sydney

In an enclosed area, such as an indoor environment or tunnel, GPS signals become unreliable, which means GPS/INS fusion is not feasible in such an environment any more. Now, INS/Odometry fusion is a break-through point to deliver PVA results. INS provides continuous attitude information and wheel odometry provides continuous velocity information. However, errors from wheel odometry are still accumulated over time. Besides, the accuracy of wheel odometry suffers from road

condition which will bring larger error to PVA results. In Figure 3.2, comparing with PVA result of INS & odometry data fusion and imagery ground truth of a tunnel at Moore Park, Sydney, the drift does exist. In Chapter 5, visual odometry is introduced for the reasons of 1) more accurate PVA results, 2) no reliance on road condition, which has a larger potential for integrating with INS.

### ***3.2.2 Surveying Functions***

The proposed system is designed for multi-functions purposes. Combining PVA information and LADARs range & remission data, functions such as road clearance surveying, road surface profiling, 3D structure modelling, road boundary detection and road roughness measurement can be achieved. These are also the outputs of the surveying system as indicated in Figure 3.1.

To start with the first function, automatic clearance surveying is applied to bridges and tunnels, which is aimed to replace traditional manual clearance surveying and deliver accurate survey results. Remission values from LADARs are firstly applied for white line detection and lane division. Although, a white line has significant higher remission value, the remission value of others, such as a sewage cover and undried water, are still hard to distinguish from a white line remission value. Hence, a fuzzy logic filter is developed for filtering this noise. Then, the road surface and ceiling of tunnels or bridges is modelled according to LADARs range data. Finally, clearance within each lane is calculated and it is perpendicular to the road surface.

Road surface profiling is another output for surface marker extraction. It can be used for road markers healthiness determination. LADARs remission and range data becomes involved in surface marker processing. As the remission value of road surface markers is distinguishable, road surface markers can be extracted easily.

Road boundary detection is a frequent topic in related research and applications. The importance of achieving road boundary detection is to distinguish driveway and non-motor way. LADAR sensor's range data is used in this part. Most importantly, two ends of a road boundary can be used as a significant condition for lane division, especially, when white lines are indistinct.

Road roughness measurement as a one of output is utilized for road healthiness determination. LADARs range data and INS data are applied in this function where INS data is used for vehicle vibration compensation. As indicating in Figure 3.1, PVA information is obtained from local fusion 1. By combining PVA with other output functions, a detailed 3D road assets structure can be successfully profiled.

### **3.3 System Hardware**

The hardware component consists of LADAR laser range sensors, IMU sensor, GPS sensor, odometer and mechanical support structure. The platform was designed to let the LADAR sensors scan the road assets cross-sections. As shown in Figure 3.3, both laser sensors are mounted on an aluminum integrated sensors frame (ISF), which is then installed at the back of a vehicle at a proper height (2300 mm), for scanning perpendicular to the vehicle moving direction. An ‘A’ shape steel is connected between the vehicle body and the steel pole at the back of vehicle, in order to reduce vibration. Due to its wider scan angle, the LMS-111 is on the right side of the frame, which allows it to scan from the left-lane to right-lane for both the ground and overhead objects while driving along the left-lane. Its remission data is also collected for lane detection. The LMS-400 is mounted on the front of the ISF, which takes the full advantages of its fast scan frequency and accurate range measurement to detect smaller objects whilst the vehicle is travelling at high speed.

Aluminium integrated sensors frame is designed using AutoCAD (APPENDIX D). The reason for constructing such frame is to integrate LADARs and IMU into the same body which offers greater convenience of system calibration. Furthermore, the ISF has been designed for multi-proposals. The current proposal is presented as Figure 3.5 indicates and the vehicle drives at the most left lane. Another proposal is to rotate LMS111 and LMS400 180 degrees so that the vehicle is able to drive in the most right lane and deliver the same surveying results.

A power source module is embedded at the back of vehicle, which is capable of providing charging to all sensors and laptops for a whole day experimental test. A plug socket is placed at the back seat of the vehicle, which is connected to the power source. Sensors’ power cables are wired along ‘A’ shape steel and gathered together to the back seat of vehicle. Finally, they are all connected to the plug socket. Any

power disconnection of sensors and laptops due to vibration can be monitored and managed immediately.



Figure 3.3: Side view of system hardware

The camera and odometer are installed on the vehicle directly whilst GPS, IMU and odometer's data fusion provides accurate location, velocity and attitude information for geo-referencing LADAR data.

Sensors applied in the system are connected by different interfaces. LADAR sensors are connected via Ethernet, odometer and GPS are connected via RS-232-USB and IMU is connected by UART-USB interface.

### 3.4 Data Acquisition Software

This data acquisition software is constructed based on the Java Socket Communication. Ethernet other than RS-232 connections were used to prevent data losses. The GUI utilises the LMS COLA-B protocol to transmit binary coded

messages, and maximises the communication speed [47]. The binary messages are then converted into decimal and stored into .mat files. The .mat files (a Matlab readable file) can be processed directly in the following Data Processing Algorithm (DPA) developed with MATLAB.

SOPAS is the original software to connect to LMS sensors [46]. However, it has limited functionality for scan data plotting and recording [47]. Based on tests results, SOPAS takes 67 milliseconds to record each scan data, which is roughly 15 Hz. Compared with the 50 Hz scan frequency of LMS-111 and 370 Hz of LMS-400, SOPAS's recording rate is very limited. For this reason, a graphic user interface (GUI) for the sensors control and data acquisition was developed as shown in Figure 3.4. The proposed GUI is designed for controlling sensors and road condition monitoring in real-time. All sensors and laptops are connected to a power source which is embedded in the experimental vehicle.

Control console is divided into five segments as red colour indicates in Figure 3.4. It performs well in data acquisition, recording and system robustness. Segment I provides detailed instruction of how to operate this GUI and all sensors. Segment II functions as a tuner for LADARs and IMU frequency adjustment. The default frequency of LMS111 and LMS400 is 50Hz with resolution angle 0.5 degree and 370 Hz with resolution angle 0.25 degree respectively. By pressing the tuner buttons from Segment II, the frequency of LMS111 can be adjusted to 25 Hz with resolution angle 0.25 degree and the frequency of LMS 400 can be varied from 370Hz to 500Hz with resolution angle 0.3636 degree. After becoming familiar with GUI instruction and sensors frequency tuning, sensors now are able to be connected by pressing buttons from Segment III. Input LADARs' data will be plotted in the middle of the GUI in real time. If surveying target is approaching, functions in Segment IV can be activated for data recording, while when the surveying task is finished, buttons in Segment IV can be used for data collection termination, finally saving data in to a text file. For further data analysis, functions in Segment V are managed for translating text files to .mat files which will be processed directly in the Data Processing Algorithm (DPA) with Matlab.

When the experimental vehicle is driving on a road surface, switch on-off commands for sensors are controlled by buttons as indicated in Segment III. Besides, LADARs' scan frequency and resolution is able to be adjusted as the speed of the experimental vehicle is varied. In the right bottom of Figure 3.4, a small window is designed for displaying remission information of the road surface, which aims to monitor white lines and road surface markers. Once white lines or surface markers are detected, significant ripples will be indicated in the small window and peaks to the centre of the screen represent the distance of white lines or surface markers to the LMS sensor. In the middle of the GUI, range data from LADARs is plotted in the Cartesian coordinate system. Any scene, such as a high way, tunnel or bridge, can be distinguished easily by only monitoring the GUI.

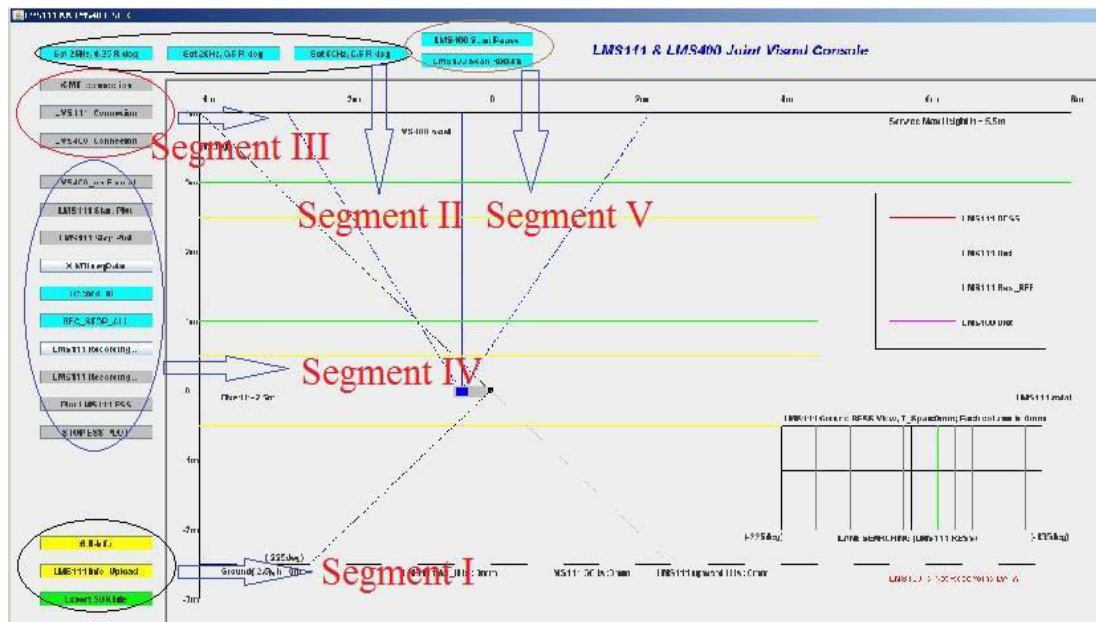


Figure 3.4: LMS111 and LMS400 Joint Visual Console

The function of real-time scan plotting allows the operator to monitor the scan of a complex structure and make sure that small objects are not missed. Moreover, the travelling speed of the testing vehicle can be optimised by judging from the plot result. Lastly, the GUI is designed as a user-friendly interface. People with relatively little knowledge can manage it easily.

### **3.5 Sensors' Data**

#### ***3.5.1 Navigation Data***

Navigation data from three sensors (IMU, GPS and odometer) is processed in local fusion algorithm 1, for data synchronization and integration. When the system is surveying in an open area with reliable GPS satellite signal, location information is extracted from the GPS sensor, and used to correct IMU and odometer drift. When the system is in weak or GPS denied environments, such as in tunnels or under bridges, navigation data can be extracted from IMU and odometer. The navigation data is used to derive position and attitude information for each surveyed road assets.

Provided by IMU sensor, attitude data is used to transform LADAR 2D range data into spatial coordinates for 3D modelling. Attitude data includes Euler angles, 6 degree of freedom (DOF) acceleration data and quaternion. Position data is provided by both GPS and odometer if the GPS signals are strong. Under circumstances such as tunnel surveying where GPS signals are blocked, position data is provided by odometer and IMU trajectory. Position data is important for road 3D modelling, for the determinate of the length of road assets.

#### ***3.5.2 LADAR Range and Remission Data***

LADAR range data is measured by two different SICK Laser Measurement System (LMS) sensors, LMS-111 and LMS-400. LMS-111 scans at 50 Hz over 270° while LMS-400 scans at 370 Hz over 70° as shown in Figure 3.5. Range data is the most important data in this system since road surface profiles can be extracted from them.

Remission here is defined as the capability of a material to reflect the light back. Remission data generated by the LMS-111 are acquired together with the range data. The road surface profile is extracted based on the remission data. The traffic divide-line (white line) has a significantly higher remission value than the road surface. As a consequence the system can monitor the white lines by judging their remission value. Remission data is also used for extracting traffic-lanes so as to determine the clearance for each traffic lane.

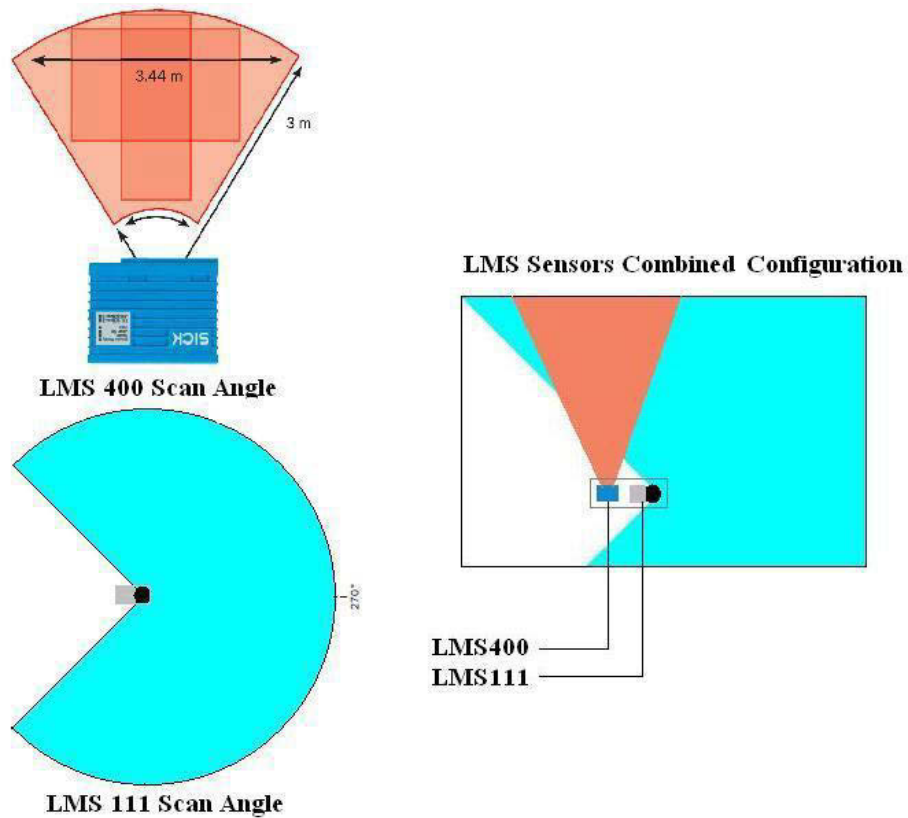


Figure 3.5: Laser sensors configuration

LMS sensors send range and remission data in binary format, which ensures fast transmitting rate. After range and remission data are received by the acquisition software, they are converted into .mat format so MATLAB can directly read and process them. Data storage accumulation for LMS sensors is different. LMS-111 sends data at 50Hz and LMS-400 sends data at 370Hz. Approximate size of one minute scan data for LMS-111 is 10MB and LMS-400 is 5MB.

LMS111 has only three meters scan range, but due to its higher scan frequency and more accurate measurement, more detailed structure profiling will be delivered. When the system is used for bridges and tunnels clearance surveying, it has an upward installation to get accurate clearance measurement. For road roughness measurement, LMS111 is rotated 180 degree to look downwards to acquire detailed road surface profiling. To make IMU, LMS111 and LMS400 data synchronised, all sensor clocks are calibrated with the GPS clock.



### **3.5.3 Image Data**

Image data from a camera mounted on the top of the vehicle's cab is stored in jpeg-format and named with each surveyed road assets. General road information, such as name, clearance signs and visual conditions is captured in image data with 800×600 pixels and frame frequencies up to 24Hz.

The significance of recording image data is to serve as a guideline for the data processing algorithm and is used to compare processing results with the real road segments. Moreover, images can be also utilised as an input stream for visual odometry in order to obtain accurate PVA estimation while combining with IMU. Detailed description of imaged based VO is presented in Chapter 6.

### **3.6 ISF Calibration**

The ISF was designed in such way that the centres of LMS-111 and LMS-400 are 460mm apart horizontally and 30mm vertically, and their scan planes are parallel, as shown in Figure 3.6. Calibration is necessary for correcting the machine and human error during the ISF fabrication and sensors installation.

Two calibrations were conducted for the assembled ISF, the scan-centre alignment and the parallel scan plane calibration. The first calibration was to determinate exactly how far the centres were apart from each other. During the process of calibration, both LMS sensors are pointing at an object that has a uniform surface at a given distance. The scan data are plotted by the data acquisition GUI software mentioned earlier. The initial plots suggest that even with the offset (640mm, 30mm) added to the system, the plot gap between LMS-111 and LMS-400 is still at (8mm, 3.5mm). Consequently, the calibration result shows that displacement between LMS-111 and LMS-400 centres is (648mm, 33.5mm), which will be used in the scan data transformation.



Figure 3.6: Front and rear view of ISF

The calibration of the two scan planes' parallel was conducted after the centre alignment. With both LMS sensors mounted on the ISF, LMS-400 is kept to scan vertically by adjusting the base according to its visible laser. Then the calibration is to adjust the LMS-111 scan plane to be free of lean and at the same orientation as LMS-400 scan plane.

The sensors were set to scan a structure overhead with a straight edge at a range of 3m. LMS-111 was scanning along the edge to ensure that its scan plane was aligned with the edge. Minor adjustment was conducted to keep the distance between the edge and the visible line of LMS-400's laser projection consistent. The same process was repeated when the range was changed to 1.5m. The distance measured at the two ranges need to be the same; otherwise adjustment was needed to achieve this. All these processes ensured that both LMS sensors' scan planes are parallel. After the ISF calibration, an on-road test was conducted for measuring its shock and vibration.

### **3.7 Vibration Test and System Accuracy Analysis**

Weighing more than 5 kilograms and mounted 2.3m above the ground on a moving vehicle, the ISF on a beam may receive serious shock and vibration that has the potential to exceed the LMS sensors' limitation and cause a hazard as a consequence.

Figure 3.6 shows the ISF and the testing vehicle. The ISF is mounted on a vertical steel beam fixed to the tow-bar of a pick-up truck, a horizontal triangle supporting frame is added to strengthen the mounting structure as well as to minimize vibration. Based on the LMS-111 and LMS-400 manual, the maximum single shock to the

sensors is 15g, and vibration is 5g over a frequency range of 50Hz to 150Hz [46, 47]. Shock and vibration not only affect the accuracy of the measurement, but also may damage the LMS sensors.

The shock and vibration test was conducted with the LMS sensors unpowered, to see if any countermeasure is required to protect the LMS sensors. By doing so, all sensors were mounted to the ISF as shown in Figure 3.3, but only the IMU sensor was powered during the test. The IMU measured the ISF's shock and vibration over various road surface conditions. The vehicle was driven on the road at 60km/h for about 45 minutes.

IMU data was analyzed and the conclusion was that the maximum shock/vibration on either X, Y, Z direction is under 2g, which is well under the specified maximum value.

It is necessary to analysis laser beam diameter expansion against distance. Based on the requirements of road clearance surveying, the typical range is 2.0-3.0m for LMS-400 and 2.0-7.0m for LMS-111. The expansion of the laser beam means the diameter of individual measured points is expanding with the measuring distance [46]. From the LMS-111 manual, the beam expansion with distance is given by:

$$\text{Beam diameter} = (\text{distance (mm)} * 0.015\text{rad}) + 8\text{mm} \quad (3.1)$$

The diameter at each distance is given in Table 3.1.

Table 3.1: LMS-111 laser beam expansion

Distance(mm)	2000	3000	4000	5000	6000	7000
B-Diameter(mm)	38	53	68	83	98	113

At the designed platform height and speed (65km/h), the distance between LMS-111 scans at 50Hz is 361.1mm. Meanwhile, with 0.5 degree interval, it ensures that there is no gap between the scan points, so that the surveying covers the whole cross section of the scan angle.

The LMS-400 was configured to choose between two frequencies, 370Hz (default) and 500Hz (maximum). At each frequency, the vehicle moving speed shapes the gap between each individual scan, as in Table 3.2.

Table 3.2: LMS-400 gap between scans

Speed(km/hr)	45	50	55	60	65	70	75	80
370Hz gap(mm)	33	37	41	45	59	52	56	60
500Hz gap(mm)	25	27	30	33	36	38	41	44

Optimizing the vehicle speed is also important for the performance of the surveying system. While driving along the left (slow) lane, the vehicle should travel at a reasonable speed that does not interrupt the traffic, while maintaining a small gap between each LMS scan. The testing vehicle was kept at 70km/hr in an 80km/hr zone and the gap between each scan was 52 mm. The system was able to detect structure details and obtain accurate measurement at this speed.

Accuracy is vital to any surveying method, including this surveying project. Consequently, accuracy is analysed in detail for the method

A two-stage accuracy analysis is utilised for the measurement rectification [48]. The first stage is the error analysis for the principle of laser range measurement, as shown in Figure 3.7.

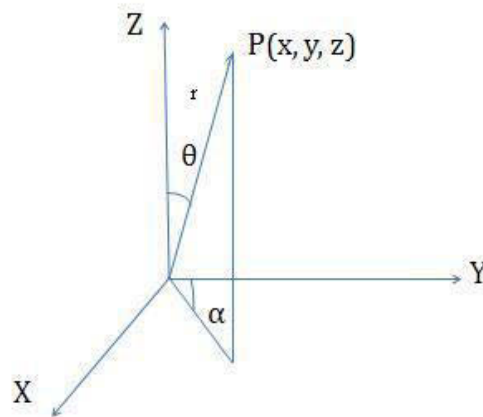


Figure 3.7: LMS sensors range measurement.

Range (r) is given by the laser sensors, and two angles,  $\alpha$  and  $\theta$  are the horizontal and vertical angles measured by the IMU. Based on this information, the coordinates of P(x, y, z) are given by:

$$\begin{aligned}x &= r \times \sin \theta \times \sin \alpha \\y &= r \times \sin \theta \times \cos \alpha \\z &= r \times \cos \theta\end{aligned}\tag{3.2}$$

The error of range r is decided by the LMS sensor's performance. LMS-111 has a systematic error of  $\pm 30\text{mm}$ , and statistical error of  $12\text{mm}$  [46]. LMS-400 has a systematic error of  $\pm 4\text{mm}$  and statistical error of  $\pm 3\text{mm}$  [47]. The error functions are

$$\Delta h = \left(\frac{\partial h}{\partial s}\right) \Delta s + \left(\frac{\partial h}{\partial \vartheta}\right) \Delta \vartheta\tag{3.3}$$

$$m_h^2 = \left(\frac{\partial h}{\partial s}\right)^2 m_s^2 + \left(\frac{\partial h}{\partial \vartheta}\right)^2 \left(\frac{m_\vartheta}{\rho}\right)^2\tag{3.4}$$

Where  $\rho$  is a constant of 206265, and  $m_s = \pm 3/2 = \pm 1.5$ . The accuracy of the coordinate is at  $\pm 33\text{mm}$ .

The second stage is the measurement error analysis of the two LMS-sensors installed on ISF. The LMS-400 and LMS-111 are mounted 460mm apart in the horizontal direction and 30mm apart in the vertical. Even with much attention during the ISF's manufacture, error is still inevitable. The error affecting the combined surveying accuracy is given by Q. F. Yu [49]:

$$\Delta = \sum_{i=1}^r \Delta_i \pm \sqrt{\sum_{i=1}^s e_i^2 + \sum_{i=1}^q \delta_i^2}\tag{3.5}$$

$$\Delta^2 = \sum_{i=1}^q \delta_i^2\tag{3.6}$$

Where  $\Delta_i$  is the known as the systemic error,  $e_i$  is the unknown system error and  $\delta_i$  is the random error; r, s, q are the number of errors. The total error based on the calculation is  $\pm 29.06\text{mm}$ .

### 3.8 Summary

This chapter summarises a novel system used for multi-purposes road surveying. It demonstrated the capability and robustness of a prototype surveying system. The

system architecture section presents the thread for the proposed system construction, and provides an answer for why the system is constructed in this way. The system built with multi-sensors fusion is able to deliver accurate results for road surface and surrounding surveying when the experimental vehicle is driven at the speed limit in both open and closed environments.

The functions of selected system hardware are described in detail. Two LADAR sensors with two frequencies and different scan range are focused on different purposes, the LADAR sensor with small frequency but large scan angle is for surrounding profiling whilst the other LADAR sensor is for an intensive scan of ceiling or each segment of road surface. The purpose of setting up a GPS in the system is to provide accurate PVA data in the open area. However, in some GPS denied environments, such as in tunnels, INS and camera fusion is used to work out the PVA information as GPS signal becomes unrealisable. The data acquisition software for LADAR sensors is developed based on original software SOPAS. The graphic user interface (GUI) for the sensors control and data acquisition has multi functions, such as configuring LADAR scan frequency, scan resolution and recording scan data. The total error of system accuracy analysis is  $\pm 29.06\text{mm}$ , which demonstrates the accuracy of the selected sensors.

For different functions, the LADAR sensors installation positions on the frame can be varied. For example, the experimental vehicle was designed for driving on the most left lane which leaves a left blind area. If researches are willing to alter the vehicle to drive on the most right lane and detect road information of its left hand side, it can be simply achieved by rotating sensor frame 180 degrees. Besides, for the function of measuring roughness of road surface, LMS400 with higher frequency can be turned downwards, which can deliver more detailed road information for roughness analysis, specially driving in high speed conditions. Each function is programmed independently from the others, which offers convenience of system modification.

# CHAPTER 4 EXTEROCEPTIVE SENSOR DATA PROCESSING

## 4.1 Introduction

In this chapter, the data processing is demonstrated with road surveying results. The system is designed for online processing. Algorithm efficiency is the main concern. It has been designed with best optimization. Numerous tests have been carried out around Sydney and the aim was to verify the effectiveness and robustness of the proposed system. Three Dimensional spatial point clouds were collected by unitizing LADAR sensors. For road boundary detection, algorithm RANSAC has been applied for ground surface model fitting and finally boundary extraction. Remission values and range information from LADAR sensors are unitized for white line detection and road markers detection. In particular, a fuzzy logic filter is proposed for white line noise filtering. Clearance measurement of tunnels and bridges was achieved after ground surface and ceiling were modelled. Algorithms of road boundary detection, white line & traffic lane extraction, clearance measurement for tunnels and bridges, road surface markers extraction, 3D model & GUI construction and road roughness measurement are described in detail, and the test results for each section are also presented.

## 4.2 Road Boundary Detection

Exteroceptive sensor data in this chapter consists of LADAR range data and LADAR remission data. By composing speed in the vehicle moving direction, three dimensional point clouds of road surface and surroundings can be fulfilled. In the following, all mentioned functions are processed based on collected 3D points clouds and algorithms are presented in detail.

In this section, only range data generated by LMS-111 is utilized for road boundary detection. Detecting the road boundary in the first place would be helpful in detecting white lines and in constructing the 3D road surface profile afterwards.

The algorithm of road boundary detection is divided into three sections. Firstly, a polar coordinate system transfers to a Cartesian coordinate system based on LADAR sensors scan angle and angle resolution. In urban city, the curb model is classified into three cases (Figure 4.1) due to the specific sensors' installation.

Secondly RANSAC [32], a model-fitting estimator, is applied for road surface extraction. The Road surface is modeled as a linear function and its subset contains the most number of inliers. Outliers are treated as curbs or other unimportant segments. Curbs are consecutive to the two terminals of road surface, which makes curb detection much easier.

Thirdly, it is worth mentioning that any unusual holes and bumps between determined road surface has to be picked out and analyzed. Otherwise, it will result in incorrect curb detection. In the following, procedures of algorithm are described in detail.

The LADAR range data includes the scan angle ( $\alpha$ ), range ( $r$ ) and remission measurements. The  $x$  and  $y$  value of the corresponding point in Cartesian coordinates is transferred as the algorithm1:

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**ALGORITHM 1: INDIVIDUAL LASER MEASUREMENT**

---

1. Work out LMS111 scan angle range:

Starting from -135 degree to +135 degree, every 0.5 degree interval represents one scan point, hence, 541 total features. The scan angle range can be expressed as follow:

$$\alpha = -0.75 \times \pi : \pi / (180 \times 2) : 0.75 \times \pi;$$

2. As the distance ( $r$ ) of every scan point is known to LMS111 and the corresponding scan angle, Polar coordinates can be easily transformed into Cartesian coordinates:

$$x = r \times \cos(\alpha); y = r \times \sin(\alpha);$$


---

Due to the configuration of sensor installation (Figure 3.3) and its scanning angle (Figure 3.5), three different curb detection cases were found (Figure 4.1) in the outdoor experiments in Sydney.



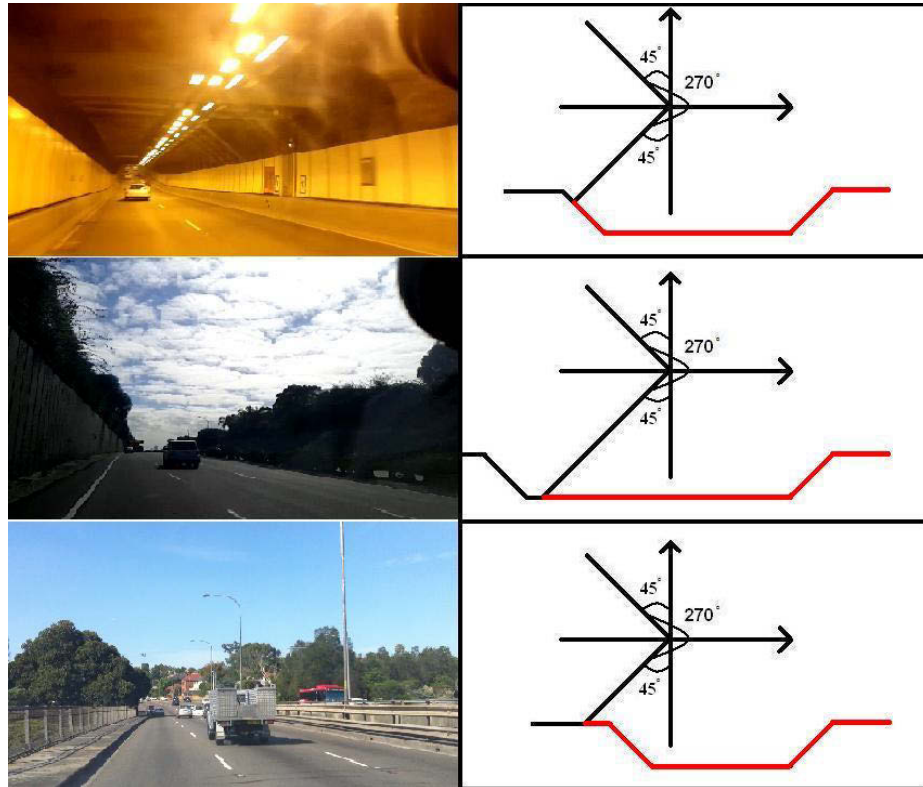


Figure 4.1: Three cases of curb detection, area marked by red were detected by LMS111

Note the right hand side of Figure 4.1, which shows LMS111 scanning starts from left bottom to left upper with a range of  $270^\circ$ . On the top of Figure 4.1, the sensor can only detect partial left boundary. In the middle case, it hardly detects any of the left boundaries. In the bottom case, the left curb can be clearly detected. In this section, the main focus is on the lower half data with range of  $135^\circ$ , which includes ground surface and curbs features.

The curb detection algorithm was constructed as following: firstly, two points RANSAC method is employed to detect actual ground surface. Each scan of the ground surface can be modeled as a linear function,  $y = k*x + b$ , where  $k$  is the gradient of the ground surface and  $b$  is the intersection with the vertical axis. As range data of ground surface and curbs were the key features that we are interested in, a set of 271 out of 541 features were selected and utilized for ground and curbs modeling. The outline of Ground Surface Detection is given in Algorithm 2. In this case, ground features occupy a great proportion of the selected set. Hence, ground surface can always be successfully detected using Algorithm 2. Once ground surface features are extracted, the two terminals of ground surface can be used to detect left and right curbs.

## ALGORITHM 2: GROUND SURFACE DETECTION

---

1. Initial: let  $A(271)$  be a set of  $N(541)$  feature correspondences
  2. Repeat
    - 2.1 Randomly select a sample of 2 points from  $A$
    - 2.2. Fit a linear model using 2 random points
    - 2.3. Compute the distance of all other points to this model
    - 2.4. Count the inliers (i.e. number of points whose distance from model  $<$  threshold  $d$ )
    - 2.5. Store inliers, inliers index and coefficients of model
    - 2.6. Until maximum number of iterations reached
  3. Choose the coefficients of model and inliers index with the most inliers as a best solution of the problem
- 

However, under some circumstances, such as experimental vehicle driven on a bi-directional high way, would fail to detect ground surface and curb on a driveway. As an example given in Figure 4.2, inliers index of ground surface are discontinued due to interruption of a curb (width: 1.04 meter and height: 0.26 meter) in the middle. In order to precisely detect ground surface and curb on a driveway, inliers index containing discontinued sections have to be picked out and analyzed.

Continuous utilizing of the two points RANSAC method was used to identify the left hand-side curb. As the left terminal of the ground surface has been explored, all features on the left of left terminal can be formed as a new set and initialized in Algorithm 2. A special case is shown in the middle of Figure 4.1; left terminal of ground surface is the first data of entire set and the left curb is out of scan range.

Finally, applying a similar technique for left curb detection on right curb detection is still effective. However, a set containing features from the right terminal of ground surface to the end of the entire set is much more complicated to detect. Various features, such as curbs, pavements, protective barriers and walls may be involved in this set. Moreover, the right curb model usually does not have the most inliers to be selected as a best solution. Hence, a few constraints are set to resolve the problem: 1) Inliers index has to involve the right terminal of ground surface. 2) Inliers index has to be consecutive. 3) Certain gradient changes between curb and ground.

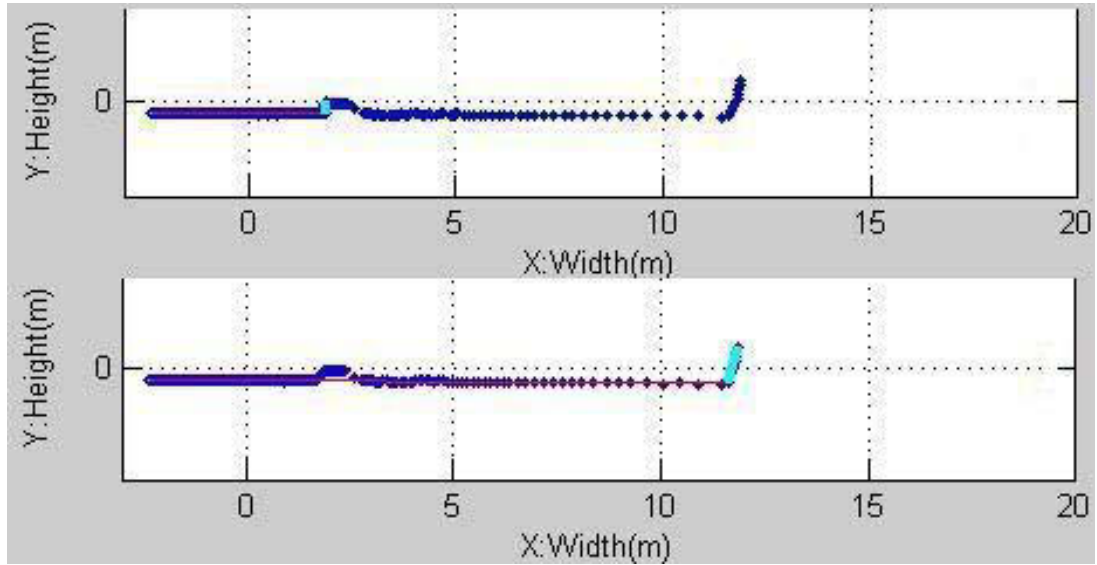


Figure 4.2: Bi-directional high way divided by a curb. Top figure indicates left side road surface (red) and the curb (cyan) in the middle, and bottom one indicates left side road surface and curb.

Although, this algorithm is suitable for most cases of the boundary detection problem, some cases will result in failure on right curb detection. For example, gradient changes between right curb and ground are not obvious and features of right curb are rare (i.e. 2 or 3) while driving on a highway with multi-lanes (equal to or greater than three lanes).

### 4.3 White Line and Traffic Lane Extraction

In this section, remission and range data are applied to detect white lines and achieve 3D surface model. As mentioned, white line has significantly higher remission value than road surface. Hence, sharp ripples can be observed easily when white lines are detected (Figure 4.3). Algorithm 3 shows the white line detection algorithm, potential white lines (which consist of noise and real white lines) are detected and stored applying the first three steps and noise will be filtered in the last step.

The purpose of white line detection is for firstly traffic lanes division and then clearance measurement of each lane for tunnels or bridges. For good road condition, road surface is smooth and white lines are distinct, which makes white line detection and lanes division much easier. However, for poor road condition, white lines are indistinct, which increases difficulties of lane division. In this case, any missed segment of white lines has to be predicted based on the previous and later state. Road

width calculated from road boundary detection can be one condition for white line estimation.

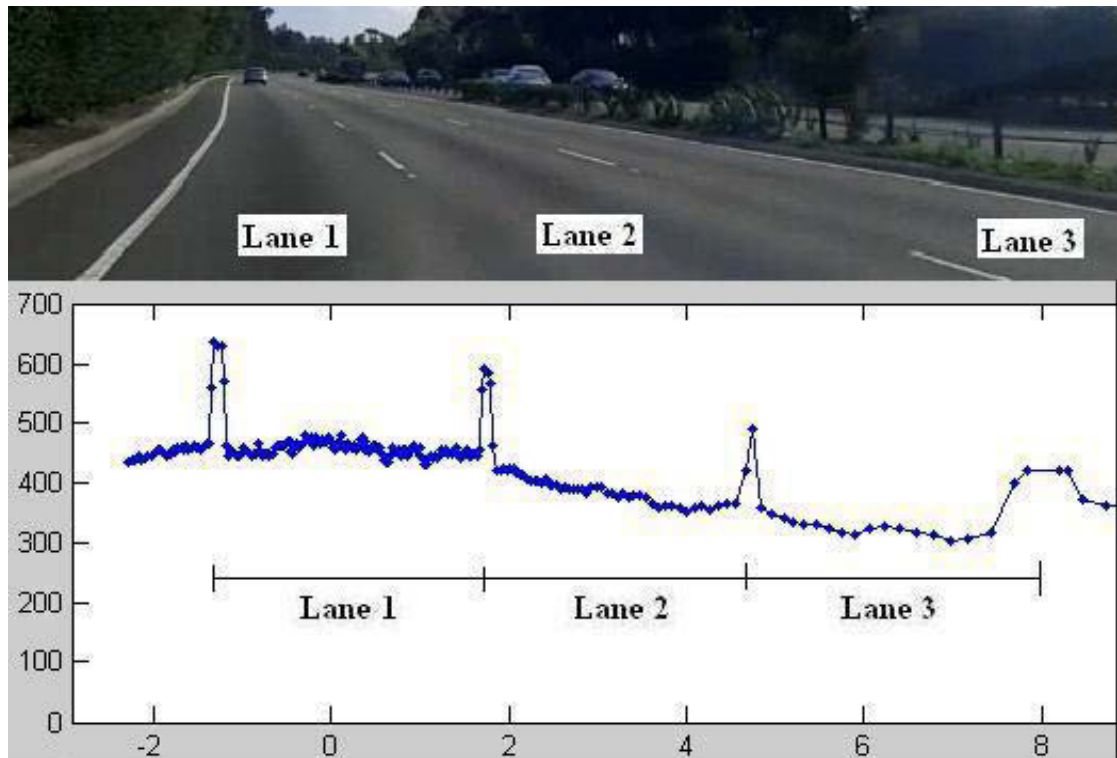


Figure 4.3: Remission value of white lines, horizontal axis is horizontal distance (in meter) of feature to sensor and vertical axis is corresponding remission value of feature

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### ALGORITHM 3: WHITE LINE DETECTION

#### 1.1 Search for remission rising position

if  $(\text{decAll}(i,j) - \text{decAll}(i,j-1)) > \text{rem\_threshold}$   
 Record its remission rising position ( $\text{rise\_pos}$ ).

#### 1.2 Search for remission falling position

if  $(\text{decAll}(i,j+1) - \text{decAll}(i,j)) < -1 \times \text{rem\_threshold}$   
 Record its remission falling position ( $\text{fall\_pos}$ ).

#### 1.3 Record potential white line

if  $x(i, \text{fall\_pos}) - x(i, \text{rise\_pos}) < \text{width\_threshold}$   
 Record range data between rising and falling position.

#### 1.4 Filter noise between white lines

First remission rising position used as initial point and estimated lane width used as a threshold to filter noise between white lines.

Where  $\text{rem\_threshold}$ : threshold of remission value;

$\text{width\_threshold}$ : threshold of white line width.

---

The results of white line detection and overall road boundary detection are shown in Figure 4.4 and Figure 4.5. Two figures indicate two lanes and three lanes of ground profile respectively. Plots and image capture in Figure 4.4 were collected at off-peak time in the Moore Park, Sydney tunnel. Hence, very few cars went through the tunnel and none of them blocked the sensors' view. On the left hand side of Figure 4.4, note that many small white spots and two sewer covers are detected, which are regarded as noise. On the right hand side of Figure 4.4 is the result of applying the filter technique which was mentioned in Algorithm 3. The right boundary is higher than the left boundary in this case because of sensors installation.

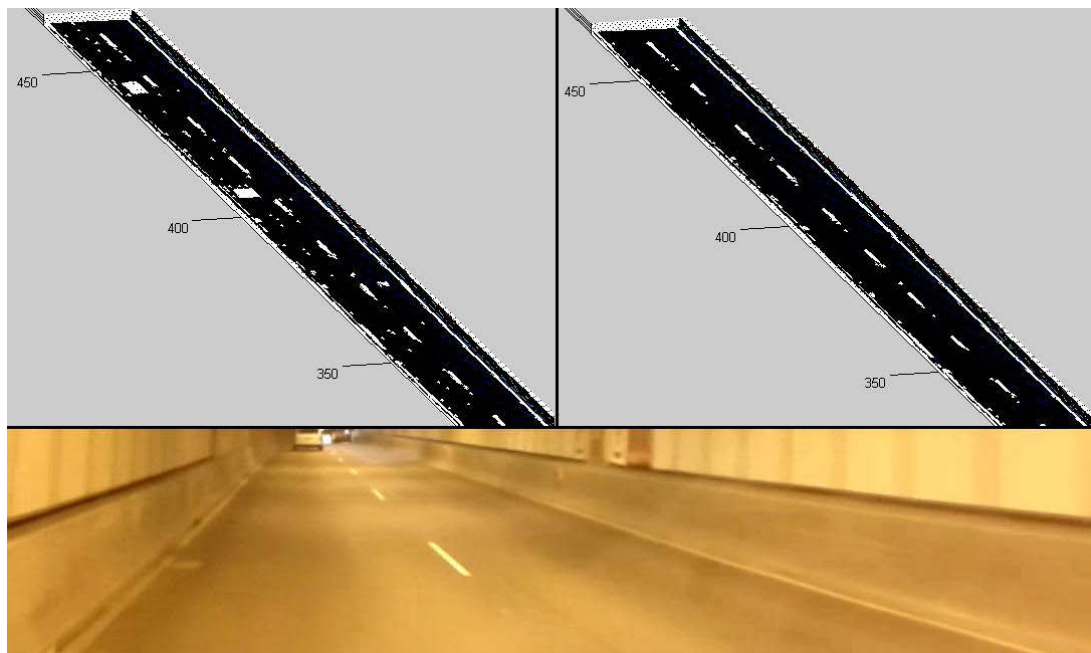


Figure 4.4: White lines on two lanes ground surface. Left: before filtering; Right: after filtering

Plots and image capture in Figure 4.5 were collected at off-peak time in Southern Cross Drive, Sydney. Hence, no vehicles blocked the sensors' view in the duration of data collection. It shows a similar case as Figure 4.4. However the difference between these two is the left boundary in Figure 4.5 was left undetected, because of the sensors installation configuration and sensor's scan angle range of  $270^\circ$ . Besides, features from the most right lane become sparse as they are far from sensors, which increases the difficulty of right curb extraction.

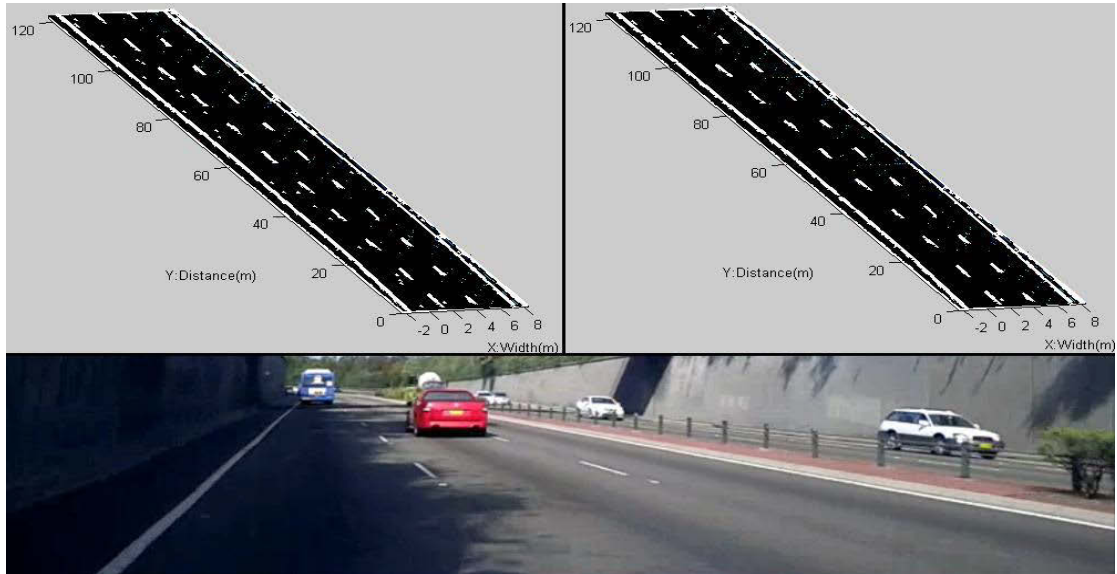


Figure 4.5: White lines on three lanes ground surface; Left: Before filtering; Right: After filtering

Figure 4.4 and Figure 4.5 shows two traffic lanes division and three traffic lanes division respectively. Due to the sensor's installation (Figure 3.5) and configuration (0.5 degree angle resolution of LMS111), the density of LADAR data from the far right hand-side are much smaller than the density of data close to the sensors. It does mean that curbs become hard to extract from entire features if there are more than three lanes. Although, a traffic road with more than three lanes is not common in Australia, a good solution for solving this case is still a high priority.

Furthermore, although the algorithm of white line detection is perfectly compatible with regular traffic lanes division, irregular traffic lanes cause a problem for precise white line detection as the width of a traffic lane is unpredictable. An irregular lane can be explained as width of lanes change constantly; it happens for example in a merging lane. If the experiment is being conducted in this situation, noise between white lines is hard to determine. Hence, this case is needed to be taken into account in the next step of this research.

#### 4.4 Road Surface Markers Extraction

Road surface markers deliver important messages to road users. Their conditions deteriorate due to rain fall, sunlight and tyre friction. The road surface markers surveying algorithm utilizes LADAR remission and position data to quantify the surface markers healthiness.

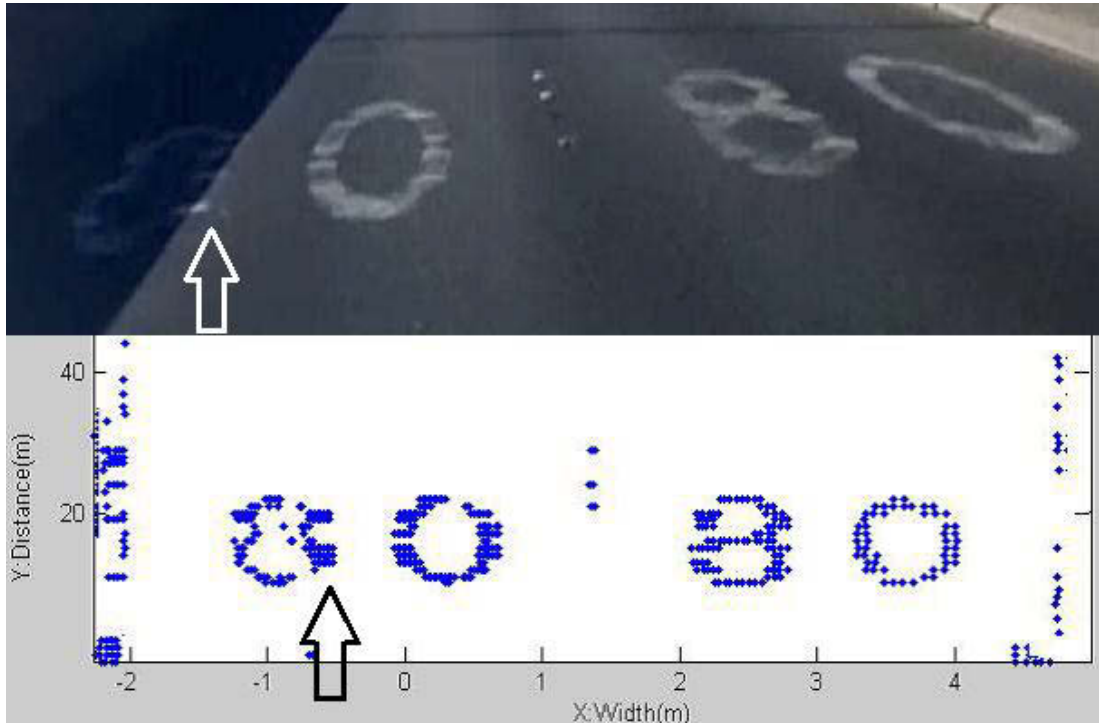


Figure 4.6: Remission data processing result of marker

Road surface markers have higher remission values than road surface due to the reflective materials used to paint them. Therefore Algorithm 3 without the last step can be also applied on road surface markers extraction.

Figure 4.6 and Figure 4.7 illustrate the tests that were carried out for road surface markers. Top of Figure 4.6 shows the images of speed limit of 80 km/h; bottom is the surveying result of the speed limit sign. Note the white arrow pointing at the shadow on the top of Figure 4.6 and black arrow pointing at fading “80” sign on the bottom of Figure 4.6. This result demonstrates the ability of the system to survey surface markers independently.

Figure 4.7 shows the detection results of another road marker. “ED ONLY” means Eastern Distributor Motorway only. White arrows point out the fading markers on the top of Figure 4.7. Fading markers’ remission values are below the threshold value that the system defines and detects. The detection results (black arrows) are consistent with the visual reference. This quantification method is able to detect markers fading at any time of the day, independent to ambient light sources.

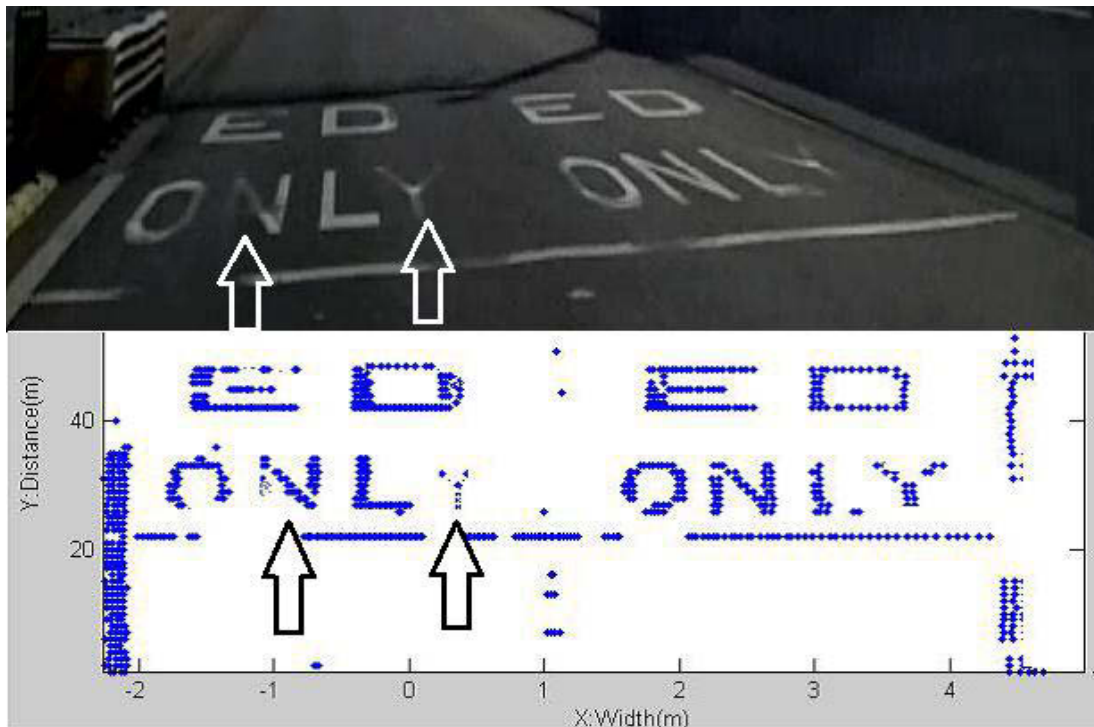


Figure 4.7: Remission data processing result of marker

The proposed system also has a built-in function to monitor this road surface markers' abnormality. Once the system detected fading or unclear markers, a flash warning signal was given on the data acquisition software along with an audible warning. In the meantime, coordinates of the markers' location are recorded and later on will be matched with digital maps. This function is valuable for road authorities to achieve efficient road monitoring and management.

#### 4.5 Clearance Measurement for Tunnels and Bridges

Road assets clearance surveying is one of the most important aspects of road safety. Over-height vehicles are often involved in bridge strike accidents with serious consequences. The proposed system can resolve shortcomings of traditional manual surveying, such as time consumption, causing traffic disruptions and being subject to human errors.

LMS-111 scans both the overhead structure and the ground. Combined with the IMU data, a cross-section plot is built perpendicular to the ground. Both LMS-111 and LMS-400 data are plotted in the same coordinate system and interpolation of the data is performed if necessary. LMS-111 data is processed for the cross-section build-up



and 3D modelling, whilst LMS-400's more intense scans are used for a detailed surveying of the minimum height and lower height structure inspection. Then the clearance of each lane in a single cross-section is detected by finding the minimum distance between the points of road surface and the corresponding ones of over-head structure. The final road clearance of each lane is the minimum one of the values of all the cross-sections scanned. To make the clearance surveying reliable and effective, only the minimum height presented within the boundary of traffic lanes is extracted.

Most tunnels in NSW are between 4.4m to 4.6m, and bridges are slightly higher, at 5.1m to 5.3m [50], [51]. LMS-400 has a maximum range measurement of 3.0m; it was designed to scan upward (see Figure 3.3). As the aluminium integrated sensors frame (ISF) was mounted at the height of about 2.3m, LMS-400 is able to scan objects in details with height up to 5.3m.

In order to verify the effectiveness and robustness of the clearance measurement algorithm, various tests of tunnels, bridges and steel bridges clearance measurement have been conducted. There are a few significant differences among the three types of infrastructure. For tunnels, it is in a totally closed environment and ceilings are constructed in an irregular shape. Boundaries and ceilings of tunnels are distinguished by having a wall on both sides. Besides, two directional driveways are isolated in tunnels. Hence, the complexity of clearance measurement for tunnels is the easiest among the three types of infrastructure. For bridges, it is in semi-closed environment and the right hand-side is open (surveying objects on the right hand-side are out of LMS111's scan range). The complexity of bridges' clearance measurement is in a median level among the three. For steel bridges, the complexity of steel bridges' clearance measurement increases and ranked the highest among the three. Not only its right hand-side is open, but also the over-head of steel bridges is not sealed and is constructed in certain irregular patterns. So far, the algorithm has been successfully implemented on these three types of infrastructure. The results will appear in Table 4.1.

An example of an Advanced Protective Barrier (APB) before the entrance of the tunnel with marked clearance 4.4m is shown in Figure 4.8. The survey covered both the APB and the tunnel. The reason to survey the APB is to check if the clearance of

the protective barrier is as the sign indicated, and then to compare it to the actual tunnel clearance.

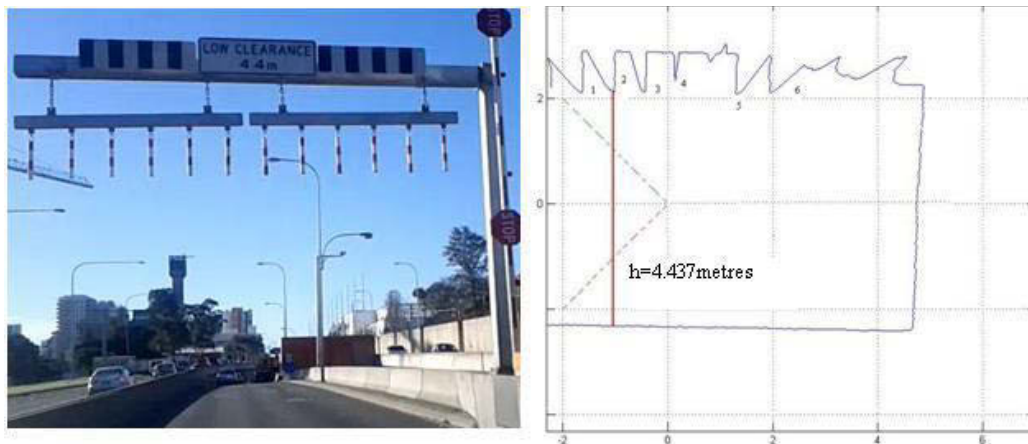


Figure 4.8: Advanced Protective Barrier cross-section

The cross-section plot in Figure 4.8 shows that the surveyed minimum height of the APB is 4.43m, which matches the marked clearance, and this result was consistent between the two LMS sensors. Also it should be noted that the actual APB has twelve hanging poles; however, only six poles are clearly plotted in the cross section scan. The reason is that some poles block the others from the vision of LMS sensors, and not all of them are in the same plane that parallels to the scan plane. This phenomenon should not impact on the accuracy of APB surveying, as lower poles are accurately surveyed. It is noticed that although the width of the road is for two lanes, there is no lane separation marks on the road. Therefore the Data Processing Algorithm (DPA) GUI treats this road as a single lane.

Table 4.1: Clearance of three different surveying objects compared with marked clearance

Surveying Objects	Tunnel (Moore Park, Sydney)		Bridge (Southern Cross Drive, Sydney)			Steel Bridge (Rhodes, Sydney)		
	Left	Right	Left	Middle	Right	Left	Middle	Right
Number of Lanes	2		3			3		
Marked Clearance (m)	4.4	4.4	5.1	5.1	5.1	4.6	5.2	4.6
Measured Clearance (m)	4.55	4.53	5.30	5.44	5.49	4.66	5.31	4.87

The tunnel detailed surveying result is presented in Figure 4.10 and clearance results are summarized in Table 4.1. According to the IMU measurements and wheel odometry, the tunnel is descending and then ascending. The measured length of the tunnel is 465.23m. There are two lanes in the tunnel, and two individual clearances are extracted from the surveying data. The dotted white line in Figure 4.10 represents the actual white line in the middle of the road that separates the two traffic lanes.

Two cross-section plots in the DPA GUI present the two sections in which minimum clearance is measured for each lane. Figure 4.10 shows the details of cross section plot at the clearance point of the right lane on the right, and a photo of the tunnel on the top left. A red and blue line in the figure points out the bulge that causes the minimum clearance and its relative position on the ground.

The surveying results show that the minimum clearance of the left lane is 4.55m. It is located at the point 19.93m from the entrance and 1.81m from the road left border. The minimum clearance of the right lane is 4.53m, and is located at the point 82.60m from the entrance and 6.23m from the road left border.

The surveying results show that the marked clearance of APB with 4.4m is consistent with the surveyed result. The tunnel's clearance is 4.55m on the left lane and 4.53m on the right lane. Although the surveyed clearance of the tunnel is slightly higher than the sign marked 4.4m, it is safe for vehicles under 4.4m to pass.

Several bridges were surveyed during the test. A pedestrian bridge over the Southern Cross Drive is taken as an example. The measured clearance of the left lane is 5.30m, at the point of 7.99m from the beginning of the bridge and 0.88m from the left border. For the middle lane, the clearance is 5.44m, at 7.99m from the beginning and 3.94m from the left border. The clearance of the right lane is 5.49m, at 7.64m from the beginning and 7.04m from the left border.

Note that in the clearance cross-section plot of the pedestrian bridge over two directional drive ways, only half of the bridge can be plotted as measurable by the LMS111 sensor. This is due to the fact that the LMS111 sensor could only pick up a 10m range with 13% remission value [46]

Comparing the measured clearance (the testing results) and marked clearance (clearance marked on signs before entering into tunnels or bridges) for three surveying objects, the measured clearance of the tunnel and the steel bridge is in better agreement than for that of the bridge. The reason for this disparity could be that surveyors take clearance measurement beyond the white line into account. As the bridge has an arc shape, the clearance within the white lines would be definitely higher than the clearance beyond the white lines. More importantly, it is necessary to keep the actual road clearance higher than it is indicated, so as to ensure vehicles up to the height-limit drive through safely.

In the current stage, reasonable clearance measurement results of three types of surveying objects have been made. However, the actual accuracy of clearance measurement results is still unknown. Marked clearance sometimes is not identical to the actual clearance due to the deformation of infrastructures and other issues. Due to time constraints, I have not gotten in touch with the RTA at this stage. Hence, the next step of this part of the research is to contact the RTA for verifying the actual accuracy of measured clearance results. Finally the current algorithm of clearance measurement is capable of working under the particular situation that no vehicle is blocking the right hand side of the sensors on the right-hand side of sensors. Any area blocked by other vehicles is hard to predict. Hence, another potential improvement on this algorithm is to find a possible way of predicting data in a blocked area with high accuracy. The significance of this improvement is that it will make the proposed system work on both traffic peak time and off-peak time.

#### **4.6 3D Model and GUI Construction**

The purpose of constructing a 3D model is to provide a genuine view of road assets and the reason for constructing a GUI is to integrate all functions into one interface to finally present comprehensive surveying results. In the following, the way to construct a 3D model and a GUI will be presented in detail.

After combining the road boundary detection and white line detection algorithm, the basic road profile is now available. Roll-pitch-yaw motion data from IMU and instant speed data from odometer, which applied on previous road profiling, are used to generate 3D road surface model.

A rotation matrix is produced based on roll-pitch-yaw information, which gives the transformation of scan data [52]:

$$R(\alpha, \beta, \gamma) = R_z(\alpha)R_y(\beta)R_x(\gamma) = \begin{pmatrix} \cos \alpha \cos \beta & \cos \alpha \sin \beta \sin \gamma - \sin \alpha \cos \gamma & \cos \alpha \sin \beta \cos \gamma + \sin \alpha \sin \gamma \\ \sin \alpha \cos \beta & \sin \alpha \sin \beta \sin \gamma + \cos \alpha \cos \gamma & \sin \alpha \sin \beta \cos \gamma - \cos \alpha \sin \gamma \\ -\sin \beta & \cos \beta \sin \gamma & \cos \beta \cos \gamma \end{pmatrix} \quad (4.1)$$

Where:  $\alpha$  is yaw;  $\beta$  is pitch;  $\gamma$  is roll.

Each scan data generated by LMS111 can be transferred into a global coordinate system. Figure 4.9 shows the 3D road surface model in a tunnel. An enlarged short section of the tunnel is also presented in Figure 4.4.

However, using IMU alone to obtain attitude would cause inaccurate trajectory of the road surface, because the error of IMU will be accumulated over time. To minimize the error issue, a visual odometry method will be introduced into the system in the next section.

Road 3D models include name, data of surveying, clearance of traffic lanes and conditions of road assets. Road 3D modelling helps the Roads and Traffic Authority to construct a database and, integrated with Geographic Information System (GIS), to monitor and manage road assets.

The lowest clearance point of each lane is indicated in the 3D model and presented in the GUI. The 3D model delivers the most intuitional profile of surveyed objects, and includes lines on the road surface and the location of clearance points. As an example of the 3D model is shown in Figure 4.9, image frames are extracted from camera and displaced on the top left part of the GUI. The purpose of embedding video frames to GUI is firstly to provide video reference for features verification. Secondly, video frames can be used for gaining PVA information. Specially, in GPS denied environments such as tunnels, visual odometry is a very good solution, substituting for the absence of GPS information. In chapter 5, visual odometry of gaining PVA will be introduced.

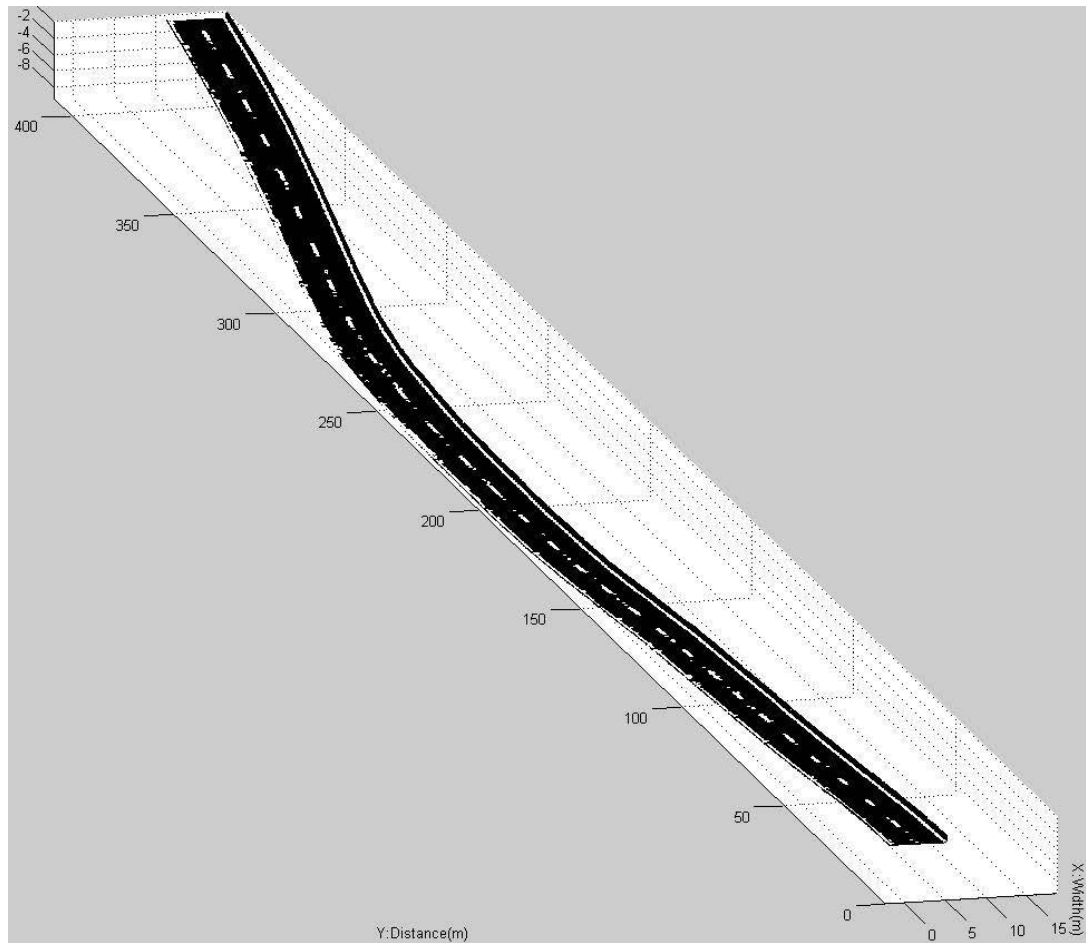


Figure 4.9: 3D Road Surface model of a tunnel

The bottom section of the GUI displays an overview map of the air view and side-looking view respectively while a zoom-in air view is processed on the left bottom part of the GUI. The reason for arranging a zoom-in air view is to make sure road surface condition can be clearly observed. The position of current minimum height of each lane is indicated and marked in different colours in both air view plotting and side view plotting. It presents real-time spatial view of clearance, which offers greater assistance for surveyors to get clearance information in minimum time.

In the middle top of the GUI, cross section of clearance measurement is plotted. Number of lanes is worked out automatically in the data processing algorithm. At the same time, the exact same number of cross section windows is presented for displaying clearance measurement results. Any latest minimum height result of surveying object is updated automatically in the text editing area where is located under the cross section window. In addition, clearance positioning information is also calculated and displayed in the text area.

A few buttons on the most right hand-side are developed for operation convenience. All well-prepared data (LADARs data, video stream and navigation data) are able to be loaded once using Load files button. Any faulty data and format will be alarmed and prohibited. On the right hand-side of load files button, a short text content is proposed to indicate the loading state whether it is complete or incomplete, and then to prevent any improper operation during the incomplete loading state. After data loading, types of surveying objects (such as tunnels and high ways) and number of lanes is determined automatically according to their characteristics. A radio button is embedded in the GUI, which is an optional record button for converting GUI frames into a movie. The advantage is that it saves time for the next time review. However, a disadvantage is still worth noting, which is the time consumed in data processing. The PLAY VIDEO button is designed only for video stream displaying. Video frames in the GUI are consistent with LADADs' data by matching their clock. The PLAY/PAUSE button is implemented for presenting road asset animation and results. The function of the REST button is to clear all input data information and existing GUI frames after the animation is paused. In a word, the proposed GUI is acting as a data media player, which is designed with the particular specification and requirement developed in this study.

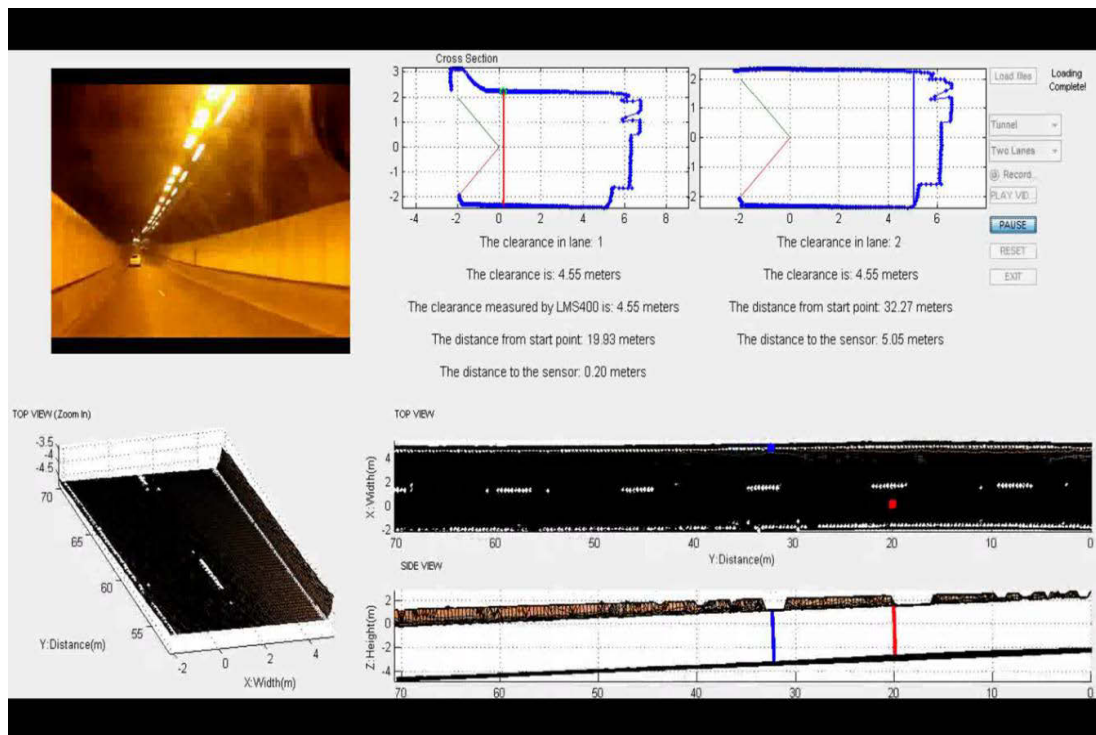


Figure 4.10: Road 3D modelling in GUI, Eastern Distributor Motorway tunnel, Sydney

A GUI in Figure 4.10 demonstrates a road asset result in the Eastern Distributor Motorway tunnel, Sydney, which integrates functions mentioned above. The proposed system is designed for on-line processing. It is able to deliver direct profiles and comprehensive road asset results immediately while driving for experimental road tests.

Although the proposed GUI has been developed in a user-friendly interface, there is still some room for further improvement in the future, such as adding a play time line in the GUI. Users can watch any animation moment which they are interested in by selecting the play time.

#### **4.7 Road Roughness Measurement**

In the road roughness measurement section, the condition of the road surface is a major concern. In order to obtain more detailed road surface roughness results, the LMS 400 with higher frequency was rotated 180 degree to look downwards and the ISF was modified based on the first design.

Road roughness measurement over longitudinal road profiles is used to determine road surface quality. Road Roughness Measurement can be also expressed in terms of index like the International Roughness Index (IRI), which quantifies the tolerability of road roughness at different speeds [53].

The IRI is a cumulative measurement of changes in elevation or roughness [54]. It is expressed in dimensionless units of mm/km or in/mi. Appendix A and Appendix B shows roughness scales from ASTM E1926-08 that correlates IRI (mm/km) values with estimations of ride quality.

The IRI value is calculated based on data from LADAR sensors. Road surface profiling using the proposed system to generate a dense point cloud in three dimensional spatial coordinates (Figure 4.11) delivers accurate raw data for roughness measurement. The scope of this section is the implementation of the IRI and its incorporation into the existing mobile surveying system.



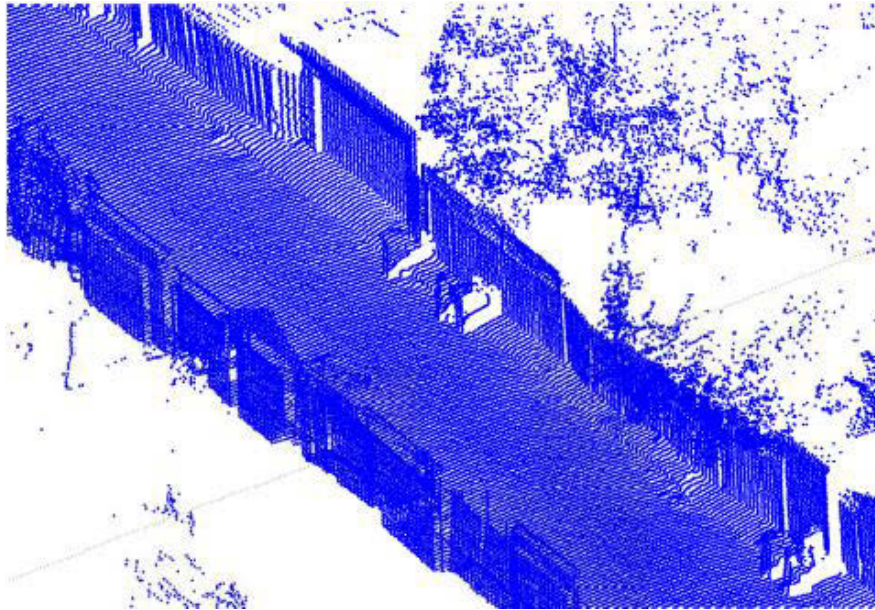


Figure 4.11: 3D point cloud generated from LADAR sensor

Figure 4.12 shows a reference video snap shot, which can be used to verify the correctness of 3D point clouds of road asset information. Some key elements, such as rubbish bins, trees and garages can be clearly seen from Figure 4.11, which is identical to the elements in Figure 4.12. The only difference between the LADAR image and the video image is that the LADAR emission cannot reach behind rubbish which leaves a huge blank.



Figure 4.12: Reference video snap shot for 3D models verification

While the RTA may require longitudinal profiles generated from wheel paths perfectly centred within road lanes (Figure 4.13), more realistic roughness measurements may be additionally calculated using arbitrary wheel path lines through corners to better simulate realistic driving behaviour using bend radius and/or camber information (Figure 4.14).

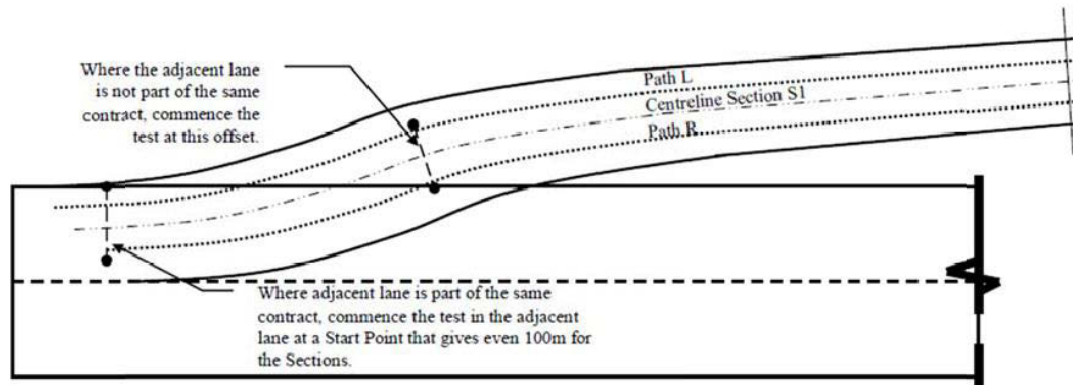


Figure 4.13: Longitudinal profile lines required by the RTA

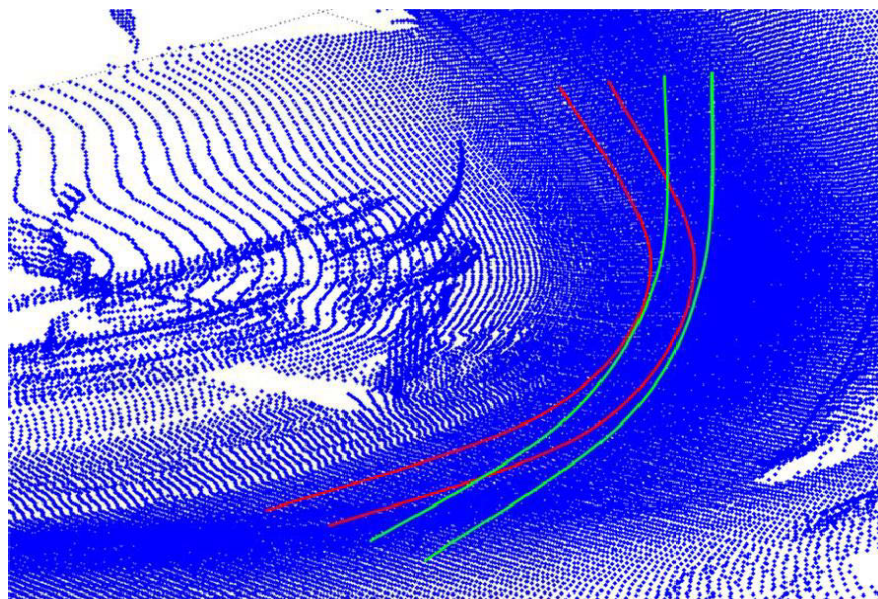


Figure 4.14: Different wheel paths through the same road curve give different profiles

The sample FORTRAN program defined in ASTM E1926-08 has been translated into Matlab code for ease of code interfacing and integration. The parameters of this algorithm are based on ‘golden car’, which takes regard of a reference point for calibration [28, 29]. Detailed Matlab code can be seen in Appendix C. The effectiveness of translated Matlab code has been successfully verified using the sample profile data provided.

The current roughness profile algorithm focuses on longitudinal profile data at the vehicle wheels position. Extracting any number of profile lines from a 3D dense point cloud can be an easy task and the algorithm can be varied without changing any structure. The multiple profile lines may be developed as necessary (Figure 4.15).

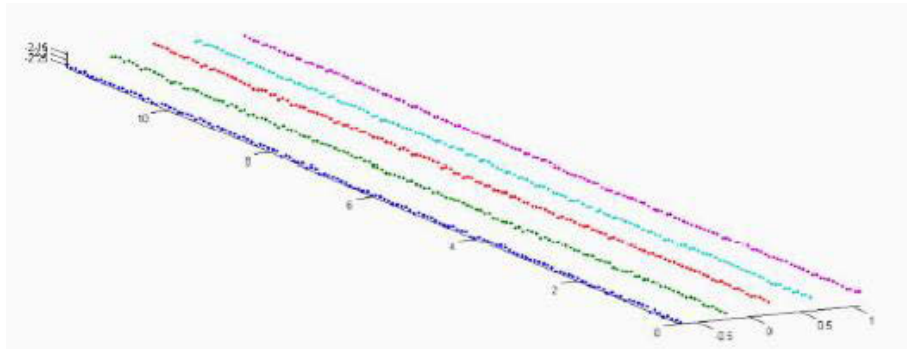


Figure 4.15: Multiple profile lines for roughness measurement are extracted as necessary

Tests have been conducted on in various road surfaces, such as asphalt, concrete and gravel. The asphalt surveying tests were conducted around a residential loop around Jellicoe Park, Pagewood NSW with a perimeter of about 1000m (Figure 4.16). The testing vehicle aimed to maintain speeds of 20, 30, 40 and 50 km/h.



Figure 4.16: Site of asphalt survey test, Jellicoe Park, Pagewood NSW

The concrete surveying tests were conducted around a small park lot at Heffron Park, Maroubra NSW (Figure 4.17) with a perimeter of about 300m. The testing vehicle aimed to maintain speeds of 20, 30, and 40 km/h.



Figure 4.17: Site of concrete survey test, Heffron Park, Maroubra NSW

The gravel surveying tests were conducted along a four wheel drive access road along Warumbul Road, Bundeena NSW with a length of about 1.4km (Figure 4.18). The testing vehicle aimed to maintain speeds of 10, 20 and 30km/h.



Figure 4.18: Site of gravel survey test, Warumbul Road, Bundeena NSW

By only looking at roughness of asphalt, concrete and gravel from images showing in Figure 4.16 to Figure 4.18, the first conducted test on asphalt road surface has the best road condition, while road condition of concrete surface ranked in the middle

and gravel is the worst road surface among three test sites. In the experiment of travelling on three test sites, the feeling of travelling on the asphalt surface is steady and smooth. However, the experience of travelling on the concrete surface is that the vehicle shook occasionally as chasms and cuttings exist. The worst experience was travelling on the gravel surface. Vehicle shook seriously all the way in the gravel tests in all the way of gravel tests. Hence, the roughness estimation by looking from images is consistent with the experience of travelling on sites. The next step is to work out IRI values and quantify the roughness of three types of road surfaces.

The algorithm provides different IRI roughness scales for different surfaces, such as concrete, asphalt, gravel and earth. The scales indicate what types of speeds are comfortable for a given road based on a calculated IRI value. Currently, the algorithm is applied only on left and right of wheels position. Detailed plotting results are attached in Appendix E. Summarized results of IRI values for different surface profiling at different speeds are given as follows:

Table 4.2: IRI values for asphalt surface profiling

Speed (km/h)	Average Sampling Interval (m)	Section Length (m)	IRI Left (m/km)	IRI Right (m/km)	IRI Average (m/km)
20	0.116	100.04	2.36	2.01	2.19
40	0.157	99.96	4.04	4.52	4.28

Table 4.3: IRI values for concrete surface profiling

Speed (km/h)	Average Sampling Interval (m)	Section Length (m)	IRI Left (m/km)	IRI Right (m/km)	IRI Average (m/km)
30	0.160	100.03	8.31	8.70	8.51
40	0.174	100.08	7.31	7.46	7.39

Table 4.4: IRI values for gravel surface profiling

Speed (km/h)	Average Sampling Interval (m)	Section Length (m)	IRI Left (m/km)	IRI Right (m/km)	IRI Average (m/km)
20	0.084	100.00	30.22	32.66	31.44
30	0.143	100.00	14.05	14.41	14.23

In Table 4.2, quite small average IRI values are calculated at different speeds which means people can have a comfortable ride up to more than 90 km/h (Appendix A) on this sampling asphalt surface. By contrast, average IRI values are extremely large in Table 4.4 as people have a comfortable ride up to a very limited speed (Appendix B) on this sampling gravel surface. In Table 4.3, average IRI values on concrete sampling surface are approximately two times larger than the average IRI values on asphalt surface. People would ride comfortably up to 50-60 km/h in this situation. The reason which causes this result is that there are many obvious cuttings between single concrete sections.

#### **4.8 Summary**

This chapter presented a novel method for automatic road clearance surveying, road surface profiling, 3D structure modelling, road boundary detection and road roughness measurement. It gives road maintainers the power to survey road clearance without a hazard to labour and disrupting traffic. With sensors fusion technologies and data processing algorithms, 3D models of bridge and tunnel marked with the lowest point of each lane are constructed. Even under ambient interference, surveying data still can be processed to obtain a road clearance result. Moreover, the proposed system is adapted with modular design; new components can be added into the system easily.

The road assets' clearance is acquired by combining LADAR range and INS attitude data. The road surfaces conditions are detected by examining the LADAR range and INS acceleration data. Healthiness of road surface markers is determined by processing images, LADAR remission and INS data, while road boundary detection is completed by advanced filtering algorithm. Road assets 3D model is constructed in the GUI for visualization of surveying results and management of database. Longitudinal profiles were generated from dense point cloud 3D model data by simply extracting points falling beneath the wheel tracks, and averaging their relative heights to reduce to a single left and right wheel profile value for each scan line. Results of real environment road tests under different conditions have demonstrated the capability and robustness of a prototype surveying system.

There is much potential that surveying system could be improved. A GPS, IMU and Odometer integrated navigation system will provide much better position and attitude information to improve the system performance. Cooperation with roads and traffic authority is expected to test the system for robustness and feasibility evaluation.

# CHAPTER 5 VISUAL ODOMETRY

## OPTIMIZATION

### 5.1 Introduction

During the past few driving tests in the urban area of Sydney, GPS signal became unreliable when the experimental vehicle was driven in some closed areas, such as tunnels. Hence, it was decided to introduce visual odometry as a backup method for obtaining continuous and accurate PVA information into the proposed system. Visual odometry is composed by feature detection, feature matching, robust estimation of fundamental matrix and camera pose optimization.

SURF [55] method has been utilized for feature detection and matching. RANSAC has been used for about thirty years as the benchmark method for model fitting in the presence of outliers, as well as an estimation method of fundamental matrix.

It estimates a model that fits the provided data, while simultaneously classifying the data into inliers (samples consistent with the relation) and outliers (samples not consistent with the relation). It is a simple yet powerful technique that can estimate a model using data contaminated by a large fraction of outliers. RANSAC can be briefly summarized as a hypothesize-and-verify framework: a minimal subset of samples for model fitting is randomly selected from the entire dataset. The subset is then used to fit model hypotheses which are evaluated on the entire dataset by computing the distance of all other samples to this model and constructing an inliers' set with a threshold. This hypothesize-and-verify loop is repeated until the probability of finding a model with better consensus than the current best model falls below a predefined threshold.

The principle steps of RANSAC can be summarized as: 1) randomly select a set of samples from all the samples; 2) fit a model hypotheses with the selected set of samples; 3) compute the distance of all other points to this model; 4) construct the inliers set with a distance threshold, compare its inliers count to the previous highest one and store the results. Steps 1 to 4 are repeated until a pre-set threshold of iterations is reached. The set with maximum number of inliers is chosen, all these inliers are used for model parameter estimation [32].



For generality and simplicity, RANSAC is based on a set of assumptions, which are not true in many real situations. This leaves large room for improvement. In this section, as part of my contributions in this research, new algorithm named PURSAC (PURpositive Sample Consensus) for model fitting is introduced, which purposely selects sample sets according to the sensitivity analysis of a model against sampling noise, and other information that can be used for purposive selection. PURSAC is a strategy for sample sets selection, which can be combined with any model fitting algorithms that use random sample sets selection. Comparing to RANSAC and MLESAC (maximum likelihood estimation sample consensus), PURSAC can detect more inliers with much fewer number of iterations and in turn can improve both the efficiency and reliability of model fitting. This is very important for applications where speed and precision is critical, such as visual odometry (VO). With analysis about a model's sensitivity against sampling noise and the pattern of samples' validity, PURSAC is designed to efficiently handle both sampling noise and outliers for model fitting.

Experimental results with publically available data demonstrate that PURSAC can be easily combined with existing model fitting algorithms, and detect more inliers with a lesser number of iterations. In visual odometry this in turn can improve the accuracy and robustness of fundamental matrix estimation, resulting in a more precise and efficient visual odometry result.

## **5.2 Justification of PURSAC in Visual Odometry**

In GPS denied environment, visual odometry is utilised as a backup method for obtaining continuous and accurate PVA. The proposed method, PURSAC, is developed based on RANSAC which has been used for about thirty years as a benchmark method for model fitting in the presence of outliers. As one component in Visual Odometry, it plays the significant role of acquiring PVA information. Hence, a robust estimation method delivers an accurate PVA result which is beneficial to the proposed surveying project. This section presents the justification of the purposed estimation method, PURSAC.

The RANSAC scheme is a fundamentally stochastic algorithm without deterministic guarantees of finding the global maximum of the likelihood. It finds the best

hypothesis from some particular set of hypotheses, which have been generated randomly in the first place.

A success rate  $p$  is defined in RANSAC for a certain level of confidence of finding a consensus set, which is a function of the number of iterations to be conducted  $N$ , sample outlier rate  $\epsilon$  and number of samples in a set  $s$  [31]. The success rate here in (2.1) simply means that a set of samples selected by RANSAC are all from inliers. As observed, RANSAC is a probabilistic method and is nondeterministic in that it exhibits different solutions on different runs. Its model fitting performance is unsatisfactory for many applications, such as VO. In principle, the accuracy and efficiency of a model fitting algorithm using random sampling can be improved if the random sample set selection can be avoided, which is what PURSAC will attempt to achieve.

Reconsideration is now given to the line-fitting example in the original RANSAC paper [32]. As shown in Figure 5.1, two types of measurement errors (noise and outlier) exist in the sample points. By randomly selecting a set of samples (two points for line fitting) from all the samples, RANSAC can eliminate most if not all the outliers after a certain number of iterations. At the same time, however, it also likely rejects some inliers and so reduces the accuracy of model fitting. Due to the measurement noise, model hypotheses selected by RANSAC with a limited number of iterations usually cannot fit a model well, as illustrated in Figure 5.1. It is safe to conclude that RANSAC is only effective in removing measurement outliers but is inadequate for handling measurement noise.

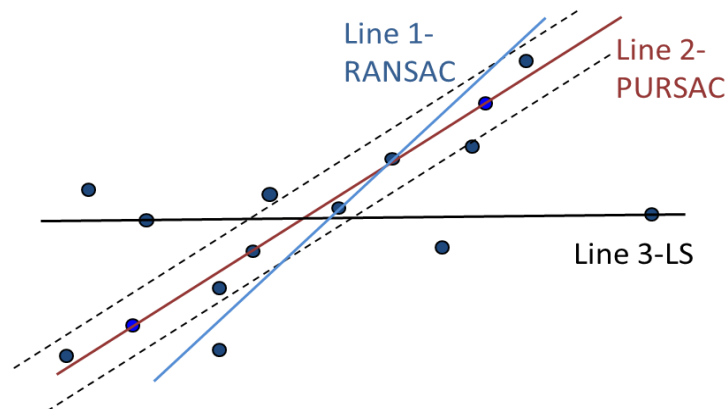


Figure 5.1: Line fitting results by RANSAC (Line 1), PURSAC (Line 2) and least square (Line 3).

Two-point form of a linear equation is expressed as (13), where  $(x_1, y_1)$  and  $(x_2, y_2)$  are the coordinates of the two points that decide the line model. The slope of the line is explicitly given as  $(y_2 - y_1)/(x_2 - x_1)$ .

$$y - y_1 = \frac{y_2 - y_1}{x_2 - x_1} (x - x_1) \quad (5.1)$$

If the noise terms of the two points position are denoted as  $(\delta x_1, \delta y_1)$  and  $(\delta x_2, \delta y_2)$ , and let  $y_2 - y_1$  written as  $dy$ ,  $x_2 - x_1$  as  $dx$ ,  $\delta y_2 - \delta y_1$  as  $\delta y$  and  $\delta x_2 - \delta x_1$  as  $\delta x$ , then the slope of the line can be expressed as

$$\begin{aligned} (y_2 - y_1) / (x_2 - x_1) &= (y_2 - y_1 + \delta y_2 - \delta y_1) / (x_2 - x_1 + \delta x_2 - \delta x_1) \\ &= (dy + \delta y) / (dx + \delta x) \\ &= \frac{dy}{dx} \cdot \frac{1 + \delta y / dy}{1 + \delta x / dx} \end{aligned} \quad (5.2)$$

The items  $\delta x$  and  $\delta y$  are solely decided by sampling noise, while  $dx$  and  $dy$  are directly related to the distance of the two points. It can be concluded from (5.2) that the smaller the distance of the two points ( $dx, dy$ ) is, the more the estimated line slope will be affected by sampling noise ( $\delta x, \delta y$ ). This can be evidenced by the results of a Monte Carlo line fitting test.

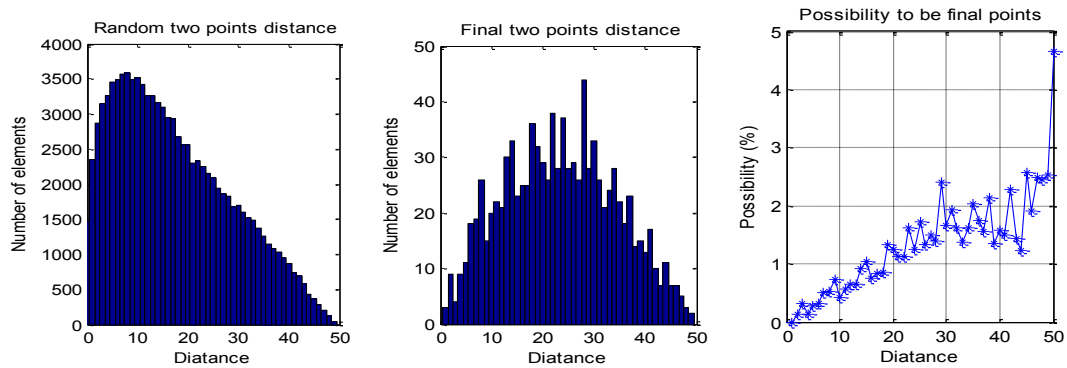


Figure 5.2: Monte Carlo test of the distance distribution for line fitting with RANSAC.

Figure 5.2 shows the results of 1,000 line fitting test runs for the distance between the final two points selected by RANSAC. The left figure shows that the distance between any two points tends to be close; the middle one shows that the distance of the final two points selected by RANSAC has a Gaussian distribution. The right figure suggests that possibility to be a final point increases as the distance increases.

This indicates that the distance between the two points for the best consensus set tends to be large, so as to dilute the effects of sampling noise for model fitting. Consequently the two sample points should be selected a large distance apart.

The sample points themselves do not have any information available about their validity for line fitting. It is impossible to rank or score the points without any prior knowledge about them. However, it is known that for a line model some of the points are inliers and other are outliers, and their validity will be assessed during the process of classifying them. This validity information can be used to purposively select points afterward that have a higher possibility to be inliers so as to speed up the model fitting process.

Similar to locally optimized RANSAC [60], by observing that a good model tends to find a significant fraction of the inliers, an inner iteration is executed. After a very small number of iterations  $k$ , the set of inliers to the current best model is generated with RANSAC or MLESAC. Then the next sample subset is selected only from the current inliers and verified against the entire dataset. As the sampling is running on current inliers dataset, there is no need for the size of sample subset to be minimal. On the contrary, to mitigate sampling noise, the size should be selected to minimize the error of the model parameter estimation.

This local optimization technique has the effect of improving the consensus score more rapidly and causes the iteration termination criterion ( $N \ln(1)$ ) to be met earlier by selecting samples from current inliers set, which has a lower outlier rate than the entire dataset has. In addition it can also provide more robust and precise model fitting by minimizing the error of model estimation with proper sample size.

Considering the two observations about samples geometry and validity for the line fitting tests, PURSAC is designed to purposely select two points far apart, instead of random selection. The first point is randomly selected; then the distances from it to all the other points are calculated. The second point is selected according to the statistical distribution shown in Figure 5.2. Inner iteration is then applied by selecting samples only from the current inliers until reaching iteration termination criterion (1). Finally local optimization is implemented and all the inliers are used iteratively to compute the final model parameters.

Table 5.1 shows the results of 1,000 line fitting test runs with RANSAC and PURSAC. Within 100 points, 55 are inliers. The number of iterations  $N$  is set to 20, which means the success rate  $p$  in (2.1) is 99.97%. **Fitting\_error** is a model fitting error against the ground truth measured by the area between the two lines. **Inliers\_miss** is the number of points that should be counted as inliers but miscounted as outliers; similarly with **Outliers\_miss**. STD and mean is the standard deviation and mean of the 1,000 runs' results.

Table 5.1: Line Fitting Monte Carlo Test Results

Line fitting Method	Number of inliers mean/STD	Fitting_error mean/STD	Inliers_miss mean/STD	Outliers_miss mean/STD
PURSAC	46.07 / 0.92	117.58 / 7.22	11.69 / 1.80	1.76/1.46
RANSAC	43.67 / 3.41	122.99 / 22.23	14.53 / 4.19	2.20/1.69

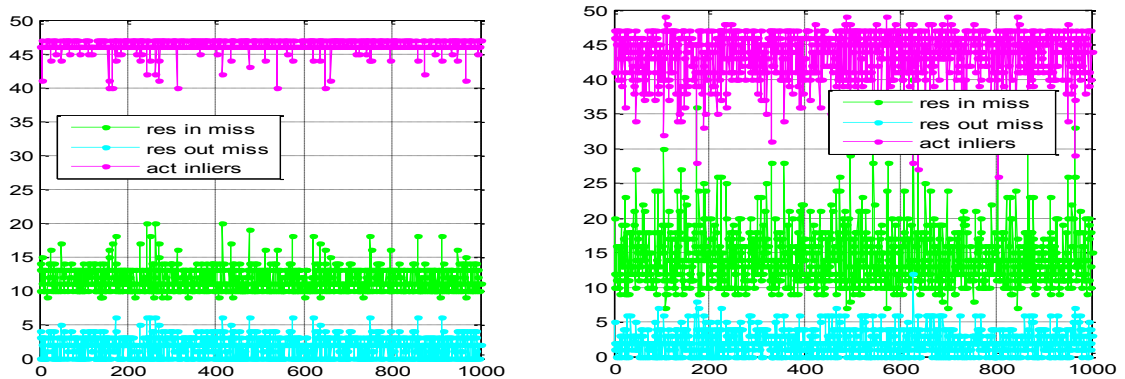


Figure 5.3: 1000 runs Monte Carlo test results with PURSAC and RANSAC

The result shows that under exactly the same condition, PURSAC can achieve better performance than RANSAC, with less miscounted inliers and outliers, and is closer to a true model. The final line fitting performance is affected by the miscounted inliers and outliers. As shown in Table 5.1 and Figure 5.3, all the STDs of PURSAC are smaller than those of RANSAC, indicating that PURSAC has better reliability.

The key idea behind PURSAC is to purposely select sample sets according to the sensitivity analysis of a model to be fitted against sampling noise; and also to the measures of samples' possibility to be inliers. It is worth mentioning that the way to implement PURSAC is based on the analysis of each model fitting case and it is open to find an optimal way for different cases.

### **5.3 Outline of applying PURSAC to Visual Odometry**

RANSAC and MLESAC has been widely used in computer vision, particularly in the areas of recovering epipolar geometry and 3D motion estimation, such as image registration, structure from motion and feature based VO. The motion of an agent (vehicle, human or robot etc.) can be estimated by incrementally estimating the pose of the agent through examination of the movement induced on the images of its on board camera(s) [31]. Feature based VO uses salient and repeatable features extracted and is matched across the captured images. No matter which algorithm is used, the matched features are usually contaminated by outliers (wrong data associations). How to efficiently remove the outliers is the most delicate task in VO and still has large room for improvement.

This section introduces how to apply PURSAC to VO. The relations of features matching scores to their possibility to be outliers and to their location accuracy in images are investigated first. Then the sensitivity analysis of the egomotion model against samples noise is conducted. Based on these analyses PURSAC is elaborated aiming to design a purposive sample set selection procedure for the fundamental matrix estimation, and to improve the results of outlier removal and model fitting and in turn the VO performance.

#### ***5.3.1 Feature Measurement Analysis***

First let us analyse the relation between the feature matching score and the features' possibility to be outlier. This has been done previously for SIFT [56] and some other descriptors [61]. In all experiments, regardless of the similarity function used, the fraction of inliers decreased almost monotonically as a function of the number of tentative correspondences [39]. This is verified by the test results of this study for 169 pairs of images using SURF features [55]. Figure 5.4 shows that the matched features with lower ranking have a higher likelihood of being outliers.

Another test was conducted to evaluate robustness and accuracy of SIFT and SURF features' location and it's relation to the feature matching scores. Figure 5.5 is a test result with SIFT features. The X axis is the feature location accuracy in pixel. Y axis is the matching score from SIFT feature matching, which measures the 'confidence' of the feature matching being correct. It shows as the confidence increases, so does

feature location accuracy (to an extent). This location uncertainty can be treated as measurement noise, which has a relation with feature matching score. This result will be used in the design of the rule for PURSAC's purposive sample set selection.

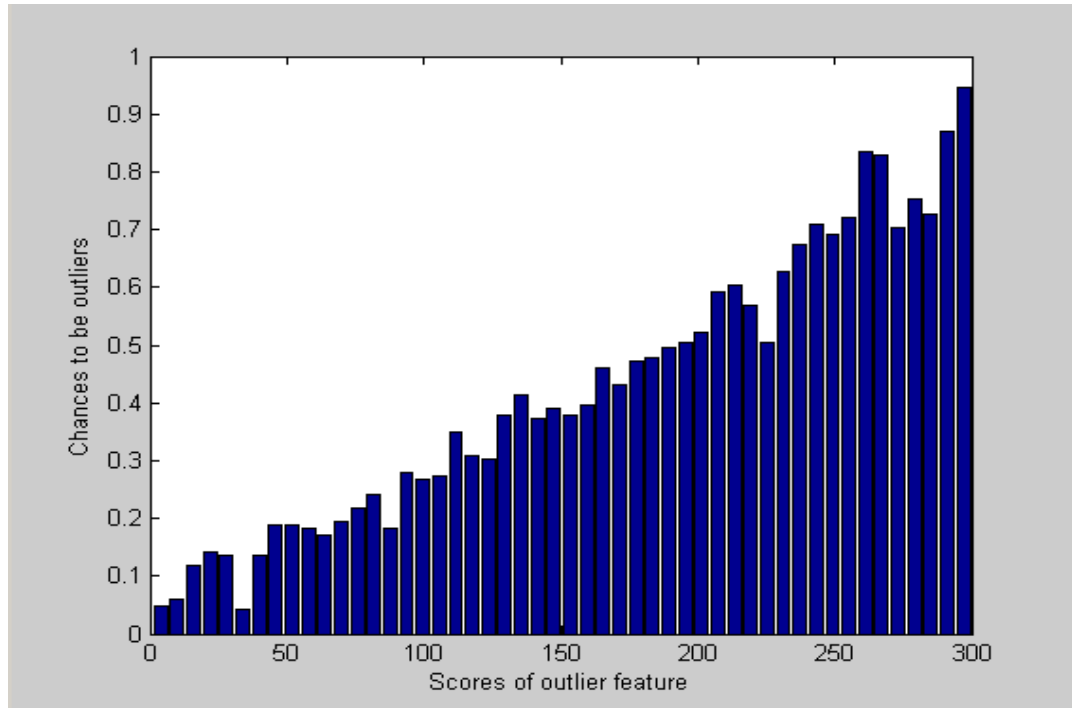


Figure 5.4: Outliers and score ranking test

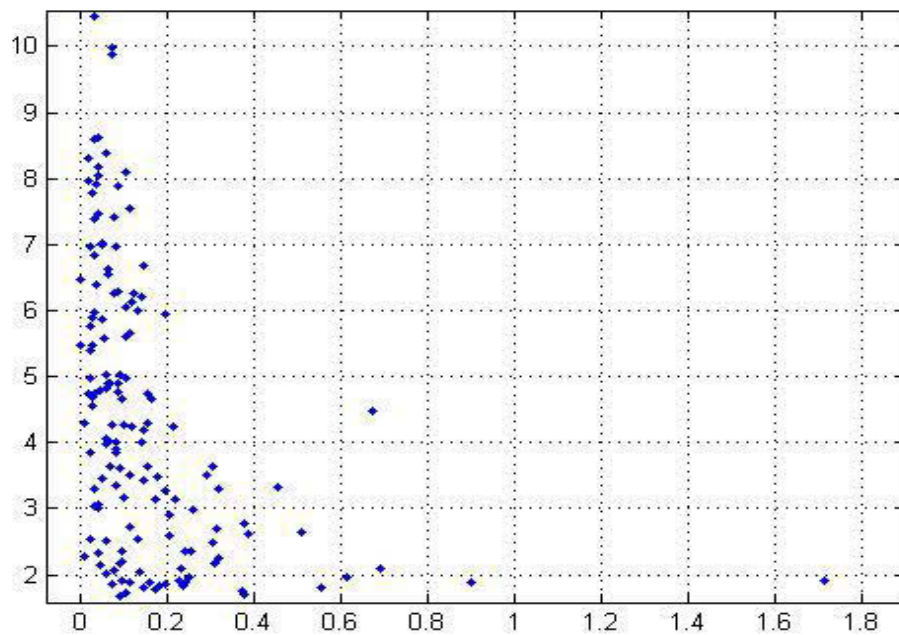


Figure 5.5: Features' location accuracy and matching score correspondence.

### 5.3.2 *Model Noise Sensitivity Analysis*

The noise sensitivity analysis is to find a strategic way to dilute the effect of sampling noise for model fitting; and if possible also to consider the information available for statistical analysis of measurement.

In VO, the fundamental matrix estimation with RANSAC method has the advantage of the geometric constraints introduced by a motion model. After feature detection and matching procedure, feature correspondences are nominated for subsequent fundamental matrix estimation. In RANSAC, the sample data sets are randomly chosen from entire feature correspondences. However, the randomly selected feature points have a high possibility of being near each other which would cause significant uncertainty of model fitting and affect the accuracy of final fundamental matrix estimation.

The fact that the geometry of the features in images affects the VO results considerably [40, 41], is the natural relation between measurement noise and the model to be fitted in VO. This is the principle approach of the proposed PURSAC. The more evenly are features distributed in images, the less is the model sensitive to the noise, and the more stable are the VO results.

### 5.3.3 *PURSAC Rules for Visual Odometry*

Feature based VO requires efficient and robust model fitting. According to the statistical analysis of outlier possibility and features' location accuracy against the matching scores, rules for PURSAC implementation are innovated by considering both scores ranking and feature geometry.

- a) All the matched features are ranked by their matching scores. The one with the highest rank is selected and the features close to it within a threshold  $\rho$  are excluded in the following samples selection. This process iterates until all the matched features are either selected or excluded.
- b) Only the selected features are used for searching the sample set for the consensus of model hypothesis but it is verified against the entire dataset. Sample subsets are purposely selected according to their ranking until reaching an initial iteration number  $k$ .



- c) In the same way as the line fitting case, local optimization is then implemented to further increase the speed and certainty of model fitting. By improving accuracy and efficiency of fundamental matrix estimation, a precise and fast visual odometry can be achieved.

This set of rules is combined with both standard RANSAC and MLESAC for fundamental matrix estimation and visual odometry computation. The threshold  $\rho$  is set as 10 times the inlier threshold in RANSAC (10x1 pixels), and the initial iteration number  $k$  is set to 20.

#### 5.4 Experimental Results

Málaga 2009 Robotic Dataset Collection PARKING-6L dataset is used for featured based VO testing [57]. A section of the dataset is selected from the images captured by a camera mounted on a test vehicle, which runs a closed loop in a car park. The test runs 100 times to evaluate the precision and consistency of the methods. Test results with three PURSAC methods are compared with RANSAC and MLESAC, which were implemented in the previous approaches for VO [58] and monocular SLAM [59] respectively.

Table 5.2 is the test results of matched features' inlier rate detected by different methods in 100 runs. Five pairs of images have the inlier rate from less than 50% to over 85%. The tests set two different success rates,  $p_1 = 99\%$  and  $p_2 = 99.99\%$ . The number of iterations is calculated by (2.1) dynamically.

The results show that combining with either RANSAC or MLESAC, PURSAC achieves much better results than the original algorithms. The inlier rate is higher and the standard deviation of the number of detected inliers is much lower, which means PURSAC has much better and more consistent sample consensus. It is noted that for the images with high inlier rate (Image 58&59), the STD of both RP and MP reaches zero, entailing a complete certainty.

Results show that the two different success rates  $p$  do not impact much on the inlier rate  $\mu$  of PURSAC, but do for MLESAC and RANSAC. Even if a higher success rate  $p_2$  is selected for MLESAC and RANSAC, PURSAC still performs better with a low success rate, as the bold data indicated. Therefore, the number of iterations needed

for PURSAC (RP and MP) is much lower than the original algorithms, leading to a faster process.

Table 5.2: Image Matching Monte Carlo Test Results

Image pair (Number of matches)	Inlier rate $\mu$ & number of iteration $N$	RO (RANSAC Original)		RP (RANSAC PURSAC)		MO (MLESAC Original)		MP (MLESAC PURSAC)	
		$p_1$	$p_2$	$p_1$	$p_2$	$p_1$	$p_2$	$p_1$	$p_2$
Image 2&3 (357)	Mean $\mu$	68.74%	<b>70.03%</b>	<b>75.92%</b>	75.83%	68.10%	<b>69.37%</b>	<b>75.90%</b>	75.93%
	Inliers STD	10.39	<b>9.06</b>	<b>0.98</b>	1.16	12.78	<b>10.30</b>	<b>0.96</b>	1.0714
	Mean N	68.29	<b>116.83</b>	<b>47.66</b>	81.31	79.58	<b>125.68</b>	<b>49.61</b>	85.98
Image 27 &28 (390)	Mean $\mu$	52.78%	<b>54.32%</b>	<b>58.39%</b>	58.46%	52.72%	<b>54.1%</b>	<b>58.45%</b>	58.36%
	Inliers STD	8.12	<b>6.82</b>	<b>3.12</b>	2.90	10.23	<b>9.27</b>	<b>3.09</b>	3.1527
	Mean N	447.92	<b>689.88</b>	<b>315.82</b>	585.35	475.55	<b>713.77</b>	<b>349.3</b>	610.29
Image 58 &59 (1019)	Mean $\mu$	77.03%	<b>78.71%</b>	<b>85.57%</b>	85.57%	77.3%	<b>78.9%</b>	<b>85.57%</b>	85.57%
	Inliers STD	38.96	<b>31.92</b>	<b>0</b>	0	36.85	<b>32.05</b>	<b>0</b>	0
	Mean N	31.72	<b>50.60</b>	<b>21.02</b>	39.05	30.89	<b>51.68</b>	<b>23</b>	39.56
Image 2&4 (186)	Mean $\mu$	55.22%	<b>56.81%</b>	<b>59.47%</b>	59.70%	55.35%	<b>56.23%</b>	<b>59.78%</b>	59.77%
	Inliers STD	4.97	<b>3.66</b>	<b>2.40</b>	1.72	4.56	<b>5.44</b>	<b>1.53</b>	0.6257
	Mean N	335.70	<b>507.45</b>	<b>230.12</b>	390.37	346.98	<b>582.5</b>	<b>228.08</b>	422.55
Image 2&6 (129)	Mean $\mu$	44.32%	<b>44.99%</b>	<b>47.16%</b>	47.22%	43.81%	<b>43.95%</b>	<b>47.28%</b>	47.38%
	Inliers STD	1.96	<b>1.87</b>	<b>1.73</b>	1.52	2.25	<b>2.46</b>	<b>1.47</b>	1.6161
	Mean N	1471.0	<b>2567.2</b>	<b>1127.7</b>	2090.6	1640.6	<b>3193.4</b>	<b>1338.5</b>	2615.3

The test results on 103 pair of images for 100 runs are plotted in Figure 5.7. The top figure shows the number of matches  $N_m$ , the number of liners  $N_{in}$  and inlier rate  $\mu$  in each pair of images. The middle one is the mean difference of  $N_{in}$  detected by MO and MP. It indicates that MP can always detect more inliers than MO, especially in the case when  $N_{in}$  is low (image number 76). The bottom figure shows the standard deviation of  $N_{in}$  for 100 runs. MO has higher STD than MP in all the 103 pairs of images. This proves that MP has better consistency than original MLESAC, which is also critical for model fitting.

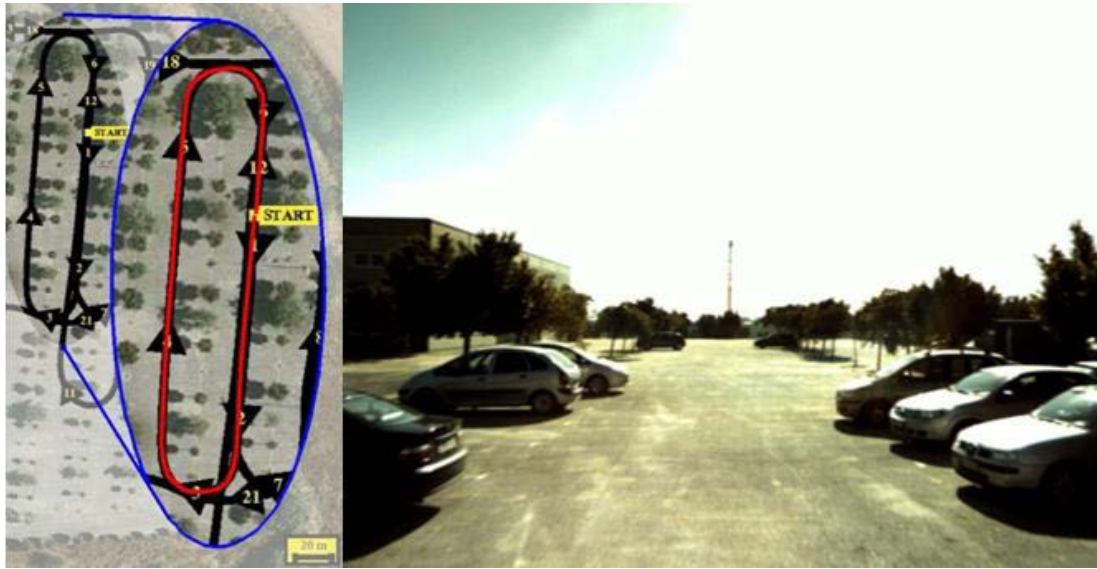


Figure 5.6: Test field, trajectory (left) and a sample image for visual odometry

The red line in the left image of Figure 5.6 is trajectory of the test in a car park. The right image is one of the images captured by an on-board camera for the visual odometry test.

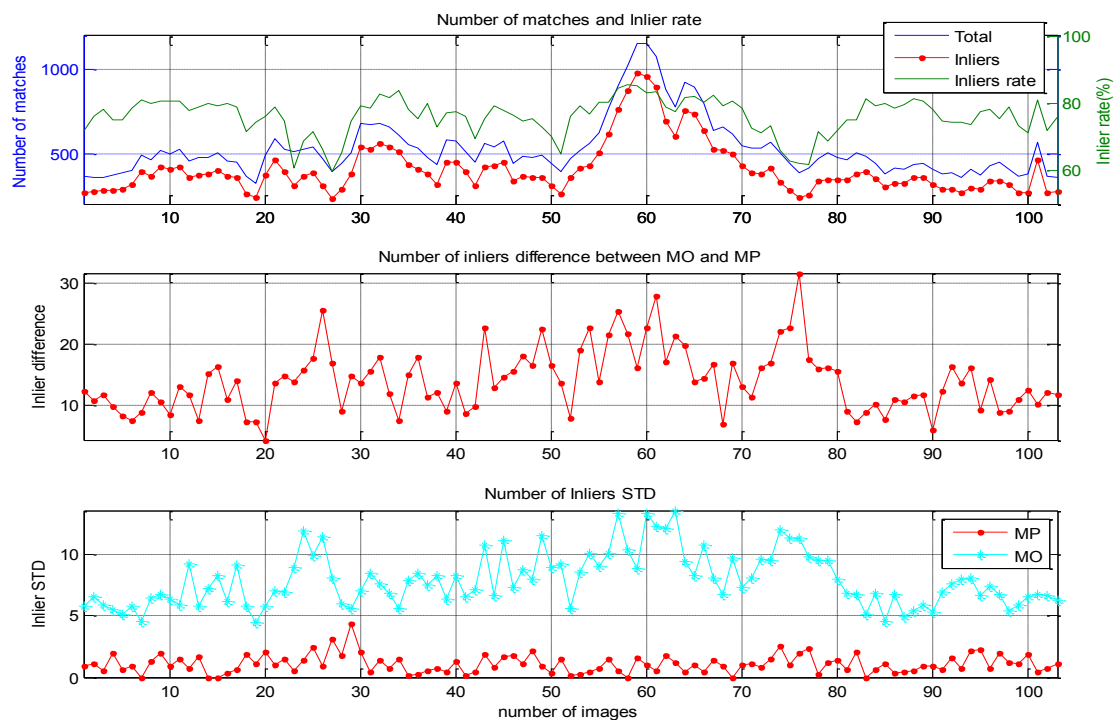


Figure 5.7: Number of inliers in visual odometry tests

The trajectories of visual odometry that use MLESAC (MO) and proposed PURSAC (MP) are plotted for analysis and comparison. Figure 5.8 shows the trajectories

generated by VO that uses MLESAC and PURSAC respectively for 100 runs. The left plot shows the trajectories of MLESAC, and the right one shows the trajectories for PURSAC. It shows clearly that PURSAC has significantly improved the performance of VO.

The trajectories in Figure 5.8 do not end around the start position, but have a non-random drift from it. This drift may come from the accumulated error when calculating the transformation between the consecutive images. It may infer that this drift is from the inaccurate internal parameters calibration of the camera. Future work based on this study will be to find the relationship between the accumulated error and the error of the camera of intrinsic parameters.

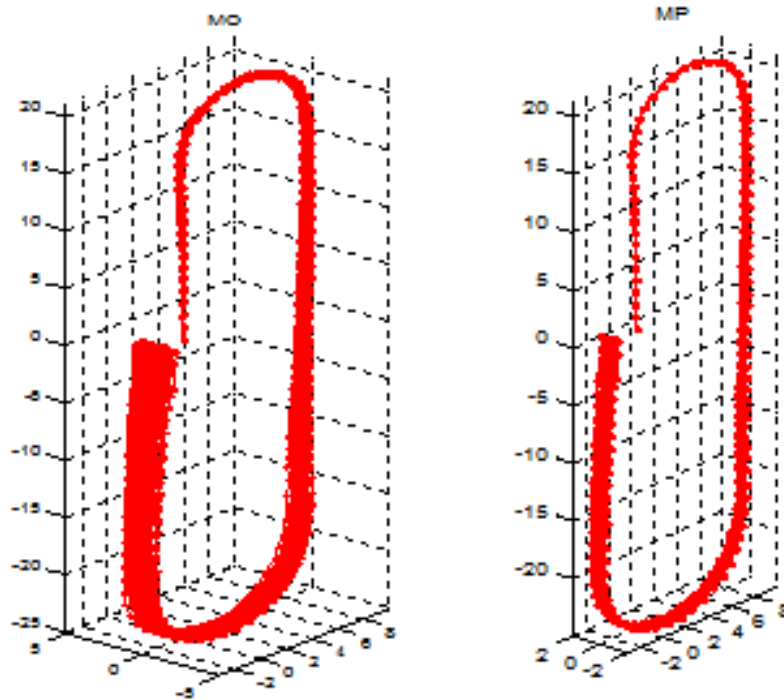


Figure 5.8: The 100 trajectories of VO using MO and MP

Table 5.3: VO Final Position STD and Number of Iterations

Methods	X STD	Y STD	Z STD	Mean iterations
RO ( $p = 99.99\%$ )	0.2146	2.2201	0.2172	147.51
RP ( $p = 99\%$ )	<b>0.0840</b>	<b>0.2524</b>	<b>0.0588</b>	<b>65.87</b>
MO ( $p = 99.99\%$ )	0.1837	0.5326	0.1513	151.75
MP ( $p = 99\%$ )	<b>0.0625</b>	<b>0.2364</b>	<b>0.0415</b>	<b>67.59</b>

The standard deviation of final camera positions with different algorithms is listed in Table 5.3. Similar to the results for the number of inliers listed in Table 5.2, PURSAC achieves better results than RANSAC and MLESAC with a much smaller mean number of iterations as well as much smaller STDs for the coordinates.

## 5.5 Summary

This chapter presents the utilizing visual odometry method to acquire PVA information when the GPS signal is unreliable during experiments. The method proposed by this study, PURSAC purposely selects samples sets according to the sensitivity analysis of a model against sampling noise, and other information that can be used for purposive selection. It has three major steps in its implementation. Firstly, instead of assuming all the samples have the same probability to be inliers, PURSAC seeks their differences and purposively selects sample sets. Secondly, as sampling noise always exists, the selection is also according to the sensitivity analysis of a model against the noise. The final step is to apply a local optimization for further improving its model fitting performance.

Being a qualitative guidance in principle, PURSAC's implementation needs quantitative analysis to design executable rules for purposive sample consensus. Two examples were investigated in this thesis, one is a line fitting simulation and the other is a real data test of feature based visual odometry. The performance of the proposed PURSAC is much better than that of the original RANSAC and MLESAC in the two examples. Considering both the matching scores and the geometry of the feature points in feature based visual odometry, PURSAC is efficient in removing outliers and improving the fundamental matrix estimation. Furthermore, PURSAC can be easily merged into existing model fitting algorithms, and can detect more inliers with the same number of iterations. It can improve the accuracy and robustness of the removal of outliers, resulting in a more precise and efficient model fitting result.

# CHAPTER 6 CONCLUSIONS AND RECOMMENDATIONS

## 6.1 Conclusions

This project has combined varied research fields, such as road surveying, sensors fusion and computer vision in order to address the challenging topic of real time exteroceptive sensor data acquisition and processing for an autonomous system. The system investigated is aimed at giving road maintainers the power to survey road assets without endangering workers and disrupting traffic. In the past, many researchers mainly focused on one aspect of road assets surveying without presenting comprehensive road profiling and surveying results. In the meanwhile, the recent advances in the resolution of cameras, and LADAR sensors are capable of providing more accurate and detailed information of surveying objects. The autonomous system is designed based on sensors fusion (camera, LADAR sensors, INS, GPS) while LADAR sensors provide dense 3D point clouds of travelling path, INS generates roll-pitch-yaw motion data of the testing vehicle and GPS presents continuous position information of the testing vehicle. Camera is utilized in computer vision for obtaining PVA information, especially in a GPS denied environment.

Chapter 3 describes the proposed autonomous survey system from its basics. Firstly, it introduces how the system was constructed and why it was built in such a manner. The proposed system not only achieves multi-functions, such as road clearance measurement, road surface profiling, 3D structure modeling, road boundary detection and road roughness measurement but also operates in a convenient way. Most importantly, data collected by sensors has less chance of being blocked by other vehicles in a complex driving environment. Secondly, hardware consist of two LADAR sensors, one IMU, one GPS and one camera, which are mounted on the designed aluminum integrated sensors frame and then installed at the back of a vehicle at a proper height (2300 mm). The feasibility and stability of the manner of sensors installation has been developed after many driving tests. Thirdly, a real-time acquisition software for receiving and recording data from LADAR sensors and IMU

has been introduced. In the driving tests, the acquisition software revealed excellent performance on stability which is reflected by no missing data from sensors and no functional faults occurring. Lastly, an indoor system accuracy of the LADAR sensors was conducted and the total error of system accuracy analysis is  $\pm 29.056\text{mm}$ , which demonstrates the accuracy of the selected sensors.

In Chapter 4, the sensor data processing is presented in the sequence of the following functions: road boundary detection, white line & Traffic Lane Extraction, Clearance Measurement for Tunnels and Bridges, Road Surface Markers Extraction, 3D Model & GUI Construction and Road Roughness Measurement. All algorithms were developed based on range and remission data from LADAR sensors, and PVA information from INS and GPS. Under ambient interference, surveying data still can be processed to obtain road assets results. Moreover, the proposed system is constructed with modular design and new components can be added into it easily. The accuracy result of bridges and tunnels clearance ranged from 1% - 7% while the accuracy of clearance measurement between the experimental vehicle drive lane has the smallest value ranging 1%-3% and the accuracy gets larger as the lane is further away from the experimental vehicle. The reason causing this is that point clouds of LADAR sensor becomes sparse when the object is far away from LADAR sensors, which increases the difficulty of surveying objects' modelling and finally leads to error. Besides, marked clearance for bridges and tunnels is usually lower than the actual clearance. Hence, the clearance results are still acceptable.

In Chapter 5, visual odometry optimization is presented. During the out-door experiments testing the system, a serious problem has been found as there is no GPS signal in tunnels which means no PVA information can be obtained. Hence, visual odometry became one method for obtaining PVA information. A novel estimation method named PURSAC for model fitting in the presence of outliers has been integrated very successfully into visual odometry. The key idea behind PURSAC is to purposely select sample sets according to the sensitivity analysis of a model to be fitted against sampling noise, and also to the measures of samples' possibility to be inliers. PURSAC is compared with two estimation methods, one is the benchmark RANSAC, the other is its variant MLESAC. PURSAC processes faster than RANSAC and MLESAC under the same conditions. Besides, the number of inliers

in visual odometry tests using PURSAC are much larger than the number with the use of MLESAC; in the meanwhile the inlier STD of PURSAC is stabilized (Figure 5.7). Lastly, in the repeatability test, PURSAC achieves better results than RANSAC and MLESAC with much smaller mean number of iterations and STD (table 9). From all points of view, PURSAC has presented more accurate and efficient results than RANSAC and MLESAC. PURSAC as a part of visual odometry has played a significant role in this entire survey system.

## **6.2 Recommendations and Future Work**

Although significant progress has been made on this survey project, it still has a few limitations. For example, the proposed system cannot function properly under extreme weather conditions, changing lane or sudden acceleration/braking. Incorrect or inaccurate measurements may be acquired under such circumstances.

In the future, an integrated navigation will be investigated in order to generate more accurate PVA and improve the system performance. To further verify the accuracy of clearance of tunnels and bridges, actual clearance measurement information should be acquired from the RTA (now called the Roads and Maritime Services). In the future, cooperation with the roads and traffic authority is expected to test the system for robustness and feasibility evaluation. Besides, irregular lanes extraction is scheduled for the next step in this work. Width of irregular lanes varies over time and it is very difficult to calculate through remission data as noise on the road surface does affect the result. Furthermore, the system is not capable of working in traffic peak-time as many cars blocked the sensor's view. Hence, finding a way of predicting a blocked area is necessary in the future work.



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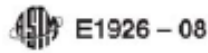
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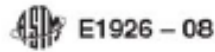
# APPENDIX A: IRI Roughness Scales for Asphalt/Concrete



ROUGHNESS  
(m/km IRI)

0	
2	Ride comfortable over 120 km/h. Undulation barely perceptible at 80 km/h in range 1.3 to 1.8. No depressions, potholes or corrugations are noticeable; depressions < 2mm/3m. Typical high quality asphalt 1.4 to 2.3, high quality surface treatment 2.0 to 3.0.
4	Ride comfortable up to 100-120 km/h. At 80 km/h, moderately perceptible movements or large undulations may be felt. Defective surface; occasional depressions, patches or potholes (e.g. 5-15 mm/3m or 10 - 20 mm/5m with frequency 2-1 per 50m), or many shallow potholes (e.g. on surface treatment showing extensive ravelling). Surface without defects; moderate corrugations or large undulations.
6	Ride comfortable up to 70-90 km/h, strongly perceptible movements and swaying. Usually associated with defects; frequent moderate and uneven depressions or patches (e.g. 15-20mm/3m or 20-40mm/5m with frequency 5-3 per 50m), or occasional potholes (e.g. 3-1 per 50m). Surface without defects: strong undulations or corrugations.
8	Ride comfortable up to 50-60 km/h, frequent sharp movements or swaying. Associated with severe defects: frequent deep and uneven depressions and patches (e.g. 20-40 mm/3m or 40-80 mm/5m with frequency 5-3 per 5m), or frequent potholes (e.g. 4-6 per 50m).
10	Necessary to reduce velocity below 50km/h. Many deep depressions, potholes and severe disintegration (e.g. 40-80mm deep with frequency 8-16 per 50m).

# APPENDIX B: IRI Roughness Scales for Gravel/Earth



ROUGHNESS  
(m/km IRI)

0	Recently bladed surface of fine gravel, or soil surface with excellent longitudinal and transverse profile (usually found only in short length)
2	
4	Ride comfortable up to 80-100 km/h, aware of gentle undulation or swaying. Negligible depressions (e.g. < 5mm/3m) and no potholes.
6	
8	Ride comfortable up to 70-80 km/h, aware of sharp movements and some wheel bounce. Frequent shallow-mode rate depressions or shallow potholes (e.g. 6-30mm/3m with frequency 5-10 per 50m). Moderate corrugations (e.g. 6-20mm/0.7-1.7m).
10	
12	Ride comfortable at 50 km/h (or 40-70 km/h on specific sections). Frequent moderate transverse depressions (e.g. 20-40mm/3-5m) or occasional deep depressions or potholes (e.g. 40-80mm/3m with frequency less than 5 per 50m). Strong corrugations (e.g. 20mm/0.7-1.5m).
14	
16	Ride comfortable at 30-40km/h. Frequent deep transverse depressions and/or potholes (e.g. 40-80mm at freq. 5-10 per 50m); or occasional very deep depressions (e.g. 80mm/1-5m with frequency less than 5 per 50m) with other shallow depressions. Not possible to avoid all the depressions except the worst.
18	
20	Ride comfortable at 20-30km/h. Speeds higher than 40-50 km/h would cause extreme discomfort, and possible damage to the car. On a good general profile; frequent deep depressions and/or potholes (e.g. 40-80mm/1-5 at frequency 10-15 per 50m) and occasional very deep depressions (e.g. > 80mm/0.6-2m). On a poor general profile; frequent moderate defects and depressions (e.g. poor earth surface).
22	
24	

# APPENDIX C: Matlab Implementation of the IRI Algorithm

```

clearall% clear variables from memory
clc
% Import profile data (xiangluo)
% % get wheel tracking (xiangluo)
pre_str = 'grv1-30'; % change filename prefix
x_str = strcat(pre_str,'_x.mat');
y_str = strcat(pre_str,'_y.mat');
z_str = strcat(pre_str,'_z.mat');
load(x_str); % get x_after (after transformation), horizontal
load(y_str); % get y_after, displacement
load(z_str); % get z_after, vertical
[row, ~]=size(x_after);
x_wheel = size(row,2); % col one is left wheel, col two is right wheel
y_wheel = size(row,2);
z_wheel = size(row,2);
% get average of wheel tracking, left wheel ranges from 238-253, right from 310-324
fori = 1:row
x_wheel(i,1)=mean(x_after(i,238:253)); % mean of left wheel tracking
x_wheel(i,2)=mean(x_after(i,310:324)); % mean of right wheel tracking
y_wheel(i,1)=y_after(i,round((253-238)/2)+238);
y_wheel(i,2)=y_after(i,round((324-310)/2)+310);
z_wheel(i,1)=mean(z_after(i,238:253));
z_wheel(i,2)=mean(z_after(i,310:324));
end
[~,pos]=min(abs(y_wheel(:,1)-100)); % only need 100 meters
figure(1);
plot3(x_wheel(1:pos,:),y_wheel(1:pos,:),z_wheel(1:pos:),'.'');
axisequal;

% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung, Xiang Luo
% Date: June 2012
% =====
% NREC = 0;
% Import profile data
z_wheel = z_wheel.*1000; % unit in mm
PROFL = z_wheel(1:pos,1); % profile of left wheel in z(vertical) direction

PROFR = z_wheel(1:pos,2); % profile of right wheel in z(vertical) direction
% Determine the spacing between scan lines to work out the total length of
% profile sample.
NPTS = length(PROFL);
DELT = mean(y_wheel(2:pos,1)- y_wheel(1:pos-1,1)); % Average spacing between scan
lines
% Determine section length of the profile data
SECLEN = double(NPTS-1)*DELT;
% Determine whether input profile is pre-smoothed.
presmoothed = false; % Change setting as necessary
ifpresmoothed
BASE = 0.0;
else
BASE = 0.250;
end
UNITSC = 1.0;
% Call functions to calculate the International Roughness Index
NSAMP = NPTS;
[PROFL, AVIRIL, ~] = iri(PROFL, NSAMP, DELT, BASE, UNITSC);
NSAMP = NPTS;
[PROFR, AVIRIR, NSAMP] = iri(PROFR, NSAMP, DELT, BASE, UNITSC);
AVEIRI = (AVIRIL + AVIRIR)/2.0;
% Plot profile data.
figure(2);
plot(y_wheel(1:NSAMP,1),PROFL);
xlabel('Distance (m)');
ylabel('Relative Elevation (mm)');

```

```

title('Left Wheel Path Profile');
figure(3);
plot(y_wheel(1:NSAMP,2),PROFR);
xlabel('Distance (m)');
ylabel('Relative Elevation (mm)');
title('Right Wheel Path Profile');
% Display International Roughness Index calculations.
disp('INPUT PROFILE DATA');
fprintf('Input file name : ');
disp(z_str);
fprintf('Number of samples : %d\n',NPTS);
fprintf('Sampling interval : %4.3f m\n',DELTA);
ifpresmoothed
fprintf('Input profile pre-smoothed : Yes\n\n');
else
fprintf('Input profile pre-smoothed : No\n\n');
end
disp('INTERNATIONAL ROUGHNESS INDEX (IRI)');
fprintf('IRI, left wheel track = %6.2f m/km\n', AVIRIL);
fprintf('IRI, right wheel track = %6.2f m/km\n', AVIRIR);
fprintf('IRI, average = %6.2f m/km\n', AVEIRI);
fprintf('Section length = %6.2f metres\n', SECLEN);

% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung, Xiang Luo
% Date: June 2012
% =====
% Function iri: Filter a longitudinal road profile and calculate IRI.
% Input:
% PROF: Array of profile height values
% NSAMP: Number of values in input PROF
% DX: Average spacing between scan points
% BASE: Distance covered by moving average (m)
% = 0.250 for unfiltered profile input
% = 0.000 for pre-smoothed profile input (e.g. K.J.Law data)
% UNITSC: Product of two scale factors (default=1)
% (1) metres per unit of profile height; and
% (2) IRI unites of slope
% Output:
% PROF: Array of filtered profile values; length <= original PROF
% AVEIRI: Average International Roughness Index (IRI)
function[PROF, AVEIRI, NSAMP] = iri(PROF, NSAMP, DX, BASE, UNITSC)
XIN = [0 0 0 0];
% Set parameters and arrays.
[AMAT, BMAT, CMAT] = setabc(653.0, 63.3, 6.0, 0.15);
[ST, PR] = setstm(DX/(80.0/3.6), AMAT, BMAT);
ibase = max(floor(BASE/DX + 0.5), 1);
sfpi = UNITSC/(DX*ibase);
% Initialise simulation variables based on profile start.
i11 = min(floor(11.0/DX + 0.5) + 1, NSAMP);
XIN(1) = UNITSC*(PROF(i11) - PROF(1))/(DX*i11);
XIN(2) = 0.0;
XIN(3) = XIN(1);
XIN(4) = 0.0;
% Convert to averaged slope profile, with IRI units.
NSAMP = NSAMP - ibase;
fori=1:NSAMP
PROF(i) = sfpi*(PROF(i + ibase) - PROF(i));
end
PROF = PROF(1:NSAMP);
% Filter profile.
PROF = stfilt(PROF, NSAMP, ST, PR, CMAT, XIN);
% Compute IRI from filtered profile.
AVEIRI = 0.0;
fori=1:NSAMP
AVEIRI = AVEIRI + abs(PROF(i));
end
AVEIRI = AVEIRI/NSAMP;
end% function iri

% =====
% This MATLAB code has been manually ported from the Fortran subroutines

```

```

% defined in ASTM E1926-08.
% Authors: Jonathan Tung
% Date: June 2012
% =====
% Function setabc: Set the A, B and C matrices for the 1/4 car model.
% Input:
% K1: Kt/Ms = normalised tyre spring rate (1/s/s)
% K2: Ks/Ms = normalised suspension spring rate (1/s/s)
% C: C/Ms = normalised suspension damper rate (1/s)
% MU: Mu/Ms = normalised unsprung mass
% Output:
% AMAT: 4x4 A matrix
% BMAT: 4x1 B matrix
% CMAT: 4x1 C matrix
% Typical Usage: [AMAT, BMAT, CMAT] = setabc(653.0, 63.3, 6.0, 0.15);
function[AMAT, BMAT, CMAT] = setabc(K1, K2, C, MU)
AMAT = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
BMAT = [0 0 0 0];
CMAT = [0 0 0 0];
% Put 1/4 car model parameters into the A matrix.
AMAT(1,2) = 1.0;
AMAT(3,4) = 1.0;
AMAT(2,1) = -K2;
AMAT(2,2) = -C;
AMAT(2,3) = K2;
AMAT(2,4) = C;
AMAT(4,1) = K2/MU;
AMAT(4,2) = C/MU;
AMAT(4,3) = -(K1+K2)/MU;
AMAT(4,4) = -C/MU;
% Set the B matrix for road input through tyre spring.
BMAT(4) = K1/MU;
% Set the C matrix to use suspension motion as output.
CMAT(1) = -1.0;
CMAT(3) = 1.0;
end% function setabc
% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung
% Date: June 2012
% =====
% Function setstm: Compute ST & PR matrices.
% Input:
% dt: Time step (sec)
% A: 4x4 A matrix
% B: 4x1 B matrix
% Output:
% ST: 4x4 state transition matrix
% PR: 4x1 partial response vector
function[ST, PR] = setstm(dt, A, B)
A1 = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
A2 = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
ST = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
TEMP = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
PR = [0 0 0 0];
% Initialise A1 & ST matrices
forj=1:4
A1(j,j) = 1.0;
ST(j,j) = 1.0;
end
% Calculate the state transition matrix ST = exp(dt*A) with a Taylor
% series. A1 is the previous term in the series, A2 is the next one.
iter = 0;
while(true)
iter = iter + 1.0;
more = false;
forj=1:4
fori=1:4
A2(i,j) = 0;
fork=1:4
A2(i,j) = A2(i,j) + A1(i,k)*A(k,j);
end
end
end
end

```

```

forj=1:4
fori=1:4
A1(i,j) = A2(i,j)*dt/iter;
if((ST(i,j) + A1(i,j)) ~= ST(i,j))
more = true;
end
ST(i,j) = ST(i,j) + A1(i,j);
end
end
end
% Calculate particular response matrix: PR = A**(-1)*(ST-I)*B
% A = invert(A, 4);
A = inv(A); % native MATLAB matrix inversion function for speed
fori=1:4
PR(i) = 0.0;
fork=1:4
PR(i) = PR(i) - A(i,k)*B(k);
end
end
forj=1:4
fori=1:4
TEMP(j,i) = 0.0;
fork=1:4
TEMP(j,i) = TEMP(j,i) + A(j,k)*ST(k,i);
end
end
fork=1:4
PR(j) = PR(j) + TEMP(j,k)*B(k);
end
end
end% function setstm
% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung
% Date: June 2012
% =====
% Function stfilt: Filter profile using matrices ST & PR
% Input:
% PROF: Input profile
% NSAMP: Number of data values in array PROF
% ST: 4x4 state transition matrix
% PR: 4x1 partial response matrix
% C: 4x1 output definition values
% XIN: Initial values of filtered variables
% Output:
% PROF: Filtered profile. Overwrites input profile.
functionPROF = stfilt(PROF, NSAMP, ST, PR, C, XIN)
X = [0 0 0 0];
XN = [0 0 0 0];

% Initialise simulation variables.
fori=1:4
X(i) = XIN(i);
end
% Filter profile using the state transition algorithm.
fori=1:NSAMP
forj=1:4
XN(j) = PR(j)*PROF(i);
fork=1:4
XN(j) = XN(j) + X(k)*ST(j,k);
end
end
forj=1:4
X(j) = XN(j);
end
PROF(i) = X(1)*C(1) + X(2)*C(2) + X(3)*C(3) + X(4)*C(4);
end
end% function stfilt
% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung
% Date: June 2012

```

```

% =====
% Function invert: Returns the inverse of NxN matrix Y1.
% Algorithm adapted from ASTM E1926-08 and "Numerical Recipes in
% Fortran 77".
% http://www.mpi-hd.mpg.de/astrophysik/HEA/internal/Numerical_Recipes/f2-3.
% pdf
% Input:
% Y1: Input matrix
% N: Dimension of NxN matrix
% Output:
% Y1: The inverse of input matrix Y1
function Y1 = invert(Y1, N)
A = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
YINV = [0 0 0 0; 0 0 0 0; 0 0 0 0; 0 0 0 0];
fori=1:N
forj=1:N
A(i,j) = Y1(i,j);
end
end
fori=1:N
forj=1:N
YINV(i,j) = 0.0;
end
YINV(i,i) = 1.0;
end
% LU matrix decomposition
[A, INDX] = ludcmp(A); % modified ludcmp function
% LU matrix back substitution
YINV = lubksb(A, INDX, YINV); % modified lubksb function
fori=1:N
forj=1:N
Y1(i,j) = YINV(i,j);
end
end
end% function invert

% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung
% Date: June 2012
% =====
% Function ludcmp: LU matrix decomposition
% Algorithm adapted from ASTM E1926-08 and "Numerical Recipes in
% Fortran 77".
% http://www.mpi-hd.mpg.de/astrophysik/HEA/internal/Numerical_Recipes/f2-3.
% pdf
% Input:
% A: 4x4 A matrix
% Output:
% A: 4x4 A matrix
% INDX: 4x1 matrix
function [A, INDX] = ludcmp(A)
NMAX = 100;
VV = zeros(1,NMAX);
TINY=1.0e-20;
N=4;
INDX = zeros(1,N);
IMAX = 0;
D=1.0;
fori=1:N
AAMAX = 0.0;
forj=1:N
ifabs(A(i,j)) > AAMAX
AAMAX = abs(A(i,j));
end
end
ifAAMAX == 0.0
warning('Singular matrix');
pause;
end
VV(i) = 1.0/AAMAX;
end
forj=1:N
fori=1:(j-1)
sum = A(i,j);

```

```

fork=1:(i-1)
sum = sum - A(i,k)*A(k,j);
end
A(i,j) = sum;
end
AAMAX = 0.0;
fori=j:N
sum = A(i,j);
fork=1:(j-1)
sum = sum - A(i,k)*A(k,j);
end
A(i,j) = sum;
dum = VV(i)*abs(sum);
ifdum>= AAMAX
IMAX = i;
AAMAX = dum;
end
end
ifj ~= IMAX
fork=1:N
dum = A(IMAX,k);
A(IMAX,k) = A(j,k);
A(j,k) = dum;
end
D = -D;
VV(IMAX) = VV(j);
end
INDX(j) = IMAX;
ifA(j,j) == 0.0
A(j,j) = TINY;
end
ifj ~= N
dum = 1.0/A(j,j);
fori=(j+1):N
A(i,j) = A(i,j)*dum;
end
end
end% function ludcmp
% =====
% This MATLAB code has been manually ported from the Fortran subroutines
% defined in ASTM E1926-08.
% Authors: Jonathan Tung
% Date: June 2012
% =====
% Function lubksb: LU matrix back substitution
% Algorithm adapted from ASTM E1926-08 and "Numerical Recipes in
% Fortran 77".
% http://www.mpi-hd.mpg.de/astrophysik/HEA/internal/Numerical\_Recipes/f2-3.pdf
% pdf
% Input:
% A: 4x4 A matrix
% INDX: 4x1 matrix
% B: 4x1 B matrix
% Output:
% B: 4x1 B matrix
functionB = lubksb(A, INDX, B)
N = 4;
forcol=1:N
II = 0;
fori=1:N
LL = INDX(i);
sum = B(LL,col);
B(LL,col) = B(i,col);
ifII ~= 0
forj=II:(i-1)
sum = sum - A(i,j)*B(j,col);
end
elseifsum ~= 0
II = i;
end
B(i,col) = sum;
end
fori=N:-1:1
sum = B(i,col);

```



```
    if i < N
        for j = (i+1):N
            sum = sum - A(i,j)*B(j,col);
        end
    end
    B(i,col) = sum/A(i,i);
end
end% function lubksb - LU back substitution
```

## APPENDIX D: Design of Integrated Sensors Frame

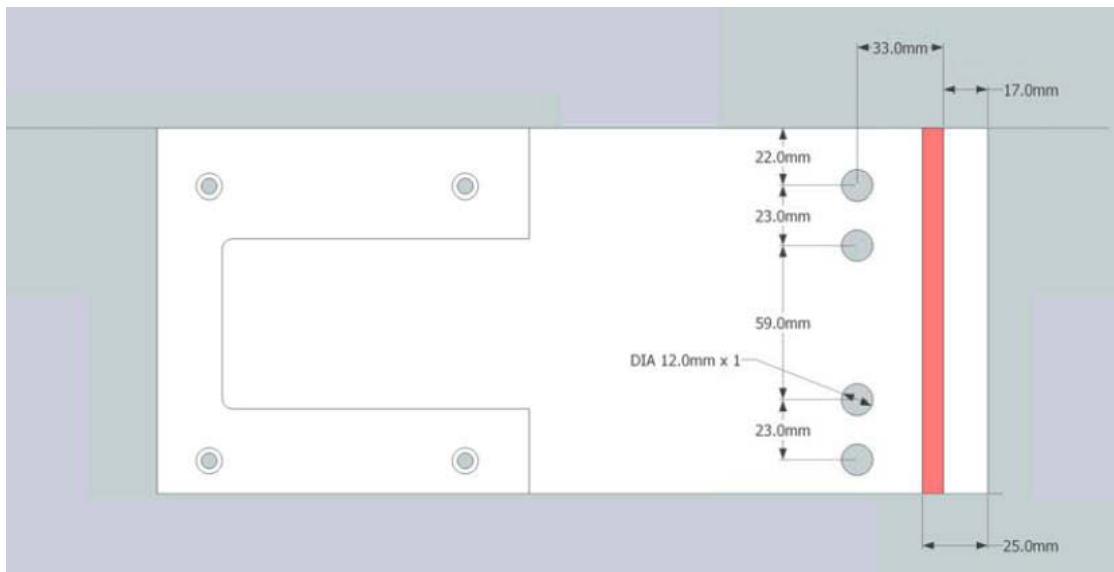


Figure D1: Integrated Sensors Frame front view

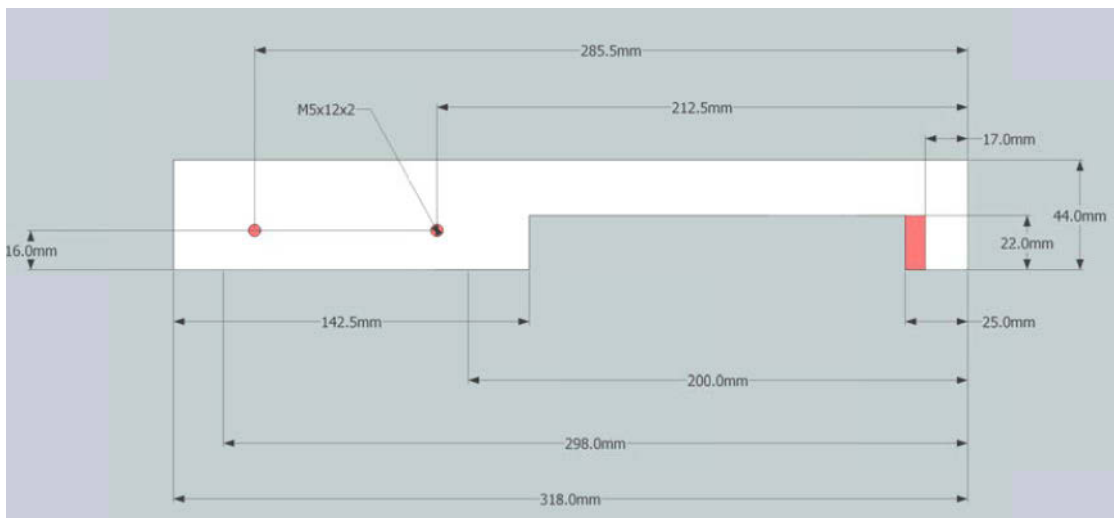


Figure D2: Integrated Sensors Frame top view



## APPENDIX E: IRI values of asphalt, concrete and gravel at different speeds

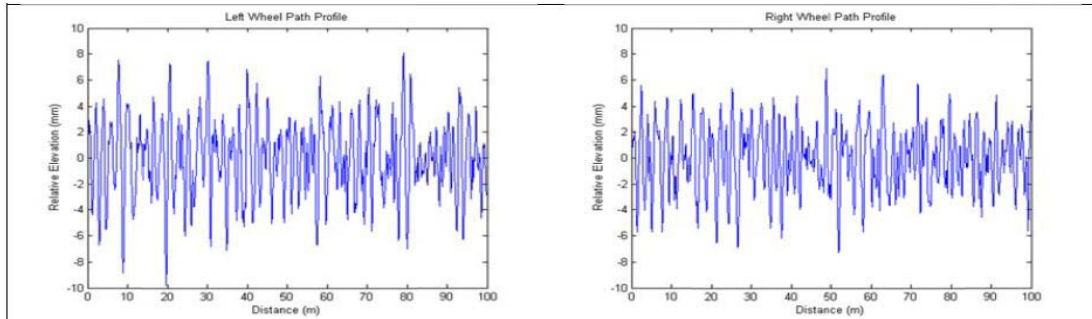


Figure E1: Left and right wheel path profiles for asphalt at 20km/h

```

INPUT PROFILE DATA
Input file name       : as1-20_z.mat
Number of samples    : 865
Sampling interval    : 0.116 m
Input profile pre-smoothed : No

INTERNATIONAL ROUGHNESS INDEX (IRI)
IRI, left wheel track = 2.36 m/km
IRI, right wheel track = 2.01 m/km
IRI, average         = 2.19 m/km
Section length       = 100.04 metres
    
```

Figure E2: Calculated IRI values for asphalt at 20km/h

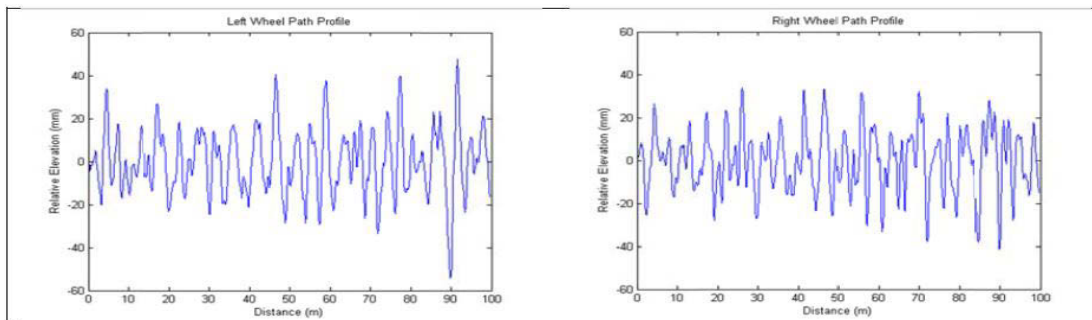


Figure E3: Left and right wheel path profiles for asphalt at 30km/h

```

INPUT PROFILE DATA
Input file name       : as1-30_z.mat
Number of samples    : 736
Sampling interval    : 0.136 m
Input profile pre-smoothed : No

INTERNATIONAL ROUGHNESS INDEX (IRI)
IRI, left wheel track = 12.09 m/km
IRI, right wheel track = 11.44 m/km
IRI, average         = 11.77 m/km
Section length       = 99.93 metres
    
```

Figure E4: Calculated IRI values for asphalt at 30km/h

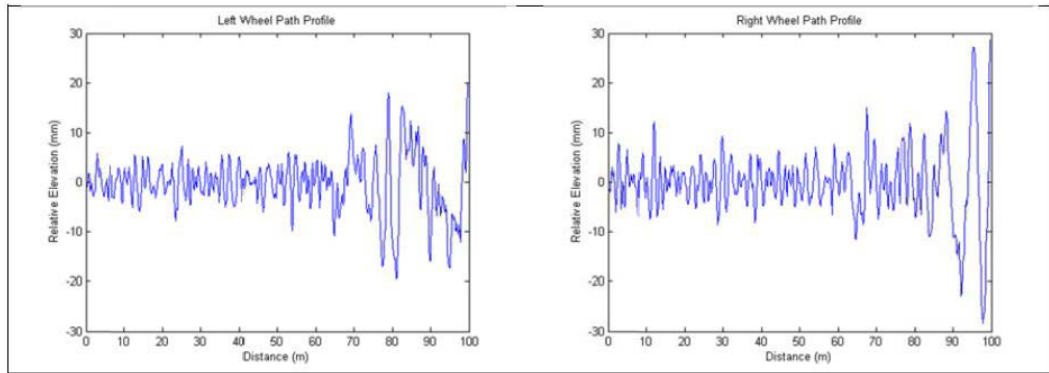


Figure E5: Left and right wheel path profiles for asphalt at 40km/h

INPUT PROFILE DATA	
Input file name	: as1-40_z.mat
Number of samples	: 639
Sampling interval	: 0.157 m
Input profile pre-smoothed	: No
INTERNATIONAL ROUGHNESS INDEX (IRI)	
IRI, left wheel track	= 4.04 m/km
IRI, right wheel track	= 4.52 m/km
IRI, average	= 4.28 m/km
Section length	= 99.96 metres

Figure E6: Calculated IRI values for asphalt at 40km/h

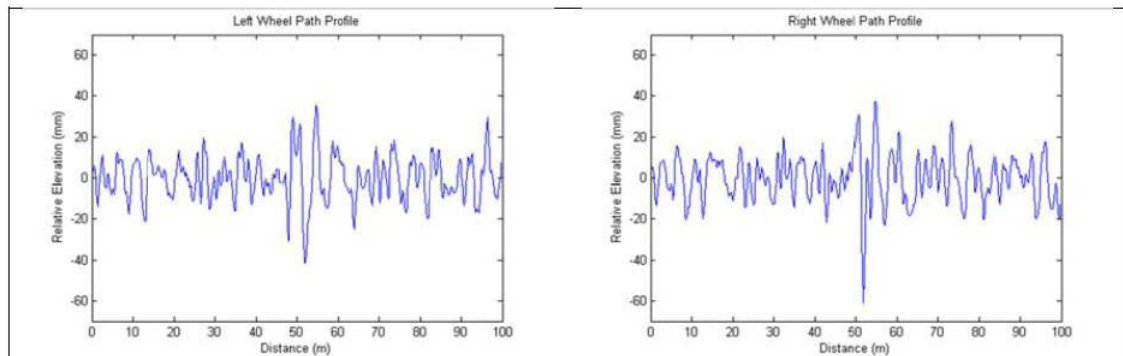


Figure E7: Left and right wheel path profiles for concrete at 30km/h

INPUT PROFILE DATA	
Input file name	: col-30_z.mat
Number of samples	: 625
Sampling interval	: 0.160 m
Input profile pre-smoothed	: No
INTERNATIONAL ROUGHNESS INDEX (IRI)	
IRI, left wheel track	= 8.31 m/km
IRI, right wheel track	= 8.70 m/km
IRI, average	= 8.51 m/km
Section length	= 100.03 metres

Figure E8: Calculated IRI values for concrete at 30km/h

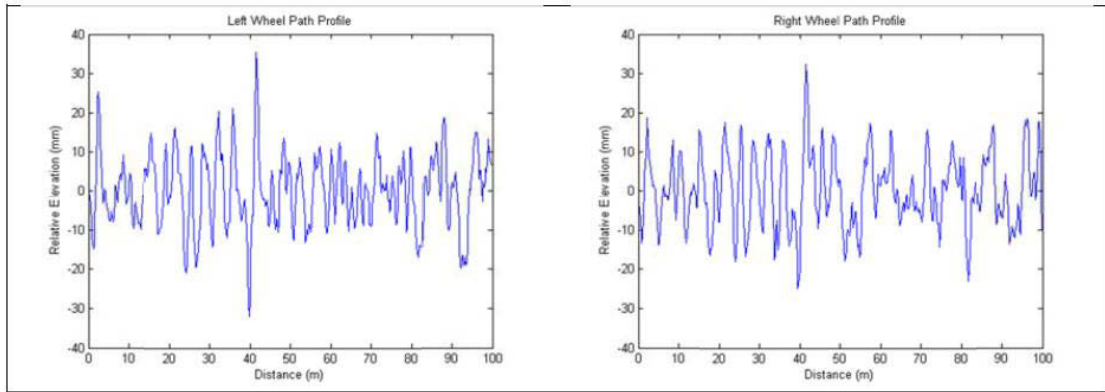


Figure E9: Left and right wheel path profiles for concrete at 40km/h

```

INPUT PROFILE DATA
Input file name       : col-40_z.mat
Number of samples    : 576
Sampling interval    : 0.174 m
Input profile pre-smoothed : No

INTERNATIONAL ROUGHNESS INDEX (IRI)
IRI, left wheel track = 7.31 m/km
IRI, right wheel track = 7.46 m/km
IRI, average         = 7.39 m/km
Section length       = 100.08 metres
  
```

Figure E10: Calculated IRI values for concrete at 40km/h

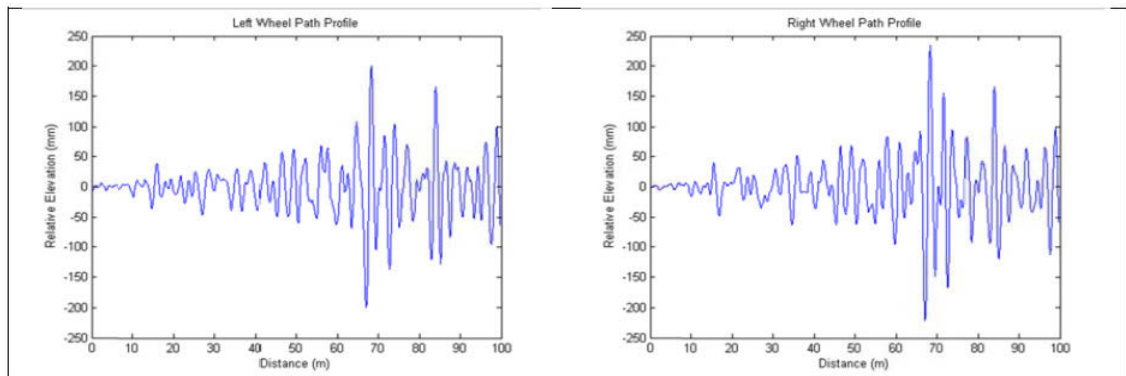


Figure E11: Left and right wheel path profiles for gravel at 20km/h

```

INPUT PROFILE DATA
Input file name       : grv1-20_z.mat
Number of samples    : 1193
Sampling interval    : 0.084 m
Input profile pre-smoothed : No

INTERNATIONAL ROUGHNESS INDEX (IRI)
IRI, left wheel track = 30.22 m/km
IRI, right wheel track = 32.66 m/km
IRI, average         = 31.44 m/km
Section length       = 100.00 metres
  
```

Figure E12: Calculated IRI values for gravel at 20km/h

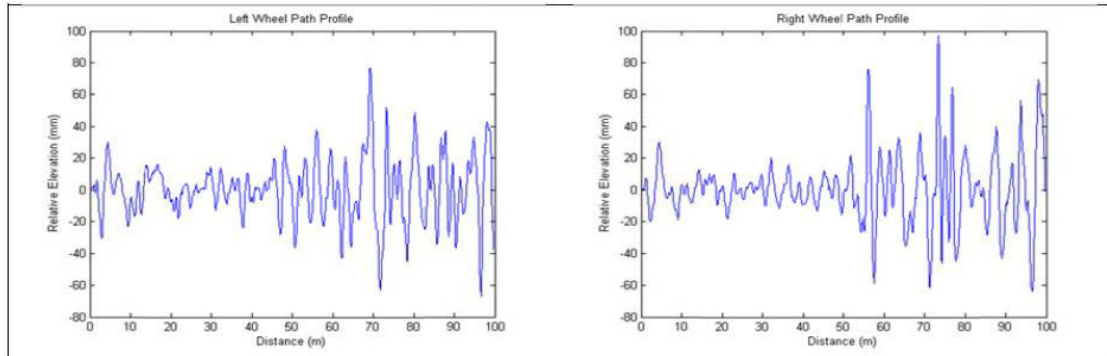


Figure E13: Left and right wheel path profiles for gravel at 30km/h

```

INPUT PROFILE DATA
Input file name       : grv1-30_z.mat
Number of samples    : 702
Sampling interval     : 0.143 m
Input profile pre-smoothed : No

INTERNATIONAL ROUGHNESS INDEX (IRI)
IRI, left wheel track = 14.05 m/km
IRI, right wheel track = 14.41 m/km
IRI, average         = 14.23 m/km
Section length       = 100.00 metres
  
```

Figure E14: Calculated IRI values for gravel at 30km/h





airworthiness directives (DAs) for electrical power, antenna and internal wiring.

- Participated in the EDA design on the power module.
- Cockpit Voice Recorder (CVR) upgrade
  - Added video recording function of CVR;
  - Image and speech processing were used to perform video compression.
- Flight Data Recorder (FDR) solid memory module
  - Light-weight tasks were assigned, such as drafting assistance and checking production progress with dispatcher;

## Research Experience & Professional Development

### The University of Technology, Sydney

- **ARC Centre of Excellence for Autonomous Systems Projects:** 2011-2012
  - Automatic Road Clearance Surveying (ARCS)
    - System design;
    - Hardware testing, sensors connection (laser sensors, inertial sensors);
    - System testing, data acquisition and electrical installation.
    - Data collection and processing;
  - Pedestrian Dead Reckoning Navigation with Zero Velocity Update 2012-2013
    - Sensors selection, hardware testing for the inertial sensors;
    - Data collection and processing;
- **Faculty of Engineering**
  - Teaching Assistant 2012-2013
    - Tutor of *Introduction to Mechanical and Mechatronic Engineering*;
    - Teaching assistant/field work of *Surveying*;

## Publications & Presentations

- ***Automatic Road Clearance Surveying with Sensor Fusion***, DEC/2011  
paper proceeding to the Australian Conference on Robotics and Automation (ACRA), Monash University, 2011.
- ***Mobile Surveying System for Road Assets monitoring and Management***, NOV/2011  
paper proceeding to IEEE Conference on Industrial Electronics and Applications (ICIEA 2012).
- ***Automatic Road Surface Profiling with Sensors Fusion***,  
Paper proceeding to 12<sup>th</sup> International Conference on

Control, Automation, Robotics and Vision (ICARCV 2012)

DEC/2012

➤ **Presenter of Paper**

DEC/2012

*“Automatic Road Surface Profiling with Sensor Fusion”*

**Professional Skills**

- Engineering Software: AutoCAD, SolidWorks, Altium, PSpice and MATLAB (Proficient);
- Programming Skills: JAVA, C/C++;

**General Skills & Interests**

- Language: Fluent in Mandarin Chinese and English;
- Driving Skills: Australian Provisional license
- Interests: Basketball, Badminton, Volleyball, Table-Tennis, Documentary Movies

**Referees available upon request**