

# Diabetes reversal via gene transfer: building on successes in animal models

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**Abstract:** Type 1 diabetes (T1D) is caused by the autoimmune destruction of the insulin-producing pancreatic  $\beta$ -cells. People with T1D manage their hyperglycemia using daily insulin injections; however, this does not prevent the development of long-term diabetic complications such as retinopathy, nephropathy, neuropathy, and various macrovascular disorders. Currently, the only “cure” for T1D is pancreas transplantation or islet-cell transplantation; however, this is hampered by the limited number of donors and the requirement for life-long immunosuppression. As a result, the need for alternative therapies is vital. One of the strategies employed to correct T1D is the use of gene transfer to generate the production of an “artificial”  $\beta$ -cell that is capable of secreting insulin in response to fluctuating glucose concentrations that normally occurs in people without T1D. The treatment of many diseases using cell and gene therapy is generating significant attention in the T1D research community; however, for a cell therapy to enter clinical trials, success and safety must first be shown in an appropriate animal model. Animal models have been used in diabetes research for over a century, have improved our understanding of the pathophysiology of diabetes, and have led to the discovery of useful drugs for the treatment of the disease. Currently, the nonobese diabetic mouse is the animal model of choice for the study of T1D as it most closely reflects disease development in humans. The aim of this review is to evaluate the success of cell and gene therapy to reverse T1D in animal models for future clinical application.

**Keywords:**  $\beta$ -cell transcription factors, animal models, gene therapy, insulin

## Introduction

Type 1 diabetes (T1D) results from the autoimmune destruction of the insulin-producing  $\beta$ -cells of the pancreas.<sup>1,2</sup> As a consequence, insulin-responsive tissues cannot take up glucose effectively, resulting in hyperglycemia. Currently, people with T1D manage their hyperglycemia using daily insulin injections,<sup>3</sup> but insulin therapy does not replicate the physiological regulation of glycemia and patients tend to develop the long-term complications associated with extended periods of hyperglycemia.<sup>4,5</sup> In addition, the stressful condition of hypoglycemic unawareness, where a person with long-term diabetes eventually does not recognize the classic symptoms of their hypoglycemia, can become life-threatening.<sup>4,6</sup>

Currently, pancreas or islet transplantation is the only cure; however, this is hampered by the limited number of pancreas donors and the requirement for life-long immunosuppression.<sup>7</sup> As a result, alternative therapeutic approaches that overcome both the requirement for immunosuppression and recurrent autoimmunity are required. Currently, a number of therapeutic approaches are under investigation including

restoration of immune tolerance,<sup>8,9</sup> endogenous  $\beta$ -cell regeneration,<sup>9,10</sup> transplantation of encapsulated artificial  $\beta$ -cells,<sup>11,12</sup> and the “artificial pancreas”.<sup>13,14</sup> In addition, gene transfer of pancreatic transcription factors and insulin for the production of “artificial”  $\beta$ -cells that are capable of synthesizing and secreting insulin in response to metabolic signals is a promising alternative. Two common methods of generating surrogate  $\beta$ -cells are the dedifferentiation and directed transdifferentiation of autologous or allogeneic cells *ex vivo*<sup>15–17</sup> followed by transplantation, and the *in vivo*<sup>18–20</sup> transdifferentiation of target tissue via gene transfer of transcription factors or insulin within viral vectors.

Animal models have been used in T1D research since the discovery of insulin by Banting and Best in 1922<sup>21</sup> for studying the pathogenesis of the disease and its complications and for the discovery of new treatments. For any diabetes research to have clinical applications, potential treatments must be performed in animal models to provide proof of the principle. The most common animal model for T1D research is the nonobese diabetic (NOD) mouse. This review will outline the common types of animal models of T1D, the generation of artificial  $\beta$ -cells as a promising alternative therapy for the treatment of T1D, and how animal model research is applied to demonstrate the safety and success in reversing diabetes, which are important for clinical development.

## Animal models of T1D

The use of animal models in diabetes research (Table 1) has been extensively pursued. Most studies are performed in small animal models such as rodents and mice to provide proof of concept for the development of Phase I clinical trials. However, large animal models of diabetes in pigs, dogs, and monkeys are becoming increasingly popular due to the criticism that rodents do not adequately represent the human condition of diabetes.

In the past, one of the most popular methods for inducing diabetes in animals was by complete pancreatectomy.<sup>22</sup> However, this does not correctly represent the clinical manifestation of diabetes due to the loss of potential feedback mechanisms as a consequence of the absence of pancreatic hormone-producing cells, which are still present in people with diabetes. As a result, nonsurgical methods of inducing a diabetic state in animal models via the administration of toxins such as streptozotocin (STZ) and alloxan are now routinely used. STZ is a nitrosourea derivative isolated from *Streptomyces achromogenes*,<sup>23</sup> with either a single high-dose administration or multiple low-dose administrations. A single high-dose in mice ranges from 100 mg/kg to 200 mg/kg, with

**Table 1** Animal models of T1D

Mechanism of induction	Model	Uses/studies
Chemically induced	High-dose STZ	Drug discovery
	Multiple-dose STZ	Models of transplantation
	Alloxan	Preventatives of $\beta$ -cell destruction Restoration of normoglycemia
Spontaneous diabetes	NOD mice	T1D genetics and pathogenesis analyses
	BB rats	Preventatives of $\beta$ -cell destruction
	LEW.IARI/Ztm-iddm rat	Immunomodulation studies
	Keeshond dog Celebes black ape ( <i>Macaca nigra</i> )	Restoration of normoglycemia
Breeding/genetically selected	AKITA mice	Drug discovery
	LETL rats	Models of transplantation
	New Zealand White rabbit	Preventatives of $\beta$ -cell destruction
	Chinese hamster	
Pancreatectomized	Westran pigs	Restoration of normoglycemia
	Dogs	$\beta$ -cell regeneration
Virally induced	Coxsackie B virus	Role of viruses in the induction of T1D
	Encephalomyocarditis virus	
	Kilham rat virus	

**Note:** This table describes the most commonly used animal models for the study of Type 1 diabetes.

**Abbreviations:** BB, biobreeding; LETL, Long-Evans Tokushima Lean; NOD, nonobese diabetic; STZ, streptozotocin; T1D, Type 1 diabetes.

complete destruction of  $\beta$ -cells and the development of hyperglycemia.<sup>24</sup> Alternatively, multiple low-dose administrations, ranging from 20 mg/kg/day to 40 mg/kg/day over a 5-day period, lead to insulinitis in mice.<sup>25</sup> Alloxan, which is commonly administered in mice at a dose of 50–200 mg/kg, generates superoxide free-radicals that destroy  $\beta$ -cells as they do not possess any defense mechanisms against the chemical.<sup>26,27</sup> These models are particularly useful for studying the success of transplantation of pancreatic tissue or putative artificial  $\beta$ -cells, and cytokine-targeted therapies. However, some of the limitations of chemically inducing diabetes are the possible toxicity to other organs and the potential for  $\beta$ -cell regeneration following high-dose STZ administration.<sup>28</sup>

Currently, the most popular choices of the T1D animal model are the spontaneous NOD mouse and the biobreeding (BB) rat. These models manifest with autoimmune diabetes similar to that observed in humans and currently dominate the literature. The NOD mouse was developed at the Shionogi Research Laboratories, Osaka, Japan, in 1974.<sup>29</sup> NOD mice typically develop insulinitis at around 3–4 weeks of age, during

which the pancreatic islets are infiltrated by CD4<sup>+</sup> and CD8<sup>+</sup> lymphocytes and to a lesser degree by B cells and natural killer (NK) cells.<sup>30</sup> They develop typical diabetes between 12 weeks and 30 weeks of age. Due to the parallel in T1D clinical manifestation between NOD mice and humans, the former have been useful in identifying pathways that lead to T1D and are also suitable for testing therapies that modulate the autoimmune response.<sup>31</sup> More recently, NOD mice are being used to study the success of cell therapies to either modulate the autoimmune response<sup>32–34</sup> or directly control hyperglycemia by artificial  $\beta$ -cells.<sup>35–37</sup> Nonetheless, some major differences do exist, such as the inbred nature of this model, allowing the genetic susceptibility to diabetes development in NOD mice to be easily traced. This is evidenced by the fact that female mice are susceptible whereas males are not.<sup>38</sup> In humans, susceptibility to the development of T1D is governed by a much more complex interaction of genetic and environmental stimuli. In addition, there is evidence that NOD mice develop lymphopenia, a characteristic not seen in the human situation.<sup>39</sup> An isolated genetic contamination of the NOD mouse outcrossed with a C57BL mouse produced an insulinitis-resistant and diabetes-free strain named the nonobese resistant (NOR) mouse, which is now routinely used as a major histocompatibility complex-matched control mouse for studies using NOD mice.<sup>40</sup> The BB rat, which spontaneously develops autoimmune diabetes, was derived from outbred Wistar rats in 1974.<sup>41</sup> They develop diabetes between 8 weeks and 16 weeks of age, and similar to NOD mice display insulinitis with the infiltration of T cells, B cells, macrophages, and NK cells. However, like NOD mice, these animals develop lymphopenia and therefore are not as routinely used as NOD mice.<sup>39,42</sup> Other animal models of spontaneous autoimmune diabetes include the LEW.1AR1/Ztm-iddm rats,<sup>43</sup> the Keeshond dog,<sup>44</sup> and the Celebes black ape (*Macaca nigra*).<sup>45</sup>

In addition to these main animal models of T1D, genetically and virally induced models are available for research purposes. The AKITA mouse was derived in Akita, Japan, from a C57BL/6NSlc mouse with a spontaneous mutation in the insulin 2 gene, which results in a severe insulin-dependent diabetes beginning at 3–4 weeks of age, and is used to study the success of islet transplantation.<sup>46</sup> Since the implication of viruses in the pathogenesis of T1D, viruses have been used to induce diabetes in animal models. These include the coxsackie B virus,<sup>47</sup> the encephalomyocarditis virus,<sup>48</sup> and the Kilham rat virus.<sup>49</sup> The remainder of this review will focus on the use of viral gene transfer to a variety of cell types for the production of artificial  $\beta$ -cells

and assessment of the success of these cell therapies in animal models of diabetes via autologous or allogeneic transplantation.

## Selecting a suitable vector for gene transfer

Viruses possess the natural capacity to infect and deliver genes to cells and, as a consequence, have been engineered to not replicate yet efficiently transduce infected cells with genes of interest for a number of purposes. The ability to integrate the genes of interest into the genome of a target cell allows for long-term expression of the transgene, resulting in a sustained therapeutic effect. The engineering of  $\beta$ -cells for the treatment of T1D would preferably employ integrating viral vectors to provide a sustained therapeutic benefit over the life of the patient without the need for multiple administration of the treatment.

The most commonly used gene delivery vector is the retroviral vector, which is derived from disabled murine virus.<sup>50</sup> Although these vectors are capable of integrating into the host genome, a limitation of their use is their risk of insertional mutagenesis.<sup>51</sup> A study on the use of retroviral vectors for the treatment of severe combined immunodeficiency (Scid) resulted in the development of leukemia in four of the nine patients, and demonstrated the site-specific preferences of integration for retroviruses to be in close proximity to the protooncogenes.<sup>52,53</sup> The use of retroviral vectors is also limited by their ability to only transduce dividing cells, posing a challenge for the transduction of nondividing cells such as the liver. When transducing bone marrow-derived mesenchymal stem cells (BMSCs) with a retroviral vector containing the insulin gene under the control of the cytomegalovirus (CMV) promoter, it was found that these cells were able to secrete insulin, maintain normal blood glucose levels, and evade autoimmune destruction upon transplantation in STZ-diabetic mice for 42 days.<sup>54</sup>

Adenoviral vectors transduce nondividing cells episomally and therefore only provide transient gene expression.<sup>50,55</sup> In some cases, immune responses against the viral proteins have been reported,<sup>56,57</sup> and to overcome the immunogenicity of the viral capsid proteins, a “gutless” adenovirus was developed.<sup>58</sup> Despite displaying a reduction in immunogenicity, immunosuppressants are still required to manage immune responses activated following treatment.<sup>59</sup> In addition, preexisting immunity to adenovirus in humans limits the use of multiple administrations of the vector that would be required for sustained therapeutic effects. The ability of adeno-associated viral (AAV) vectors to transduce both dividing and nondividing

cells makes them a suitable vector of choice for gene transfer. However, they have a limited gene cargo capacity of <5 kb.<sup>60</sup> Due to their site-specific nature of gene integration in target cells, AAV insertion sites can be predicted and potentially oncogenic consequences avoided. A study using AAV vectors to directly deliver the preproinsulin gene to livers of chemically induced STZ mice<sup>61</sup> transiently reduced blood glucose levels, and supports the utility of AAV for insulin gene transfer.

An attractive candidate for gene therapy is lentiviral vectors (LVs) because they are capable of transducing both nondividing and dividing cells.<sup>62</sup> LVs are derived from the human immunodeficiency virus (HIV), so biosafety was a concern for their application as therapeutics. By introducing deletions in the long terminal repeat (LTR) promoter, the likelihood of generating a replication-competent virus was reduced and greater safety for clinical application was achieved.<sup>63</sup> Within our laboratory, LV is currently the gene transfer vector of choice for corrective gene therapy. We have successfully used a LV, HMD, which has a murine stem cell virus promoter to deliver furin-cleavable insulin (INS-FUR) to the livers of STZ-diabetic rats,<sup>18</sup> NOD mice,<sup>19</sup> and pancreatectomized Westran pigs.<sup>20</sup> In these animal models, we observed spontaneous expression of  $\beta$ -cell transcription factors, formation of storage granules, and permanent reversal of diabetes.

## Target cells for T1D gene therapy

Monkey kidney cells and fibroblasts were the first targets of somatic cell gene therapy for T1D.<sup>64,65</sup> Unfortunately, these cells do not possess characteristics similar to those of  $\beta$ -cells, and as a result were not able to produce biologically active insulin. Despite employing extensive genetic manipulation to such cell types, the generation of functional artificial  $\beta$ -cells was not achieved. Similarly, targeting of muscle cells has been examined sparingly due to their lack of  $\beta$ -cell characteristics. However, a study using vascular smooth muscle cells transduced with INS-FUR under the control of a glucose-regulatable promoter was able to reduce blood glucose levels in spontaneously diabetic congenic BB rats for a period of 6 weeks, after which exogenous insulin therapy was required.<sup>66</sup> A more sustained reversal of diabetes was achieved in STZ-diabetic mice for >4 months following the dual expression of insulin and glucokinase (GK) in muscle.<sup>67</sup> However, it was quickly determined that the ideal target cells for the successful generation of functional artificial  $\beta$ -cells would be derived from an endodermal origin and possess characteristics similar to those of  $\beta$ -cells such as a

glucose-sensing system, proinsulin-processing enzymes, and exocytosis system.<sup>68</sup>

Pituitary cells possess both proinsulin-processing enzymes and secretory granules, and have been modified to produce insulin via the transfer of a recombinant plasmid containing human preproinsulin cDNA.<sup>15</sup> Although these cells produced biologically active insulin, they lacked glucose responsiveness and therefore required further genetic modification via the transduction of GLUT2 and GK. Following the additional modifications, the modified cells became glucose-responsive, albeit at subphysiological levels. In addition, following transplantation, the insulin function was inhibited by the *in vivo* secretion of adrenocorticotropic-hormone-stimulated glucocorticoid synthesis, limiting their therapeutic efficacy.<sup>69</sup>

A more promising target for gene transfer are liver cells as they are derived from the same endodermal origin as the pancreas and possess the key glucose-responsive elements (G1REs) GLUT2 and GK.<sup>70</sup> Despite lacking proinsulin-processing enzymes and secretory granules, the induced expression of mature insulin is possible via the targeted expression of INS-FUR.<sup>16,18</sup> Our laboratory has shown that the expression of insulin in a liver cell line that had endogenous expression of  $\beta$ -cell transcription factors led to pancreatic transdifferentiation, formation of secretory granules, and a regulated response to glucose with reversal of diabetes.<sup>16</sup> Many studies that have attempted to reproduce this phenomenon by simply expressing either insulin or insulin analogs in liver without the expression of  $\beta$ -cell transcription factors have only reported constitutive release of insulin that is not stored or secreted in a regulated fashion.<sup>71–73</sup>

More recently, mesenchymal stem cells (MSCs) have been targeted for gene transfer due to their extensive immunomodulatory capacity and reported ability to evade immune rejection.<sup>74–77</sup> Most use of MSCs in diabetes reversal in animal models has been in the form of native MSC transplantations aimed at modulating immune responses.<sup>78,79</sup> However, currently most MSC research is being driven toward the generation of artificial  $\beta$ -cells via chemically induced differentiation protocols or gene transfer.<sup>80</sup> Artificial  $\beta$ -cells can be obtained from BMSCs via the use of a high-glucose culture medium<sup>81</sup> or a nicotinamide-enriched medium to induce differentiation.<sup>82</sup> Similarly, a three-step differentiation protocol has been shown to produce glucose-responsive artificial  $\beta$ -cells from BMSCs with high expression levels of *Pdx-1*, insulin, and glucagon.<sup>83</sup> BMSCs retrovirally transduced with *Pdx-1* generated artificial  $\beta$ -cells that reduced blood glucose concentrations beginning 12 days post transplantation in

STZ-diabetic/*Scid* mice, and displayed a normal glucose tolerance until 6–8 weeks post transplantation.<sup>35</sup>

## Glucose-responsive production of insulin

Ultimately, T1D gene therapy aims to create artificial  $\beta$ -cells that reproduce the mechanism of insulin secretion that occurs normally within  $\beta$ -cells. Normally, insulin is initially translated as a proinsulin precursor in the endoplasmic reticulum (ER); it is then transported from the ER to the Golgi apparatus and crosses the Golgi network before being sorted into clathrin-coated immature insulin secretory granules (ISGs).<sup>84,85</sup> Various biochemical modifications trigger the maturation of ISGs, and following glucose stimulation the mature ISGs secrete their insulin content into the extracellular space. Although the pancreatic  $\beta$ -cell contains ~10,000 ISGs, only 100–200 ISGs are capable of quickly releasing their insulin content in response to increases in cytosolic  $[Ca^{2+}]$ .<sup>84</sup> This means that there is a “reserve pool” of ISGs which can be induced to release insulin, resulting in the minute-to-minute regulation of insulin secretion in response to changes in blood glucose levels. One of the major hurdles for T1D gene therapy is the inability to reproduce the regulated mechanism of insulin secretion in pancreatic  $\beta$ -cells. Consequently, the use of glucose-responsive promoters attached to pancreatic transcription factors or the insulin gene have been investigated in an attempt to engineer the physiologically regulated production of insulin.

Table 2 summarizes a number of studies in which the insulin gene has been expressed in various cell types with the aim of reversing T1D. Three promoters have been identified (L-type pyruvate kinase [LPK], Spot 14, and glucose-6-phosphate) that regulate the expression of a variety of genes in the liver in response to extracellular glucose concentrations.<sup>62</sup> These promoters have been used in association with the insulin gene for gene transfer in attempts to reproduce the glucose-responsive production of insulin that occurs in normal  $\beta$ -cells. The G1RE found in the LPK promoter has been found to regulate the transcription of proinsulin in response to glucose.<sup>86,87</sup> In particular, the work by Thule et al showed that, by injecting diabetic rats with a construct containing three copies of the G1RE from the LPK promoter, an inhibitory element from the insulin-like growth factor binding protein (IGFBP)-1 promoter and a modified proinsulin gene, normoglycemia was nearly achieved.<sup>87,88</sup> STZ-induced diabetic mice expressing a human proinsulin gene under the control of the LPK promoter expressed proinsulin mRNA in the liver, with the transcription of proinsulin

mRNA regulated by diet. However, STZ-induced diabetic mice did not increase the expression of insulin mRNA due to inhibition of GK by glucagon.<sup>89</sup> This was overcome by inducing basal levels of expression of transgenic insulin with a simian virus 40 (SV40) enhancer that stimulated GK expression, which in turn activated expression of transgenic insulin via the LPK promoter.<sup>86</sup> Promising work using a glucose-6-phosphate promoter showed that glucose-responsive production of insulin could be induced in rat hepatoma cells. However, this was limited by low levels of insulin production as a consequence of the negative feedback by the insulin produced.<sup>90</sup>

More recently, transcriptional analysis of BMSCs grown under varying concentrations of glucose identified a number of glucose-responsive promoters, and of particular interest, the early growth response-1 (EGR1) promoter which was capable of expressing insulin in transduced BMSC in a glucose-responsive manner.<sup>91</sup> Upon implantation of the cells in STZ-diabetic-induced NOD/*Scid* mice, the transduced cells were capable of restoring normoglycemia, glucose tolerance, and body weight in this model. Interestingly, the EGR1 promoter was not sensitive to transgenic expression of insulin, because it is known that the EGR1 promoter can be activated by both glucose and insulin.<sup>92</sup> However, as NOD/*Scid* mice are not a model of autoimmune diabetes, such work should ideally be performed in immune-competent models of autoimmune diabetes, such as NOD mice, that intrinsically develop insulinitis around 3–4 weeks of age and progress to full-fledged diabetes between 12 weeks and 30 weeks.<sup>93</sup>

Investigating tissue-specific regulation of pancreatic hormones or proteins would hopefully reveal the underlying mechanisms governing the expression of those factors. As a result, the insulin promoter that is activated by glucose has been intensively studied to identify these G1REs in the hopes of better recreating the physiological regulation of insulin production.<sup>94,95</sup> A study by Sander et al discovered a strong G1RE (Z element) in the distal region of the human insulin promoter,<sup>94</sup> elucidating one of the mechanisms that provides glucose-sensitive regulation of insulin in primary cultured islet cells. More recently, the pancreatic-derived factor (PANDER), a newly discovered cytokine-like protein that is strictly expressed in the pancreatic islets, was analyzed for its glucose-responsive nature of expression.<sup>96</sup> It revealed that the 5'-untranslated region of the PANDER promoter contained the G1REs that drive PANDER expression that mimic insulin expression, and that the PANDER promoter could potentially be used to drive transgenic insulin expression in alternative cell targets.

**Table 2** Insulin used for the reversal of T1D in animal models

Insulin used	Target cell/tissue	Vector used	Animal model	Outcome	References
INS-FUR	Liver (in vivo)	pPAX2 (lentivirus)	LEW.IAR1/Ztm-iddm rats	Blood glucose concentrations were normalized in the treated animals, no transdifferentiation or expression of $\beta$ -cell transcription factors, constitutive insulin expression, and no development of insulin secretory granules	71
	Liver (in vivo)	HMD (lentivirus)	NOD mice, STZ-rats, pancreatectomised Westran pigs	Spontaneous expression of key $\beta$ -cell transcription factors ( <i>Pdx-1</i> , <i>Neurog3</i> , and <i>NeuroD1</i> ), expression of pancreatic hormones, development of insulin secretory granules and normal intravenous glucose tolerance, permanent reversal of diabetes	18–20
	Murine and porcine BMSC (ex vivo)	pTopo3EGR1chINS (plasmid)	NOD/ <i>Scid</i> mice	Transduced cells were capable of restoring normoglycemia, glucose tolerance, and body weight in a dose-responsive manner	91
	Liver (in vivo)	Ad/(GIRE) <sub>3</sub> BP-1 2xfur (adenovirus)	STZ-rats	Hepatic production of human insulin produced near normal glycemia, and weight gain without exogenous insulin, and without lethal hypoglycemia	88
	Vascular smooth muscle (in vivo)	Lh1*TFSN (retrovirus)	BB rats	Major reduction in insulin requirement to as low as 25% of pretreatment level for up to 3 months, characteristic decline in blood glucose after IPGTT; hypoglycemic episodes	66
Insulin cDNA	GFP-mMSC (ex vivo)	MSCV-Ins (retrovirus)	STZ-C57BL/6j mice	Diabetes could be relieved effectively for up to 6 weeks by intrahepatic transplantation of GFP-mMSC-MSCV-Ins stem cells expressing human insulin in the liver. Cells were not glucose responsive; constitutive release of insulin	54
	Huh7 cells (ex vivo)	pRcCMV (plasmid)	STZ-NOD/ <i>Scid</i> mice	Developed insulin storage granules and exhibited regulated secretion of insulin in response to increasing concentrations of glucose. After transplantation of Huh7ins into NOD/ <i>Scid</i> mice, diabetes was reversed	16
	Skeletal muscle (in vivo)	AAVI-Ins+GK (adeno-associated virus)	STZ-mice	Mice restored and maintained normoglycemia in fed and fasted conditions for >4 months after STZ administration. Mice showed normalization of metabolic parameters, glucose tolerance, and food and fluid intake	67

**Notes:** This table describes a number of studies utilising in vivo or ex vivo insulin gene transfer for the reversal of T1D in animal models. It does not list all studies performed in these scenarios, nor does it describe any in vitro insulin gene transfer studies.

**Abbreviations:** BB rats, biobreeding rats; BMSC, bone marrow-derived MSC; MSC, mesenchymal stem cells; GFP-mMSC, green fluorescent protein murine mesenchymal stem cells; INS-FUR, furin-cleavable insulin; Ins, insulin; NOD, nonobese diabetic; STZ, streptozotocin; HMD, human immunodeficiency virus, murine stem cell lentiviral vector; T1D, Type 2 diabetes; *Scid*, severe combined immunodeficiency; GK, glucokinase; GIRE, glucose-responsive element; IPGTT, intraperitoneal glucose tolerance test; MSCV, mouse stem cell virus; AAVI, adeno-associated viral vector containing insulin and glucokinase.

One of the limitations of transcriptionally regulated insulin production in transplanted alternative cell targets is their delay in responding with immediate insulin secretion when challenged with glucose. As insulin secretion is linked to insulin transcription, the minute-to-minute glycemic control, which occurs in normal  $\beta$ -cells, is not present in these engineered target cells. This is due to the absence of secretory granules that store and immediately secrete insulin in response to fluctuations in blood glucose concentrations. To overcome this limitation, engineering a promoter expressing high levels of insulin in response to fluctuating glucose

concentrations, yet possessing insulin-sensitivity as a feedback mechanism, could more closely mimic the normal physiology of insulin secretion. However, the successful adaptation of this technology in vivo would require the development of granules similar to ISGs to regulate glycemia.

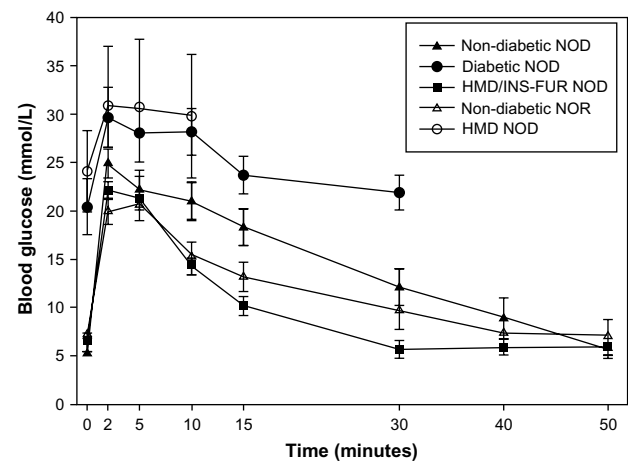
## Gene transfer of insulin

Our laboratory has shown that the ability of liver cells to store and secrete insulin, and undergo pancreatic differentiation, is linked to the induced expression of certain  $\beta$ -cell transcription factors. We were the first to show a number of cutting-edge

developments in this field and, very importantly, have never seen the development of exocrine differentiation and tissue destruction often seen in studies of liver-directed gene therapy where *Pdx-1* was used.<sup>17,97</sup> This is, in part, due to the alternative choice of genes used for the viral delivery to hepatocytes.

In several animal models, we have delivered INS-FUR, within the LV human immunodeficiency virus, murine stem cell lentiviral vector, to the liver by using a surgical technique that isolated the liver from the circulation, allowing the LV to settle in the liver without the problem of excessive inactivation from the blood. We permanently reversed diabetes in STZ-diabetic rats<sup>18</sup> and spontaneously diabetic NOD mice.<sup>19</sup> In both studies, we reported spontaneous expression of key  $\beta$ -cell transcription factors (*Pdx-1*, *Neurog3*, and *NeuroD1*), which are important in the development of insulin storage and regulated insulin expression in pancreatic  $\beta$ -cells.<sup>98–101</sup> Some later stage transcription factors (*Pax4* and *Nkx2.2*) were also expressed. Our lentiviral transduction procedure may have represented a cellular insult, making progenitor cells permissive to a pancreatic developmental shift. Consistent with this, expression of *Pdx-1*, but only at the mRNA level, was also observed after treatment with the empty vector alone. Expression of insulin was necessary for protein expression of transcription factors. There was also expression of pancreatic hormones and development of ISGs, and normal intravenous glucose tolerance tests were observed in the STZ-diabetic rat and NOD mouse (Figure 1). Furthermore, insulin expression was restricted to the liver. In the NOD mouse study, there was no evidence of intrahepatic inflammation or autoimmune destruction of the insulin-secreting liver tissue. By contrast, in our NOD mouse study and a similar study by Elsner et al, a simple injection of insulin into the portal circulation resulted in unregulated constitutive release of insulin, no pancreatic transdifferentiation, and an abnormal glucose response.<sup>19,71</sup> Pancreatic transdifferentiation of the liver has been seen in other situations: following a dose of the hepatotoxin carbon tetrachloride<sup>102</sup> and when oval cells were cultured in high glucose.<sup>103,104</sup> We are yet to define the mechanism that has resulted in pancreatic differentiation in our studies, which is being analyzed at the molecular level in our laboratory.

We have also reversed diabetes in a diabetic pig model, which was characterized by normal glucose tolerance, together with expression of  $\beta$ -cell transcription factors.<sup>20</sup> However, reproducible results were problematic in the large animal due to the complexity of the surgical approach. Subsequently, viral delivery of INS-FUR has become a popular choice for gene therapy, with a number of studies showing



**Figure 1** Plasma glucose levels following an IVGTT in NOD mice treated with INS-FUR in a lentiviral vector (HMD).

**Notes:** An IVGTT was performed on NOD (12–16 weeks) and NOR mice, as well as HMD-treated and HMD/INS-FUR-treated NOD mice, 5 months after reversal of diabetes. ( $n=5$ , data were examined by one-way analysis of variance after log transformation of data and expressed as the mean  $\pm$  SEM). Modified from Ren B, O'Brien BA, Byrne MR, et al. Long-term reversal of diabetes in non-obese diabetic mice by liver-directed gene therapy. *J Gene Med.* 2013;15(1):28–41.<sup>19</sup> Copyright © 2013 John Wiley & Sons, Ltd.

**Abbreviations:** IVGTT, intravenous glucose tolerance test; INS-FUR, furin-cleavable insulin; HMD, human immunodeficiency virus, murine stem cell lentiviral vector; NOD, nonobese diabetic; NOR, nonobese resistant; SEM, standard error of mean.

amelioration of hyperglycemia in rodent models. However, abnormal glucose tolerance was observed as a result of a lack of pancreatic transdifferentiation and expression of  $\beta$ -cell transcription factors.<sup>105–108</sup>

Studies in our laboratory have also shown that these insulin-secreting liver cells are resistant to the detrimental effects of  $\beta$ -cell cytotoxins and proinflammatory cytokines that play a principle role in the pathogenesis of T1D.<sup>109,110</sup> In other experiments, no infiltrates of immune cells were observed in NOD mice engineered to express insulin in their livers.<sup>19,111</sup> These studies established that liver cells are appropriate candidates for the creation of artificial  $\beta$ -cells, and also highlighted that dual expression of insulin and  $\beta$ -cell transcription factors gave a better outcome than expression of either alone. Xu et al studied the retroviral transduction of BMSCs with an insulin gene under the control of the CMV promoter and their ability to restore normoglycemia in STZ-diabetic mice.<sup>54</sup> It was found that these BMSCs successfully expressed insulin and were able to maintain normoglycemia for at least 42 days. In addition, the transduced BMSCs were able to evade the autoimmune destruction that ordinarily targets pancreatic islets.

## Gene transfer of $\beta$ -cell transcription factors

During embryonic development, the pancreas is derived from the early gut endoderm. Intrinsic and extrinsic factors

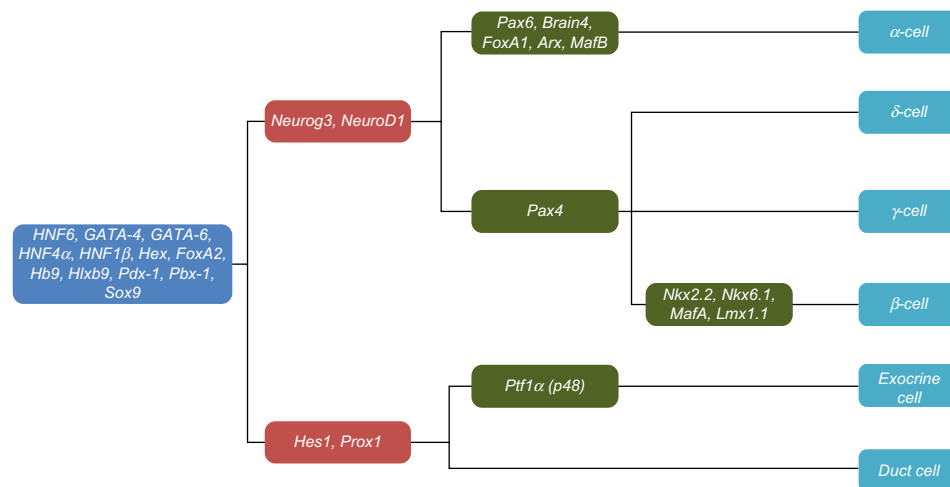
direct the formation of the dorsal and ventral pancreatic buds, with the buds later rotating and fusing to form the early pancreas.<sup>112,113</sup> Islet cell differentiation is regulated by the expression of  $\beta$ -cell transcription factors (Figure 2) during embryogenesis, and during adult life the transcription factors regulate the expression of pancreatic hormones. Endodermal formation is linked to expression of the Forkhead Box factors *FoxA1* and *FoxA2*, where deletions of *FoxA2* have been shown to interfere with the formation of endoderm in mouse models.<sup>114</sup> *Pdx-1* is considered the “master regulator” of pancreatic development, as it is involved in both the early development of the pancreas and in the functioning of mature  $\beta$ -cells during adulthood.<sup>113,115</sup> Differentiation of the exocrine and endocrine pancreatic partitions occurs rapidly following the fusion of the dorsal and ventral buds, with expression of *Hes-1* and *Neurog3* in the precursor cells directing the corresponding compartmental fates via notch signaling.<sup>116</sup> Persistent expression of *NeuroD1* maintains endocrine cell fate.<sup>117</sup> A study using homozygous *NeuroD1*<sup>-/-</sup> mice showed a decrease in the growth of insulin-producing cells.<sup>118</sup>

Once endocrine cell fate has been established, the transcription factors *Pax4* and *Pax6* direct the differentiation of individual hormone-producing cells.<sup>113</sup> Differentiation of  $\beta$ -cells is eventually driven by the expression of *Nkx2.2* and *Nkx6.1*. Interestingly, expression of *Nkx2.2* has been observed in  $\alpha$  and PP-cells; however, its knockout results in the disruption of the development of  $\beta$ -cells.<sup>119</sup> Taken together, these results suggest that *Nkx2.2* and *Nkx6.1* are imperative for  $\beta$ -cell differentiation.

Due to the limitations of pancreas and islet transplantation, the requirement for the generation of an alternative  $\beta$ -cell

that produces insulin in a regulated manner while evading degradation by the immune system is of utmost importance. Table 3 summarizes a number of studies which have expressed beta cell transcription factors for the reversal of T1D in animal models. The generation of artificial  $\beta$ -cells for the reversal of diabetes through the transfer of pancreatic transcription factors has been extensively studied in liver tissue due to its common developmental origin with the pancreas,<sup>68</sup> which makes it readily able to transdifferentiate. Ferber et al directly delivered the  $\beta$ -cell transcription factor *Pdx-1* to liver tissue via a recombinant adenovirus in an endeavor to correct hyperglycemia by inducing the expression of insulin in the liver in vivo.<sup>17</sup> The study showed that expression of *Pdx-1* in the livers of diabetic mice resulted in insulin expression and secretion, and as a consequence, the maintenance of normal blood glucose levels. However, the restoration of normoglycemia was only for 8 days, which is considerably short. Furthermore, the development of hepatitis in the liver due to exocrine differentiation led to an increased likelihood of autoimmune destruction.<sup>17,97</sup>

Similarly, Kojima et al reported the development of exocrine differentiation in the livers of STZ-diabetic mice after delivering *Pdx-1* with the use of a helper-dependent adenovirus.<sup>97</sup> This was most likely due to the continuous expression of high levels of *Pdx-1* as a consequence of the use of the ubiquitously expressed elongation factor-1 $\alpha$  promoter. To date, the process of transdifferentiation from hepatocytes to pancreatic tissue via the direct delivery of *Pdx-1* has been performed on multiple occasions.<sup>37,120–123</sup> However, direct delivery of *Pdx-1* has been pursued in a variety of other cell types, including mouse pancreas via the bile duct,<sup>124</sup> rat intestinal epithelium-derived cells (IEC-6),<sup>125</sup> and primary



**Figure 2**  $\beta$ -cell transcription factor hierarchy.

**Notes:** Pancreatic hormone-producing cell differentiation and function is governed by the temporal and spatial expression patterns of the pancreatic transcription factors. The differentiation of insulin-producing  $\beta$ -cells is directed by the expression of *Pdx-1*, *Neurog3*, *NeuroD1*, *Pax4*, *Nkx2.2*, *Nkx6.1*, and *MafA*.



**Table 3**  $\beta$ -cell transcription factors used for the reversal of T1D in animal models

Transcription factor used	Target cell/tissue	Vector used	Animal model	Outcome	Reference
<i>Pdx-1</i>	Liver (in vivo)	Recombinant-adenovirus	STZ-Balb/c and STZ-C57BL/6	Expression of <i>Pdx-1</i> resulted in an increase in hepatic immunoreactive insulin content and an increase of 300% in plasma immunoreactive insulin levels, compared with that in mice treated with control adenovirus. Hepatic immunoreactive insulin induced by <i>Pdx-1</i> was processed to mature mouse insulin 1 and 2 and was biologically active; it ameliorated hyperglycemia in diabetic treated mice	17
	Liver (in vivo)	HDAD	STZ-mice	Produced hypoglycemia that lasted only about a week, exocrine differentiation in the liver resulting in fulminant hepatitis	97
	Hepatocytes (ex vivo)	Lentivirus	STZ- <i>Scid</i> mice	Transduced cells expressed insulin at both mRNA and protein level, and showed glucose-responsive production of insulin with expression of a number of $\beta$ -cell transcription factors. Upon transplantation in STZ- <i>Scid</i> mice, reduced blood glucose levels for up to 2 months	37
	Liver (in vivo)	Recombinant-adenovirus	STZ-Balb/c mice	Hepatic insulin production that reversed diabetes for up to 8 months; normal hepatic function. Transdifferentiation of liver tissue characterized by persistent expression of endogenous $\beta$ -cell transcription factors	118
	Liver (in vivo)	AAV and plasmid/unrelated adenovirus cotransfection	STZ-C57BL/6 mice	AAV-mediated transfer of <i>Pdx-1</i> did not result in any improvement in hyperglycemia in the diabetic mice. However, cotransfection of plasmid containing the $\beta$ -cell transcription factor with an unrelated adenovirus corrected diabetes for up to 2 months. No deleterious effects on liver function	119
	Hepatocytes (ex vivo)	Recombinant adenovirus	STZ-NOD/ <i>Scid</i> mice	<i>Pdx-1</i> treated human liver cells expressed and stored insulin in defined granules, and secreted the hormone in a glucose-regulated manner. When transplanted under the renal capsule of diabetic NOD/ <i>Scid</i> mice, the cells ameliorated hyperglycemia for prolonged periods of time	120
	BMSC (ex vivo)	Retrovirus	STZ- <i>Scid</i> mice	Expression of all four islet hormones and transcription factors except <i>NeuroD1</i> . Significant insulin content, as well as glucose-stimulated insulin release. Cell transplantation into STZ- <i>Scid</i> mice resulted in further differentiation, including induction of <i>NeuroD1</i> , and reduction of hyperglycemia	35
	MSC (ex vivo)	Recombinant-adenovirus	STZ-mice	Transduced MSCs expressed multiple islet-cell genes including <i>Neurog3</i> , insulin, GK, GLUT2, and glucagon. Produced and released insulin/C-peptide in a weak, glucose-regulated manner. Upon transplantation into STZ-mice, euglycemia was maintained for at least 42 days	129
	AMSC (ex vivo)	Retrovirus	STZ-mice	Stable expression of <i>Pdx-1</i> in AMSCs did not induce the pancreatic phenotype in vitro. Upon transplantation, STZ-mice showed significantly decreased blood glucose levels and increased survival. Transplanted stem cells became engrafted in the pancreas, wherein they expressed insulin and C-peptide	133
<i>Neurog3</i>	Liver (in vivo)	Adeno-associated virus and plasmid/unrelated adenovirus cotransfection	STZ-C57BL/6 mice	AAV-mediated transfer of <i>Neurog3</i> did not result in any improvement in hyperglycemia in the diabetic mice. However, co-transfection of plasmid containing the $\beta$ -cell transcription factor with an unrelated adenovirus corrected diabetes for up to 2 months. The livers from mice treated with <i>Neurog3</i> and AdVhFIX exhibited cystic lesions and enlarged nuclei	121
	Liver (in vivo)	Recombinant-adenovirus	STZ-C57BL/6 mice	<i>Pdx-1</i> /VP16 expression, together with <i>NeuroD1</i> or <i>Neurog3</i> , markedly induced insulin gene transcription and ameliorates glucose tolerance	138

(Continued)

**Table 3** (Continued)

Transcription factor used	Target cell/tissue	Vector used	Animal model	Outcome	Reference
	Pancreas (in vivo)	Adenovirus	<i>Rag1</i> <sup>-/-</sup> /NOD mice	Combination of three transcription factors <i>Neurog3</i> , <i>Pdx-1</i> , and <i>MafA</i> reprogrammed differentiated pancreatic exocrine cells in adult mice into cells that closely resemble $\beta$ -cells. The induced $\beta$ -cells were indistinguishable from endogenous islet $\beta$ -cells in size, shape and ultrastructure. They expressed genes essential for $\beta$ -cell function and ameliorated hyperglycemia by remodeling local vasculature and secreting insulin	125
<i>NeuroD1</i>	Liver (in vivo)	HDAD	STZ-mice	Diabetes was partially reversed by a combination of <i>NeuroD1</i> and betacellulin, without producing hepatitis. Treated mice were healthy and normoglycemic for the duration of the experiment (>120 days). Detected insulin and other islet-specific transcripts, including proinsulin-processing enzymes, $\beta$ -cell transcription factors <i>Neurog3</i> , <i>Pax6</i> , <i>Pax4</i> , <i>Nkx2.2</i> , and <i>Nkx6.1</i> . Immuno-electron microscopy showed typical insulin-containing granules	97
	H4IIE cells (in vitro + in vivo)	Retrovirus	STZ-NOD/ <i>Scid</i> mice	Following transduction, cells were able to synthesize, store and secrete insulin within storage granules. Expressed <i>Pdx-1</i> , <i>NeuroD1</i> , <i>Pax6</i> , <i>Nkx2.2</i> , and <i>Nkx6.1</i> , in addition to rat insulin 1 and 2, glucagon, somatostatin, proconvertase 1 and 2 (PC1/2), and pancreatic polypeptide. Upon transplantation in NOD/ <i>Scid</i> mice, the cells secreted insulin in response to increasing concentrations of glucose and restored normoglycemia	141

**Notes:** This table describes a number of studies utilising in vivo or ex vivo  $\beta$ -cell transcription factor gene transfer for the reversal of T1D in animal models. It does not list all studies performed in these scenarios, nor does it describe any in vitro  $\beta$ -cell transcription factor gene transfer studies.

**Abbreviations:** AAV, adeno-associated virus; AMSC, adipose-derived MSC; BMSC, bone marrow-derived MSC; HDAD, helper-dependent adenovirus; MSC, mesenchymal stem cells; T1D, Type 1 diabetes; NOD, nonobese diabetic; STZ, streptozotocin; *Scid*, severe combined immunodeficiency; GK, glucokinase.

duct cells.<sup>126</sup> Delivery of a combination of pancreatic transcription factors (*Pdx-1*, *Neurog3*, and *MafA*) was successful in converting pancreatic exocrine cells in vivo to closely resemble  $\beta$ -cells,<sup>127</sup> and served as evidence for the use of transcription factor combinations. Despite the transduced cells showing all the characteristics of normal  $\beta$ -cells, they were limited by the low number of successfully converted exocrine cells and the fact they did not organize themselves into islet structures.

Due to the success of *Pdx-1* to induce pancreatic trans-differentiation and generate artificial  $\beta$ -cells in a number of differentiated cell types, there was a logical transition to stem cells as targets to exploit their regenerative capabilities and plasticity. Considering that MSCs possess unique immune-evading capabilities, their use as targets for gene transfer has been pursued with great interest. *Pdx-1* has been delivered to MSCs from a variety of sources, including BMSC,<sup>35,128–132</sup> umbilical cord MSC,<sup>133</sup> and adipose-derived MSC<sup>36,134,135</sup> with varying success in the generation of glucose-responsive artificial  $\beta$ -cells. Embryonic stem cells (ESCs) have also been targeted for transfer of *Pdx-1*, with a study by Miyazaki et al showing that a murine ESC

line (EB3) could be induced to differentiate into artificial  $\beta$ -cells. However, due to a lack of expression of pancreatic genes in vivo, there was no therapeutic potential.<sup>136</sup> As a result, this was followed with a number of studies in other ESC lines<sup>137–139</sup> attempting to improve the generation of artificial  $\beta$ -cells that would be suitable candidates for therapeutic adaptation.

Considering that *Neurog3* has a pivotal role in defining the endocrine cell fate and is located lower in the  $\beta$ -cell transcription factor hierarchy, it could potentially overcome the problem of exocrine differentiation and may be used to produce artificial  $\beta$ -cells. However, most studies have reported low levels of insulin production after delivery of *Neurog3*.<sup>121,126,140–142</sup> A study using adenoviral transfer of *Neurog3* and betacellulin to oval cells resulted in the production of insulin and transdifferentiation;<sup>143</sup> however the most effective use of *Neurog3* delivery was in combination with other transcription factors.<sup>127</sup> To successfully overcome the exocrine differentiation induced by the transfer of *Pdx-1*, Kojima et al expressed *NeuroD1* and betacellulin in the livers of STZ-treated diabetic mice.<sup>97</sup> They demonstrated the restoration of normoglycemia in these

mice for more than 120 days, along with the expression of pancreatic transcription factors *Neurog3*, *Pax6*, *Pax4*, *Nkx2.2*, and *Nkx6.1*. Most importantly, they did not observe any exocrine differentiation or significant hepatotoxicity. The ability of *NeuroD1* to strongly induce insulin expression also makes it an ideal alternative for the generation of artificial  $\beta$ -cells.<sup>126,144</sup>

Our laboratory has reported promising results using viral delivery of *NeuroD1* to a genetically modified rat liver cell line (H4IIE) which does not express  $\beta$ -cell transcription factors. The H4IIE cells were engineered to express both insulin and *NeuroD1*,<sup>145</sup> and following transduction were able to synthesize, store, and secrete insulin within storage granules. Upon transplantation in NOD/*Scid* mice, the cells secreted insulin in response to increasing concentrations of glucose and restored normoglycemia. They also induced the expression of *Pdx-1*, *NeuroD1*, *Pax6*, *Nkx2.2*, and *Nkx6.1*, in addition to rat insulin 1 and 2, glucagon, somatostatin, proconvertase 1 and 2 (PC1/2), and pancreatic polypeptide. This study provides evidence for the potential use of *NeuroD1* in gene therapy protocols to induce safe differentiation.

*Pax4* is necessary for defining  $\beta$ -cell fate and could be used for the generation of artificial  $\beta$ -cells. A study by Liew et al showed that overexpression of *Pax4* in human ESCs enhances their ability to form putative  $\beta$ -cells.<sup>146</sup> This was supported by a study that showed that insulin-producing cells generated via the overexpression of *Pax4* in mouse ESCs and selected for nestin expression were capable of maintaining normal blood glucose levels for 14 days.<sup>147</sup> The use of ESCs as targets for gene therapy is, however, limited by their propensity for teratoma formation, which limits their potential for clinical application.<sup>148,149</sup>

Since knockouts of *Nkx6.1* in mice have shown a disruption in the development of  $\beta$ -cells, the use of the  $\beta$ -cell-specific transcription factor makes it a good candidate for gene transfer applications. It has been demonstrated that ectopic expression of *Nkx6.1* alone is not a strong inducer of upper-hierarchy  $\beta$ -cell transcription factor expression, and that only upon coexpression with *Pdx-1* was it capable of substantial insulin expression and glucose-responsive secretion of insulin.<sup>150</sup> The lack of expression of the full hierarchy of  $\beta$ -cell transcription factors makes *Nkx6.1* a mediocre choice for the generation of artificial  $\beta$ -cells for analysis in animal models of diabetes.

## Conclusion

Reversal of T1D via gene transfer in animal models has had varying success to date. The choice of the model to assess

the success of any potential therapy is of significant importance, as the future clinical application of T1D therapies in humans should be primarily assessed in a model of diabetes which closely resembles the human situation. Currently, the NOD mouse model is the most widely studied and shows similar pathophysiology to human diabetes. With the development of improved molecular techniques such as generalized knock-outs, tissue-specific knockouts, and knock-ins, it should be possible to generate a large number of new animal models for specific diabetes research. Considering the autoimmune nature of diabetes, overcoming recurrent autoimmunity toward engineered cell therapies is one of the major hurdles facing this area of research. In addition, mimicking the tightly regulated control of glucose concentrations which occurs within normal  $\beta$ -cells is a phenomenon that has yet to be exquisitely adapted in current cell therapies. In this review, we have suggested that gene transfer of  $\beta$ -cell transcription factors and insulin show considerable promise in overcoming these challenges. Looking to the future, if a cell therapy is to be brought to the clinic, we believe that the targeting of an allogeneic tissue source capable of circumventing the autoimmune response for the generation of artificial  $\beta$ -cells shows most promise in overcoming the current challenges limiting cell and gene therapies for the treatment of T1D.

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## Disclosure

Ann M Simpson is an inventor in the patent "Cells genetically modified to comprise pancreatic islet glucokinase and uses thereof"; WO 2009021276 A1, Ann M Simpson and Chang Tao, European patent: EP20080782908, Australian patent: AU 2008/001160, United States of America patent: US12/672,832. The authors declare no other conflicts of interest.

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