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2vegetation and grassland during heat waves3Running title: Turbulent flux over grassland and woody vegetation covers4Isa A. M. Yunusa, <sup>a*</sup> Derek Eamus, <sup>b,f</sup> Daniel Taylor, <sup>b</sup> Rhys Whitley, <sup>c</sup> Willis Gwenzi, <sup>d</sup> Anthony R.5Palmer, <sup>e</sup> Zheng Li, <sup>b</sup> 6"School of Environmental & Rural Sciences, University of New England, Armidale NSW 2350,7Australia8 <sup>b</sup> School of Life Sciences University of Technology, Sydney,9Broadway NSW 2007, Australia10"Department of Biology, Macquarie University, NSW 2109, Australia11 <sup>d</sup> Soil Science & Agricultural Engineering, University of Zimbabwe, P. O. Box MP167 Mount12Pleasant, Harare, Zimbabwe13"Centre for African Conservation Ecology, Nelson Mandela Metropolitan University, PO Box1477000, Port Elizabeth 4000, South Africa15"National Centre for Groundwater Research and Training, University of Technology, Sydney, PO16Box 123, NSW 2007 Australia17*Correspondence to I. Yunusa, SERS, UNE, Armidale NSW2351, Australia. Email:
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19 Abstract
20 We compared the capacity of woody versus grassy vegetation covers to buffer high temperatures
21 during heat waves by partitioning turbulent heat between latent ( $\lambda E$ ) and sensible ( <i>H</i> ) fluxes, and
quantifying advection using the Priestley-Taylor coefficient ( $\alpha$ ), for a16-year old grassland and
an adjoining 6-year old plantation of mixed woody species. We found that because $\lambda E$

24 dominated (>65%) the turbulent flux in the plantation, and was at least twice as large as on the grassland on which  $\lambda E$  was a small percentage (<35%) of the turbulent flux during heat waves, 25 the ambient temperature over the plantation was up to 5 °C lower in the afternoon, and averaged 26 about 1.2 °C for the whole day, compared with the grassland. Both vegetation covers emitted 27 28 significant amounts of *H* that was a source of advective energy when soil-water availability was 29 limited, and also in winter when canopy was mostly inactive because of dormancy in the 30 grassland and mutual shading in the plantation due to low solar angle; advection of additional energy from surrounding vegetation suppressed  $\lambda E$  and reduced  $\alpha$  to <1.0 in both vegetation 31 covers in winter. Advection enhanced  $\lambda E$  during periods of frequent rainfalls in summer with 32 33 mean  $\alpha$  rising to 2.6 in the grassland and 3.4 in the plantation. Consistently low  $\lambda E$  but high H 34 made the grassland a source rather than a sink for advective energy, while the plantation was the opposite. The broadleaved evergreen woody vegetation consistently maintained a larger  $\lambda E$  than 35 36 the grassland in this mid-latitude environment, contrary to the smaller  $\lambda E$  observed over mostly coniferous forests at high (northern) latitudes (>35°). Annual evapotranspiration from the 37 38 grassland (384 mm) was only 46% that from the plantation. Woody vegetation covers dominated by broadleaved-species are therefore preferred for buffering extreme high temperatures during 39 heat waves, and recommended for land rehabilitation in populated landscapes. We also 40 developed functions to approximate  $\alpha$  for conditions when soil-water availability is limiting. 41 Key words: advection; Bowen ratio; evapotranspiration; heat wave; land-use change; Priestley-42 Taylor coefficient; sensible heat 43

## 44 **1.0 Introduction**

Maintaining suitable vegetation types can provide relief from heat stress by buffering extreme 45 temperatures during heat waves or periods of excessively hot weather. Although the World 46 Meteorological Organisation defines heat waves as periods during which daily maximum 47 temperature on five consecutive days exceed the normal (1961–1990) average by 5 °C (Frich et 48 al., 2002), individual countries adopt their own threshold. For southern coastal cities in 49 50 Australian, heat wave is any "five consecutive days with maximum temperature at or above 35 °C or three consecutive days at or above 40 °C" (Nairn and Fawcett, 2013). The frequency and 51 severity of heat waves have been increasing and projected to intensify with adverse impacts on 52 ecosystems and community welfare. Heat waves have become more frequent with climate-53 54 change and associated with increasing mortalities in human (Tong et al., 2010) and avian (McKechnie et al., 2010) populations, and in forests that are correlated with amplified 55 temperatures and atmospheric dryness across forested continents (Allen et al 2010;-Eamus et al. 56 57 2013). Heat waves also impose enormous demand on power supply for cooling, which can be significantly alleviated by planting trees to provide evaporative cooling (Sawka et al., 2013). 58 The relative efficacy of woody vegetation compared with grassy vegetation covers in 59 providing respite from high temperature stress during heat waves has lately been questioned 60 (Teuling *et al.*, 2010). The severity of the impact of heat waves on ecosystems and human 61 communities depends on how net radiant energy  $(R_n)$  receipt at the land surface is dissipated 62 between the two forms of turbulent heat transfer: f latent ( $\lambda E$ ) and sensible (H) heat fluxes: 63 64  $R_n = \lambda E + H + G + S$ (1)

in which *G* is the ground heat flux and *S* is the heat tied up in the biological processes of photosynthesis and respiration and is generally considered to be negligible at daily time-scales. In terrestrial ecosystems the relative magnitude of either  $\lambda E$  or *H* depends on the availability of soil-water and the type and condition of the vegetation cover. Hence, maintaining large  $\lambda E$ relative to *H* requires access to extractable supply of soil water.

70 When soil-water is limiting turbulent flux tends to be biased towards *H* at the expense of  $\lambda E$ , because of the hydraulic limitation along the soil-plant continuum (Prior and Eamus, 2000) 71 72 and the high atmospheric demand for water. Therefore vegetation types that retain active canopies, coupled with deep and extensive root systems, generally have a greater capacity to 73 74 sustain larger rates of  $\lambda E$ , thereby dampening the severity of heat waves compared to vegetation 75 types that have seasonal growth and shallow root systems (Moore, 1976). Annual 76 evapotranspiration for woody vegetation can range from 15% to up to a factor of three larger 77 than for grasslands in cool sub-temperate and temperate environments (Eugster and Cattin, 2007; Yunusa et al., 2010b; Yunusa et al., 2012), and by more than 60% in the tropics (Priante-Filho et 78 79 al., 2004; Waterloo et al., 1999). A larger canopy cover and a deeper, more extensive root system allows woody vegetation to sustain larger  $\lambda E$  compared to grasses in arid environments 80 and during hot and dry periods (Yunusa *et al.*, 2012). Hence it is expected that advective 81 82 enhancement of  $\lambda E$  is limited over grasslands compared with woodlands, especially during heat 83 waves.

The foregoing reasoning contradicts several recent studies that reported larger  $\lambda E$  for grasslands than from forest vegetation covers, mostly at high (>35°) latitudes (Baldocchi *et al.*, 2004; Rost and Mayer, 2006; Teuling *et al.*, 2010). An analysis of historical data coupled with

87	more recent measurements in northern Europe by Teuling et al. (2010) found forests to have
88	smaller $\lambda E$ , but larger H, than the grasslands despite the former having larger and deeper root
89	systems. The authors argued that a strong stomatal control of transpiration in trees during
90	unusually hot periods make forest conservative water-users. Rost and Mayer (2006) earlier
91	reported $\lambda E$ to be 10% lower from Scotts pine ( <i>Pinus sylvestris</i> ) compared with nearby
92	grassland. Both the woody and grassy vegetation in these studies had similar accessibility to soil-
93	water supply such that differences in the soil-water was less than 10% between
94	forests/woodlands and the grasses in the studies of Roberts et al. (2005) in the United Kingdom
95	and that of Baldocchi et al. (2004) in the United States. As a result the woody vegetation
96	generated more <i>H</i> , but lower $\lambda E$ , compared with the grassland during hot and dry periods when
97	water-supply was limited (Baldocchi et al., 2004; Teuling et al., 2010). Also the differences in
98	the leaf area indices between the grassland and the woody vegetation were often small, in some
99	cases as little as 0.5 (Baldocchi et al., 2004). Many of the forests and/or woodland in these
100	studies were often dominated by, or contain a considerable proportion of, conifers. These are
101	known for their high canopy resistance compared with broadleaved species (Wilson et al., 2002)
102	or grasslands (Kelliher et al., 1993). Under these conditions therefore, the turbulent flux from
103	grasslands would be dominated more by $\lambda E$ , and likely to benefit more from advection of
104	additional energy than the conifer dominated woody vegetation. Contributions of advection on
105	$\lambda E$ arising from transient and periodic changes in soil-water and meteorological dynamics can be
106	analysed using evaporation coefficient ( $\alpha$ ) in the equation of Priestley and Taylor (1972).
107	Land-use change due to economic and/or social activities often results in significant
108	degradation for which rehabilitation commonly involves revegetation to restore ecosystem and

109 social functions (Eamus et al., 2013; Gwenzi et al., 2012). It is therefore important to consider 110 the capacity of the vegetation in buffering extreme weather conditions to enhance community welfare and to also ensure that vegetation is able to perform the functions required, including 111 dewatering of the soil profile, when choosing vegetation types for rehabilitation in urban and 112 peri-urban environments. In this study we compared surface energy balance for a16-year old 113 114 grassland and a 6-year old plantation of mixed native evergreen broadleaf woody species established over a rehabilitated waste storage site with shallow groundwater. Our objectives were 115 116 to (1) apply the  $\alpha$  to characterise advective impact on  $\lambda E$  from the two vegetation covers, (2) 117 explain how and when latent heat flux can be larger over the plantation than the grassland especially during heat waves, and (3) to close the annual water budget for the two vegetation 118 119 covers.

120 **2.0 Materials and methods** 

#### 121 **2.1 The site**

This study was undertaken at the Waste Management Centre at Castlereagh (33° 39' 41" S, 150° 122 46' 57" E; 35 m asl) about 65 km north-west of Sydney's Central Business District. The site 123 124 covers approximately 357 hectares with the original soil classified as Chromosol, which is equivalent to Haplic Xerosol (FAO 1974). The soil has a duplex profile consisting of 0.7 m 125 loamy sand topsoil over impermeable heavy clay referred to as Londonderry Clay, which in turn 126 overlays conglomerate sandstone and shales. There are several groundwater systems that 127 128 fluctuate in height, but the two main ones lay at about 3.0 m and the other about 17.0 m from the surface (Yunusa *et al.*, 2010b). Wastes were emplaced into cells (20 m x 5 m, and 5 m deep) 129 130 constructed into the clay subsoil and spaced 2 m apart resulting in approximately 65 cells/ha. The

cells were capped using the excavated soil that was returned in reverse order to provide a soil cap
of 2 m over the cells. The reconstructed soil was then planted with either grassy or woody
vegetation, and these have been described in detail earlier (Yunusa *et al.*, 2010b; 2012; Morales *et al.*, 2013).

The current study involved the grassland and juvenile plantation that were adjacent to 135 136 each other but separated by a narrow dirt track of about 6 m (Figure 1). The grassland covered 12 ha and was established in 1994 with a mixture of Cynodon dactylon (couch grassland), Axonopus 137 affinis (carpet grassland), Paspalum dilatatum (paspalum), Pennisetum clandestinum and 138 139 Trifolium repens (white clover). The sward was often allowed to grow to heights of 0.8 - 1.0 m before being mowed in spring (November) and late winter/early autumn (March/April). The 140 plantation consisted of a 9 ha block established in autumn (April-May) 2004 with a mixture of 141 native trees (mainly Eucalyptus spp, Angophora spp, Casuarina glauca, Melaleuca linariifolia 142 and Syncarpia glommulifera) and shrubs (species of Acacia, Callistemon, Grevillea, Hakea, 143 *Kunzea* and *Leptospermum*); these were planted in 5 m rows that were oriented in northeast – 144 southwest direction. It had an average height of about 4.5 m at the start of the current study and 145 grew to just over 5.0 m by mid-2010 when we concluded monitoring. 146

147 2.2 Surface energy balance

To quantify advective enhancement or suppression of λ*E* we used the evaporation
coefficient (α) in the Priestley-Taylor (1972) equation for determining reference
evapotranspiration (*E<sub>a</sub>*) where water supply is not limiting and/or enhanced by advection:

151 
$$E_o = \left[ \alpha \frac{s(R_n - G)}{s + \gamma} \right] / \lambda$$
 (2)

where *s* is the slope of saturation vapour pressure–temperature curve (kPa  ${}^{\circ}C^{-1}$ ) and  $\alpha$  is taken as 1.26 for saturated surfaces in which both aerodynamic and surface resistance are negligible (Priestley and Taylor 1972). When determining actual evapotranspiration on vegetated land surfaces the value for  $\alpha$  is lower than the nominal 1.26 when the process is limited by soil-water availability, and is larger than the nominal value when the process is enhanced by advection (Agam *et al.*, 2010; Tabari and Talaee, 2011).

Partitioning of net radiation ( $R_n$ ) between its components (eqn 1) was undertaken with Bowen Ratio Energy Balance (BREB) monitoring systems; one system was installed on each of the grassland and plantation sites and the two were separated by a distance of about 450 m (Figure 1). At each location  $R_n$  was measured at appropriate heights over the vegetation (see below), while G was measured with heat plates installed into the soil at 50 and 150 mm depths. Soil moisture content and temperature were also monitored at the same depths. The  $\lambda E$  was calculated as follows:

165 
$$\lambda E = \frac{R_n - G}{1 + \beta}$$
(3)

166 where  $\beta$  is calculated as:

167 
$$\beta = \gamma \left(\frac{\Delta T}{\Delta e}\right)$$
 (4)

168 in which  $\gamma$  is the psychrometric constant (0.066 kPa °C<sup>-1</sup>), while  $\Delta$ T and  $\Delta$ e are gradients in 169 temperature (°C) and vapour pressure (kPa) between the two measurement heights over the 170 respective vegetation covers.

171	The two measurement heights for <i>T</i> and <i>e</i> were 1.2 m and 1.6 m over the grass, and
172	initially 5.0 and 6.0 m over the plantation but were later raised to 5.5 and 6.5 m due to tree
173	growth. All the sensors and the masts were supplied together as a package (Campbell Scientific,
174	Logan, USA). The remaining term ( <i>H</i> ) in eqn 1 was obtained as residual, assuming $S = 0$ . The
175	setup at both locations provided sufficient fetch: height ratio of at least 21:1 to the north of the
176	BREB unit on the plantation and was more than the minimum of 20:1 recommended for a range
177	of tall vegetation covers (Heilman et al., 1989). Each pair of sensors over the two vegetation
178	covers was mostly within the boundary layers above the respective canopies, which was taken as
179	0.13 times the canopy height (Monteith and Unsworth, 1990).

An automatic weather station was installed on the grassland to monitor ambient weather conditions comprising solar radiation ( $R_s$ ), temperature, humidity, wind speed at 1.5 m height in addition to rainfall and soil-water content at 0.10 and 0.30 m depths. The evaporative demand for the site was calculated as  $E_o$  using eqn 2. Soil-water was also measured each month to a depth of six meters with a neutron probe using pre-installed aluminum access tube and since reported (Yunusa *et al.*, 2012). Fraction of available soil water ( $f_{wa}$ ) was calculated for the topsoil (top 0.3 m layer) as follows:

187 
$$f_{wa} = \left(\frac{S_t - S_w}{S_f - S_w}\right)$$
(5)

in which *S* storage of soil water with subscripts denoting its amounts at time of measurement (*t*)
or permanent wilting (*w*) or field capacity (*f*); for this soil S<sub>w</sub> was 0.158 and S<sub>f</sub> was 0.434
(Yunusa *et al.*, 2012).

The BREB unit was deployed on February 25, 2009 on the plantation and in July 2009 on the grassland and data logging commenced immediately at 20 min intervals. Technical problems forced a premature termination of monitoring on the grassland in mid-January 2010 following a lightning strike, but monitoring on the plantation was concluded in April in 2010.

195 2.3 Quantifying advective effects on the energy balance

196 The  $\alpha$  for non-saturated surfaces ( $\alpha$ ') can be calculated by combining eqns 2 and 3:

197 
$$\alpha' = \frac{s + \gamma}{s(1 + \beta)}$$
(6)

198 The  $\alpha'$  thus accounts for the effects of soil-water availability, especially soil drying, on surface 199 resistance. From eqn 2 the energy driven fraction of observed latent heat flux ( $\lambda E_{eo}$ ) was 200 determined as:  $\lambda E_{eo} = \lambda E/\alpha'$ . Hence the proportion of  $\lambda E$  due to advection (%Adv) was obtained 201 as:

202 
$$\% Adv = \left[\frac{\lambda E - \lambda E_{eo}}{\lambda E}\right] \cdot 100$$
 (7)

# 203 2.4 Energy balance during heat waves

The mean maximum daily temperature between 1961 and 1990 for the Hawkesbury district during late spring/summer was 26.9 °C in November, 28.9 °C in December and 29.4 °C in January (Australian Bureau of Meteorology, <u>www.bom.gov.au</u>). These were much lower than observed during the two heat waves in this study of 29.9–37.1 °C (median of 36.2 °C) in late 2009 (November 21–27) and 30.1–40.1 °C (35.6 °C) in early 2010 (January 9–13). These two periods were compared with a cool period of July 27–August 2, 2009, when maximum temperature range was 15.6–18.8 °C.

# **3.0 RESULTS AND DISCUSSION**

#### 212 3.1 Weather conditions and latent heat flux

Weather conditions during the one-year study period were typical of a mild temperate climate 213 (Figure 2a, b) with the mean maximum temperature rising from around 17 °C in winter (June – 214 August) to highs of around 32 °C in summer (December–February) during which two heat waves 215 were identifiable in November and January. Trends in minimum temperature and evaporative 216 demand followed a similar pattern with the latter increasing from around 2.0 mm d<sup>-1</sup> to 6.0 mm 217  $d^{-1}$ . The first half of the study period was relatively dry with only a few rainfall events that 218 219 exceeded 10 mm, but the second half was relatively wet with many large rainfall events (>10 mm) during January-March 2010. The variability in rainfall distribution was reflected in the 220 availability of soil moisture, with the topsoil being drier in the grassland than plantation, but this 221 trend was reversed in the subsoil. 222

Daily  $\lambda E$  from both vegetation covers increased as the conditions became warmer from 223 224 winter through spring (September – November) to summer (Figure 2e). Flux of  $\lambda E$  was always 225 lower from the grassland than from the plantation with the difference increasing by as much as a factor of 3 as the season became warmer in summer. This was because (a) the leaf area index 226 was larger for the plantation (mean of 3.2) than the grassland (mean 2.01), and (b) the amount of 227 roots in the grassland was just 40% that of the plantation (Yunusa *et al.*, 2012). Consequently 228 229 large amounts of soil-water remained unused in the grassland under which the soil was 230 consistently wetter than the plantation (Figure 2d).

3.2 Trends in the calculated Priestley-Taylor coefficient and  $\lambda E$ 

Except during winter to early spring period when it was lower,  $\alpha'$  remained well above 1.26 for 232 233 both vegetation covers, reaching maxima in November/December (Figure 2f). The  $\alpha' < 1.26$  in winter suggested advective suppression of  $\lambda E$  due to stomatal closure during a substantial part of 234 235 the day. A similar situation occurred with the plantation during cloudy and humid conditions in April/May 2010. At all times  $\alpha'$  was larger for the plantation than the grassland despite R<sub>n</sub> being 236 237 mostly lower for the plantation than the grassland (Figure 3a). The higher R<sub>n</sub> over the grassland compared with the plantation was contrary to expectation since the oft-reported higher albedo 238 from grassland would lower R<sub>n</sub> compared with woody vegetation (Moore, 1976; Teuling et al., 239 2010). It is not clear why R<sub>n</sub> was lower for the plantation, but Waterloo et al. (1999) reported 240 241 albedo for a 6-year old regenerating forest, similar to our plantation site, to be up to 30% (13% vs 10%) smaller than for a mature 15-year old pine forest, while albedo could be up to 56% higher 242 from the pine forest than from the grassland. Mowing the grassy groundcover, which constituted 243 at least half of the land area in the plantation, in November, thus caused rapid declines in  $\Delta R_n$ . 244 Similarly, Wilson et al. (2002) recorded higher R<sub>n</sub> for grassland compared with woody 245 246 vegetation at several sites.

A combination of limited soil-water supply, winter dormancy and occasional mowing suppressed  $\lambda E$  from the grassland such that the air above the canopy was warmer, by more than 1.0 °C on several occasions, when compared with that above the plantation (Figure 3b). During periods of frequent rainfall (November–January) the difference in temperature between the two vegetation covers was negligible. A trend in the difference in vapour pressure deficit between grassland and plantation ( $\Delta D$ ) showed that the air above the grassland was always drier than that over the plantation. A moist surface within the plantation increased partitioning of turbulent flux

254 through  $\lambda E$  resulting in higher  $\alpha$  than in the grassland. Diurnal  $\alpha$  values for the plantation (0.8– 255 3.6) and for the grassland (0.6–2.6) were consistent with observations in several previous studies

- that found  $\alpha$  to be positively correlated with soil-water supply, and ranging in value from <0.9 to
- 257 >4.5 (Jury and Tanner, 1976; Flint and Childs, 1991; Li and Yu, 2007).

In the plantation, the correlations between  $\alpha'$  and both G and soil temperature was 258 positive, but was negative with water availability  $(f_{wa})$  and H (Figure 4) suggesting that a 259 260 substantial fraction of the advected energy was generated locally, especially in this vegetation cover. No such correlations between  $\alpha'$  and either G or H were observed on the grassland where 261 262 the topsoil, which contains more than 60% of the roots, was relatively dry for prolonged periods 263 (Figure 2c). The drying soil in both vegetation covers heated the overlying air to generate H as a local source of advection consistent with a high correlation between  $\alpha'$  and air temperature in the 264 two vegetation covers (Figure 5a). 265

3.3 Interrelationships between fluxes in the grassland and plantation

Several components of the energy dynamics over the grassland were correlated with  $\lambda E$  over the 267 plantation. For example,  $\alpha'$  for the two vegetation cover-types were highly correlated with each 268 other, while  $\lambda E$  over the plantation held a significant relationship with both G and H over the 269 270 grassland (Figure 5). This suggested that heat transfer from the grassland to the plantation was driven by the warmer air over the former, especially under the northerly winds that crossed the 271 grassland toward the plantation during this period (Figure 1). Kochendorfer and Paw U (2011) 272 273 showed that horizontal advection is especially strong and enhanced  $\lambda E$  by up to 15% over a tall 274 and transpiring canopy when the prevailing wind crossed a low-lying and senescing canopy. 275 Similarly, wind mediated enhancement of sensible heat advection from dry surrounding fields

increased transpiration by nearly 70% over a tree belt (Hernandez-Santana *et al.*, 2011) and by
50% over irrigated wheat (Li and Yu, 2007).

278 3.4 Diurnal trends in energy fluxes during heatwaves

Figure 6 compares diurnal trends in heat fluxes during non-heat wave days in August with two 279 280 heat wave days for the two vegetation cover-types. The R<sub>n</sub> increased by more than a factor of 2.5 during the heat wave compared with non-heat wave days, and was mostly expended (62-70%) as 281 H from the grassland; grassland H was three times as large as that that emitted from the 282 plantation where  $\lambda E$  accounted for 58–72% of incoming energy. On the grassland,  $\lambda E$  was 283 always smaller than, and lagged behind,  $R_n$  in the morning. In contrast,  $R_n$  and  $\lambda E$  were in 284 tandem in the early morning until 0900 h, after which  $\lambda E$  declined rapidly and stopped almost 285 entirely by 1400 h. This was likely a consequence of the low zenith angle of the sun ( $<36^{\circ}$ ) that 286 declined rapidly as the sun moved northwestwards, shading the southern half of the tree canopy 287 288 and resulted in gradual stomatal closure of the canopy leaves. Furthermore, the northern half of the canopy would have experienced mutual shading and this feature was tested by approximating 289 290 the shadow length (L) for the tree rows using the following (Shettigara and Sumerling, 1998):

$$L = \frac{h}{\tan \Theta}$$
(8)

in which *h* is row height and  $\Theta$  is the angle between horizon and the sun. This equation yielded a shadow length of at least 10 m around midday, extending to 17 m by 1600 h, which was large enough to cast shadows onto the canopy rows located to the south.

The majority of the incident  $R_n$  from midday onwards was therefore emitted as *H*, even exceeding the magnitude attained by  $\lambda E$  earlier in the day. This was despite the high  $f_{wa}$  and low 297 *D* at this time (Table 1). The impact of limited incident energy receipt by the canopy was less 298 severe on the plantation compared with the low laying grass that was also dormant at this time so 299 that 94% total turbulent flux ( $\lambda E$ +H) was mainly *H*.

- 300 During the heat waves in summer the sun was close to its zenith and the day length was longer. Any stomatal restraint on  $\lambda E$  was primarily associated with dryness of the air and not 301 with  $f_{wa}$ , because the subsoil was quite moist on the two heat wave days (Figure 2d). A  $\lambda E/(R_n$ -302 G) of 0.66 on November 21 and 0.80 on January 13 (Figure 6) indicated a constraint on  $\lambda E$  most 303 304 likely due to a high D that was twice as large on November 21 as on January 13 (Table 1). 305 Conversely the warming potential of the plantation  $(H/(R_n-G))$  of 0.34 and 0.20 on the two heat 306 wave days, was much smaller than 0.77 and 0.68 for the grassland and was reflected in the 307 differences in air temperature above the two vegetation covers. Notwithstanding the adequate 308 soil-water supply, a limitation in the hydraulic capacity of the plants likely triggered stomatal 309 closure in the woody species of the plantation as a response to high D and temperature (Eamus et al., 2008; Whitley et al, 2013; Yunusa et al., 2010a). Thus transpiration has been observed to 310 311 virtually cease in two common *Euclayptus* spp when temperatures >30 °C or D > 2.5 kPa (Yunusa *et al.*, 2010a). Despite this  $\lambda E$  over the plantation was 65 and 85% of the turbulent flux 312 compared to 33 and 35% over the grassland on the two heat wave days. It was also probable that 313 the plantation accessed the groundwater during this study, unlike in the previous years when the 314 315 root system was still shallow (Yunusa et al., 2011). Majority of the H in the plantation emanated from the understorey of mixed pasture 316 groundcover, which accounted for at least half the land area but a small fraction  $\lambda E$  in the 317
- 318 plantation. Assuming a similar constraint to evapotranspiration from the pasture groundcover in

the plantation as in the grassland, the maximum  $\lambda E$  contributed by the understorey groundcover ( $\lambda E_{cov}$ ) in the plantation can be approximated from the  $\lambda E/R_n$  of the grassland and the  $R_n$  of the plantation ( $R_{n,pl}$ ) as:

322 
$$\lambda E_{\rm cov} = \left(\frac{\lambda E_{gl} f_A R_{n,gl}}{R_{n,pl}}\right)$$
(9)

where  $f_A$  is the fractional land area covered by the understorey (taken to be 0.5) in the plantation, 323 subscript gl represents grassland and pl plantation. This produced  $\lambda E_{cov}$  values of 0.05, 1.28 and 324  $1.77 \text{ MJ/m}^2$  on representative days in August, November and December (Figure 6) respectively. 325 Therefore the trees that directly intercepted  $\leq 50\%$  of the incident R<sub>n</sub>, contributed was 326 98% of  $\lambda E$  in winter (August) and about 74% during the heat waves in summer. The additional 327 energy for  $\lambda E$  from the trees was supplied by advection mostly from the underlying pasture 328 groundcover. Water needed to sustain enhanced transpiration by the trees was most probably 329 330 extracted from the shallowest water table. Reductions in ambient temperatures arising from enhanced  $\lambda E$  and negligible H over woody shrubs with access to a water table were reported by 331 Kustas et al. (1989) for Owens Valley, USA (36° 48'N 118° 121'W). The  $\lambda E_{cov}$  fraction was 332 particularly low in summer when the grass component of the pasture was dormant. However, 333 instead of the *H* emanating from the pasture groundcover being given to warm up the 334 335 surrounding air it was used to contribute to  $\lambda E$  from the trees in the plantation and thereby cooling the surrounding air mass. 336

Differences between the grassland and plantation presented here were unlike those found in similar comparisons between grassland and forest dominated by, or containing a considerable proportion of, conifers at high (northern) latitudes (Teuling *et al.*, 2010). In that study the lower

 $\lambda E$  over grassland/crop compared with the forests was attributed to daytime stomatal restriction 340 341 of transpiration in the woody species by the prevailing high D. A similar situation probably prevailed at Bremgarten (47° 54' N, 7° 37' E) in Germany, where  $\lambda E$  was always larger, while H 342 343 was smaller, from grassland than from a nearby pine forest (Rost and Mayer, 2006). Our site was at a lower latitude (33° 39' 41" S) and the plantation was dominated by broadleaved evergreen 344 woody species that would generally have lower canopy resistance than conifers (Kelliher et al., 345 1993; Wilson *et al.* 2002). At the high latitudes (>45° N) limitations imposed by reduced energy 346 supply (compared to our site) is likely to have a greater impact on the coniferous forests. 347 Conditions at our mid-latitude sub-temperate environment were closer to those of 348 Mediterranean climate where  $\beta$  and surface resistance tend to be relatively small because of 349 350 proximity to seas/oceans, and advection is common (Wilson *et al.*, 2002). In general,  $\beta$  tends to be higher for deciduous and coniferous forests and grasses than for evergreen woody vegetation 351 (Wilson et al., 2002). A small contribution of H to turbulent flux in the plantation thus 352 minimized heat input into the surrounding air mass, which was cooler by as much 4 °C, and more 353 humid, when compared with over the grassland during the days that experienced heat waves 354 355 (Figure 7).

356 3.5 Diurnal patterns of advection

The pattern of advection to  $\lambda E$  in both vegetation cover-types changed during the day (Figure 8). In winter advection generally suppressed  $\lambda E$  both at night and during the day, except for a brief period around midday when there was an enhancement. As discussed above, it is likely that the

stomates shut early in the day during winter as a consequence of low solar elevation and a

361 shorter photoperiod. However, during both heat wave days in summer, advection enhanced  $\lambda E$ 

and was more pronounced in the plantation, where it accounted for >50% of  $\lambda E$ . Furthermore,  $\beta$ 362 363 in the plantation declined, while that in the grassland increased, and were consistent with the higher  $\lambda E/(R_n-G)$  for the plantation on the heat wave days as discussed above. Advection also 364 enhanced  $\lambda E$  in the grassland most of the time, but to a much lesser extent than in the plantation 365 (Figure 8). Whatever energy advected to the grassland was emitted, along with that emitted from 366 within, resulting in large H, thereby making the grassland more of a source than a sink for H. 367 Percentage suppression/enhancement of  $\lambda E$  by advection was within the -300 - +300 % reported 368 for irrigated wheat by Li and Yu (2007) and comparable to -40 - +60 % in a tree-belt within a 369 370 cropped landscape (Smith et al., 1997).

371 3.6 Annual water budget

To estimate  $\lambda E$  for the periods with missing data,  $\alpha'$  was predicted from  $f_{wa}$  and air temperatures using polynomial equations for the two vegetation covers as follows:

374	Grassland: $\alpha' = -0.33 + 0.165 f_{wa} + 0.088 airtemp$	$r^2 = 0.59$	(10a)
-	- $        -$		· · · · /

375	Plantation: $\alpha' = -0.082 - 0.254 f_{wa} + 0.132 airtemp$	$r^2 = 0.89$	(10b)
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We consider these schemes to be a logical approach since they rely on just two variables that are

easily determined to predict  $\alpha'$  and so were used in eqn 2 to obtain ET. Daily ET for the

plantation was generally consistent with an average of  $2-4 \text{ mm d}^{-1}$  observed earlier in 2007–2008

379 (Yunusa *et al.*, 2011) despite the trees in the plantation being a year older and their contribution

- to the composite LAI would have also increased. As discussed above,  $\lambda E$  dominated the
- turbulence flux (50 80%) during this study in the mostly dry year compared with 30% two years
- previously when rainfall was almost 54% larger than in the year of the current study (Yunusa et
- al., 2011). This is because the grass/legume pasture groundcover accounted for at least half of

384 the land area under the plantation. Although ET from the grassland was almost 17% less than the total rainfall during the period (Table 2), it exceeded rainfall by 81% in the plantation that must 385 have accessed shallow groundwater as discussed above. Drainage past the root zone from the 386 grassland occurred predominantly in winter when the grasses were mostly dormant. Using as 387 much of the antecedent soil-water as possible in the spring-summer seasons can increase the 388 389 storage capacity for the storage of rainfall in winter when ET is low and risk of drainage is higher. This will be especially beneficial under vegetation types that experience seasonal 390 dormancy. Such a system is desirable on landscapes containing buried waste materials that need 391 392 to be hydrologically isolated and drainage eliminated (Gwenzi et al., 2012; Schneider et al., 2012). 393

## **4.0 Conclusions**

395 Advection exerted a significant influence on the partitioning of turbulent flux ( $\lambda E$  and H) from 396 the two vegetation cover-types investigated in this study. Fluctuating values of  $\alpha$  showed strong seasonality that reflected the degree of canopy inactivity due to supply of either solar energy or 397 soil-water. The generally low values (<1.26) for  $\alpha$  in winter suggested suppression of  $\lambda E$  by 398 advection because the canopy was completely inactive in the dormant grassland and partially so 399 in the plantation due to reduced illumination of the canopy throughout much of the photoperiod 400 as a consequence of low solar elevation. Thus H dominated the turbulent flux accounting for 401 94% in the grassland and 66% in the plantation with this energy emitted as heat to the 402 403 surrounding air during this cool season. As the daylight hours increased into spring and summer and a break in the dormancy of the grassland occurred, advection generally enhanced  $\lambda E$  with  $\alpha$ 404

>1.26, reaching as high as 2.4 in the grassland and 3.2 in the plantation. During the active
growing season in spring–summer λ*E* dominated turbulent flux, especially in the plantation
where it accounted for 70%, compared with 34% in the grassland, during heat waves.
Thus the emission of *H* as warm air was larger from the grassland with the result that it's
local air temperature was warmer by as much as 4 °C during the day compared with the local air

410 temperature of the plantation. On balance, the plantation was primarily a sink, while the

411 grassland was predominantly a source for advected energy. Contrary to the many examples with

412 coniferous forests in high latitudes of the northern hemisphere, the plantation, which was

dominated by broadleaved evergreen woody species, maintained a high rate of water-use that

414 was probably relies in part on the shallow groundwater; consequently the plantation used 81%

more water than rainfall during the one year study period.

Exceedance of rainfall by evapotranspiration (ET) in the plantation shows the difficulty of closing the soil-water balance on landscapes with a water table that is accessible to vegetation. It also provides strong evidence that drainage of water beneath the rooting depth can be reduced and perhaps eliminated by woody species within six year, and thus limiting the risk of contaminating water resources on landscapes with buried wastes and on mined sites.

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#### 555 FIGURE LEGENDS

**Figure 1.** Site of study indicating locations of the Bowen Ration Energy Balance (BREB)

monitoring towers at Castlereagh, Australia. The years in which the plantations were establishedare given in parenthesis.

**Figure 2.** Five-day running averages for (a) minimum and maximum temperatures, (b) rainfall for the site, (c) soil-water stored in the topsoil (0–0.3 m), (d) soil-water stored in the subsoil, (d) latent heat flux ( $\lambda E$ ) from grassland and plantation, and (e) Priestley-Taylor coefficient, observed between 2009 and 2010 at Castlereagh, Australia. The x in (c) and (f) indicates when the grass

563 was mowed.

**Figure 3.** Five-day running averages for the differences (grassland-plantation) in (a)  $\alpha$  or net radiation (R<sub>n</sub>) and (b) air temperature or vapour pressure deficit (*D*), between 2009 and 2010 at Castlereagh, Australia. The two heat wave periods are indicated as *HW* in (b).

**Figure 4.** Regressions of Priestley-Taylor coefficient ( $\alpha$ ) on fraction of available water ( $f_{wa}$ ) in

the topsoil (0–0.3 m layer) (a, e), sensible heat flux (H) (b, f), soil-heat flux (G) (c, g), and soil
temperature (e, h) observed between 2009 and 2010 at Castlereagh, Australia. The top panels are
for grassland and bottom panels for the plantation.

571 **Figure 5.** Relationships between energy exchange variables over grassland and plantation

between 2009 and 2010 at Castlereagh, Australia: (a) Priestley-Taylor coefficient ( $\alpha$ ) versus

573 mean air temperature; (b)  $\alpha$  for grass versus that for plantation; (c) relationships between latent

heat flux ( $\lambda E$ ) from the plantation with either sensible heat (H) or with (d) ground heat flux (G)

575 from the grassland. The two fitted lines in (a) have a common intercept.

- **Figure 6.** Diurnal fluxes of net radiation ( $R_n$ , solid line), latent heat ( $\lambda E$ , dotted line), sensible
- 577 heat (*H*, dashed line) and ground heat (*G*, dashed-dotted line) observed on August 2 (a, b),
- 578 November 21 (c, d) and January 13 (e, f) over grassland (a, c, e) and plantation (b, d, f) at
- 579 Castlereagh, Australia. Daily totals  $(MJ/m^2/d)$  for the four fluxes are given for each date.
- **Figure 7.** Diurnal trends in the differences (grassland-plantation) in (a) temperature, (b) net
- radiation  $(R_n)$  and (c) vapour pressure deficit observed on the three dates at Castlereagh,
- 582 Australia. Also shown are the base (*zero*) lines.
- **Figure 8.** Percentage contribution of advection to latent heat flux on grassland and plantation on
- (a) 2 August 2009, (b) 21 November 2009 and (c) 13 January 2010 at Castlereagh, Australia.

# 586 **TABLE LEGENDS**

- **Table 1.** Daily averages for key meteorological variables over the whole study site or the
- respective vegetation covers on three selected days at Castlereagh, Australia
- **Table 2.** Summary of water balance variables and leaf area index (LAI) for the grassland and
- plantation covers between July 2009 and June 2010.

Variables	Vegetation	2 Aug	21 Nov	13 Jan
Wind speed (m s <sup>-1</sup> )	Site	0.51	1.71	1.83
Mean wind direction (deg)	Site	198	212	174
%Adv (%)	Grassland	-79.1	24.6	45.0
	Plantation	-62.4	74.2	44.0
Mean $\theta$	Grassland	0.28	0.14	0.15
	Plantation	0.39	0.24	0.24
Calculated PT coefficient ( $\alpha'$ )	Grassland	0.71	1.76	2.01
	Plantation	0.80	3.98	3.65
Mean $\beta$	Grassland	0.05	0.46	0.79
	Plantation	1.66	0.10	-0.26
Mean vapour pressure deficit (D, kPa)	Grassland	0.45	2.26	1.19
	Plantation	0.43	2.15	1.07
Mean air temp (°C)	Grassland	8.2	29.2	27.0
	Plantation	8.5	27.6	26.2
Mean soil temp (°C)	Grassland	9.9	31.0	33.3
	Plantation	8.4	28.8	31.8

respective vegetation covers on three selected days at Castlereagh, Australia

Table 1. Daily averages for key meteorological variables over the whole study site or the

594

**Table 2.** Summary of water balance variables and leaf area index (LAI) for the grassland and plantation covers between July 2009 and

596 June 2010.

Variables	Vegetation	Feb–Jun '09	Jun-Sep '09	Sep-Dec '09	Dec 09–Apr '10	Total or
	covers	(Autumn)	(Winter)	(Spring)	(Summer)	(average)
Rainfall (mm)	Site	271	49	109	34	463
E <sub>o</sub> (mm)	Site	257	159	362	440	1218
Mean Leaf area index	Grassland	1.5	1.8	2.2	1.8	(1.8)
(LAI)	Plantation	2.9	3.1	3.3	2.8	(3.0)
Change in soil-water	Grassland	39	-69	-6	-20	52
storage (mm)	Plantation	29	-21	10	-87	-31
Evapotranspiration	Grassland	128	40	112	108	384
(mm)	Plantation	195	94	267	282	838
Putative drainage	Grassland	104	78	3	-50	185
(mm) <sup>1</sup>	Plantation	47	-24	-168	-161	47

<sup>1</sup>negative values effectively represent zero drainage and are additional water sourced from the groundwater



**Figure. 1.** Site of study indicating locations of the Bowen Ration Energy Balance (BREB)

601 monitoring towers at Castlereagh, Australia. The years in which the plantations were established

602 are given in parenthesis.



**Figure 2.** Five-day running averages for (a) minimum and maximum temperatures, (b) rainfall for the site, (c) soil-water stored in the topsoil (0–0.3 m), (d) soil-water stored in the subsoil, (d) latent heat flux ( $\lambda E$ ) from grassland and plantation, and (e) Priestley-Taylor coefficient, observed between 2009 and 2010 at Castlereagh, Australia. The x in (c) and (f) indicates when the grass was mowed.



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2009 - 2010

Figure 3. Five-day running averages for the differences (grassland-plantation) in (a)  $\alpha$  or net 611 612 radiation  $(R_n)$  and (b) air temperature or vapour pressure deficit (D), between 2009 and 2010 at Castlereagh, Australia. The two heat wave periods are indicated as HW in (b). 613



**Figure 4.** Regressions of Priestley-Taylor coefficient ( $\alpha$ ) on fraction of available water ( $f_{wa}$ ) in the topsoil (0–0.3 m layer) (a, e), sensible heat flux (H) (b, f), soil-heat flux (G) (c, g), and soil temperature (e, h) observed between 2009 and 2010 at Castlereagh, Australia. The top panels are for grassland and bottom panels for the plantation.



**Figure 5.** Relationships between energy exchange variables over grassland and plantation between 2009 and 2010 at Castlereagh, Australia: (a) Priestley-Taylor coefficient ( $\alpha$ ) *versus* mean air temperature; (b)  $\alpha$  for grass versus that for plantation; (c) relationships between latent heat flux ( $\lambda E$ ) from the plantation with either sensible heat (*H*) or with (d) ground heat flux (*G*) from the grassland. The two fitted lines in (a) have a common intercept.







**Figure 7.** Diurnal trends in the differences (grassland-plantation) in (a) temperature, (b) net radiation ( $R_n$ ) and (c) vapour pressure deficit observed on the three dates at Castlereagh,

636 Australia. Also shown are the base (*zero*) lines.



