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2 **Year Patterns of Climate Impact on Wheat Yields**

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21 **Abstract**

22 Rainfall, temperature, and solar radiation are important climate factors, which determine crop  
23 growth, development and yield from instantaneous to decadal scales. We propose to identify  
24 year patterns of climate impact on yield on the basis of rain and non-rain weather. There are  
25 interrelated impacts of climatic factors on crop production within a specific pattern.

26 Historical wheat yield data in Queensland during 1889-2004 were used. The influence of  
27 meteorological conditions on wheat yields was derived from statistical yield data which were  
28 detrended by nine-year-smoothing averages to remove the effects of technological  
29 improvements on wheat yields over time. Climate affects crop growth and development  
30 differently over different growth stages. Therefore, we considered the climate effects at both  
31 vegetative and reproductive stages (before and after flowering date respectively) on yield.  
32 Cluster analysis was employed to identify the year patterns of climate impact. Five patterns  
33 were significantly classified. Precipitation during the vegetative stage was the dominant and  
34 beneficial factor for wheat yields while increasing maximum temperature had a negative  
35 influence. Crop yields were strongly dependent on solar radiation under normal rainfall  
36 conditions. As the effect of rainfall on soil water is relatively long lasting, its beneficial effect  
37 in vegetative stage was higher than its effect during the reproductive stage.

38 The Agricultural Production Systems sIMulator (APSIM) was evaluated using long-term  
39 historical data to determine whether the model could reasonably simulate effects of climate  
40 factors for each year pattern. The model provided good estimates of wheat yield when  
41 conditions resulted in medium yield levels, however in extremely low or high yield years,  
42 corresponding to extremely low or high precipitation in the vegetative stage, the model

43 tended to underestimate or overestimate. Under high growing season precipitation,  
44 simulations responded more favorably to reproductive stage rainfall than measured yields.

45

46 **Key words:** Climate pattern, climate variability, yield, model validation, APSIM

47

48 **1. Introduction**

49 Crop growth, development and grain yields are greatly influenced by climatic factors,  
50 including solar radiation, precipitation, and temperature. These factors are closely related and  
51 affect yield in different ways. Consequently, understanding the factors that determine crop  
52 yield is essential to forecasting regional crop production, improving crop management  
53 techniques and adopting feasible strategies to deal with climate change (e.g., [Qian et al., 2008](#);  
54 [Yu et al., 2008](#)).

55 Numerous studies have attempted to quantify the crop-climate relationship through the  
56 application of statistical regression analysis over the entire and/or critical growing period  
57 ([Nicholls, 1997](#); [Lobell and Asner, 2003](#); [Lobell et al., 2006, 2007](#)). [Nicholls \(1997\)](#)  
58 attributed the increase in wheat yields in Australia to the decrease in frost frequency. [Lobell](#)  
59 [and Asner \(2003\)](#) reported significant relationships between growing season temperatures and  
60 corn and soybean yields based on county level data in the USA. [Huff and Neill \(1982\)](#)  
61 concluded that precipitation controlled the corn yields over five Midwestern states in the  
62 USA. A number of studies have shown that yields from a variety of crops were linearly  
63 related to seasonal crop water use or available water at planting as influenced by precipitation  
64 in dry regions ([Nielsen, 1997, 1998, 2001](#); [Nielsen et al., 2002, 2006](#)). Large-scale climate  
65 events, such as ENSO and Monsoon, also affect crop yields, through alterations in rainfall  
66 and temperature regime ([Hansen et al., 1998](#); [Podestá et al., 1999, 2002](#); [Potgieter et al., 2005](#);  
67 [Sultan et al., 2005](#)). These studies illustrated definitive correlations among crop yields and  
68 climatic factors. However, those climatic factors influencing crop yields are often correlated  
69 with each other. For example, rainfall increases soil water, but is also associated with

70 decreases in solar radiation and daytime temperature. In humid areas where precipitation is  
71 abundant but solar radiation is limited, the latter can be the dominant factor defining crop  
72 yield, whereas in dry regions where precipitation is low, yield is mainly limited by water  
73 availability (Yu et al., 2001). Furthermore, the limiting climatic factors for crop yield may  
74 change with growth stages.

75 Wheat yield varies from year to year because of the effect of management practices and  
76 weather conditions (Thompson, 1969; Baier, 1973). The general increase in yield over time  
77 came from technological improvements such as adoption of new cultivars and  
78 increasechanges in nitrogen application and other management options. Through some  
79 statistical approaches such as fitting, filtering (Chatfield, 1996; Manly, 1997), the time trend  
80 of crop yield due to technologicaly improvements can be approximately eliminated, i.e.,  
81 detrending, which provided pathways for studying the impact of climate variations on crop  
82 yield.

83 In previous work, crop yields were defined in three general categories: potential,  
84 attainable and actual yield levels (Rabbinge, 1993). Potential yield was defined as the crop  
85 yield determined only by solar radiation and temperature. When available soil water or  
86 nutrients cannot meet the demands of crop growth, potential yield will decline to the  
87 attainable yield level. Crop growth can also be affected by pests, diseases, and weeds,  
88 resulting in actual crop yield. The gap between actual and attainable yields can be bridged  
89 through the use of pesticides, fungicides and herbicides and other effective counter measures.  
90 However, climatic factors, such as temperature and solar radiation cannot be controlled by  
91 farmers over large areas, and the deficiency in precipitation can only be compensated for if

92 irrigation is applied.

93 Since the factors limiting crop yields are variable with different climate scenarios  
94 (Eghball and Varvel, 1997; Lamb *et al.*, 1997), it is necessary to quantify their relationships  
95 separately. Applying cluster analysis to multi-year crop yield data may be an effective means  
96 to identify temporal yield patterns (Jaynes *et al.*, 2003). Cluster analysis has been widely  
97 adopted to examine crop-climate interactions (Dobermann *et al.*, 2003; Jaynes *et al.*, 2003;  
98 Perez-Quezada *et al.*, 2003; Roel and Plant, 2004a, b; Jaynes *et al.*, 2005), including the  
99 effects of ENSO on crop yields (Potgieter *et al.*, 2005). It provides a basis to identify the  
100 underlying limiting climatic factors for crop yields over long time periods given that non-  
101 climatic effect such as improved varieties and management practices can be statistically  
102 eliminated.

103 An alternative to cluster analysis and other statistical methods that can help define  
104 relationships between crop yield and climate is the use of crop models, such as APSIM  
105 (Keating *et al.*, 2003), CERES (Ritchie *et al.*, 1998), ORYZA (Bouman and Van Lar, 2006),  
106 WOFOST (World Food Study, Van Keulen *et al.*, 1986) and RZWQM (Root Zone Water  
107 Quality Model, Ahuja *et al.*, 2000). Crop models are designed to describe crop growth and  
108 development processes in simple or complex manners, which can help to understand climate  
109 constraints on crop growth and yield (Ritchie *et al.*, 1998). As crop models are always a  
110 simplification of the real system, they must be validated against experimental data for their  
111 suitability under specific climate and soil conditions (Wallach, 2006). Crop models are  
112 regularly validated against experimental data over several years, but confidence in the model  
113 outputs may be low due to the fact that model validation may not have covered the very large

114 range of weather conditions normally encountered in the long-term weather record.

115 A key problem in the modeling community is that model validation generally lacks  
116 sufficient data over the long term (multi-decadal) to represent all possible climatic patterns in  
117 a specific area (Yunusa *et al.*, 2004). Crop models cannot be validated for every climatic  
118 condition and also may have limitations with respect to scaling-up to wider climatic  
119 conditions. This deficiency of crop models can produce uncertainty with respect to model  
120 applications.

121 Information derived from statistical methods based on cluster analysis and correlation  
122 analysis can be useful for evaluating crop models' performance to interpret the interactive  
123 effects of climatic factors on crop yields over long time periods. Therefore, the aims of this  
124 paper are twofold: (1) to identify the factors which limited winter wheat yields at different  
125 growth stages in Queensland, Australia; and (2) to identify interactive effects of climatic  
126 factors on wheat yields by validating computer model simulations of wheat yield against  
127 long-term historical yield data.

## 128 **2. Materials and methods**

### 129 **2.1. Climatic data**

130 Well-processed and quality-checked historical climatic data (daily maximum and  
131 minimum temperatures, solar radiation, and precipitation) during the period from 1889 to  
132 2004 at Dalby ( $-27.18^\circ$  in latitude,  $151.26^\circ$  in longitude), Darling Downs of Queensland,  
133 Australia were obtained from Australian Bureau of Meteorology (see the web of [SILO](http://www.bom.gov.au/silo/) at  
134 <http://www.bom.gov.au/silo/>). Each climatic variable during May-Nov. was selected for



135 analysis. This time period represents the growing season length for winter wheat in  
136 Queensland, Australia (Hochman *et al.*, 2009). The wheat growing season was simply divided  
137 into two stages: vegetative (sowing to flowering stages) and reproductive (flowering to  
138 maturity stages), corresponding to the periods of May to Sep. and Oct.-Nov. respectively.

139 Fig. 1 shows the variation of precipitation during both vegetative and reproductive stages.  
140 During the vegetative stage, precipitation ranged from 32 to 450 mm (average,  $\mu=179$  mm;  
141 standard deviation,  $\sigma=82$  mm). During the reproductive stage, it fluctuated between 28 and  
142 328 mm ( $\mu =134$  mm;  $\sigma=69$  mm). The precipitation during the vegetative stage was less  
143 variable than that during reproductive stage, and no significant trend was found in either  
144 stage (Fig. 1).

## 145 2.2. Wheat yields

146 Historical wheat yield data from 1889 to 2004 in Queensland, Australia, were obtained from  
147 the Australian Bureau of Agricultural Resources Economics (ABARE,  
148 <http://www.abareconomics.com>). Wheat yield in Queensland varied widely from year to year  
149 during the period between 1889 and 2004. The average wheat yield ( $\mu$ ) was  $1,133 \text{ kg ha}^{-1}$   
150 ( $\sigma=436 \text{ kg ha}^{-1}$ ) (Fig. 2). The yield fluctuated over a baseline of a time trend of yield increase  
151 due to technological improvements. The yield trend in the  $i^{\text{th}}$  year was the average yield over  
152 ~~nine~~ 9-years with respective 4 years before and after the  $i^{\text{th}}$  year. To eliminate non-climatic  
153 effects on yields, the detrended yield was obtained by subtracting trend yield from the actual  
154 yield. This 9-year smoothing average ~~method~~ was applied to remove trends in yields. assumed  
155 ~~to eliminate period variation of climate~~ (Handler and Handler, 1983). Due to higher  
156 production in recent decades, the detrended yield varied greatly. So, we divided detrended

157 yield by the average yields to get similar amplitude of yield variation during 1889-2004. In  
158 short, the detrended yield is the difference between the actual yield in the  $i^{\text{th}}$  year ( $Y_i$ ) and  
159 nine-year-smoothing average yield ( $Y_0$ ). The relative detrended yield is the ratio of  
160 detrended and the average yield, i.e.,  $(Y_i - Y_0) / Y_0$ , which is mainly related to weather  
161 conditions.

162 Since the high-quality and long-term yield data were available at the state level, we  
163 choose to use climate data at one site to avoid averaging meteorological variables over space.  
164 We selected Dalby to represent the climate of the entire wheat belt of Queensland. Dalby is  
165 located in the main producing region of Darling Downs, in Queensland. The wheat yields and  
166 planted areas at Darling Downs and the entire state in limited years were compared to justify  
167 the method (Fig. 3). A reasonable 1:1 relationship ( $r^2 = 0.92$ ) existed for wheat yields.  
168 Therefore, the yield data of the entire Queensland state correspond well with that of Darling  
169 Downs.

### 170 **2.3. Methods of cluster analysis for year pattern identification**

171 Crops accumulate biomass and develop reproductive apparatus in vegetative growth, which  
172 occurs before flowering. After that, crops experience reproductive growth, when part of  
173 photosynthate is allocated to seeds and carbohydrate previously stored in leaves and stems is  
174 transported to seeds. These two growth stages have diverse assimilate partitioning, which  
175 may respond to climate differently (Hay and Porter, 2006). The average values of climatic  
176 variables were calculated for each growth stage of a year.

177 To identify significant climatic factors influencing wheat yield, a two-step procedure

178 was considered. First, we assumed climate determined yield, and grouped rainfall,  
179 temperature and radiation into 8 clusters. Second, we tested whether wheat yield distribution  
180 in each cluster is significantly different to any other one. Cluster analysis was applied to  
181 identify agro-climatological year patterns in Queensland, Australia, based on historical  
182 meteorological data. The K-means method of clustering was adopted using SPSS (SPSS 16.0)  
183 after maximum and minimum temperatures, precipitation and solar radiation averaged or  
184 summed from daily values for both vegetative and reproductive stages were standardized.

185 The yield and corresponding meteorological variables (rainfall, temperature, and solar  
186 radiation) in two periods were used to classify clusters. Different groups (patterns) can be  
187 divided with significance and non-significance levels. We applied the Kolmogorov–Smirnov  
188 (K-S) tests to ensure each cluster is significantly different from others. Two patterns were  
189 aggregated into one, if there is no significant difference between them. The method was  
190 repeated until the difference between any patterns was significant.

#### 191 **2.4. APSIM simulations**

192 The APSIM was developed and used for improving risk management under variable climate  
193 (McCown *et al.*, 1996; Keating *et al.*, 2003). It is a crop model that is able to simulate crop  
194 growth and development, soil water and nitrogen dynamics and the interactions among  
195 climate, soil, crop and management practices. These processes are represented as modules  
196 which can be readily connected to a central interface engine to simulate cropping systems  
197 using conditional rules. The model runs on a daily time-step with daily weather information  
198 (maximum and minimum temperature, rainfall and solar radiation). The APSIM version 5.3  
199 was applied to simulate the effects of climatic factors on wheat yields based on long-term

200 historical yield data in Queensland, Australia.

201 The APSIM has been widely tested against field measurements under a range of growing  
202 conditions in Australia (Asseng *et al.*, 1998, 2000; Probert *et al.*, 1998). In the simulations of  
203 this study, specific soil characteristics (i.e., saturated water content, drained upper limit, lower  
204 limit, bulk density, and nutrient properties, such as soil organic C, organic C biomass fraction,  
205 inert organic C fraction, and nitrate concentration) required for the APSIM model were based  
206 on Probert *et al.* (1998). The crop genetic parameters were obtained from Asseng and van  
207 Herwaarden (2003). The parameterized APSIM model was used to simulate wheat yield with  
208 the historical climate data from 1889 to 2004. The same wheat variety was used for all  
209 simulations, which permits analysis of the impact of only climate variations on crop growth.

### 210 **3. Results**

#### 211 **3.1. Wheat yield-climatic relationships**

212 The relative detrended yields were significantly ( $P \leq 0.001$ ) correlated with maximum and  
213 minimum temperatures, solar radiation, and precipitation during the vegetative stage.  
214 However, during the reproductive stage, only maximum and minimum temperatures showed  
215 significant correlation with the relative detrended yields, not precipitation and solar radiation  
216 (Table 1).

217 These apparent relationships between yield and sole climatic variable may not reflect its  
218 actual effect. Rainfall is normally the dominant factor affecting wheat production in this  
219 region, but temperatures and solar radiation will affect wheat yields as well, and precipitation  
220 is related to both temperature and solar radiation. Fig. 4 shows correlations between

221 temperature and precipitation, and between solar radiation and precipitation averaged over  
222 the entire wheat growing period (May–Nov.). Maximum temperature and solar radiation  
223 significantly decreased when precipitation increased. Precipitation contributed 44.8% in the  
224 variation of maximum temperature and 42.4% in that of solar radiation. Although minimum  
225 temperature increased with precipitation, the increase rate was 0.28 degree/100 mm and  
226 rainfall only contributed 11.7% in its variation, which is too small to be considered (Fig. 4).

227 Rain and non-rain weather are two distinct types of meteorological phenomena that  
228 interact and influence crop growth. In both vegetative and reproductive periods, high  
229 precipitation was usually accompanied by low maximum temperature and low solar radiation  
230 (Fig.4, Table 2). Precipitation also showed a close relationship with minimum temperature in  
231 the vegetative stage, but it was not significant during the reproductive period (Table 2).

232 Direct and indirect effects of precipitation on wheat yield are illustrated in the Fig. 5.  
233 Precipitation events increase soil water content, and decrease solar radiation and daily  
234 temperature. Effects of soil water, solar radiation, and temperature on wheat yield can be  
235 positive or negative. Different combinations of these variables contributed to different levels  
236 of crop yield. Solar radiation and temperature regularly exert simultaneous effects on crop  
237 growth. However, precipitation events are discrete, and have potentially long term-effects on  
238 soil water. Therefore, precipitation during the vegetative phase plays the most important role  
239 in affecting crop yield among all climatic factors considered.

### 240 **3.2 Climatic year patterns of wheat yield**

241 After cluster analysis was applied to yield and meteorological variables during both

242 vegetative and reproductive stages and the relative detrended wheat yield data, five climatic  
243 year patterns for wheat yield were identified (Pattern A, B, C, D, and E in Fig. 6). The mean  
244 of each pattern were  $-0.384$ ,  $-0.192$ ,  $0.012$ ,  $0.196$ , and  $0.376$ , respectively (Fig. 6).

245 As shown in Table 1, precipitation during the vegetative stage for the five patterns  
246 exhibited large differences, from 96 mm to 337 mm. In the highest precipitation pattern (E),  
247 solar radiation was lowest ( $2248 \text{ MJ m}^{-2}$ ), the maximum temperature was lowest ( $20.3 \text{ }^\circ\text{C}$ ),  
248 but the minimum temperature was highest ( $7.7 \text{ }^\circ\text{C}$ ). In contrast, solar radiation in the lowest  
249 precipitation pattern (A) was larger ( $2452 \text{ MJ m}^{-2}$ ), the maximum temperature was highest  
250 ( $22.3 \text{ }^\circ\text{C}$ ), but the minimum temperature was lowest ( $6.3 \text{ }^\circ\text{C}$ ). Solar radiation varied from  
251  $2340$  to  $2470 \text{ MJ m}^{-2}$ , and precipitation varied from 96 to 220 mm across the other three  
252 patterns (B, C, and D). Greater precipitation during the vegetative stage increased crop yield.  
253 Considering all of the climatic variables, precipitation during the vegetative stage is the  
254 dominant factor determining wheat yield. This also influences changes of other climate  
255 variables. Rainfall decreased maximum temperature and solar radiation, which resulted in  
256 their negative correlation with relative detrended yield when rainfall is favorable for wheat in  
257 the vegetative stage.

258 No significant correlation existed between crop yields and precipitation or solar radiation  
259 during the reproductive stage (Figs. 7f and 7h). Crop yields were significantly correlated with  
260 maximum and minimum temperatures. Maximum temperature during the reproductive stage  
261 in Queensland region exceeded the optimal temperature for crop growth and limited yield  
262 formation, and minimum temperature is high enough to limit crop yield probably through its  
263 impact on respiration.

264 The direct and indirect impacts of precipitation can be advantageous or disadvantageous  
265 to wheat yield, as shown in [Fig. 7](#). Precipitation during the reproductive stage did not show a  
266 significant correlation with crop yield. The highest precipitation (178 mm) produced medium  
267 yield (Pattern C, Table 1), which is obviously less than the crop yield for the Pattern E where  
268 precipitation was 151 mm. This negative impact of precipitation on crop yield may directly  
269 come from water-logging due to excessive precipitation, and may also indirectly come from  
270 the effects of decreased solar radiation, which was co-varied with the precipitation since the  
271 reproductive precipitation was found to be significantly and negatively correlated with  
272 maximum temperature and solar radiation ([Table. 2](#)). Higher wheat yields were produced  
273 under cooler temperatures. Patterns A and D were similar to each other in terms of  
274 precipitation (88 mm and 97 mm) and solar radiation ( $1506 \text{ MJ m}^{-2}$  and  $1466 \text{ MJ m}^{-2}$ ), but  
275 relative detrended wheat yields were very different ( $-0.384$  and  $0.196$ ), indicating that during  
276 the reproductive stage crop yields were more influenced by maximum temperature ([Table 1](#)  
277 [and Fig. 7](#)).

278 In terms of the total precipitation during the entire growing season, patterns C and D had  
279 similar levels of total precipitation (357 mm vs. 317 mm), but the relative detrended crop  
280 yields showed large differences. This is mainly due to the difference in the distribution of  
281 precipitation between the two growth stages. Pattern B was characterized by low precipitation  
282 in the vegetative stage and medium precipitation in the reproductive stage, which led to a low  
283 crop yield. This pattern was called “the low vegetative rainfall-medium reproductive rainfall-  
284 low yield (LML)”. In contrast, pattern D had high vegetative precipitation and low  
285 reproductive precipitation, which contributed to a high crop yield. The pattern was called

286 “high vegetative rainfall-low reproductive rainfall-high yield (HLH)”. Pattern C had medium  
287 vegetative precipitation and highest reproductive precipitation, which produced a medium  
288 crop yield, the MHM pattern (medium vegetative rainfall-high reproductive rainfall-medium  
289 yield). For the lowest yield level, the climatic conditions are characterized by lowest  
290 vegetative precipitation and lowest reproductive precipitation, termed as the LLL pattern. The  
291 highest yield level was associated with the highest vegetative precipitation and higher  
292 reproductive precipitation, called HMH. We found that much more precipitation during the  
293 vegetative stage contributed to higher crop yield (Patterns D and E), while higher reproductive  
294 stage precipitation did not (Patterns B and C) (Fig. 7). This demonstrated that vegetative  
295 precipitation had the largest impact on final crop yields. For pattern A, due to extremely low  
296 precipitation in both growth stages, with a total value of 197 mm during the entire growing  
297 season, crop yields were extremely low (-0.384). The total solar radiation during the entire  
298 growing period was relatively high (3958 MJ m<sup>-2</sup>) and the maximum temperature was high  
299 (24.8 °C) in the LLL years (Pattern A). In the HMH years (Pattern E), the cumulative growing  
300 season solar radiation (3606 MJ m<sup>-2</sup>) was considerably low and the maximum temperature  
301 was also low (22.4 °C). For the other three patterns (B, C, and D), the cumulative growing  
302 season solar radiation were 3913, 3714, 3829 MJ m<sup>-2</sup>, respectively, indicating that crop yields  
303 increased with cumulative growing season solar radiation and that crop yields are strongly  
304 dependent on total solar radiation under normal rainfall conditions (Fig. 7). Solar radiation  
305 was not significantly correlated with crop yield during the reproductive stage (Table 1).  
306 However, crop yields may increase with increasing solar radiation under conditions when  
307 precipitation is not limiting to crop yield.



### 308 **3.3. APSIM validity against statistical yields**

309 Comparisons were made to investigate whether the APSIM model could interpret the  
310 interactive effects of temperature, precipitation and solar radiation, which can be negative or  
311 positive, on wheat yield. Modeled yields are not influenced by contributions from agricultural  
312 technological advances. There is no significant increasing or decreasing trend for modeled  
313 crop yields due to the use of the same cultivar and same practices for all of the simulation  
314 years during the period of 1889–2004.

315 We therefore applied the same normalization method deriving the relative detrended  
316 yield to the modeled yields as applied previously to the historical wheat yield data. Fig. 8  
317 showed the comparison between statistical and simulated relative yields for the five climatic  
318 patterns. Generally, the simulated yields corresponded well with statistically relative yields  
319 for patterns B, C and D (the three intermediate yield levels). However, the model  
320 underestimated the yields in the lowest yield level (A) and overestimated the yields in the  
321 highest yield level (E). This suggests that the model could be able to account for the effects of  
322 temperature, rainfall and solar radiation on wheat yields in majority of years. But for the  
323 lowest and highest yield years, corresponding to extremely dry and wet years, especially in  
324 the reproductive stage, the model exaggerated the effects of precipitation on wheat yield. The  
325 APSIM-simulated leaf area index (LAI) and total biomass was plotted for typical years in  
326 each pattern. Simulated LAI and biomass differed much among pattern years. High yield  
327 corresponded to high LAI and biomass, and LAI and biomass were low in low yield pattern  
328 years (Fig. 9). The coherence between the simulated yield and LAI and biomass indicated  
329 that yield is closely related to LAI or biomass, which is well described by the APSIM model.

330 [Fig. 10](#) shows the average statistically relative yields for the five yield patterns plotted  
331 against the modeled relative yields. Although the coefficient of determination for the  
332 regression of modeled relative yields against statistically relative yields was high (0.95), the  
333 discrepancies in extremely dry and wet years were significant (regression slope = 1.51). The  
334 deficiency of the APSIM model is thus characterized as overestimating yield in very wet  
335 years and underestimating yield in very dry years.

#### 336 **4. Conclusion and discussion**

337 Climate warming over the last century has ranged between 0.056–0.092 degree/decade ([IPCC,](#)  
338 [2007](#)). Temperature variability ranged from 3110 to 3763 degree days in the growing season  
339 in the study area. For annual crops, this is much higher than the warming trend.

340 As rainfall in vegetative and reproductive stages exerted different effects on wheat yield,  
341 its variation will have significant implication for wheat production. Decreases in rainfall in  
342 the vegetative stage and increases in reproductive stage ([Fig. 1](#)) reduce wheat production.

343 Maximum temperature, minimum temperature, and solar radiation were closely  
344 correlated with precipitation. These variables had measurable influences on wheat yields in  
345 Queensland. However, precipitation is considered to be the most important driving force. Our  
346 analysis suggested that the amount of precipitation in May-Sep. can be used to forecast final  
347 crop yields in advance of harvest. This will help farmers to better manage their farms prior to  
348 and post harvest (i.e. storage, transportation and labor arrangement). Thus, depending on  
349 seasonal forecasts, farmers may apply the appropriate nitrogen treatment to meet the demands  
350 of crop growth since the peak demand for nitrogen is during the phase when crops grow

351 fastest (Angus, 2001). When total precipitation during the period from May to Sep. is high  
352 ( $\geq 214$  mm), farmers need to apply more fertilizer to obtain higher yields. Otherwise reducing  
353 fertilizer rate is necessary to avoid economic loss. During the reproductive stage, increased  
354 precipitation may not increase wheat yields, possibly due to lower solar radiation from  
355 increased cloudiness in years with high rates of precipitation. The inter-relationship between  
356 precipitation and solar radiation makes both of them not significantly correlated with wheat  
357 yields during the reproductive stage. Maximum temperature during this stage had a much  
358 larger influence. High wheat yields were associated with low daytime temperatures, as  
359 reported for rice (Yu *et al.*, 2001), corn and soybean (Lobell and Asner, 2003). A possible  
360 reason for this is that high temperatures induce heat injury to the photosynthetic mechanism  
361 (Harding *et al.*, 1990; Law and Crafts-Brandner, 1999; Sharma and Singh 1999).

362 Crop yield is defined by abiotic stresses over time scales of diurnal, daily, seasonal  
363 variations of climate and soil conditions. The crop growth modelling is run on daily time step,  
364 whereas the year-pattern identification in this study is based on seasonal variation, i.e., two  
365 periods of May–Sep. and Oct. –Nov.. The Australian wheat-belt is a region of very high  
366 rainfall variability. This characteristic determines distinct year patterns which can be  
367 attributed to large scale climate events, such as El Niño and Southern Oscillation (ENSO).  
368 Queensland received much more rain in La Niña years and experienced drought in El Niño  
369 years (Stone, 1998). Variability in these year patterns of climate will result in rainfall  
370 variation at hourly or daily time scales which may impact crop growth. For example, midday  
371 depression of photosynthesis due to water stress and extreme high temperature may be more  
372 frequent in drought years. Therefore, yield which varies annually within each year pattern

373 may be influenced by the diverse daily variation of climatic factors.

374 The APSIM model had high capability to estimate wheat yields in years when  
375 precipitation was moderate (about 400–500 mm during the growing season). When growing  
376 season precipitation was either low or too large, the model significantly underestimated or  
377 overestimated wheat yields.

378 Climatic factors play crucial roles in determining crop yield. To understand crop-climate  
379 relations under different climatic scenarios crop models can be very useful for regional crop  
380 yield prediction and for determining effective management practices. From the perspective of  
381 climate change, understanding relationships between climate and yield can help to predict  
382 and monitor crop production and to ensure food security. The results of this paper are  
383 valuable for crop modelers and model users. Crop models must be comprehensively  
384 evaluated over long time periods so that all possible climatic scenarios can be covered. Once  
385 a CSM has been validated over multiple years, it is easy to judge which annual patterns can  
386 or cannot be simulated well. With the knowledge derived from regression analysis of crop  
387 yield to climatic factors, crop modelers will be able to improve crop models, and model users  
388 will be able to judge model accuracy under different climatic scenarios.

389

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- 521

522 **Legends of figures**

523 Fig. 1. Variations of precipitation during the periods of May-Sep. (Precip5-9, solid curve) and  
524 Oct.-Nov. (Precip10-11, dash curve) at Dalby in Queensland, Australia.

525 Fig. 2. Variations of actual yield (solid) and relative detrended yield (dash) during the period  
526 of 1889-2004 at Dalby in Queensland, Australia.

527 Fig. 3. Comparisons of wheat yields (a) and wheat growth areas (b) between Darling Downs  
528 and Queensland. The solid line in the top panel (a) represents the linear regression,  $r$  is the  
529 correlation coefficient, and the dashed lines on each side of it represent the upper and lower  
530 95% confidence limits. The symbol \*\* indicates statistical significance at 0.01 level.

531 Fig. 4. Inter-correlations between precipitation (Precip) and maximum ( $T_{\max}$ ) and minimum  
532 ( $T_{\min}$ ) temperatures, and solar radiation ( $R_a$ ) during the wheat growing period at Dalby in  
533 Queensland, Australia. The solid line represents the linear trend for each variable. The  
534 symbol \*\* indicates statistical significance at 0.01 level.

535 Fig. 5. The scheme showing the relationship between precipitation and soil water, solar  
536 radiation, and daily temperature, and their effects on crop growth and yield. + indicates  
537 positive feedback and – negative. +/- indicates that the impact can be either positive or  
538 negative.

539 Fig. 6. Cluster analysis for the relative detrended wheat yields during the period 1889-2004 in  
540 Queensland, Australia. A, B, C, D, and E represent the relative detrended yields,  $-0.384$ ,  $-$   
541  $0.192$ ,  $0.012$ ,  $0.196$ , and  $0.376$ , respectively. Horizontal bars and upper and lower edges of  
542 boxes indicate 10, 25, 75, and 90 percentiles, thick black line and filled circle are the median

543 and average, respectively. The crosses indicate all the outliers.

544 Fig. 7. Relationship between relative detrended yield and the maximum temperature ( $T_{\max}$ ),  
545 the minimum temperature ( $T_{\min}$ ), precipitation (Precip), and solar radiation ( $R_a$ ) during the  
546 periods of May-Sep. (indicated as 5-9) (a, c, e, and g) and Oct.-Nov. (indicated as 10-11) (b, d,  
547 f, and h). A, B, C, D, and E represent the relative detrended yields,  $-0.384$ ,  $-0.192$ ,  $0.012$ ,  
548  $0.196$ , and  $0.376$ , respectively. Horizontal bars and upper and lower edges of boxes indicate  
549 10, 25, 75, and 90 percentiles, thick black line and filled circle are the median and average,  
550 respectively.

551 Fig. 8. Comparison between statistically and simulated relative yields during the period of  
552 1889–2004 in Queensland, Australia. Five clusters, A, B, C, D, and E represent the relative  
553 detrended yields,  $-0.384$ ,  $-0.192$ ,  $0.012$ ,  $0.196$ , and  $0.376$ , respectively. The solid line is the  
554 linear regression equation for the mean values. The dash line indicates the 1:1 line.

555 Fig. 9. APSIM-simulated biomass and LAI for five patterns of climate impact.

556 Fig. 10. Comparison between average statistically relative yield and average simulated  
557 relative yield by APSIM. A, B, C, D, and E represent the relative detrended yields,  $-0.384$ ,  $-$   
558  $0.192$ ,  $0.012$ ,  $0.196$ , and  $0.376$ , respectively. The circle inside the box represents the mean  
559 yield, and the square inside the box indicates the median yield. The left and bottom edges of  
560 the box represent the 5 percentiles, and the right and top edges of the box represent 95  
561 percentiles. The bottom-left and top-right corners indicate 25 and 75 percentiles, respectively.  
562 The solid line is the linear regression equation for the mean values. The dash line indicates  
563 the 1:1 line.

564

565 Table 1. Mean values of the relative detrended wheat yield, the maximum ( $T_{\max}$ , °C) and  
 566 minimum ( $T_{\min}$ , °C) temperatures, precipitation (Precip, mm), and solar radiation ( $R_a$ , MJ m<sup>-2</sup>)  
 567 corresponding to specific cluster during the periods May-Sep. (5-9) and Oct.-Nov. (10-11).  
 568 The *slope* is the slope of linear regression between the relative detrended wheat yield and  
 569 meteorological variables for five clusters and *r* is the correlation coefficient. And ‘n’ is the  
 570 number of data points for each cluster. The ‘Yield’ represents the relative detrended yield,  
 571 which is -0.384, -0.192, 0.012, 0.196, and 0.376 for clusters A, B, C, D, and E, respectively.  
 572 The symbols \*, \*\* indicate the statistical significance at 0.05 and 0.01 levels.

	n	Yield	Tmax5-9	Tmax10-11	Tmin5-9	Tmin10-11	Precip5-9	Precip10-11	Ra5-9	Ra10-11
<i>R</i>			-0.49**	-0.34**	0.32**	-0.22*	0.56**	0.10	-0.43**	-0.17
<i>Slope</i>			-0.184	-0.068	0.093	-0.078	0.002	0.0005	-0.002	-0.001
A	15	-0.384	22.3	30.9	6.3	14.7	109	88	2452	1506
B	23	-0.192	21.7	29.4	5.0	14.1	96	129	2470	1443
C	38	0.012	21.0	27.6	6.5	13.7	179	178	2340	1374
D	29	0.196	20.8	30.0	6.4	14.1	220	97	2362	1466
E	11	0.376	20.3	27.6	7.7	13.8	337	151	2248	1357

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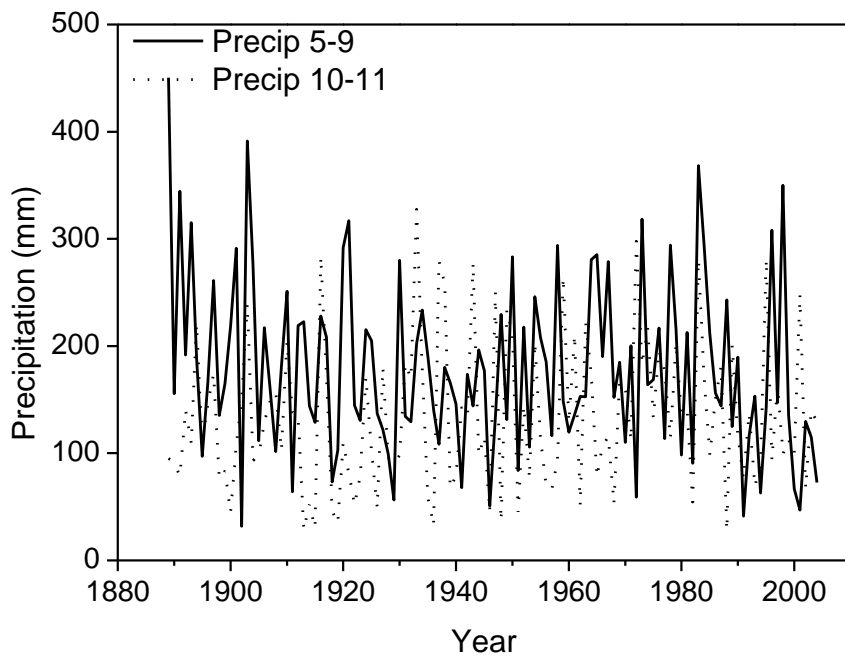
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575 Table 2. Inter-correlations between precipitation (Precip) and maximum temperature ( $T_{\max}$ ,  
 576 °C), minimum temperature ( $T_{\min}$ , °C), and solar radiation ( $R_a$ , MJ m<sup>-2</sup>) during the periods  
 577 May-Sep. (5-9) and Oct.-Nov. (10-11). The symbol \* indicates the linear relationship between  
 578 precipitation and other climatic variables significant at 0.01 level, and n.a. represents “not  
 579 applicable” for correlation.

	Tmax5-9	Tmax10-11	Tmin5-9	Tmin10-11	Ra5-9	Ra10-11
Precip5-9	-0.0058*	n.a.	0.0072*	n.a.	-0.6704*	n.a.
Precip10-11	n.a.	-0.0157*	n.a.	0.0006	n.a.	-0.6465*

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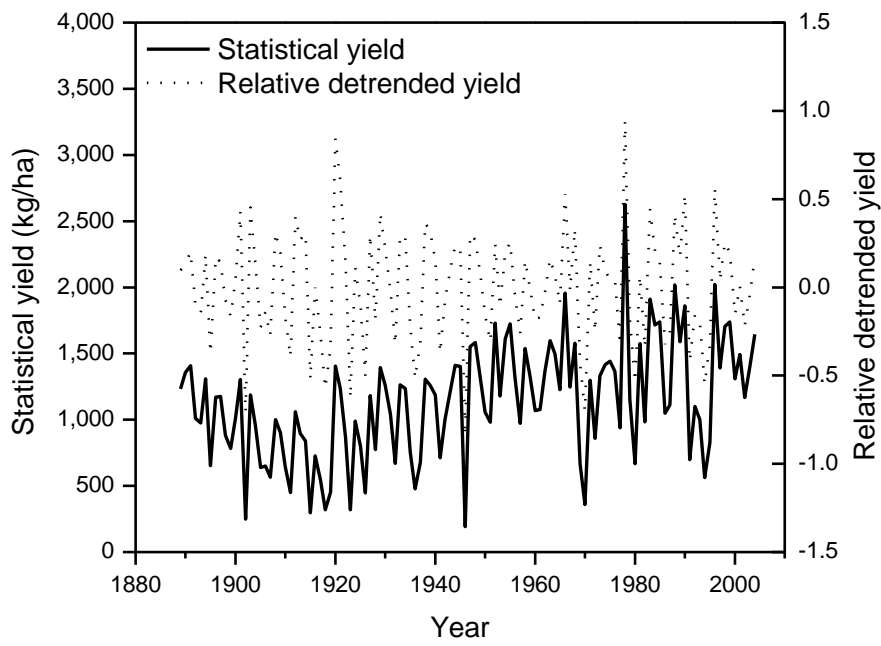
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Fig. 1

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Fig. 2

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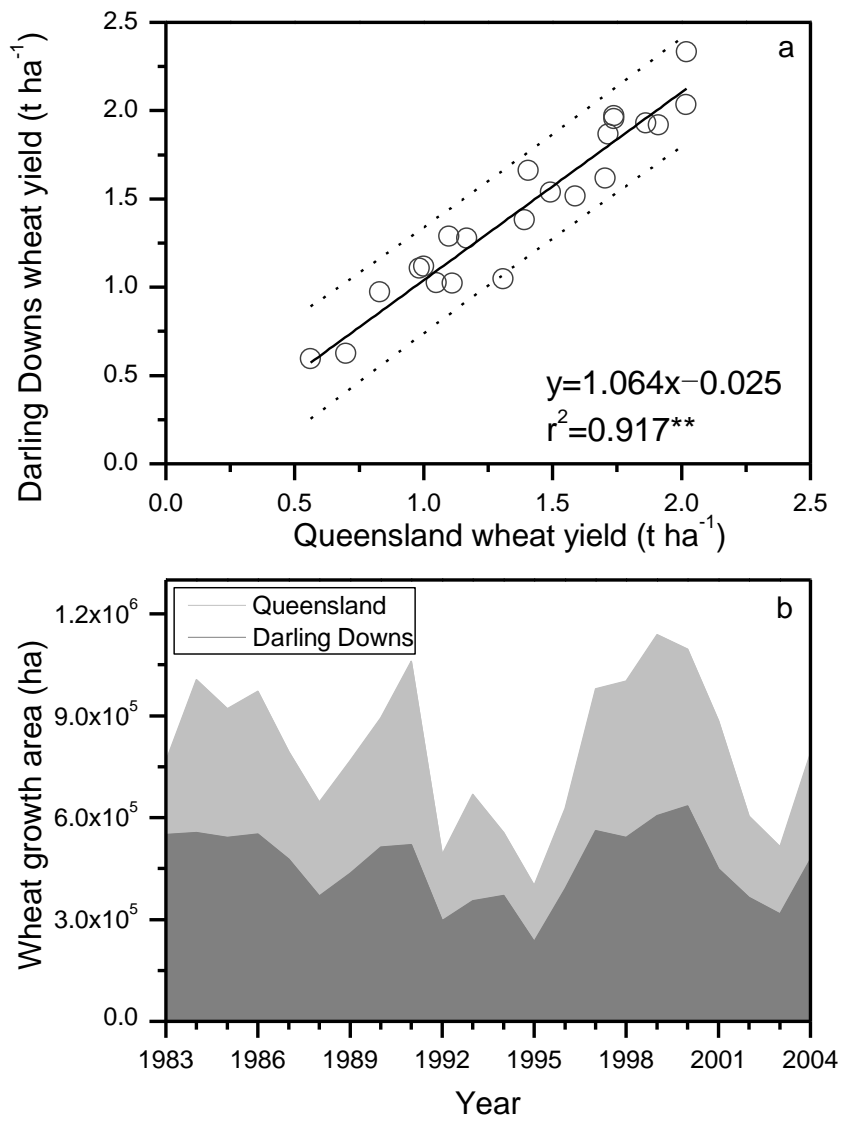
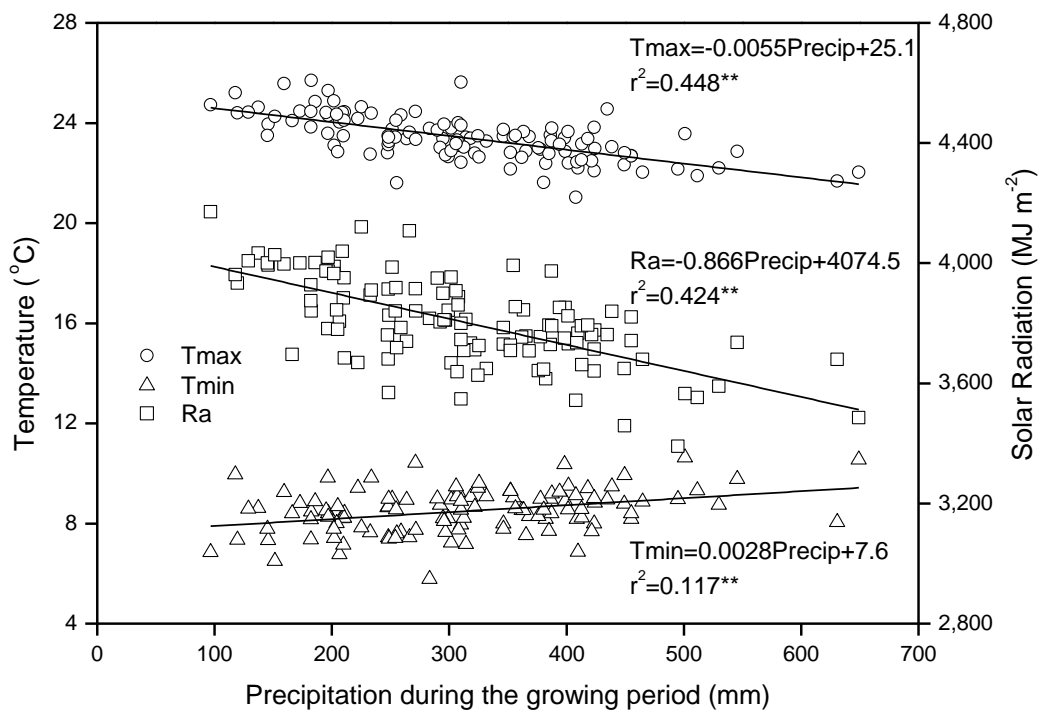


Fig. 3

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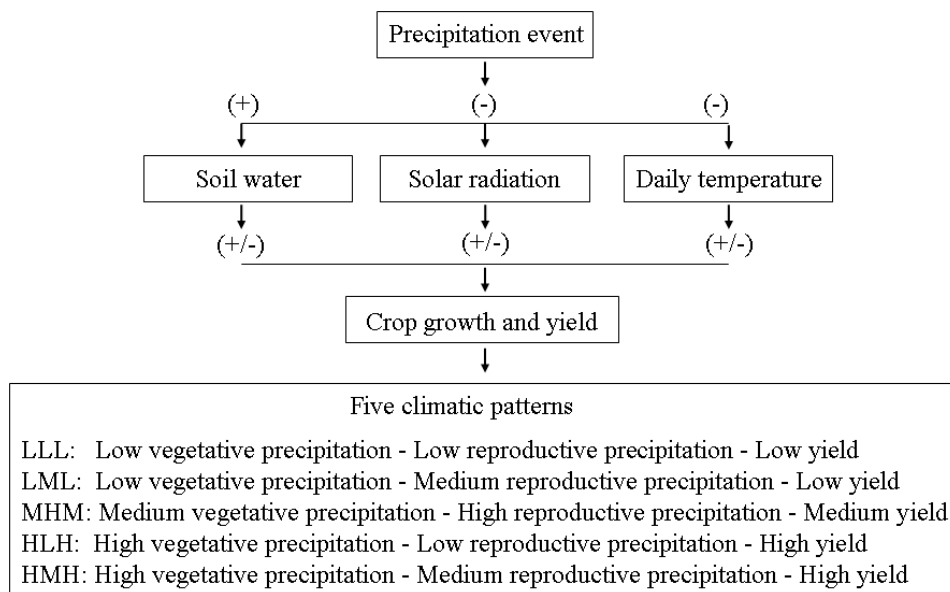


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Fig. 4



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Fig. 5

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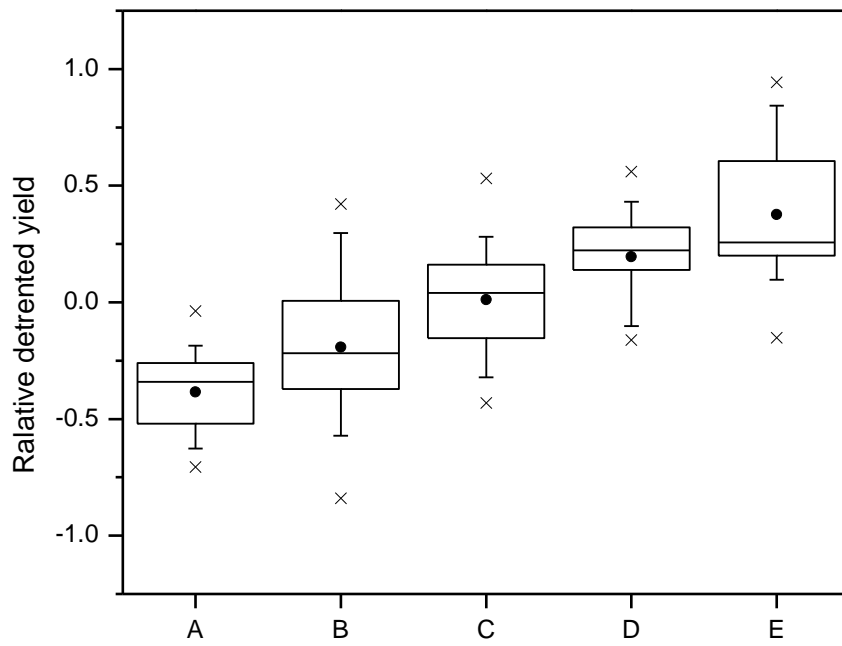


Fig. 6

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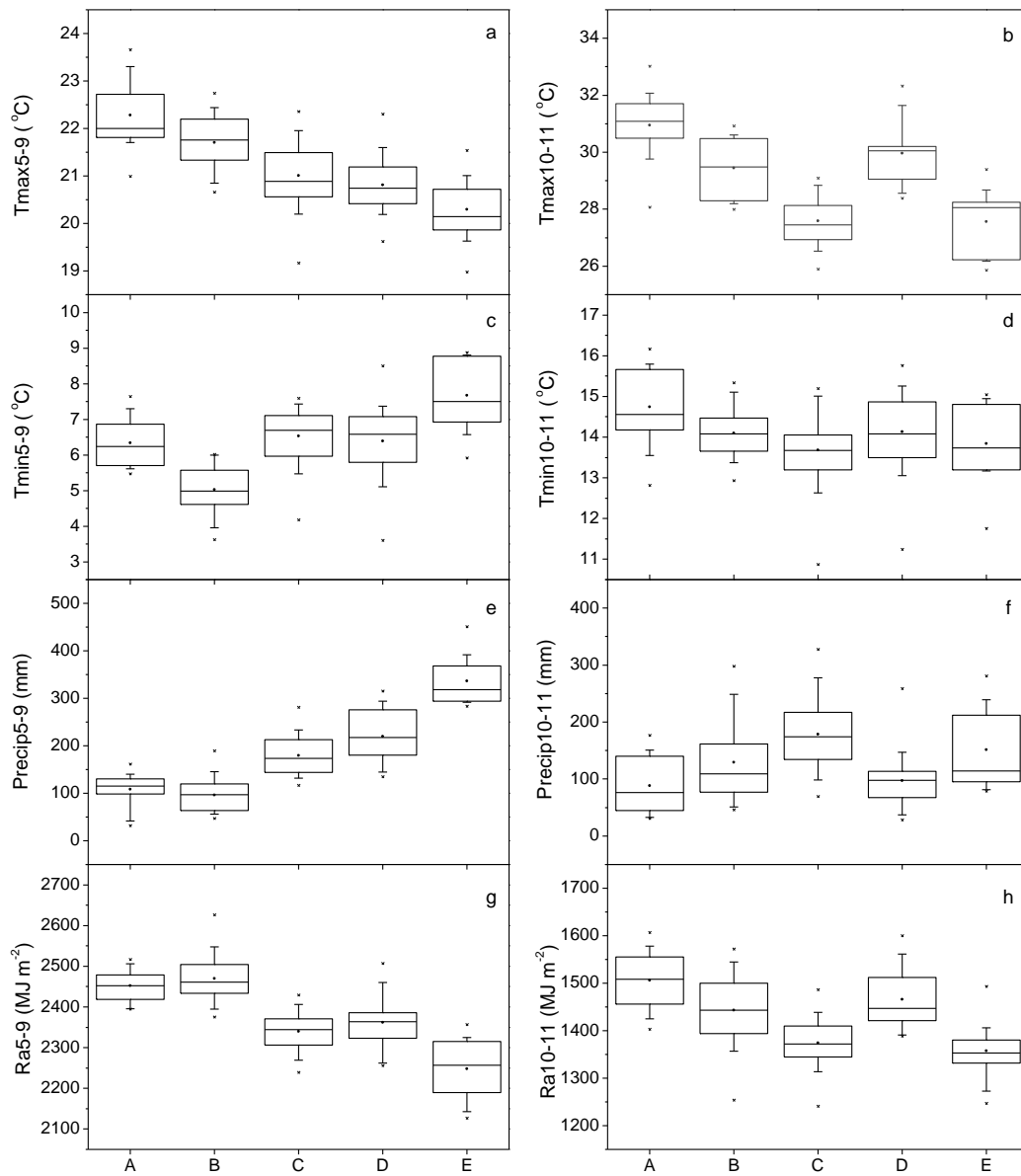
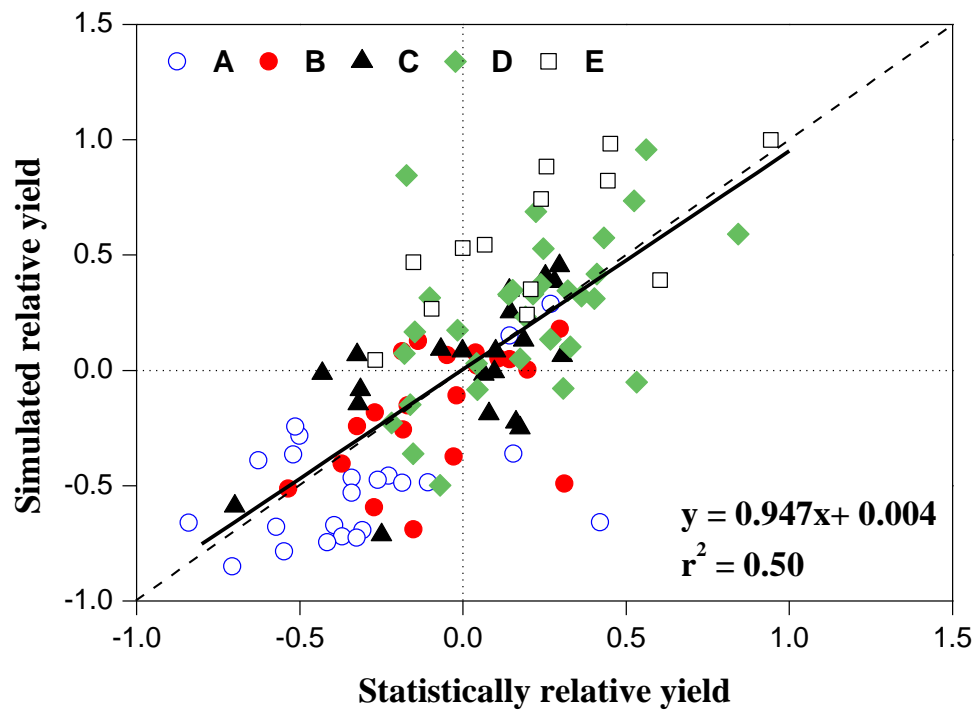


Fig. 7

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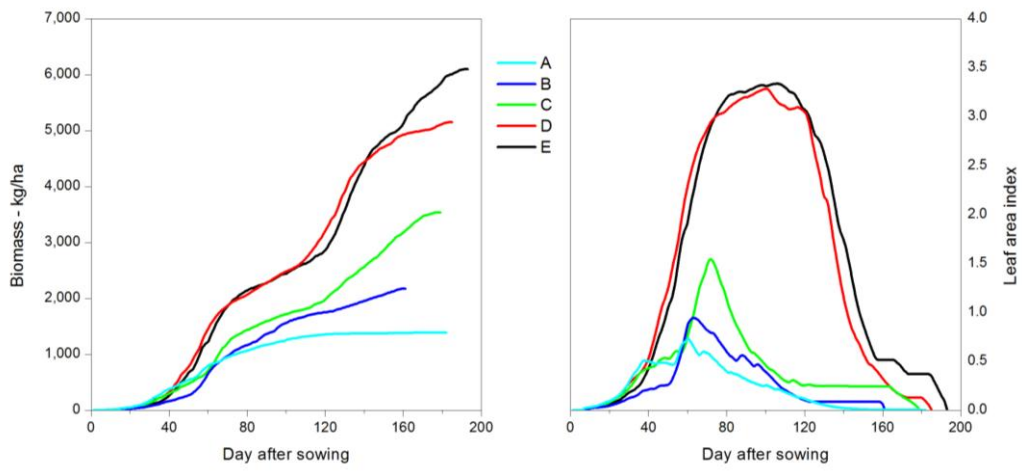


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Fig. 8

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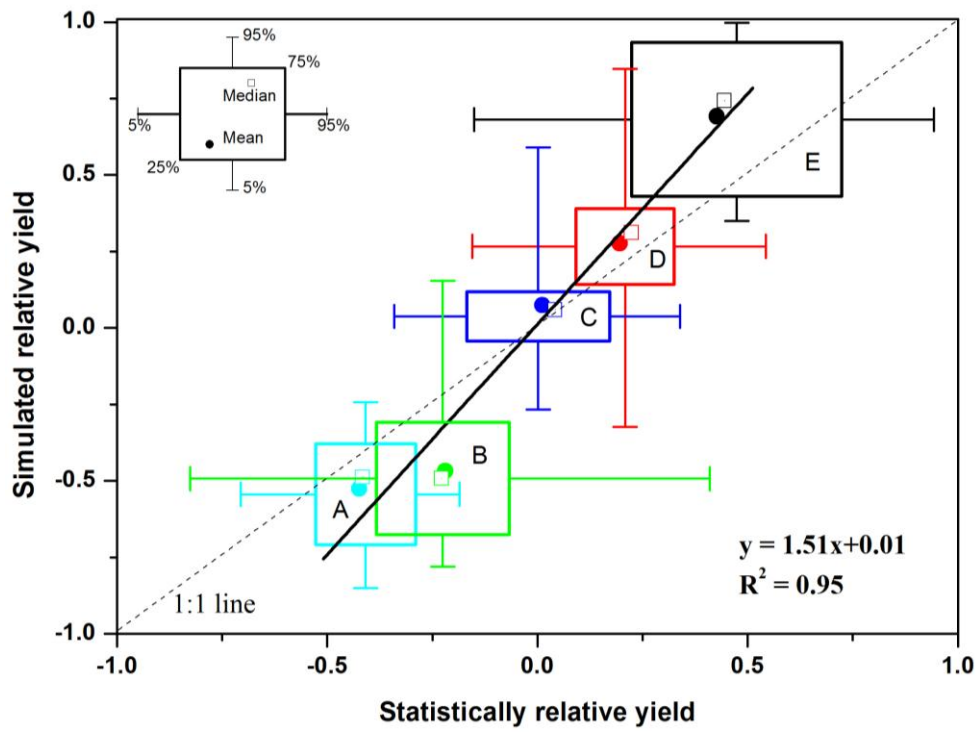


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Fig. 9





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Fig. 10