

# The Explosion at Institute: Modeling and Analyzing the Situation Awareness Factor

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## Abstract:

In 2008 a runaway chemical reaction caused an explosion at a methomyl unit in West Virginia, USA, killing two employees, injuring eight people, evacuating more than 40,000 residents adjacent to the facility, disrupting traffic on a nearby highway and causing significant business loss and interruption. Although the accident was formally investigated, the role of the situation awareness (SA) factor, i.e. a correct understanding of the situation, and appropriate models to maintain SA, remain unexplained. This paper extracts details of abnormal situations within the methomyl unit and models them into a situational network using dynamic Bayesian networks. A fuzzy logic system is used to resemble the operator's thinking when confronted with these abnormal situations. The combined situational network and fuzzy logic system make it possible for the operator to assess such situations dynamically to achieve accurate SA. The findings show that the proposed structure provides a useful graphical model that facilitates the inclusion of prior background knowledge and the updating of this knowledge when new information is available from monitoring systems.

**Keywords:** Situation awareness, Situation assessment, Abnormal situations, Methomyl unit, Accident analysis.

## 1. Introduction

On Thursday 28 August 2008 a runaway chemical reaction occurred at a methomyl production facility in Institute, West Virginia, USA. Highly flammable solvent sprayed from a 4,500 gallon pressure vessel known as a residue treater and immediately ignited, killing two employees and injuring eight firefighters and contractors. The intense fire burned for more than four hours, more than 40,000 residents were evacuated to shelter-in-place for over three hours, and the highway was closed for hours because of smoke disruption to traffic. The Chemical Safety Board (CSB) investigation team determined that the runaway chemical reaction and loss of containment of the flammable and toxic chemicals was the result

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33 of deviation from the written start-up procedures and included the bypassing of critical safety devices  
34 intended to prevent such a condition occurring. A poor process mimic screen, which could not provide  
35 adequate situation awareness (SA) for the board operator, was another important contributing factor (CSB  
36 2011). The tragic event at Institute is an example of the difficulties experienced with regard to loss of SA,  
37 poor SA or lack of SA, all of which are now popular terms in accident investigation reports. However, SA  
38 itself is not the only cause of accidents (Dekker 2013). In the case of the Texas City, TX BP Amoco  
39 Refinery explosion on 23 March 2005, in which 15 workers were killed and 170 injured, several failed  
40 control instrumentation and alarms caused an overfilled and over-pressurized tower to discharge a large  
41 quantity of flammable liquid into the atmosphere, while the control room operator could not maintain  
42 accurate SA when monitoring this complex, fast moving environment, and an ignition created one of the  
43 worst industrial disasters in recent US history (Pridmore 2007).

44 A situation is a set of circumstances in which a number of objects may have relationships with one  
45 another and the environment, and situation awareness (SA) is knowing and understanding what is going  
46 on around you and predicting how things will change (Vincenzi *et al.* 2004). To date, several SA models,  
47 such as Endsley (1995), Bendy and Meister (1999), and Adams *et al.* (1995) have been developed;  
48 however, Endsley's model has undoubtedly received the most attention. This three-level model describes  
49 SA as "the perception of the elements in the environment within a volume of time and space, the  
50 comprehension of their meaning and the projection of their status in the near future" (Endsley 1995). The  
51 three-level model describes SA as an internally held product, comprising three hierarchical levels (i.e.  
52 perception, comprehension, and projection), that is separate from the processes called situation  
53 assessment used to achieve it (Endsley 1995). In fact, situation assessment models explain the main  
54 features and general principles about how people process information and interact with the environment  
55 to maintain their SA. The primary research into SA came from the aviation industry, when a review of  
56 aircraft accidents showed that poor SA was the main causal factor. It was also found that most of the  
57 errors occurred when data were unavailable or difficult to discriminate or detect (level 1). About 20% of  
58 errors involved lack of, or an incomplete mental model, use of an incorrect mental model, over-reliance  
59 on default values, and miscellaneous other factors (level 2). In addition, around 3.5% of errors involved  
60 over-projection of current trends or miscellaneous other factors (level3). Another review in offshore  
61 drilling accidents by Sneddon *et al.* (2013) showed that 40% of such accidents are related to SA, and the

62 majority of those SA errors (67%) occurred at the perceptual level, 20% concerned comprehension, and  
63 13% arose during projection. Therefore, this is not a problem limited to aviation, but one faced by many  
64 complex systems when combining and presenting the vast amounts of data available from many  
65 technological systems in order to provide true SA is a challenge.

66 In complex systems, SA level 1 is highly supported through the various heterogeneous sensors and  
67 appropriate signal-processing methods to extract as much information as possible about the dynamic  
68 environment and its elements, but regarding SA levels 2 and 3, there is still a need for appropriate and  
69 effective methods to support operators to infer real situations and to project their status in the near future  
70 (Fischer *et al.* 2011, Jones *et al.* 2011). In maritime security, an automated system has been developed  
71 that has the ability to recognize any deviance from normal behavior (Van den Broek *et al.* 2011). In  
72 military services, there are several SA systems, such as (Ghanea-Hercock *et al.* 2007) and (Smart *et al.*  
73 2007), that are able to collect, filter and present different sources of data, and also support some form of  
74 low-level data fusion and analysis. However, these systems are not able to provide a deep, semantic  
75 modeling of the domain and are consequently unable to generate conclusions. Their users have to  
76 integrate information by themselves to assess and project a future situation, so a system architecture has  
77 been developed by Baader *et al.* (2009) that focuses on using formal logic and an automated theorem to  
78 build an SA system in a more useful way. In the force protection domain, Brannon *et al.* (2009) used  
79 machine learning techniques to project a threat index. They took into account various inputs such as  
80 binary, categorical, and real-valued data to generate attributes including confidence levels, as well as  
81 evidence in support of, or against the assessment. In the aviation domain, an SA system called the tactile  
82 situation awareness system (TSAS) has been developed by Kim and Hoffmann (2003) to improve the SA  
83 of pilots in simulated rotorcraft under high-load working conditions. Rather than presenting visual or  
84 aural information for the efficient delivery of SA, this system relies on a wearable suit equipped with a  
85 tactile device that provides an intuitive human computer interface with three-dimensional space. In the  
86 domain of nuclear power plants, Kim and Seong (2006) proposed a computational model of situation  
87 assessment that projects the states of the environment probabilistically when receiving information from  
88 indicators. Fischer and Beyerer (2012) also applied automated projection in surveillance systems where  
89 situations of interest in the maritime domain are recognized by calculating probabilities for the situations,  
90 given evidence obtained from observable characteristics. Although the application of SA systems is not

91 limited to the above domains, its application in safety-critical environments such as process control is  
92 very rare. Most prior system safety studies in these environments focus on the deviation of the process  
93 from an acceptable range of operation. Therefore, in the development of operator support systems, the use  
94 of quantitative knowledge and hardware failures has been relied on significantly. Most of these research  
95 studies focus on the identification of operation faults (Qian *et al.* 2008) or the prediction of process  
96 variables (Juricek *et al.* 2001) that will violate an emergency limit in the future; however, further research  
97 showed that when faults occur, human operators have to rely on their experience under working pressure  
98 to understand what is going on and to contribute a solution (Klashner and Sabet 2007). When an  
99 abnormal situation occurs in a safety-critical system, operators firstly recognize it by receiving an alarm,  
100 and secondly need to understand what is happening in the plant by situation assessment. During the  
101 situation assessment process, operators receive information from observable variables or other operators  
102 and process the information to establish situation models based on their mental models (Kim and Seong  
103 2006).

104 This study aims to introduce a methodology to model and analyze the SA factor in abnormal situations  
105 that can be utilized in the development of operator support systems. To identify abnormal situations, this  
106 paper uses risk indicators. Therefore, when a hazardous situation is defined as a possible circumstance  
107 immediately before harm is produced by the hazard, an abnormal situation is defined as a hazardous  
108 situation if its risk is not acceptable. This definition can also help operators to understand the hierarchy of  
109 investigations (i.e. a situation with a higher risk has priority over other situations to be investigated). The  
110 paper uses Bayesian networks to model situation models based on a control room operator's mental  
111 models, and it also relies on risk level projections to show whether the situation is abnormal or not, and  
112 provides the priorities. A human-system interface based on the proposed approach is designed for the  
113 methomyl unit environment and the performance of the system is investigated through real data collected  
114 from the unit.

115 The paper is organized as follows. Section 2 presents our methodology for modeling and analyzing the  
116 SA factor. The process of the residue treater and timeline of events are explained in Section 3. The  
117 performance and results of the proposed methodology in the residue treater environment are presented in  
118 Section 4. The conclusion and future work are summarized in Section 5.

119

## 120 **2. Modeling and analyzing situation awareness**

121 The use of Bayesian networks (BNs) in situation assessment configuration of dynamic and complex  
122 domains has several advantages in comparison with other situation assessment methods that use other  
123 artificial intelligence tools such as expert systems (Naderpour and Lu 2012a) and neural networks  
124 (Naderpour and Lu 2012b). First, it includes nodes and directed arcs to express the knowledge, and new  
125 information can be transmitted by directed arcs between nodes. Second, knowledge in the component can  
126 be updated, whereas updating knowledge in expert systems is difficult. Third, it already has expert  
127 knowledge encoded in its construction, while neural networks must learn knowledge via datasets,  
128 assuming training data are available. Lastly, the cumulative effect of situations based on new evidence is  
129 very suitable for SA continuity, whereas this feature does not exist in other artificial intelligence tools  
130 (Naderpour *et al.* 2014a).

131 In the following sections, general information about BNs, and how a situational network can be  
132 developed and analyzed, are explained.

### 133 **2.1. Bayesian networks**

134 A situation is a set of circumstances in which a number of objects may have relationships with one  
135 another and the environment. Therefore, conventional BNs can be considered as a representation of static  
136 cause–effect relations between objects in a situation. From this point of view, a BN is a directed acyclic  
137 graph whose nodes correspond to objects and the arcs between nodes represent dependencies or direct  
138 causal influences between objects. The parameters of a BN determine the strength of the probabilistic  
139 relations between its nodes. Each node in the BN has a set of mutually exclusive and collectively  
140 exhaustive states with a probability distribution conditional on the states of its parent nodes, or an  
141 unconditional distribution if the node does not have any parents. The conditional and unconditional  
142 probabilities can be learned from available data or elicited from domain experts (Yet *et al.* 2013). Based  
143 on the conditional independence resulting from the  $d$ -separation concept, and the chain rule, BN  
144 represents the joint probability distribution  $P(X)$  of variables  $X = \{X_1, X_2, \dots, X_n\}$ , included in the  
145 network as:

$$P(X) = \prod_{i=1}^n P(X_i | Pa(X_i)) \quad (1)$$

146 where  $Pa(X_i)$  is the parent set of  $X_i$  for any  $i=1, \dots, n$ . If  $Pa(X_i)$  is an empty set, then  $X_i$  is a root node and  
 147  $P(X_i|Pa(X_i)) = P(X_i)$  denotes its prior probability. BN takes advantage of Bayes theorem to update the  
 148 prior occurrence probability of objects given new information, called evidence  $E$ , thus yielding the  
 149 posteriors. This new information usually becomes available during the operational life of a system,  
 150 including the occurrence or non-occurrence of objects (Khakzad *et al.* 2012):

$$P(X|E) = \frac{P(X, E)}{P(E)} = \frac{P(X, E)}{\sum_x P(X, E)} \quad (2)$$

151 This equation can be used for either prediction or diagnostic analysis. In predictive analysis,  
 152 conditional probabilities of the form  $P(\text{situation}/\text{object})$  are calculated, indicating the occurrence  
 153 probability of a particular situation given the occurrence or non-occurrence of a certain primary object.  
 154 On the other hand, in diagnostic analysis, those of the form  $P(\text{object}/\text{situation})$  are evaluated, showing the  
 155 occurrence probability of a particular object given the occurrence of a certain situation (Naderpour *et al.*  
 156 2013).

157 The static BN can be extended to a dynamic BN (DBN) model by introducing relevant temporal  
 158 dependencies that capture the dynamic behaviors of the domain variables between representations of the  
 159 static network at different times. Two types of dependencies can be distinguished in a DBN:  
 160 contemporaneous and non-contemporaneous. Contemporaneous dependencies refer to arcs among nodes  
 161 that represent variables within the same time period. Non-contemporaneous dependencies refer to arcs  
 162 between nodes which represent variables at different times. A DBN is defined as a pair  $(B_1, 2TBN)$   
 163 where  $B_1$  is a BN which defines the prior distribution  $P(X_1)$  and  $2TBN$  is a two-slice temporal BN with

$$P(X_t|X_{t-1}) = \prod_{i=1}^n P(X_t^i|Pa(X_t^i)) \quad (3)$$

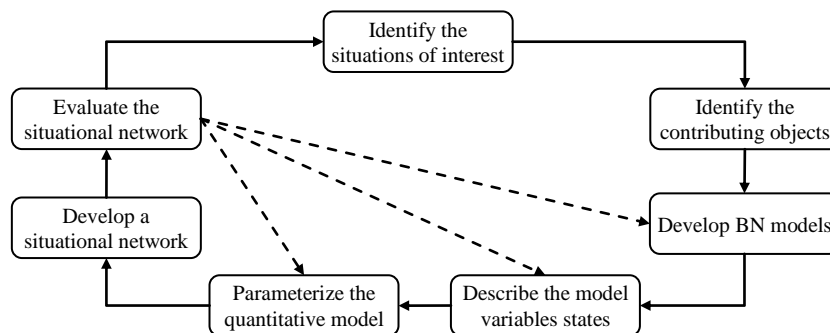
164 where  $X_t^i$  is a node at time slice  $t$  and  $Pa(X_t^i)$  is the set of parent nodes which can be in time slice  $t$  or in  
 165 time slice  $t-1$ . The nodes in the first slice of a  $2TBN$  do not have any parameters associated with them, but  
 166 each node in the second slice has an associated conditional probability distribution (CPD) for continuous  
 167 variables or conditional probability table (CPT) for discrete variables, which defines  $P(X_t^i|Pa(X_t^i))$  for  
 168 all  $t > 1$ . The arcs between slices are from left to right, reflecting the causal flow of time. If there is an  
 169 arc from  $X_{t-1}^i$  to  $X_t^i$ , this node is called persistent. The arcs within a slice are arbitrary. Directed arcs

170 within a slice represent “instantaneous” causation. The semantics of a DBN can be defined by “unrolling”  
 171 the *2TBN* until there are  $T$  time-slices. The resulting joint distribution is then given by (Murphy 2002):

$$P(X_{1:T}) = \prod_{t=1}^T \prod_{i=1}^n P(X_t^i | Pa(X_t^i)) \quad (4)$$

## 172 2.2. Situational network development

173 Figure 1 shows our proposed method to develop a network of abnormal situations using BNs. To  
 174 identify hazardous situations, an analysis is carried out using a combination of cognitive engineering  
 175 procedures and hazard identification methods. Observation of operator performance, analysis of written  
 176 materials and documentation, expert elicitation and formal questionnaires may be used to conduct the  
 177 analysis (Endsley 2006). Previous hazard identification documents may help with this analysis. For  
 178 example, HAZOP, one of the most powerful methods available, has been well-described in the literature  
 179 and can help to determine the basic objects that contribute to the occurrence of situations. The situation  
 180 model usually begins with root nodes, which are the basic objects, followed by intermediate nodes, a  
 181 pivot node and leaf nodes. The pivot node is the focal object that delegates the situation, and relations  
 182 among the root nodes and the pivot node define the relationships among the objects. The leaf nodes may  
 183 be safety barriers which are physical objects of the environment and will connect to one another if there is  
 184 relation between their performances. Also, one of the leaf nodes may be a consequence node that shows  
 185 the possible accidents in the situation. If the situation is inferred by one or more observable variables, the  
 186 focal object is connected to the observable variables.



**Figure 1:** A cycle to build a situational network using BNs.

187 The states of basic and intermediate objects and safety barriers are defined as Boolean (i.e. success  
 188 and failure), which refers to the objects working well (success) or not working (failure). The focal object

189 has two states, i.e. safe and hazardous. The states of consequence nodes are usually determined by  
190 consequence analysis, which concerns what may follow the occurrence of an abnormal situation. The  
191 states of observables are determined in terms of operation, six sigma quality and safety set-points. As the  
192 observable variables extracted from sensors are continuous, a discretization process is required to use  
193 them in BNs. In general, mapping a continuous variable to a discrete variable can be achieved with a crisp  
194 set or a fuzzy set. Because the concept of fuzzy set theory can provide a method that is more smoothly  
195 structured, the states of observable variables are determined using a fuzzy partitioning method and fuzzy  
196 states definition (Naderpour *et al.* 2014b).

197 The prior probability of basic objects (nodes without parents) can be obtained through failure  
198 probability datasets such as the Center for Chemical Process Safety (CCPS 1989), and the Offshore  
199 Reliability Data Handbook (OREDA 2002), and if the failure probability is not available, expert judgment  
200 can be used. The CPTs of intermediate and pivot nodes are set based on “OR gate” or “AND gate”  
201 definitions. The CPTs of observable variables are determined by domain experts with recursive  
202 techniques (e.g. Delphi method) to guarantee the convergence of the results. The CPTs of consequence  
203 nodes are determined by 0 and 1 value corresponding to appropriate states.

204 Based on the above description, a situation may depend on the existence of other situations, or the  
205 existence of one situation can exclude the existence of another situation. The complete modeling of the  
206 dependencies results in a network of situations. As a result of this modeling, the existence of a situation is  
207 inferred based on information in the World, i.e. the observable variables and objects of configuration  
208 space. This also includes temporal dependencies, i.e. that the existence probability of an inferred situation  
209 in future can be supported by the earlier existence of the situation itself (Naderpour *et al.* 2014a).

210 Evaluation of the situational network requires the assessment of model behavior to ensure that the  
211 model demonstrates acceptable behavior. Sensitivity analysis is a technique for the systematic  
212 investigation of the influence of variation in the model inputs on this model’s outcome, where inputs can  
213 be the parameters (i.e. values of conditional probabilities) or real inputs (i.e. values of observable nodes)  
214 (Bednarski *et al.* 2004). Sensitivity to findings based on a *d*-separation concept determines whether  
215 evidence about one variable may influence belief in a query variable. Using sensitivity to findings, it is  
216 possible to rank evidence nodes that allow the expert to identify whether a variable is sensitive or  
217 insensitive to other variables in particular contexts. This helps to identify errors in either the network



218 structure or the CPTs. In this regard, entropy is a common measure that assesses the average information  
 219 required, in addition to the current knowledge, to specify a particular alternative. The entropy of a  
 220 distribution over variable  $X$  is defined as follows:

$$221 \quad H(X) = -\sum_{x \in X} P(x) \log P(x) \quad (5)$$

222 and mutual information is used to measure the effect of one variable ( $X$ ) on another ( $Y$ ):

$$223 \quad I(X, Y) = H(X) - H(X|Y) \quad (6)$$

224 where  $I(X, Y)$  is the mutual information between variables. This measure reports the expected degree to  
 225 which the joint probability of  $X$  and  $Y$  diverges from what it would be if  $X$  were independent of  $Y$  (Pollino  
 226 *et al.* 2007). Sensitivity to parameters considers altering each of the parameters of query nodes and  
 227 observing the related changes in the posterior probabilities of the query node. Most such sensitivity  
 228 analyses are one-dimensional and, therefore, they only vary one parameter at a time. If models are  
 229 unaffected by the precision of either the model or the input numbers, they may still be sensitive to  
 230 changes in combinations of parameters. However, testing all possible combinations of parameters is  
 231 exponentially complex (Korb and Nicholson 2003). The one-dimensional sensitivity analysis can be  
 232 conducted by a sensitivity function for the output probability  $f(x)$  when  $x$  is being varied. This sensitivity  
 233 function is defined as follows (Laskey 1995):

$$234 \quad f(x) = \frac{\alpha x + \beta}{\gamma x + \delta} \quad (7)$$

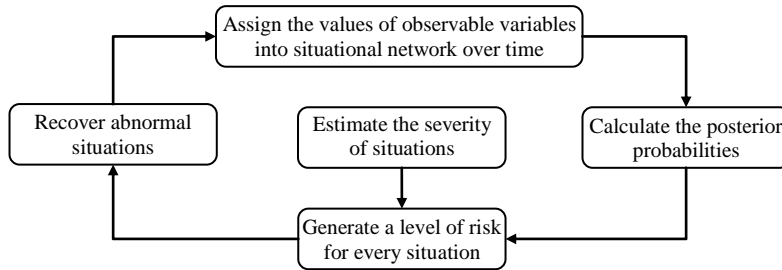
235 where  $\alpha, \beta, \gamma, \delta \in \mathbb{R}$  and they are constants built from parameters that are fixed. The sensitivity value of  
 236 the parameter  $x$  and the target probability can be obtained by taking the first derivative from the  
 237 sensitivity as follows (Laskey 1995):

$$238 \quad f'(x) = \frac{\alpha\delta - \beta\gamma}{(\gamma x + \delta)^2} \quad (8)$$

### 239 **2.3. Situational network analysis**

240 Usually, well-trained operators are able to form rules for every situation to assess their risks  
 241 dynamically, and those rules are an important part of their mental models. For instance, if an operator has  
 242 this rule: ‘when the probability of the situation of accumulated vapor in the production unit is likely and  
 243 this situation has catastrophic severity, the risk level of this situation is not acceptable’. The rule helps the  
 244 operator to understand that ‘when the risk level of the situation of accumulated vapor is increasing, the  
 245 occurrence of an explosion is possible’. In this sense, it is assumed that the operator’s mental model can

246 be modeled using the rules for hazardous situations in that environment. Based on these rules, an operator  
 247 tries to keep the situational risk to as low a level as reasonably practicable. Therefore, to resemble and  
 248 analyze situational behavior based on the thought processes of operators, the methodology needs to  
 249 generate an assessment level of risk for every situation over time. Figure 2 shows our proposed cycle for  
 250 analyzing the situational network.



**Figure 2:** A cycle to analyze the situational network over time.

251 Suppose the configuration space  $\sigma$  is defined by all possible physical and conceptual objects. The  
 252 current risk level of a situation at time  $t$  is defined as  $R(S_t) = P(S_t) * S(S_t)$  where  $P(S_t)$  is the  
 253 probability and  $S(S_t)$  is the severity of the situation.  $P(S_t)$  depends on the objects of the subset space  $\tilde{\sigma}$ :  
 254  $P(S_t) := P(S_t|o_1, o_2, \dots, o_m)$  with  $o_1, o_2, \dots, o_m \in \tilde{\sigma}$  and  $S(S_t)$  is estimated through a loss analysis in  
 255 which the adverse outcomes (human loss, asset loss, and environmental loss) associated with accidents,  
 256 i.e. the states of consequence node, are converted and expressed in a common currency, such as monetary  
 257 value, to provide a coherent view of the totality of loss associated with the situation (Naderpour and Lu  
 258 2012a). It is also assumed that the severity of situations remains constant during the study. Twenty five  
 259 rules in terms of linguistic variables elicited from operators are showed in Table 1. Fuzzy logic is used to  
 260 mathematically emulate human reasoning and allow an operator to express his/her knowledge in the form  
 261 of related imprecise inputs and outputs in terms of linguistic variables. The results are obtained by using a  
 262 fuzzy logic system where the membership functions illustrated in Figure 3 and Mamdani's fuzzy logic  
 263 operations are utilized to generate the output.

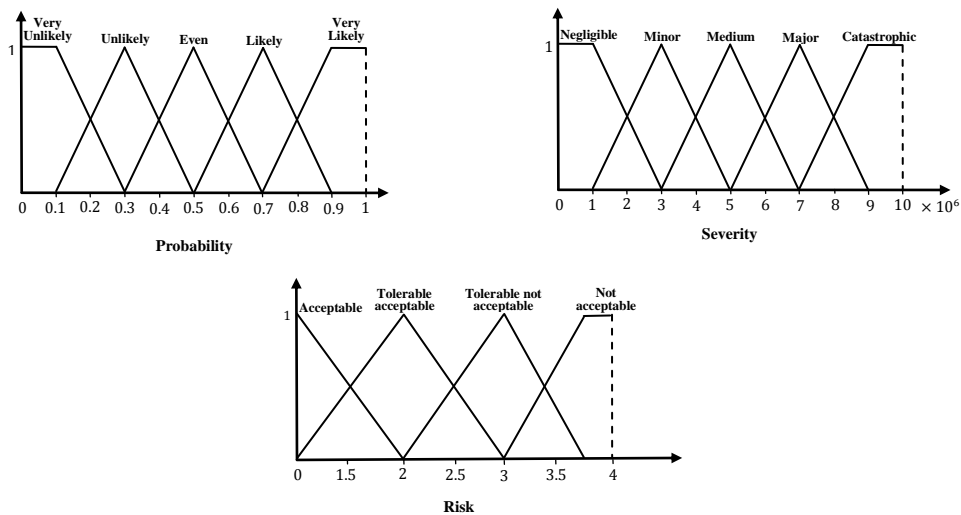
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 265  
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 269

**Table 1:** Operators' rules for assessing the risk of situations.

Probability	Severity				
	Negligible	Minor	Medium	Major	Catastrophic
Very likely	Tolerable not acceptable	Tolerable not acceptable	Not acceptable	Not acceptable	Not acceptable
Likely	Tolerable acceptable	Tolerable not acceptable	Tolerable not acceptable	Not acceptable	Not acceptable
Even	Acceptable	Tolerable acceptable	Tolerable not acceptable	Not acceptable	Not acceptable
Unlikely	Acceptable	Acceptable	Acceptable	Tolerable not acceptable	Tolerable not acceptable
Very Unlikely	Acceptable	Acceptable	Acceptable	Tolerable not acceptable	Tolerable not acceptable

271

272 By assigning the values of observable variables to the situational network, the posterior probabilities  
 273 of objects and situations given this evidence, can be calculated. Consequently, the risk level of a situation  
 274 will be updated. If the estimated risk of a situation is unacceptable, it is necessary to recover the situation.  
 275 The situational network makes it possible to simulate the impact of recovery decisions on a situation.



**Figure 3:** Membership functions of probability, severity, and risk.

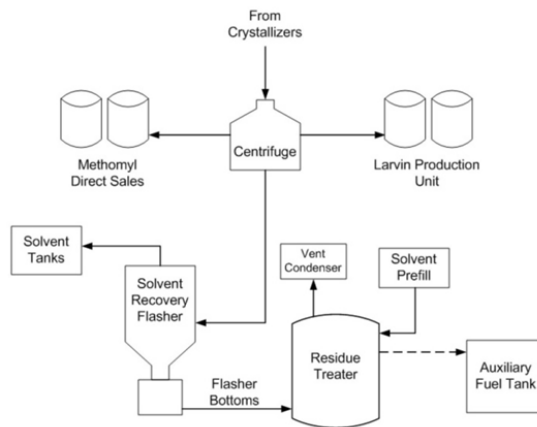
276 **3. Residue treater and timeline of events**

277 A description of the residue treater process and the timeline of events are presented in the following  
 278 sections.

279 **3.1. Residue treater**

280 Methomyl is a white, crystalline solid insecticide with a slight sulfurous odor. Methomyl dust is  
 281 combustible and can form an explosive mixture when dispersed in air, and can also disrupt the functions  
 282 of the central and peripheral nervous system. Methyl isocyanate, or MIC, is one of the key chemicals used  
 283 to make methomyl. It is highly reactive with water and must be stored in stainless steel or glass containers

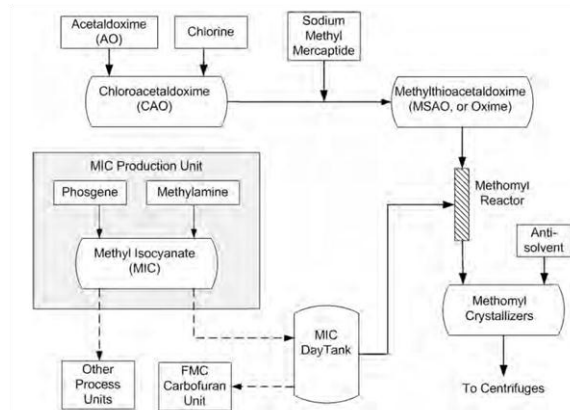
284 at temperatures below 40°C to prevent a highly exothermic reaction. The methomyl production process  
285 begins by reacting aldoxime with chlorine to make chloroacetaldoxime, which reacts with sodium methyl  
286 mercaptide to produce methylthioacetaldoxime (MSAO). MSAO reacts with methyl isocyanate to  
287 produce methomyl (Figure 4). Excess MIC is removed from the methomyl-solvent solution and the  
288 solution is then pumped to the crystallizers where an anti-solvent is added to cause the methomyl to  
289 crystallize. Finally, the crystallized methomyl is separated from the solvents in the centrifuges and the  
290 methomyl cake is removed, dried, cooled, packaged in drums, and moved to the warehouse. The residual  
291 liquid from the centrifuges contains very small quantities of methomyl and other impurities (CSB 2011).



292 **Figure 4:** Methomyl synthesis process flow (CSB 2011).

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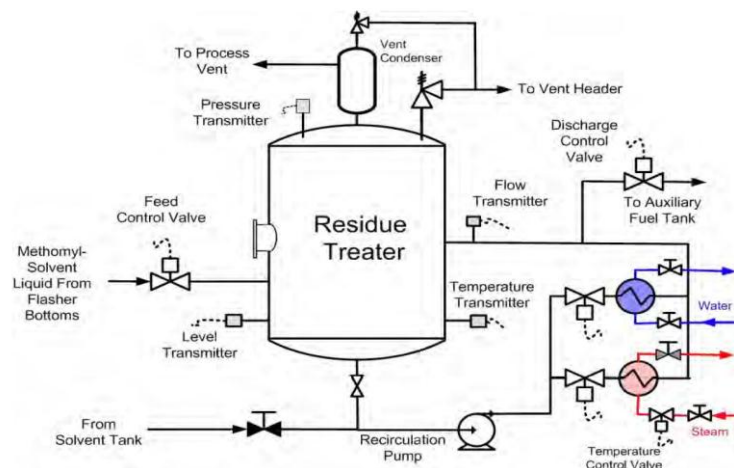
294 Distillation separates the solvents in solvent recovery flashers and recycles the solvents to the start of  
295 the process (Figure 5). The unvaporized solvents and impurities, including up to 22 percent methomyl,  
296 accumulate in the bottom of the flasher. The flammable liquids can be used as fuel in the facility steam  
297 boilers, but before this flammable waste liquid (called “flasher bottoms”) can be pumped to an auxiliary  
298 fuel tank, the methomyl concentration has to be reduced to not more than 0.5 percent by weight for  
299 environmental and processing considerations (CSB 2011).



300 **Figure 5:** Methomyl centrifuge and solvent recovery process flow (CSB 2011).

301

302 The residue treater, which is a 4500-gallon pressure vessel with a maximum allowable operating  
 303 pressure of 50 psig, is used to dilute the incoming flasher bottoms, and is designed to operate at a high  
 304 sufficiently high temperature, and with sufficient residence time, to decompose the methomyl in the  
 305 flasher bottoms stream to below 0.5 percent by weight (Figure 6). The solvent and residual waste material  
 306 is transferred to the auxiliary fuel tank for use as a fuel in the facility steam boiler. Vapor generated in the  
 307 methomyl decomposition reaction exits through the vent condenser to the process vent system where  
 308 toxic and flammable vapor is removed (CSB 2011).



309 **Figure 6:** Residue treater piping system layout (CSB 2011).

310

### 310 **3.2. Events timeline**

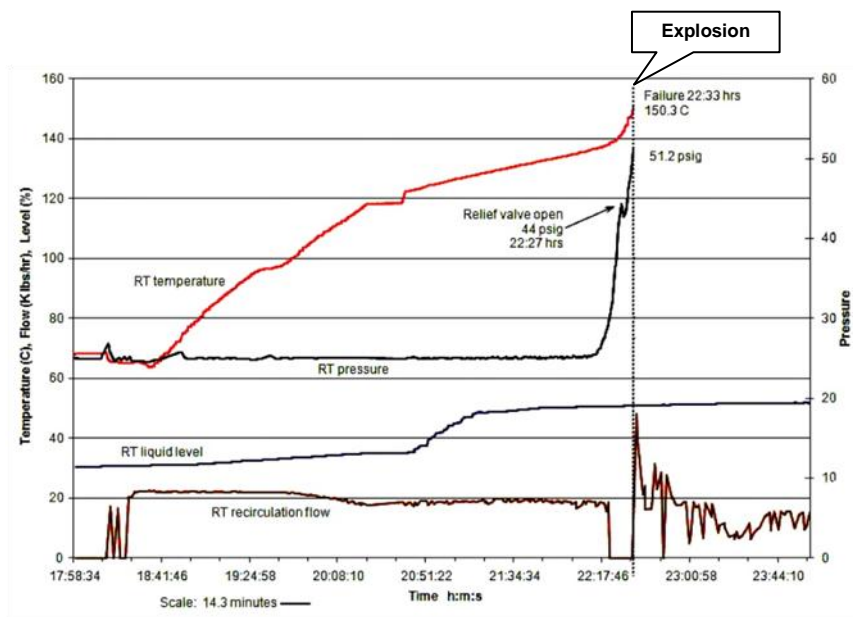
311 At approximately 23:33 on 28 August 2008, a runaway chemical reaction caused a violent explosion  
 312 at a manufacturing facility located in Institute, West Virginia. The accident occurred during the first  
 313 methomyl restart after an extended outage to install a new process control system and a stainless steel

314 pressure vessel. During normal operations, dissolved methomyl and other waste chemicals are fed into the  
315 preheated residue treater, which is partially filled with solvent. The methomyl safely decomposes inside  
316 the residue treater to a concentration of less than 0.5 percent by weight. On the night of the incident,  
317 methomyl-containing solvent was pumped into the residue treater before the vessel was pre-filled with  
318 clean solvent and heated to the required minimum operating temperature specified in the operating  
319 procedure. The emergency vent system was overwhelmed by the evolving gas from the runaway  
320 decomposition reaction of the methomyl, and the residue treater exploded violently (CSB 2011).

321 On the day of the accident at approximately 4:00, the outside operator manually opened the residue  
322 treater feed control valve and began feeding flasher bottoms into the almost empty vessel. With a low  
323 flow rate of about 1.5 gallons per minute, more than 24 hours would be required to fill the residue treater  
324 to 50 percent, the normal operating level. The outside operator started the recirculation pump at 18:15, as  
325 directed by the board operator. The residue treater liquid level was approximately 30 percent (1,300  
326 gallons), the temperature ranged between 60°C and 65°C, still significantly below the critical  
327 decomposition temperature of 135°C, and the pressure remained constant at 22 psig. At 18:38, the  
328 temperature began to steadily rise at a rate of about 0.6 degrees per minute (Figure 7). At 22:21, the level  
329 was 51 percent when the recirculation flow suddenly dropped to zero. In less than three minutes, the  
330 temperature reached 141°C, rapidly approaching the safe operating limit of 155°C, and was climbing at  
331 the rate of more than two degrees per minute. At approximately 22:25, the residue treater high pressure  
332 alarm sounded at the work station. The board operator immediately observed that the residue treater  
333 pressure was above the maximum operating pressure and climbing rapidly but did not understand what  
334 was wrong. He therefore asked two outside operators to investigate why the pressure in the residue treater  
335 was unexpectedly increasing. About 10 minutes later, as the two operators approached the newly installed  
336 residue treater, it suddenly and violently ruptured (CSB 2011).

337 Approximately 2,200 gallons of flammable solvents and toxic insecticide residues sprayed onto the  
338 road and into the unit and immediately erupted in flames as severed electrical cables, or sparks from steel  
339 debris striking the concrete, ignited the solvent vapor. Debris was thrown in all directions, to a distance of  
340 some hundreds of feet. The blast over-pressure moderately damaged the unit control building and other  
341 nearby structures. Fortunately, a steel blanket protected a 6,700-gallon methyl isocyanate storage tank  
342 from flying debris and from the radiant heat generated by the nearby fires that burned for more than four

343 hours. One employee died at the scene from blunt force trauma and thermal burn injuries, and the second  
344 employee died 41 days later. Residences, businesses, and vehicles as far as seven miles from the  
345 explosion epicenter sustained over-pressure damage that included minor structural and exterior damage,  
346 and broken windows. Acrid, dense smoke billowed from the fire into the calm night air for many hours.  
347 Smoke drifted over nearby roads, forcing many road closures and disrupting highway traffic. Methomyl  
348 and solvents were released from the residue treater, and solvents and other toxic chemicals, including  
349 flammable and toxic MIC, were released from ruptured unit piping. The released chemicals rapidly  
350 ignited, producing undetermined combustion products (CSB 2011).



351

352

Figure 7: Residue treater process variables before the explosion (CSB 2011).

## 353 4. Application

354

The explosion happened during startup; therefore the startup operation is considered for modeling.

355

### 4.1. Situational network development

356

357

By consulting a chemical expert who has eight years' experience in the oil industry and analyzing the accident investigation report, several possible abnormal situations in the residue treater environment are determined, as follows:

359

- Situation of vent condenser failure (SVC)

360

- Situation of abnormal liquid level (SAL)

361

- Situation of abnormal recirculation (SAR)

- 362 • Situation of high pressure (SHP)
- 363 • Situation of abnormal temperature (SAT)
- 364 • Situation of high concentration of methomyl (SHC)
- 365 • Situation of runaway reaction (SRR)

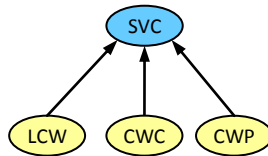
366 In the following sections, the situations are modeled based on the proposed methodology. The CPTs  
 367 of focal objects, which delegate the situations are presented, and the CPTs of other objects are omitted.  
 368 The majority of failure probabilities are determined based on data recorded by OREDA (2002), and the  
 369 use of expert judgment in a limited number of places. The focal objects are colored blue, other objects are  
 370 shown in yellow and observable variables are colored green. It is worth noting that the states of  
 371 observable variables are determined by using a fuzzy partitioning method to improve traditional  
 372 discretization methods (Naderpour *et al.* 2013).

373 **4.1.1. Situation of vent condenser failure (SVC)**

374 A vent condenser is a plume abatement device which cools and condenses the vented steam by cold  
 375 plant water. At the residue treater, vapor generated in the methomyl decomposition reaction exits through  
 376 the vent condenser to the process vent system where toxic and flammable vapor are removed. Any  
 377 problem at the vent condenser will lead to an imbalance in the crystallizer solvent ratios and excess  
 378 MSAO in the flasher bottoms. The objects, model, and CPT of SVC are presented in Table 2, Figure 8,  
 379 and Table 3, respectively.

**Table 2:** SVC objects and symbols.

Objects	Symbol	Failure Probability
Loss of chilled cooling water supply	LCW	3.66E-05
Cooling water isolation valve is inadvertently closed	CWC	2.00E-02
Cooling water isolation valve is plugged	CWP	6.91E-03



**Figure 8:** SVC model.

380

**Table 3:** CPT of P(SVC| LCW, CWC, CWP).

Variables	States and probabilities							
	Failure				Success			
	Failure		Success		Failure		Success	
LCW								
CWC								
CWP								
Hazardous	1	1	1	1	1	1	1	0
Safe	0	0	0	0	0	0	0	1



381 **4.1.2. Situation of abnormal liquid level (SAL)**

382 The startup sequence requires the board operator, with the assistance of an outside operator, to  
 383 manually pre-fill the residue treater with solvent to the minimum level of about 30 percent and to start the  
 384 pump and achieve steady state recirculation. This is essential for safe, controlled methomyl  
 385 decomposition, and starting routine operation, i.e. incoming flasher bottoms in the solvent at a lower level  
 386 will increase the methomyl concentrate. The objects, model, and CPT of SAL are presented in Table 4,  
 387 Figure 9, and Table 5, respectively. A level transmitter provides the residue treater liquid level (L), so  
 388 SAL can be inferred by this variable. The value range of the liquid level variable is divided into three  
 389 fuzzy states: Low, Normal and High. The membership function of L is determined and illustrated as  
 390 follows:

391 
$$\mu_{L(L)}(x) = \begin{cases} 1 & x \leq 25 \\ (30 - x)/5 & 25 < x \leq 30 \end{cases} \quad (9)$$

392 
$$\mu_{L(N)}(x) = \begin{cases} (x - 25)/5 & 25 \leq x < 30 \\ (35 - x)/5 & 30 \leq x < 35 \end{cases} \quad (10)$$

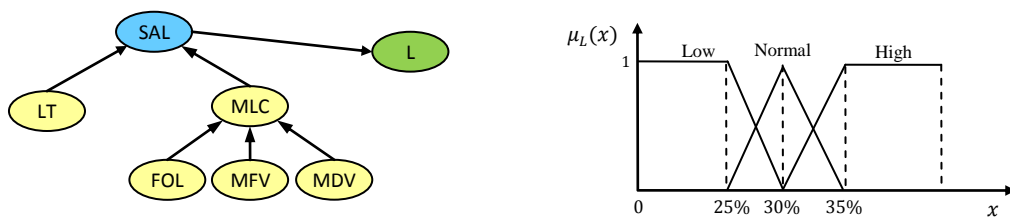
393 
$$\mu_{L(H)}(x) = \begin{cases} (x - 30)/5 & 30 \leq x < 35 \\ 1 & x \geq 35 \end{cases} \quad (11)$$

394

**Table 4:** SAL objects and symbols.

Objects	Symbol	Failure Probability
Level transmitter	LT	1.40E-04
Manual level control	MLC	OR gate
Manual feed valve	MFV	1.40E-01
Manual discharge valve	MDV	1.40E-01
Failure of outside operator in operating manual valves	FOL	2.70E-01

395



**Figure 9:** SAL model and membership function of liquid level.

396

**Table 5:** CPT of P(SAL| MLC, LT).

Variables	States and probabilities			
	Failure		Success	
LT	Failure	Success	Failure	Success
Hazardous	1	1	1	0
Safe	0	0	0	1

397 **4.1.3. Situation of abnormal recirculation (SAR)**

398 The residue treater recirculation system is used to heat the solvent at the beginning of a new  
 399 production run, mix the incoming flasher bottoms in the partially filled vessel, and remove excess heat  
 400 generated by the exothermic decomposition of the methomyl inside the vessel. During startup, the control  
 401 system modulates the recirculation and steam flows through the heater. When the liquid temperature  
 402 increases to the set-point limit, the control system closes the steam flow valve, and changes the position  
 403 of the circulation valves to redirect the recirculation flow from the heater to the cooler. The objects,  
 404 model, and CPT of SHL are presented in Table 6, Figure 10, and Table 7, respectively. A pump provides  
 405 a steady state recirculation, and a flow transmitter measures the flow of liquid through the recirculation  
 406 pipeline. The measurement is converted from electrical signals and sent to the DCS by the flow  
 407 transmitter. This allows operators to visualize the amount of liquid being transferred through the heating  
 408 cycle during startup. The value range of the recirculation flow (F) is divided into three fuzzy states, Very  
 409 Low, Low, and Normal, as shown in Figure 10, and the membership function of F is determined as  
 410 follows:

$$411 \quad \mu_{F(VL)}(x) = \begin{cases} 1 & x \leq 10 \\ (20 - x)/10 & 10 < x \leq 20 \end{cases} \quad (12)$$

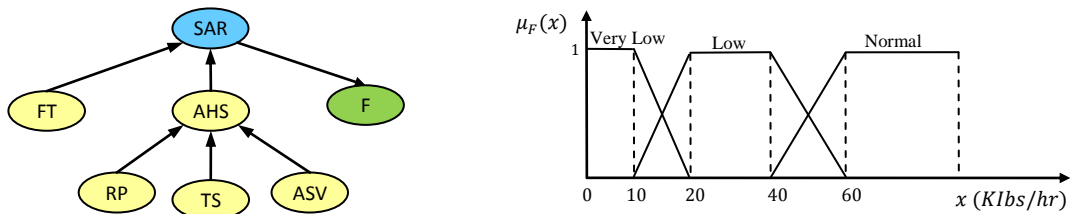
$$412 \quad \mu_{F(L)}(x) = \begin{cases} (x - 10)/10 & 10 \leq x < 20 \\ 1 & 20 \leq x < 40 \\ (60 - x)/20 & 40 \leq x < 60 \end{cases} \quad (13)$$

$$413 \quad \mu_{F(N)}(x) = \begin{cases} (x - 40)/20 & 40 \leq x < 60 \\ 1 & x \geq 60 \end{cases} \quad (14)$$

**Table 6:** SAR objects and symbols.

Objects	Symbol	Failure Probability
Flow transmitter	FT	7.13E-06
Recirculation pump	RP	4.00E-02
Temperature sensor in recirculation	TS	4.00E-02
Automatic steam valve	ASV	8.68E-06
Automatic heater system	AHS	OR gate

414



**Figure 10:** SAR model and membership function of recirculation flow.

415

**Table 7:** CPT of P(SAR| FT, AHS).

Variables	States and probabilities			
	Failure		Success	
	Failure	Success	Failure	Success
FT				
AHS				
Hazardous	1	1	1	0
Safe	0	0	0	1

416 **4.1.4. Situation of high pressure (SHP)**

417 The residue treater includes a pressure vessel with a maximum allowable operating pressure of 50 psig  
 418 and an automatic pressure control. The vent condenser at the top of the residue treater, which is prone to  
 419 blockages during unit operation, passes the gases produced by the methomyl decomposition reaction to  
 420 the flare system. The gas flow carries trace amounts of solid material into the vent system, which are  
 421 deposited on the surface of the pipe, and over time, accumulated deposits can choke the flow and cause  
 422 the residue treater pressure to climb. The objects, model, and CPT of SHP are presented in Table 8,  
 423 Figure 11, and Table 9 respectively. The situation is connected to node P because it can be inferred from  
 424 the pressure variable (P). The residue treater is normally operated at 20 psig. The pressure value range is  
 425 divided into three fuzzy states, Normal, High, and Very High, and the membership function of P is  
 426 determined as follows, and as shown in Figure 11:

427 
$$\mu_{P(N)}(x) = \begin{cases} 1 & x \leq 20 \\ (25 - x)/5 & 20 < x \leq 25 \end{cases} \quad (15)$$

428 
$$\mu_{P(H)}(x) = \begin{cases} (x - 20)/5 & 20 \leq x < 25 \\ (30 - x)/5 & 25 \leq x \leq 30 \end{cases} \quad (16)$$

429 
$$\mu_{P(VH)}(x) = \begin{cases} (x - 25)/5 & 25 \leq x < 30 \\ 1 & x \geq 30 \end{cases} \quad (17)$$

**Table 8:** SHP objects and symbols.

Objects	Symbol	Failure Probability
Pressure transmitter	PT	1.64E-01
Automatic relief valve (mechanical failure)	ARV	3.40E-01
Automatic pressure control	APC	OR gate
Failure of outside operator in operating manual valve	FOP	2.70E-01
Manual relief valve	MRV	1.39E-01
Manual pressure control	MPC	OR gate
High pressure protection system	HPP	AND gate
Accumulating deposits at vent condenser piping	AD	4.95E-06
Situation of vent condenser failure	SVC	NA
Inadequate ventilation	IV	OR gate

430

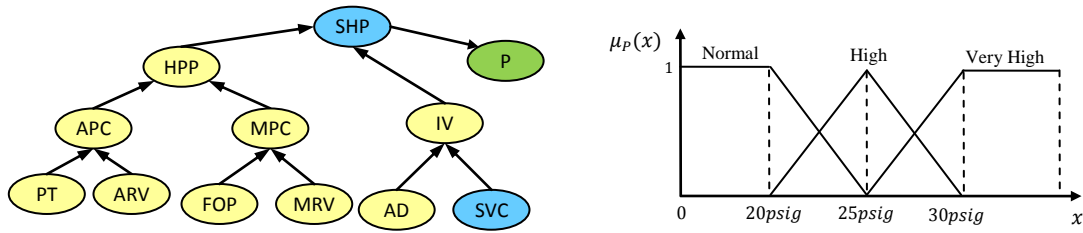


Figure 11: SHP model and membership function of pressure.

431

Table 9: CPT of P(SHP| HPP, IV).

Variables	States and probabilities			
	Failure		Success	
HPP	Failure		Success	
IV	Failure	Success	Failure	Success
Hazardous	1	0	0	0
Safe	0	1	1	1

432 **4.1.5. Situation of abnormal temperature (SAT)**

433 A minimum temperature interlock prevents the feed control valve from opening until the minimum  
 434 temperature of the residue treater contents are at, or above, the set-point. During startup, an automatic  
 435 temperature control system monitors the bulk liquid temperature inside the vessel. Steam flows are used  
 436 to heat the solvent. At normal operating conditions, the temperature of the flasher bottoms liquid is kept at  
 437 about 80°C to prevent uncontrolled auto-decomposition of the more highly concentrated methomyl. The  
 438 contents of the residue treater are maintained at approximately 135°C, a temperature that ensures that the  
 439 incoming methomyl will quickly decompose to avoid accumulation to an unsafe concentration inside the  
 440 residue treater. The objects, model, and CPT of SAT are presented in Table 10, Figure 12, and Table 11,  
 441 respectively. A temperature transmitter provides the residue treater temperature (T) that is used for  
 442 inferring SAT. The temperature value range is divided into three fuzzy states, Low, Normal, and High, as  
 443 shown in Figure 12, and the membership function of T is determined as follows:

444 
$$\mu_{T(L)}(x) = \begin{cases} 1 & x \leq 130 \\ (135 - x)/5 & 130 < x \leq 135 \end{cases} \quad (18)$$

445 
$$\mu_{T(N)}(x) = \begin{cases} (x - 130)/5 & 130 < x \leq 135 \\ (140 - x)/5 & 135 < x \leq 140 \end{cases} \quad (19)$$

446 
$$\mu_{T(H)}(x) = \begin{cases} (x - 135)/5 & 135 \leq x < 140 \\ 1 & x \geq 140 \end{cases} \quad (20)$$

447

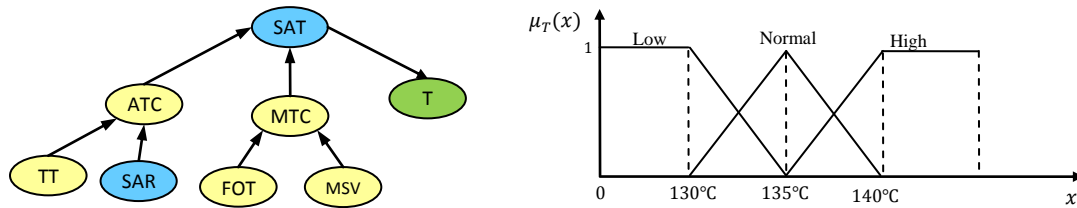
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449

**Table 10:** SAT objects and symbols.

Objects	Symbol	Failure Probability
Temperature transmitter	TT	6.84E-06
Situation of abnormal recirculation	SAR	NA
Automatic temperature control	ATC	OR gate
Failure of outside operator to operate steam valve	FOT	1.00E-01
Manual steam valve	MSV	1.39E-06
Manual temperature control	MTC	OR gate

450



**Figure 12:** SAT model and membership function of temperature.

451

**Table 11:** CPT of P(SAT| ATC, MTC).

Variables	States and probabilities			
	Failure		Success	
ATC	Failure		Success	
MTC	Failure	Success	Failure	Success
Hazardous	1	0	0	0
Safe	0	1	1	1

452 **4.1.6. Situation of high concentration of methomyl (SHC)**

453 The methomyl safely decomposes inside the residue treater to a concentration of less than 0.5 percent  
 454 by weight. If the tank is allowed to cool below 130°C for any reason, it must be sampled before being  
 455 heated up again. In addition, if the tank has a liquid level lower than 30 percent, the concentration of  
 456 methomyl will increase when the flasher bottoms are introduced into residue treater. The objects, model,  
 457 and CPT of SHC are presented in Table 12, Figure 13, and Table 13, respectively.

**Table 12:** SHC objects and symbols.

Objects	Symbol	Failure Probability
Situation of abnormal liquid level	SAL	NA
Failure of outside operator to understand liquid level	FON	1.00E-02
High concentration of methomyl because of low liquid level	HCL	AND gate
Situation of abnormal temperature	SAT	NA
Manual concentration control	MCC	OR gate
Failure of outside operator in sampling	FOS	2.00E-01
Failure of laboratory in testing the concentration of methomyl	FLN	1.00E-02
High concentration of methomyl because of low temperature	HCT	AND gate

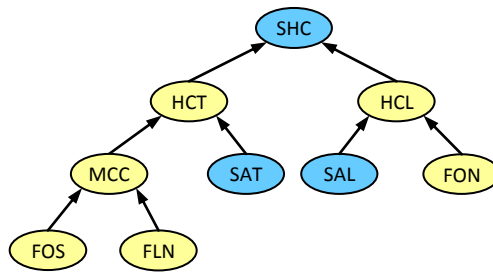


Figure 13: SHC model.

458

Table 13: CPT of P(SHC| HCT, HCL).

Variables	States and probabilities			
	Failure		Success	
HCT	Failure		Success	
HCL	Failure	Success	Failure	Success
Hazardous	1	1	1	0
Safe	0	0	0	1

459 **4.1.7. Situation of runaway reaction (SRR)**

460 A runaway reaction is a chemical reaction over which control has been lost. The reaction speed  
 461 continues to accelerate until the reaction either runs out of reactants or the vessel containing it over-  
 462 pressurizes and containment is lost. The temporal arcs point to the SRR situation because it is assumed  
 463 that the situation is formed after a time interval. The interpretation is that the runaway reaction occurs  
 464 when a high concentration of methomyl exists for a few minutes inside the vessel and a high pressure  
 465 situation exists in the environment. The objects, model, and CPT of SRR are presented in Table 14,  
 466 Figure 14, and Table 15, respectively.

Table 14: SRR objects and symbols.

Objects	Symbol
Situation of high pressure	SHP
Situation of high concentration of methomyl	SHC

467

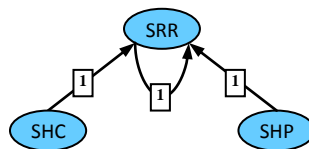


Figure 14: SRR model.

468

Table 15: CPT of P(SRR| SHC, SHP, SRR).

Variables	States and probabilities							
	Hazardous				Safe			
SHC	Hazardous				Safe			
SHP	Hazardous		Safe		Hazardous		Safe	
SRR	Hazardous	Safe	Hazardous	Safe	Hazardous	Safe	Hazardous	Safe
Hazardous	1	0.99	0.05	0.05	0.4	0.05	0.05	0
Safe	0	0.01	0.95	0.95	0.6	0.95	0.95	1

469 **4.1.8. Situational network**

470 The environment has a continuous air monitor system, which is located in and around the production  
 471 unit, with 16 stationary sample points to detect fugitive leaks from process equipment. It detects  
 472 concentrations of airborne chemical contaminants and alerts facility occupants if air concentration  
 473 exceeds safe levels (1.0 ppm). In addition, a fire alarm and several fire cannons are located in the  
 474 environment to reduce damage if a fire occurs. The air monitor system, alarm, and fire cannons are  
 475 considered to be safety barriers, as shown in Table 16. The probability of the existence of spark is also  
 476 estimated in this table.

**Table 16:** Safety barriers and chance of spark.

<b>Objects</b>	<b>Symbol</b>	<b>Failure Probability</b>
Air monitor system	AM	0.18E-06
Fire alarm	FA	1.30E-03
Fire cannon	FC	4.00E-01
Spark	SP	1.00E-01

477 The SRR can have results that range from the boiling over of the reaction mass to large increases in  
 478 temperature and pressure that lead to an explosion. Such violent reactions can cause blast and missile  
 479 damage. If flammable materials are released, fire or secondary explosion may result. Hot liquids and toxic  
 480 materials may contaminate the workplace or generate a toxic cloud that may spread off-site. There can be  
 481 serious risk of injury, even death, to plant operators, as well as the general public, and the local  
 482 environment may be harmed. Therefore, SRR has a consequence node whose states are determined using  
 483 consequence analysis, as described in the modeling process and presented in Table 17. The table contains  
 484 the degree of loss corresponding to every state, which is evaluated by the expert.

**Table 17:** The states of SRR consequences node.

<b>Consequence</b>	<b>Symbol</b>	<b>Loss (\$)</b>
Explosion with high death and high property damage	C1	1E+07
Fire with high death and moderate property damage	C2	7E+06
Fire with low death and high property damage	C3	5E+06
Fire with low death and moderate property damage	C4	4E+06
Ruptured vessel with vapor cloud with possibility of ignition	C5	3E+06
Safe evacuation	C6	1E+06
Safe state	C7	0E+00

Note: the safe state indicates the safe state of SRR.

485 For other situations, the resultant situation is considered to be a consequence of the occurrence. The  
 486 degree of loss in these situations is also calculated and summarized in Table 18. A situational network for  
 487 the residue treater is developed and illustrated in Figure 15.

488  
 489  
 490

Table 18: Loss of situations.

Situation	Consequence of occurrence	Loss (\$)
SAR	SLT	1E+03
SLT	SHC	1E+04
SLL	SHC	1E+04
SHC	SRR	3E+06
SVC	SHP	1E+03
SHP	SRR	3E+06

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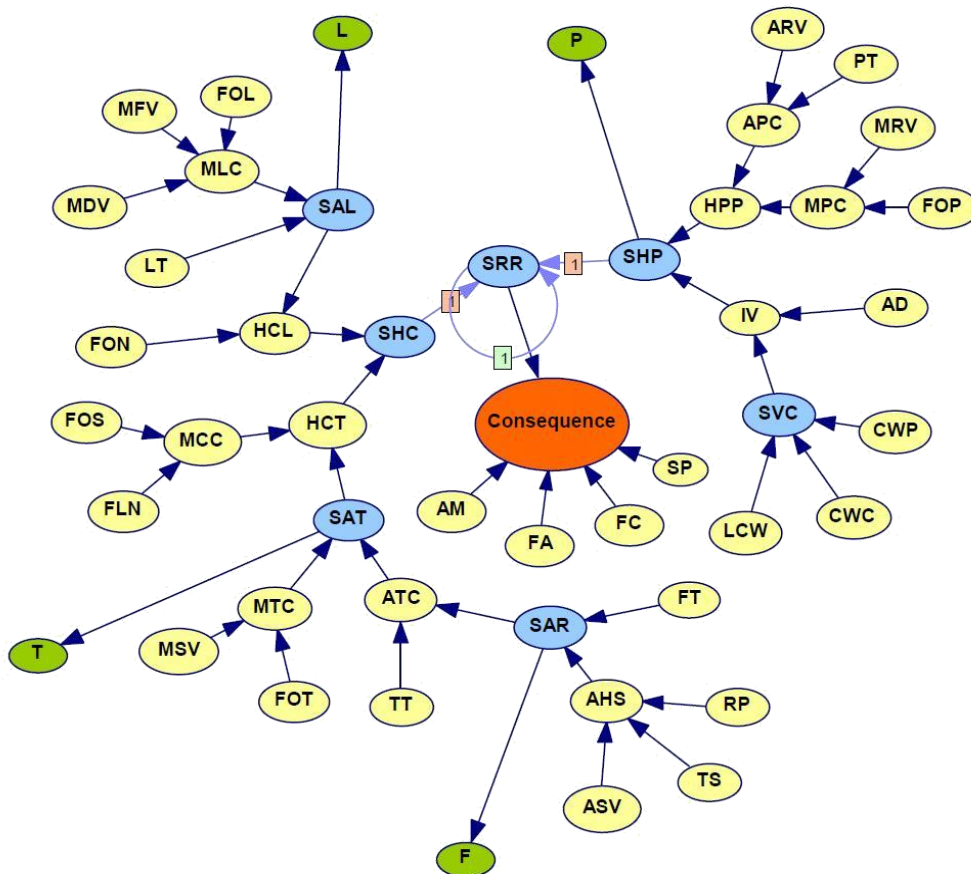
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508

Figure 15: The situational network.

4.1.9. Situational network evaluation

Application of the sensitivity to findings shows that the query variable, SRR, in the absence of other evidence, is most sensitive to SHP, followed by observable variable P. This is what the experts expected because SRR results if methomyl is allowed to accumulate in the residue treater and the pressure relief system is not working properly. When findings for observable variable P (i.e. P=High) are entered into the network, the sensitivity measures and the ranking of variables are changed. With this evidence, SRR is most sensitive to SHC and SAL, followed by observable variable L. Alternatively, when P=High and L=High are entered into the network, some of the remaining variables become more influential. These observations agreed with the experts understanding of the situational network.



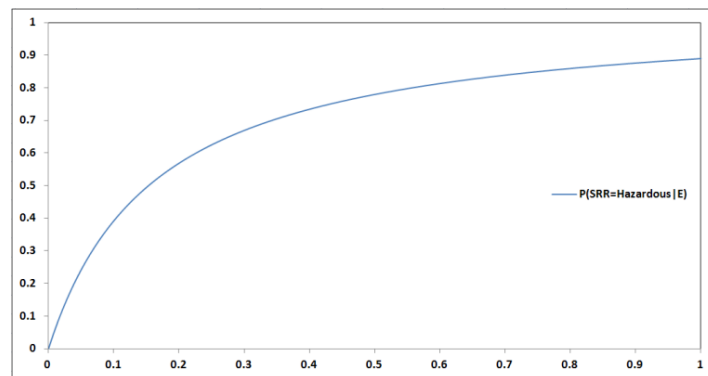
517 Sensitivity to parameters was analyzed in the CTPs of observable variables which were determined by  
 518 the experts. For instance, scenario  $S=(SRR, \text{Hazardous}, E=\{SHP=\text{Hazardous}, T=\text{High}\})$  was investigated  
 519 in which the hypothesis under consideration is  $SRR=\text{Hazardous}$ , while the parameter in focus is  
 520  $P(T=\text{High} | SAT=\text{Hazardous})$ . Therefore, the sensitivity function  $f(t)$  was defined as follows:

521 
$$f(t) = P(SRR = \text{Hazardous} | SHP = \text{Hazardous}, T = \text{High}) = \frac{\alpha t + \beta}{\gamma t + \delta} \quad (21)$$

522 The coefficients of denominator and numerator functions were determined separately. Both functions  
 523 are linear in the parameter  $t$ . Thus, the coefficients of each function were determined by propagating  
 524 evidence for two different parameter values. The sensitivity function resulted as follows when  $t_0=0.1$  and  
 525  $t_1=0.2$  were used to propagate evidence:

526 
$$f(t) = \frac{6.31t + 0.0001}{6.09t + 1} \quad (22)$$

527 The graph of the sensitivity function  $f(t)$  for all possible values of  $t$ , i.e. values between zero and one,  
 528 is plotted in Figure 16. As can be seen, the minimum value of the probability of the hypothesis is 0.0001  
 529 for  $t=0$ , while the maximum value of the probability of the hypothesis is 0.887 for  $t=1$ . Clearly, the  
 530 posterior probability of the hypothesis is more sensitive to variations in the parameter value when the  
 531 initial parameter value is in the range from 0 to, say, 0.5 than when the initial parameter is in the range  
 532 from 0.5 to 1.

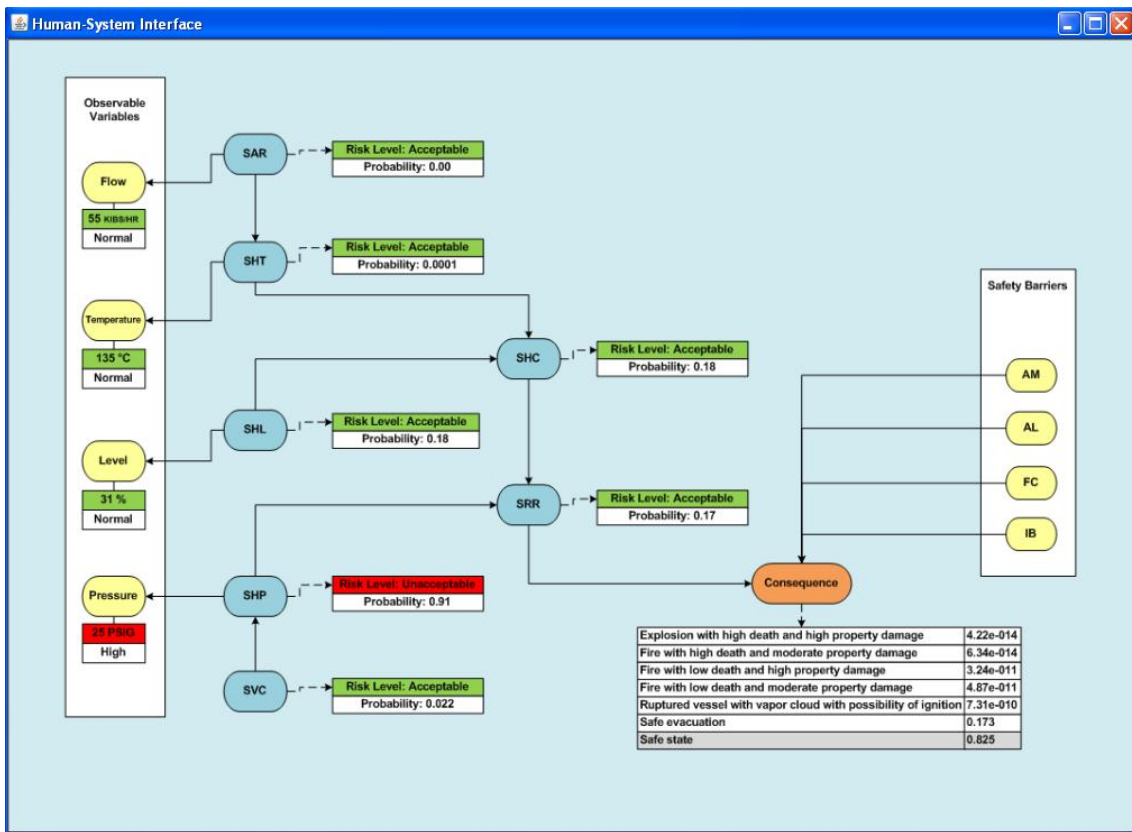


533 **Figure 16:** The graph of the sensitivity function  $f(t)= P(SRR= Hazardous | E)$ .

534 **4.2. The Human-System Interface**

535 A graphical user interface for the proposed situational network is developed that does not control the  
 536 manner of actions and maintains the operator's involvement in the decision-making process. The  
 537 development of human-computer interactions indicates that, with insufficient automation, operators will  
 538 have an excessive workload, whereas too much automation may disconnect operators from the system

539 and alienate them from the production process (Brannon *et al.* 2009). Therefore, keeping operators in the  
 540 loop of decision-making, taking action, and updating the related information are critical issues in  
 541 designing support systems. This level corresponds to level 5 of automation, called decision support,  
 542 proposed by Kaber and Endsley (2004). The human-system interface is shown in Figure 17. Because  
 543 modeling of the situational network for the residue treater led to a complex model, object oriented BNs  
 544 (OOBNs) were used in the development process. The system is set to trigger an alarm for every situation  
 545 that has a risk level in excess of 2.5, i.e. tolerable not acceptable. In addition, mouse-clicking any  
 546 situation in the interface opens a pop-up window that contains the related sub-network, including  
 547 contributing objects, their failure probabilities, and the most probable explanation.



548 **Figure 17:** The human-system interface based on OOBNs.

549

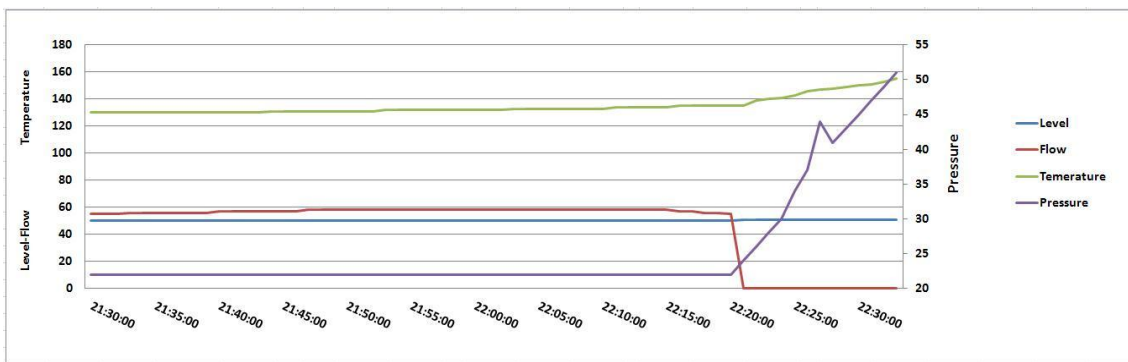
### 550 4.3. Situational network analysis

551 The performance of the proposed methodology is investigated through the accident timeline events in  
 552 the residue treater environment explained in Section 3.2, and by using the developed system.

553

554 **4.3.1. Scenario**

555 On the night of the accident, the critical startup safety prerequisites, pre-startup solvent fill and heat-  
556 up were omitted from the restart activities. Furthermore, the board operators bypassed the minimum  
557 operating temperature interlock that prevented adding methomyl into the residue treater, as some  
558 operators were accustomed to doing. At about 23:45 the board operator started to pre-fill the vessel with  
559 solvent and heat the content to achieve the required minimum operating temperature. At 04:00 on 28  
560 August, the residue treater liquid level was approximately 15 percent, significantly below the critical  
561 required solvent level (30 percent), and the temperature was around 65°C, still significantly below 135°C,  
562 the critical decomposition temperature. The outside operator prematurely opened the residue treater feed  
563 control valve and began to feed flasher bottoms into the vessel to start a routine operation. To simplify the  
564 presentation of situational network performance, the last hour before the explosion is chosen, i.e. from  
565 21:30 to 22:30 on 28 August. The trend of observable variables for the period of study is illustrated in  
566 Figure 18. At 21:30, the residue treater liquid level was approximately 50 percent, the temperature was  
567 130°C raising steadily about 0.5 degree per minute, and the pressure was 22 psig. At 22:21, the level was  
568 51 percent when the recirculation flow suddenly dropped to zero. In less than three minutes, the  
569 temperature reached 141°C, rapidly approaching 155°C, the safe operating limit, and climbed at the rate  
570 of more than two degrees per minute.



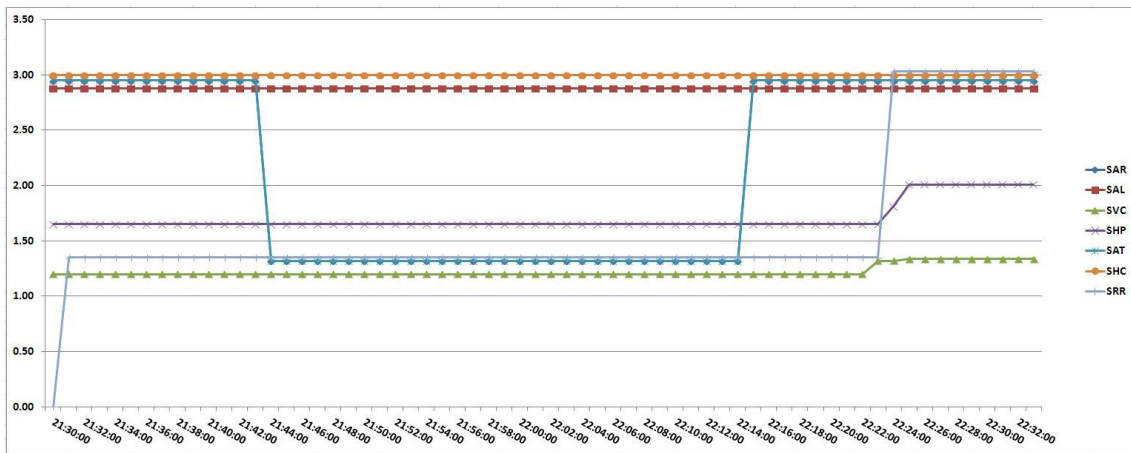
571 **Figure 18:** The trend of observable variables.

572 **4.3.2. Results**

573 The fuzzy partitioning values of observable variables based on the proposed membership functions are  
574 calculated and assigned to the situational network. The posterior probabilities of the situations are updated  
575 and the risk level of each situation is projected, as shown in Figure 19. As can be seen, the estimated risk  
576 level of SAT is 2.95 (tolerable not acceptable) at the beginning of the period because the temperature was

577 below the safety set-point. It then becomes tolerable not acceptable from 22:15 as the temperature  
 578 deviates from the safety set-point. The risk level of SHP is acceptable, i.e. 1.65, during the period of study  
 579 until 22:25 as the pressure increases and deviates the safety set-point. The risk level of SHC is  
 580 unacceptable for the whole period under study because the liquid level of the solvent was below the safety  
 581 set-point (30 percent), i.e. the risk level of SAL is unacceptable, and the operator opened the feed valve  
 582 without considering this fact.

583 As can be seen, the risk level of SRR is acceptable, i.e. 1.35, until 22:24, when it increases to 3.03,  
 584 which is unacceptable, immediately after appearing to be an SHP.



585 **Figure 19:** Projection of situation risk levels.

586 At 22:21 when the risk level of SAR rises, the situational network shows that the most probable  
 587 explanation is the failure of the recirculation pump (RP) with a probability of 0.5. At 22:25 when the risk  
 588 level of SAR increases, the situational network shows that the most probable explanation is the failure of  
 589 the high pressure protection system (HPP) and the failure of the automatic relief valve. The system helps  
 590 the operator to prevent accidents in abnormal situations, but it can also present the factors that contribute  
 591 to the creation of an accident or a specific consequence. For instance, if at 22:33 a fire with low death and  
 592 high property damage (C3) is reported, the posterior probability updating from this evidence shows that  
 593 the closed cooling water isolation valve (CWC) causes inadequate ventilation, and consequently SHP in  
 594 the residue treater which, with SHC, creates SRR.

## 595 **5. Conclusion and future work**

596 Situation awareness is likely to be at the root of many accidents in safety-critical systems where  
 597 multiple goals must be pursued simultaneously, multiple tasks require the operator's attention, operator  
 598 performance is under high stress, and negative consequences associated with poor performance are

599 anticipated. This paper has shown a methodology for developing and analyzing a situational network to  
600 support the SA for control room operators in the decision-making process when they are confronted by  
601 abnormal situations in safety-critical systems. The proposed methodology exploits the specific  
602 capabilities of Bayesian networks and fuzzy logic systems to simulate human thinking. In addition, the  
603 methodology uses risk indicators to determine when a situation is abnormal and also to show the  
604 investigation priority whenever it is necessary. As operators do not perform mathematical calculations  
605 while performing a situation assessment, the proposed methodology provides only an approximation of  
606 operator behavior in the situation assessment process. Therefore, the proposed methodology is expected  
607 to provide the most logical results and can be considered to be optimistic. In the real world, the  
608 conclusions of a human operator will tend to be more conservative than the results of mathematical  
609 calculations based on Bayesian inference. The performance of the methodology was investigated in the  
610 residue treater environment, and an HSI considering the capabilities of OOBNs was also developed for  
611 the intended plant. As has been shown, it provides a useful graphical model that meets the requirements  
612 of a practical SA system. The Bayesian inference facilitates the inclusion of prior background knowledge  
613 and the updating of this knowledge when new information is available from the SCADA monitoring  
614 system.

615 In comparison with previous research works (Miao *et al.* 1997, Kim and Seong 2006), this study has  
616 some advantages. First, situations in our method might be inclusive, unlike previous studies where  
617 situations are exclusive. Second, unlike previous networks that only include indicators and sensors and  
618 are unable to determine the cause of abnormal situations, our method enables the most probable cause of  
619 abnormal situations to be obtained from the situation models, thus assisting operators to understand  
620 situations. Third, the method is able to generate risk levels for every hazardous situation to show whether  
621 a situation is abnormal (i.e. its risk level is unacceptable), and to help operators to understand the  
622 hierarchy of investigations (i.e. a situation with a higher risk has priority over other situations to be  
623 investigated).

624 The first direction for future study is to evaluate the performance of the proposed HSI based on a SA  
625 measurement. As in many safety-critical systems, the safety of the system is supervised by control room  
626 operators and outside operators who are members of a team, so the second future direction of the

627 research, therefore, is to extend the proposed system to a distributed system that applies a team situation  
628 awareness concept.

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