# Brain Activity in People with Spinal Cord Injury, with Applications to Brain-Computer Interfaces for Neuroprosthetic Control

Presented By

#### Peter R Boord B.E. (Hons)

A thesis submitted for the degree of

**Doctor of Philosophy** 

Department of Health Sciences

Faculty of Science

University of Technology, Sydney

November 2005

### **CERTIFICATE OF AUTHORSHIP / ORIGINALITY**

I certify that the work in this thesis has not previously been submitted for a degree nor has it been submitted as part of requirements for a degree except as fully acknowledged within the text.

I also certify that the thesis has been written by me. Any help that I have received in my research work and the preparation of the thesis itself has been acknowledged. In addition, I certify that all information sources and literature used are indicated in the thesis.

> Production Note: Signature removed prior to publication.

> > Signature of Candidate

## Acknowledgments

I wish to thank my supervisors Prof. Ashley Craig and Prof. Hung Nguyen for their advice and encouragement throughout my candidature, and Assoc. Prof. Glen Davis for his help and cheerful advice. I am grateful to Dr James Middleton for allowing me to set up shop at Moorong Hospital, and to all the participants in this study who allowed me to read their brain-waves! I thank Dr Yvonne Tran for her helpful conversations over the years, and Dr Philip Siddall for his help and guidance in the study on neuropathic pain. I thank David Herbert for his help in acquiring some of the neuropathic pain data. I also thank Neopraxis and the Australian Research Council for their financial support of the project. Thanks go to Susan and Michael Texler for their help in proof-reading the thesis. Finally, I thank Ivy Wang for her ongoing support that allowed me to focus on and complete this project.

## **Publications**

### Publications arising from this thesis

### Discrimination of Left and Right Hip Motor Imagery for Neuroprosthetic Brain-Computer Interfaces

Boord P, Craig A, Tran Y, Nguyen H.

Submitted to IEEE Transactions on Neural Systems and Rehabilitation Engineering, 18 April 2005

Brain-Computer Interface – FES Integration: Towards a hands-free neuroprosthesis command system

Boord P, Barriskill A, Craig A, Nguyen H.

Published in Neuromodulation, 7(4):267-276 (2004)

#### Levels of brain wave activity (8-13 Hz) in persons with spinal cord injury

Tran Y, Boord P, Middleton J, Craig A.

Published in Spinal Cord 42:73-79 (2003)

#### Alpha band activity during eye-closure in people with spinal cord injury

Boord, P., Tran, Y., Middleton, J., Craig, A., & Barriskill, A.

Published in *Proceedings of the 8<sup>th</sup> International Functional Electrical Stimulation* Society Conference, pp. 265-268 (2003)

#### Developing a "hands-free" neuroprosthesis command system

Boord P, Craig A, Barriskill A, Nguyen H.

Published in *Proceedings of the 8<sup>th</sup> International Functional Electrical Stimulation* Society Conference, pp.7-10 (2003)

## Other related publications

#### Altered brain wave activity in persons with chronic spinal cord injury

Herbert D, Tran Y, Craig A, Boord P, Middleton J, Siddall P.

Submitted to International Journal of Neuroscience 2005

The efficacy and benefits of environmental control systems for the severely disabled

Craig A, Tran Y, McIsaac P, Boord P.

Published in Medical Science Monitor 11(1):RA32-9 (2005)

Using independent component analysis to remove artefact from EEG measured during stuttered speech

Tran Y, Craig A, Boord P, Craig D.

Published in Medical & Biological Engineering & Computing 42(5):627-33 (2004)

The Mind Switch Environmental Control System: Remote Hands Free Control for the Profoundly Disabled

McIsaac P, Craig A, Tran Y, Boord P.

Published in Technology and Disability 14:15-20 (2002)

### Abstracts

Evidence of reduced intracortical inhibition as a mechanism of neuropathic pain in spinal cord injury

Boord PR, Siddall P, Tran Y, Herbert D, Middleton J, Craig A.

Published in Proceedings of the International Association for the Study of Pain 11th World Congress on Pain (2005)

## **Table of Contents**

ACKNOWLEDGMENTS	
PUBLICATIONS	IV
TABLE OF CONTENTS	VI
List of Figures	XI
List of Tables	xxII
THESIS ABSTRACT	XXIV
ABBREVIATIONS	

# CHAPTER 1.

LITERATUR	e Review
1.1	The Psychological and Socioeconomic Impact of SCI1
1.2	The Need for Hands-Free Control1
1.3	Command Interface Requirements of Neuroprostheses
1.4	Brain-Computer Interfaces (BCI)7
1.4.1	Different Types of BCI10
1.4.2	BCI Compatibility with Neuroprostheses
1.4.3	BCI Control Environments17
1.4.4	Types of BCI Output
1.5	Brain Activity Changes with SCI

CHA	APTER 2
Study 1: Se	CI BRAIN ACTIVITY DURING EYES-OPEN AND EYES-CLOSED STATES
2.1	Introduction23
2.2	Hypotheses
2.3	Methodology
2.3.1	Participants
2.3.2	Data Collection
2.3.3	Event Editing
2.3.4	Artefact Removal
2.3.5	Data Processing and Measures
2.3.6	Statistical Analysis
2.4	Results
2.4.1	Brain Activity Changes with Spinal Cord Injury
2.4.2	Brain Activity Changes with Level of Spinal Cord Lesion47
2.4.3	Brain Activity Changes with Paraplegia and Neuropathic Pain68
2.4.4	Confounding Effects of Neuropathic Pain and Medication in Brain
Activity A	Analysis of Spinal Cord Injury97
2.4.5	Confounding Effects of Neuropathic Pain and Lesion Level in Brain
Activity A	Analysis of Spinal Cord Injury
2.5	Discussion101
2.5.1	The influence of SCI on EEG alpha-band reactivity101
2.5.2	Broadband EEG Power Reduction with Tetraplegia104
2.5.3	Evidence of Reduced Intracortical Inhibition in SCI with Neuropathic
Pain	

CHA	APTER 3
Study 2: Lo	OWER EXTREMITY MMI FOR GENERATION OF MULTIPLE BCI COMMANDS 111
3.1	Introduction111
3.2	Hypotheses
3.3	Methodology117
3.3.1	Participants117
3.3.2	Experimental Task
3.3.3	Data Collection
3.3.4	Artefact Removal
3.3.5	Laplacian de-referencing
3.3.6	Event-Related Desynchronisation/Synchronisation Topographs128
3.3.7	Alpha Frequency Band Measurements
3.3.8	Beta Frequency Band Measurements
3.3.9	Statistical Analysis
3.4	Results
3.4.1	Video Imitation and Alpha-Band Desynchronisation During Movement
and MMI	
3.4.2	Beta-Band Synchronisation During Hand Movement and MMI153
3.4.3	Timing Differences during Bilateral Hand Movement and MMI161
3.4.4	Discrimination of Left and Right Hip MMI180
3.5	Discussion199
3.5.1	Enhancement of Alpha-Band Desynchronisation using Imitation with
Movemen	t and MMI 199
3.5.2	Synchronisation and Timing differences during Movement and MMI 201
3.5.3	Discrimination of Left and Right Hip MMI205

CHA	APTER 4. 208
STUDY 2. S	CI DRADI A CTIVITY DUDING MOVEMENT AND MMI 209
510015.5	CI DRAIN ACTIVITY DURING MOVEMENT AND MIMIT
4.1	Introduction208
4.2	Hypotheses
4.3	Methodology210
4.3.1	Participants210
4.3.2	2 Experimental Task and Preliminary Data Processing
4.3.3	Statistical Analysis
4.4	Results
4.4.1	Alpha-Band Desynchronisation During Movement and MMI in Spinal
Cord Inju	1ry
4.4.2	Beta-Band Synchronisation During Hand Movement and MMI in Spinal
Cord Inju	1ry
4.4.3	Discrimination of Left and Right Hip MMI in Spinal Cord Injury 272
4.5	Discussion
4.5.1	Reactivity of Alpha-Band Activity During Movement and MMI in Spinal
Cord Inju	ıry
4.5.2	Reactivity of Beta-Band Activity During Movement and MMI in Spinal
Cord Inju	ıry
4.5.3	Discrimination of Left and Right Hip MMI in Spinal Cord Injury 290

CHAPTER	5	•
---------	---	---

Conclusions, and Future Work	293
Overview of Findings	293
Study 1: SCI brain activity during eyes-open and eyes-closed states?	293
Study 2: Lower extremity MMI for the generation of multiple BCI	
s2	.95
Study 3: SCI brain activity during movement and MMI	297
Limitations of the Studies	299
Confounding Factors	299
Statistical Issues	300
Psychophysiological Measures	301
Implications and Future Directions	302
Brain Activity Changes in Tetraplegia	302
Brain Activity Changes in SCI Neuropathic Pain	303
BCI for Neuroprosthetic Control	304
	CONCLUSIONS, AND FUTURE WORK Overview of Findings Study 1: SCI brain activity during eyes-open and eyes-closed states Study 2: Lower extremity MMI for the generation of multiple BCI Study 3: SCI brain activity during movement and MMI Limitations of the Studies Confounding Factors Statistical Issues Psychophysiological Measures Brain Activity Changes in Tetraplegia Brain Activity Changes in SCI Neuropathic Pain BCI for Neuroprosthetic Control

# APPENDICES \_\_\_\_\_\_\_\_306

# REFERENCES \_\_\_\_\_\_315

# List of Figures

Figure 1-1	Components of an implanted hand-grasp neuroprosthesis4
Figure 1-2	Multi-joint upper extremity neuroprosthesis, combined with a suspended sling and wrist splint
Figure 1-3	Surface stimulation stepping neuroprostheses, in use with a walking frame 6
Figure 1-4	Schematic of a brain-computer interface system
Figure 1-5	Spatial pattern of mu-frequency band reactivity to left and right hand MMI11
Figure 1-6	Graz brain-computer interface system for operation of an electrically driven hand orthosis. The system allows a person with tetraplegia to use MMI to open and close their hand
Figure 2-1	EEG electrode channel locations for eyes-open/eyes-closed protocol, with the top of the figure representing the front of the head
Figure 2-2	Location of EOG Channels on the face
Figure 2-3	Eyes-closed event before software alignment
Figure 2-4	Eyes-closed event after software alignment
Figure 2-5	Alpha-band EC-reactivity at bipolar site-pair O2–P8 in able-bodied and SCI groups
Figure 2-6	Eyes-closed alpha-band power at bipolar site-pair O2–P8 in able-bodied and SCI groups
Figure 2-7	Eyes-open alpha-band power at bipolar site-pair O2–P8 in able-bodied and SCI groups
Figure 2-8	EEG PSD comparing able-bodied (grey) and SCI (red) groups during eyes- closed and eyes-open states
Figure 2-9	Eyes-closed (blue) and eyes-open (red) alpha-band power for able-bodied and SCI groups
Figure 2-10	Eyes-closed and eyes-open alpha-band power at individual electrode sites for able-bodied (blue) and SCI (red) groups
Figure 2-11	Alpha-band EC-reactivity at bipolar site-pair O2–P8 in able-bodied, paraplegia, and tetraplegia groups
Figure 2-12	2 Eyes-closed alpha-band power at bipolar site-pair O2–P8 in able-bodied, paraplegia, and tetraplegia groups

Figure 2-13 Eyes-open alpha-band power at bipolar site-pair O2–P8 in able-bodied, paraplegia, and tetraplegia groups
Figure 2-14 EEG PSD comparing able-bodied, paraplegia, and tetraplegia groups during eyes-closed and eyes-open states, compared with EEG spectral amplitude changes with traumatic brain injury (TBI)
Figure 2-15 Eyes-closed (blue) and eyes-open (red) alpha-band power for able-bodied, paraplegia, and tetraplegia groups
Figure 2-16 Eyes-closed and eyes-open alpha-band power at individual electrode sites for able-bodied (blue), paraplegia (red), and tetraplegia (green) groups 57
Figure 2-17 Eyes-closed (blue) and eyes-open (red) delta-band power for able-bodied, paraplegia, and tetraplegia groups
Figure 2-18 Eyes-closed and eyes-open delta-band power at individual electrode sites for able-bodied (blue), paraplegia (red), and tetraplegia (green) groups 60
Figure 2-19 Eyes-closed (blue) and eyes-open (red) theta-band power for able-bodied, paraplegia, and tetraplegia groups
Figure 2-20 Eyes-closed and eyes-open theta-band power at individual electrode sites for able-bodied (blue), paraplegia (red), and tetraplegia (green) groups 62
Figure 2-21 Eyes-closed (blue) and eyes-open (red) beta-band power for able-bodied, paraplegia, and tetraplegia groups
Figure 2-22 Eyes-closed and eyes-open beta-band power at individual electrode sites for able-bodied (blue), paraplegia (red), and tetraplegia (green) groups
Figure 2-23 Eyes-closed (blue) and eyes-open (red) gamma-band power for able- bodied, paraplegia, and tetraplegia groups
Figure 2-24 Eyes-closed and eyes-open gamma-band power at individual electrode sites for able-bodied (blue), paraplegia (red), and tetraplegia (green) group 66
Figure 2-25 Alpha-band EC-reactivity at bipolar site-pair O2–P8 in able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-26 Eyes-closed alpha-band power at bipolar site-pair O2–P8 in able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-27 Eyes-open alpha-band power at bipolar site-pair O2–P8 in able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-28 PSD comparing able-bodied, paraplegia no-pain, and paraplegia pain groups during eyes-closed and eyes-open states, together with the theoretical EEG PSD for three values of intracortical inhibitory gain
Figure 2-29 Eyes-closed (blue) and eyes-open (red) alpha-band power for able-bodied, paraplegia no-pain, and paraplegia pain groups

Figure 2-30	Eyes-closed and eyes-open alpha-band power at individual electrode sites for able-bodied (blue), paraplegia no-pain (red), and paraplegia pain (green) groups
Figure 2-31	Eyes-closed (blue) and eyes-open (red) delta-band power for able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-32	2 Eyes-closed and eyes-open delta-band power at individual electrode sites for able-bodied (blue), paraplegia no-pain (red), and paraplegia pain (green) groups
Figure 2-33	Eyes-closed (blue) and eyes-open (red) theta-band power for able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-34	Eyes-closed and eyes-open theta-band power at individual electrode sites for able-bodied (blue), paraplegia no-pain (red), and paraplegia pain (green) groups
Figure 2-35	Eyes-closed (blue) and eyes-open (red) beta-band power for able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-36	Eyes-closed and eyes-open beta-band power at individual electrode sites for able-bodied (blue), paraplegia no-pain (red), and paraplegia pain (green) groups
Figure 2-37	Eyes-closed (blue) and eyes-open (red) gamma-band power for able- bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-38	Eyes-closed and eyes-open gamma-band power at individual electrode sites for able-bodied (blue), paraplegia no-pain (red), and paraplegia pain (green) groups
Figure 2-39	PSD of eyes-closed and eyes-open states for able-bodied, paraplegia no- pain, and paraplegia pain groups
Figure 2-40	Eyes-closed reactivity (EC/EO power ratio) for the delta, theta, alpha, beta, and gamma frequency bands, in the able-bodied (blue), paraplegia no-pain (red), and paraplegia pain (green) groups
Figure 2-41	Frequency of peak activity in the theta-alpha frequency band (4 – 13 Hz) during eye-closure for able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-42	Frequency of peak activity in the theta-alpha frequency band (4 – 13 Hz) during eye-closure at each electrode site for able-bodied, paraplegia no-pain, and paraplegia pain groups
Figure 2-43	EEG power spectral density curves for paraplegia pain (black) and paraplegia no-pain (red) groups without medication, in the eyes-closed and eyes-open state

Figure 2-44 EEG power spectral density curves for paraplegia (black) and tetraplegia (red) groups with neuropathic pain, in the eyes-closed and eyes-open state100 Figure 2-45 EEG power spectral density curves for paraplegia (black) and tetraplegia (red) groups without neuropathic pain, in the eyes-closed and eyes-open Figure 3-1 Motor homunculus showing the topographical representation of motor areas Figure 3-3 Left hip MMI video frame sequence, with the first frame in the upper left corner showing the visual cue of a left arrow (superimposed on a cross) for Figure 3-4 Right hip MMI video frame sequence, with the first frame in the upper left corner showing the visual cue of a right arrow (superimposed on a cross) for Figure 3-5 Bilateral hands MMI video frame sequence, with the first frame in the upper left corner showing the visual cue of an upward arrow (superimposed on a Figure 3-6 EEG electrode channel locations used for the study of movement and MMI, Figure 3-8 Laplacian derivation sites, showing the sixteen electrode sites recalculated with Laplacian derivations, with the top of the figure representing the front Figure 3-12 Bilateral hand movement alpha-band topograph for blank (black) and video Figure 3-13 Main effect of DISPLAY on peak alpha-ERD during hand movement.... 139 Figure 3-14 Peak alpha-ERD at individual electrode sites during bilateral hand movement for blank (blue) and video (red) display conditions ...... 140 Figure 3-15 Bilateral hand MMI alpha-band topograph for blank (black) and video (red) Figure 3-16 Main effect of DISPLAY on peak alpha-ERD during hand MMI......143

Figure 3-17 Peak alpha-ERD at individual electrode sites during hand MMI for blank (blue) and video (red) display conditions
Figure 3-18 Interaction effect of DISPLAY x ACTIVITY on peak alpha-ERD during bilateral hand movement and MMI
Figure 3-19 Main effect of ACTIVITY on peak alpha-ERD146
Figure 3-20 Peak alpha-ERD at individual electrode sites during hand movement (blue) and MMI (red)
Figure 3-21 Left hip MMI alpha-band topograph for blank (black) and video (red) display conditions
Figure 3-22 Right hip MMI alpha-band topograph for blank (black) and video (red) display conditions
Figure 3-23 Main effect of DISPLAY on peak alpha-ERD during hip MMI151
Figure 3-24 Peak alpha-ERD at individual electrode sites during hip MMI for blank (blue) and video (red) display conditions
Figure 3-25 Beta-band topograph for bilateral hand movement (black) and MMI (red) during the blank display condition
Figure 3-26 Beta-band topograph for bilateral hand movement (black) and MMI (red) during the video display condition
Figure 3-27 Main effect of ACTIVITY on peak beta-ERS
Figure 3-28 Peak beta-ERS at individual electrode sites during hand movement (blue) and MMI (red)
Figure 3-29 Main effect of DISPLAY on peak beta-ERS
Figure 3-30 Interaction effect of DISPLAY x ACTIVITY on peak beta-ERS
Figure 3-31 Scatterplot of peak beta-ERS overlying left (C3) and right (C4) sensorimotor hand areas in each individual during movement (blue) and MMI (red), in the blank (open) and video (solid) display conditions 160
Figure 3-32 Alpha-band topograph for bilateral hand movement (black) and MMI (red) during the blank display condition
Figure 3-33 Alpha-band topograph for bilateral hand movement (black) and MMI (red) during the video display condition
Figure 3-34 Peak alpha-ERD latency during hand movement and MMI 164
Figure 3-35 Timing of peak alpha-ERD at individual electrode sites during hand movement (blue) and MMI (red)

Figure 3-36 Peak alpha-ERD latency during hand activity for blank and video display conditions
Figure 3-37 Peak alpha-ERD during hand activity at individual electrode sites for blank (blue) and video (red) display conditions
Figure 3-38 Interaction effect of DISPLAY x ACTIVITY on peak alpha-ERD latency168
Figure 3-39 Interaction effect of DISPLAY x SITE x ACTIVITY on peak alpha-ERD latency
Figure 3-40 Timing of peak beta-ERS during hand movement and MMI171
Figure 3-41 Timing of peak beta-ERS at individual electrode sites during hand movement (blue) and MMI (red)
Figure 3-42 Timing of peak beta-ERS during hand activity for blank and video display conditions
Figure 3-43 Timing of peak beta-ERS during hand activity at individual electrode sites for blank (blue) and video (red) display conditions
Figure 3-44 Interaction effect of DISPLAY x ACTIVITY on peak beta-ERS latencies175
Figure 3-45 Interaction effect of DISPLAY x SITE x ACTIVITY on peak beta-ERS latencies
Figure 3-46 Summary of latencies for peak alpha-ERD, and peak beta-ERS for movement and MMI in the blank and video display conditions
Figure 3-47 Bilateral hands video frame sequence with trial timing
Figure 3-48 Alpha-band topograph for left (black) and right (red) hip MMI during the blank display condition
Figure 3-49 Alpha-band topograph for left (black) and right (red) hip MMI during the video display condition
Figure 3-50 Main effect of LIMB on peak alpha-ERD
Figure 3-51 Interaction effect of LIMB and HEMI on peak alpha-ERD
Figure 3-52 Interaction effect of LIMB x HEMI x DISPLAY on peak alpha-ERD 185
Figure 3-53 Interaction effect of LIMB x HEMI on peak alpha-ERD for each pair of mirrored sites across the left and right hemispheres in the blank display condition
Figure 3-54 Interaction effect of LIMB x HEMI on peak alpha-ERD for each pair of mirrored sites across the left and right hemispheres in the video display condition

Figure 3-55 Scatterplot of peak alpha-ERD at mirror site-pair (CCPB, CCPF) for left and right hip MMI in the blank display condition
Figure 3-56 Beta-band topograph for left (black) and right (red) hip MMI during the blank display condition
Figure 3-57 Beta-band topograph for left (black) and right (red) hip MMI during the video display condition
Figure 3-58 Main effect of LIMB on peak beta-ERS 193
Figure 3-59 Interaction effect of LIMB and HEMI on peak beta-ERS 194
Figure 3-60 Interaction effect of LIMB x HEMI on peak beta-ERS for each pair of mirrored sites across the left and right hemispheres in the blank display condition
Figure 3-61 Interaction effect of LIMB x HEMI on peak beta-ERS for each pair of mirrored sites across the left and right hemispheres in the video display condition
Figure 3-62 Scatterplot of peak beta-ERS at mirror site-pair (CC, CD) for left and right hip MMI in the blank display condition
Figure 4-1 Bilateral hand movement alpha-band topograph for able bodied (black) and spinal cord injured (red) groups in the blank display condition
Figure 4-2 Bilateral hand movement alpha-band topograph for able bodied (black) and spinal cord injured (red) groups in the video display condition
Figure 4-3 Main effect of INJURY on peak alpha-ERD during bilateral hand movement, in able-bodied and SCI groups
Figure 4-4 Peak alpha-ERD at individual electrode sites during bilateral hand movement for the able-bodied (blue) and SCI (red) groups
Figure 4-5 Main effect of LESION on peak alpha-ERD during bilateral hand movement, in able-bodied, paraplegia, and tetraplegia groups
Figure 4-6 Peak alpha-ERD at individual electrode sites during bilateral hand movement for the able-bodied (blue), paraplegia (red), and tetraplegia (green) groups218
Figure 4-7 Bilateral hand movement alpha-band topograph for blank (black) and video (red) display conditions in spinal cord injury
Figure 4-8 Main effect of DISPLAY on peak alpha-ERD during hand movement in spinal cord injury
Figure 4-9 Peak alpha-ERD at individual electrode sites during bilateral hand movement for blank (blue) and video (red) display conditions in spinal cord injury 223

Figure 4-10 Interaction effect of DISPLAY x INJURY on peak alpha-ERD during bilateral hand movement
Figure 4-11 Interaction effect of DISPLAY x SITE x LESION on peak alpha-ERD during bilateral hand movement
Figure 4-12 Bilateral hand MMI alpha-band topograph for able bodied (black) and spinal cord injured (red) groups in the blank display condition
Figure 4-13 Bilateral hand MMI alpha-band topograph for able bodied (black) and spinal cord injured (red) groups in the video display condition
Figure 4-14 Main effect of INJURY on peak alpha-ERD during bilateral hand MMI, in able-bodied and SCI groups
Figure 4-15 Peak alpha-ERD at individual electrode sites during bilateral hand MMI for the able-bodied (blue) and SCI (red) groups
Figure 4-16 Main effect of LESION on peak alpha-ERD during bilateral hand MMI, in able-bodied, paraplegia, and tetraplegia groups
Figure 4-17 Peak alpha-ERD at individual electrode sites during bilateral hand MMI for the able-bodied (blue), paraplegia (red), and tetraplegia (green) groups 232
Figure 4-18 Bilateral hand MMI alpha-band topograph for blank (black) and video (red) display conditions in spinal cord injury
Figure 4-19 Main effect of DISPLAY on peak alpha-ERD during hand MMI in spinal cord injury
Figure 4-20 Peak alpha-ERD at individual electrode sites during hand MMI for blank (blue) and video (red) display conditions in spinal cord injury
Figure 4-21 Interaction effect of DISPLAY x ACTIVITY on peak alpha-ERD in spinal cord injury
Figure 4-22 Interaction effect of DISPLAY x ACTIVITY x INJURY on peak alpha- ERD
Figure 4-23 Main effect of ACTIVITY of bilateral hands on peak alpha-ERD in spinal cord injury
Figure 4-24 Peak alpha-ERD at individual electrode sites during hand movement (blue) and MMI (red) in spinal cord injury
Figure 4-25 Left hip MMI alpha-band topograph for able-bodied (black) and SCI (red) groups
Figure 4-26 Right hip MMI alpha-band topograph for able-bodied (black) and SCI (red) groups

Figure 4-27 Main effect of INJURY on peak alpha-ERD during hip MMI in able-bodied and SCI groups
Figure 4-28 Peak alpha-ERD at individual electrode sites during hip MMI for the able- bodied (blue) and SCI (red) groups
Figure 4-29 Main effect of LESION on peak alpha-ERD during hip MMI in able- bodied, paraplegia, and tetraplegia groups
Figure 4-30 Peak alpha-ERD at individual electrode sites during hip MMI for the able- bodied (blue), paraplegia (red), and tetraplegia (green) groups
Figure 4-31 Left hip MMI alpha-band topograph for blank (black) and video (red) display conditions in spinal cord injury
Figure 4-32 Right hip MMI alpha-band topograph for blank (black) and video (red) display conditions in spinal cord injury
Figure 4-33 Main effect of DISPLAY on peak alpha-ERD during hip MMI in spinal cord injury
Figure 4-34 Peak alpha-ERD at individual electrode sites during hip MMI for blank (blue) and video (red) display conditions in spinal cord injury
Figure 4-35 Interaction effect of DISPLAY x INJURY on peak alpha-ERD during hip MMI
Figure 4-36 Bilateral hand movement beta-band topograph for able bodied (black) and spinal cord injured (red) groups in the blank display condition
Figure 4-37 Bilateral hand movement beta-band topograph for able bodied (black) and spinal cord injured (red) groups in the video display condition
Figure 4-38 Main effect of INJURY on peak beta-ERS during bilateral hand movement257
Figure 4-39 Peak beta-ERS at individual electrode sites during bilateral hand movement for the able-bodied (blue) and SCI (red) groups
Figure 4-40 Bilateral hand MMI beta-band topograph for able bodied (black) and spinal cord injured (red) groups in the blank display condition
Figure 4-41 Bilateral hand MMI beta-band topograph for able bodied (black) and spinal cord injured (red) groups in the video display condition
Figure 4-42 Main effect of INJURY on peak beta-ERS during bilateral hand MMI 262
Figure 4-43 Peak beta-ERS at individual electrode sites during bilateral hand MMI for the able-bodied (blue) and SCI (red) groups
Figure 4-44 Main effect of ACTIVITY on peak beta-ERS in able-bodied and SCI groups

Figure 4-45 Peak beta-ERS at individual electrode sites during movement (blue) and MMI (red) in the SCI group
Figure 4-46 Interaction effect of ACTIVITY x INJURY on peak beta-ERS
Figure 4-47 Interaction effect of ACTIVITY x LESION on peak beta-ERS
Figure 4-48 Peak beta-ERS at individual electrode sites during bilateral hand movement (blue) and MMI (red) for the able-bodied, paraplegia, and tetraplegia groups268
Figure 4-49 Scatterplot of beta-ERS overlying left (C3) and right (C4) sensorimotor hand areas in each individual with SCI, during movement (blue) and MMI (red), in the blank (open) and video (solid) display conditions
Figure 4-50 Interaction effect of DISPLAY x INJURY on peak beta-ERS during bilateral hand movement
Figure 4-51 Interaction effect of DISPLAY x INJURY on peak beta-ERS during bilateral hand MMI
Figure 4-52 Alpha-band topograph for left (black) and right (red) hip MMI in SCI for the blank display condition
Figure 4-53 Alpha-band topograph for left (black) and right (red) hip MMI in SCI for the video display condition
Figure 4-54 Main effect of LIMB on peak alpha-ERD in SCI
Figure 4-55 Interaction effect of LIMB and HEMI on peak alpha-ERD in SCI
Figure 4-56 Interaction effect of LIMB and HEMI on peak alpha-ERD in paraplegia and tetraplegia groups
Figure 4-57 Interaction effect of LIMB x HEMI on peak alpha-ERD in the paraplegia group during the blank display condition for each pair of mirrored sites across the left and right hemispheres
Figure 4-58 Interaction effect of LIMB x HEMI on peak alpha-ERD in the paraplegia group during the video display condition for each pair of mirrored sites across the left and right hemispheres
Figure 4-59 Interaction effect of LIMB x HEMI on peak alpha-ERD in the tetraplegia group during the blank display condition for each pair of mirrored sites across the left and right hemispheres
Figure 4-60 Interaction effect of LIMB x HEMI on peak alpha-ERD in the tetraplegia group during the video display condition for each pair of mirrored sites across the left and right hemispheres
Figure 4-61 Scatterplot of peak alpha-ERD at mirror site-pair (CCPC, CCPE) for left and right hip MMI in the blank display condition 285

A gard i i i i garpinente e en perior iaj e anno en en e e e e e e e e e e e e e e e	Figure A-1	Equipment	components &	& connection	layout	
--	------------	-----------	--------------	--------------	--------	--

## **List of Tables**

Table 1-1 BCI Characteristics and Performance Measures 14
Table 2-1 Clinical information for each participant, including type of neuropathic experienced, and medication taken at the time of the study
Table 2-2 EEG frequency bands 35
Table 2-3 Sign Test results showing the probability due to chance for a subset of 14 electrode sites showing the same direction of difference
Table 2-4 Statistics for alpha-band (8 – 13 Hz) measures at bipolar site-pair O2-P8 in able-bodied and SCI groups, together with significance of <i>F</i> tests
Table 2-5 Statistics for alpha-band $(8 - 13 \text{ Hz})$ measures at bipolar site-pair O2-P8 in able-bodied, paraplegia and tetraplegia groups, together with significance of <i>F</i> tests
Table 2-6 Significance of differences of power changes across all frequency bandsbetween tetraplegia, paraplegia, and able-bodied groups in the eyes-closedand eyes-open states67
Table 2-7 Statistics for alpha-band $(8 - 13 \text{ Hz})$ measures at bipolar site-pair O2-P8, in able-bodied, paraplegia no-pain and paraplegia pain groups, together with significance of <i>F</i> tests
Table 2-8 Significance of differences of power changes across all frequency bands between paraplegia pain, paraplegia no-pain, and able-bodied groups in the eyes-closed and eyes-open states
Table 2-9 Post-hoc comparisons of eyes-closed reactivity in the delta, theta, alpha, beta,and gamma frequency bands, for the paraplegia pain, paraplegia no-pain,and able-bodied groups; showing significant results from Dunnett's Testsand Sign Tests
Table 2-10 Sign Test results for the number of electrode sites with greater power in the paraplegia pain group compared with the paraplegia no-pain group, for delta, theta, alpha, beta, and gamma frequency bands
Table 2-11 Sign Test results for the number of electrode sites with reduced power in tetraplegia pain and no-pain groups compared with paraplegia pain and no-pain groups, for delta, theta, alpha, beta, and gamma frequency bands 101
Table 3-1 Results of contrast analysis comparing the effect of DISPLAY duringmovement and MMI on peak alpha-ERD latency
Table 3-2 Results of contrast analysis comparing the effect of ACTIVITY during blankand video display conditions on peak alpha-ERD latency

Table 3-3 Results of contrast analysis comparing the effect of DISPLAY during movement and MMI on beta-ERS peak time
Table 3-4 Results of contrast analysis comparing the effect of ACTIVITY during blank and video display conditions on beta-ERS peak time
Table 3-5 F-ratios from partial interaction contrasts of LIMB x HEMI on peak alpha-ERD for each mirror site-pair during hip MMI
Table 3-6 Classification results for left and right hip MMI using asymmetry of peakalpha-ERD at sites CCPB and CCPF, in the blank display condition 190
Table 3-7 F-ratios from partial interaction contrasts of LIMB x HEMI on peak beta-ERS for each mirror site-pair during hip MMI197
Table 3-8 Classification results for left and right hip MMI using asymmetry of peakbeta-ERS at sites CC and CD, in the blank display condition
Table 4-1 F-ratios from partial interaction contrasts of LIMB x HEMI on peak alpha-ERD for each mirror site-pair during hip MMI
Table 4-2 Classification results for left and right hip MMI using asymmetry of peak alpha-ERD at sites CCPB and CCPF, in the blank display condition 286

## **Thesis abstract**

The aim of this thesis was to investigate brain activity changes in people with spinal cord injury (SCI), the possible basis for such changes, and the impact these changes are likely to have on signals used by brain-computer interfaces (BCI). BCI monitor brain activity and issue commands to operate electrical devices upon detection of specific changes in brain activity under a users control. BCI development is usually conducted with able-bodied people (for the sake of convenience), with the general assumption that the BCI developed will also be suitable for people with disabilities. This assumption may be flawed because the disability may be associated with significant changes in the brain activity signals used by BCI. Due to the paucity of BCI research in SCI, it is important to assess brain activity in people with SCI to determine if BCI are a suitable means of hands-free control in this population.

BCI could have significant potential for the operation of neuroprostheses in SCI. Neuroprostheses restore lost motor function to people with SCI through the patterned electrical stimulation of paralysed muscles, and have been used to restore hand grasp and release in people with tetraplegia, and standing and stepping in people with paraplegia. Restoring lost motor function brings with it the problem of how the restored function is to be operated by the user. SCI, by its very nature, reduces the capacity to voluntarily control the musculature, and therefore limits the ability of a user to issue commands to a neuroprosthesis. Chapter 1 critically reviewed the command interface requirements of neuroprostheses, and the ability of various BCI to meet those requirements. The review highlighted the potential of two BCI types potentially suited to neuroprosthetic control: 1) BCI based on the detection of eye-closure, and 2) BCI based on the detection of imagined movement of the lower extremities; referred to as eye-closure based BCI (EC-based BCI), and mental motor imagery based BCI (MMIbased BCI) respectively.

The studies in this thesis focused on brain activity in SCI during rest, movement and mental motor imagery (MMI). Chapter 2 examined brain activity in SCI and ablebodied groups during rest with the eyes-open (EO) and eyes-closed (EC). Studies were conducted to compare differences in brain activity with lesion level (paraplegia or tetraplegia), and with the presence/absence of neuropathic pain. Neuropathic pain is an

xxiv

intractable disease that occurs in about 50% of people with SCI, and whose aetiology is largely unknown. There is evidence to suggest, however, that central mechanisms are involved in the genesis of neuropathic pain, so a separate study was conducted to identify brain activity changes with SCI neuropathic pain and the potential effect of these changes on BCI performance. Brain activity was measured with the electroencephalogram (EEG), which uses sensors to record small signals on the scalp reflecting underlying neuronal activity in the brain. The EEG was spectrally analysed to obtain measures of signal power in the delta, theta, alpha, beta, and gamma frequency bands. This allowed comparison of the results with the wide body of EEG literature, and helped to elucidate the changes in the brain associated with SCI. A notable finding in this thesis was of brain activity changes in people with tetraplegia that were similar to changes associated with neuronal atrophy, suggesting that people with tetraplegia may be more likely to suffer cognitive impairments and be at greater risk of developing dementia of the Alzheimer's type. Brain activity changes were also observed in people with SCI neuropathic pain, supporting and extending the view of how central processes may be involved in the genesis of this disease.

For sophisticated neuroprosthetic control, BCI need to be developed beyond the single-command capability of the EC-based BCI. To identify additional BCI signals, the study in Chapter 3 focused on brain activity in able-bodied people reactive to imagined movement of the lower extremities. BCI signals have previously been obtained by imagination of the feet, but it has not been possible to detect differences in the EEG between the left and right foot, due to the location of the cortical foot representation in the brain. To counter this difficulty the study in Chapter 3 investigated imagined movement of the left and right hip and found that they could be distinguished in the EEG. This finding gave support to the proposal that lower extremity motor imagery could be used for the operation of neuroprostheses in SCI.

A difficulty in detecting brain reactivity to imagined movement arises because the exact moment of movement imagination cannot be measured. This is in contrast to executed movement, where the precise moment of movement can be detected from sensors attached to the limb being moved. Brain activity reactive to imagined movement has been demonstrated as a potential BCI signal, but it has been suggested that it may not be detected among all people. The study in Chapter 3 employed a novel approach

XXV

for the detection of brain activity reactive to imagined movement, and demonstrated that BCI signals reactive to motor imagery are widely prevalent in able-bodied people.

After finding significant changes in brain activity during rest in people with SCI compared with able-bodied controls in Chapter 2, it was necessary in Chapter 4 to examine and confirm if left and right hip motor imagery could be distinguished in people with SCI. The study found that left and right hip motor imagery could be distinguished in people with paraplegia, though with a diminished capacity compared with able-bodied controls. The ability to distinguish between left and right hip motor imagery was further diminished in people with tetraplegia. The study, however, found little difference in brain activity reactive to imagined movement in the beta frequency band. These signals have been successfully used as a BCI signal in a single-case study of a person with tetraplegia, but with a lack of replication, it has remained unknown whether these signals can be detected widely in people with SCI. The findings in Chapter 4 show that beta frequency band signals reactive to motor imagery are widely prevalent among people with SCI, suggesting that these signals have potential for use in BCI for neuroprosthetic control.

## Abbreviations

Abbreviation	Phrase
ASIA	American Spinal Injury Association
BCI	Brain-Computer Interface
CMS	Common Mode Sense
DRL	Driven Right Leg
EC	Eyes-Closed
ECS	Environmental Control System
EEG	Electroencephalogram
EMG	Electromyogram
EO	Eyes-Open
EOG	Electrooculogram
ERD	Event Related Desynchronisation
ERS	Event Related Synchronisation
ICA	Independent Component Analysis
LE	Lower Extremity
MEG	Magnetoencephalogram
MMI	Mental Motor Imagery
MRI	Magnetic Resonance Imaging
PAF	Peak Alpha Frequency
PIBS	Post-Imagination Beta Synchronisation
PMBS	Post-Movement Beta Synchronisation
PSD	Power Spectral Density
PTAF	Peak Theta-Alpha Frequency

xxvii

SCI	Spinal Cord Injury
SSC	Somatosensory Cortex
TBI	Traumatic Brain Injury
TCD	Thalamocortical Dysrhythmia
TMS	Transcranial Magnetic Stimulation