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## Absense Absence of back to school peaks in human rhinovirus detections and respiratory symptoms in a cohort of children with asthma

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#### Abstract

Much of what is known about the seasonality of human rhinovirus (hRV) infections has been learnedt from the study of acute asthma exacerbations presenting to emergency care, including those among children at the start of the school term. Much less is known about the patterns of hRVs in the community. In this study, viruses and day-to-day symptoms of asthma and colds were monitored twice weekly in 67 children with asthma aged 5-12 years, over a 15 month period in Sydney, Australia.

Overall hRV was detected in 314/1232 (25.5\%) of nasal wash samples and 142/1231 (11.5\%) of exhaled breath samples, and 123 and 24 respectively; were sequenced for hRV genotype. HRVs were detected with similar prevalence rate throughout the year, including no peak in hRV prevalence following return to school. No peaks were seen in asthma and cold symptoms using twice-weekly diary records. However, over the same period in children of the same age, there were peaks in asthma emergency visits both at a large local hospital and in state-wide hospitalisations, following both return to school (February) and in late autumn (May).


This study suggests that hRV infections are common throughout the year among children, and differences in virus prevalence alone may not account for peaks in asthma symptoms.

## Keywords

Human rhinovirus, respiratory viruses, asthma, hospital admission for asthma, emergency department presentations for asthma, asthma exacerbations

## Introduction

Human rhinovirus (hRV) infections are the most frequent cause of upper respiratory tract infections (URTI, or 'colds') in both children and adults. They have been strongly associated with acute exacerbations of asthma requiring medical intervention (Busse et al., 2010). In longitudinal studies in the northern and southern hemispheres, asthma exacerbations in children show a strong seasonal peak in late summer-autumn, following return to school (Johnston et al., 2005; Lincoln et al., 2006; Sears and Johnston 2007). Samples collected from children during these exacerbations are frequently hRV positive (Johnston et al. 2005). HRV infections are also significantly associated with day-to-day respiratory symptoms in children (Tovey et al., 2014).

There are greater than 168 serotypes and genotypes of hRVs, currently defined on a molecular basis as three species: hRV-A, -B, -C (Simmonds et al., 2010; Miller and Mackay 2013). There is as yet no consensus as to the seasonality of the three species (Olenec et al., 2010; Arakawa et al., 2012; Linder et al., 2013).

There are a number of challenges in determining the role of hRV in day-to-day asthma symptoms and asthma exacerbations. Much of our current understanding of the seasonal prevalence of circulating hRVs is based on studies concerned with more severe infections or those with a greater impact on asthma. These include data from acute hospital admissions (Druce et al., 2005), prospective sampling in periods of increased symptomatology(Olenec et al. 2010) and performing sampling on children when they are symptomatic (MacKay et al., 2013). In order to understand patterns of hRV circulating day-to-day in a community of children with asthma, samples should be collected at frequent intervals over a prolonged time course.

1 In a recent study, we analyzed nasal wash and exhaled breath samples were analyzed as part 2 of a longitudinal study of children with asthma-SAVE (The $\underline{\text { Study of }}$ Asthma, $\underline{\text { Viruses }}$ and 3 Environment) (Tovey et al. 2014). Sixty-seven children with asthma self-sampled twice a 4 week for an average of 10 weeks. The current paper aimed to determine whether the back to 5 school peaks in asthma in the community were reflected in the day to day hRV detections and asthma symptoms of the children enrolled in the SAVE cohort. 3 days.

Materials and Methods

## Study design and participants

SAVE was a longitudinal cohort study that involving 67 children aged between 5 and 12 years with doctor diagnosed asthma; they were recruited from Sydney Children's Hospital (SCH), Randwick, New South Wales (NSW), Australia, either from the asthma outpatient clinic or from amongst hospital records of children with an ED attendance with a discharge diagnosis of asthma in the previous 12 months. The full methods and outcomes of day to day fluctuation of virus detection and respiratory symptoms have been reported (Tovey et al.

Written informed consent was obtained from both parent and child. This study was approved by the Sydney Children's Network Human Ethics Committee (HREC Approval: 11/CHW/90, SSA Approval: SSA/11/SCHN/168).

## Sampling methods

Details of sample collection, lung function measurement and questionnaires have been described (Tovey et al. 2014). Briefly, participants self-collected their nasal mucus and exhaled breath twice a week for up to 10 weeks. Twice each week, participants completed two diary cards with questions relating to asthma (Marks et al., 2010) and common cold symptoms. For asthma symptoms, a 4-point severity scale of severity was used to separately measture-record 'cough and phlegm' and 'wheeze and chest tightness' symptoms -since the diary was previously completed (yes/no). Cold symptoms were also reported twice-weekly based on the Common Cold Questionnaire (Powell et al., 2008), using a 4 point severity scale of severity to measure nine items typically associated with URTIs (fevers, chills, muscle pains, watery eyes, runny nose, sneezing, sore throat, cough and chest pain) over the previous

Sampling was conducted from October 2011 to December 2012. The New South Wales (NSW $\nrightarrow$ Government school terms in 2012 were term 1: 27 January-5 April, term 2: 23 April29 June, term 3: 16 July-21 September, term 4: 8 October-21 December, i.e. with four 10week terms separated by 2-week vacations, and with a 6-week summer vacation in December/January. "Seasons" in Australia describe summer (December, January, February), autumn (March, April, May), winter (June, July, August) and spring (September, October, November).

## Molecular detection of respiratory viruses

Methods used for the detection and identification of viruses have been described previously (Tovey et al., 2014).

## Contemporaneous meteorological data and asthma-related health care utilization

The Bureau of Meteorology (BOM) provided meteorological data. Fortnightly average air temperatures were calculated from all temperature data collected for that fortnight at the Sydney Airport weather station (the closest station to $\mathrm{SCH}, \sim 4$ miles). Average percent relative humidity ( $\mathrm{RH} \%$ ) values at the Sydney Airport weather station were calculated using the average air and dew point temperatures for each fortnight using the moisture equations provided by BOM.

Data on ED presentations for asthma for children 5-12 years old in the same geographic region were obtained from the SCH ED Data Collection. State--wide data on hospital admissions for asthma amongst children aged 5-12 years old were obtained from the Admitted Patient Data Collection (APDC) which covers the State of NSW (population 7.5 million).

## Data analyses

The proportion of virus positive nasal samples at different times over the course of the 15 months of the study was calculated for: (i) all hRV positive samples, (ii) for each of the three species of hRV and (iii) for the non-hRVs, by dividing the number of positive samples by the total number tested, for each 4 week period of sampling. Confidence intervals were calculated using Fleiss' method (Fleiss 1981).

The relative abundance of the total hRV and three species of hRV in nasal wash samples collected in school vacations and during school terms was compared by examining the occurrence in samples collected in the sum of all four school vacations, with the sum in the samples collected during the four terms. Chi-square test was used to determine significant differences between hRV species detected. A p value of $<0.05$ was considered to indicate a statistically significant association.

The occurrence of asthma symptoms over time was plotted as the fortnightly averages of the continuous scores for the two asthma symptoms (cough and phlegm / wheeze and chest tightness) as reported by questionnaire. Fortnightly averages of the total CCQ scores were plotted using a similar approach.

To determine the association between the rates of hRV positivity in our cohort and the statewide hospital admission rate for asthma, a locally weighted non-parametric smoothing (LOESS) filter was applied to the series of fortnightly hospital admission rates. This was implemented using a Generalised Additive Model (GAM) using a log link with a Poisson distribution (PROC GAM, SAS 9.3, SAS Institute, Cary, NC). Partial autocorrelation function (PACF) plots were examined for models with time smoothing functions using degrees of freedom ranging from 1 to 8 . The model with the least degrees of freedom that minimised the PACF was selected. The residuals from the selected model were used in the

1 subsequent stages of the analysis. In the second stage a regression model was fitted with the
2 residuals from the smoothed series of hospital attendance rates as the dependent variable and 3 the total samples that were positive for hRV as the independent variable.

4 The diversity of the different hRV strains over time was examined by combining the results 5 for the hRV-positive nasal wash and exhaled breath samples and tabulating the number of 6 times each type was first reported in a participant, over the collective school terms versus collective school vacations.

## Results

## Participants' characteristics

Sixty seven participants were enrolled in the study and 62 ( $94 \%$ ) completed at least five weeks of data collection (Tovey et al. 2014). Participant characteristics shown in Table 1 indicate that most children had well-controlled asthma at entry, based on the validated Children's Asthma Control Test (Liu et al., 2010).

## Detection of virus

In total, 1232 nasal wash samples (Fig 1A) and 1231 exhaled breath samples were collected between October 2011 and December 2012. This 15-month period was the amount of time taken for recruitment, sampling and followup of all 67 participants. The rate of sample collection varied, with $5.0 \%$ of total samples collected in the last quarter of 2011, and $40.2 \%$, $21.7 \%, 22.1 \%$ and $10.9 \%$ collected in the four quarters of 2012_(Tovey et al. 2014). There were between 6 and 31 participants sampling each month (see online supplement Figure S1).

Overall 314 ( $314 / 1232,25.5 \%$ ) nasal and $142(142 / 1231,11.5 \%)$ breath samples were positive for hRV over the 15 months. Of the 456 -samples positive for $\mathrm{hRV}, 255 / 456$ ( $55.9 \%$ ), comprising , 231/314 ( $73.6 \%$ ) of positive nasal wash samples and 24/142 (17\%) of exhaled breath samples ${ }_{2} \neq$ were able to be sequenced in the VP4-VP2 region of the hRV genome.

The rate of detection in nasal wash samples of total hRVs , the three hRV species, and nonhRVs, along with the numbers of samples collected, in each 4-week period is in Fig 1. HRVs occurred throughout the year. We observed no peak in hRV following return to school in early February (Figure 1A). The pattern of occurrence observed for hRV-A was similar to total hRV (Figure 1B) whereas the occurrence of hRV-B was confined to a period from January 2012-July 2012 (Figure 1C). HRV-C occurred throughout the year (Figure 1D). The
proportions of positive total hRV and hRV species in nasal wash samples collected in vacations and school terms were compared, and there was no significant difference between either period for total hRV $(p=0.363)$ or the species collectively $(p=0.665)$.

All non-hRV viruses tested for were detected (influenza A, influenza B, parainfluenza types 1,2 , and 3 , respiratory syncytial virus (RSV), and human metapneumovirus). However, very low numbers were observed (Figure 1E); only 28/1232 (2.3\%) of the nasal wash samples and 19/1231 ( $1.5 \%$ ) of the exhaled samples were positive for non-hRV viruses, so these were not analysed further in this paper.

## Fluctuation of respiratory symptoms in the SAVE cohort

A total of 1206 symptom diary records were collected. Asthma symptoms ('wheeze and chest tightness' and 'cough and phlegm') fluctuated throughout the year in the SAVE population, but we observed no peaks of reported symptoms over the 15 months (Figure 2A). In winter and spring, children reported more 'cough and phlegm' than 'wheeze and chest tightness'. Cold symptom scores remained fairly constant throughout the year and did not show peaks at any particular time of year (Figure 2B), although lower scores for cold symptoms were observed in January-February and July 2012 than at other times of the year.

## ED presentations and hospitalizations for asthma in the community

Over the same period in which sampling for the SAVE longitudinal study occurred, asthmarelated emergency department presentations for children aged 5-12 years within the same geographic region showed two marked peaks, during February and May 2012 (Figure 2C).

Similar peaks also occurred at the same time in asthma-related hospital admissions of children 5-12 years old in NSW (Figure 2D). In a time series analysis, we found no detectable association between the rates of hRV positivity in our cohort and the state-wide hospital admission rate for asthma in children $(p=0.7)$. In these datasets, low numbers of ED
presentations and hospital admissions relative to the rest of the observation period were observed in January-February and July 2012.

The relatively mild and consistent temperatures and relative humidity in Sydney over the
observation period are shown for reference in Figure 2E.

## HRV strain diversity

The diversity of hRV strains found in either nasal or breath samples was examined as a function of each school term. The highest number of strains was 21 in term 1 (21/53, 40\% of total number of strains detected during the study period), most likely due to the high number of samples collected $(46 \%(888 / 1916)$ of total term-time samples were collected in term 1 ; Table S 1 in File S 1 ). In term 2 there were 13 strains ( $13 / 53,25 \%$ of total number of strains), in term 3 there were 13 ( $13 / 53,25 \%$ of total number of strains) and in term 4 there were eight ( $8 / 53,15 \%$ of total number of strains). Most strains were unique to each school term, however a small number of strains were detected in both terms 1 and $2(4 / 34,12 \%)$, or terms 2 and $3(3 / 26,12 \%)$, or terms 3 and $4(1 / 21,5 \%)$. One strain (HRV-A01) occurred in term1, 2 and 3.

The hRV sequences from viruses infecting children in the SAVE cohort were aligned, and phylogenetic trees constructed (Figure 3).

Commented [HKR4]: This is confusing, as you have previously said the peak was in February, and here you are saying it was low in Feb.

## Discussion

In this study hRVs occurred throughout the year, with no peak in hRV positive detections following return to school after the 6-week summer vacation. The children also showed no peaks in asthma or cold symptoms averaged over two week periods, contrasting with the finding of two peaks of asthma-related ED presentations to the hospital from which they were recruited SCHand in state-wide hospitalisations, one peak seen after return from the summer vacation and the other in autumn.

In this study, hRV occurred throughout the year (Figure 1) and a similar proportion of nasal wash samples were positive for hRV during vacation and school terms. Although children took collected both a nasal wash and exhaled breath sample, only the prevalence data for nasal wash samples for comparison with symptoms is-are presented in this paper, because in a mixed model analysis -only the presence of hRV only in nose but not breath was significantly associated with worsening asthma symptoms (Tovey et al. 2014). HRVs were the most commonly identified virus group in this cohort, as has been demonstrated in previous population studies in young Australian children (Lambert et al., 2007). Australian studies that only sampled during acute respiratory infections have found peaks of picornavirus (i.e. predominantly hRV) activity during May (autumn) and October (spring) (Lambert et al. 2007; MacKay et al. 2013), particularly two weeks after each school term commences (MacKay et al. 2013), however this was not observed in SAVE with routinely-collected samples. Although there was no back to school peak in hRV detection, h Had there been a back to school peak in circulating hRV , it should have been evident in this study, given that almost half $(46 \%)$ of the total term-time samples were collected in term 1 , and $21 \%$ of total term-time samples were collected in term 2. There are a number of epidemiological and
collected samples, including differences in local climate and behaviour, affecting virus survival, transmission and circulation patterns (Tovey and Rawlinson 2011).

In the SAVE cohort, there were no peaks of day-to-day asthma or cold symptoms, averaged by fortnight, and there was no detectable association between the distribution of hRVpositive samples and the state-wide admission rate for asthma in children. This finding was surprising, as hRV in nasal samples was significantly associated with day-to-day asthma symptoms in the SAVE cohort (Tovey et al. 2014); however, only five children reported seeking urgent medical attention for symptoms, and none was admitted to hospital. The proportions of the two measures of asthma symptoms differed in that relatively more cough and phlegm were reported in winter than wheeze and chest tightness. This could be due to cough reported for other reasons including non-viral infections and the effects of winter climate. By contrast, in community data for severe asthma exacerbations in the same agegroup, as indicated by asthma-related ED presentations in the same geographic area and hospital admissions in the state as a whole, two peaks were observed. A marked increase in community ED presentations and hospital admissions for asthma occurred in February and May 2012, with each of these peaks being approximately four weeks following the return to school after a vacation. In a study by Lincoln et al 2006, children had an increased risk of hospitalisation for asthma within the first month after school resumed (Lincoln et al. 2006), which is in line with the ED and hospital admission data presented in this study.

This study detected hRV-A and hRV-C throughout the year. Previous studies have reported differences in hRV species seasonality; some studies found prevalence of hRV-A highest in spring and hRV-C highest in autumn (Miller et al., 2009; Arakawa et al. 2012) while some studies reported hRV-C to be most common in winter (Linder et al. 2013; MacKay et al. 2013). Olenec et al (Olenec et al. 2010) found hRV-A prevalent in spring, hRV-B prevalent
in autumn and hRV-C in both seasons. In this study hRV-B was only detected in the first half of 2012 (summer and autumn), consistent with Olenec and colleagues' data for autumn (their study only sampled in spring and autumn, therefore summer data for their cohort is-are unknown) (Olenec et al. 2010). The reason why seasonal patterns of viruses may occur is complex; it is thought to be a consequence of factors associated with both climate and social behaviour, interacting with virus biology to affect virus survival and transmission and host mobility and susceptibility (Sloan et al., 2011; Pica and Bouvier 2012), potentially including antiviral immunity via Vitamin D which fluctuates seasonally (Camargo et al., 2011).

Meteorological parameters affect virus survival: hRV has greater survival at higher relative humidity (Sattar et al., 1987), and numbers of children hospitalised with acute respiratory infections caused by hRV are significantly associated with relative humidity (Du Prel et al., 2009).

There are some limitations that must be considered with these data. Because of the logistical issues related to twice-weekly sampling and analysis ofng data of this nature, the cohort was relatively small ( 67 children) with an average sampling time frame of 10 weeks, although equivalent-similar in size to previous studies with routinely-collected samples (Peltola et al., 2008; Olenec et al. 2010). On average, there were 11 participants collecting samples at any one period of time. O , and only a single year was studied, which may have limited the power to identify seasonal variations in prevalence.

Sequence data were not available for all samples that were hRV positive, possibly due to low viral load in some samples. The majority $(73.6 \%)$ of nasal samples could be sequenced ${ }_{2} ;$ other studies have reported success rates of $75-86.9 \%$ using the same VP4-VP2 primer set (Onyango et al., 2012; MacKay et al. 2013). Lower amplification success rates in the VP4VP2 region compared to the $5^{\prime}$ UTR have been reported in other studies (Lee et al., 2012).

This region was chosen for amplification because of it's extensive heterogeneity, allowing type designation_(Simmonds et al. 2010). The detection of other viruses (overall 2\%) was low compared to some other studies (e.g. $8.6 \%$ (Olenec et al. 2010) and $13.9 \%$ (van der Zalm et al., 2011)), which could be due to the age of the SAVE cohort, differences in sampling methods or detection assays.

Together, the present findings suggest that different factors contribute to the relationship between hRV and day-to-day respiratory symptoms compared with the relationship between hRV and severe asthma exacerbations. One possibility is that children in the SAVE cohort differed from children presenting to ED or requiring hospitalisation for asthma. Most children in the SAVE cohort had well-controlled asthma at entry, as indicated by the validated c-ACT score (Table 1) and self-reported adherencewere extremely compliant with ICS medication throughout the study was high, as shown by records. However, no background asthma control data are available for Australian children with an ED presentation for asthma. We have reported elsewhere (Tovey et al. 2014) that $42 / 67(62.7 \%)$ of this cohort were on prescribed regular ICS or ICS/LABA in the previous 12 months. Johnston and colleagues (Johnston et al. 2005) reported that Canadian children with asthma presenting to the ED were more likely to have a hRV infection and less likely to have anti-inflammatory medication prescriptions than children with equally severe asthma in the community who did not present to the ED. There are several other factors besides acute viral infections that have been proposed to contribute to back-to-school asthma exacerbations; these include high exposure to domestic allergens found in school (Almqvist et al., 2001), seasonal outdoor allergens (Im and Schneider 2005), a preceding 'medication-holiday' (Van Dole et al., 2009), and stress (Scheuerman et al., 2009).

1 In summary, this study found that hRVs occur throughout the year in nasal wash samples of 2 school-age children with asthma. By contrast with asthma-related ED presentations in a 3 similar geographic area and state-wide hospitalisations, no peak in hRV was observed 4 following return to school term, and no peaks in asthma or cold symptoms that were related 5 to back to school or any particular month of the 15 month collection period. This study 6 suggests that differences in virus prevalence alone may not account for peaks in asthma 7 symptoms. Identifying the contributing factors may allow the development of interventions to children.

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## Figure Legends

Figure 1. Occurrence of viruses in nasal wash samples over the study period. In each panel, the $y$-axis shows the number of positive nasal wash samples/total number of nasal wash samples expressed as a percentage. Confidence intervals were calculated using Fleiss’ method. 'Other viruses' were influenza A, influenza B, respiratory syncytial virus, parainfluenza virus $1,2,3$ and human metapneumovirus. School vacation periods are shown as dashed lines. Data are analysed by four-weekly intervals from 23 October 2011 to 26 November 2012; no samples were collected between 16 Dec 2011-5 Jan 2012 due to the vacation season. Sp , spring; Su , summer; Au , autumn and Wi , winter.

Figure 2. Chronological distribution of respiratory symptoms in the SAVE cohort, and emergency department presentations/hospitalisations for asthma and meteorological data over the same period. (A) Fortnightly mean scores of 'wheeze and chest tightness' (circles) and 'cough and phlegm'(crosses) symptoms (see Methods), and (B) total cold symptoms for children in the SAVE cohort; and (C) emergency department presentations for asthma for children aged 5-12 years old in the same geographic region, and (D) asthma hospitalisations for children aged 5-12 years old in NSW; and (E) temperature (triangles) and relative humidity (squares). The respiratory symptoms in the SAVE cohort show no particular peaks throughout the study period, in contrast to the two marked peaks in emergency department presentations and hospital admissions for asthma.
All data are plotted for the period October 2011-November 2012. School vacation periods are shown as boxes. Sp , spring; Su , summer; Au , autumn and Wi , winter.

Figure 3. Phylogenetic tree of hRV types detected in nasal wash and exhaled breath, demonstrating the large diversity of hRV types infecting the children of the SAVE cohort over the $\mathbf{1 5}$ month study period. Labels show collection date, season and sample type. Strains are represented the first time they appear for each participant (subsequent detections of the same strain in the same participant are not shown). N , nasal wash sample; B, exhaled breath sample; yellow, Summer; pink, Autumn; blue, Winter; green, Spring. Only bootstrap values over 75 are shown.

## 1 Tables

2 Table 1. Characteristics of study participants.

|  | Enrolled (N=67) |
| :--- | :--- |
| Age (years), mean $\pm$ SD | $8.6 \pm 2.0$ |
| Sex, male | $64.2 \%(43 / 67)$ |
| Average number of sample collections | 18.7 (range 1-31) |
| Any atopy on skin prick test | $82.2 \%(53 / 64)$ |
| Emergency Department visits in previous 12 months |  |
| $\geq 1$ attendance at ED for asthma | $9.0 \%(6 / 67)$ |
| Childhood Asthma Control Test * |  |
| Very poorly controlled ( $\leq 12$ ) | $1.5 \%(1 / 65)$ |
| Not well controlled (13-19) | $30.8 \%(20 / 65)$ |
| Well controlled ( $\geq$ 20) | $67.7 \%(44 / 65)$ |
| Virus \& Symptoms (average score for all participants) |  |
| HRV positive detections | 0.332 |
| Cough \& phlegm symptom score (range 0-3)\# | 0.634 |
| Wheeze \& chest tightness symptom score (range 0-3)\# | 0.390 |
| Total CCQ symptom score (range 0-27) $\dagger$ | 2.673 |

3 * Childhood Asthma Control Test score ranges from 0 to 27(Liu et al. 2010)
4 \# total symptom scores could range from 0 to 6
$5 \quad \dagger$ Common Cold Questionnaire (Powell et al. 2008)

