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**Mellow Yellow: Transitioning to more sustainable sewage management by application of a systems thinking approach in Australia**

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Review

1 **Running Title:** Mellow Yellow: Transitioning to more sustainable sewage management by  
2 application of a systems thinking approach in Australia

3  
4 **Abstract:** This paper will explain how a framework derived from systems thinking,  
5 including soft systems methodology and the use of action research as a methodology,  
6 shaped a research project addressing an area of application (sustainable sanitation).

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8 This Australia-first research project, was conducted by a multidisciplinary team of  
9 collaborators from academia, industry and government that included researchers,  
10 practitioners and students. It explored the use of innovative urine diverting toilets in an  
11 institutional setting. A UTS Challenge Grant was awarded to the project, enabling a pilot  
12 study of safe nutrient capture and reuse from urine diverting toilets installed on campus.

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14 The paper is focussed on the initial stages of the project – the project design and early  
15 investigative phase, and demonstrates the systems thinking and a trans-disciplinary research  
16 approach that could be useful to address complex problems related to sustainability that  
17 infringe on social and cultural issues.

18  
19 **Keywords:** Action research, Sustainability, Systems Thinking, Urine Diversion

## 20 21 **INTRODUCTION**

22 Excessive nutrient loading is one of the most important direct drivers of ecosystem change  
23 in terrestrial, freshwater, and marine ecosystems (Millennium Ecosystem Assessment,  
24 2005). Urine contained in wastewater from sewerage systems is a major source of such  
25 nutrients. Wastewater treatment processes for removing nutrients before release or re-use  
26 are highly energy and chemical intensive.

27 At the same time, mineral phosphate rock deposits, from which phosphate fertiliser is  
28 derived, are approaching a peak not unlike peak oil, with known reserves estimated to run  
29 out within the next century (Cordell *et al.*, 2009). Lack of availability of phosphorous could

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5 30 lead to insecurity of global food production resulting in hunger, social issues and conflict,  
6 31 for example.  
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9 32 Urine is a potential source of phosphorus, and diverting urine at source (the toilet) and  
10 33 capturing nutrients from it could partially replace mineral fertilisers in agricultural use, as  
11 34 well as reduce the cost of wastewater treatment and lessen negative environmental impacts.  
12 35 Therefore, concentrated populations in cities, in particular, offer a rich ‘mine’ for  
13 36 phosphorus. The effective exploitation of this resource however requires not only the  
14 37 technologies for diversion, transport, storage and land application, but the development of  
15 38 supporting regulations, institutional arrangements and markets, as well as changes in  
16 39 cultural norms (Geels, 2005). Effective exploitation also involves addressing underlying  
17 40 factors that influence attitudes (Ajzen, 1991) to support new toilet behaviours and  
18 41 overcoming social taboos towards the reuse of human waste.  
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28 43 This paper describes an innovative research project trialling urine diversion in a university  
29 44 setting in central Sydney, Australia; a project that sought to illuminate the above multiple  
30 45 aspects for mainstreaming urine reuse. While the two-year project ended in 2011, the aim  
31 46 of this paper is to demonstrate how systems thinking shaped the *design of the project*. The  
32 47 paper therefore focuses on the project launching stage only.  
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39 49 To reflect the systems aspects of the project design, the article is structured according to the  
40 50 four elements that Checkland (2000) identifies as generic to a soft systems approach for  
41 51 dealing with complex, real-world problems. They are:  
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- 46 53 • The perceived problem situation  
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48 54 • The process for tackling the situation  
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50 55 • The group of people involved in the process; and  
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52 56 • The combination of situation, process and people.  
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56 58 **THE PERCEIVED PROBLEM SITUATION**  
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60 Checkland (1999) identifies the starting point to SSM as a situation ‘perceived as  
61 problematic’ to a group of ‘would-be problem solvers’ who wish to take purposeful action  
62 to improve the situation. The poor performance of current models of urban sanitation in  
63 terms of sustainability is widely acknowledged (Pinkham *et al.*, 2004, Nelson 2007,  
64 Mitchell *et al.*, 2010) and was identified as the perceived problem situation the project team  
65 sought to improve.

66

67 The centralised piped sewerage model has provided enormous health benefits that have  
68 enabled economic development, and that model has, therefore, become the dominant  
69 paradigm for urban sanitation systems in developed countries (and aspired to by many  
70 countries that do not already have it (UNICEF, 2010). However, in the long term, the  
71 centralised piped sewerage model rates poorly from a sustainability standpoint. The model  
72 relies on large volumes of water, usually of a potable standard, being used to transport  
73 waste from cities for resource-intensive sewage treatment. The model involves the  
74 misplacement of potentially valuable nutrients as noted earlier, which lead to nutrient  
75 pollution in environments receiving sewage effluents, or the employment of costly  
76 processes for removing these pollutants.

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78 The aim of the research project was to explore the range of interdependent factors that  
79 could support a transition from current established sanitation systems towards a more  
80 sustainable and resource efficient sanitation system, using urine diversion as a case study.  
81 To create such a shift requires mutually reinforcing institutional and socio-cultural  
82 transformations including new infrastructure planning processes; enabling regulatory and  
83 legal frameworks; and altered user practices (Geels, 2005). Facilitating this change,  
84 therefore, required partnership and collaboration from stakeholders with a range of  
85 disciplinary knowledge and a shared commitment to sustainability and transdisciplinary  
86 learning.

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5 88 A competitive Challenge Grant from the UTS (a scheme to provide seed funding to support  
6 89 activities related to high-quality, collaborative cross-disciplinary research) was secured as  
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8 90 funding for the two year project.  
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## 11 92 **THE PROCESS FOR TACKLING THE SITUATION**

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15 94 The project was designed to take tangible steps towards closing the nutrient loop by  
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17 95 retrofitting a men's and a women's toilet block on the UTS campus with a number of urine  
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19 96 diverting toilets and waterless urinals. Figure 1 shows examples of some urine diverting  
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21 97 toilets.  
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### 23 98 **Figure 1. An example of a urine diverting toilet (a) and waterless urinal (b)**

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27 99 The aim of the project was to illuminate and learn about the various factors that come into  
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29 100 play in the process of collecting and transporting urine from these toilets and reusing it as a  
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31 101 substitute for phosphate and other fertilisers in a small-scale agricultural trial.  
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### 34 103 **Figure 2. Systems and environment associated with the Pilot**

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38 104 Such a learning system was modelled by Checkland (1985) as consisting of an intellectual  
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40 105 framework (F) and a methodology (M) for using F, on the area of application (A). The  
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42 106 intellectual framework of ideas was grounded in systems thinking as explained below.  
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45 108 A systemic view of the multi-level project and its context (Figure 2) led the project to be  
46  
47 109 designed as a set of four research strands conceived as interacting sub-systems:

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49 110 • *A technology strand* to consider the 'hard systems', the physical aspects of the system,  
50  
51 111 including the user interface (engineering and technical issues around designing,  
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53 112 implementing and commissioning the toilet retrofit, and operating, maintaining and  
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55 113 monitoring their technical performance), urine collection, sanitation and transport, and  
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57 114 implementing the agricultural trials.  
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5 115 • A *stakeholder engagement* strand, to track and shift attitudes of users of the toilets as  
6 116 well as maintenance and management staff, which was recognised as critical to the  
7 117 acceptability and ultimate success of the pilot.  
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10 118 • A *visual communications* strand, specifically focused on effective communication.  
11 119 While this is a subsystem of ‘stakeholder engagement’, the success of projects has  
12 120 repeatedly been shown to be dependent on effective communication (Jugdev & Müller  
13 121 2005; Kappelman *et al.*, 2006). So a dedicated research ‘sub system’ or strand was set  
14 122 up.  
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17 123 • A *regulations/institutions* strand, to examine the regulatory and institutional context  
18 124 within which the pilot resource-efficient sanitation system exists, and which constrains  
19 125 or supports what is possible. Just as legal and regulatory frameworks and institutional  
20 126 arrangements enable and support conventional sewerage systems, it was recognised that  
21 127 they also play a role in enabling or impeding the kinds of paradigm change that the  
22 128 project sought to initiate.  
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31 130 To consider the system as an interacting whole and integrate emergent learnings from each  
32 131 research strand above, a fifth *integration* research strand was defined. The system in Figure  
33 132 2 was thus designed as a research program of subsystems in a hierarchy shown in Figure 3,  
34 133 with other elements of Figure 2 acknowledged but left outside the scope of the project.  
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### 39 134 **Figure 3. Hierarchy of research ‘subsystems’**

#### 40 41 42 135 **Action research**

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46 137 While urine diversion trials have been conducted previously (Larsen *et al.*, 2001; Hood *et*  
47 138 *al.*, 2008; Blume & Winker 2011), this study was breaking new ground with its coverage of  
48 139 social and regulatory aspects and not merely technological ones. The combination of hard  
49 140 and ‘soft’ people-related systems under study drew on complex systems theory concepts as  
50 141 well as Soft Systems Methodology as discussed later. The project required design so that  
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5 142 emergent learnings could be responded to within the timeframe of the project, and aligned  
6 143 with iterative learning within a ‘learning system’ (Checkland, 1999).  
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10 145 The systems- based intellectual framework (F) indicated Action Research as the  
11 146 overarching methodology (M) or a meta-methodology (Dick, 2004), for being particularly  
12 147 appropriate for supporting a learning system with a collaborating team of multidisciplinary  
13 148 researchers (discussed next section). Thus there was an opportunity to use essential  
14 149 elements of an action research cycle – plan, act, observe, reflect and plan for the next cycle  
15 150 – in this research project. According to the current edition of the *Handbook of Action*  
16 151 *Research* (Reason and Bradbury, 2008) action research is defined as:  
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24 153 “... a participatory process concerned with developing practical knowledge in the pursuit  
25 154 of worthwhile human purposes. It seeks to bring together action and reflection, theory and  
26 155 practice, in participation with others, in the pursuit of practical solutions to issues of  
27 156 pressing concern to people, and more generally the flourishing of individual persons and  
28 157 their communities.”  
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34 158 This research project aimed to use three action research cycles:

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37 159 Cycle 1 would be an investigation cycle to facilitate the emergence of the ‘thematic  
38 160 concern’ for the project (Kemmis and McTaggart, 1988) in discussions with each of the  
39 161 research strands. The researchers planned to draw upon aspects of soft systems  
40 162 methodology to inform the detail of their actions. The aim of the project was confirmed to  
41 163 serve as the ‘root definition’ using the CATWOE mnemonic (Checkland, 1999)  
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46 164 Customers (C): The participating organisations (‘victims or beneficiaries of the system’)

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49 165 Actors (A): The research project team (‘those who would do T’)  
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52 166 Transformation (T): Pilot urine diversion technology with the aim of illuminating a range  
53 167 of interdependent factors that determine successful uptake and potential scale-up of radical  
54 168 sustainable urban sanitation (‘the conversion of input to output’)  
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4 169 Weltanschauung (W): Sewage be viewed as a resource rather than waste product ('the  
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6 170 worldview that makes the T meaningful in the context')

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9 171 Owners (O): UTS, University of Western Sydney and University of New South Wales  
10  
11 172 ('those who could stop T')

12  
13 173 Environmental constraints (E): Constraints posed by institutions and regulations with  
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15 174 regard to urine collection and use ('elements outside the system which it takes as given')

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18 175 Cycle 2 would cover the design, contract and commissioning phase of the project where the  
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20 176 technology strand oversaw the design of the retrofitting of the designated toilets, appointed  
21  
22 177 contractors and supervised the installation and commissioning of the toilets. The  
23  
24 178 stakeholder strand determined the attitude of end-users prior to installation in order to  
25  
26 179 alleviate any concerns and the regulatory and institutions strand highlighted and advised on  
27  
28 180 the regulatory enablers and impediments to the trial.

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30 181 Cycle 3 would cover operation, monitoring, evaluation and closure of the project. The  
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32 182 performance of the toilets, storage and agricultural trials would be monitored and evaluated.  
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34 183 End-users' responses to the use of the toilets would be gathered and analysed. The visual  
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36 184 communications strand would evaluate the effectiveness of their messages and the  
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38 185 regulations/institutions strand would focus on the barriers to closing the loop on nutrient  
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40 186 recovery and use while balancing competing interests such as health and safety, particularly  
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42 187 relating to transport and storage of waste and land application. The integration strand would  
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44 188 carry out an overall evaluation, decide on new projects extending from this project as well  
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46 189 as put together a final report to the stakeholders.

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48 190 The problem being addressed in this project was a complex real-world problem that could  
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50 191 be looked upon as a 'wicked problem' (Rittel and Webber, 1973). Soft systems  
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52 192 methodology (SSM) was developed by Checkland and colleagues (Checkland, 1999) as a  
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54 193 way of addressing wicked or ill-structured, complex, real-world problems faced in  
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56 194 management situations, when they found that 'hard' systems approaches, based on systems  
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58 195 engineering, were not effective while addressing such problems at an ICI factory in the UK.  
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5 196 This project therefore adopted many concepts, ideas and tools of SSM that were useful and  
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7 197 relevant in the design of the project to deal with complexities arising in a real-world  
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9 198 situation.

10 199 A recent book by Checkland and Poulter (2006) presents a basic version of SSM which  
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12 200 includes the following activities:

- 13 201 1. Perception of a problematical real-world situation demanding action to improve it;
- 14 202 2. Creation of models of purposeful activity *relevant* to the situation from different  
15 203 worldviews;
- 16 204 3. A process to explore the models as devices to explore the situation;
- 17 205 4. A structured debate about desirable and feasible changes including a discussion on  
18 206 power issues and considering social norms and values; and
- 19 207 5. Taking action to improve the situation.

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22 208  
23 209 A basic version of SSM is shown in the authors' latest book (reproduced here as Figure 4).  
24 210 The actual process of analysis includes the components described in the earlier versions  
25 211 such as drawing 'rich pictures' to clarify the problems as perceived by the stakeholders and  
26 212 developing a 'root definition' based on what the agreed purpose of the system would be.  
27 213

#### 28 214 **Figure 4. Basic SSM process (Adapted from Checkland and Poulter, 2006)**

29 215  
30 216 Some components of soft systems methodology were been applied during the initial  
31 217 meetings of the research team. Among these was a conceptualisation of the desired project  
32 218 outcomes in terms of an articulation of individual visions – an acknowledgement of the  
33 219 range of worldviews present.  
34 220

#### 35 221 **THE GROUP OF PEOPLE INVOLVED IN THE PROCESS**

36 222  
37 223 For the sake of clarity, in this paper we interpreted this group to mean the research team:  
38 224 that is, the group of people seeking to take purposeful action to improve the problematic  
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4 225 situation, as distinct from the ‘stakeholders’ who were the subject of our social research  
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6 226 noted in the previous section.  
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9 227 The project was led by researchers at the ISF at UTS with skills, expertise and a passion for  
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11 228 transitioning to sustainable futures in line with the Institute’s mission “to create change  
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13 229 towards sustainable futures”, of which sustainable sanitation and phosphorus futures are a  
14  
15 230 part. In line with the ‘plurality of perspectives’ that are important to consider within  
16  
17 231 complex systems (Gallopín, 2001), they systematically identified the key perspectives that  
18  
19 232 would be relevant to the system of interest (as in Figure 2), and potential research partners  
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21 233 representing these perspectives. As observed by Checkland (1999), the researchers  
22  
23 234 acknowledged that research outcomes would be uniquely shaped by the particular  
24  
25 235 collaborators and their perceptions, at the particular time, of the project, and therefore, not  
26  
27 236 ‘reproducible’. However, by careful inclusion of representative, key, professional and  
28  
29 237 institutional perspectives of importance, it was expected that the learning outcomes would  
30  
31 238 be useful in improving the problematic situation of conventional urban sanitation. This led  
32  
33 239 to the inclusion of research partners with relevant disciplinary perspectives, knowledge and  
34  
35 240 similar commitments to sustainability from within UTS, other local universities, industry  
36  
37 241 and government, as well as an international expert to play the role of a strategic advisor.

38 242 Partnerships with the UTS Faculties of Engineering and Information Technology (FEIT)  
39  
40 243 and Design, Architecture and Building (DAB), brought a combination of experience in  
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42 244 technical engineering, project management, stakeholder engagement and visual  
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44 245 communications. . An academic from the University of Western Sydney (UWS) was  
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46 246 collaborating closely with UTS visual communications experts to bring a design focus to  
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48 247 the visual communication strand, and collaborate on student research projects. A specialist  
49  
50 248 in water law, as it relates to urban wastewater systems, from the University of New South  
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52 249 Wales (UNSW), was leading the research strand on regulatory and institutional issues.

53 250 An academic expert in agriculture and farming systems from UWS provided the facilities  
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55 251 and carried out the testing and trialling of urine as a phosphorus source. A soils and  
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4 252 nutrients specialist from the Australian Nursery and Garden Industry Australia (NGIA)  
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6 253 collaborated closely in these trials.  
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9 254 Sydney Water, the local retailer of water and provider of wastewater services, took a keen  
10  
11 255 interest in the research and committed funds and personnel to the project. Caroma Dorf, the  
12  
13 256 leading manufacturer of Australian toilet hardware, contributed its significant experience in  
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15 257 the end-user interface to advise on design, installation and monitoring, as well as providing  
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17 258 waterless urinal hardware.

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19 259 Partners from government included a health regulator and a representative from the local  
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21 260 government authority, the City of Sydney.

22  
23 261 UTS's Facilities Management Unit was also an active participant that committed time and  
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25 262 funds towards the installation of capital works.  
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## 29 30 264 **THE COMBINATION OF SITUATION, PROCESS AND PEOPLE**

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32 265 The interaction of the problematic situation, process and people led to a series of research  
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34 266 questions of interest to each research team member from the perspective of his or her  
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36 267 particular affiliation and role. For example, team members with teaching commitments  
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38 268 integrated student research projects into the program while some other team members  
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40 269 without relevant teaching commitments at the time, supervised student interns from outside  
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42 270 their home institutions. Both activities, helped open up the research space by identifying,  
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44 271 and in some cases, addressing, knowledge gaps.  
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47 273 This is discussed below in relation to stakeholders who were identified through: (a)  
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49 274 brainstorming during several meetings organised for the project; (b) dialogue with  
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51 275 specialists from outside the project team who were invited to attend these meetings; (c)  
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53 276 extended peer networks; (d) and by studying and analysing the instructions provided by the  
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55 277 manufacturers of the toilets.  
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4 279 The key stakeholders in the project included: staff and students of, and visitors to, UTS;  
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6 280 cleaners; building managers; toilet and plumbing manufacturers; engineers; plumbers;  
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8 281 scientists; institutions which engaged in sewage management, such as Sydney Water; the  
9  
10 282 agricultural and horticultural industries; interior designers and architects; bathroom product  
11  
12 283 companies, e.g. manufacturers of odourisers; and the central management of UTS.

13 284 The following description takes each stakeholder and highlights how the research team  
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15 285 anticipated that he/she/it would potentially engage with the pilot, pointing out where it was  
16  
17 286 possible/likely that different stakeholders could have conflicting or aligning interests.  
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19 287

20 288 *Staff, students and visitors as users:* The staff, students and visitors were key stakeholders  
21  
22 289 because they were the people who actually used the urine diverting toilets. Hence, it was  
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24 290 considered necessary to provide sufficient encouragement for them to use the alternative  
25  
26 291 toilets rather than the traditional ones. It was also considered to be important to monitor that  
27  
28 292 use. One key factor, alluded to in the literature, was that men needed to sit to urinate, rather  
29  
30 293 than stand (Lienert and Larsen, 2010), if they were to use the alternative toilet properly. If  
31  
32 294 saving water by not flushing the toilet was also an objective, then women needed to place  
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34 295 their toilet paper in a separate receptacle after urination rather than in the toilet bowl itself.  
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36 296 It was also anticipated that disabled users' and children's experiences needed to be  
37  
38 297 monitored and analysed. The impact of use on parties such as cleaners was also considered  
39  
40 298 necessary to monitor. Further, it was envisaged that interior designers and architects would  
41  
42 299 be concerned to make the space in which the toilets were housed both functional and  
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44 300 aesthetically pleasing. Hence they would also be interested in user-stakeholders'  
45  
46 301 experiences and interests.  
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48 303 *Students as educators and facilitators:* Several student-based projects were planned to  
49  
50 304 support the pilot program. Engineering students at UTS would investigate detailed site  
51  
52 305 assessments and identify technological specifications for the installations. UTS and UWS  
53  
54 306 students working on visual communication methods and contemporary design practices  
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56 307 would create resources aimed at informing other user stakeholders and the community more  
57  
58 308 generally about the aims and objectives of the pilot. Student-based projects were also aimed  
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4 309 at specifically raising consciousness about phosphorous collection and use along with the  
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6 310 importance of urine diversion as an alternative phosphorous source, hopefully assisting to  
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8 311 avert a phosphorous crisis.  
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10 312  
11 313 A student-based internship program supervised through UNSW would involve the  
12  
13 314 examination of relevant legal and regulatory frameworks in order to identify examples of  
14  
15 315 the legal and regulatory barriers to installing and maintaining urine diverting toilets, as well  
16  
17 316 the barriers to transporting and storing waste in the form of urine.  
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19 317  
20 318 The student-based projects were intended to build on the technical capacity and values of  
21  
22 319 the industry partners involved with the research. It was hoped that the research synergy  
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24 320 would make a positive contribution towards the education of tomorrow's industry  
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26 321 professionals and bureaucrats and provide them with direct exposure to involvement with  
27  
28 322 real issues facing industry.  
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31 324 *Cleaning staff:* We anticipated that the effectiveness of both the pilot itself and its wider  
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33 325 application in the community would be linked to the experience of the staff who were  
34  
35 326 responsible for cleaning the urine diverting toilets. Special biodegradable cleaning  
36  
37 327 chemicals were needed, to avoid contamination of urine that might, in turn, prevent the  
38  
39 328 urine's application in agriculture. Because cleaning staff were required to use special  
40  
41 329 cleaning chemicals and employ different cleaning procedures for urine diverting toilets  
42  
43 330 compared with traditional toilets, engagement with this stakeholder group was seen as  
44  
45 331 critically important. This group, if successfully engaged, could provide valuable  
46  
47 332 observations, monitoring and feedback. This point was brought to the attention of the  
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49 333 project team by the Facilities Management Unit at UTS who hired the cleaning contractors.  
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51 334  
52 335 The interests of cleaning staff as stakeholders also involved questions of risk management  
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54 336 and industrial, health and safety issues. For example, it was anticipated that cleaners may  
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56 337 have perceived a greater risk in cleaning an alternative toilet because it was unfamiliar. The  
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58 338 time it took to clean the alternative toilet may also have involved an increased workload,  
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4 339 leading to a range of associated industrial and employment issues which needed to be  
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6 340 canvassed and addressed.  
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10 342 *Building managers:* The manager of the building(s) in which the urine diverting toilets  
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12 343 were installed was another stakeholder. It was anticipated that the building manager may be  
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14 344 very significant in helping monitor and prevent vandalism of the alternative toilets.  
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17 346 To explain, many novel installations are the subject of both use and/or abuse. For  
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19 347 example, curious experimentation or enthusiastic uptake may reflect use, while abuse may  
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21 348 play out in different types of vandalism- such as acquisitive, tactical, ideological, vindictive  
22  
23 349 and malicious (Cohen, 1972), the latter being motivated by ‘the pursuit of illegal fame or  
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25 350 recognition, adrenaline rush, emotional or artistic expression and [the desire to cause]  
26  
27 351 malicious damage’ (NSW Department of Justice and the Attorney General, 2009; Brown *et*  
28  
29 352 *al.*, 2011).  
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31 353

32 354 In order to reduce the risk of the urine-diverting toilets being abused through vandalism,  
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34 355 misuse or graffiti, building managers were co-opted and encouraged to be vigilant in their  
35  
36 356 monitoring of relevant buildings and rooms. It was envisaged that an on-going dialogue  
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38 357 would take place with the building manager. The building manager would also be  
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40 358 important as part of the use-monitoring process and he or she could be relied on to report  
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42 359 breakdowns and problems. His or her on-going engagement was, therefore, seen as crucial.  
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45 361 *Toilet and plumbing manufacturers:* Toilet and plumbing manufacturers (such as research  
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47 362 collaborator Caroma Dorf) were other key stakeholders at the user interface of the  
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49 363 technology that lay at the heart of the pilot. While urine diverting toilets are not currently  
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51 364 manufactured locally, the research can influence whether they will be in the future. Of  
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53 365 particular interest to these stakeholders will be the question of (a) user attitudes and (b)  
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55 366 institutional (e.g. central university management) attitudes, because both will impact on the  
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57 367 viability of manufacturing urine diverting toilets. Put simply, even if urine diverting toilets  
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59 368 prove technically effective in the capture of phosphorous, they are unlikely to be  
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4 369 manufactured if people find them distasteful or difficult to use. The market for them will be  
5  
6 370 too uncertain. This proposition is supported by the theoretical work on competitive strategy  
7  
8 371 by Michael Porter where he considers the interplay of cost leadership (no frills),  
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10 372 differentiation (the creation of uniquely desirable products and services) and focus (the  
11  
12 373 offering of a specialised service in a niche market) emphasising the importance of claiming  
13  
14 374 a premium price in a focused market. (Porter 1985; Porter, 1991).  
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17 376 *Engineers, scientists and plumbers:* It was envisaged that technical experts such as  
18  
19 377 engineers, scientists and plumbers would play a significant stakeholder role because they  
20  
21 378 were responsible for the installation and maintenance of the alternative toilets, piping, and  
22  
23 379 collection and testing tanks. It was also anticipated that Struvite (a phosphorus compound)  
24  
25 380 precipitation would be a significant issue because it was known to lead to blockages of  
26  
27 381 urine pipes (GTZ, 2009) and therefore, both the toilets and the relevant piping would need  
28  
29 382 to be monitored and managed.  
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32 384 *Sydney Water:* Sydney Water – an agent of change in water use and a wastewater service  
33  
34 385 provider – was both a collaborator and a stakeholder in this pilot. It brought with it a wealth  
35  
36 386 of experience in encouraging the uptake of new technologies such as dual flush toilets and  
37  
38 387 reduced water consumption showerheads. It was anticipated that there would be a sharing  
39  
40 388 of uptake-encouragement knowledge, particularly with student educator stakeholders, who  
41  
42 389 were working on visual communication methods and contemporary design practices. As a  
43  
44 390 water service supplier Sydney Water was also interested to understand the water saving  
45  
46 391 potential of urine diverting toilets. If these toilets represented the next wave of sanitation  
47  
48 392 development, it was likely that Sydney Water would be very keen to observe how that  
49  
50 393 impacted on its operation in terms of the implications for its existing sewerage  
51  
52 394 infrastructure, and other regulatory obligations.  
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55 396 A further point of intersection existed between Sydney Water, in its capacity as a  
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57 397 sewerage/wastewater service provider, and other stakeholders, such as UTS's central  
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59 398 management. To explain, if the pilots were successful, organisations and institutions (such  
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4 399 as UTS or the owners of large office/residential blocks, for example) might choose to  
5  
6 400 separate urine onsite and then send it to collection points where it would be treated for its  
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8 401 phosphorous content. Presumably logistics and economics help determine how such  
9  
10 402 decisions are made and whether the owners of the sites where the urine is collected  
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12 403 undertake such processes themselves or employ the expertise of third party companies. In  
13  
14 404 either event, such approaches may largely by-pass the services of Sydney Water, a fact  
15  
16 405 which may, in turn, have fiscal implications for that institution because of erosion to its  
17  
18 406 customer base. A similar issue has been the subject of discussion in the context of recycled  
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20 407 water which has been manufactured from sewage. There a related question has been who, if  
21  
22 408 anyone, owns the sewage in the first place? Or put another way, is there property in  
23  
24 409 sewage? (Gray and Gardner, 2008; Gray, 2008) Such a question appeared to be equally  
25  
26 410 applicable in relation to urine itself.

27  
28 412 *The agricultural and horticultural industries:* These stakeholders were considered  
29  
30 413 imperative to the research as they are the recipients of the diverted urine. It was necessary  
31  
32 414 to identify research institutions willing to investigate the influence and interactions of  
33  
34 415 diverted urine on farming systems. World food and fibre demand, crop production and  
35  
36 416 fertiliser use is driven by global population and economic growth. Global population,  
37  
38 417 projected to surpass 9 billion in 2050, will place considerable pressure on agricultural and  
39  
40 418 horticultural production systems (United Nations, 2009). In order to meet the food and  
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42 419 fibre needs to sustain this growth, farming systems will need to become more efficient in  
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44 420 what they do while enhancing productivity in a sustainable business environment.  
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46 421 Environmental pressures including drought, climate change and variability and natural  
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48 422 resource management will also play a pivotal role in sustaining the growth of these  
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50 423 industries.

51  
52 425 To satisfy food and fibre demands in Australian production systems, on-farm best  
53  
54 426 management practices such as Nursery Industry Accreditation Scheme Australia (NIASA)  
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56 427 Best Management Practice guidelines, EcoHort Environmental Management System for  
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58 428 Production Nurseries or the Enviroveg Program for vegetable growers are desirable. A key  
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4 429 element of these guidelines will be improving nutrient use efficiency rather than relying on  
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6 430 fertiliser inputs. Urine diversion is of significant interest to these industries due to its  
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8 431 characteristics and its potential to minimise fertiliser inputs and improve the fertility of  
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10 432 soils and growing medium used in crop production. These characteristics include the high  
11  
12 433 concentration of readily available nitrogen, phosphorous and potassium. The fertilising  
13  
14 434 effect is also reported to be similar to that of nitrogen-rich inorganic fertiliser (Kirchman  
15  
16 435 and Pettersson, 1995). Several studies have determined the capacity of urine as a fertiliser,  
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18 436 notably to the similar growth response in plants fertilised with urine and manufactured  
19  
20 437 fertilisers (Pradhan *et al.*, 2009; Guadarrama *et al.*, 2002). Owing to the diversity of  
21  
22 438 cropping systems, a number of species and growing conditions were investigated as part of  
23  
24 439 this study at the University of Western Sydney. NGIA was involved in this aspect of the  
25  
26 440 research through the provision of technical as well as academic support.

441

27 442 *Interior designers and architects:* These stakeholders are also crucial to transitioning  
28  
29 443 alternative toilet technologies into the commercial and domestic sectors. They are likely to  
30  
31 444 play a role in technology uptake (through recommendations) and accordingly will be  
32  
33 445 instrumental in the development of new sociologies of the bathrooms, in general. In that  
34  
35 446 regard we have already witnessed a shift from bathrooms as utility spaces devoted to  
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37 447 cleanliness (and which after the 1920s included inside toilets), to spaces of pampering and  
38  
39 448 luxury (Davison, 2008). It is possible that there may be a shift to incorporate a moral and  
40  
41 449 ethical element in the next iteration of the sociology of the bathroom: an element which  
42  
43 450 may involve 'greening the bathroom'.

451

45 452 *Bathroom consumer product companies e.g. manufacturers of odourisers:* The interests of  
46  
47 453 this stakeholder group were considered likely to align with many of the interests of the  
48  
49 454 interior designer and architect stakeholders. It was anticipated that both groups would be  
50  
51 455 concerned with the aesthetics and social aspects of the operation of the urine diverting  
52  
53 456 toilets in the context of the bathroom, the building as a whole and the wider environment.  
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55 457 It was also anticipated that there would be a nexus between the interests of this group and  
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57 458 user stakeholders as well cleaner stakeholders.

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6 460 *The Central Management of UTS*: One aspect of the relationship of this stakeholder to the  
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8 461 pilot was discussed above, in the context of alternative waste (water) service provision.

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10 462 However, the UTS Central Management's interests extend beyond that issue. UTS is  
11  
12 463 implementing a new campus masterplan which is underpinned by sustainability principles.  
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14 464 It was anticipated that the research would create valuable information that could be applied  
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16 465 to other innovations being considered in the masterplan. For example, UTS will be  
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18 466 concerned with issues including service provision, cost effectiveness and uptake rates. It  
19  
20 467 will also be concerned with satisfying any legal obligations that fall to it in terms of health  
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22 468 related compliance issues and approval/consent issues for the operation of a sewage  
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24 469 management facility, including backup service arrangements.

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## 27 471 **CONCLUDING REMARKS**

28 472

29 473 The project launching phase, the focus of this paper, incorporated the investigation phase,  
30  
31 474 the first action research loop. Several student projects, especially in engineering and visual  
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33 475 communications were undertaken. Meetings were held with all the strands to ascertain their  
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35 476 key concerns. After the project kick-off meeting held with key stakeholders took place their  
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37 477 vision for the end of project was captured so as to ascertain what success would mean to  
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39 478 each one of them. This part of the process resulted in very interesting discussions. A  
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41 479 STEEP (Social Technical Environmental Ethical and Political) analysis was carried out. A  
42  
43 480 collaborative platform and repository on a website was set up for the researchers to work  
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45 481 together as they are geographically dispersed. A task analysis of how people use toilets was  
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47 482 undertaken so as to understand issues that could come up before the new toilets were  
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49 483 installed.

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52 485 This project's commitment to a soft systems approach meant that it amounted to an  
53  
54 486 investigation of the feasibility and desirability of urine diversion as a potential way of  
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56 487 improving the sustainability of urban sanitation systems by closing the nutrient loop. While  
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58 488 it may be argued that urine diversion makes good sense from a thermodynamic standpoint,  
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4 489 the experimentation with an actual installation from a whole of system and lifecycle  
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6 490 perspective was thought possibly to reveal trade-offs (for example, costs). This may show  
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8 491 that it was not feasible in practice – or that this socio-technical innovation was not desirable  
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10 492 within the current socio-cultural or institutional context. The team planned that it would  
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12 493 secure additional funds when the tenure of the initial funding expired so allowing it to  
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14 494 move to further phases of the research. However, in case further funding did not eventuate  
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16 495 or in case learning outcomes from the initial project suggested it was necessary, the plan  
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18 496 included setting aside funds for decommissioning of the project.  
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20 497  
21 498 A transdisciplinary approach is often required to address complex problems in relation to  
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23 499 sustainability issues that infringe on social and cultural issues as there is no clear-cut and  
24  
25 500 ready-made acceptable solution. The problem that this project sought to address was socio-  
26  
27 501 culturally complex and, therefore, the effectiveness of approaches and methodologies used  
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29 502 during the project has implications beyond sustainable sanitation. In particular, the  
30  
31 503 effectiveness of systems thinking approaches and action research as a meta-methodology to  
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33 504 find viable solutions was tested during this project. At the initial stage everyone involved in  
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35 505 the project seemed very enthusiastic about their contribution even though some aspects of  
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37 506 this research could be looked upon as engaging with taboo topics. The real test for this  
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39 507 project came in the second phase when people actually started using the urine diverting  
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41 508 toilets and judged whether the toilets offered a feasible and desirable way to adopt a change  
42  
43 509 in their attitudes and practices in order to contribute to a more sustainable world.  
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45 510

46 511 **Postscript:**

47 512

48 513 While this article was being revised for submission, the actual project was successfully  
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50 514 completed. The project ultimately differed somewhat from the one planned serving to  
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52 515 demonstrate the attractiveness of employing a systems thinking approach and action  
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54 516 research methodology. That approach supported adaptation and change along the way in  
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56 517 response to emergent learning. Despite some changes to the project, discussion of which is  
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4 518 beyond the scope of this article, it remains valuable to articulate how systems thinking,  
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6 519 including the SSM concepts, shaped the design of the project.  
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8 520  
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10 521 The project won recognition for its industry collaboration and contribution to transforming  
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12 522 industry perceptions of sustainable sanitation with respect to urine diversion, through a  
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14 523 NSW Government 'Green Globe' award for Excellence in Leadership and Innovation  
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16 524 (environmental innovation category) in Australia (OEH, 2012). Industry transformation  
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18 525 includes a decision by UTS to incorporate pipework for urine diversion in its new Faculty  
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20 526 of Engineering and Information Technology building currently under construction; and on-  
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22 527 going discussions in the development sector about including the pipework at the time of  
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24 528 construction as a means of 'future proofing' commercial buildings for a resource  
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26 529 constrained future.  
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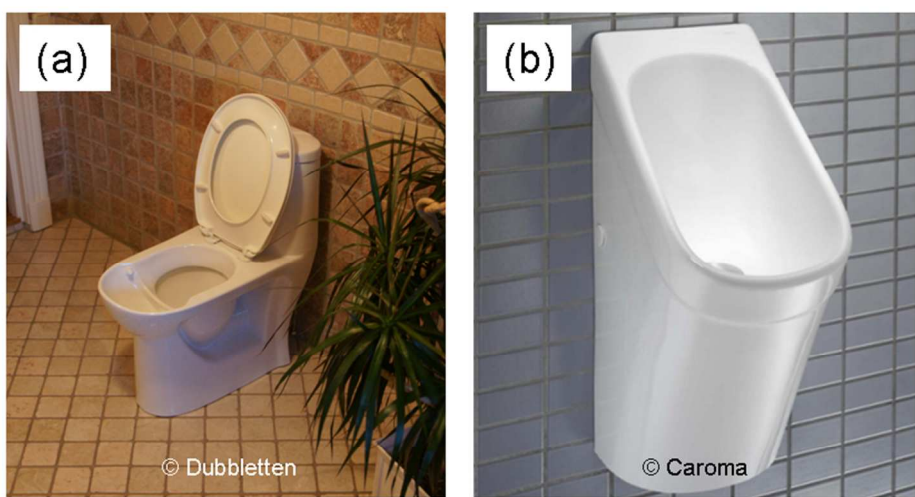
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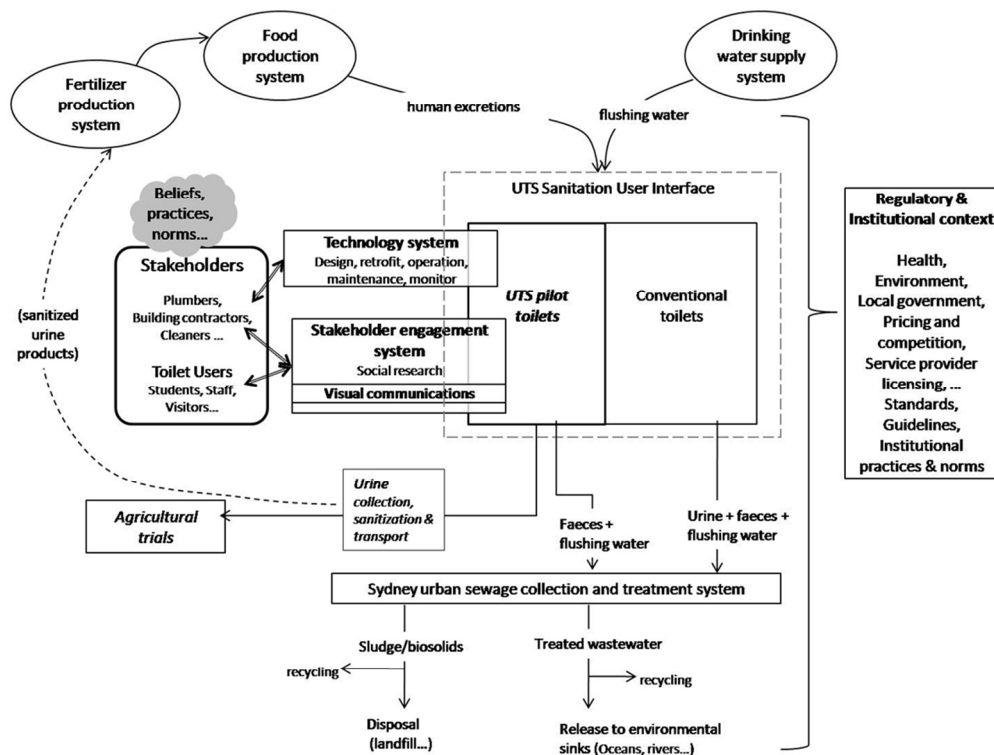
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An example of a urine diverting toilet (a) and waterless urinal (b)  
152x84mm (150 x 150 DPI)



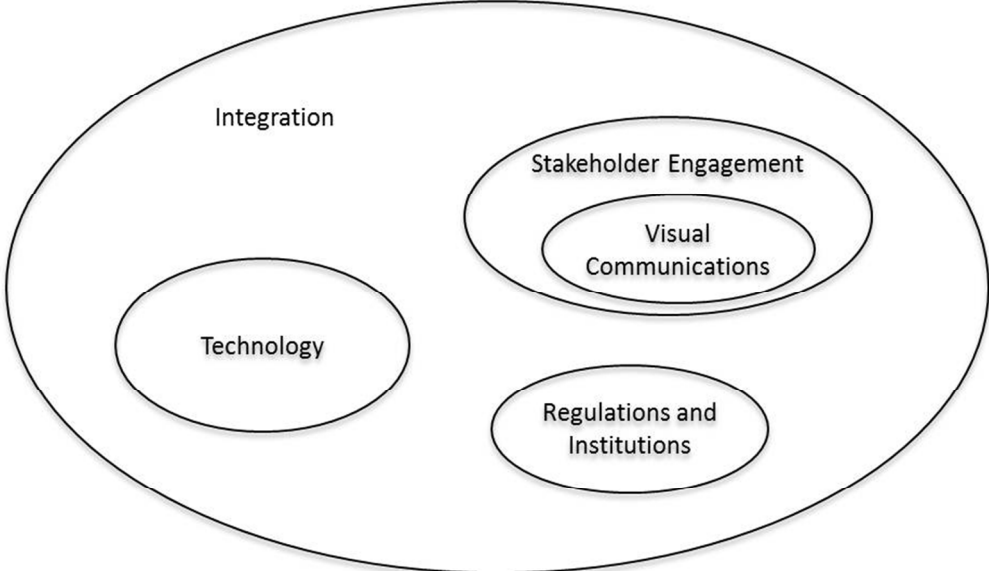


Systems and environment associated with the Pilot  
199x150mm (150 x 150 DPI)

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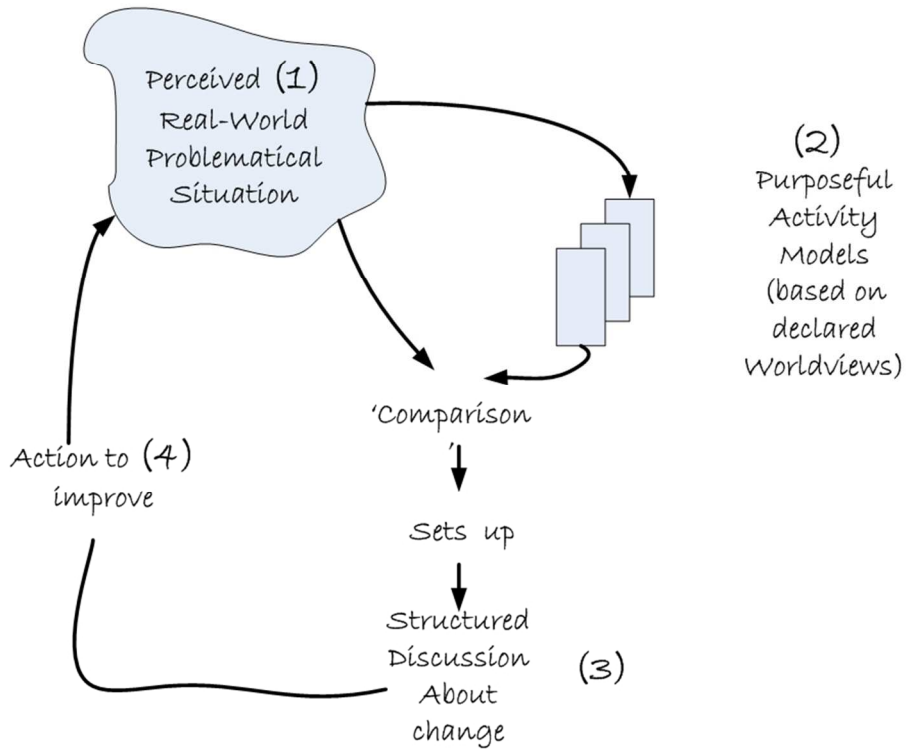
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Hierarchy of research 'subsystems'  
156x92mm (150 x 150 DPI)

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Basic SSM process (Adapted from Checkland and Poulter, 2006)  
165x141mm (150 x 150 DPI)

view