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Modelling bloom formation of the toxic dinoflagellates *Dinophysis acuminata* and *Dinophysis caudata* in a highly modified estuary, south eastern Australia

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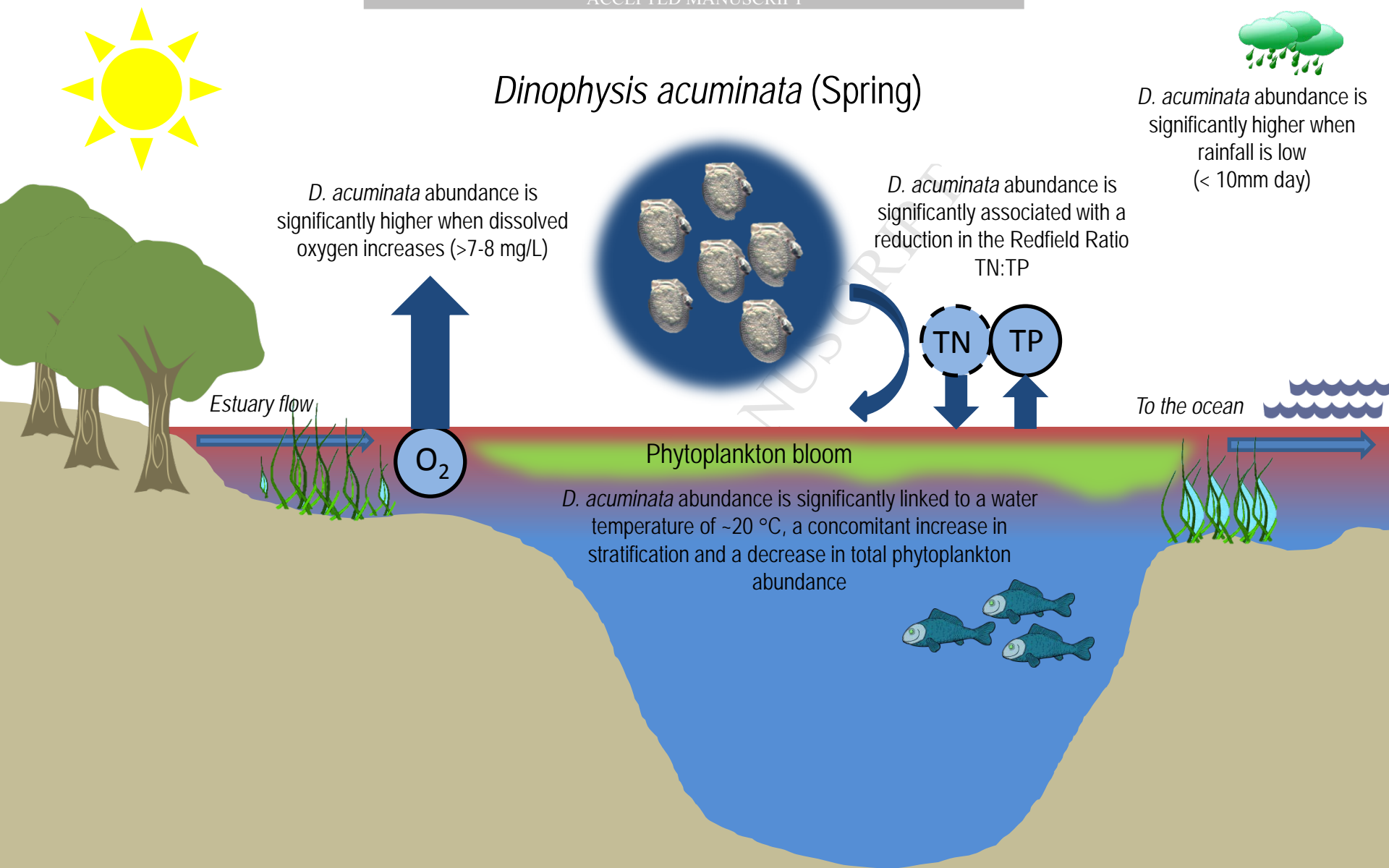
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1 Modelling bloom formation of the toxic dinoflagellates *Dinophysis acuminata* and  
2 *Dinophysis caudata* in a highly modified estuary, south eastern Australia  
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13 proof stage]

#### 14 **Abstract**

15 Dinoflagellates belonging to the toxigenic genus *Dinophysis* are increasing in abundance in  
16 the Hawkesbury River, south-eastern Australia. This study investigates a twelve year time  
17 series of abundance and physico-chemical data to model these blooms. Four species were  
18 reported over the sampling campaign - *Dinophysis acuminata*, *Dinophysis caudata*,  
19 *Dinophysis fortii* and *Dinophysis tripos*- with *D. acuminata* and *D. caudata* being most  
20 abundant. Highest abundance of *D. acuminata* occurred in the austral spring (max.  
21 abundance 4,500 cells l<sup>-1</sup>), whilst highest *D. caudata* occurred in the summer to autumn (max.  
22 12,000 cells l<sup>-1</sup>). Generalised additive models revealed abundance of *D. acuminata* was  
23 significantly linked to season, thermal stratification and nutrients, whilst *D. caudata* was  
24 associated with nutrients, salinity and dissolved oxygen. The models' predictive capability  
25 was up to 60% for *D. acuminata* and 53% for *D. caudata*. Altering sampling strategies during

26 blooms accompanied with *in situ* high resolution monitoring will further improve *Dinophysis*  
27 bloom prediction capability.

28 **Key words:** harmful algal blooms, phytoplankton, toxins, okadaic acid, *Mesodinium rubrum*

29

### 30 **1.1 Introduction**

31 Certain species of phytoplankton can form harmful algal blooms (HABs) that may have both  
32 ecosystem and human health consequences (Hallegraeff et al. 2003, Anderson et al. 2012,  
33 Munday and Reeve 2013). HABs can produce potent phycotoxins that may accumulate in  
34 marine animals (e.g. oysters, fish) and be transferred to higher trophic levels such as  
35 mammals, seabirds and humans. One harmful dinoflagellate of global significance is the  
36 genus *Dinophysis* (Dinophysaceae) Ehrenberg. Being cosmopolitan, this genus has over 100  
37 species represented worldwide, ten of which (*Dinophysis acuminata* Claparède & Lachmann,  
38 *Dinophysis acuta* Ehrenberg, *Dinophysis caudata* Saville-Kent, *Dinophysis fortii* Pavillard,  
39 *Dinophysis infundibulum* J.Schiller, *Dinophysis miles* Cleve, *Dinophysis norvegica* Claparède  
40 & Lachmann, *Dinophysis ovum* Schütt, *Dinophysis saccula* Stein and *Dinophysis tripos*  
41 Gourret) have been unambiguously found to be toxic, producing diarrhetic toxins (okadaic  
42 acid and dinophysistoxins) and pectenotoxins even at low cell densities ( $< 10^3$  cells  $l^{-1}$ )  
43 (Reguera et al. 2012, Reguera et al. 2014, Simoes et al. 2015). Symptoms of vomiting and  
44 diarrhoea in seafood consumers, have been reported from ‘diarrhetic shellfish poisoning’  
45 (DSP) in many parts of the world (Yasumoto et al. 1978, Lembeye et al. 2003, Taylor et al.  
46 2013, Whyte et al. 2014) and for this reason, this genus is the focus of many harmful algal  
47 monitoring programs throughout the world.

48

49 Despite its importance, many aspects of *Dinophysis* (life history, toxicity, genetic diversity,  
50 and population heterogeneity) have remained undiscovered until very recently. This has been

51 due to an inability to successfully maintain cultures of these organisms in the laboratory  
52 (Sampayo et al. 1993, Nishitani et al. 2003). Furthermore, due to their typically low cell  
53 density in the water column, they have often escaped detection using standard quantitative  
54 methods, making them even more elusive (Reguera et al. 2012). In 2006 however, Park et al.  
55 (2006) successfully cultivated *Dinophysis* for the first time. Using a mixotrophic culture  
56 approach, *Dinophysis* was successfully grown in the presence of its prey, the phototrophic  
57 ciliate *Mesodinium rubrum* and chryptophyte *Teleaulax* spp. Since this breakthrough,  
58 worldwide efforts to investigate this genus have increased rapidly, with new insights now  
59 available into their toxicity, nutrition, population dynamics and polymorphic life cycle  
60 (Reguera et al. 2012).

61  
62 *Dinophysis* is widespread in Australian waters, with 36 species reported thus far (Hallegraeff  
63 and Lucas 1988, Ajani et al. 2011, McCarthy 2013). Toxic representatives include *D.*  
64 *acuminata* (Claparède & Lachmann), *D. acuta* (Ehrenberg), *D. caudata* (Saville-Kent), *D.*  
65 *fortii* (Pavillard) and *D. hastata* (Stein). There have been three major DSP events in Australia  
66 to date. In 1997 *D. acuminata* and *D. tripos* were implicated in the contamination of pipis  
67 (*Plebidonax deltooides* Lamarck 1818) in New South Wales (NSW) (Quaine et al. 1997) in  
68 which 102 people were affected, and 56 cases of gastroenteritis reported. In March 1998 a  
69 second outbreak was reported in which 20 cases of DSP poisoning were reported from New  
70 South Wales (Madigan et al. 2006 and references therein). In March 2000, a third outbreak  
71 occurred in Queensland and was again linked to the consumption of pipis (Burgess and Shaw  
72 2001). In this event only one individual was affected. In December 2003, a further *D.*  
73 *acuminata* bloom was detected in the Eyre Peninsula, South Australia (SA) (Madigan et al.  
74 2006). This was the first record of Diarrhetic Shellfish Toxins (DSTs) above the regulatory  
75 limit for SA, however, with careful monitoring and harvest closures, no human illnesses were

76 reported. In 2013, a synthesis of harmful phytoplankton species in oyster growing estuaries of  
77 NSW identified *Dinophysis* as one of three potentially high-risk genera for biotoxin events  
78 (others being *Alexandrium* and *Pseudo-nitzschia*) (Ajani et al. 2013). The study found the  
79 NSW Food Authority's regulatory "Phytoplankton Action Limit" (PAL) which triggers  
80 shellfish flesh sampling (defined as 500 'total *Dinophysis*' cells l<sup>-1</sup>, NSW MBMP 2015) was  
81 exceeded in 136 samples across 31 estuaries over a 5 year period. It was concluded from this  
82 meta-analysis that blooms of *Dinophysis* posed a potential threat to this AUD\$32M (farm  
83 gate value) per annum industry (Trenaman et al. 2015). Since the commencement of routine  
84 biotoxin monitoring from classified NSW shellfish aquaculture areas (predominately  
85 *Saccostrea glomerata* with some *Crassostrea gigas*, *Ostrea angasi* and *Mytilus edulis*) in  
86 2005, there have been 29 positive test results for the presence of DSTs recorded (<1%), with  
87 no positive reports for the Hawkesbury River to date (NSW Food Authority, *unpublished*  
88 *data*). Typically, higher concentrations of toxins associated with *Dinophysis* spp. have been  
89 reported in wild harvest pipis (*Donax deltooides*) from the state (Farrell et al. 2015).

90  
91 Regardless of the recent advances in toxic bloom research however, there remains a  
92 significant gap in our knowledge regarding *Dinophysis* bloom initiation and development  
93 (Reguera et al. 2012, 2014) and there has been no investigation into the dynamics of this  
94 genus in any Australian embayment thus far. Berowra Creek (~33 °S, 151 °E), our study  
95 location, is positioned within one of the southern arms of the Hawkesbury-Nepean River  
96 which is one of the largest coastal rivers systems in south eastern (SE) Australia (Fig. 1). This  
97 highly modified (Ozcoasts 2011) drowned river estuary (Roy et al., 2011) supports  
98 commercial fishing, shellfish aquaculture and tourism (HSC 2015). Since the early 1990s,  
99 Berowra Creek has been a 'hot spot' for algal blooms (Ajani et al. 2001, 2011 & 2013) with  
100 variable residence times and nutrient loading implicated as possible bloom drivers (Coad

101 2012, Larsson et al. 2016). Whilst potentially harmful phytoplankton are often observed in  
102 this estuary (Ajani et al. 2013), it has only been in more recent years that high cell densities  
103 of *Dinophysis acuminata* have been reported as part of an ongoing water quality program.  
104 This program was initiated in 2003 to investigate the effect of two sewage treatment upgrades  
105 in the catchment on phytoplankton blooms. With this in mind, our study draws upon this  
106 unique twelve-year time-series to model the biological, physical and chemical conditions  
107 under which *Dinophysis* blooms occur in Berowra Creek, with the aim of identifying the  
108 potential mechanism(s) for bloom development in south eastern Australia.

109

## 110 2.1 Methods and Materials

### 111 2.1.1 Sampling Sites

112 Water samples from two sites in Berowra Creek were collected at approximately 3-4 week  
113 intervals over the period April 2003 to December 2014. The first site, hereafter known as site  
114 60 (33.5995 °S, 151.1233 °E) had a water depth of approximately 6 m (Figure 1). The second  
115 site (33.5870 °S, 151.1199 °E) hereafter known as site 61, was located approximately 1.5 km  
116 downstream of site 60 and had a water depth of approximately 15 m (Figure 1).

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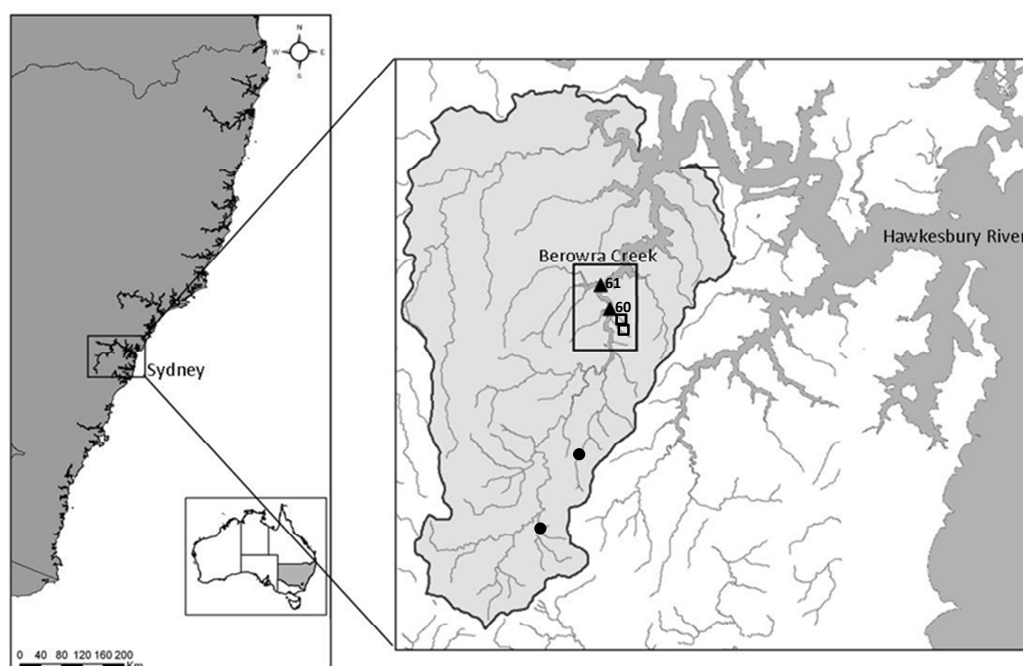
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131 Figure 1. Map of the New South Wales coastline (SE Australia) showing the Sydney region,  
132 and the Hawkesbury River. The two sampling locations within Berowra Creek are shown as  
133 sites 60 and 61 (dark triangles), the two sewage treatment plants (dark circles), and the two  
134 rainfall stations (open squares).

135

### 136 **2.1.2 Species Enumeration**

137 At each of the two sampling sites, water samples (500 ml) were collected from a depth of 0.5  
138 m and immediately preserved with Lugol's iodine solution for later identification and  
139 enumeration of phytoplankton. In the laboratory, samples were concentrated by gravity-  
140 assisted membrane filtration, and phytoplankton cell counts were undertaken in a Sedgewick  
141 Rafter counting chamber. Cell enumeration and detailed examination of cells were carried out  
142 using Zeiss Axiolab or Standard microscopes equipped with phase contrast. Cells were  
143 identified to the closest taxon that could be accurately identified using light microscopy  
144 (maximum magnification  $\times 1,000$ ). Cell counts were undertaken to determine the abundance  
145 of individual *Dinophysis* species, the ciliate prey organism *Mesodinium rubrum* and total  
146 phytoplankton cell ( $> 5 \mu\text{m}$ ) numbers. Due to its small size ( $< 10 \mu\text{m}$ ), the alternate prey of  
147 *Dinophysis*, *Teleaulax* spp., was not enumerated in this study. *Dinophysis* cells were counted  
148 to a minimum detection threshold of  $50 \text{ cells l}^{-1}$  while all other species were counted to a  
149 minimum detection threshold of  $500 \text{ cells l}^{-1}$ .

150

### 151 **2.1.3 Environmental Variables**

152 *In situ* measurements of temperature ( $^{\circ}\text{C}$ ), salinity (psu), turbidity (ntu), dissolved oxygen  
153 ( $\text{mg l}^{-1}$ ) and pH from a depth of 0.5 m were made at the time of phytoplankton sampling  
154 using a YEOKAL<sup>TM</sup> 615 Water Quality Analyser (NSW, Australia). The instrument was



155 calibrated in accordance with manufacturer's specifications at the commencement of each  
156 sampling day, and checked at the end of the day to identify and correct for calibration drift, if  
157 required.

158  
159 At the same time as the phytoplankton and *in situ* environmental data were collected, water  
160 samples were collected using a pole sampler with attached prewashed 200 ml bottle from 0.5-  
161 1 m depth and subsampled for nutrient analyses: oxidised nitrogen (nitrite  $\text{NO}_2^-$  and nitrate  
162  $\text{NO}_3^-$ ), ammonium nitrogen ( $\text{NH}_4^+$ ), total nitrogen (TN) and total phosphorus (TP) ( $\text{mg l}^{-1}$ ).  
163 Two further one litre samples were collected for chlorophyll-a (chl-a,  $\mu\text{g l}^{-1}$ ) and suspended  
164 solids determination ( $\text{mg l}^{-1}$ ). Once collected, all samples were kept cool in the dark and  
165 immediately transported to a NATA (National Association of Testing Authorities)-accredited  
166 laboratory for analyses as per the methods and detection limits as listed in Supplementary  
167 Table 1.

168  
169 To test the effect of rainfall on the abundance of *Dinophysis* at the two sampling sites in  
170 Berowra Creek, rainfall data was obtained from the two closest Bureau of Meteorology  
171 weather stations at Berowra (Goodwyn Road, station no. 67052, 33.64 ° S, 151.14 ° E) and  
172 Mount Kuring-Gai (Ledora Farm, station no. 66119, 33.64 ° S, 151.14 ° E). These stations  
173 were located approximately 6 km upstream from sampling site 61 and 3 km from site 60 (Fig.  
174 1). Rainfall data was averaged across both stations for each day measured (mm/day), and then  
175 averaged over the 7 days prior to the phytoplankton sampling day to incorporate a measure of  
176 exposure to this variable (MHL 1998).

177

178 To assess the effects of nutrient ratios on *Dinophysis* abundance, we also included the  
179 Redfield ratio as a predictor variable (Redfield, 1934). This was calculated as the atomic ratio  
180 between total nitrogen and total phosphorus as per the following equation:

181

$$182 \quad \text{Redfield ratio} = \frac{[\text{Total N}]/14}{[\text{Total P}]/31}$$

183

184 Beginning in 2007 an automated testing probe was deployed at sited 61 (only). This probe  
185 collected temperature (°C) data every 15 mins from the surface (30 mm) and every 100 mm  
186 to the bottom (1530 mm). Despite a reduced temporal coverage, this data provided an  
187 additional opportunity to assess the effects of thermal stratification, defined as the  
188 temperature difference between 0 m and 15 m, on *Dinophysis* blooms at this location.

189

#### 190 ***2.1.4 Data Treatment and Analyses***

191 Given that there were a large number of environmental variables, analysis commenced with  
192 an exploration of relationships between these variables. This was done through scatterplot  
193 matrices and correlation analyses. Information from these analyses was used in the model  
194 building process to ensure that models remained stable.

195

196 To model the relationship between the abundance of *Dinophysis* and the environmental  
197 variables, generalised additive models were used (Hastie and Tibshirani 1990, Wood 2000).

198 There are two primary benefits of using generalised additive models. The first is that this type  
199 of model treats the *Dinophysis* abundance as count data, rather than using a log

200 transformation to make the count continuous, and as such can handle zero counts. The second  
201 is that several of the environmental variables e.g. time of year, had a nonlinear relationship

202 with abundance, so smoother functions were used to incorporate this relationship into the

203 model. These models were fitted in version 3.2.1 of the R statistical package (Team R Core  
204 2013), using the GAM (Generalised Additive Model) function in version 1.8-7 of the ‘mgcv’  
205 package (Wood 2006).

206

207 To incorporate a measure of exposure experienced by the phytoplankton community, some of  
208 the environmental variables were averaged over a period of time and then incorporated into  
209 the model. Where there was a single measurement within these periods, the original value  
210 was used. Where there were multiple measurements within this period, an average of up to 21  
211 days prior to sampling was used for *M. rubrum* concentrations. This interval was based on  
212 observed time-lags (2-21 days) between *M. rubrum* and *Dinophysis* blooms (Reguera et al.  
213 2012, Velo-Suárez et al. 2014) and our maximum sampling interval. In addition, if more than  
214 one sample was taken within a 7 day period, then the average of the past 7 days was used for  
215 temperature, ammonium nitrogen, oxidised nitrogen, total phosphorus and total nitrogen to  
216 incorporate a measure of exposure to these variables by the phytoplankton (Ajani et al. 2001).

217

218 In the first instance, four models were developed, one for each of the two commonly  
219 observed species, *D. acuminata* and *D. caudata*, at each of the two sites, 60 and 61 using the  
220 data collected from April 2003 to December 2014 (not including the probe temperature data).  
221 Visual inspection of the relationships between environmental variables and *Dinophysis*  
222 abundance indicated that month of year, temperature, dissolved oxygen, salinity, pH,  
223 ammonium nitrogen, the Redfield ratio and rainfall may be nonlinear. Spline based smooths  
224 were used to capture these nonlinear relationships, with the fitting algorithm attempting to  
225 minimise the order of the spline. If the fit suggested that a linear relationship was sufficient  
226 for all four models, the spline fit was replaced with a linear fit.

227

228 In the second instance, two additional models were developed, one for each of the two  
229 commonly observed species, *D. acuminata* and *D. caudata* at site 61, using the  
230 environmental variables and additional data collected from the temperature probe (thermal  
231 stratification defined as the difference in temperature between the surface and bottom  
232 temperatures). Visual inspection of the relationships between environmental variables and  
233 *Dinophysis* abundance was again carried out, and where non-linear relationships were  
234 observed, spline based smooths were used to capture these nonlinear relationships as  
235 described above.

236

237 Some of the environmental variables contained missing values for some observations. The  
238 number of missing values for each variable is given in Tables 1 and 2. When modelling, if  
239 one of the variables had a missing value for one of the observations, the entire observation  
240 was not used in model fitting. When comparing different models (using the Akaike  
241 Information Criterion (AIC), Akaike 1973), the number of observations in the models that  
242 were compared remained constant.

243

## 244 **3.1 Results**

### 245 **3.1.1 Species Abundance**

246 Over the twelve year sampling period (2003 - 2014), 142 water samples were collected for  
247 phytoplankton enumeration from site 60, and 193 from site 61 (Table 1). *Dinophysis* species  
248 identified included *D. acuminata*, *D. caudata*, *D. fortii* and *D. tripos* (Figs 2A, B). *D. fortii*  
249 and *D. tripos* were observed on occasion in water samples; however both species were  
250 observed in very low abundances (8% and 0% of total *Dinophysis* abundance at site 61  
251 respectively and 0.2% and 0% at site 60). *D. acuminata* and *D. caudata* on the other hand,  
252 made up 31% and 69% of total *Dinophysis* abundance at site 61 respectively, and 19% and

253 73% at site 60. Due to their low cell numbers *D. tripos* and *D. forti* were not included in any  
254 further statistical modelling.

255

256 *D. acuminata* concentrations were greatest at site 61 ( $123.63 \pm 26.88$  (mean  $\pm$  standard error)  
257 cells  $l^{-1}$  max. 4,500 cells  $l^{-1}$ , Table 1, Figs. 2A, B) when compared with site 60 ( $52.32 \pm 10.71$   
258 cells  $l^{-1}$ , max. 1,000 cells  $l^{-1}$  Table 1, Figs. 2A, B). Similarly, *D. caudata* concentrations were  
259 greatest at site 61 ( $486.09 \pm 126.49$  cells  $l^{-1}$ , max. 12,000 cells  $l^{-1}$  Table 1, Figure 2A-B) when  
260 compared with site 60 ( $116.34 \pm 35.42$  cells  $l^{-1}$ , max. 3,500 cells  $l^{-1}$  (Table 1, Figs. 2A, B).

261

262 Cell concentrations of *D. acuminata* and *D. caudata* varied across years, with *D. acuminata*  
263 revealing highest cell densities in more recent years (Figs. 2A, B). When examined for  
264 monthly or seasonal variation, the temporal distribution of *D. acuminata* was similar across  
265 each site. This was also the case for *D. caudata*. Both species, however, showed a varying  
266 temporal pattern within each site, with *D. caudata* elevated between weeks 0-20 and *D.*  
267 *acuminata* between weeks 30-50, and both showing similar cell concentrations between  
268 weeks 20-30 and 52 (Figs. 2C, D).

269 Table 1. Summary statistics for all cell concentrations and environmental variables used in the generalised linear modelling for site 60 and 61,  
 270 Berowra Creek, over the period 2003 to 2014.

	Site 60							Site 61						
	min	max	median	mean	SE.mean	nbr.val*	nbr.na#	min	max	median	mean	SE.mean	nbr.val*	nbr.na#
<i>D. acuminata</i> (cells l <sup>-1</sup> )	0.00E+00	1.00E+03	0.00E+00	5.23E+01	1.07E+01	142	0	0.00E+00	4.50E+03	0.00E+00	1.24E+02	2.69E+01	193	0
<i>D. caudata</i> (cells l <sup>-1</sup> )	0.00E+00	3.50E+03	0.00E+00	1.16E+02	3.54E+01	142	0	0.00E+00	1.20E+04	0.00E+00	4.86E+02	1.26E+02	193	0
<i>M. rubrum</i> (cells l <sup>-1</sup> )	0.00E+00	1.60E+05	5.00E-01	8.73E+03	1.83E+03	142	0	0.00E+00	8.00E+05	5.00E-01	1.66E+04	4.77E+03	193	0
Total Phytoplankton (cells l <sup>-1</sup> )	1.69E+05	4.31E+07	1.86E+06	3.96E+06	5.08E+05	142	0	2.45E+04	1.51E+09	2.33E+06	1.26E+07	7.79E+06	193	0
Log Total Phytoplankton (cells l <sup>-1</sup> )	5.23	7.63	6.27	6.31	0.04	142	0	4.39	9.18	6.37	6.38	0.04	193	0
Temperature (°C)	11.73	28.59	20.58	20.34	0.40	140	2	11.80	28.75	21.04	20.57	0.36	174	19
Turbidity (ntu)	0.00	21.90	1.29	2.13	0.27	140	2	0.00	24.00	1.30	2.25	0.25	174	19
Dissolved Oxygen (mg l <sup>-1</sup> )	3.65	10.06	6.80	6.83	0.12	139	3	4.02	13.00	7.48	7.64	0.10	173	20
pH	6.60	7.97	7.49	7.44	0.02	140	2	6.75	8.28	7.67	7.62	0.02	174	19
Salinity (psu)	2.99	33.86	23.28	22.05	0.54	139	3	2.62	34.02	23.29	22.39	0.47	173	20
Suspended Solids (mg l <sup>-1</sup> )	0.50	84.00	5.00	6.43	0.73	140	2	0.05	86.00	4.00	7.00	0.72	156	37
Chlorophyll-a (µg l <sup>-1</sup> )	0.40	85.50	4.30	7.10	0.80	139	3	0.50	87.50	7.00	10.32	0.95	154	39
Average Rainfall (mm day <sup>-1</sup> )	0.00	45.46	2.43	5.04	0.65	135	7	0.00	45.46	1.92	4.72	0.55	180	13
Average <i>M. rubrum</i> (cells l <sup>-1</sup> )	0.00E+00	1.60E+05	5.00E-01	8.82E+03	1.82E+03	142	0	0.00E+00	4.25E+05	2.50E+03	1.47E+04	3.24E+03	193	0
Average Temperature (°C)	11.73	28.59	20.58	20.33	0.40	140	2	11.80	28.52	20.96	20.49	0.36	170	23
Average Ammonium Nitrogen (mg l <sup>-1</sup> )	0.00	0.19	0.02	0.03	0.00	113	29	0.00	0.14	0.01	0.02	0.00	128	65
Average Total Nitrogen (mg l <sup>-1</sup> )	0.15	1.52	0.38	0.42	0.02	113	29	0.14	1.21	0.37	0.40	0.02	128	65
Average Oxidised Nitrogen (mg l <sup>-1</sup> )	0.00	0.61	0.06	0.10	0.01	139	3	0.00	0.09	0.03	0.03	0.00	155	38
Average Total Phosphorus (mg l <sup>-1</sup> )	0.00	0.12	0.02	0.03	0.00	139	3	0.00	0.55	0.03	0.07	0.01	155	38
Redfield Ratio (Total N/Total P)	8.71	153.10	33.95	38.06	1.95	114	28	14.13	138.55	31.74	35.57	1.54	131	62

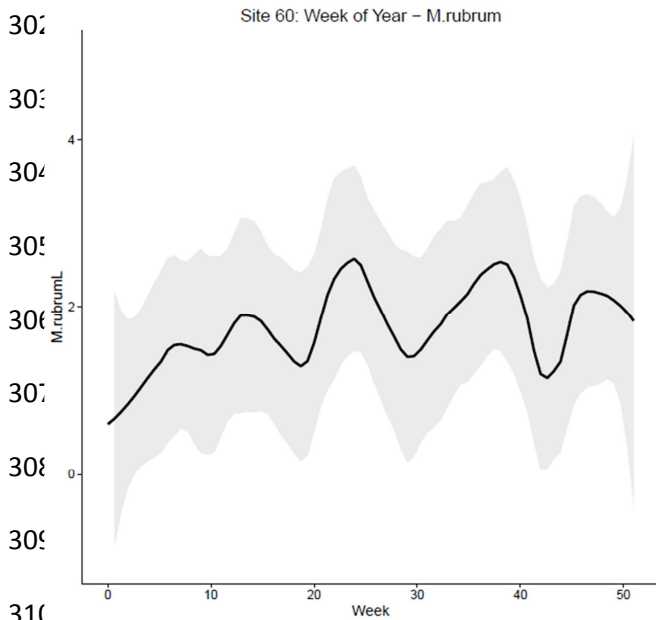
271 \*nbr.val = total number of samples/dates analysed; #nbr.na = number of samples/dates missing for this variable

272

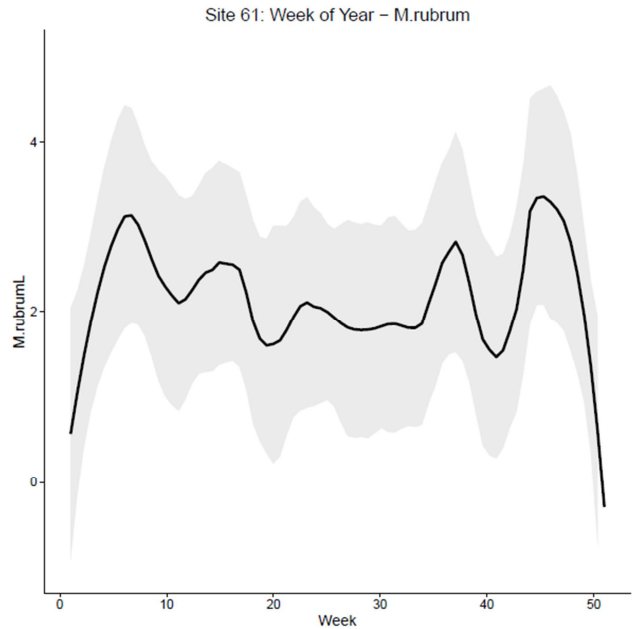


299 represents the standard error around each of the logged mean abundance values. Note:  
 300 approx. weeks 36-48 correspond to the austral spring.

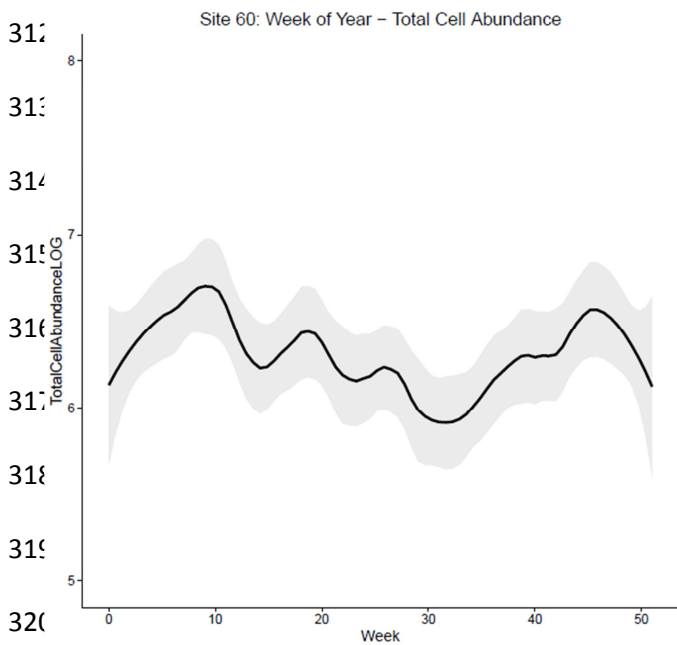
301 A.



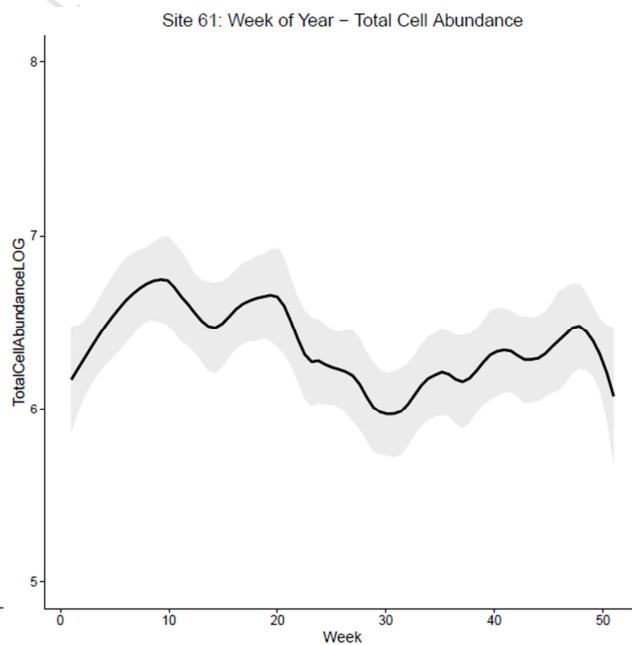
B.



311 C.



D.



321 Figure 3A-D. Weekly variation in *Mesodinium rubrum* mean abundance at sites 60 (A) and  
 322 61 (B) and Total phytoplankton abundance at site 60 (C) and 61 (D) across the sampling



323 period 2003-2014 at Berowra Creek. Shaded area in each figure represents the standard error  
324 around each of the logged mean cell concentrations.

325

326 The ciliate prey *Mesodinium rubrum* was present across all weeks (Figs. 3A, D), with  
327 minimum abundance observed in winter/spring (0 cells  $l^{-1}$ ) and maximum abundance in the  
328 late summer and early autumn observed at both sites (800,000 cells  $l^{-1}$ , Table 1). Maximum  
329 abundance was 4 times greater at site 61 compared to site 60 (800,000 cells  $l^{-1}$ , 160,000 cells  
330  $l^{-1}$  respectively) (Table 1). The weekly total phytoplankton abundance showed a similar  
331 pattern across both sites, with some fluctuations between week 10-30 at site 60 (Figs. 3C, D).  
332 The highest concentrations of total phytoplankton cell densities peaked at 1,500,000,000 cells  
333  $l^{-1}$  (10 April 2013) at site 61 (Table 1). On this sampling occasion, *D. caudata* reached 12,  
334 000 cells  $l^{-1}$  and *D. acuminata* 400 cells  $l^{-1}$  (Table 1).

335

### 336 **3.1.2 Environmental Variables**

337 Over the twelve year sampling period (2003 - 2014) average temperature, turbidity, pH,  
338 salinity, suspended solids, ammonium, total nitrogen and total phosphorus were comparable  
339 between sites, dissolved oxygen and chlorophyll-a were notably lower at site 60 compared to  
340 site 61 (6.83 and 7.64 mg  $l^{-1}$  for dissolved oxygen, and 7.10 and 10.32  $\mu g l^{-1}$  for chlorophyll-  
341 a, respectively), whilst averaged oxidised nitrogen was higher at site 60 compared to site 61  
342 (0.10 and 0.03 mg  $l^{-1}$ , respectively) (Table 1).

343

344 The inclusion of thermal stratification at site 61 from 2007 to 2014 reduced the number of  
345 observations to 123. The highest degree of stratification reported at this site was 5.56 °C with  
346 an average of 0.11 °C difference recorded (Table 2). Whilst the summary statistics of this

347 reduced data set is presented in Table 2, it is considered a subset of the total dataset, and is  
 348 therefore not discussed in any further detail here.

349

350 Table 2. Summary statistics for all cell concentrations and environmental variables used in  
 351 the generalised linear modelling for site 61, Berowra Creek, over the sampling period 2007 to  
 352 2014.

Site 61							
	min	max	median	mean	SE.mean	nbr.val*	nbr.na#
<i>D. acuminata</i> (cells l <sup>-1</sup> )	0.00E+00	4.50E+03	0.00E+00	1.47E+02	4.08E+01	123	0
<i>D. caudata</i> (cells l <sup>-1</sup> )	0.00E+00	1.20E+04	0.00E+00	3.53E+02	1.40E+02	123	0
<i>M. rubrum</i> (cells l <sup>-1</sup> )	0.00E+00	8.00E+05	1.00E+03	2.13E+04	7.20E+03	123	0
Total Phytoplankton (cells l <sup>-1</sup> )	1.27E+05	1.51E+09	2.37E+06	1.61E+07	1.22E+07	123	0
Log Total Phytoplankton (cells l <sup>-1</sup> )	5.10	9.18	6.38	6.38	0.05	123	0
Temperature (°C)	11.97	28.22	20.98	20.62	0.43	118	5
Turbidity (ntu)	0.00	24.00	1.40	2.55	0.33	118	5
Dissolved Oxygen (mg l <sup>-1</sup> )	4.02	13.00	7.44	7.55	0.13	117	6
pH	6.75	8.28	7.63	7.58	0.03	118	5
Salinity (psu)	2.62	29.57	21.58	20.70	0.58	117	6
Suspended Solids (mg l <sup>-1</sup> )	0.50	27.00	3.50	5.09	0.50	98	25
Chlorophyll-a (µg l <sup>-1</sup> )	0.50	87.50	8.65	10.96	1.29	98	25
Average Rainfall (mm day <sup>-1</sup> )	0.00	45.46	1.42	4.93	0.79	110	13
Average <i>M. rubrum</i> (cells l <sup>-1</sup> )	0.00E+00	4.25E+05	3.33E+03	1.88E+04	4.70E+03	123	0
Average Temperature (°C)	11.97	27.54	20.99	20.64	0.43	117	6
Average Ammonium Nitrogen (mg l <sup>-1</sup> )	0.00	0.14	0.01	0.02	0.00	98	25
Average Total Nitrogen (mg l <sup>-1</sup> )	0.17	1.21	0.37	0.40	0.02	98	25
Average Oxidised Nitrogen (mg l <sup>-1</sup> )	0.01	0.09	0.03	0.03	0.00	98	25
Average Total Phosphorus (mg l <sup>-1</sup> )	0.00	0.55	0.03	0.08	0.01	98	25
Redfield Ratio (Total N/Total P)	14.13	138.55	33.21	36.80	1.88	101	22
Degree of Stratification	-3.29	5.56	-0.03	0.11	0.13	121	2

353 \*nbr.val = total number of samples/dates analysed; #nbr.na = number of samples/dates

354 missing for this variable

355

356 Correlation coefficients were computed among every pair of environmental variables and  
 357 suggested moderate to strong relationships ( $r > 0.5$  or  $r < -0.5$ ) between the average oxidised  
 358 nitrogen and average ammonium nitrogen at both sites 60 and 61 ( $p < 0.0001$  in both cases),  
 359 as well as a significant relationship between rainfall and the average ammonium nitrogen at  
 360 both site 60 and site 61 ( $r = 0.59$  and  $0.62$ , respectively,  $p < 0.0001$  in both cases)  
 361 (Supplementary Table 2). Salinity and the pH level were significantly correlated at site 60 ( $r$

362 = 0.53,  $p < 0.0001$ ) Inverse relationships ( $r < -0.6$ ) were observed between salinity and  
363 rainfall for both sites (site 60  $r = -0.53$ , 61  $r = -0.52$ ,  $p < 0.0001$  in both cases), salinity with  
364 average oxidised nitrogen at both sites (site 60  $r = -0.65$ , site 61  $r = -0.69$ ,  $p < 0.0001$  in both  
365 cases), and pH and average oxidised nitrogen at site 61 ( $r = -0.54$ ,  $p < 0.0001$ ).

366  
367 Correlations coefficients were recalculated to include thermal stratification (Supplementary  
368 Table 3). No significant correlations were observed between degree of stratification and any  
369 other variable measured.

370  
371 All correlations described were then taken into account when fitting the models. In particular,  
372 where both of the correlated variables were included in the model, both variables were  
373 removed to see the impact on the overall model.

374  
375 Throughout the model selection process, several variables were removed as they were not  
376 significant in any of the models. These variables included the average *M. rubrum* abundance,  
377 turbidity, suspended solids and average water temperature. After seven iterations of model  
378 reduction (determined by the continued lowering of the AIC) the reduced model indicated  
379 that total phytoplankton abundance (*D. acuminata*  $p = 0.004$ , *D. caudata*  $p = 0.021$ ), average  
380 rainfall ( $p = 0.012$ ,  $p = 0.04$  respectively) and the Redfield ratio ( $p = 0.001$ ,  $p = 0.029$ ) were  
381 related to the abundance of both species of *Dinophysis* at Site 61, but neither species at Site  
382 60 (Supplementary Table 4). The concentration of chlorophyll-a (Site 60  $p < 0.0001$ , Site 61  
383  $p = 0.002$ ), level of salinity ( $p = 0.030$ ,  $p < 0.001$ , respectively) and dissolved oxygen ( $p <$   
384  $0.001$ ,  $p = 0.000$ , respectively) were significantly associated with the abundance of *D.*  
385 *caudata* at both sites, but dissolved oxygen was only related to *D. acuminata* abundance at  
386 site 61 ( $p = 0.002$ ). The time of year also had a significant effect on the abundance of *D.*

387 *caudata* at Site 61 ( $p < 0.001$ ). At Site 60, the concentration of oxidised nitrogen was related  
388 to *D. caudata* abundance ( $p = 0.044$ ) (Supplementary Table 3).

389

390 To summarise the modelling results (without thermal stratification):

- 391 1. An increase in *D. acuminata* abundance at site 60 was marginally linked ( $p < 0.01$ ) to  
392 time of year (highest abundance in spring), high total phytoplankton and a decrease in  
393 the Redfield ratio (number of observations = 104) (Supplementary Figure 1A-C).
- 394 2. An increase in *D. caudata* abundance at site 60 was significantly associated with an  
395 increase in oxidised nitrogen, an increase in chlorophyll-a, a reduction in dissolved  
396 oxygen ( $> 7 \text{ mg l}^{-1}$ ) and an increase in salinity ( $> 18 \text{ ppt}$ ) (number of observations =  
397 104) (Supplementary Figure 2A-D).
- 398 3. An increase in *D. acuminata* at site 61 was related to a decrease in total phytoplankton  
399 abundance, a decrease in Redfield Ratio, an increase in dissolved oxygen ( $> 7\text{-}8 \text{ mg l}^{-1}$ )  
400  $^{-1}$ ), a decrease in rainfall ( $< 10 \text{ mm day}$ ) (number of observations = 107) (Figure 4A,  
401 Supplementary Figure 3A-D).
- 402 4. An increase in *D. caudata* abundance at site 61 was significantly associated with the  
403 time of year (summer to autumn), a decrease in phytoplankton abundance, a lower  
404 Redfield Ratio, an increase in chlorophyll-a, a reduction in dissolved oxygen ( $\sim 6\text{-}7$   
405  $\text{mg l}^{-1}$ ), a salinity of  $\sim 20 \text{ ppt}$  and an increase in rainfall ( $\sim 20 \text{ mm day}^{-1}$ ) (number of  
406 observations = 107) (Figure 4B, Supplementary Figure 4A-G).

407

408 At both sites, the model for *D. caudata* abundance was substantially more predictive than the  
409 model for *D. acuminata*. The models for *D. caudata* explained approximately 50% of  
410 deviance at both sites, whilst the models for *D. acuminata* explained approximately 15-20%  
411 of deviance at both sites.

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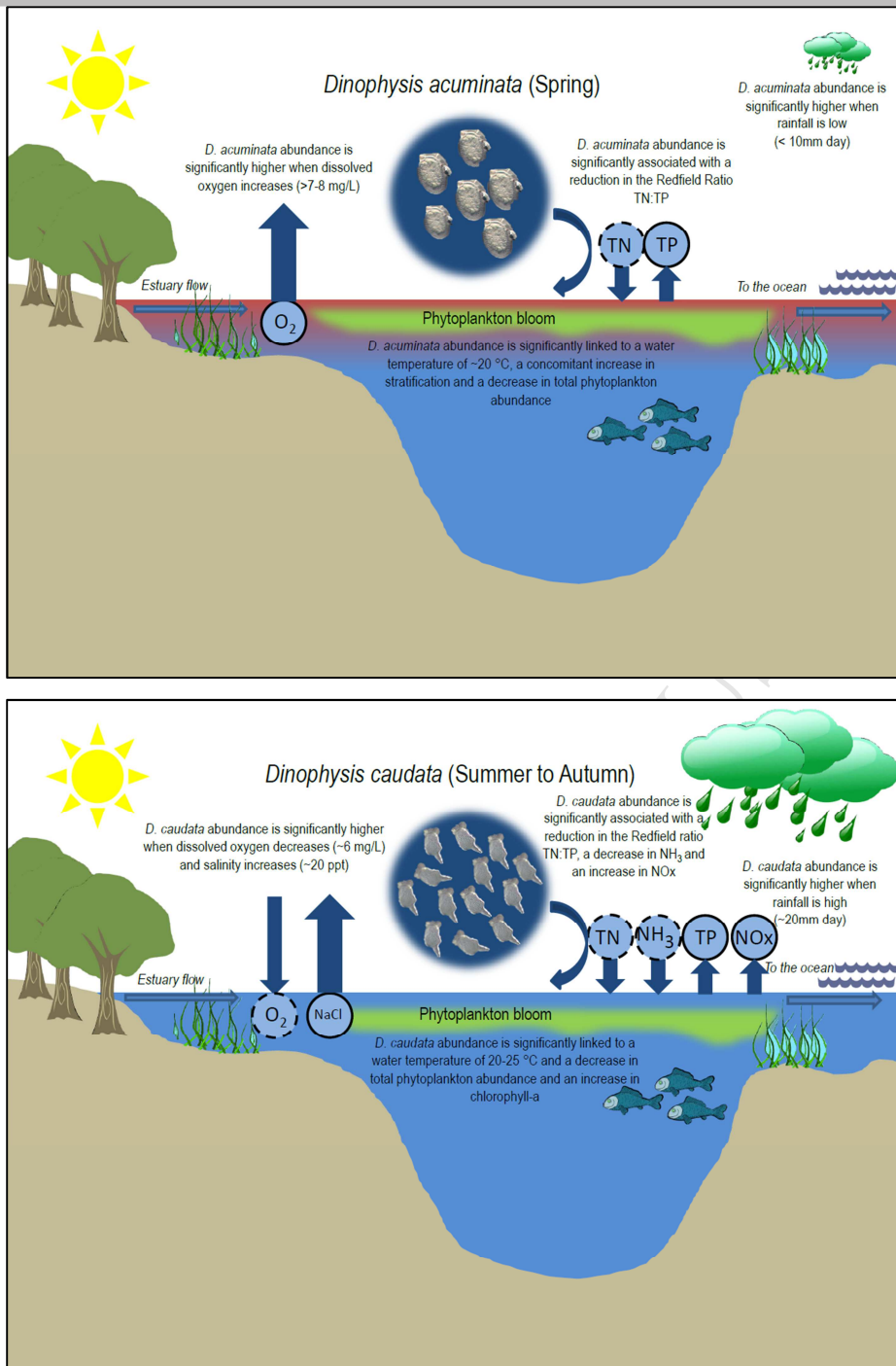


Figure 4A-B. Schematic diagram showing the modelled (with, shown in red, and without thermal stratification) mechanisms of *Dinophysis acuminata* (A) and *D. caudata* (B) bloom development at site 61 in Berowra Creek. Dotted lines (solid) around the environmental variables indicate decreasing (increasing) concentrations have a significant effect on *Dinophysis* cell concentrations. Seasons after species names indicate peak bloom periods.

### 438 3.1.3 Modelling *Dinophysis* Blooms with Thermal Stratification

439 After eight iterations of model reduction the reduced model indicated that the time of year (*D.*  
440 *acuminata*  $p=0.0018$ , *D. caudata*  $p = 0.0003$ ), temperature ( $p = 0.000$ ,  $p = 0.0002$   
441 respectively) and the Redfield ratio ( $p = 0.001$ ,  $p = 0.0079$ ) were related to the abundance of  
442 both species of *Dinophysis* at Site 61 (Supplementary Table 5). Additionally, the  
443 concentration of ammonium nitrogen and oxidised nitrogen were related to *D. caudata*  
444 abundance ( $p = 0.0.0193$  and  $0.0014$ ) as well as salinity ( $p = 0.0001$ ). Finally, *Dinophysis*  
445 *acuminata* abundance was significantly associated with degree of stratification at site 61 ( $p =$   
446  $0.0009$ ).

447

448 To summarise the modelling results (with thermal stratification):

- 449 5. An increase in *D. acuminata* at site 61 was significantly related to the time of year  
450 (highest abundance in spring), a temperature of  $\sim 20$  °C, a decrease in the Redfield  
451 ratio, and an increase in degree of stratification (number of observations = 82) (Figure  
452 4A, Supplementary Figure 5A-D).
- 453 6. An increase in *D. caudata* abundance at site 61 was significantly associated with the  
454 time of year (summer to autumn), a temperature of between 20 to 25 °C, a salinity of  
455  $\sim 20$  ppt and a lowering of the Redfield ratio. It was also associated with a decrease in  
456 ammonium nitrogen and an increase in oxidised nitrogen (number of observations =  
457 82) (Figure 4B, Supplementary Figure 6A-F).

458

459 When thermal stratification was included in the analyses at site 61, the model substantially  
460 increased in its predictive capability for *D. acuminata* (19.4 % to 59.7%), whilst for *D.*  
461 *caudata* only a slight increase in model fit was observed (49.4% to 53.5%) (Supplementary  
462 Table 5).

## 463 4.1 Discussion

### 464 4.1.1 Temporal Variation in *Dinophysis*

465 Using a twelve-year time-series this study found that up to sixty per cent of the variability in  
466 *Dinophysis* cell concentrations in Berowra Creek could be predicted using the physico-  
467 chemical parameters measured. From a seasonal perspective, *Dinophysis caudata* and *D.*  
468 *acuminata* in Berowra Creek were intermittently high during the warmer austral spring to  
469 autumn periods. In a meta-analysis to assess the risk of harmful algal blooms in the oyster-  
470 growing estuaries of New South Wales, Ajani et al. (2013) identified a similar temporal  
471 pattern in the mean abundance of total *Dinophysis* spp., with a winter minimum observed  
472 across six other important oyster producing estuaries in NSW from 2005 to 2009. The data in  
473 the current study, however, also suggests distinct seasonal niches for *D. acuminata* and *D.*  
474 *caudata*, with mean abundance of *D. acuminata* highest in the austral spring and *D. caudata*  
475 highest in summer to autumn. Other studies have similarly reported disparate temporal  
476 windows for different *Dinophysis* species. Hallfors et al. (2011) observed *D. acuminata* to  
477 peak in the Baltic Sea during or after periods of high phytoplankton biomass in early and late  
478 summer (August), while *D. norvegica* was observed to be abundant during a shorter period,  
479 peaking one month after the first *D. acuminata* maximum. Additional studies around the  
480 globe revealed vastly different seasonal periods for *Dinophysis* bloom development,  
481 suggesting that temperature itself is not the most important factor driving bloom initiation  
482 and formation. In Greek coastal waters peak densities of *D. acuminata* have been shown to  
483 occur at low water temperatures, 10.5 to 14.8 °C (Koukaras & Nikolaidis 2004) whilst in the  
484 north western coast of the Netherlands, blooms of *D. acuminata* occurred in water  
485 temperatures in excess of 19°C (Peperzak et al. 1996).

486

487 In addition to temperature, hydrological forcing can induce the growth of a population and/or  
488 the transport the bloom into a particular area. For example, wind-induced advection (Raine et  
489 al. 2010, Batifoulier et al. 2013, Whyte et al. 2014), eddies and other retentive oceanic  
490 structures (Xie et al. 2007, Farrell et al. 2014), current/wind-induced upwelling (Diaz et al.,  
491 2013) or upwelling relaxation (Reguera et al. 1995, Velo-Suárez et al. 2008 & 2014), or a  
492 combination of these features, can drive *Dinophysis* events. While the majority of these  
493 features have been investigated in coastal oceans, Berowra Creek is located 24 km from the  
494 ocean and unlikely to be influenced by coastal transport processes. Our data supports that  
495 blooms of *Dinophysis* within Berowra are derived from an indigenous population which  
496 responds to localised changes.

497

#### 498 **4.1.2 Thermal Stratification**

499 Thermal stratification, however, was revealed as a highly significant hydrological forcing  
500 variable in *Dinophysis* blooms at site 61 (deeper site) in this study, an association that has  
501 been reported in many other studies. Koukaras & Nikolaidis (2004) observed that *D. cf.*  
502 *acuminata* blooms occurred at the same time as water column stratification, with population  
503 maxima in or just above the pycnocline. Likewise, highest abundances of *D. acuminata*, *D.*  
504 *caudata* and *D. tripos* in Guaratuba Bay, Brazil, were associated with the upper halocline  
505 layer in areas of the bay where water column stratification was more frequent (Junqueira de  
506 Azevedo Tibirica et al. 2015). In the same way, Velo-Suárez and Gutiérrez-Estrada (2007)  
507 reported the combined effects of wind relaxation, water column stratification and high  
508 densities of the ciliate prey *M. rubrum* (see below) on *D. acuminata* cell densities in an  
509 upwelling system embayment in north western Spain.

510



511 Stratification can occur in Berowra Creek in the warmer austral months when the temperature  
512 difference (and density difference) between the upper water column and bottom waters is  
513 greatest. This can be seen most noticeably at the deeper of the two sites (site 61) which also  
514 has a greater water residence time (MHL 1998). The temperature and density gradient  
515 interface set up during these times may allow for the accumulation of detrital material which  
516 may provide nutrition for *Dinophysis* spp. Although our study did not characterise the  
517 distribution of *Dinophysis* spp. throughout the water column at the time of this  
518 stratification/interphase set up, it is hypothesised that incorporating sampling at depth  
519 accompanied with *in situ* high resolution monitoring more recently employed in this system,  
520 would assist to support the hypothesis of stratification as the predominant precursor to  
521 blooms at this site.

522

#### 523 **4.1.3 Nutrients**

524 Based on the model outputs at both sampling sites we also observed a significant correlation  
525 between N:P ratios (decreasing) and greater *Dinophysis* spp. abundance. It is widely accepted  
526 that the Redfield stoichiometry (which is based on the elemental composition of marine  
527 organic matter across a range of oceans) can provide a crude value as to which nutrients are  
528 limiting in a localized system (Redfield 1958). Although applicable in a broad sense to  
529 Berowra Creek, there is great plasticity in biogeochemical dynamics and species and/or site  
530 specific abilities to sequester and utilise nutrients, therefore allowing the proliferation of one  
531 species over others under different nutrient regimes (Glibert et al. 2011, Davidson et al.  
532 2014). Although there was no clear pattern as to whether a decreasing N or increasing P was  
533 driving the nutrient shift in Berowra Creek (N and P were highly correlated and therefore  
534 difficult to partition, Supplementary Figures 1-4), elevated concentrations of *D. caudata* were  
535 significantly linked to elevated oxidised nitrogen (nitrite NO<sub>2</sub><sup>-</sup> and nitrate NO<sub>3</sub><sup>-</sup>, both which

536 are biologically available) at site 60, and elevated P was observed at times of very high *D.*  
537 *caudata* abundance at site 61 suggesting that this species has both N and P requirements.  
538  
539 Dissolved inorganic nitrogen and phosphorus are the preferred (and most bioavailable) forms  
540 of these nutrients for phytoplankton assimilation (Gabric and Bell 1993). A measure,  
541 therefore, of ammonia, organic nitrogenous substances (e.g. urea) and dissolved inorganic  
542 phosphorus would have allowed a more robust examination of nutrient availability by  
543 *Dinophysis* spp. in Berowra Creek. Whilst other studies suggest *Dinophysis* growth can be  
544 promoted by excessive nutrient loading, either directly (stimulating *Dinophysis* growth) or  
545 indirectly (stimulating the growth of their prey), both field and laboratory studies have shown  
546 that *Dinophysis* growth can be enhanced by both inorganic and organic nitrogen and  
547 phosphorus (Singh et al. 2014, Hattenrath-Lehmann et al. 2015) and that nutrient loading  
548 promotes the toxicity of *D. acuminata* populations (Hattenrath-Lehmann and Gobler 2015).  
549 On the other hand, when *D. acuminata* was provided with dissolved nitrate and phosphate in  
550 the presence of *M. rubrum*, these nutrient pools only contributed to the growth and biomass  
551 of *M. rubrum*, and therefore only indirectly influenced *D. acuminata* growth (Tong et al.  
552 2015). As mentioned above, the importance of nutrient stoichiometry i.e. varying nutrient  
553 ratios on ecosystem productivity have been revealed as significant drivers of biogeochemical  
554 dynamics and changing food webs in the San Francisco estuary now favouring the growth of  
555 harmful algal species such as the dinoflagellate *Prorocentrum minimum* (Glibert et al. 2011).  
556 Laboratory culture studies also reveal that *P. minimum* reaches maximal growth in inorganic  
557 nutrient ratios just below Redfield proportions, yet in the field they bloom when nutrients are  
558 at high N:P ratios. These results suggested that while a high growth rate allows these  
559 microalgae to initiate blooms, they are maintained at less than maximal growth rates and at  
560 non-optimal N:P ratios (Glibert et al. 2012).

561

562 **4.1.4 Other environmental variables**

563 Another environmental parameter that was significantly associated with high *Dinophysis* spp.  
564 abundance in Berowra Creek in this study was dissolved oxygen (DO). Although the  
565 association of *Dinophysis* with low oxygen may not be a direct causal relationship, low DO  
566 can indicate excessive respiration in both the water column and benthos which is a symptom  
567 of eutrophication, where DO is being consumed by chemical and biological reactions e.g. an  
568 algal bloom, and/or stratification of the water body. At site 60, low DO and correspondingly  
569 elevated *D. caudata* most likely relates to the shallow nature of this site (6 metres), where  
570 solar penetration and chlorophyll-a may combine to reduce dissolved oxygen levels.

571 Similarly at site 61, an increase in *D. caudata* abundance was coupled with an increase in  
572 chlorophyll-a and a decrease in DO, although this site is deeper. On the other hand, *D.*  
573 *acuminata* at site 61 was observed to be associated with an increase in dissolved oxygen.  
574 Being mixotrophic, *Dinophysis* may be in a heterotrophic mode at this time, feeding on other  
575 phytoplankton and thus consuming more oxygen than they produce, whilst at the same time  
576 reducing the oxygen produced from the autotrophic phytoplankters themselves.

577

578 At site 61, both species were also significantly linked to a decrease in total phytoplankton  
579 abundance (when thermal stratification was removed from the analyses). A decrease in total  
580 phytoplankton abundance, and a concomitant increase in chlorophyll-a, combined with  
581 varying effects from rainfall such as the addition of essential micronutrients, may indicate  
582 species-specific growth requirements in conjunction with a shift in species composition, or  
583 succession, to larger species. It is also possible that *Dinophysis* spp. abundance may also be  
584 indirectly affected by biological factors such as feeding and/or grazing. Although we found  
585 no significant link between *M. rubrum* and *D. acuminata* or *D. caudata* abundance, our

586 sampling frequency (approximately three to four weeks) and lack of depth profile  
587 measurements (current study only surface sampling) may have biased these results. In fact  
588 Velo-Suárez et al. (2014) report an increase in *D. acuminata* in NW Spain coincided with an  
589 upwelling-relaxation event and a short lived maximum of *M. rubrum*. A large percentage  
590 (72%) of vacuolated *Dinophysis* one week later suggested that the bloom was triggered by the  
591 heterotrophic feeding on co-occurring *Mesodinium*, but the low frequency with which  
592 vacuolated cells occurred suggested that *D. acuminata* is prey limited most of the time and  
593 does not require a constant supply of prey for long term survival. Another study in the Gulf of  
594 Mexico found a direct, albeit positive time-lagged correlation between *Dinophysis ovum* and  
595 *Mesodinium* spp., suggesting that the presence of *Mesodinium* may be a good indicator of  
596 upcoming *D. ovum* blooms (Harred & Campbell 2014).

597

#### 598 **4.1.5 Future Work**

599 Whilst the relationship between *Dinophysis* cell numbers and DSTs is not a simple one, and  
600 can vary considerably between geographic populations, *Dinophysis* spp. has shown high  
601 toxicity even at low cell concentrations and a positive correlation between toxin production  
602 and growth has been observed (*D. caudata*, Basti et al. 2015). Furthermore, diarrhetic  
603 shellfish toxicity episodes throughout the world have identified bivalve molluscs (Suzuki et  
604 al. 2001, Mafra et al. 2015a), crabs (Vale et al. 2002), octopus (Mafra et al 2015b) and fishes  
605 (Mafra et al 2014) as potential vectors. With these factors in mind, and the likelihood of  
606 aquaculture diversification in these waters, *Dinophysis* blooms may become an increasingly  
607 important issue for Australia, and understanding their bloom dynamics and prediction may  
608 become an imperative. Our models identified significant explanatory variables that explained  
609 up to 60% of the observed variability in *Dinophysis* abundance in Berowra Creek, but other  
610 sources of variability also need to be considered. Firstly, biological systems are intrinsically

611 variable; secondly, the model itself has only limited capability; and finally, the variables  
612 measured may not be the most appropriate. More specifically, it may be the variables *not*  
613 measured that may account for a significant portion of variability within the data (Zuur et. al.  
614 2009). To refine these models, a more intimate knowledge of the seasonal and interannual  
615 variability of *Dinophysis* spp., their lifecycle, including seeding mechanisms and vertical  
616 migration, and their relationship to predators/prey is required. Furthermore the patterns we  
617 observed relate to the surface layer of the water only (and not necessarily the whole water  
618 column), and this may be important for any future shellfish grown on long-line systems.  
619 Whilst general water quality monitoring programs can generate invaluable environmental  
620 data, more focused, higher frequency (eg. biweekly during bloom events and/or at multiple  
621 depths) sampling, coupled with *in situ* water quality monitoring as well as simple, short range  
622 models will further increase the prediction of these harmful species. These, together with a  
623 focus on key bloom triggers (nutrient ratios and physical processes) as we have identified in  
624 this study, will assist in a more robust understanding of *Dinophysis* blooms in eastern  
625 Australian.

626

### 627 **5.1 Acknowledgements**

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629 Hornsby Shire Council for data collection, secondly to Martin Krogh from Office of  
630 Environment and Heritage for assistance with the statistical approach, and finally to Jaimie  
631 Potts for constructive comments on the discussion. PA would like to thank the University of  
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633

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Supplementary Table 1. Variable, method used for analyses and detection limits for each analyte measured.

Analyte	Reference Method	Detection Limit
Chlorophyll-a	APHA 10200 H	<0.2 $\mu\text{g l}^{-1}$
Total Nitrogen	APHA 4500 NO3 I	<0.05 $\text{mg l}^{-1}$
Total Phosphorus	APHA 4500 P H	<0.002 $\text{mg l}^{-1}$
Oxidised Nitrogen Low Level	APHA 4500 NO3 I	<0.01 $\text{mg l}^{-1}$
Ammonia $\text{NH}_3\text{-N}$ Low Level	APHA 4500 NH3 H	<0.01 $\text{mg l}^{-1}$
Suspended solids	APHA 2540 D	<1 $\text{mg l}^{-1}$

Supplementary Table 2. Correlation matrix showing correlation coefficients for every pair of predictor variables employed in the generalised additive models employed over the sampling period 2003-2014 (thermal stratification not included). Values in yellow boxes are those that are significant at the 0.0001 level; values in orange highlighted by red text are those that suggested moderate to strong relationships ( $r > 0.5$  or  $r < -0.5$ ) between variables.

Site 60														
	Month	AvM.rubrum	TotalCellAbu	AvTemp	TURBIDITY	DO	pH	Salinity	SuspendedSo	AvAmmonium	AvOxidisedN	Redfield	ChlorophyllA	Av Rainfall
Month	1.000													
AvM.rubrum	-0.107	1.000												
TotalCellAbundanceLOG	-0.106	0.187	1.000											
AvTemp	-0.327	0.133	0.319	1.000										
TURBIDITY	-0.103	0.050	0.209	0.228	1.000									
DO	0.435	-0.083	0.058	-0.496	0.066	1.000								
pH	0.256	-0.303	-0.083	-0.239	-0.374	0.495	1.000							
Salinity	0.162	-0.353	-0.315	-0.031	-0.485	0.007	0.580	1.000						
SuspendedSolids	0.100	0.081	-0.098	0.018	0.023	0.020	0.105	0.073	1.000					
AvAmmoniumNitrogen	-0.041	0.049	-0.054	-0.066	0.421	-0.148	-0.387	-0.454	0.015	1.000				
AvOxidisedNitrogen	-0.117	0.224	0.090	-0.242	0.366	-0.063	-0.411	-0.656	-0.064	0.717	1.000			
Redfield	0.094	-0.116	0.136	-0.392	-0.167	0.182	0.053	-0.158	-0.050	-0.058	0.171	1.000		
ChlorophyllA	-0.207	0.153	0.347	0.345	0.273	0.202	-0.009	-0.246	-0.002	-0.052	-0.020	-0.251	1.000	
Av Rainfall	-0.072	0.196	0.229	0.187	0.448	-0.001	-0.393	-0.606	-0.031	0.581	0.506	0.026	0.288	1.000
Site 61														
	Month	AvM.rubrum	TotalCellAbu	AvTemp	TURBIDITY	DO	pH	Salinity	SuspendedSo	AvAmmonium	AvOxidisedN	Redfield	ChlorophyllA	Av Rainfall
Month	1.000													
AvM.rubrum	-0.109	1.000												
TotalCellAbundanceLOG	-0.187	0.206	1.000											
AvTemp	-0.342	0.051	0.361	1.000										
TURBIDITY	-0.086	0.051	0.143	0.309	1.000									
DO	0.270	-0.068	0.086	-0.352	0.049	1.000								
pH	0.172	-0.250	-0.039	-0.175	-0.401	0.598	1.000							
Salinity	0.107	-0.262	-0.240	0.010	-0.536	0.023	0.581	1.000						
SuspendedSolids	0.102	0.062	-0.011	-0.006	0.068	0.084	0.112	0.071	1.000					
AvAmmoniumNitrogen	0.073	0.117	-0.071	-0.065	0.442	-0.084	-0.365	-0.442	-0.031	1.000				
AvOxidisedNitrogen	-0.064	0.234	-0.032	-0.229	0.382	-0.106	-0.530	-0.703	-0.074	0.721	1.000			
Redfield	0.073	-0.003	0.057	-0.356	-0.111	0.115	-0.016	-0.283	-0.043	-0.015	0.213	1.000		
ChlorophyllA	-0.312	0.281	0.449	0.335	0.313	0.267	0.041	-0.162	0.076	-0.019	-0.080	-0.315	1.000	
Av Rainfall	-0.107	0.184	0.147	0.227	0.544	-0.074	-0.464	-0.653	-0.035	0.617	0.593	0.063	0.161	1.000

Supplementary Table 3. Correlation matrix showing correlation coefficients for every pair of predictor variables employed in the generalised additive models employed over the sampling period 2007-2014 (including thermal stratification). Values in yellow boxes are those that are significant at the 0.0001 level; values in orange highlighted by red text are those that suggested moderate to strong relationships ( $r > 0.5$  or  $r < -0.5$ ) between variables.

	Month	AvM.rubrum	TotalCellAbu	AvTemp	TURBIDITY	DO	pH	Salinity	SuspendedSo	AvAmmonium	AvOxidisedN	Redfield	ChlorophyllA	Rainfall	Stratification
Month	1.000														
AvM.rubrum	-0.131	1.000													
TotalCellAbundanceLOG	-0.191	0.148	1.000												
AvTemp	-0.319	0.058	0.168	1.000											
TURBIDITY	0.005	0.093	0.161	0.275	1.000										
DO	0.163	-0.119	0.098	-0.385	0.092	1.000									
pH	0.106	-0.362	-0.134	-0.159	-0.336	0.606	1.000								
Salinity	0.119	-0.377	-0.320	0.096	-0.593	-0.105	0.522	1.000							
SuspendedSolids	-0.003	-0.037	0.026	0.248	0.366	0.068	-0.015	-0.188	1.000						
AvAmmoniumNitrogen	0.106	0.129	0.041	-0.027	0.529	-0.074	-0.438	-0.530	0.085	1.000					
AvOxidisedNitrogen	-0.072	0.373	0.122	-0.239	0.439	-0.042	-0.553	-0.753	0.031	0.693	1.000				
Redfield	-0.029	-0.009	0.175	-0.359	-0.118	0.086	0.033	-0.253	-0.105	-0.042	0.201	1.000			
ChlorophyllA	-0.331	0.112	0.461	0.347	0.343	0.336	0.065	-0.209	0.196	-0.036	-0.058	-0.312	1.000		
Rainfall	-0.108	0.232	0.204	0.253	0.579	-0.076	-0.490	-0.683	0.116	0.620	0.609	0.031	0.194	1.000	
Stratification	0.105	-0.113	-0.029	0.359	-0.031	0.114	0.280	0.378	0.016	-0.272	-0.371	-0.023	0.021	-0.136	1.000

Supplementary Table 4. Model results after seven iterations for *D. acuminata* and *D. caudata* at both sites 60 and 61, Berowra Creek from the sampling period 2003 to 2014 (not including thermal stratification). Highlighted p values indicate significance at 0.05 level.

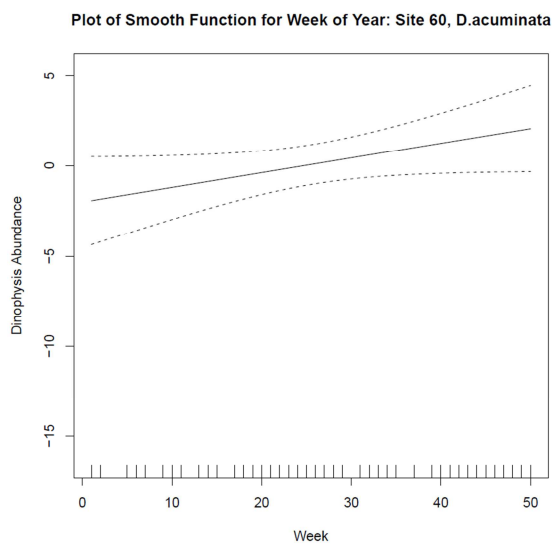
Site 60: <i>D. acuminata</i>						Site 60: <i>D. caudata</i>					
Parametric coefficients:						Parametric coefficients:					
	Estimate	Std. Error	z value	Pr(> z )	Contribution		Estimate	Std. Error	z value	Pr(> z )	Contribution
(Intercept)	-10.35	8.42	-1.23	0.219		(Intercept)	-2.62	8.18	-0.32	0.749	
TotalCellAbundanceLOG	2.34	1.33	1.77	0.077	1.49%	TotalCellAbundanceLOG	-0.12	1.24	-0.10	0.924	-0.05%
AvOxidisedNitrogen	7.41	7.00	1.06	0.290	0.97%	AvOxidisedNitrogen	12.80	6.37	2.01	0.044	5.28%
Redfield	-0.06	0.04	-1.81	0.070	5.59%	Redfield	-0.02	0.03	-0.62	0.536	7.29%
ChlorophyllA	-0.01	0.07	-0.17	0.864	-0.01%	ChlorophyllA	0.27	0.08	3.51	0.000	7.04%
Approximate significance of smooth terms:						Approximate significance of smooth terms:					
	edf	Ref.df	Chi.sq	p-value			edf	Ref.df	Chi.sq	p-value	
s(Week)	1.00	1.00	3.47	0.063	4.26%	s(Week)	1.00	1.00	0.99	0.320	1.25%
s(DO)	1.00	1.00	1.88	0.170	1.41%	s(DO)	1.88	1.98	17.80	0.000	-6.49%
s(Salinity)	1.00	1.00	2.26	0.133	3.25%	s(Salinity)	1.81	1.96	7.35	0.030	15.95%
s(Av Rainfall)	1.00	1.00	1.92	0.166	1.31%	s(Av Rainfall)	1.84	1.97	1.27	0.483	-1.21%
R-sq.(adj) = -176 Deviance explained = 14.8%						R-sq.(adj) = -9.37 Deviance explained = 51.8%					
-REML = 205.92 Scale est. = 1 n = 104						-REML = 238.77 Scale est. = 1 n = 104					
Site 61: <i>D. acuminata</i>						Site 61: <i>D. caudata</i>					
Parametric coefficients:						Parametric coefficients:					
	Estimate	Std. Error	z value	Pr(> z )	Contribution		Estimate	Std. Error	z value	Pr(> z )	Contribution
(Intercept)	26.59	7.02	3.79	0.000		(Intercept)	16.11	5.36	3.01	0.003	
TotalCellAbundanceLOG	-3.08	1.08	-2.85	0.004	1.25%	TotalCellAbundanceLOG	-1.95	0.85	-2.31	0.021	6.10%
AvOxidisedNitrogen	12.79	6.74	1.90	0.058	2.43%	AvOxidisedNitrogen	-3.42	5.82	-0.59	0.557	1.38%
Redfield	-0.12	0.04	-3.29	0.001	13.10%	Redfield	-0.05	0.02	-2.18	0.029	3.21%
ChlorophyllA	-0.03	0.06	-0.42	0.673	-0.49%	ChlorophyllA	0.15	0.05	3.16	0.002	6.12%
Approximate significance of smooth terms:						Approximate significance of smooth terms:					
	edf	Ref.df	Chi.sq	p-value			edf	Ref.df	Chi.sq	p-value	
s(Week)	1.00	1.00	1.62	0.203	-1.94%	s(Week)	3.55	4.23	21.60	0.000	17.34%
s(DO)	1.00	1.00	9.51	0.002	-0.73%	s(DO)	1.31	1.52	16.24	0.000	5.79%
s(Salinity)	1.00	1.00	0.29	0.594	0.15%	s(Salinity)	1.96	2.00	19.16	0.000	11.14%
s(Av Rainfall)	1.00	1.00	6.32	0.012	5.79%	s(Av Rainfall)	1.71	1.91	7.53	0.040	4.88%
R-sq.(adj) = -25.6 Deviance explained = 19.4%						R-sq.(adj) = -198 Deviance explained = 49.4%					
-REML = 292.39 Scale est. = 1 n = 107						-REML = 393.67 Scale est. = 1 n = 107					

Supplementary Table 5. Model results, including thermal stratification, after eight iterations for *D. acuminata* and *D. caudata* at sites 61, Berowra Creek for the sampling period 2007 to 2014. Highlighted p values indicate significance at 0.05 level.

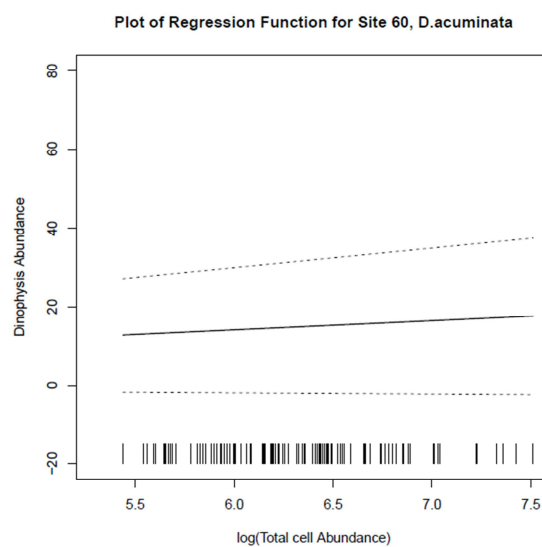
Site 61: <i>D. acuminata</i>						Site 61: <i>D. caudata</i>					
Parametric coefficients:						Parametric coefficients:					
	Estimate	Std. Error	z value	Pr(> z )	Contribution		Estimate	Std. Error	z value	Pr(> z )	Contribution
(Intercept)	1.705	2.815	0.606	0.5448		(Intercept)	4.329	1.192	3.632	0.0003	
AvAmmoniumNitrogen	74.615	43.495	1.715	0.0863	-3.54%	AvAmmoniumNitrogen	-76.123	32.523	-2.341	0.0193	3.11%
AvOxidisedNitrogen	21.049	11.463	1.836	0.0663	9.44%	AvOxidisedNitrogen	29.328	9.193	3.190	0.0014	6.04%
Redfield	-0.136	0.041	-3.299	0.0010	9.32%	Redfield	-0.058	0.022	-2.656	0.0079	6.37%
Stratification	1.684	0.505	3.335	0.0009	13.27%	Stratification	-0.178	0.404	-0.439	0.6605	-0.57%
Approximate significance of smooth terms:						Approximate significance of smooth terms:					
	edf	Ref.df	Chi.sq	p-value			edf	Ref.df	Chi.sq	p-value	
s(Week)	1.000	1.000	9.749	0.0018	14.18%	s(Week)	1.001	1.002	13.204	0.0003	11.98%
s(AvTemp)	1.976	1.999	29.465	0.0000	25.30%	s(AvTemp)	1.900	1.990	16.646	0.0002	11.09%
s(Salinity)	1.000	1.000	3.779	0.0519	2.22%	s(Salinity)	1.925	1.994	18.210	0.0001	7.53%
s(Rainfall)	1.842	1.975	3.122	0.2423	2.55%	s(Rainfall)	1.774	1.949	5.653	0.0811	6.03%
R-sq.(adj) = -382 Deviance explained = 59.7%						R-sq.(adj) = -9.97 Deviance explained = 53.5%					
-REML = 214.23 Scale est. = 1 n = 82						-REML = 291.93 Scale est. = 1 n = 82					

Supplementary Figure 1A-C. Plots of the effect of each (marginally) significant environmental variable A. week; B. total phytoplankton abundance (log); C. Redfield ratio on the log *D. acuminata* counts at site 60. The expected *D. acuminata* count at each value of the variable is described by the solid line, with the dashed lines representing 95% confidence intervals of these expected counts. The vertical lines at the bottom of the chart indicate the distribution of the variable values amongst the site 60 observations.

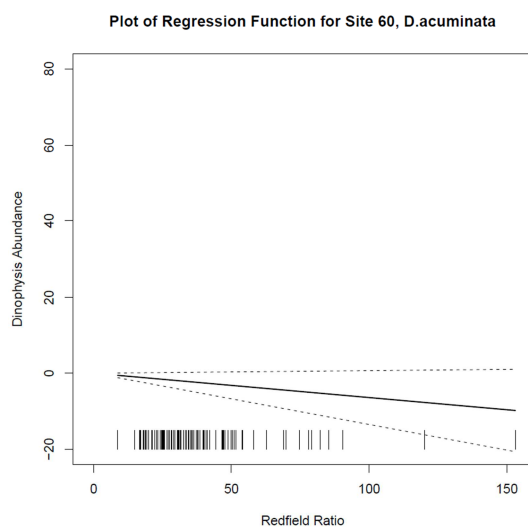
A.



B.

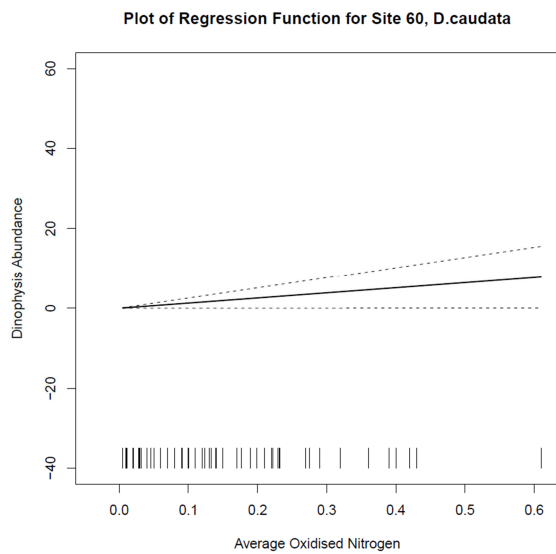


C.

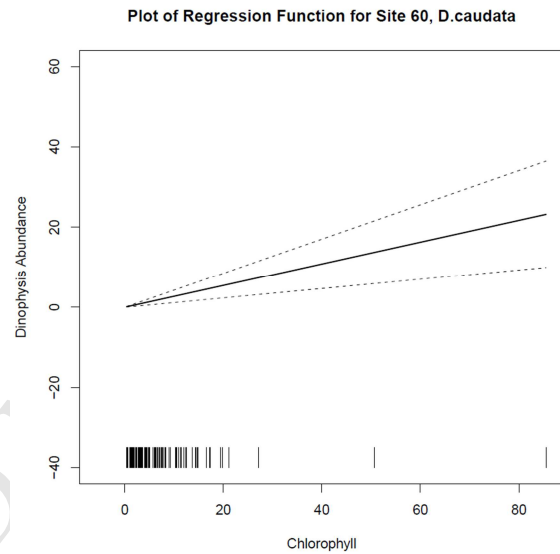


Supplementary Figure 2A-D. Plots of the effect of each significant environmental variable A. oxidised nitrogen; B. chlorophyll-a; C. dissolved oxygen; D. salinity on the log *D. caudata* counts at site 60. The expected *D. caudata* count at each value of the variable is described by the solid line, with the dashed lines representing 95% confidence intervals of these expected counts. The vertical lines at the bottom of the chart indicate the distribution of the variable values amongst the site 60 observations.

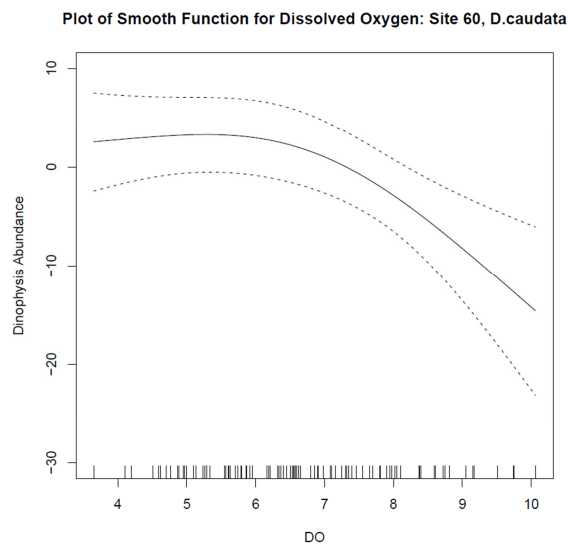
A.



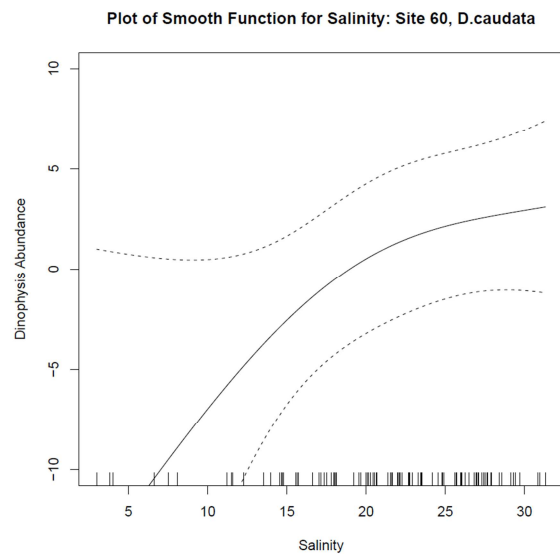
B.



C.

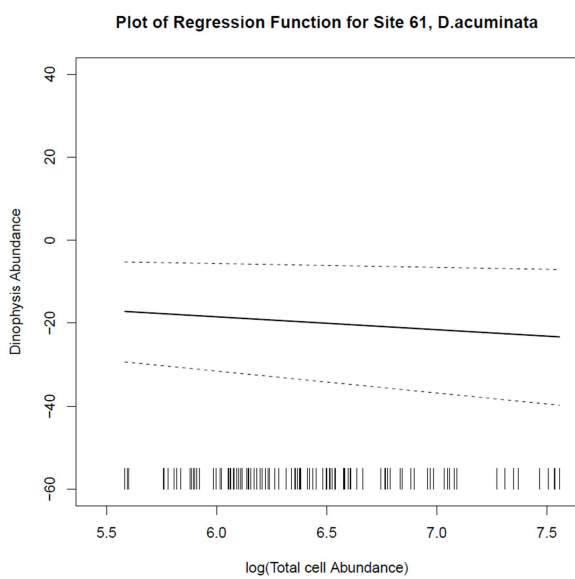


D.

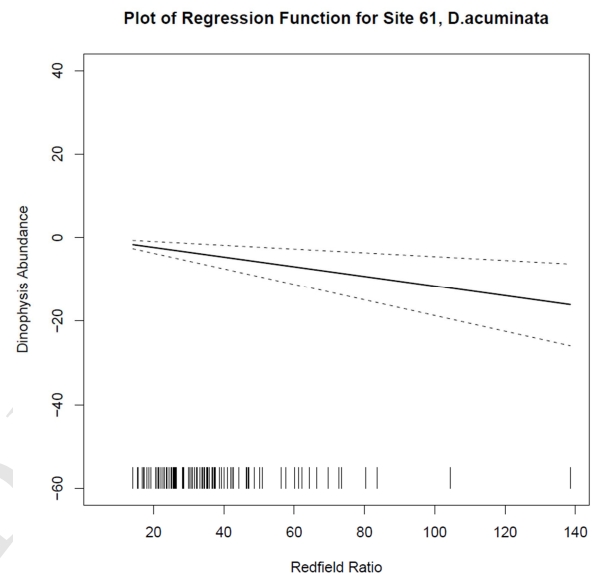


Supplementary Figure 3A-D. Plots of the effect of each significant environmental variable A. total phytoplankton abundance (log); B. Redfield Ratio; C. dissolved oxygen; D. rainfall on the log *D. acuminata* counts at site 61. The expected *D. acuminata* count at each value of the variable is described by the solid line, with the dashed lines representing 95% confidence intervals of these expected counts. The vertical lines at the bottom of the chart indicate the distribution of the variable values amongst the site 61 observations.

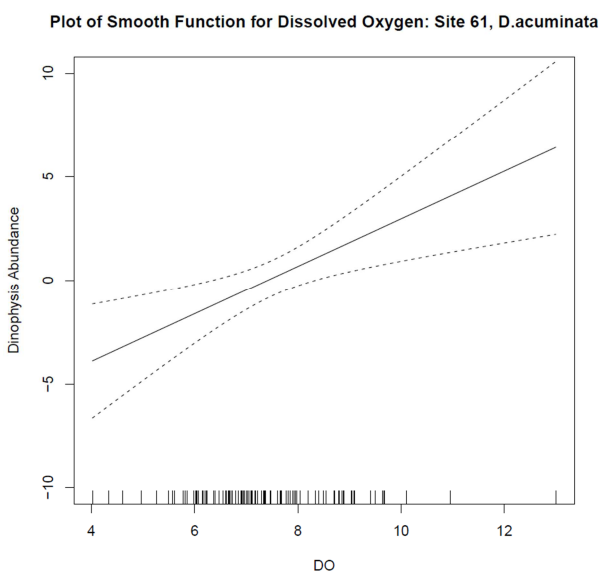
A.



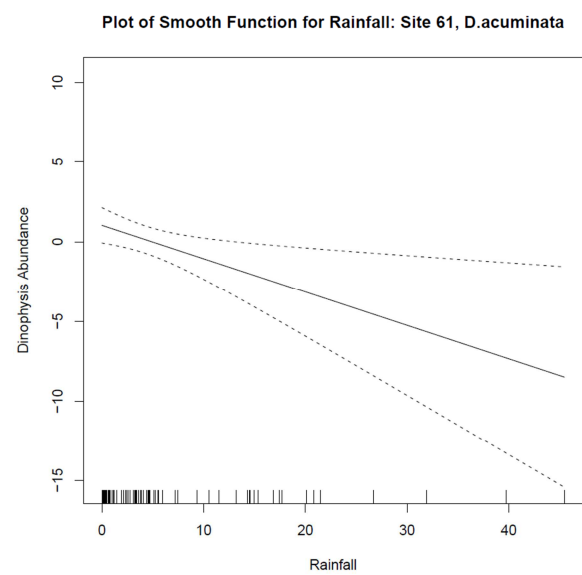
B.



C.



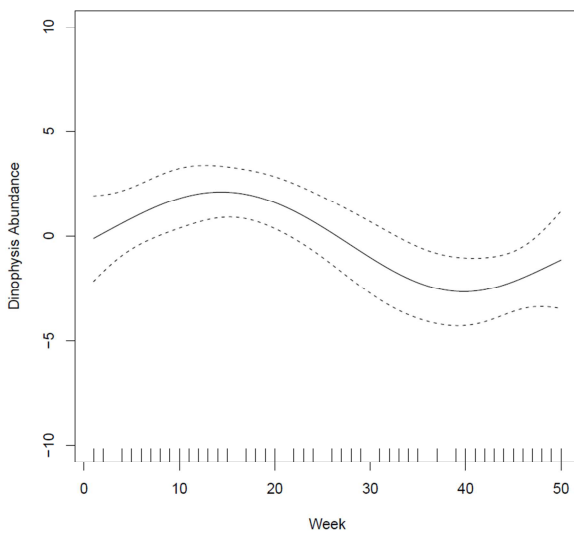
D.



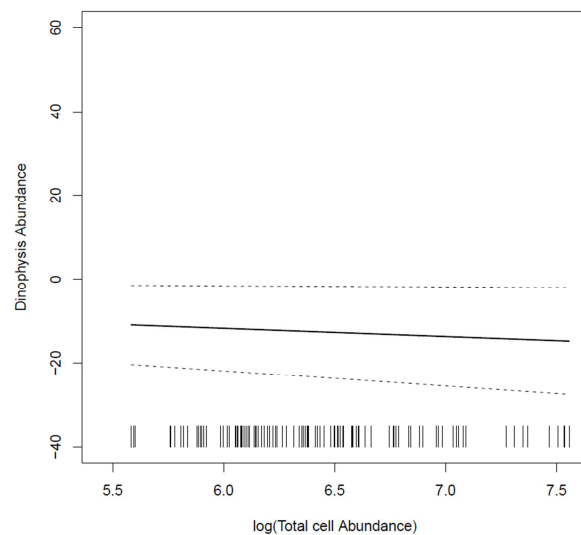


Supplementary Figure 4A-G. Plots of the effect of each significant environmental variable A. week; B. total phytoplankton abundance (log); C. Redfield Ratio; D. chlorophyll-a; E. dissolved oxygen; F. salinity; G. rainfall on the log *D. caudata* counts at site 61. The expected *D. caudata* count at each value of the variable is described by the solid line, with the dashed lines representing 95% confidence intervals of these expected counts. The vertical lines at the bottom of the chart indicate the distribution of the variable values amongst the site 61 observations.

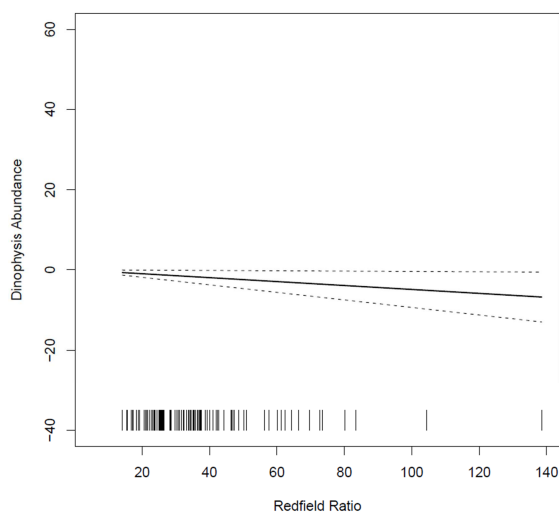
A.

Plot of Smooth Function for Week of Year: Site 61, *D. caudata*

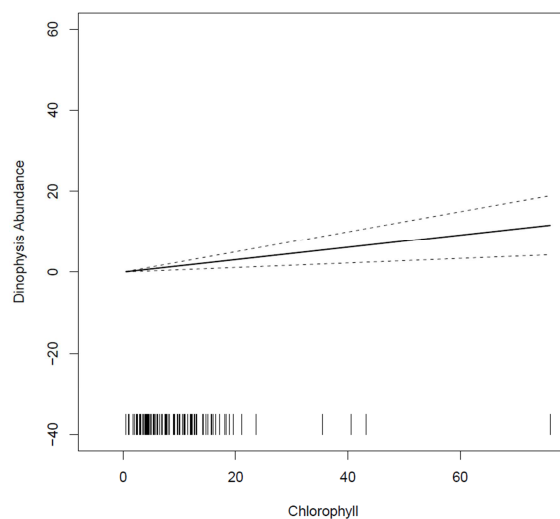
B.

Plot of Regression Function for Site 61, *D. caudata*

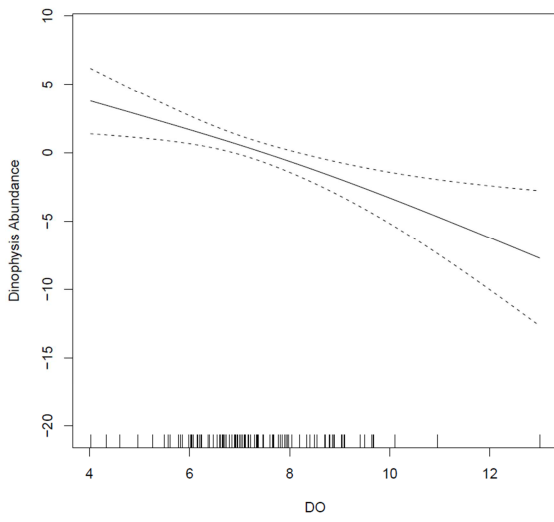
C.

Plot of Regression Function for Site 61, *D. caudata*

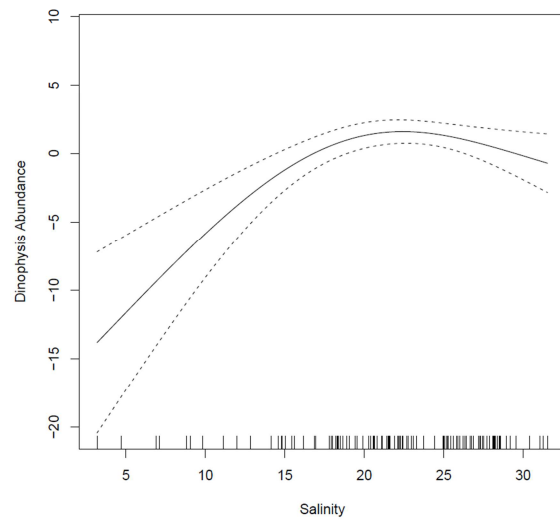
D.

Plot of Regression Function for Site 61, *D. caudata*

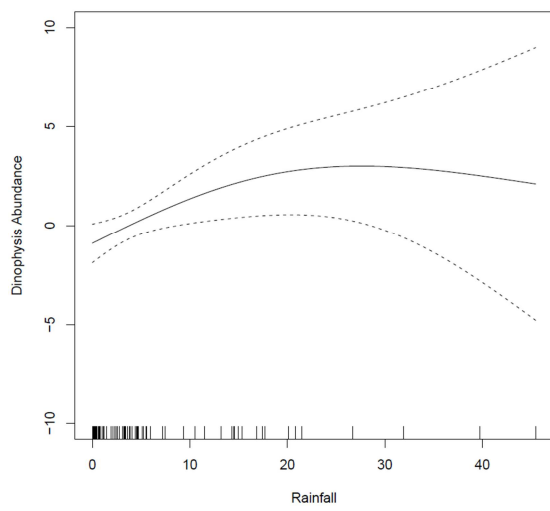
E.

Plot of Smooth Function for Dissolved Oxygen: Site 61, *D.caudata*

F.

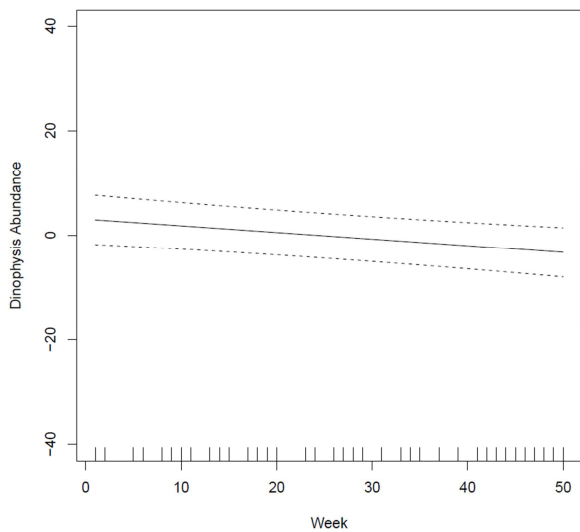
Plot of Smooth Function for Salinity: Site 61, *D.caudata*

G.

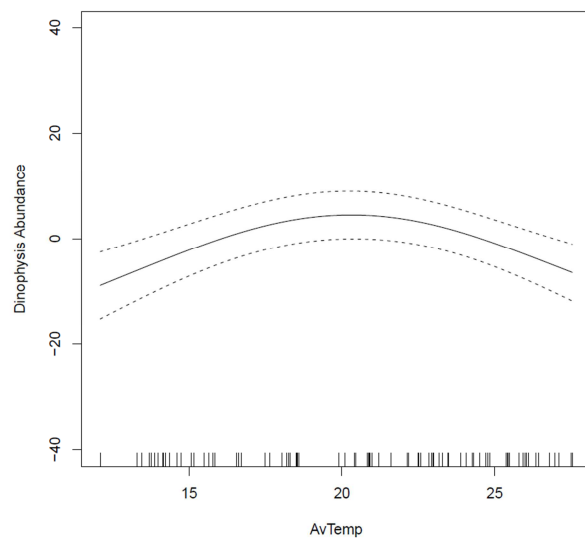
Plot of Smooth Function for Rainfall: Site 61, *D.caudata*

Supplementary Figure 5A-D. Plots of the effect of each significant environmental variable A. week; B. temperature; C. Redfield ratio; D. stratification. The expected *D. acuminata* count at each value of the variable is described by the solid line, with the dashed lines representing 95% confidence intervals of these expected counts. The vertical lines at the bottom of the chart indicate the distribution of the variable values amongst the site 61 observations.

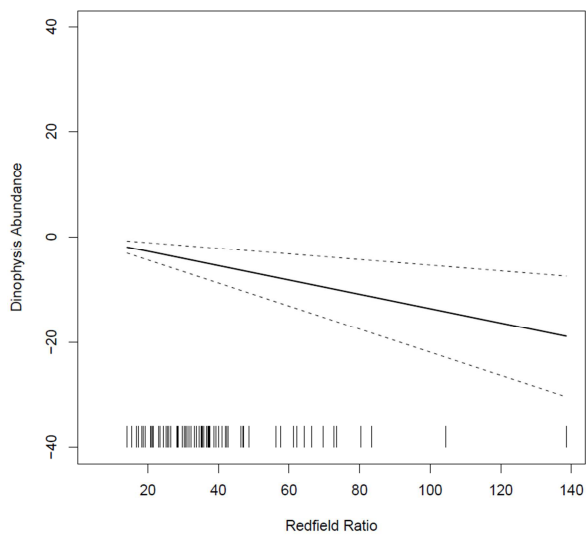
A.

Plot of Smooth Function for Week of Year: Site 61, *D.acuminata*

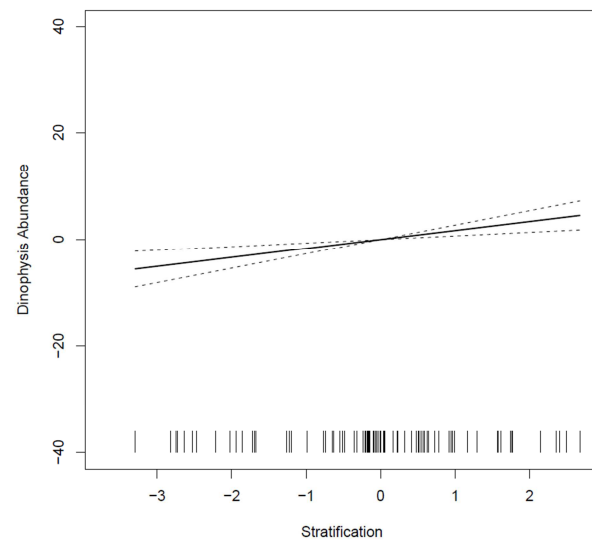
B.

Plot of Smooth Function for Average Temperature: Site 61, *D.acuminata*

C.

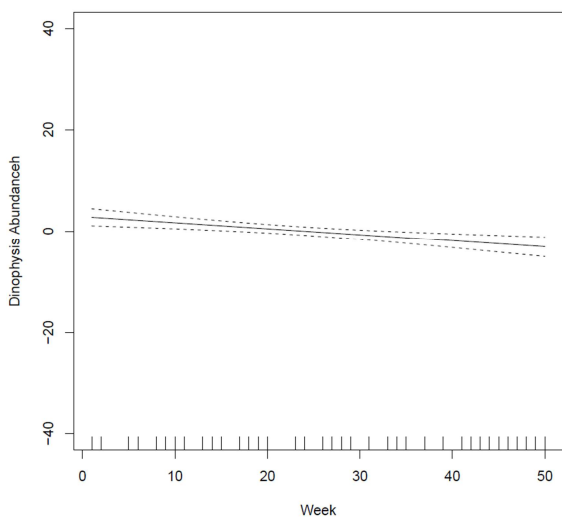
Plot of Regression Function for Site 61, *D.acuminata*

D.

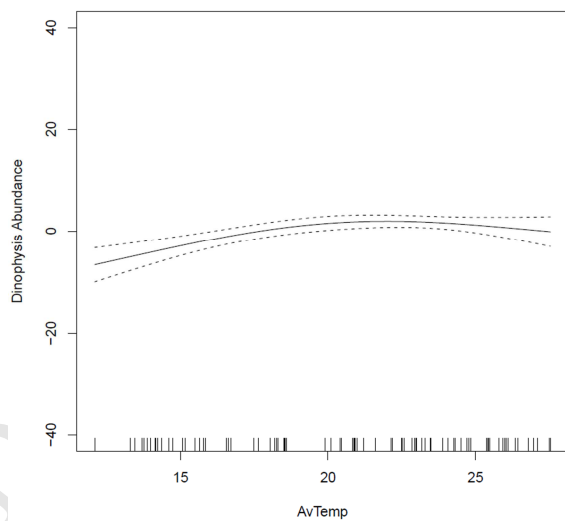
Plot of Regression Function for Site 61, *D.acuminata*

Supplementary Figure 6A-F. Plots of the effect of each significant environmental variable A. week; B. temperature; C. salinity; D. Redfield ratio; E. ammonium nitrogen; and F. oxidised nitrogen. The expected *D. caudata* count at each value of the variable is described by the solid line, with the dashed lines representing 95% confidence intervals of these expected counts. The vertical lines at the bottom of the chart indicate the distribution of the variable values amongst the site 61 observations.

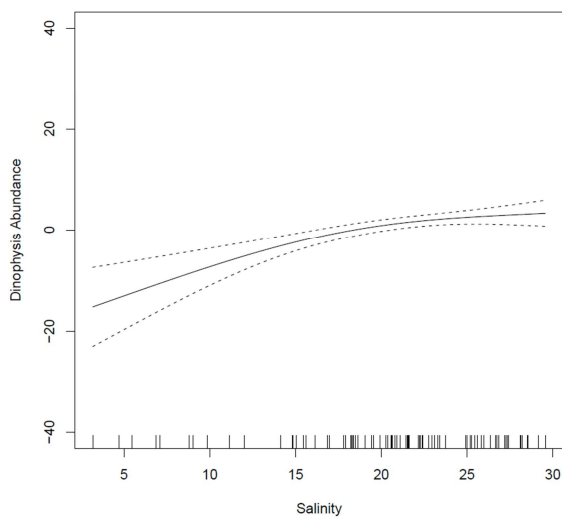
A.

Plot of Smooth Function for Week of Year: Site 61, *D. caudata*

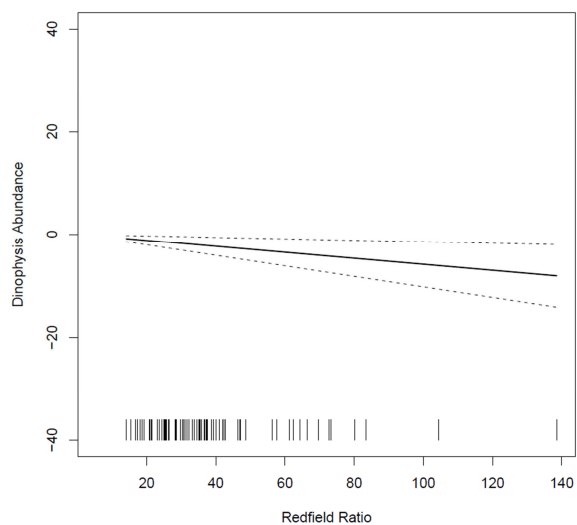
B.

Plot of Smooth Function for Average Temperature: Site 61, *D. caudata*

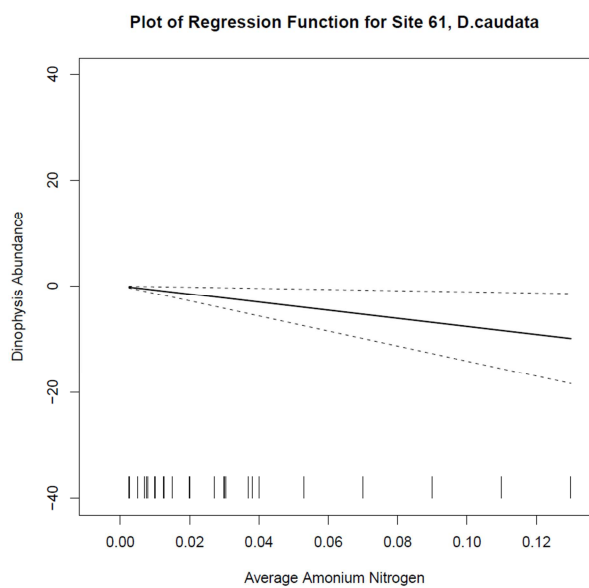
C.

Plot of Smooth Function for Salinity: Site 61, *D. caudata*

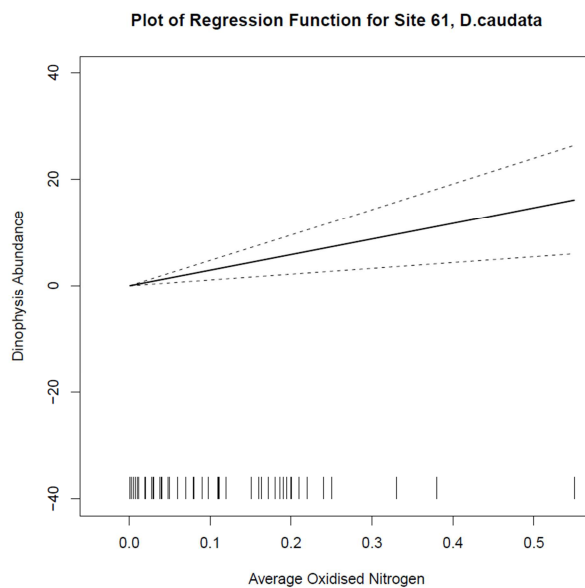
D.

Plot of Regression Function for Site 61, *D. caudata*

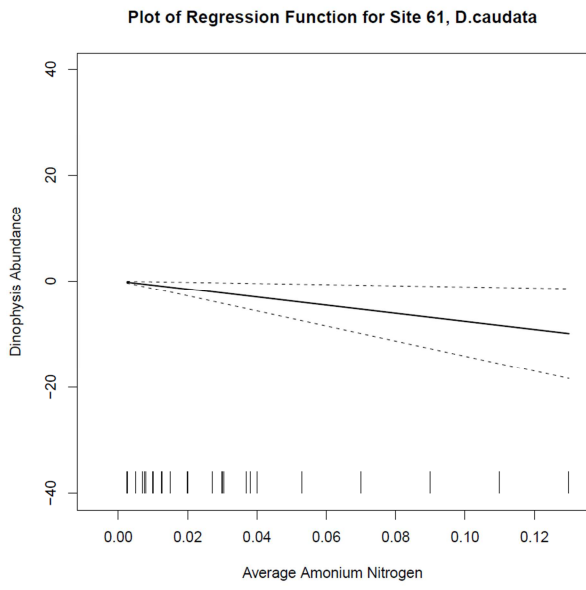
E.



F.



E.



F.

