Plasmon resonance and electric field amplification of

crossed gold nanorods

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Abstract

Here we explore the unusual plasmon resonances of crossed gold nanorod structures of varying

geometries using numerical simulations. We show that the resonances of simple rods are hybridized

and blue-shifted in the composite structures, and that these structures are surrounded by spatially

extended and high intensity electric fields. This attribute suggests several potential uses for these

shapes, such as a nano-antenna for the generation of two-photon fluorescence.

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Keywords: gold nanorods, plasmon resonance, electric field, spectrally-selective structure

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1. Introduction

There is currently a keen interest in the optical properties of gold and silver ellipsoids, nanocylinders and nanorods, and their potential use in optical elements of various kinds. This is primarily due to the optical anisotropy of these nanoparticles. Early work examined the properties of nanocylinders of gold produced by deposition within porous alumina templates [1, 2], but in the last decade wet chemical methods for the synthesis of high quality gold nanorods have also become available [3]. Nanorods can also be produced by lithographic techniques [4], although these tend towards a parallelepiped shape. Gold nanorods with diameters in the range of 10 to 30 nm and aspect ratios (length of rod divided by its diameter) of up to 10 manifest two plasmon resonances with light. These are the transverse and longitudinal resonances generated by oscillations of the electric field that are respectively orthogonal or parallel to the long axis of the nanoparticle. The wavelength at which the longitudinal resonance occurs is tunable by control of the aspect ratio and can be varied from the mid-visible wavelengths to as long as 1600 nm in the near infrared [5] by increasing the aspect ratio. This has encouraged investigations into the application of nanorods in medicine and biology [6, 7], spectrally selective coatings [8], and optical data storage [9]. Another interesting property of nanorods, one that is absent from nanospheres or nanoshells, is a strong dependence of the optical properties on the polarization of the incident light [4]. Not only can aligned arrays of nanorods act as polarizing filters [10], but the reverse also holds and a particular plasmon resonance in a structure containing a nanorod can be switched on or off by control of the polarization of the incident light [11], or by a control of the orientation of the rods themselves. Significant dichroism and/or colour change can be achieved by this means [12, 13]. Resonances on single rods have been investigated as a function of the size, orientation, shape, and composition of the rods [14-17]. Multipole modes are observable in rods of sufficient diameter and aspect ratio, although these were not much studied until very recently [16, 18]. However, a diameter of at least 40 nm and aspect ratio of more than ~4 is necessary before such modes are resolvable [16]. The interactions between closely spaced nanorods have also been investigated [15, 19-21]. In general, end-to-end interactions cause a red-shift in the longitudinal plasmon resonance, while a side-by-side configuration is associated with a modest blue shift. Twophoton phenomena in noble metal nanoparticles have also recently become of interest, both in respect of luminescence of the particle itself [22, 23] or of a dye located near the particle [24, 25].

While much interesting further work remains to be done with individual rods and their optical properties, here we examine a rather different platform for plasmonic phenomena and devices: conjoined nanorod pairs that intersect each other at varying angles (see Fig. 1). One application that we envisage for arrays of conjoined nanorods is as a nanoantenna to amplify two-photon absorption in a suitable fluorescent dye. Arrays of the crossed rod shapes will also be suitable for use as optical filters with spectral-, orientation- and polarisation-dependent functionality, as optical sensors operating in refractometric mode, or as the components of near-field plasmonic circuitry. We show here that such structures can be used to produce additional and interesting plasmonic resonances that are not possible in systems of discrete nanorods, and that the electric fields associated with such resonances extend relatively far out into space. Conjoined nanorod structures cannot yet be produced by wet chemistry but they can be produced by electron beam or other lithographic techniques [26]. The infrared-screening properties of micron-scale gold meshes, a micron or two wide and crossed at 90°, have been known for decades [27]. However, there has been very little prior investigation of their nanoscale analogues, possibly because lithographic preparation of sub-wavelength structures has only become available comparatively recently. In any case, the optical properties of arrays of such objects has been hitherto largely unknown. Here we present numerical simulations of the optical and electromagnetic properties of a series of crossed rod structures. A concurrent experimental investigation of the preparation of such structures by electron beam lithography will be reported elsewhere.

2. Methodology

We used the DDSCAT software of Draine and Flatau [28, 29] (DDSCAT: Discrete Dipole Approximation for Scattering and Absorption of Light by Irregular Particles) to perform numerical simulations of a series of conjoined gold nanorod structures. The discrete dipole approximation (DDA) approximates the target by an array of polarisable points over which the electromagnetic scattering of an incident periodic wave is essentially solved for each of these points [29]. Agreement between calculation and experiment is good, provided the dipole spacing is very small relative to the wavelength, e.g.[30-34]. The volume of solid materials in the targets processed by this software is expressed in the form of an effective radius, a_{eff}, defined as the radius of the sphere with the same volume as that of all the solid material in the target.

The shapes examined consisted of crossed pairs of rods that were fused in their central regions with an angular rotation 2α away from parallel alignment. The length of each of the crossed-rod shapes was 80 nm, and the constituent rods had a diameter of 20 nm. A render matrix large enough to give a dipole spacing of about 1 nm was used. The family of shapes is shown in Fig. 1. The visible and near-infrared spectra of crossed-rod structures were calculated for the full range of α (0° through 45°). The nature of the plasmon resonances that occurred was further investigated using animations of the dipoles and calculations of their internal and external electric fields.

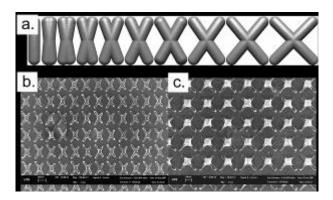


Fig. 1. The conjoined nanorod structures for which the optical properties were calculated. (a) The rotation angles, α , of the crossed rods varied from 0° to 45° in 5° intervals. (b) and (c) Illustrative examples of two-dimensional arrays of gold crosses produced by a combination of electron beam lithography and physical vapour deposition. (Further details of the experimental technique used to produce these coatings will be published elsewhere.)

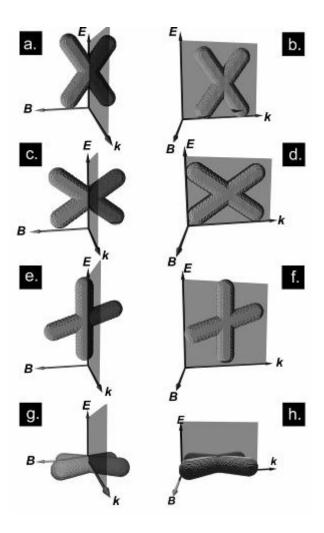


Fig. 2. The different orientations of the electric and magnetic field that are possible for a crossed-rods shape. (a) longitudinal resonance with B in the plane of the target (b) longitudinal resonance with B out-of-plane of the target, (c) transverse resonance with B in-plane (d) transverse resonance with B out-of-plane, (e) electric field oriented along one arm with B in-plane (f) electric field oriented along one arm with B out-of-plane (g) short transverse resonance with B in longitudinal direction of target (h) short transverse resonance with B in transverse direction.

Fig. 2 shows several different orientations of the targets that are possible with respect to the k, E and B vectors of the light. A longitudinal resonance (Fig. 2(a) and 2(b)) would be most prominent when the long axis of the structure is perpendicular to the k vector and parallel to E while a transverse resonance (Fig. 2(c) and 2(d)) would be most prominent when the long axis of the structure is parallel to the k vector of the light. There is also the possibility of aligning one of the arms of the target with the electric field (Fig. 2(e) and 2(f)) and, finally, polarizing the electric field perpendicularly to the plane of the target (Fig. 2(g) and 2(h)). Note that there are two options for k and E for any given

orientation of E. In general, however, the extinction efficiency of a nanoscale target depends almost entirely on the orientation of E, with the direction of E and E being comparatively unimportant [35].

It is worth briefly considering the manner in which the DDA method deals with multipole resonances, and the implications of this. While convergence at wavelengths at which dipole resonances occur is readily established, Fig. 3(a) and 3(b), convergence was slow at the wavelengths at which two or more resonances were simultaneously active, unless an exceedingly fine dipole spacing (for example <0.5 nm) was used. The presence of a spurious bifurcated extinction peak for complex targets in which two resonances were simultaneously active, and their fusion into a single peak when smaller dipole spacings were used, Fig. 3(c), was one of the symptoms of a lack of convergence. The reason for this phenomenon is that *individual* dipoles in the target can only take on a dipole-type harmonic oscillation (by definition) in the numerical method used. Therefore, the only way in which the numerical method used can simulate a multipole resonance is by mixing domains of different dipolar resonances together so that the ensemble generates the appropriate response. In the present targets these domain mixtures take the form of alternating layers of dipoles, each corresponding to one of the components of the multipole resonance, and with differing phase and amplitude with respect to the planar wavefront of the exciting radiation. Such dipole domains, Fig. 3(d), are absent from simulations of simple dipole resonances, Fig. 3(b). It is the collective interaction of these domains that simulates the multipole electric field oscillation external to the target. Therefore, stable convergence of the numerical solution for multipole resonances requires smaller dipole spacings than would be the case for simulations of simple targets, such as nanorods.

All figures, except for Fig. 6(c), have a Cartesian coordinate system in which the x direction is horizontal, the y direction is aligned bottom-to-top of page, and z is out-of-plane.

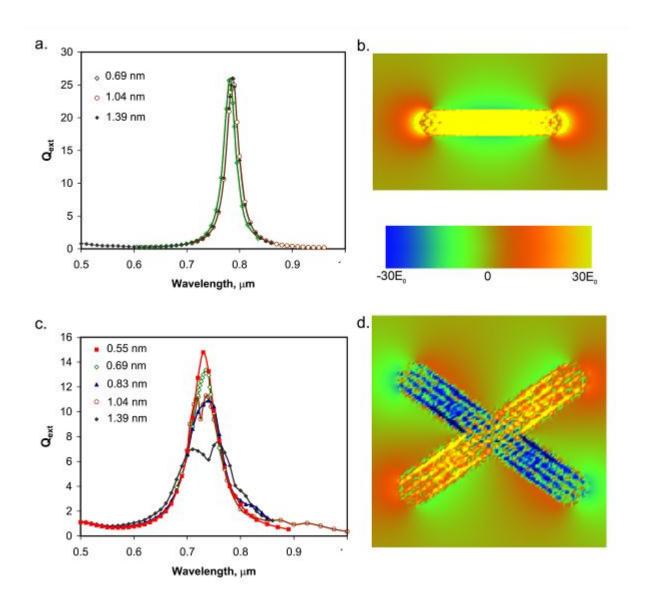


Fig. 3. Effect of dipole spacing on the convergence of the DDA calculations, (a) dipole resonance in a rod of 98 nm length and 20 nm diameter inclined at 35° to k, simulated using dipole spacings of 0.69, 1.03, and 1.40 nm, showing that calculations performed with a dipole spacing of <1.4 nm produce an extinction peak at 785 ± 3 nm, (b) x-component of the electric field at θ =90° and λ =782.5 nm for the rod of (a) revealing the absence of dipole domains, (c) multipole resonance for a crossed rod structure with a half angle of 35° showing that convergence now requires a dipole spacing <0.58 nm, (d) x-component of the electric field at θ =90° and λ =735 nm for the crossed rod target with α =35° showing how the internal field of the target with the multipole resonance contains alternating domains of dipoles. The same dipole spacing (0.69 nm) and colour scheme for the field intensities was used for both (b) and (d)).

3. Results and Discussion

Fig. 4(a) and (b) shows the optical extinction properties calculated at orientations 2(b) and 2(d) for selected instances of the crossed-rods shown in Fig. 1(a). The first peak in Fig. 4(a) is generated by the simplest of the targets: an ordinary gold nanorod with an aspect ratio of 4 and a length of 80 nm, however the extinction of this target is negligible in orientation 2(d) and the first peak shown in Fig. 4(b) is that corresponding to a crossed rod target with α =15°. The positions and origins of the transverse and longitudinal peaks on the sample with α =0° (the simple rod) are consistent with previously reported results [36-41], with a transverse resonance at ~520 nm being visible in when E is directed across the long axis of the rod, and a longitudinal resonance at 700 nm becomes visible when E is directed parallel to the long axis.

As α is increased from 0 to 45° a new resonance appears at about 650 nm in targets that are in orientation 2(d) (Fig. 4(b)). This new resonance increases in efficiency as the arms of the target are rotated into the longitudinal orientation. On the other hand, as the angle between the rods is increased for orientation 2(b) (Fig. 4(a)), the longitudinal plasmon resonance red-shifts and decreases in efficiency. The decrease is caused by the fact that the arms are increasingly rotated out of the longitudinal orientation. Both resonances red shift as α is increased towards 45°, and converge to become identical at α =45°. A part of the red shift is clearly produced by the fact that the aspect ratio of the rods increased as α is increased, for purely geometric reasons (the length of the target in the xdirection is set at 80 nm, so when $\alpha=45^{\circ}$ the rod will be $80\sqrt{2}$ nm, ie. 113.1 nm long). This can be seen from the position of the peak wavelengths for individual rods of the relevant aspect ratio, superimposed on Fig. 4, which also red shift. Importantly, the peak extinction of the crossed rods is always blue-shifted relative to single rods of the same aspect ratio. This last result can be contrasted to the result reported by Jain et al.[21] for adjacent, non-intersecting rods crossed so that $\alpha=45^{\circ}$. In that case the longitudinal resonances of the individual rods did not interact. The situation is different in our case because the rods are fused together and the electric charge oscillation can freely propagate between the arms of the target.

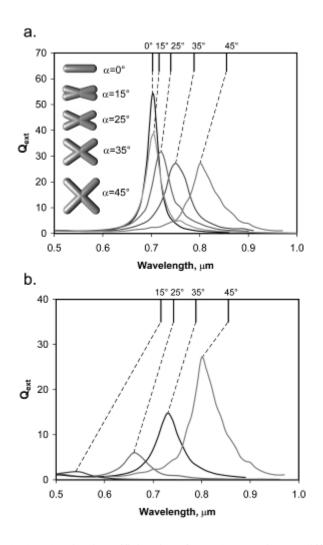


Fig. 4. Effect of α on the calculated extinction efficiencies of X-rod targets in two different orientations. (a) orientation shown in Fig. 2(b) with targets shown as an inset, (b) same targets as in (a) but with orientation shown in Fig. 2(d). Also shown on the graphs are the peak positions of the corresponding isolated, single rods.

Dipole and electric field animations were calculated for the relevant targets in order to elucidate the nature of these resonances. The 45° resonance will be considered first, as it is in a sense the end member of the series and, furthermore, obviously identical for orientations 2(b) and 2(d). In Fig. 5(a)-(e) we show snapshots of the field taken at a phase angle (θ) of 90°, *ie*. ½ of the way through a cycle. It is clear that the overall direction of the dipoles (Fig. 5(a)) is back and forth along the direction of E (aligned here in the y or vertical direction). This produces an approximately dipolar field in each individual arm of the target and the x-components of the field must point in opposite directions in each of the arms, Fig. 5(b), since as charge flows upwards it must flow to the left in one arm and to the right in the other. The y components on the other hand all point the same way, Fig. 5(c). There

was a negligible E_z component, and it is not shown. The overall magnitude of the E-field is shown in Fig. 5(d). Overall, the field can be interpreted as shown in Fig. 5(e) for a phase angle of 90°. However, there was also a multipole component which was strongly developed in the vertices of the target. To visualise this in a quantitative sense we placed probe points at the positions shown in Fig. 5(e), and then graphed the overall field strength at the four probe positions as a function of time, Fig. 5(f). It can be seen that the resonances at position 04 lags that at position 01 by 19° (corresponding to 0.14 fs) due to time taken for the planar wavefront to cross the target from left to right. However, the fields at positions 02 and 03 are out of phase by 17 and 34° respectively with reference to that at position 01. Furthermore, by far their strongest component is aligned along the y direction. Overall, the extinction peak of the complete resonance is considerably blue-shifted compared to individual rods of the same aspect ratio. It is clear that the resonance observed is a new, hybridized multipole oscillation that developed from the superposition of the two individual resonances.

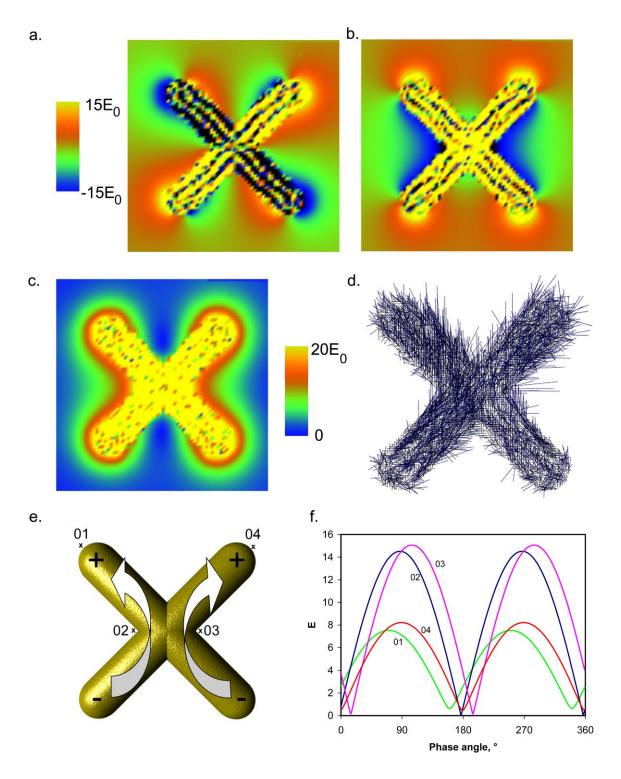


Fig. 5. (a) E_x at phase angle of 90°, on average the field points right in one rod, and left in the other. The 'stripes' within the target are an artefact of the numerical solution, in which layers of dipoles take up one or other of the two resonances being hybridized, (b) E_y , (c) |E|, (d) dipole vectors at a phase angle of 90°, (e) schematic illustration of dipole resonance, also showing probe positions 01 to 04, (f) variation of |E| with time at four probe positions.

Next we consider the nature of the resonances possible in a target with $0<\alpha<45^\circ$, using the particular example of the $\alpha=35^\circ$ target as a case study. Unlike the case for $\alpha=45^\circ$, these targets do have a defined longitudinal and transverse orientation and, as shown in Fig. 4, these produce different resonances. The oscillation in these targets could also be considered to be the result of a superposition of a transverse oscillation (in the direction of E) combined with counter-current longitudinal component running down the length of the two rods. However, the resonances are subtly different for the two orientations. In particular, the resonances in the $\alpha=35^\circ$ target develop a stronger longitudinal aspect when in the orientation shown in Fig. 2(b).

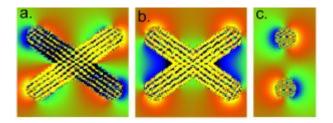


Fig. 6. Resonances in target with half angle of 35° between arms at a phase angle of 90° and with the target orientation shown in Fig. 2(d), (a) E_x , (b) E_y (c) E_z side view. The intensity scale shown in Fig. 5(a) applies.

Finally, it is interesting to consider what happens when E is oriented along one of the arms of a rod in, for example, orientation 2(f), Fig. 7. In this case a strong dipolar longitudinal resonance occurs on one arm of the target, while there is a less intense arm-to-arm resonance elsewhere. This latter resonance peaks at a phase angle that is 20° later than the first.

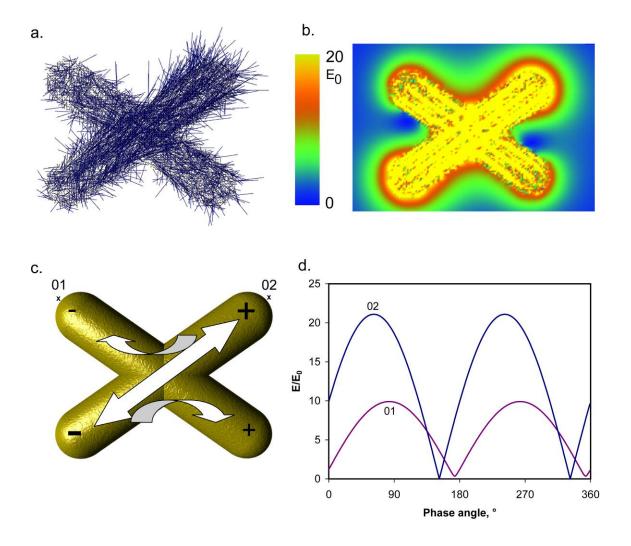


Fig. 7. Effect of placing target so that E points along one of the arms, as shown in Fig. 2(f). (a) dipole depiction of resonance at θ = 90° (b) electric field at θ =90°, (c) diagram showing the nature of the resonances and probe positions, (d) variation of |E| at the probe positions, showing how the weaker resonance lags the stronger one by 22°.

In these calculations, as the inter-rod angle increases, the amount of gold within the target also increases, due to the decreasing overlap of the crossed rods and to the greater length of the rods as α increases. Therefore the $a_{\rm eff}$ parameter of the targets also increases as the inter-rod angle is increased. This change has an additional small red-shifting influence of its own, moving the extinction peak a few tens of nanometres as the size is increased for a fixed value of α (Fig. 8). However, it is clear that the primary red-shifting effect in Fig. 4 is due to the increase in inter-rod angle rather than to the small increase in $a_{\rm eff}$.

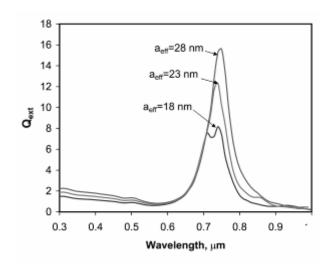


Fig. 8. Effect of target volume on the extinction efficiency of a target with α =35° in orientation 2(d). There were insufficient dipoles in the smallest target to ensure the full hybridization of the two resonances.

An important question to consider is whether 2-D arrays of crossed rods would possess optical properties that are different to those of coatings of randomly-oriented coating of individual rods, in particular for light incident with the TE polarization (that is, light with *E* oriented in the plane of the target) onto the coating. The transmission spectra of both types of coating will be characterized by a strong absorption peak, the position of which is tunable, as shown here for the crossed-rods and elsewhere e.g.[8] for the randomly oriented individual rods. However, a coating of rods or crossed-rods that are aligned will additionally show a sensitivity to the direction of both the electric and magnetic fields of the light, while a coating made from randomly oriented rods or crossed rods will not. Although spectral selectivity can be obtained by mixing together ordinary nanorods of different aspect ratio, which may have applications in solar screening [8], crossed-rod structures may be attractive in applications that engage with some aspect of the polarization of the incident light or the electric field generated around the targets. For example, a polarizing filter created by a crossed-rod array would have the attributes of being active over only a narrow range of wavelengths, and also have a rotational sensitivity that varies at double the rate of conventional polarizing filters.

The enhanced electric field around such arrays may possibly be even more interesting since it can be exploited, in principle, to amplify either single photon or two-photon fluorescence in suitably located dye molecules. The intensity of the electric field as a function of distance from the surface of the targets is shown in Fig. 9 for two transects. It is clear that there is a strong and extensive

amplification of the electric field between the arms of the target. This is of particular relevance as two-photon phenomena are dependent on $|E|^2$ rather than |E|. However, excitons that are too close to a metallic nanoparticle are likely to be quenched [42] so the enhanced electric field near the metal surface would only yield useful fluorescence enhancement for dye molecules located at least 10 - 20 nm from the surface [42].

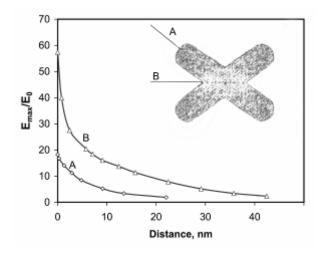


Fig. 9. Variation of electric field intensity as a function of distance from target surface for two transects (A and B) in neighbourhood of crossed rod target with α =35° and in orientation 2(d).

4. Conclusions

Nanoscale crossed-rod structures made of gold would provide a tunable and interesting motif to construct spectrally- and polarization-dependent filters, or with which to amplify two-photon phenomena. Variation of the angle between the rods (and of course the aspect ratio of the arms) provides a useful means of controlling the frequency of the plasmon resonance. The individual resonances of each rod are hybridized in the crossed-rod structure, and blue-shifted as a result. The numerical DDSCAT method may be used to calculate the resonance behaviour using multiple dipole domains, however a mesh size with a spacing of <1 nm is required for good results. The electric field distributions in the vicinity of these targets are quite complex and have a high intensity and spatially extended nature. We suggest that this type of structure will be especially useful as a nano-antenna for the generation of two-photon fluorescence in a suitable surrounding medium.

Acknowledgements

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Figure Captions

Fig. 2. The conjoined nanorod structures for which the optical properties were calculated. (a) The rotation angles, α , of the crossed rods varied from 0° to 45° in 5° intervals. (b) and (c) Illustrative examples of two-dimensional arrays of gold crosses produced by a combination of electron beam lithography and physical vapour deposition. (Further details of the experimental technique used to produce these coatings will be published elsewhere.)

Fig. 2. The different orientations of the electric and magnetic field that are possible for a crossed-rods shape. (a) longitudinal resonance with B in the plane of the target (b) longitudinal resonance with B out-of-plane of the target, (c) transverse resonance with B in-plane (d) transverse resonance with B out-of-plane, (e) electric field oriented along one arm with B in-plane (f) electric field oriented along one arm with B out-of-plane (g) short transverse resonance with B in longitudinal direction of target (h) short transverse resonance with B in transverse direction.

Fig. 3. Effect of dipole spacing on the convergence of the DDA calculations, (a) dipole resonance in a rod of 98 nm length and 20 nm diameter inclined at 35° to k, simulated using dipole spacings of 0.69, 1.03, and 1.40 nm, showing that calculations performed with a dipole spacing of <1.4 nm produce an extinction peak at 785 ± 3 nm, (b) x-component of the electric field at θ =90° and λ =782.5 nm for the rod of (a) revealing the absence of dipole domains, (c) multipole resonance for a crossed rod structure with a half angle of 35° showing that convergence now requires a dipole spacing <0.58 nm, (d) x-component of the electric field at θ =90° and λ =735 nm for the crossed rod target with α =35° showing how the internal field of the target with the multipole resonance contains alternating domains of dipoles. The same dipole spacing (0.69 nm) and colour scheme for the field intensities was used for both (b) and (d)).

Fig. 4. Effect of α on the calculated extinction efficiencies of X-rod targets in two different orientations. (a) orientation shown in Fig. 2(b) with targets shown as an inset, (b) same targets as in (a) but with orientation shown in Fig. 2(d). Also shown on the graphs are the peak positions of the corresponding isolated, single rods. Fig. 5. (a) E_x at phase angle of 90°, on average the field points right in one rod, and left in the other. The 'stripes' within the target are an artefact of the numerical solution, in which layers of dipoles take up one or other of the two resonances being hybridized, (b) E_y , (c) |E|, (d) dipole vectors at a phase angle of 90°, (e) schematic

illustration of dipole resonance, also showing probe positions 01 to 04, (f) variation of |E| with time at four probe positions.

Fig. 6. Resonances in target with half angle of 35° between arms at a phase angle of 90° and with the target orientation shown in Fig. 2(d), (a) E_x , (b) E_y (c) E_z side view. The intensity scale shown in Fig. 5(a) applies.

Fig. 7. Effect of placing target so that E points along one of the arms, as shown in Fig. 2(f). (a) dipole depiction of resonance at θ = 90° (b) electric field at θ =90°, (c) diagram showing the nature of the resonances and probe positions, (d) variation of |E| at the probe positions, showing how the weaker resonance lags the stronger one by 22°.

Fig. 8. Effect of target volume on the extinction efficiency of a target with α =35° in orientation 2(d). There were insufficient dipoles in the smallest target to ensure the full hybridization of the two resonances.

Fig. 9. Variation of electric field intensity as a function of distance from target surface for two transects (A and B) in neighbourhood of crossed rod target with α =35° and in orientation 2(d).