

A mediation model of social anxiety development during early childhood stuttering

Mark Onslow^a, Brett Dyer^b, Mark Jones^c, Robyn Lowe^a, Sue O'Brian^a, and Ross Menzies^a

^a University of Technology Sydney, Australian Stuttering Research Centre, NSW, Australia

^b Griffith University, Griffith Biostatistics Unit, Griffith Health, QLD, Australia

^c Bond University, Faculty of Health Sciences & Medicine, QLD, Australia

* Corresponding Author: Mark Onslow, Australian Stuttering Research Centre, University of
Technology Sydney, Building 1, 15 Broadway, Ultimo, NSW, 2007, AUSTRALIA

e-mail: Mark.Onslow@uts.edu.au

Keywords: Stuttering, childhood, anxiety

Conflict of Interest

The authors report no conflicts of interest. The authors alone are responsible for the content
and writing.

Abstract

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19

Purpose: Stuttering is associated with clinically significant social anxiety, which emerges during early childhood for some, but not all, children who begin to stutter. The purpose of this paper is to develop a model of social anxiety development during early childhood stuttering and to present an empirical method by which it can be tested.

Method: We propose a mediation model of how the exposure variable of stuttering may lead to an outcome of social anxiety. Our model includes confounder and mediator variables. We explain the concepts and procedures of mediation analysis and present a method to test our model.

Results: We present the idea that negative peer responses to stuttering and negative self-perception of children are mediators of social anxiety development. We propose several confounder variables that involve children, their parents, and the home environment. We depict our model with a Directed Acyclic Graph, and we present details of how it can be tested with a longitudinal research design.

Discussion: This is the first attempt to model the development of social anxiety shortly after stuttering onset with an empirically testable method. The intended benefit of this innovation is to direct future clinical directions for the clinical management of stuttering arising shortly after childhood onset.

20 **Stuttering and social anxiety**

21 During the past decades, it has become apparent from a large body of independent
 22 reports that stuttering is associated with social anxiety (Chu et al., 2020; Craig & Tran; 2006;
 23 Gabel et al., 2002; Kraaimaat et al 2002; Tomisato et al., 2022; Tran et al., 2011). A meta-
 24 analysis showed this to be the case for state and trait anxiety (Craig & Tran, 2014). Those
 25 who stutter are particularly at risk for social anxiety disorder (Blumgart et al., 2010; Iverach
 26 et al., 2009). The population prevalence for such a diagnosis is 8–13% (Kessler et al. 2005,
 27 Kikuchi et al., 2023), yet, based on cases presenting to clinics, the prevalence is much higher
 28 for stuttering (Blumgart et al., 2010; Stein et al., 1996), with one report showing 34-fold
 29 increased odds for stuttering (Iverach et al. 2009). The quality of life and burden of illness
 30 impairment associated with stuttering is equivalent to that of chronic health conditions such as
 31 diabetes, cardiovascular disease, and cancer (Norman et al., 2023), and social anxiety is likely
 32 to contribute to those impacts on life. During adulthood, around half of adults who seek
 33 clinical services for stuttering wish to deal with their social anxiety (Vanryckeghem & Van
 34 Eerdenbrugh, 2024; Yaruss et al. 2002).

35 Not surprisingly, these issues develop early in life. For social anxiety in general, a
 36 systematic review and meta-analysis concluded that children and adolescents who stutter
 37 present with increased anxiety symptoms in comparison to their peers who do not stutter
 38 (Bernard et al., 2022). One report indicated that 24% of children 7–12 years old who stutter
 39 are diagnosed with social anxiety disorder (Iverach et al., 2016). There are some reports of
 40 anxiety in pre-school children. A community cohort study included 173 three-year-olds who
 41 stuttered, and concluded “that early social, emotional and behavioral difficulties may be
 42 apparent in children who stutter as young as 3 years old” (McAllister, 2016, p. 30). Another
 43 cohort study (Briley et al. 2019) found significant anxiety differences between 4-year-olds
 44 whose parents reported stuttering and those whose parents did not. A small study of stuttering

45 children reported differences from control children at 3–6 years (Tığrak et al., 2020).

46 **Establishing a model of social anxiety development during early childhood**

47 It is clear that anxiety as a clinically important feature of the disorder emerges during the
 48 early years of life for many—but not all—children who stutter. Why this occurs is of clinical and
 49 theoretical interest. Theoretical modelling of the process by which this early anxiety development
 50 occurs, and its subsequent empirical verification, could generate benefits by directing future
 51 clinical research, as described later in this paper. There are numerous models of social anxiety
 52 maintenance for the general population (e.g., Clark & Wells, 1995; Hartman, 1983; Hofman,
 53 2007; Moscovitch, 2009; Rapee & Heimberg, 1997; Schlenker & Leary, 1982; Spence & Rapee,
 54 2016) and one has been devised specifically for stuttering (Iverach et al., 2017).

55 In general, these models of anxiety maintenance propose that high expectations of
 56 performance in social situations and perceived incapacity to meet those expectations leads to
 57 fear of negative evaluations from others. Within feared situations, the person becomes
 58 hypervigilant to negative threat cues, including physiological arousal associated with anxiety,
 59 negative cognitions, and negative self-evaluations. This self-focused attention affects the ability
 60 to observe and process disconfirming social cues. To avoid negative self-evaluations from others
 61 and reduce anxiety, safety behaviors are used. However, self-focused attention and safety
 62 behaviors can exacerbate anxiety and lead to the feared outcome of poor performance, thereby,
 63 confirming fears. Further, these negative responses to social situations can reduce attentional
 64 resources being available to attend to the situation, increasing the risk that the feared outcome of
 65 negative evaluations from others will in fact occur. These processes form a feedback cycle that
 66 maintains, or even exacerbates, anxiety.

67 There is evidence that the models of social anxiety maintenance apply to chronic stuttering in
 68 adults (Lowe, Menzies, et al., 2021). One model of anxiety maintenance is specific to stuttering;
 69 Iverach et al. (2017), drawing on the Clark and Wells (1995) and Rapee & Heimberg (1997)
 70 models, incorporated interrelated factors responsible for anxiety maintenance with adults who

71 stutter. This model included both self-focused attention and externally focused attention to
 72 negative social threat cues. Iverach et al. suggested that anxiety maintenance with stuttering is
 73 unique and complex due to having a real condition from which fears arise. For example,
 74 negative self-perceptions about speaking in social settings can be justified if negative
 75 experiences have occurred. It is the case that many people who stutter experience negative
 76 reactions from others, and these negative experiences can occur early in life, as noted above.

77 Overall, these models primarily explain anxiety maintenance during adulthood and do not
 78 focus on the origins of that anxiety. As such, they do not inform the development of a model to
 79 explain why some children who stutter develop anxiety and some do not. The Spence and Rapee
 80 (2016) model does present such a comprehensive explanation, implicating an interplay between
 81 moderating and mediating variables in early and adult life: gender, age, genetics, temperament,
 82 culture, parent and peer influence, and aversive social outcomes. Hence, the present model builds
 83 on that material to develop a stuttering-specific model of anxiety development during childhood.

84 **The concept of mediation**

85 The proposed mechanism of stuttering causing social anxiety can be thought of with a
 86 *mediation model*. The value of mediation arises when there is interest in explaining the
 87 mechanism of how an exposure E causes an outcome O . An exposure could be any variable,
 88 such as a behavior, health condition, or environmental feature. If there is reason to believe
 89 that part of the reason an exposure E causes outcome O is because of an intermediate variable
 90 M , that variable M is called a mediator.

91 A Directed Acyclic Graph (DAG) (Greenland & Brumback, 2002; Greenland et al.,
 92 1999) can depict such a relationship, using arrows to reflect one variable potentially causing
 93 another. A DAG presents variables starting with those that occurred earliest in a temporal
 94 sequence and ending with those that occurred last. The characteristics of a DAG are a flow
 95 towards the outcome O in one direction only, without any cycles (“loops”) within it. Figure 1
 96 demonstrates a simple mediation model of an exposure E causing an outcome O , and a

97 mediator M . The pathway $E \rightarrow M \rightarrow O$ is called the indirect effect, which includes some
 98 portion of the effect of exposure E on outcome O being due to the mediator M . The other
 99 pathway $E \rightarrow O$ is the direct effect which is not related to the mediator M .

100

101

INSERT FIGURE 1 AROUND HERE

102

103 The process of splitting the effect of exposure E and outcome O into a direct pathway
 104 and an indirect pathway is called *effect decomposition* (Rijnhart et al., 2021) and can explain
 105 the mechanism by which the outcome develops. In healthcare, effect decomposition can
 106 identify potential interventions to prevent the onset of the outcome in question. For example,
 107 if it is found that a mediator M substantially explains the causal effect of exposure E on
 108 outcome O , an intervention for mediator M could be targeted to reduce the risk of developing
 109 outcome O . This is of particular interest when the mediator M is clinically modifiable.

110 **Causal mediation analysis**

111 Traditional approaches to mediation analysis such as the difference method
 112 (MacKinnon et al., 2012; VanderWeele, 2016) and the product method (Alwin & Hauser,
 113 1975; Baron & Kenny, 1986; MacKinnon et al., 2012; VanderWeele, 2016) are simplistic and
 114 often will not isolate the desired causal effects. Instead, a *causal mediation analysis* approach
 115 can be utilized to estimate unbiased causal effects (VanderWeele, 2016). The key factor that
 116 separates the causal mediation analysis approach from traditional approaches is the
 117 assumptions about confounding variables that underpin the analysis (VanderWeele, 2016).
 118 When considering whether an exposure E causes an outcome O , a confounder C is a variable
 119 that causes E and also causes O . This is shown in Figure 2.

120

121

INSERT FIGURE 2 AROUND HERE

122

123 A simple, commonly used, hypothetical example of a confounding variable would be in
124 an empirical study of the relationship between ice-cream sales and shark attacks. Ice-cream
125 sales and shark attacks are correlated, but to determine any causal association, it is necessary
126 to account for the weather as a confounder. If it is a hot day, then more people will be at the
127 beach, so ice cream sales will be high. Also, if it is a hot day, then more people will be
128 swimming, and the probability of a shark attack will be higher. If the confounder of the
129 weather is adjusted for in the analysis, then ice-cream sales will no longer be associated with
130 shark attacks, and the conclusion is that ice-cream sales do not cause shark attacks.

131 **Assumptions for causal mediation analysis**

132 In a mediation analysis, the mediating variable M (see Figure 1) is incorporated into the
133 analysis. Mediation analysis requires the estimation of the effect of (a) the exposure on the
134 outcome, (b) the mediator on the outcome, and (c) the exposure on the mediator. Because of
135 this, analysis requires four assumptions about confounding, so that each of the three effects
136 are unbiased. Any empirical verification of a causal mediation model is underpinned by the
137 following four assumptions.

138 **Assumption 1: No unmeasured exposure-outcome confounder**

139 Adjustment should be made for all confounders C of the exposure and the outcome.

140 **Assumption 2: No unmeasured mediator-outcome confounder**

141 Estimation of the indirect effect $E \rightarrow M \rightarrow O$ requires the estimation of the mediator on
142 the outcome. For this effect to be unbiased it is necessary to adjust for confounders C of the
143 mediator M and outcome O , as shown by C in Figure 3. Hence, it is assumed that all such
144 relevant confounders C have been measured.

145

146

INSERT FIGURE 3 AROUND HERE

147

148 Assumption 3: No unmeasured exposure-mediator confounder

149 With the same logic as Assumption 2, an unbiased estimation of the indirect effect $E \rightarrow$
150 $M \rightarrow O$ requires adjustment for confounders C of the mediator M and exposure E , as shown
151 by C in Figure 4. Hence, it is assumed that all such relevant confounders C have been
152 measured.

153

154 INSERT FIGURE 4 AROUND HERE

155

156 Assumption 4: No mediator-outcome confounder is caused by the exposure

157 Figure 5 shows two ways that a mediator-outcome confounder C can itself be
158 influenced by the exposure E . This can occur as part of the direct effect $E \rightarrow C \rightarrow O$ or as part
159 of the indirect effect $E \rightarrow C \rightarrow M \rightarrow O$. It is necessary to adjust for confounder C in the
160 analysis to satisfy Assumption 2; however, doing so blocks the two causal pathways, $E \rightarrow C$
161 $\rightarrow O$ and $E \rightarrow C \rightarrow M \rightarrow O$, consequently introducing bias. Hence, a required assumption is
162 that no mediator-outcome confounder is caused by the exposure.

163

164 INSERT FIGURE 5 AROUND HERE

165

166 A DAG for the development of social anxiety with early stuttering

167 DAGs are helpful tools for communicating assumptions or hypotheses about causal
168 processes. They can also help to identify confounders so that researchers can attempt to avoid
169 violations of assumptions 1–4. Figure 6 contains the DAG summarising our knowledge and
170 hypotheses about the process of how stuttering may lead to social anxiety early in life through
171 negative peer reactions and negative self-perception. This DAG in Figure 6 was constructed

172 according to the Tennant et al. (2021) guidelines.

173

174 INSERT FIGURE 6 AROUND HERE

175

176 **Arranging variables in order of occurrence**

177 Firstly, variables were arranged in time order, starting with those that were set earliest
178 and ending with those that happened last. It is well documented that around two-thirds of
179 those who stutter have the variable *family history of stuttering* (Bloodstein et al., 2021), and
180 this history exists prior to the child's birth. This variable was included in the DAG because of
181 the association between stuttering and anxiety, as described above, raising a possible
182 connection to childhood anxiety. *Parent history of anxiety* is present early in a child's life. It
183 is capable of influencing child anxiety (Song et al., 2022), and it also exists before the child's
184 birth. *Age* and *sex* of the child are set at birth and are both potentially involved in
185 development of childhood anxiety: the chance of anxiety developing will logically increase
186 with age, and the sex of the child contributes to anxiety development, affecting females more
187 often than males (McLean et al, 2011). After birth of the child, the *emotional stability of the*
188 *home* may be affected. This might occur, for example, with postpartum depression, which
189 may then affect the child's anxiety level (Slomian et al., 2019). There is evidence that there is
190 no difference in anxiety-prone temperament between children who stutter and their peers who
191 do not stutter (Kefalianos et al., 2014). However, a child who has an anxiety-prone
192 temperament is more likely to develop or experience anxiety after commencing stuttering than
193 a child without such a temperament. This is a logical prospect that applies to any child,
194 regardless of stuttering status.

195 The exposure of *stuttering* then occurs. Then the mediators of *negative peer reactions*
196 and *negative self-perception*, and the outcome of *social anxiety* are ordered as we hypothesize

197 in the mediation model. There is evidence implicating negative peer reactions in the
 198 development of social anxiety for children who stutter. Peers are aware of stuttering; for
 199 example, Ambrose & Yairi (1994) used a research paradigm, which showed that, over a
 200 period of 2 years, pre-school children were able to distinguish between stuttering and non-
 201 stuttering puppets. Weidner et al. (2015) developed the POSHA-S/Child tool to reveal that 3-
 202 7-year-olds had a negative attitude to stuttering. There is direct observational evidence of
 203 negative peer reactions to stuttering in pre-school settings; Langevin et al. (2009) video
 204 recorded children who stutter in the playground, and three of the four children were shown to
 205 experience negative peer reactions to the stuttering: walking away from the child, being
 206 ignored or interrupted, and mocking. There is also evidence to implicate negative self-
 207 perception in anxiety development with early stuttering. There are recurring parent reports
 208 that two-thirds of pre-school children show negative awareness of their early stuttering, with
 209 some figures as high as 90% (Langevin et al., 2010). Common parent reports focus on
 210 “frustration associated with their stuttering, withdrawal, reduced or changed verbal output,
 211 making comments about their inability to talk” (Langevin et al., 2010; p. 407). Negative self-
 212 perception has been measured in pre-school children who stutter with the KiddyCAT test of
 213 communication attitude for children who stutter (Clark et al., 2012; Węsierska &
 214 Vanryckeghem, 2015).

215 **Plausibility of causal effects**

216 The next step of drawing a DAG is to decide which arrows should be included, that is,
 217 deciding which variables may cause other variables. It is recommended to only exclude
 218 arrows from a DAG where there is strong *a priori* evidence or logic to suggest the effect is
 219 non-existent (Tennant et al., 2021). This recommendation arises from the statistical
 220 implications of missing confounders. Generally, it is worse to miss a confounder than to
 221 adjust for a variable that actually doesn't cause the exposure or the outcome as suspected.

222 Thus, we started with a saturated DAG, that is, a DAG including all arrows from variables
 223 occurring earlier in time leading to variables occurring later in time. We then determined
 224 whether there was strong evidence or logic to suggest that an arrow could be excluded. The
 225 only arrows that we excluded were (a) from *parent history of anxiety* to *stuttering*, (b) from
 226 both *parent history of anxiety* and *family history of stuttering* to *age* and *sex*. Exclusion (a)
 227 was based on empirical data showing no evidence of parent anxiety in a clinical cohort of pre-
 228 schoolers who stutter (Park et al., 2021). Exclusions (b) were based on logical grounds. To
 229 avoid overcrowding the DAG with arrows, we have included “thick arrows” from a variable
 230 to indicate arrows to all variables below that occur later in life.

231 The DAG in Figure 6 implies that *parent history of anxiety* is a mediator-outcome
 232 confounder and that *family history of stuttering*, *age*, *sex*, *emotional stability of the home*, and
 233 *child temperament* are exposure-mediator, mediator-outcome, and exposure-outcome
 234 confounders. Additionally, no mediator-outcome confounder is caused by the exposure. Thus,
 235 assuming data are available for the identified confounders, then it is possible to conduct a
 236 causal mediation analysis to test this proposed mediation model. That analysis can estimate
 237 the indirect effect of stuttering on social anxiety through negative peer reactions and negative
 238 self-perception, and the remaining direct effect.

239 **Testing our mediation model of social anxiety development during early childhood**

240 The value of any theoretical position about stuttering is limited by its testability
 241 (Packman, 2012). Testing a model establishes its veracity and can lead to its refinement or,
 242 ultimately, its abandonment in the face of repeated disconfirmation. To our knowledge, this is
 243 the first model about stuttering that is presented along with methodological details about how
 244 it might be tested.

245 **Study design**

246 A longitudinal cohort study of stuttering children and control children is the best way to

247 test the mediation model proposed in this paper. Such a study would recruit children who
 248 have recently started stuttering and age- and gender-matched controls who do not stutter, and
 249 prospectively follow them. A prospective design allows for the temporal ordering of events to
 250 be recorded, as this is an important factor in estimating causal relationships. Stuttering and
 251 matched control children could be studied with 4-monthly observations for 3 years after
 252 stuttering onset.

253 A simple recruitment strategy is to source clinics to locate children who have been
 254 brought to speech-language pathologists shortly after onset. With this method, when a
 255 stuttering child has been recruited from a clinic, a matched nonclinical control can be
 256 established. A more methodologically rigorous—and resource-intensive—method is to recruit
 257 stuttering and control children from a community sample. Cases of stuttering onset could be
 258 identified in the community using previously established methods (Reilly et al., 2013). Those
 259 methods included community-distributed fridge magnets describing stuttering, along with a
 260 request to contact the researchers if a child starts to stutter.

261 **Measurement of variables.**

262 *Parent history of anxiety* and *family history of stuttering* could be assessed with parent
 263 interview at recruitment. *Child sex* and *child age* would be established with demographic
 264 information. The *emotional stability of the home* could be measured at recruitment with the
 265 Emotional Stability Scale (Chaturvedi & Chander, 2010) given to all adults living in the
 266 child's home. *Child temperament* could be assessed by parents at recruitment with the
 267 Children's Behavior Questionnaire (Rothbart et al., 2001).

268 The exposure variable of *stuttering* could be determined with standard speech-language
 269 pathology procedures of parent interview telehealth, supplemented by parents uploading
 270 digital video recordings of the child talking during everyday situations. *Negative peer*
 271 *reactions* to stuttering could be measured with a simple method of parent report about

272 whether they observed that their child had received positive, negative, or neutral responses
 273 from peers when they stuttered. A more rigorous method would be to train parents to make
 274 observations of the child during everyday situations with peers, siblings, and relatives. With
 275 even more methodological rigour, research personnel could make visits to selected everyday
 276 child environments and make such observations independently. *Negative self-perception*
 277 could be measured at recruitment with the KiddyCat (Vanryckeghem & Brutten, 2007). The
 278 outcome variable of *social anxiety* could be measured with the social anxiety subscale of the
 279 Spence Children’s Anxiety Scale (Spence, 1998).

280 **Statistical analysis**

281 An inverse probability weighting approach coupled with Cox proportional-hazards
 282 regression (a survival analysis method) can be used to estimate the direct effect and indirect
 283 effect of stuttering (Lange et al., 2012). Inverse probability weighting is a procedure that
 284 involves assigning weights to each participant based on the probability of being exposed or
 285 unexposed to create a pseudo-population in which the probability of being exposed or
 286 unexposed is independent of confounding variables, as is the case in a randomized trial. The
 287 inverse probability weighting technique used in mediation analysis extends this idea by using
 288 weighting to mimic a sequentially randomized trial in which participants are randomly
 289 allocated to either have the exposure or not have the exposure, and then randomly allocated to
 290 either have the mediator or not have the mediator, while also taking into account the effect of
 291 the exposure on the mediator. This more advanced type of inverse probability weighting
 292 accounts for confounding assumptions^{1–4}, assuming the correct specification of the model.
 293 Following the inverse probability weighting, the Cox proportional-hazards model can produce
 294 unbiased estimates of the indirect effect and direct effect of stuttering. The Cox model also
 295 accounts for participation dropout.

296 A sensitivity analysis for unmeasured confounding can be conducted using E-values.

297 The E-values indicate the impact that potential unknown/unmeasured confounders would
 298 have on the effect estimates (VanderWeele et al., 2017). Thus, the sensitivity analysis would
 299 indicate how sensitive the results are to missing confounders.

300 **Potential benefits of a mediation model for social anxiety development during early**
 301 **childhood stuttering**

302 Clearly, early stuttering during childhood is associated somehow with development of
 303 social anxiety, manifesting as a core clinical issue during persistent stuttering. An empirically
 304 verified model of how social anxiety begins early in life, with mediators and confounders
 305 established, would have many benefits and challenges, potentially leading to paradigm shifts
 306 with early intervention. Some examples of how this might occur are presented below.

307 There is consensus in the field of early stuttering intervention that treatment should be
 308 delayed for some time after onset rather than beginning immediately (Lowe, Jelčić Jakšić, et
 309 al., 2021). Such an approach contains a tacit assumption that the debilitating effects of social
 310 anxiety that are associated with stuttering are independent of age. Yet, empirical development
 311 of our proposed mediation model may show that assumption to be wrong. Cohort studies
 312 report the age of stuttering onset to range from 18 months to 68 months (Ambrose et al.,
 313 2015; Reilly et al., 2013). This introduces potential quantitative and qualitative age effects on
 314 anxiety development. Quantitatively, some children who begin to stutter will do so earlier
 315 than other children, and hence, will be exposed to stuttering for longer than other children,
 316 often for a number of years. From a qualitative perspective, the differences in cognitive
 317 development between 2-year-olds and 5-year-olds who begin to stutter are substantive.
 318 Hence, empirical testing of our model could reveal age to be an exposure-mediator
 319 confounder (see Figure 4), or a mediator-outcome confounder (see Figure 5). In other words,
 320 it could be that the development of social anxiety indeed is mediated by negative peer
 321 reactions or negative self-perception—or both—and that age of onset influences that process.

322 In such cases, it would not be clinically appropriate to apply a general rule to delay early
 323 stuttering intervention.

324 At present, randomized clinical trials in the field have focused almost exclusively on
 325 stuttering severity reduction with early stuttering, using primary outcomes of the observable
 326 speech behaviors of stuttering (Sjøstrand et al. 2021). Presumably, this also carries the tacit
 327 assumption that stuttering reduction is the ideal way to prevent the development of a range of
 328 negative outcomes from stuttering, with social anxiety included. Testing of our model might
 329 substantiate this assumption, with the pathway from the exposure of stuttering to the outcome
 330 of social anxiety being straightforward, unmediated, and unconfounded by any variables. But,
 331 on the other hand, testing of our model might reveal the causal pathway to be affected to a
 332 clinically significant extent by child psychological issues. For example, negative self-
 333 perception might be a prominent mediator of social anxiety development, with child
 334 temperament as a prominent confounder of that mediating variable. In such a case, this would
 335 signal potential limitations of interventions designed to control stuttering behaviors, and it
 336 would signal a need for continued development of interventions to establish positive self-
 337 perception and psychological resilience in stuttering pre-schoolers, as seen in Druker et al.
 338 (2019).

339 Another result to emerge from testing of our model might reveal a prominent role of
 340 parents in the development of childhood social anxiety. Regardless of other confounding
 341 variables on the pathway from the exposure of stuttering to the outcome of social anxiety,
 342 parents may have a clinically important influence. For example, parent history of anxiety, or
 343 the emotional stability of adults living in the home (see Figure 6), or both, may be
 344 confounders. In such cases, speech pathologists who assess and manage pre-schoolers who
 345 stutter may be advised to screen the mental health of all adults in a child's life and provide
 346 psychological support services to them as needed.

347

Conclusion

348 Anxiety is a core clinical concern for those who stutter and for researchers who seek to
349 understand it. For some children who stutter, social anxiety develops and persists into
350 adulthood, contributing to substantial quality of life impairment and burden of illness. This
351 paper presents the first attempt to explain that process, using a mediation model. Our
352 proposed model involves the exposure variable of stuttering during early childhood and the
353 outcome variable of social anxiety, with several confounder and mediator variables. We
354 present a research method that would enable testing and refinement of this model. The
355 intended benefit of this innovation is to direct future clinical directions for clinical
356 management of stuttering shortly after childhood onset.

357

358

Acknowledgments

359 The authors would also like to acknowledge Damien Liu-Brennan for his scientific
360 copyediting contribution to this publication.

361

362

Data availability statement

363 Data sharing not applicable to this article as no datasets were generated or analyzed during the
364 current study.

365 **References**

- 366 Alwin, D. F., & Hauser, R. M. (1975). The decomposition of effects in path
367 analysis. *American Sociological Review*, *40*(1), 37–47. <https://doi.org/10.2307/2094445>
- 368 Ambrose, N. G., & Yairi, E. (1994). The development of awareness of stuttering in preschool
369 children. *Journal of Fluency Disorders*, *19*(4), 229–245. [https://doi.org/10.1016/0094-](https://doi.org/10.1016/0094-730X(94)90002-7)
370 [730X\(94\)90002-7](https://doi.org/10.1016/0094-730X(94)90002-7)
- 371 Ambrose, N. G., Yairi, E., Loucks, T. M., Seery, C. H., & Throneburg, R. (2015). Relation of
372 motor, linguistic and temperament factors in epidemiologic subtypes of persistent and
373 recovered stuttering: Initial findings. *Journal of Fluency Disorders*, *45*, 12–26.
374 <https://doi.org/10.1016/j.jfludis.2015.05.004>
- 375 Baron, R. M., & Kenny, D. A. (1986). The moderator–mediator variable distinction in social
376 psychological research: Conceptual, strategic, and statistical considerations. *Journal of*
377 *Personality and Social Psychology*, *51*(6), 1173–1182. [https://doi.org/10.1037/0022-](https://doi.org/10.1037/0022-3514.51.6.1173)
378 [3514.51.6.1173](https://doi.org/10.1037/0022-3514.51.6.1173)
- 379 Bernard, R., Hofslundsengen, H., & Frazier Norbury, C. (2022). Anxiety and depression
380 symptoms in children and adolescents who stutter: A Systematic Review and Meta-
381 Analysis. *Journal of Speech, Language, and Hearing Research*, *65*(2), 624–644.
382 https://doi.org/10.1044/2021_JSLHR-21-00236
- 383 Bloodstein, O, Bernstein Ratner, N., & Brundage, S. B. (2021). *A handbook on stuttering* (7th
384 ed.). Plural Publishing.
- 385 Blumgart, E., Tran, Y., & Craig, A. (2010). Social anxiety disorder in adults who stutter.
386 *Depression and Anxiety*, *27*(7), 687–692. <https://doi.org/10.1002/da.20657>
- 387
- 388
- 389

- 390 Briley, P. M., O'Brien, K., & Ellis, C. (2019). Behavioral, emotional, and social well-being in
 391 children who stutter: Evidence from the National Health Interview Survey. *Journal of*
 392 *Developmental and Physical Disabilities, 31*(1), 39–53. [https://doi.org/10.1007/S10882-](https://doi.org/10.1007/S10882-018-9625-X)
 393 018-9625-X
- 394 Clark, C. E., Conture, E. G., Frankel, C. B., & Walden, T. A. (2012). Communicative and
 395 psychological dimensions of the KiddyCAT. *Journal of Communication Disorders, 45*(3),
 396 223–234. <https://doi.org/10.1016/j.jcomdis.2012.01.002>
- 397 Clark, D. M. & Wells, A. (1995). A cognitive model of social phobia. In R. G. Heimberg, M.
 398 R. Liebowitz, D. A. Hope, & F. R. Schneier (Eds.), *Social phobia: Diagnosis, assessment*
 399 *and treatment*. (pp. 69–93). Guilford Press.
- 400 Chaturvedi, M., & Chander, R. (2010). Development of emotional stability scale. *Industrial*
 401 *Psychiatry Journal, 19*(1), 37–40. <https://doi.org/10.4103/0972-6748.77634>
- 402 Chu, S. Y., Sakai, N., Lee, J., Harrison, E., Tang, K. P., & Mori, K. (2020). Comparison of
 403 social anxiety between Japanese adults who stutter and non-stuttering controls. *Journal of*
 404 *Fluency Disorders, 65*, Article 105767. <https://doi.org/10.1016/j.jfludis.2020.105767>
- 405 Craig, A., & Tran, Y. (2006). Fear of speaking: Chronic anxiety and stammering. *Advances in*
 406 *Psychiatric Treatment, 12*(1), 63–68. <https://doi.org/10.1192/apt.12.1.63>
- 407 Craig, A., & Tran, Y. (2014). Trait and social anxiety in adults with chronic stuttering:
 408 Conclusions following meta-analysis. *Journal of Fluency Disorders, 40*, 35–43.
 409 <https://doi.org/10.1016/j.jfludis.2014.01.001>
- 410 Druker, K. C., Mazzucchelli, T. G., & Beilby, J. M. (2019). An evaluation of an integrated
 411 fluency and resilience program for early developmental stuttering disorders. *Journal of*
 412 *Communication Disorders, 78*, 69–83. <https://doi.org/10.1016/j.jcomdis.2019.02.002>
- 413
- 414

- 415 Gabel, R. M., Colcord, R. D., & Petrosino, L. (2002). Self-reported anxiety of adults who do
 416 and do not stutter. *Perceptual and Motor Skills*, *94*(3), 775–784.
 417 <https://doi.org/10.2466/PMS.94.3.775-784>
- 418 Greenland, S., & Brumback, B. (2002). An overview of relations among causal modelling
 419 methods. *International Journal of Epidemiology*, *31*(5), 1030–1037.
 420 <https://doi.org/10.1093/ije/31.5.1030>
- 421 Greenland, S., Pearl, J., & Robins, J. M. (1999). Causal diagrams for epidemiologic
 422 research. *Epidemiology*, *10*(1), 37–48.
- 423 Hartman, L. M. (1983). A metacognitive model of social anxiety: Implications for treatment.
 424 *Clinical Psychology Review*, *3*(4), 435–456. [https://doi.org/10.1016/0272-7358\(83\)90023-](https://doi.org/10.1016/0272-7358(83)90023-5)
 425 5
- 426 Hofmann, S. G. (2007). Cognitive factors that maintain social anxiety disorder: A
 427 comprehensive model and its treatment implications. *Cognitive Behaviour Therapy*, *36*(4),
 428 193–209. <https://doi.org/10.1080/16506070701421313>
- 429 Iverach, L., Jones, M., McLellan, L., Lyneham, H., Menzies, R., Onslow, M., & Rapee, R.
 430 (2016). Prevalence of anxiety disorders among children who stutter. *Journal of Fluency*
 431 *Disorders*, *49*, 13–28. <https://doi.org/10.1016/j.jfludis.2016.07.002>
- 432 Iverach, L., O’Brian, S., Jones, M., Block, S., Lincoln, M., Harrison, E., Hewat, S., Menzies,
 433 R. G., Packman, A., & Onslow, M. (2009). Prevalence of anxiety disorders among adults
 434 seeking speech therapy for stuttering. *Journal of Anxiety Disorders*, *23*(7), 928–934.
 435 <https://doi.org/10.1016/j.janxdis.2009.06.003>
- 436 Iverach, L., Rapee, R. M., Wong, Q. J., & Lowe, R. (2017). Maintenance of social anxiety in
 437 stuttering: A cognitive-behavioral model. *American Journal of Speech-Language*
 438 *Pathology*, *26*(2), 540–556. https://doi.org/10.1044/2016_AJSLP-16-0033
- 439

- 440 Kefalianos, E., Onslow, M., Ukoumunne, O., Block, S., & Reilly, S. (2014). Stuttering,
 441 temperament and anxiety: Data from a community cohort aged 2–4 years. *Journal of*
 442 *Speech, Language, and Hearing Research*, 57(4), 1314–1322.
 443 https://doi.org/10.1044/2014_JSLHR-S-13-0069
- 444 Kessler, R. C., Berglund, P., Demler, O., Jin, R., Merikangas, K. R., & Walters, E. E. (2005).
 445 Lifetime prevalence and age-of-onset distributions of DSM-IV disorders in the National
 446 Comorbidity Survey Replication. *Archives of General Psychiatry*, 62(6), 593–602.
 447 <https://doi.org/10.1001/archpsyc.62.6.593>
- 448 Kikuchi, Y., Kenjo, M., Yoshida, E., Takahashi, S., Murakami, D., Yamaguchi, Y., Adachi,
 449 K., Sawatsubashi, M., Taura, M., Nakagawa, T., & Umezaki, T. (2023). Social anxiety
 450 disorder in adolescents who stutter: A risk for school refusal. *Pediatrics*
 451 *International*, 65(1), Article e15622. <https://doi.org/10.1111/ped.15622>
- 452 Kraaimaat, F. W., Vanryckeghem, M., & Van Dam-Baggen, R. (2002). Stuttering and social
 453 anxiety. *Journal of Fluency Disorders*, 27(4), 319–331. [https://doi.org/10.1016/S0094-](https://doi.org/10.1016/S0094-730X(02)00160-2)
 454 [730X\(02\)00160-2](https://doi.org/10.1016/S0094-730X(02)00160-2)
- 455 Lange, T., Vansteelandt, S., & Bekaert, M. (2012). A simple unified approach for estimating
 456 natural direct and indirect effects. *American Journal of Epidemiology*, 176(3), 190-195.
 457 <https://doi.org/10.1093/aje/kwr525>
- 458 Langevin, M., Packman, A., & Onslow, M. (2009). Peer responses to stuttering in the
 459 preschool setting. *American Journal of Speech-Language Pathology*, 18(3), 264–276.
 460 [https://doi.org/10.1044/1058-0360\(2009/07-0087\)](https://doi.org/10.1044/1058-0360(2009/07-0087))
- 461 Langevin, M., Packman, A., & Onslow, M. (2010). Parent perceptions of the impact of
 462 stuttering on their preschoolers and themselves. *Journal of Communication Disorders*,
 463 43(5), 407–423. <https://doi.org/10.1016/j.jcomdis.2010.05.003>
- 464

- 465 Lowe, R., Jelčić Jakšić, S., Onslow, M., O'Brian, S., Vanryckeghem, M., Millard, S., Kelman,
 466 E., Block, S., Franken, M. -C., Van Eerdenbrugh, S., Menzies, R., Shenker, R., Byrd, C.,
 467 Bosshardt, H. -G., del Gado, F., & Lim, V. (2021). Contemporary issues with stuttering:
 468 The Fourth Croatia Stuttering Symposium. *Journal of Fluency Disorders*, 70, Article
 469 105844. <https://doi.org/10.1016/j.jfludis.2021.105844>
- 470 Lowe, R., Menzies, R. G., Onslow, M., Packman, S., & O'Brian, S. (2021). Speech and
 471 anxiety management with persistent stuttering: Current status and essential
 472 research. *Journal of Speech, Language, and Hearing Research*, 64(1), 59–74.
 473 https://doi.org/10.1044/2020_JSLHR-20-00144
- 474 MacKinnon, D. P., Cheong, J., & Prirlott, A. G. (2012). Statistical mediation analysis. In
 475 Cooper, H. E., Camic, P. M., Long, D. L., Panter, A. T., Rindskopf, D. E., & Sher, K. J.
 476 (2012) (Eds). *APA handbook of research methods in psychology, Vol 2: Research designs:
 477 Quantitative, qualitative, neuropsychological, and biological* (pp. 313–331). American
 478 Psychological Association. <https://doi.org/10.1037/13620-018>
- 479 McAllister, J. (2016). Behavioural, emotional and social development of children who stutter.
 480 *Journal of Fluency Disorders*, 50, 23–32. <https://doi.org/10.1016/j.jfludis.2016.09.003>
- 481 McLean, C. P., Asnaani, A., Litz, B. T., & Hofmann, S. G. (2011). Gender differences in
 482 anxiety disorders: prevalence, course of illness, comorbidity and burden of illness. *Journal
 483 of Psychiatric Research*, 45(8), 1027-1035.
 484 <https://doi.org/10.1016/j.jpsychires.2011.03.006>
- 485 Moscovitch, D. A. (2009). What is the core fear in social phobia? A new model to facilitate
 486 individualized case conceptualization and treatment. *Cognitive and Behavioral Practice*,
 487 16(2), 123–134. <https://doi.org/10.1016/j.cbpra.2008.04.002>
- 488
- 489

- 490 Norman, A., Lowe, R., Onslow, M., O'Brian, S., Packman, A., Menzies, R., & Schroeder, L.
 491 (2023). Cost of illness and health-related quality of life for stuttering: Two systematic
 492 reviews. *Journal of Speech, Language, and Hearing Research*, 66(11), 4414–4431.
 493 https://doi.org/10.1044/2023_JSLHR-23-00072
- 494 Packman, A. (2012). Theory and therapy in stuttering: A complex relationship. *Journal of*
 495 *Fluency Disorders*, 37(4), 225–233. <https://doi.org/10.1016/j.jfludis.2012.05.004>
- 496 Park, V., Onslow, M., Lowe, R., Jones, M., O'Brian, S., Packman, A., Menzies, R., Block, S.,
 497 Wilson, L., Harrison, E., & Hewat, S. (2021). Psychological characteristics of early
 498 stuttering. *International Journal of Speech-Language Pathology*, 23(6), 662–631.
 499 <https://doi.org/10.1080/17549507.2021.1912826>
- 500 Rapee, R. M., & Heimberg, R. G. (1997). A cognitive-behavioral model of anxiety in social
 501 phobia. *Behavior Research and Therapy*, 35(8), 741–756. [https://doi.org/10.1016/S0005-](https://doi.org/10.1016/S0005-7967(97)00022-3)
 502 [7967\(97\)00022-3](https://doi.org/10.1016/S0005-7967(97)00022-3)
- 503 Reilly, S., Onslow, M., Packman, A., Cini, E., Conway, L., Ukoumunne, O. C., Bavin, E. L.,
 504 Prior, M., Eadie, P., Block, S., Wake, M. (2013). Natural history of stuttering to 4 years of
 505 age: A prospective community-based study. *Pediatrics*, 132(3), 460–467.
 506 <https://doi.org/10.1542/peds.2012-3067>
- 507 Rijnhart, J. J., Lamp, S. J., Valente, M. J., MacKinnon, D. P., Twisk, J. W., & Heymans, M.
 508 W. (2021). Mediation analysis methods used in observational research: a scoping review
 509 and recommendations. *BMC Medical Research Methodology*, 21, Article 226.
 510 <https://doi.org/10.1186/s12874-021-01426-3>
- 511 Rothbart, M. K., Ahadi, S. A., Hershey, K. L., & Fisher, P. (2001). Investigations of
 512 temperament at three to seven years: The Children's Behavior Questionnaire. *Child*
 513 *Development*, 72(5), 1394–1408. <https://doi.org/10.1111/1467-8624.00355>
- 514

- 515 Schlenker, B. R., & Leary, M. R. (1982). Social anxiety and self- presentation: A
 516 conceptualization and model. *Psychological Bulletin*, 92(3), 641–669.
 517 <https://doi.org/10.1037/0033-2909.92.3.641>
- 518 Sjøstrand, Å., Kefalianos, E., Hofslundsengen, H., Guttormsen, L. S., Kirmess, M., Lervåg,
 519 A., & Bottegaard Naess, K. -A. (2021). Non-pharmacological interventions for stuttering
 520 in children six years and younger. *Cochrane Database of Systematic Reviews*, 9, Article
 521 CD013489. <https://doi.org/10.1002/14651858.CD013489.pub2>
- 522 Slomian, J., Honvo, G., Emonts, P., Reginster, J. Y., & Bruyère, O. (2019). Consequences of
 523 maternal postpartum depression: A systematic review of maternal and infant
 524 outcomes. *Women's Health*, 15, Article 1745506519844044.
 525 <https://doi.org/10.1177/1745506519844044>
- 526 Song, Z., Huang, J., Qiao, T., Yan, J., Zhang, X., & Lu, D. (2022). Association between
 527 maternal anxiety and children’s problem behaviors: A systematic review and meta-
 528 analysis. *International Journal of Environmental Research and Public Health*, 19(17),
 529 Article 11106. <https://doi.org/10.3390/ijerph191711106>
- 530 Spence, S. H. (1998). A measure of anxiety symptoms among children. *Behaviour Research*
 531 *and Therapy*, 36(5), 545–566. [https://doi.org/10.1016/S0005-7967\(98\)00034-5](https://doi.org/10.1016/S0005-7967(98)00034-5)
- 532 Spence, S. H., & Rapee, R. M. (2016). The etiology of social anxiety disorder: An evidence-
 533 based model. *Behaviour Research and Therapy*, 86, 50–67.
 534 <https://doi.org/10.1016/j.brat.2016.06.007>
- 535 Stein, M. B., Baird, A., & Walker, J. R. (1996). Social phobia in adults with stuttering.
 536 *American Journal of Psychiatry*, 153(2), 278–280. <https://doi.org/10.1176/ajp.153.2.278>
 537
 538
 539

- 540 Tennant, P. W. G., Murray, E. J., Arnold, K. F., Berrie, L., Fox, M. P., Gadd, S. C., Harrison,
 541 W. J., Keeble, C., Ranker, L. R., Textor, J., Tomova, G. D., Gilthorpe, M. S., & Ellison, G.
 542 T. H. (2021). Use of directed acyclic graphs (DAGs) to identify confounders in applied
 543 health research: Review and recommendations. *International Journal of Epidemiology*,
 544 50(2), 620–632. <https://doi.org/10.1093/ije/dyaa213>
- 545 Tığrak, T. K., Kayıkcı, M. E. K., Kirazlı, M. Ç., & Tığrak, A. (2020). Emotional and
 546 behavioural problems of children and adolescents who stutter: Comparison with typically
 547 developing peers. *Logopedics Phoniatrics Vocology*, 46(4), 186–192.
 548 <https://doi.org/10.1080/14015439.2020.1855472>
- 549 Tomisato, S., Yada, Y., & Wasano, K. (2022). Relationship between social anxiety and
 550 coping profile in adults who stutter. *Journal of Communication Disorders*, 95, Article
 551 106167. <https://doi.org/10.1016/j.jcomdis.2021.106167>
- 552 Tran, Y., Blumgart, E., & Craig, A. (2011). Subjective distress associated with chronic
 553 stuttering. *Journal of Fluency Disorders*, 36(1), 17–26.
 554 <https://doi.org/10.1016/j.jfludis.2010.12.003>
- 555 VanderWeele, T. J. (2016). Mediation analysis: a practitioner's guide. *Annual Review of*
 556 *Public Health*, 37, 17–32. <https://doi.org/10.1146/annurev-publhealth-032315-021402>
- 557 VanderWeele, T. J., Ding, P. (2017). Sensitivity Analysis in Observational Research:
 558 Introducing the E-Value. *Annals of Internal Medicine*, 167(4), 268-274.
 559 <https://doi.org/10.7326/M16-26>
- 560 Vanryckeghem, M., & Brutten, G. J. (2007). *KiddyCat: Communication attitude test for*
 561 *preschool and kindergarten children who stutter*. Plural Publishing
- 562 Vanryckeghem, M., & Van Eerdenbrugh, S. (2024). What do adults who stutter think about
 563 the nature of stuttering treatment? *Perspectives of the ASHA Special Interest Groups*, 9(2),
 564 320–330. https://doi.org/10.1044/2023_PERSP-23-00030

MEDIATION MODEL OF SOCIAL ANXIETY DEVELOPMENT

- 565 Weidner, M. E., Louis, K. O. S., Burgess, M. E., & LeMasters, S. N. (2015). Attitudes toward
566 stuttering of nonstuttering preschool and kindergarten children: A comparison using a
567 standard instrument prototype. *Journal of Fluency Disorders*, 44, 74–87.
568 <https://doi.org/10.1016/j.jfludis.2015.03.003>
- 569 Węsierska, K., & Vanryckeghem, M. (2015). A comparison of communicative attitudes
570 among stuttering and nonstuttering Polish preschoolers using the KiddyCAT. *Procedia -
571 Social and Behavioral Sciences*, 193, 278–284.
572 <https://doi.org/10.1016/j.sbspro.2015.03.272>
- 573 Yaruss, J. S., Quesal, R. W., & Murphy, B. (2002). National Stuttering Association members'
574 opinions about stuttering treatment. *Journal of Fluency Disorders*, 27(3), 227–242.
575 [https://doi.org/10.1016/S0094-730X\(02\)00142-0](https://doi.org/10.1016/S0094-730X(02)00142-0)

576 **Figure Captions**

577

578 Figure 1. A mediation model of an exposure E causing an outcome O , and a mediator M .

579

580 Figure 2. An exposure E causing an outcome O , with a confounder C that causes E and also
581 causes O .

582

583 Figure 3. C is a confounder of the mediator M and the outcome O .

584

585 Figure 4. C is a confounder of the mediator M the exposure E .

586

587 Figure 5. Two ways that the mediator-outcome confounder C can itself be influenced by the
588 exposure E .

589

590 Figure 6. A Directed Acyclic Graph (DAG) summarising our knowledge and hypotheses
591 about the process of how stuttering may lead to social anxiety early in life through
592 negative peer reactions and negative self-perception.